

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation HENRY G SIMS & HENRY U SIMS MEM FD		A Employer identification number 63-6114412	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 11647		B Telephone number (see instructions) (205) 420-7753	
City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35202		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶\$ 1,074,076	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .				
	4 Dividends and interest from securities . . . . .	25,936	25,851		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	16,405			
	b Gross sales price for all assets on line 6a _____ 396,007				
	7 Capital gain net income (from Part IV, line 2) . . . . .		16,405		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	314			
	12 Total. Add lines 1 through 11 . . . . .	42,655	42,256		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	14,885	10,419		4,465
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .		0	0	
	16a Legal fees (attach schedule) . . . . .				0
	b Accounting fees (attach schedule) . . . . .	1,800	0	0	1,800
	c Other professional fees (attach schedule) . . . . .				0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions) . . . . .	2,746			0
	19 Depreciation (attach schedule) and depletion . . . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule) . . . . .	1,425	1,425		
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	20,856	11,844	0	6,265
	25 Contributions, gifts, grants paid . . . . .	54,004			54,004
	26 Total expenses and disbursements. Add lines 24 and 25	74,860	11,844	0	60,269
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-32,205			
	b Net investment income (if negative, enter -0-)		30,412		
c Adjusted net income (if negative, enter -0-) . . . . .				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .	11	333	333
	2	Savings and temporary cash investments . . . . .	4,271	8,161	8,161
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	490,265		
	c	Investments—corporate bonds (attach schedule) . . . . .	266,012		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			333
	13	Investments—other (attach schedule) . . . . .	315,963	1,035,813	1,065,249
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,076,522	1,044,307	1,074,076	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .	1,076,522	1,044,307	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,076,522	1,044,307		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	1,076,522	1,044,307		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,076,522
2	Enter amount from Part I, line 27a . . . . .	2	-32,205
3	Other increases not included in line 2 (itemize) ▶ _____	3	68
4	Add lines 1, 2, and 3 . . . . .	4	1,044,385
5	Decreases not included in line 2 (itemize) ▶ _____	5	78
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,044,307

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="float:right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	16,405
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	58,521	1,176,325	0 049749
2016	59,599	1,125,125	0 052971
2015	63,300	1,188,628	0 053255
2014	62,947	1,229,465	0 051199
2013	54,632	1,154,806	0 047308

<b>2</b> Total of line 1, column (d)	<b>2</b>	0 254482
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 050896
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	1,178,465
<b>5</b> Multiply line 4 by line 3	<b>5</b>	59,979
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	304
<b>7</b> Add lines 5 and 6	<b>7</b>	60,283
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	60,269

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	608
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	608
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	608
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	1,136
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	1,136
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	528
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <input type="checkbox"/> 528 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	Yes	No
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>		No
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>		No
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>		No
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>		No
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>		No
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>		
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>		No
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes	
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes	
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> AL _____			
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes	
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		No
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>		No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>REGIONS BANK, TRUST DEPARTMENT</u> Telephone no ► <u>(205) 420-7753</u>			

Located at ► 201 MILAN PARKWAY BIRMINGHAM AL ZIP+4 ► 35211

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	► <b>15</b>		
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . .	<b>1b</b>		<b>No</b>
	Organizations relying on a current notice regarding disaster assistance check here. . . . .			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a) Name and address</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
Regions Bank 201 MILAN PKWY BIRMINGHAM, AL 35211	TRUSTEE 1	14,885		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a) Name and address of each employee paid more than \$50,000</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
NONE				
<b>Total number of other employees paid over \$50,000.</b>				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
Total. Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,196,411
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	0
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	1,196,411
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	1,196,411
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	17,946
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	1,178,465
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	58,923

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	58,923
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	608
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	608
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	58,315
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	58,315
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	58,315

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	60,269
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	60,269
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	60,269

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				58,315
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .				0
<b>b</b> From 2014. . . . .				8,347
<b>c</b> From 2015. . . . .				4,187
<b>d</b> From 2016. . . . .				3,539
<b>e</b> From 2017. . . . .				839
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	16,912			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 60,269				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				58,315
<b>e</b> Remaining amount distributed out of corpus	1,954			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,866			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	18,866			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .				8,347
<b>b</b> Excess from 2015. . . . .				4,187
<b>c</b> Excess from 2016. . . . .				3,539
<b>d</b> Excess from 2017. . . . .				839
<b>e</b> Excess from 2018. . . . .				1,954

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

CARA GOBER REGIONS BANK  
1901 6TH AVENUE NORTH  
BIRMINGHAM, AL 35203  
(205) 264-7132

**b** The form in which applications should be submitted and information and materials they should include

APPLICATIONS IN LETTER FORM WITH LATEST COPY OF IRS DETERMINATION LETTER

**c** Any submission deadlines

NOVEMBER 1

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LIMITED TO ALABAMA CHARITABLE ORGANIZATIONS - LEGAL INSTITUTIONS OR FOR THE BLIND NO GRANTS MADE TO INDIVIDUALS

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to
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Form **990-PF** (2018)

## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | No |
| 1a(2) |     | No |
| 1b(1) |     | No |
| 1b(2) |     | No |
| 1b(3) |     | No |
| 1b(4) |     | No |
| 1b(5) |     | No |
| 1b(6) |     | No |
| 1c    |     | No |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Print/Type preparer's name  SHAWN P HANLON	Preparer's Signature	Date  2019-04-28	Check if self-employed ▶ <input checked="" type="checkbox"/>	PTIN  P00965923
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶ 13-4008324
Firm's address ▶ 600 GRANT STREET  PITTSBURGH, PA 15219				Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 5 ADOBE SYS INC COM		2016-08-18	2018-01-08
1 1 ALIGN TECHNOLOGY INC		2017-10-23	2018-01-08
3 ALIGN TECHNOLOGY INC		2015-06-08	2018-01-08
1 ALPHABET INC		2016-06-03	2018-01-08
1 ALPHABET INC/CA		2011-01-20	2018-01-08
1 AMAZON COM INC		2016-12-07	2018-01-08
1 AMERICAN CAMPUS CMNTYS INC		2015-01-21	2018-01-08
4 AMERICAN CAMPUS CMNTYS INC		2017-10-23	2018-01-08
1 AMERICAN TOWER CORP-CL A		2017-10-23	2018-01-08
4 AMPHENOL CORP NEW		2016-12-07	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
924		497	427
249		204	45
747		180	567
1,108		723	385
1,116		314	802
1,244		758	486
40		42	-2
159		174	-15
141		139	2
359		269	90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			427
			45
			567
			385
			802
			486
			-2
			-15
			2
			90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 ANHEUSER BUSCH INBEV SPN ADR		2017-06-02	2018-01-08
1 3 ANSYS INC		2017-10-23	2018-01-08
2 BJS RESTAURANTS INC		2016-06-08	2018-01-08
1 BLACKBAUD INC		2017-10-23	2018-01-08
1 BLACKBAUD INC		2014-06-26	2018-01-08
5 CVS CORP		2017-06-02	2018-01-08
12 CALLON PETE CO DEL		2016-12-07	2018-01-08
1 CAPITAL ONE FINL CORP COM		2017-06-02	2018-01-08
3 CATALENT INC		2017-10-23	2018-01-08
1 CHARTER COMMUNICATIONS INC-A		2017-08-01	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
227		236	-9
461		390	71
73		94	-21
95		93	2
95		35	60
388		394	-6
148		200	-52
101		78	23
124		129	-5
352		385	-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			71
			-21
			2
			60
			-6
			-52
			23
			-5
			-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 COSTCO WHSL CORP NEW		2016-12-07	2018-01-08
1 5 DANAHER CORP COMMON		2016-07-28	2018-01-08
5 DEXCOM INC		2016-11-30	2018-01-08
1 DORMAN PRODS INC		2017-10-23	2018-01-08
1 EAGLE MATLS INC		2017-10-23	2018-01-08
5 EASTERLY GOVERNMENT PROPERTI		2017-10-23	2018-01-08
2 ECOLAB INC COM		2017-10-23	2018-01-08
1 ECOLAB INC COM		2016-12-07	2018-01-08
3 EDWARDS LIFESCIENCES CORP		2017-02-14	2018-01-08
3 FACEBOOK INC		2016-12-07	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
188		151	37
475		406	69
270		392	-122
70		71	-1
119		108	11
104		101	3
272		265	7
136		117	19
353		269	84
565		352	213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			37
			69
			-122
			-1
			11
			3
			7
			19
			84
			213



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 FIVE BELOW		2017-10-23	2018-01-08
1 2 FLEETCOR TECHNOLOGIES INC		2016-08-18	2018-01-08
1 GARTNER INC NEW CL A		2017-10-23	2018-01-08
3 GLACIER BANCORP INC (NEW)		2017-10-23	2018-01-08
3 GRACO INC		2017-10-23	2018-01-08
2 HALLIBURTON COMPANY COM		2017-06-02	2018-01-08
1 ICU MED INC		2017-10-23	2018-01-08
2 INTUIT INC COM		2017-04-25	2018-01-08
1 INTUITIVE SURGICAL INC		2016-12-07	2018-01-08
36 ISHARES S&P 500 INDEX FUND		2017-06-02	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
202		172	30
394		335	59
130		125	5
116		110	6
141		127	14
103		91	12
220		195	25
328		238	90
386		205	181
9,919		8,840	1,079

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			30
			59
			5
			6
			14
			12
			25
			90
			181
			1,079

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
20 ISHARES CORE S&P MIDCAP ETF		2014-06-26	2018-01-08
1 45 ISHARES CORE S&P SMALL-CAP E		2014-06-26	2018-01-08
4 K2M GROUP HOLDINGS INC		2017-10-23	2018-01-08
2 KIMBERLY CLARK CORP		2017-06-02	2018-01-08
2 LAUDER ESTEE COS INC CL A		2016-09-12	2018-01-08
1 LITHIA MTRS INC		2017-10-23	2018-01-08
1 MARKETAXESS HLDGS INC		2017-10-23	2018-01-08
3 METLIFE INC COM		2017-06-02	2018-01-08
4 MICHAELS COS INC/THE		2016-06-30	2018-01-08
1 NORDSON CORP		2017-10-23	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,874		2,817	1,057
3,496		2,484	1,012
82		80	2
238		261	-23
262		177	85
121		115	6
208		191	17
156		139	17
97		112	-15
149		125	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,057
			1,012
			2
			-23
			85
			6
			17
			17
			-15
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 OGE ENERGY CORP COM		2017-10-23	2018-01-08
1 1 PPG INDS INC COM W/RTS ATTACHED EXP 04/30/2008		2017-06-02	2018-01-08
10 PAYPAL HOLDINGS INC		2016-07-11	2018-01-08
5 PHYSICIANS REALTY TRUST		2016-06-17	2018-01-08
1 POLARIS INDS INC COM		2017-10-23	2018-01-08
1 POOL CORP		2017-10-23	2018-01-08
3 PREMIER INC-CLASS A		2016-01-13	2018-01-08
1 QUAKER CHEM CORP		2017-10-23	2018-01-08
4 RPM INTERNATIONAL INC		2017-10-23	2018-01-08
1 ROPER INDS INC NEW		2016-09-07	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
65		74	-9
119		110	9
791		382	409
87		100	-13
128		106	22
131		121	10
89		95	-6
154		151	3
215		209	6
267		180	87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-9
			9
			409
			-13
			22
			10
			-6
			3
			6
			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 SBA COMMUNICATIONS CORP		2017-10-23	2018-01-08
1 1 SBA COMMUNICATIONS CORP		2016-09-09	2018-01-08
3 SS&C TECHNOLOGIES HOLDINGS		2017-10-23	2018-01-08
1 SVB FINL GROUP		2017-10-23	2018-01-08
4 SCHLUMBERGER LTD		2017-06-02	2018-01-08
4 SCHNEIDER NATIONAL INC-CL B		2017-10-23	2018-01-08
1 SEMPRA ENERGY		2017-06-02	2018-01-08
1 SIGNATURE BK NEW YORK N Y		2017-10-23	2018-01-08
4 STARBUCKS CORP COM		2017-05-25	2018-01-08
2 STATE ST CORP COM		2017-06-02	2018-01-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
326		296	30
163		111	52
126		126	
241		188	53
295		275	20
112		103	9
108		117	-9
139		133	6
236		252	-16
199		166	33

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			30
			52
			53
			20
			9
			-9
			6
			-16
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 SUN CMNTYS INC		2017-10-23	2018-01-08
1 4 SUNCOR ENERGY INC		2016-12-12	2018-01-08
6 SYNCHRONY FINANCIAL		2017-06-02	2018-01-08
2 TORO COMPANY		2017-10-23	2018-01-08
28 TRIPADVISOR INC-W/I		2016-12-07	2018-01-08
7 TRIPADVISOR INC-W/I		2017-05-25	2018-01-08
16 TWENTY-FIRST CENTURY FOX CL B		2017-06-02	2018-01-08
1 TYLER TECHNOLOGIES INC		2017-10-23	2018-01-08
3 US BANCORP DEL		2017-06-02	2018-01-08
2 UNITED TECHNOLOGIES CORP		2017-06-02	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
181		177	4
151		131	20
237		164	73
133		125	8
971		1,615	-644
243		280	-37
575		442	133
184		178	6
166		155	11
266		245	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			20
			73
			8
			-644
			-37
			133
			6
			11
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
6 VISA INC		2016-12-07	2018-01-08
1 1 WABTEC CORP		2017-10-23	2018-01-08
4 WABTEC CORP		2016-12-07	2018-01-08
2 WAGEWORKS INC		2017-10-23	2018-01-08
2 WEBSTER FINL CORP		2017-10-23	2018-01-08
1 WELLS FARGO & CO NEW COM		2017-06-02	2018-01-08
2 WESTERN ALLIANCE		2017-10-23	2018-01-08
2 ZEBRA TECHNOLOGIES CORP CL A		2017-10-23	2018-01-08
2 ALKERMES PLC		2015-06-19	2018-01-08
2 JAMES RIVER GROUP HOLDINGS LTD		2016-11-29	2018-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
717		409	308
82		76	6
329		349	-20
124		130	-6
114		109	5
62		52	10
115		110	5
222		222	
107		129	-22
73		78	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			308
			6
			-20
			-6
			5
			10
			5
			-22
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 TE CONNECTIVITY LTD		2017-10-23	2018-01-08
1 5 NXP SEMICONDUCTORS N V		2016-12-07	2018-01-08
19 857 FIDELITY INV GRADE BOND FUND 26		2014-06-26	2018-01-09
351 803 M F S BOND FUND-I		2016-03-30	2018-01-09
891 438 PRUDENTIAL HIGH YIELD FUND-Z		2016-12-07	2018-01-09
222 406 TEMPLETON GLOBAL BD FD ADVISOR CL		2009-01-09	2018-01-09
3045 76 VANGUARD TOT BD MKT IDX-ADM		2016-09-09	2018-01-09
1 ULTIMATE SOFTWARE GROUP INC		2018-01-08	2018-01-18
1 ULTIMATE SOFTWARE GROUP INC		2015-04-30	2018-01-18
10 NXP SEMICONDUCTORS N V		2016-12-07	2018-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
99		88	11
591		488	103
156		157	-1
4,939		4,851	88
4,965		4,841	124
2,687		2,476	211
32,529		33,037	-508
228		226	2
228		166	62
1,203		795	408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			11
			103
			-1
			88
			124
			211
			-508
			2
			62
			408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2603 311 TCW CORE FXD INCM-I		2016-06-03	2018-02-13
1 8767 93 VANGUARD TOT BD MKT IDX-ADM		2016-02-18	2018-02-13
22 STARBUCKS CORP COM		2016-12-07	2018-02-23
6 STARBUCKS CORP COM		2017-10-23	2018-02-23
9 WABTEC CORP		2015-12-22	2018-02-23
6 WABTEC CORP		2017-10-23	2018-02-23
21 CROWN HLDGS INC *PP*		2017-06-02	2018-02-27
2052 952 M F S BOND FUND-I		2016-03-30	2018-03-09
54 945 PRUDENTIAL HIGH YIELD FUND-Z		2016-12-07	2018-03-09
25 274 TEMPLETON GLOBAL BD FD ADVISOR CL		2009-01-09	2018-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
28,012		29,113	-1,101
92,151		94,845	-2,694
1,234		1,124	110
336		336	
747		660	87
498		456	42
1,070		1,232	-162
27,961		28,310	-349
300		298	2
300		281	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,101
			-2,694
			110
			87
			42
			-162
			-349
			2
			19



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
18 ECOLAB INC COM		2016-12-07	2018-03-26
1 1 SVB FINL GROUP		2017-10-23	2018-04-23
2 ZEBRA TECHNOLOGIES CORP CL A		2017-10-23	2018-04-23
6 HALLIBURTON COMPANY COM		2017-06-02	2018-05-03
6 MERCK & CO INC		2017-06-02	2018-05-03
3 STATE ST CORP COM		2017-06-02	2018-05-03
12 HORIZON GLOBAL CORP		2017-01-31	2018-05-30
11 HORIZON GLOBAL CORP		2018-01-08	2018-05-30
3 IDEXX LABS INC		2015-08-06	2018-05-30
1 IDEXX LABS INC		2018-01-08	2018-05-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,404		1,920	484
254		188	66
280		222	58
311		272	39
343		392	-49
288		248	40
74		234	-160
68		148	-80
634		231	403
211		166	45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			484
			66
			58
			39
			-49
			40
			-160
			-80
			403
			45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 PROGRESSIVE CORP OHIO		2017-06-02	2018-06-04
1 4 PROGRESSIVE CORP OHIO		2018-01-08	2018-06-04
366 249 AQR MANAGED FUTURES STRATEGY FUND-I		2015-08-21	2018-06-06
4679 116 SWAN DEFINED RISK-I		2016-06-03	2018-06-06
467 73 WILLIAM BLAIR MACRO ALLOC-IS		2015-08-21	2018-06-06
4 CITIGROUP INC		2017-06-02	2018-06-15
21 TIME WARNER INC		2017-06-02	2018-06-15
2 ADOBE SYS INC COM		2016-07-11	2018-06-20
797 499 ADVISERS INVT TR JOHCM INTL SL I		2017-06-06	2018-06-20
474 627 ADVISERS INVT TR JOHCM INTL SL I		2018-01-09	2018-06-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
125		86	39
250		222	28
3,179		4,043	-864
59,612		56,160	3,452
5,501		5,538	-37
266		245	21
2,111		2,086	25
506		193	313
19,140		16,747	2,393
11,391		11,140	251

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			39
			28
			-864
			3,452
			-37
			21
			25
			313
			2,393
			251

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 CHARTER COMMUNICATIONS INC-A			2017-06-02	2018-06-20
1	3 DANAHER CORP COMMON		2016-07-28	2018-06-20
	1 FLEETCOR TECHNOLOGIES INC		2017-10-23	2018-06-20
	1 FLEETCOR TECHNOLOGIES INC		2016-08-18	2018-06-20
	4 FORTIVE CORP		2018-01-08	2018-06-20
	3 ISHARES CORE S&P SMALL-CAP E		2014-06-26	2018-06-20
	6 LAUDER ESTEE COS INC CL A		2016-12-07	2018-06-20
	2 SALESFORCE COM INC		2018-01-08	2018-06-20
	2 THERMO ELECTRON CORP		2018-01-08	2018-06-20
	785 171 VANGUARD DEV MKT INDX-ADM		2018-01-09	2018-06-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
872		1,052	-180
299		243	56
220		165	55
220		168	52
315		293	22
260		166	94
919		476	443
279		218	61
418		402	16
11,047		11,644	-597

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-180
			56
			55
			52
			22
			94
			443
			61
			16
			-597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
820 725 VANGUARD DEV MKT INDX-ADM		2017-06-02	2018-06-20
1 2 VISA INC		2010-03-04	2018-06-20
1 ZOETIS INC		2017-05-25	2018-06-20
4 ZOETIS INC		2018-01-08	2018-06-20
177 AT&T INC		2017-06-02	2018-07-05
4 ANSYS INC		2017-05-25	2018-07-06
4 PAYPAL HOLDINGS INC		2016-07-11	2018-07-06
1 SALESFORCE COM INC		2016-09-07	2018-07-06
1 SALESFORCE COM INC		2018-01-08	2018-07-06
5 ANSYS INC		2014-06-26	2018-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11,548		11,121	427
272		43	229
87		62	25
349		296	53
6		6	
711		408	303
344		153	191
142		75	67
142		109	33
885		367	518

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			427
			229
			25
			53
			303
			191
			67
			33
			518

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 DEXCOM INC		2016-11-30	2018-07-11
1 1 ANTHEM INC		2018-06-20	2018-07-26
4 CITIGROUP INC		2017-06-02	2018-07-26
1 STATE ST CORP COM		2017-06-02	2018-07-26
3 STATE ST CORP COM		2018-06-20	2018-07-26
20 SYNCHRONY FINANCIAL		2017-06-02	2018-07-26
5 KIMBERLY CLARK CORP		2017-06-02	2018-08-09
1 ANSYS INC		2014-06-26	2018-08-14
3 CVS CORP		2017-06-02	2018-08-14
4 CVS CORP		2018-06-20	2018-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
308		194	114
251		245	6
286		245	41
88		83	5
264		294	-30
668		547	121
558		651	-93
173		73	100
213		236	-23
284		286	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			114
			6
			41
			5
			-30
			121
			-93
			100
			-23
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 COSTAR GROUP INC		2015-09-15	2018-08-14
1 2 SVB FINL GROUP		2015-09-15	2018-08-14
6 TUPPERWARE CORP		2014-06-26	2018-08-14
7 QEP RESOURCES INC		2018-01-08	2018-08-15
18 QEP RESOURCES INC		2015-01-29	2018-08-15
1 GARTNER INC NEW CL A		2017-04-07	2018-08-22
8 LOGMEIN INC		2018-01-08	2018-08-22
2 ADOBE SYS INC COM		2016-04-20	2018-08-28
3 FLEETCOR TECHNOLOGIES INC		2015-09-21	2018-08-28
1 HONEYWELL INTL INC		2017-06-02	2018-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
865		356	509
636		256	380
204		500	-296
65		74	-9
168		391	-223
145		110	35
642		955	-313
525		192	333
652		472	180
162		134	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			509
			380
			-296
			-9
			-223
			35
			-313
			333
			180
			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
18 NATIONAL-OILWELL INC		2017-06-02	2018-09-07
1 2 SVB FINL GROUP		2014-06-26	2018-09-17
3 SALESFORCE COM INC		2016-09-07	2018-09-17
5 AMPHENOL CORP NEW		2016-12-07	2018-09-19
1 INTUITIVE SURGICAL INC		2016-12-07	2018-09-19
1 SVB FINL GROUP		2014-06-26	2018-09-25
2 COSTAR GROUP INC		2017-10-23	2018-09-28
17 K2M GROUP HOLDINGS INC		2017-10-23	2018-09-28
9 GARRETT MOTION INC		2017-06-02	2018-10-11
1 GARRETT MOTION INC		2018-09-07	2018-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
792		589	203
635		229	406
469		226	243
476		298	178
555		205	350
322		115	207
842		567	275
463		338	125
14		13	1
2		2	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			203
			406
			243
			178
			350
			207
			275
			125
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 GARRETT MOTION INC		2017-06-02	2018-10-12
1 2 GARRETT MOTION INC		2018-06-20	2018-10-12
12 A O SMITH CORP COM		2016-12-27	2018-10-15
4 ALKERMES PLC		2015-06-19	2018-10-18
7 ALKERMES PLC		2017-10-23	2018-10-18
15 A O SMITH CORP COM		2017-01-10	2018-10-19
1 5 RESIDEO TECHNOLOGIES INC		2017-06-02	2018-11-02
5 RESIDEO TECHNOLOGIES INC		2018-09-07	2018-11-02
5 RESIDEO TECHNOLOGIES INC		2017-06-02	2018-11-13
1 DEXCOM INC		2016-11-03	2018-11-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5		4	1
3		3	
573		587	-14
164		259	-95
287		350	-63
687		721	-34
33		36	-3
11		14	-3
11		12	-1
133		63	70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1
			-14
			-95
			-63
			-34
			-3
			-3
			-1
			70



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 FACEBOOK INC		2015-04-22	2018-11-19
1 2 FACEBOOK INC		2018-08-28	2018-11-19
12 BANKUNITED INC		2015-12-21	2018-11-21
5 BANKUNITED INC		2018-01-08	2018-11-21
5 EXPONENT INC		2017-10-23	2018-11-21
58 FREEPORT-MCMORAN COPPER & GOLD INC CL B		2017-06-02	2018-11-21
14 FACEBOOK INC		2015-03-25	2018-12-20
1 ADOBE SYS INC COM		2016-05-05	2018-12-27
3 ALIBABA GROUP HOLDING-SP ADR		2018-06-20	2018-12-27
2 MCCORMICK & CO INC NON-VOTING		2017-08-09	2018-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
135		84	51
270		354	-84
394		434	-40
164		203	-39
253		182	71
644		659	-15
1,863		1,166	697
220		93	127
405		604	-199
275		194	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			51
			-84
			-40
			-39
			71
			-15
			697
			127
			-199
			81

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
			865

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**


Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col (i) <b>(k)</b> over col (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BIRMINGHAM YMCA2101 4TH AVE N BIRMINGHAM, AL 35203	NONE	PC	GENERAL OPERATING	3,000
CUMBERLAND SCHOOL OF LAW 800 LAKESHORE DRIVE BIRMINGHAM, AL 35229	NONE	PC	GENERAL OPERATING	8,100
GLENWOOD MENTAL HEALTH 150 GLENWOOD AVE BIRMINGHAM, AL 35242	NONE	PC	GENERAL OPERATING	3,038
<b>Total . . . . .</b> ▶ <b>3a</b>				54,004

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GREATER BIRMINGHAM CHAPTER PO BOX 12832 BIRMINGHAM, AL 35282	NONE	PC	GENERAL OPERATING	2,400
HAND IN PAW617 38TH STREET SOUTH BIRMINGHAM, AL 35222	NONE	PC	GENERAL OPERATING	3,038
KING'S HOMEPO BOX 162 CHELSEA, AL 35043	NONE	PC	GENERAL OPERATING	3,038
<b>Total . . . . . ▶ 3a</b>				54,004

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LAKESHORE FOUNDATION 4000 RIDGEWAY DR BIRMINGHAM, AL 35209	NONE	PC	GENERAL OPERATING	3,038
RESTORATION ACADEMYP O BOX 30 FAIRFIELD, AL 35064	NONE	PC	GENERAL OPERATING	3,038
SIGHT SAVERS AMERICA 337 BUSINESS CIRCLE PELHAM, AL 35124	NONE	PC	GENERAL OPERATING	3,038
<b>Total . . . . . ▶ 3a</b>				54,004

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNIV OF ALABAMA LAW SCHOOL FOUNDATION P OBOX 870382 TUSCALOOSA, AL 35487	NONE	PC	GENERAL OPERATING	8,100
UAB CENTER FOR LOW VISION REHAB 1720 2ND AVENUE S BIRMINGHAM, AL 35294	NONE	PC	GENERAL OPERATING	3,038
WORKSHOPS INC 4244 3RD AVENUE SOUTH BIRMINGHAM, AL 35222	NONE	PC	GENERAL OPERATING	3,038
<b>Total . . . . . ▶ 3a</b>				54,004

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
YWCA - CENTRAL ALABAMA 309 23RD STREET NORTH BIRMINGHAM, AL 35203	NONE	PC	GENERAL OPERATING	8,100
<b>Total</b> . . . . .  <b>3a</b>				54,004

**TY 2018 Accounting Fees Schedule****Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE (NON-ALLOC	1,800			1,800



**TY 2018 Investments Corporate Bonds Schedule****Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
TEMPLETON GLOBAL BOND FUND ADV		
FIDELITY INVST GRADE BD		
TCW CORE FIXED INCOME FUND		
VANGUARD TTL BDINDX ADM FD#584		

TY 2018 Investments Corporate Stock Schedule

**Name:** HENRY G SIMS & HENRY U SIMS MEM FD  
**EIN:** 63-6114412

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VISA INC CL A SHRS		
ISHARES MSCI EAFE ETF		
DANAHER CORP DEL		
STARBUCKS CORP		
AKAMAI TECHNOLOGIES INC		
AMERICAN CAMPUS COMMUNITIES		
AMPHENOL CORP CL A		
ANSYS INC		
APTARGROUP INC		
ARTISAN PARTNERS ASSET MGMT		
BLACKBAUD INC		
COGNEX CORP		
COGNIZANT TECHNOLOGY SOLUTIONS		
COMPASS MINERALS INTERNATION		
COSTCO WHOLESALE CORP		
COSTAR GROUP INC		
DORMAN PRODUCTS, INC.		
DRIL-QUIP INC		
ECOLAB INC		
EXPONET INC		
FIRST REPUBLIC BANK SAN FRAN		
GARTNER INC		
GLACIER BANCORP, INC.		
GREENHILL & CO INC		
HEARTLAND EXPRESS INC		
ICU MEDICAL INC		
IBERIABANK CORP		
INTUITIVE SURGICAL INC		
LAUDER ESTEE COS INC CL A		
LINCOLN ELEC HLDGS INC		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARKETAXESS HOLDINGS INC		
MEAD JOHNSON NUTRITION COMPANY		
MICROCHIP TECHNOLOGY INC		
MID-AMER APT CMNTYS INC REIT		
MIDDLEBY CORP		
NORDSON CORP		
POWER INTEGRATIONS INC		
PRICESMART INC		
PROASSURANCE CORP		
QEP RESOURCES INC		
RPM INTERNATIONAL INC RPM INC		
RBC BEARINGS INC.		
SS&C TECHNOLOGIES HOLDINGS		
SVB FINANCIAL GROUP		
SALESFORCE.COM INC		
SIGNATURE BANK		
STERIS PLC ISIN: GB00BVVBC028		
SUPERIOR ENERGY SVCS INC		
TEXAS CAPITAL BANCSHARES INC		
TORO CO		
TRIPADVISOR INC. - W/I		
TUPPERWARE BRANDS CORP		
TYLER TECHNOLOGIES INC		
URBAN OUTFITTERS INC		
WABTEC		
WEST PHARMACEUTICAL SERVICES		
ZEBRA TECHNOLOGIES CORP CL A		
BP PLC SPON ADR		
BAIDU, INC. ADR		
BAYER A G SPON ADRS		

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
BRITISH AMERN TOB PLC SPON ADR		
CANADIAN NATIONAL RAILWAY		
EXPERIAN GROUP LTD - SPON ADR		
GRUPO FINANCIERO BANORTE -SPON		
HENKEL KGAA - SPONS ADR PFD		
INFOSYS LIMITED SPON ADR 1/4S		
ING GROEP N V SPONSORED ADR ON		
JAPAN TOBACCO INC UNSPON ADR		
KOC HOLDING AS UNSPON ADR		
KOREA ELECTRIC POWER CORPSPON		
L.G. DISPLAY COMPANY LTD ADR		
LVMH MOET HENNESSY LOUS VUITTO		
LINDE AG - SPON ADR		
MICHELIN (CDGE) - UNSPON ADR		
NETEASE, INC. ADR		
NISSAN MOTOR CO LTD SPONSORED		
NOMURA HLDGS INC ADR ONE ADRR		
PT ASTRA INTERNATIONAL TBK -UN		
PANDORA A/S - ADR		
RITCHIE BROS AUCTIONEERS INC		
ROCHE HOLDINGS LTD SPONSORED A		
ROYAL BANK OF CANADA		
SMITH & NEPHEW P L C		
SODEXHO - SPONSORED ADR		
SOFTBANK GROUP CORPORATION -U		
TAIWAN SEMICONDUCTOR MFG LTDS		
TATA MOTORS LTD SPONSPORED ADR		
TECHTRONIC INDUSTRIES		
TENCENT HOLDINGS LTD UNSPON		
GENPACT LIMITED		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BANCO LATINO AMERICANO DE COM		
ISHARES CORE S & P 500 ETF		
ISHARES CORE S&P MID-CAP ETF		
ISHARES RUSSELL 1000 VALUE ETF		
ISHARES CORE S&P SMALL-CAP ETF		
ACADIA HEALTHCARE CO INC		
ADOBE SYSTEMS INC		
AIA GROUP LTD. SP ADR		
AIRBUS SE -UNSP ADR		
ALEXION PHARMACEUTICALS INC		
ALIBABA GROUP HOLDING LTDSPON		
ALIGN TECHNOLOGY INC		
ALKERMES PLC		
ALPHABET INC CA-CL A		
ALPHABET INC CA-CL C		
AMAZON.COM INC		
AQR MANAGED FUTURES STRATEGYF		
BANCO BILBAO VIZCAYA ARGENTAS		
BANKUNITED INC		
BARON EMERGING MARKETS INST		
BHP BILLITON LTD SPONSORED ADR		
BJ'S RESTAURANTS INC		
BOOZ ALLEN HAMILTON HOLDING CO		
BURLINGTON STORES INC		
CALAMOS MARKET NEU INC FD-I		
CALATLANTIC GROUP INC		
CALLON PETROLEUM CO		
CATALENT INC		
CAVCO INDUSTRIES INC		
CEB INC		

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CHINA BIOLOGIC PRODUCTS INC		
CONTINENTAL AG SPONSORED ADR		
CREDICORP LTD TIRES & RUBBERP		
CRH PLC SPONSORED ADR ONE ADR		
CSL LIMITED -SPONSORED ADR		
DANSKE BANK A/S - SPON ADR		
DAVITA INC		
DEXCOM INC		
DR REDDYS LABS LTD		
DRIEHAUS ACTIVE INCOME FUND		
EAGLE MATERIALS INC		
EAST JAPAN RAILWAY CO - ADR		
EASTLERY GOVERNMENT PROPERITES		
ELBIT SYSTEMS LTD		
FACEBOOK INC - A		
FANUC CORPORATION		
FIVE BELOW, INC.		
FLEETCOR TECHNOLOGIES INC		
FORTIVE CORPORATION		
GRACO INC		
HANG LUNG PROPERTIES - SP ADR		
HOLOGIC INC		
HONDA MOTOR CO LTD SPONS ADRA		
HOYA CORP		
ICICI BANK LIMITED		
IDEXX LABS CORP		
ITAU UNIBANCO HOLDING, S.A - A		
JAMES RIVER GROUP HOLDINGS LTD		
KONINLIJKE DSM NV SPONSORED ON		
LITHIA MOTORS INC CL A		

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
LUKOIL PJSC-SPON ADR		
MAINGATE MLP FUND		
MFS CORPORATE BOND I		
MUENCHENER RUECK - UNSPON ADR		
NORDEA BANK SPONSORED ADR		
NXP SEMICONDUCTORS NV		
OGE ENERGY CORP		
PAYPAL HOLDINGS INC W/I		
PING AN INSURANCE ADR		
PORTLAND GEN ELEC CO		
POTASH CORP OF SASKATCHEWAN		
PREMIER INC CLASS A		
PRUDENTIAL HIGH YIELD FUND CL		
PYSICIANS REALTY TRUST		
QUAKER CHEMICAL CORP		
RAKUTEN INC ADR		
RELX NV - SPON ADR		
ROPER TECHNOLOGIES INC		
ROYAL DUTCH SHELL PLC-ADR		
RYOHIN KEIKAKU CO LTD UNSP ADR		
SAFRAN SA -UNSPN ADR		
SAMPO OYJ - A SHS-UNSP ADR		
SBA COMMUNICATIONS CORP		
SHIRE PLC SPONSORED ADR ONE AD		
SMITH (A.O.) CORP		
SUN COMMUNITIES INC		
SUNCOR ENERGY INC		
SWAN DEFINED RISK FUND		
TDK CORP ONE ADR REPRESENTS 1		
TELEKOMUNIKASI INDONESIA PTSP		

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THE MICHAEL COS INC		
TOKIO MARINE HOLDINGS - ADR		
TOTAL S A SPONSORED ADR ONE A		
TREEHOUSE FOODS INC		
ULTIMATE SOFTWARE GROUP INC		
UNDER ARMOUR INC CLASS A		
UNDER ARMOUR INC CLASS C		
UNILEVER N V N Y SHS NEW ONE N		
VERIFONE SYSTEMS, INC.		
VWR CORP		
WAGeworks INC.		
WEBSTER FINANCIAL CORP		
WILLIAM BLAIR MACRO ALLOCATION		



**TY 2018 Investments - Other Schedule**

**Name:** HENRY G SIMS & HENRY U SIMS MEM FD  
**EIN:** 63-6114412

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AQR MANAGED FUTURES STRATEGY F			
ADVISERS INVT TR JOHCM INTL SL			
AIR PRODUCTS & CHEMICALS INC			
ALTRIA GROUP INC			
AMERICAN EXPRESS CO			
AMERICAN TOWER CORP COM			
AMERISOURCEBERGEN CORP			
AMGEN INC			
ANHEUSER-BUSCH INBEV NV			
ANTHEM INC			
BWX TECHNOLOGIES INC			
BANK OF AMERICA CORP			
BANK OF NEW YORK MELLON CORP/T			
CVS HEALTH CORP			
CAPITAL ONE FINANCIAL CORP			
CARTER'S INC			
CCH I LLC - CLASS A			
CHEVRON CORP			
CITIGROUP INC			
CORESITE REALTY CORP			
CROWN HOLDINGS INC			
DISH NETWORK CORP			
EDWARDS LIFESCIENCES CORP			
EXXON MOBIL CORP			
FREEPORT-MCMORAN COPPER & GOLD			
GARDNER DENVER HOLDINGS INC			
HALLIBURTON CO			
HOME DEPOT INC/THE			
HONEYWELL INTERNATIONAL INC			
HORIZON GLOBAL CORP			

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ILLINOIS TOOL WORKS INC			
IMPAX LABORATORIES INC			
INTUIT INC			
JPMORGAN CHASE & CO			
JOHNSON & JOHNSON			
K2M GROUP HOLDINGS INC			
KIMBERLY-CLARK CORP			
LOGMEIN INC			
MARSH & MCLENNAN COS INC			
MARTIN MARIETTA MATERIALS INC			
MATADOR RESOURCES CO			
MCCORMICK & CO INC/MD			
MERCK & CO INC			
METLIFE INC			
MICHAELS COS INC/THE			
MICROSOFT CORP			
MOTOROLA SOLUTIONS INC			
NATIONAL OILWELL VARCO INC			
NOVARTIS AG ADR-EACH REPR 1 CH			
ORACLE CORP			
PPG INDUSTRIES INC			
PHILIP MORRIS INTERNATIONAL IN			
PINNACLE FINANCIAL PARTNERS IN			
POLARIS INDUSTRIES INC			
POOL CORP			
PORTLAND GENERAL ELECTRIC CO			
POWER INTEGRATIONS INC			
PREMIER INC			
PRICESMART INC			
PROASSURANCE CORP			

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PROGRESSIVE CORP/THE			
PRUDENTIAL HIGH YIELD FUND			
RPM INTERNATIONAL INC			
SBA COMMUNICATIONS CORP NEW			
SCHLUMBERGER LTD			
SCHNEIDER NATIONAL INC			
SEMPRA ENERGY			
SILICON LABORATORIES INC			
STATE STREET CORP			
SUMMIT HOTEL PROPERTIES INC			
SYNCHRONY FINANCIAL			
TJX COS INC			
THERMO FISHER SCIENTIFIC INC			
TIME WARNER INC			
TRAVELERS COS INC/THE			
TWENTY-FIRST CENTURY FOX INC			
US BANCORP			
UNITED TECHNOLOGIES CORP			
UNITEDHEALTH GROUP INC			
VANGUARD DEVELOPED MARKETS IND			
WELLS FARGO & CO			
WESTERN ALLIANCE BANCORP			
XEROX CORP COM USD1(POV REV SP			
ZOETIS INC			
TE CONNECTIVITY LTD			
NXP SEMICONDUCTORS NV			
262037104 DRIL-QUIP INC	AT COST	528	150
406216101 HALLIBURTON COMPANY	AT COST	1,716	1,037
452308109 ILLINOIS TOOL WORKS	AT COST	1,715	1,520
252131107 DEXCOM INC	AT COST	919	2,156

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
258620509 DOUBLELINE EMG MKTS	AT COST	14,403	13,687
824348106 SHERWIN-WILLIAMS COM	AT COST	2,426	2,361
868157108 SUPERIOR ENERGY SVCS	AT COST	900	178
00770G847 JOHCM INTERNATIONAL	AT COST	61,988	60,342
25470M109 DISH NETWORK CORP	AT COST	2,273	899
258278100 DORMAN PRODS INC	AT COST	713	1,080
258620103 DOUBLELINE TOTAL RET	AT COST	28,079	27,918
285512109 ELECTRONIC ARTS	AT COST	2,930	1,973
576485205 MATADOR RESOURCES CO	AT COST	722	419
911312106 UNITED PARCEL SVC IN	AT COST	1,458	1,268
91324P102 UNITED HEALTH GROUP	AT COST	969	1,246
921943809 VANGUARD TAX-MANAGED	AT COST	68,150	60,459
930427109 WAGeworks INC	AT COST	650	380
947890109 WEBSTER FINL CORP	AT COST	546	641
989207105 ZEBRA TECHNOLOGIES C	AT COST	810	1,433
G5005R107 JAMES RIVER GROUP HO	AT COST	710	621
596278101 MIDDLEBY CORP	AT COST	323	411
71943U104 PHYSICIANS REALTY TR	AT COST	795	689
74440Y801 PRUDENTIAL HIGH YIEL	AT COST	26,072	26,351
866674104 SUN CMNTYS INC	AT COST	628	915
009158106 AIR PRODUCTS AND CHE	AT COST	737	800
031162100 AMGEN INC	AT COST	1,112	1,363
057071854 BAIRD AGGREGATE BOND	AT COST	99,498	98,504
09180C106 BJS RESTAURANTS INC	AT COST	489	657
149568107 CAVCO INDS INC DEL	AT COST	639	652
099502106 BOOZ ALLEN HAMILTON	AT COST	835	1,082
122017106 BURLINGTON STORES IN	AT COST	580	1,464
28176E108 EDWARDS LIFESCIENCES	AT COST	1,204	1,838
33829M101 FIVE BELOW	AT COST	481	1,228
366651107 GARTNER INC NEW CL A	AT COST	920	1,278

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
44930G107 ICU MED INC	AT COST	679	1,148
59156R108 METLIFE INC	AT COST	647	575
461202103 INTUIT INC COM	AT COST	2,168	3,150
518439104 ESTEE LAUDER COMPANI	AT COST	1,168	1,952
536797103 LITHIA MTRS INC	AT COST	613	458
731068102 POLARIS INDS INC COM	AT COST	964	690
736508847 PORTLAND GEN ELEC CO	AT COST	668	779
743315103 PROGRESSIVE CORP OHI	AT COST	602	845
749685103 RPM INTERNATIONAL IN	AT COST	1,177	1,470
882681109 TEXAS ROADHOUSE INC	AT COST	902	836
01609W102 ALIBABA GROUP HOLDIN	AT COST	1,476	1,371
03662Q105 ANSYS INC	AT COST	294	572
090572207 BIO RAD LABS INC CL	AT COST	626	464
03524A108 ANHEUSER BUSCH INBEV	AT COST	1,108	658
04316A108 ARTISAN PARTNERS ASS	AT COST	572	243
14040H105 CAPITAL ONE FINL COR	AT COST	782	756
166764100 CHEVRONTEXACO CORP	AT COST	1,382	1,414
172908105 CINTAS CORP	AT COST	1,939	1,848
89417E109 TRAVELERS COS INC	AT COST	1,003	958
902252105 TYLER TECHNOLOGIES I	AT COST	799	1,115
92826C839 VISA INC	AT COST	542	3,299
172967424 CITIGROUP INC	AT COST	919	781
30214U102 EXPONENT INC	AT COST	656	1,268
36555P107 GARDNER DENVER HOLDI	AT COST	822	654
384109104 GRACO INC	AT COST	498	628
46637K265 JPMORGAN HEDGED EQUI	AT COST	46,323	45,067
478160104 JOHNSON & JOHNSON CO	AT COST	1,294	1,291
573284106 MARTIN MARIETTA MATL	AT COST	1,345	1,031
59408Q106 MICHAELS COS INC/THE	AT COST	572	339
955306105 WEST PHARMACEUTICAL	AT COST	841	1,274

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
969251750 WILLIAM BLAIR MACRO	AT COST	23,208	22,343
984121608 XEROX CORP	AT COST	668	395
701877102 PARSLEY ENERGY INC-C	AT COST	817	463
739276103 POWER INTEGRATIONS I	AT COST	522	488
776696106 ROPER INDS INC NEW	AT COST	1,778	2,665
80689H102 SCHNEIDER NATIONAL I	AT COST	619	485
857477103 STATE ST CORP COM	AT COST	910	694
867224107 SUNCOR ENERGY INC	AT COST	1,326	1,175
023135106 AMAZON COM INC	AT COST	585	3,004
06828M876 BARON EMERGING MARKE	AT COST	93,326	93,176
235851102 DANAHER CORP COMMON	AT COST	1,312	2,372
891092108 TORO COMPANY	AT COST	1,050	1,229
02079K107 ALPHABET INC	AT COST	626	2,071
025816109 AMERICAN EXPRESS COM	AT COST	1,335	1,620
05605H100 BWX TECHNOLOGIES INC	AT COST	1,619	1,147
08579W103 BERRY PLASTICS GROUP	AT COST	872	808
244199105 DEERE & COMPANY	AT COST	1,007	1,044
26969P108 EAGLE MATLS INC	AT COST	624	366
29414B104 EPAM SYSTEMS INC	AT COST	522	464
316146109 FIDELITY INV GRADE B	AT COST	100,081	98,379
437076102 HOME DEPOT INC USD 0	AT COST	1,331	1,375
438516106 HONEYWELL INTL INC	AT COST	1,984	1,982
46120E602 INTUITIVE SURGICAL I	AT COST	807	2,874
464287200 ISHARES S&P 500 INDE	AT COST	122,675	143,921
464287507 ISHARES TR S&P MIDCA	AT COST	25,811	29,559
533900106 LINCOLN ELEC HLDGS I	AT COST	712	710
571748102 MARSH & MCLENNAN COS	AT COST	1,420	1,436
58933Y105 MERCK & CO INC	AT COST	1,615	1,910
90130A200 TWENTY-FIRST CENTURY	AT COST	1,078	1,863
913017109 UNITED TECHNOLOGIES	AT COST	1,603	1,384

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
G3922B107 GENPACT LTD USD 0.00	AT COST	1,142	1,700
G84720104 STERIS PLC	AT COST	830	1,282
N6596X109 NXP SEMICONDUCTORS N	AT COST	1,432	1,172
59522J103 MID-AMER APT CMNTYS	AT COST	505	670
620076307 MOTOROLA INC.	AT COST	2,025	2,531
693506107 PPG INDUSTRIES INC	AT COST	1,085	1,022
717081103 PFIZER INC COM	AT COST	816	873
718172109 PHILIP MORRIS INTERN	AT COST	1,570	868
74051N102 PREMIER INC-CLASS A	AT COST	903	971
753422104 RAPID7 INC	AT COST	389	561
767744105 RITCHIE BROS AUCTION	AT COST	622	785
780259206 ROYAL DUTCH SHELL PL	AT COST	771	932
816851109 SEMPRA ENERGY	AT COST	1,174	1,082
883556102 THERMO ELECTRON CORP	AT COST	1,693	2,462
00724F101 ADOBE SYS INC COM	AT COST	838	2,036
03168L105 AMNEAL PHARMACEUTICA	AT COST	380	298
060505104 BANK AMER CORP	AT COST	1,707	1,700
146229109 CARTER INC	AT COST	853	653
148806102 CATALENT INC	AT COST	711	686
16119P108 CHARTER COMMUNICATIO	AT COST	2,125	1,710
192422103 COGNEX CORP	AT COST	775	967
22160K105 COSTCO WHSL CORP NEW	AT COST	1,343	2,241
036752103 ANTHEM INC	AT COST	1,746	2,364
038336103 APTARGROUP INC	AT COST	460	564
052769106 AUTODESK INC COM	AT COST	1,956	1,801
064058100 THE BANK OF NEW YORK	AT COST	977	941
09857L108 BOOKING HOLDINGS INC	AT COST	1,863	1,722
126650100 CVS CORP	AT COST	1,339	1,114
128119880 CALAMOS MARKET NEU I	AT COST	44,021	43,908
13123X102 CALLON PETE CO DEL	AT COST	645	383

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
339041105 FLEETCOR TECHNOLOGIE	AT COST	947	1,114
37637Q105 GLACIER BANCORP INC	AT COST	524	634
428291108 HEXCEL CORP NEW COM	AT COST	763	631
46625H100 J P MORGAN CHASE & C	AT COST	3,047	3,417
929740108 WABTEC CORP	AT COST	691	773
64125C109 NEUROCRINE BIOSCIENC	AT COST	497	357
74267C106 PROASSURANCE CORP	AT COST	666	568
747316107 QUAKER CHEM CORP	AT COST	782	1,066
79466L302 SALESFORCE COM INC	AT COST	1,144	2,739
806857108 SCHLUMBERGER LIMITED	AT COST	961	505
82669G104 SIGNATURE BK NEW YOR	AT COST	904	720
826919102 SILICON LABORATORIES	AT COST	748	709
88224Q107 TEXAS CAP BANCSHARES	AT COST	764	664
00203H859 AQR MANAGED FUTURES	AT COST	28,950	22,353
00206R102 AT&T INC	AT COST	1,265	1,113
02209S103 ALTRIA GROUP INC	AT COST	1,463	1,037
024835100 AMERICAN CAMPUS CMNT	AT COST	946	993
032095101 AMPHENOL CORP NEW	AT COST	1,193	2,026
09227Q100 BLACKBAUD INC	AT COST	282	503
20030N101 COMCAST CORP NEW CL	AT COST	1,706	1,805
21870Q105 CORESITE REALTY CORP	AT COST	681	523
262028855 DRIEHAUS ACTIVE INCO	AT COST	47,505	43,643
27616P103 EASTERLY GOVERNMENT	AT COST	624	502
34959J108 FORTIVE CORP.	AT COST	1,668	2,233
579780206 MC CORMICK & CO INC	AT COST	486	696
949746101 WELLS FARGO & CO NEW	AT COST	2,150	1,889
957638109 WESTERN ALLIANCE	AT COST	1,240	908
98978V103 ZOETIS INC	AT COST	2,280	3,507
H84989104 TE CONNECTIVITY LTD	AT COST	1,679	1,513
655663102 NORDSON CORP	AT COST	612	716



**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
70450Y103 PAYPAL HOLDINGS INC	AT COST	1,297	2,859
72346Q104 PINNACLE FINL PARTNE	AT COST	1,024	784
75524B104 RBC BEARINGS INC	AT COST	812	1,311
808513105 SCHWAB CHARLES CORP	AT COST	876	914
880208400 TEMPLETON GLOBAL BD	AT COST	12,828	13,203
00404A109 ACADIA HEALTHCARE CO	AT COST	721	386
03027X100 AMERICAN TOWER CORP-	AT COST	1,486	1,740
03073E105 AMERISOURCEBERGEN CO	AT COST	839	670
G50871105 JAZZ PHARMACEUTICALS	AT COST	473	372
594918104 MICROSOFT CORP COM	AT COST	3,390	3,860
670837103 OGE ENERGY CO	AT COST	622	705
68389X105 ORACLE CORPORATION	AT COST	1,583	1,535
73278L105 POOL CORP	AT COST	456	595
78410G104 SBA COMMUNICATIONS C	AT COST	1,415	2,428
78467J100 SS&C TECHNOLOGIES HO	AT COST	559	992
872540109 TJX COS INC NEW	AT COST	1,694	1,969
66987V109 NOVARTIS AG SPNSRD A	AT COST	987	1,030
741511109 PRICESMART INC	AT COST	1,055	709
866082100 SUMMIT HOTEL PROPERT	AT COST	831	506
30231G102 EXXON MOBIL CORP	AT COST	1,192	1,023
464287804 ISHARES TR S & P SMA	AT COST	21,998	28,213
57060D108 MARKETAXESS HLDGS IN	AT COST	1,010	1,690
902973304 US BANCORP DEL	AT COST	2,063	1,828
90385D107 ULTIMATE SOFTWARE GR	AT COST	596	735

**TY 2018 Other Decreases Schedule**

**Name:** HENRY G SIMS & HENRY U SIMS MEM FD

**EIN:** 63-6114412

Description	Amount
COST BASIS ADJUSTMENT	78

**TY 2018 Other Expenses Schedule****Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSE (NON-DEDUCTIBLE	1,423	1,423		0
INVESTMENT EXPENSES-DIVIDEND I	2	2		0

**TY 2018 Other Income Schedule****Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	314	0	

**TY 2018 Other Increases Schedule**

**Name:** HENRY G SIMS & HENRY U SIMS MEM FD  
**EIN:** 63-6114412

Description	Amount
MF TIMING DIFFERENCE	68

**TY 2018 Taxes Schedule****Name:** HENRY G SIMS & HENRY U SIMS MEM FD**EIN:** 63-6114412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	483	0		0
FEDERAL TAX PAYMENT - PRIOR YE	2,200	0		0
FOREIGN TAXES ON NONQUALIFIED	63	0		0