Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

A Employer identification number
63-6050756

Number and street (or P O box number if mail is not delivered to street address) Room/suite
PO BOX 2450

B Telephone number (see instructions)
(334) 230-6100

City or town, state or province, country, and ZIP or foreign postal code
MONTGOMERY, AL 36102

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 5,385,349

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	53,858	53,858		
	4	Dividends and interest from securities	114,497	114,497		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,861			
	b	Gross sales price for all assets on line 6a 963,092				
	7	Capital gain net income (from Part IV, line 2)		1,861		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	2,146			
	12	**Total.** Add lines 1 through 11	172,362	170,216		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	37,495	13,123		24,372
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,775			1,775
	c	Other professional fees (attach schedule)				
	17	Interest	1,572	1,572		
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	40,842	14,695		26,147
	25	Contributions, gifts, grants paid	236,845			236,845
	26	**Total expenses and disbursements.** Add lines 24 and 25	277,687	14,695		262,992
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-105,325			
	b	**Net investment income** (if negative, enter -0-)		155,521		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing	217,206	294,517	294,517
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,616,334	1,517,820	3,298,944
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,808,383	1,793,917	1,786,416
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	232	5,472	5,472
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,642,155	3,611,726	5,385,349
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)		142	
	23	**Total liabilities**(add lines 17 through 22)		142	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,642,155	3,609,691	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds		1,893	
	30	**Total net assets or fund balances** (see instructions)	3,642,155	3,611,584	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,642,155	3,611,726	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,642,155
2	Enter amount from Part I, line 27a	2	-105,325
3	Other increases not included in line 2 (itemize) ▶	3	74,754
4	Add lines 1, 2, and 3	4	3,611,584
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,611,584

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	LONG TERM GAINS (LOSSES)	P		
b	SEE SALES DETIAL ATTACHMENT	P		
c	SHORT TERM GAINS (LOSSES)	P		
d	SEE SALES DETAIL ATTACHMENT	P		
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	792,548		787,437	5,111
b				
c	170,544		173,794	-3,250
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				5,111
b				
c				-3,250
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	1,861
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	318,702	5,764,036	0 055291
2016	233,381	5,580,506	0 041821
2015	282,606	5,612,173	0 050356
2014	322,784	5,845,657	0 055218
2013	276,681	5,802,579	0 047682

2	**Total** of line 1, column (d)	2	0 250368
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 050074
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	5,660,195
5	Multiply line 4 by line 3	5	283,429
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,555
7	Add lines 5 and 6	7	284,984
8	Enter qualifying distributions from Part XII, line 4	8	262,992

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,110
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	3,110
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	3,110
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	1,680		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,680
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,430
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶AL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶REGIONS BANK TRUST DEPARTMENT Telephone no ▶(334) 230-6100

Located at ▶201 MONROE ST 4TH FLOOR MONTGOMERY AL ZIP+4 ▶36104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
REGIONS BANK TRUST DEPARTMENT 201 MONROE STREET 4TH FLOOR MONTGOMERY, AL 36104	TRUSTEE 5 00	37,495	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,456,135
b	Average of monthly cash balances.	1b	290,256
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	5,746,391
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,746,391
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	86,196
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,660,195
6	**Minimum investment return.** Enter 5% of line 5.	6	283,010

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	283,010
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	3,110		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	3,110
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	279,900
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	279,900
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	279,900

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	262,992
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	262,992
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	262,992

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				279,900
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			233,292	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 262,992				
a Applied to 2017, but not more than line 2a			233,292	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				29,700
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				250,200
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	53,858	
4 Dividends and interest from securities. . . .			14	114,497	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,861	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FEDERAL REFUND			1	2,146	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				172,362	

13 Total. Add line 12, columns (b), (d), and (e). **13** 172,362

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2019-05-02 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
RHONDA L SIBLEY CPA		2019-05-09		P00144818
Firm's name ▶ ALDRIDGE BORDEN & COMPANY PC				Firm's EIN ▶63-0781330
Firm's address ▶ 74 COMMERCE STREET MONTGOMERY, AL 36104				Phone no (334) 834-6640

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN HEART ASSOCIATIO 1449 MEDICAL PARK DR BIRMINGHAM, AL 35213	NONE	PUBLIC CHARI	GENERAL FUND	500
AMERICAN PRINTING HOUSE P O BOX 6389 LOUISVILLE, KY 40206	NONE	PUBLIC CHARI	GENERAL FUND	500
AMERICAN RED CROSS 5015 WOODS CROSSING MONTGOMERY, AL 36106	NONE	PUBLIC CHARI	GENERAL FUND	10,000
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS GIRLS CLUB OF MONT P O BOX 234 MONTGOMERY, AL 36101	NONE	PUBLIC CHARI	GENERAL FUND	3,000
BRANTWOOD CHILDREN'S HOME 1309 UPPER WETUMPKA RD MONTGOMERY, AL 36107	NONE	PUBLIC CHARI	GENERAL FUND	3,000
CAMP ASCCAP O BOX 21 JACKSON GAP, AL 36861	NONE	PUBLIC CHARI	GENERAL FUND	500
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S CENTER OF MONT 301 N MADISON TERRACE MONTGOMERY, AL 36107	NONE	PUBLIC CHARI	GENERAL FUND	500
EYE-BANK FOR SIGHT RESTOR 120 WALL STREET NEW YORK, NY 10289	NONE	PUBLIC CHARI	GENERAL FUND	1,000
FAMILY GUIDANCE CENTER 2358 FAIRLANE DRIVE MONTGOMERY, AL 36116	NONE	PUBLIC CHARI	GENERAL FUND	5,000
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY SUNSHINE CENTER PO BOX 5160 MONTGOMERY, AL 36103	NONE	PUBLIC CHARI	GENERAL FUND	65,436
FAMILY SUNSHINE CENTER PO BOX 5160 MONTGOMERY, AL 36103	NONE	PUBLIC CHARI	GENERAL FUND	20,102
FAMILY SUNSHINE CENTER PO BOX 5160 MONTGOMERY, AL 36103	NONE	PUBLIC CHARI	GENERAL FUND	20,076
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY SUNSHINE CENTER P O BOX 5160 MONTGOMERY, AL 36103	NONE	PUBLIC CHARI	GENERAL FUND	20,012
FRIENDS OF ALABAMA ARCHIV 624 WASHINGTON AVE MONTGOMERY, AL 36130	NONE	PUBLIC CHARI	GENERAL FUND	500
FRIENDS OF THE MONT PUB LIBR 245 HIGH STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	250
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GIRLS SCOUT CAMPERSHIP FU 145 COLISEUM BLVD MONTGOMERY, AL 36109	NONE	PUBLIC CHARI	GENERAL FUND	500
GOODWILL INDUSTRIES- CENT P O BOX 9349 MONTGOMERY, AL 36108	NONE	PUBLIC CHARI	GENERAL FUND	500
HOSPICE OF MONTGOMERY 1111 HOLLOWAY PARK MONTGOMERY, AL 36117	NONE	PUBLIC CHARI	GENERAL FUND	5,000
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INTERNATIONAL RETINAL RES 1720 UNIVERSITY BLVD BIRMINGHAM, AL 35233	NONE	PUBLIC CHARI	GENERAL FUND	3,500
JOY TO LIFE FOUNDATION 5401 TAYLOR ROAD MONTGOMERY, AL 36116	NONE	PUBLIC CHARI	GENERAL FUND	5,000
LANDMARKS FOUNDATION 301 COLUMBUS STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	500
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LIGHTHOUSE COUNSELING CEN 1415 E SOUTH BLVD MONTGOMERY, AL 36116	NONE	PUBLIC CHARI	GENERAL FUND	3,000
MANE3699 WALLAHATCHIE ROAD PIKE ROAD, AL 36064	NONE	PUBLIC CHARI	GENERAL FUND	1,500
MARC527 BUCKINGHAM DRIVE MONTGOMERY, AL 36116	NONE	PUBLIC CHARI	GENERAL FUND	1,000
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MEDICAL AIDS OUTREACH OF AL 2900 MCGEHEE RD A MONTGOMERY, AL 36111	NONE	PUBLIC CHARI	GENERAL FUND	3,000
MONTGOMERY AREA FOOD BANK 521 TRADE CENTER STREET MONTGOMERY, AL 36108	NONE	PUBLIC CHARI	GENERAL FUND	2,000
MONTGOMERY HABITAT FOR HU 123 JULIA STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	3,000
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MONTGOMERY HUMANE SOCIETY 1150 JOHN OVERTON DR MONTGOMERY, AL 36110	NONE	PUB CHARITY	GENERAL FUND	1,000
MONTGOMERY SYMPHONY ORCHE P O BOX 1864 MONTGOMERY, AL 36102	NONE	PUBLIC CHARI	GENERAL FUND	5,000
SALVATION ARMY900 BELL STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	500
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SAYNO IN MONTGOMERY AREA 492 S COURT STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	250
MACOA - MEALS ON WHEELS 115 E JEFFERSON STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	3,000
MACOA - SENIOR SUPPLEMENT PROG 115 E JEFFERSON STREET MONTGOMERY, AL 36104	NONE	PUBLIC CHARI	GENERAL FUND	3,000
Total . . . . ▶ **3a**				236,845

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY SUNSHINE CENTER PO BOX 5160 MONTGOMERY, AL 36103	NONE	PUBLIC CHARI	GENERAL FUND	45,219
Total . . . . ▶ **3a**				236,845

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TY 2018 Accounting Fees Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

EIN: 63-6050756

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	1,775			1,775

TY 2018 Investments Corporate Stock Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

EIN: 63-6050756

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M CO	22,259	37,155
ALLIANT CORP	30,028	48,165
ALTRIA GROUP INC		
ARTHUR J GALLAGHER & CO	17,725	46,431
AT&T INC	46,643	39,528
BB&T CORP	21,692	37,688
BLACKROCK INC	22,524	39,282
BRISTOL MYERS SQUIBB CO	16,923	13,515
CHEVRON CORP	54,226	54,395
CISCO SYSTEMS INC	28,862	60,445
COCA COLA COMPANY	1,103	504,041
DOMINION RES INC VA NEW	23,852	32,157
DUKE ENERGY CORP	70,086	115,038
EATON CORP PLC ISIN	36,534	39,823
ELI LILLY & CO	31,997	59,596
EXXONMOBIL CORP	68,815	52,847
GENERAL ELECTRIC COMPANY		
HASBRO INC	23,278	47,125
HELMERICH & PAYNE INC	19,960	11,985
HOLLYFRONTIER CORPORATION		
INTERNATIONAL BUSINESS MACHINES	39,237	345,216
INVESCO LTD	27,273	13,476
JP MORGAN CHASE & CO	32,910	76,632
KAR AUCTION SERVICES INC		
KRAFT HEINZ CO	41,796	29,482
LOCKHEED MARTIN CORP	39,388	40,585
LYONDELLBASELL INDUSTRIES	22,469	23,700
MERCK & CO INC	44,957	74,500
MICROSOFT CORP	39,839	63,481
NEXTERA ENERGY INC	20,847	59,968

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OCCIDENTAL PETE CORP	26,094	18,721
PEPSICO INC	29,991	38,668
PFIZER INC	31,483	78,439
PRUDENTIAL FINANCIAL INC	45,630	45,260
QUALCOMM INC	19,632	17,073
RAYTHEON CO	21,688	52,906
REGAL ENTMT GROUP CL A		
REPUBLIC SVCS INC CL A	27,827	62,358
SCHLUMBERGER LTD	59,920	25,256
SOUTHERN CO	101,393	131,760
TARGET CORP		
TORCHMARK CORPORATION	25,789	489,140
US BANCORP DEL	21,631	35,189
VERIZON COMMUNICATIONS	21,791	33,451
WADDELL & REED FINL INC CL A	5,315	32,815
WALMART STORES INC	59,735	77,315
WASTE MANAGEMENT	23,217	58,288
WELLS FARGO & CO.		
WESTROCK COMPANY		
XILINX INC		
AIR PRODUCTS & CHEMICALS INC	12,247	12,164
BANK OF AMERICA	69,917	58,003
CHUBB LIMITED COM	69,297	65,882

TY 2018 Investments - Other Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

EIN: 63-6050756

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN EXPRESS 2.75% 09/14/2015	AT COST	19,974	19,795
AMGEN INC 2.25% 8/19/23	AT COST	20,910	19,876
ANHEUSER-BUSCH 1.9% 2/1/19	AT COST		
APPLE INC 2.1% 9/12/22	AT COST	19,846	19,325
APPLE INC 2.3% 5/11/22	AT COST	19,975	19,519
ASTRAZENECA PLC DTD	AT COST		
AT&T 4.5% 5/15/35	AT COST	20,551	18,854
AT&T 5.6% 5/15/2018	AT COST		
BANK OF AMERICA 6.11% 1/29/37	AT COST	16,858	18,684
BANK OF AMERICA 6.875% 4/25/18	AT COST		
BANK OF MONTREAL 1.5% 7/18/19	AT COST	19,985	19,850
BIOGEN 2.9% 9/15/20	AT COST	39,594	38,709
CAPITAL ONE FINANCIAL 2.5% 5/12/20	AT COST	19,997	19,741
CISCO SYSTEMS 1.6% 2/28/19	AT COST		
CISCO SYSTEMS 2.5% 9/20/26	AT COST	20,938	19,576
CITIGROUP 2.65% 10/26/20	AT COST	29,995	29,601
COMCAST CORP 5.7% 7/1/19	AT COST		
DEUTSCHE BANK AG LONDON DTD	AT COST		
FHLM 6.25% 7/15/32	AT COST	63,150	60,086
FIFTH THIRD BANK/CINCINNATI 2.25%	AT COST	20,015	19,524
FNMA 2.5% 04/08/28	AT COST	7,501	7,394
FNMA 2.5% 06/01/28	AT COST	2,585	2,529
FNMA 3% 05/01/27	AT COST	3,177	3,132
FNMA 3% 01/01/27	AT COST	1,478	1,459
FNMA 3% 08/01/43	AT COST	12,816	12,615
FNMA 3% 12/1/26	AT COST	11,389	11,055
FNMA 3% 5/1/2043	AT COST	12,131	11,687
FNMA 3.5% 09/01/25	AT COST	2,832	2,838
FNMA 3.5% 11/1/42	AT COST	5,895	5,955
FNMA 3.5% 01/01/41	AT COST	4,351	4,301

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FNMA 3.5% 10/01/25	AT COST	6,351	6,261
FNMA 3.5% 11/1/45	AT COST	12,858	12,466
FNMA 3.5% 3/1/41	AT COST	5,014	4,900
FNMA 4% 03/01/26	AT COST	2,690	2,720
FNMA 4% 06/01/39	AT COST	2,538	2,539
FNMA 4% 2/01/41	AT COST	4,403	4,442
FNMA 4% 10/01/41	AT COST	3,470	3,440
FNMA 4% 1/1/44	AT COST	3,746	3,644
FNMA 4.5% 1/1/40	AT COST	7,665	7,522
FNMA 4.5% 06/01/40	AT COST	1,701	1,727
FNMA 4.5% 7/1/39	AT COST	1,352	1,366
FNMA 4.5% 09/01/39	AT COST	2,675	2,652
FNMA 4.5% 6/1/41	AT COST	2,940	2,988
FNMA 5% 10/1/35	AT COST	1,328	1,337
FNMA 5% 11/01/36	AT COST	2,636	2,612
FNMA 5% 12/1/19	AT COST	418	426
FNMA 5% 2/1/36	AT COST	935	948
FNMA 5% 4/1/23	AT COST	464	470
FNMA 5% 6/1/35	AT COST	2,909	2,973
FNMA 5.5% 01/01/36	AT COST	6,916	7,097
FNMA 5.5% 01/01/36	AT COST	1,426	1,455
FNMA 5.5% 1/1/22	AT COST	575	585
FNMA 5.5% 11/1/35	AT COST	310	326
FNMA 5.5% 12/01/39	AT COST	3,361	3,344
FNMA 5.5% 2/1/23	AT COST	519	527
FNMA 5.5% 2/1/35	AT COST	4,997	5,379
FNMA 5.5% 9/1/34	AT COST	2,850	2,978
FNMA 6% 3/1/37	AT COST	6,629	7,179
FNMA 6% 4/1/37	AT COST	2,058	2,163
FORD MOTOR CREDIT 3.157% 8/4/20	AT COST	20,282	19,599

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FREDDIE MAC GOLD POOL 4% 11/1/40	AT COST	3,495	3,454
FREDDIE MAC GOLD POOL 5% 12/1/36	AT COST	1,586	1,603
FREDDIE MAC GOLD POOL 4.5% 8/1/40	AT COST	1,971	1,991
FREDDIE MAC GOLD POOL 5% 2/1/39	AT COST	4,583	4,503
FREDDIE MAC GOLD POOL 4.5% 5/1/39	AT COST	4,959	4,912
FREDDIE MAC GOLD POOL 4% 8/1/44	AT COST	3,561	3,499
FREDDIE MAC GOLD POOL 3.5% 12/1/45	AT COST	23,349	22,787
FREDDIE MAC GOLD POOL 2.5% 1/1/30	AT COST	19,988	19,291
FREDDIE MAC GOLD POOL 3% 6/1/30	AT COST	10,340	10,112
FREDDIE MAC GOLD POOL 3.5% 6/1/45	AT COST	12,059	11,774
FREDDIE MAC GOLD POOL 3% 12/1/26	AT COST	10,558	10,264
GENERAL ELECTRIC 5.3% 2/11/2021	AT COST	19,355	19,024
GILEAD SCIENCES INC CALLABLE 3.65%	AT COST	20,051	19,604
GOLDMAN SACHS GROUP INC 7.5% 2/15/19	AT COST	19,751	19,052
INTEL CORP 3.3% 10/1/2021	AT COST	19,161	19,201
INTERNATIONAL BUSINESS MACHINES	AT COST	19,949	19,452
JOHN DEERE CAPITAL CORP SERIES	AT COST	19,996	19,887
JPMORGAN CHASE & CO 3.782% 2/1/28	AT COST	41,895	39,800
KROGER 2.65% 10/15/26	AT COST	21,670	19,590
LOCKHEED MARTIN CORP CALLABLE	AT COST		
MOLSON COORS BREWING CO DTD 5/3/12 5	AT COST	20,253	18,826
MORGAN STANLEY 2.75% 5/19/22	AT COST	20,126	19,458
MORGAN STANLEY DTD 10/23/24 3.7% 10/	AT COST	19,998	19,668
ORACLE CORP 5.75% 4/15/18	AT COST	19,930	19,506
PRUDENTIAL FINANCIAL	AT COST	19,267	19,435
SHELL INTL FIN DTD 5/10/19 1.375%	AT COST		
SUNTRUST BANKS INC 2.7% 1/27/22	AT COST	19,984	19,506
TEVA PHARMACEUTICALS 2.8% 7/21/23	AT COST		
TORONTO-DOMINION BANK SERIES MTN	AT COST		
TOYOTA MOTOR CR CORP 3.4% 9/15/2021	AT COST	19,057	19,149

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
US TREASURY .75% 7/15/19	AT COST		
US TREASURY 1.25% 1/31/2020	AT COST		
US TREASURY 1.625% 5/15/26	AT COST	43,117	42,956
US TREASURY 1.75% 4/30/22	AT COST	28,200	28,327
US TREASURY 2.875% 05/15/43	AT COST	136,897	147,650
US TREASURY 6.25% 8/15/23	AT COST		
VALERO ENERGY CORP CALLABLE DTD 9/26	AT COST	20,710	19,264
VERIZON COMMUNICATIONS 6% 4/1/2041	AT COST	18,773	20,174
WALGREENS BOOTS ALLIANCE CALLABLE DT	AT COST	20,108	19,696
WELLS FARGO & COMPANY DTD 11/4/44 4.	AT COST	19,928	18,828
AIR LEASE CORP 2.125% 1/15/20	AT COST	19,681	19,711
AMERICAN HONDA FINANCE 2.65% 2/12/21	AT COST	29,946	29,662
BP CAPITAL MARKETS 3.796% 9/21/25	AT COST	20,019	20,096
COMCAST 4% 3/1/48	AT COST	20,884	20,047
CSX CORP 3.8% 3/1/28	AT COST	19,988	19,622
CVS HEALTH CORP 3.125% 3/9/20	AT COST	20,016	19,960
DOWDUPONT INC 4.205% 11/15/23	AT COST	20,003	20,443
DUKE ENERGY 2.4% 8/15/22	AT COST	19,543	19,215
FNMA 4% 5/1/47	AT COST	37,367	37,549
FNMA 4% 2/1/48	AT COST	36,928	36,679
FMNA 3.5% 12/1/47	AT COST	39,058	39,428
FED NAT MORT ASSOC 2.125% 4/24/26	AT COST	19,411	20,002
IBM CREDIT LLC 3.6% 10/1/21	AT COST	19,998	20,180
TD AMERITRADE HOLDING 3.75 4/1/24	AT COST	18,958	19,320
THE CHARLES SCHWAB 3.25% 5/21/21	AT COST	19,014	19,092
TOYOTA MOTOR CREDIT 2.95% 4/13/21	AT COST	19,988	20,002
US TREASURY 2.875% 7/31/25	AT COST	20,042	20,347
US TREASURY 3% 2/15/48	AT COST	40,871	41,805
WALMART INC 3.55% 6/26/25	AT COST	19,957	20,221
WELLS FARGO BANK 2.6% 1/15/21	AT COST	19,984	19,752

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BANK OF NOVA 1.85% 4/14/20	AT COST	19,624	19,772
EQUINOR ASA 3.7% 3/1/24	AT COST	20,266	20,373
LLOYDS BANKING GROUP 4.375% 3/22/28	AT COST	19,999	18,974
PROVINCE OF BRITISH 2.65% 9/22/21	AT COST	19,819	19,980
ROYAL BANK OF CANADA 2.5% 1/19/21	AT COST	15,969	19,771

TY 2018 Other Assets Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

EIN: 63-6050756

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST	38	274	274
INCOME RECEIVABLE	194	1,272	1,272
PROCEEDS RECEIVABLE		3,926	3,926

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TY 2018 Other Income Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK
EIN: 63-6050756

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL REFUND	2,146		

TY 2018 Other Increases Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

EIN: 63-6050756

Description	Amount
DIFF IN BASIS & FMV OF DONATED STOCKS	74,754

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TY 2018 Other Liabilities Schedule

Name: CAROL W & MYRON J ROTHSCHILD FUND
C/O REGIONS BANK

EIN: 63-6050756

Description	Beginning of Year - Book Value	End of Year - Book Value
INTEREST EXPENSE PAYABLE		142