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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation: LG&E and KU Foundation Inc.

A Employer identification number: 61-1257368

Number and street (or P O box number if mail is not delivered to street address): 220 W. Main Street

Room/suite:

B Telephone number (see instructions): 502-627-4037

City or town, state or province, country, and ZIP or foreign postal code: Louisville, KY 40202

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 21,851,933

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	10,000,000			
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	968	968		
	4	Dividends and interest from securities	269,985	269,985		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	777,678			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		777,678		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	11,048,631	1,048,631		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	7,500			
	c	Other professional fees (attach schedule)	11,285	11,285		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	12,919			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	31,704	11,285		
	25	Contributions, gifts, grants paid	600,525			600,525
	26	**Total expenses and disbursements.** Add lines 24 and 25	632,229	11,285		600,525
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	10,416,402			
	b	**Net investment income** (if negative, enter -0-)		1,037,346		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	197,245	7,242,307	7,358,748
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	2,117,315	1,710,098	1,568,987
	b	Investments—corporate stock (attach schedule)	6,516,073	8,998,412	9,900,780
	c	Investments—corporate bonds (attach schedule)	1,876,126	3,163,344	3,023,418
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,697,759	21,114,161	21,851,933
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26, and lines 30 and 31.**			
	24	Unrestricted	10,697,759	21,114,161	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	10,697,759	21,114,161	
	31	**Total liabilities and net assets/fund balances** (see instructions)	10,697,759	21,114,161	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,697,759
2	Enter amount from Part I, line 27a	2	10,416,402
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	21,114,161
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	21,114,161

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Schedule	P	Various	Various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	See Attached	Not Subject to Depreciation	See Attached	777,678
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	N/A acquired after 1969			777,678
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	777,678
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	624,885	12,574,181	0.049696
2016	626,850	11,738,696	0.053400
2015	780,606	12,065,210	0.064699
2014	696,921	12,311,888	0.056606
2013	839,948	12,085,181	0.069502

2	**Total** of line 1, column (d)	2	0.293903
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.058781
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	13,481,087
5	Multiply line 4 by line 3	5	792,432
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	10,373
7	Add lines 5 and 6	7	802,805
8	Enter qualifying distributions from Part XII, line 4	8	600,525

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	20,747
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	20,747
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	20,747
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a: 9,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	9,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	11,747
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ ____ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		✓
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ Kentucky			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		✓
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		✓

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶ http://www.lge-ku.com/foundation/default.asp			
14	The books are in care of ▶ Dawn M. Lyons Telephone no. ▶ 502-627-4037 Located at ▶ 220 W. Main Street, Louisville, KY ZIP+4 ▶ 40202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☑ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b		✓
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A - No activities and expenses other than direct contributions to charities listed in Part XV.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,993,128
b	Average of monthly cash balances	1b	693,255
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	13,686,383
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	13,686,383
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	205,296
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,481,087
6	**Minimum investment return.** Enter 5% of line 5	6	674,054

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	674,054
2a	Tax on investment income for 2018 from Part VI, line 5	2a	20,747		
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	20,747
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	653,307
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	653,307
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	653,307

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	600,525
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	600,525
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	600,525

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1	Distributable amount for 2018 from Part XI, line 7				653,307
2	Undistributed income, if any, as of the end of 2018:				
a	Enter amount for 2017 only				
b	Total for prior years: 20___, 20___, 20___				
3	Excess distributions carryover, if any, to 2018:				
a	From 2013 . . . 256,192				
b	From 2014 . . . 92,606				
c	From 2015 . . . 182,525				
d	From 2016 . . . 49,197				
e	From 2017 . . . 13,580				
f	**Total** of lines 3a through e	594,100			
4	Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 600,525				
a	Applied to 2017, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2018 distributable amount				600,525
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	52,782			52,782
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	541,318			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	203,410			
9	**Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	337,908			
10	Analysis of line 9:				
a	Excess from 2014 . . . 92,606				
b	Excess from 2015 . . . 182,525				
c	Excess from 2016 . . . 49,197				
d	Excess from 2017 . . . 13,580				
e	Excess from 2018 . . . 0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

Grants Administrator, LG&E and KU Foundation, P.O. Box 32030, Louisville, KY 40202

b The form in which applications should be submitted and information and materials they should include:

A completed "Corporate Contribution Request Form" which may be obtained at the above address.

c Any submission deadlines:

None.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Applicant must be a 501(c)(3) organization.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Schedule				**600,525**
Total ▶ **3a**				**600,525**
b *Approved for future payment*				
See Attached Schedule				**440,000**
Total ▶ **3b**				**440,000**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					968
4 Dividends and interest from securities					269,985
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					777,678
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					1,048,631

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 1,048,631

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	Exempt function income set aside for the purpose defined in Section 170(c)(4).
4	See above explanation of line 3.
8	See above explanation of line 3.

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [signature] Date: 3-27-19 Title: Treasurer

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No 1545-0047

2018

Name of the organization	**Employer identification number**
LG&E and KU Foundation Inc.	**61-1257368**

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
LG&E and KU Foundation Inc.	61-1257368

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	Kentucky Utilities Company 220 West Main Street Louisville, KY 40202	$ 4,000,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Louisville Gas and Electric Company 220 West Main Street Louisville, KY 40202	$ 6,000,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
LG&E and KU Foundation	61-1257368

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

Name of organization: LG&E and KU Foundation Inc.

Employer identification number: 61-1257368

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

61-1257368, LG&E and KU Foundation Inc. Form 990-PF 2018

Part I - Line 16 (b) Column (a) - Accounting fees

Strothman & Company PSC	$	7,500
	$	7,500

Part I - Line 16 (c) Column (a) & (b) - Other Professional fees

MD Sass, Investment Management Fees	$	5,703
MD Sass, Trust Management Fees		813
MFS Investments, Trust Management Fees		2,249
Harbor, Trust Management Fees		558
Vanguard, Trust Management Fees		809
Vanguard Growth, Trust Management Fees		578
Victory, Trust Management Fees		575
	$	11,285

Part I - Line 18 Column (a) - Taxes

Federal Excise Tax - Estimated Payment	$	12,919
	$	12,919

Part 1 - Line 23 Column (a) - Other Expenses

Foundation Administrative Expenses	$	-
	$	-

61-1257368, LG&E and KU Foundation Inc

Form 990-PF 2018

Part VIII - Line 1 - Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Paul W. Thompson 220 W. Main Street Louisville, KY 40202	Chairman of the Board and President, Director 1/2 hour/week	0	0	0
Kent W. Blake 220 W Main Street Louisville, KY 40202	Vice President and Controller, Director 1/2 hour/week	0	0	0
John R Crockett III 220 W. Main Street Louisville, KY 40202	Vice President and Secretary, Director 1/2 hour/week	0	0	0
Mary C. Whelan 220 W. Main Street Louisville, KY 40202	Vice President and Director 1/2 hour/week	0	0	0
Angie McDonald Evans 220 W. Main Street Louisville, KY 40202	Vice President 1/2 hour/week	0	0	0
Daniel K. Arbough 220 W. Main Street Louisville, KY 40202	Treasurer 1/2 hour/week	0	0	0

Part X - Minimum Investment Return

Market Value at End of Month
(Page 1 - Investment Summary - Trust Statement)

	Stocks	Bonds	Cash	Total	
01/31/18	9,724,435	3,731,231	215,831	13,671,496	13,671,496
02/28/18	9,305,312	3,700,932	223,856	13,230,100	13,230,100
03/31/18	9,068,579	3,737,636	57,022	12,863,237	12,863,237
04/30/18	8,931,847	3,712,213	152,326	12,796,386	12,796,386
05/31/18	9,000,171	3,738,429	37,485	12,776,084	12,776,084
06/30/18	8,976,772	3,750,433	48,818	12,776,023	12,776,023
07/31/18	9,296,487	3,728,024	68,297	13,092,808	13,092,808
08/31/18	9,330,977	3,758,680	129,312	13,218,969	13,218,969
09/30/18	9,294,321	3,747,238	30,630	13,072,189	13,072,189
10/31/18	8,635,171	3,714,921	55,869	12,405,960	12,405,960
11/30/18	8,804,766	3,735,774	57,307	12,597,847	12,597,847
12/31/18	9,900,780	4,592,405	7,242,307	21,735,492	21,735,492
Total	110,269,616	45,647,916	8,319,061	164,236,592	0
Monthly Average	**9,189,135**	**3,803,993**	**693,255**	**13,686,383**	

12,993,128
Part X 1a Average fair market value of securities

693,255
Part X 1b average of monthly cash balances

LG&E and KU Foundation, Inc. 61-1257368
Form 990-PF 2018
Part XV(a) & (b) Grants & Contributions Paid During 2018 and Approved for Future Payments

Organization Name	Program Name	Address	City, State, ZIP	Amount	Balance
Bellarmine University	Annual Fund	2001 Newburg Road	Louisville, KY 40205	10,000	
Centre College	Program Support	600 W Walnut St	Danville, KY 40422	40,000	
Cumberland Trails United Way	Employee Match	PO Box 2092	Middlesboro, KY 40965	3,314	
LG&E and KU Foundation Matching Gift Program	Foundation Program	Various	Various	4,425	
LG&E and KU Foundation Scholarship Program	Foundation Program	Various	Various	36,600	
Fund for the Arts	Employee Match	623 W Main St	40000, KY 40202	40,000	40,000
Governor's Scholars Program, Inc	Operating Funds	1024 Capital Center Drive Suite 23C	Frankfort, KY 40601	2,500	
Heart of Kentucky United Way	Employee Match	118 N Third St	Danville, KY 40422	17,143	
Jefferson County United Way	Employee Match	PO Box 193	Madison, IN 47250	1,111	
Kentucky College of Art and Design, Inc	Scholarship Suppoert	845 S Third St	Louisville, KY 40203	2,500	
Kentucky Independent College Foundation	Program Support	484 Chenault Rd	Frankfort, KY 40601	35,000	
Leadership Louisville	Annual Fund	732 West Main Street	Louisville, KY 40202	2,500	
Louisville Free Public Library Foundation	Summer Reading Program	301 York St	Louisville, KY 40203	2,500	
Metro United Way	Employee Match	334 East Broadway	Louisville, KY 40202	279,956	
Ohio Valley United Charities Inc	Employee Match	PO Box 528	Carrollton, KY 41008	23,030	
Teach Kentucky, Inc	Operating Funds	2000 Strathmore Blvd	Louisville, KY 40205	2,000	
Tri-County Kentucky United Way	Employee Match	321 S Third St	Bardstown, KY 40004	2,971	
United Way of Central Kentucky	Employee Match	604 N Main St	Elizabethtown, KY 42701	10,164	
United Way of Eastern Kentucky	Employee Match	PO Box 1446	Prestonsburg, KY 41653	1,474	
United Way of Franklin County	Employee Match	76 C Michael Davenport Blvd Ste	Frankfort, KY 40601	1,387	
United Way of Greater Cincinnati	Employee Match	2400 Reading Road	Cincinnati, OH 45202	234	
United Way of Henderson County	Employee Match	125 First St Ste 100	Henderson, KY 42420	102	
United Way of Laurel County	Employee Match	PO Box 5004	London, KY 40741	658	
United Way of Mason County	Employee Match	PO Box 327	Maysville, KY 41056	1,116	
United Way of Northeast Kentucky	Employee Match	PO Box 2285	Ashland, KY 41105	37	
United Way of Paducah-McCracken County	Employee Match	333 Broadway, Ste 502	Paducah, KY 42001	410	
United Way of Perry County Inc	Employee Match	PO Box 73	Tell City, IN 47586	198	
United Way of South Central Kentucky, Inc	Employee Match	PO Box 861	Somerset, KY 42502	3,776	
United Way of Southeastern Kentucky	Employee Match	412 Roy Campbell Dr	Hazard, KY 41701	2,265	
United Way of Southern Kentucky	Employee Match	P O Box 3330	Bowling Green, KY 42102	385	
United Way of Southwest Virginia	Employee Match	1096 Ole Berry Dr	Abingdon, VA 24210	2,004	
United Way of Southwestern Indiana	Employee Match	501 N West 4th St	Evansville, IN 47701	844	
United Way of the Bluegrass	Employee Match	100 Midland Ave #300	Lexington, KY 40508	34,579	
United Way of the Coalfield	Employee Match	PO Box 366	Madisonville, KY 42431	6,109	
United Way of the Ohio Valley	Employee Match	403 Park Plaza Dr	Owensboro, KY 42301	5,135	
United Way of the Pennyrile	Employee Match	PO Box 587	Hopkinsville, KY 42441	1,598	
Volunteers of America	Family Emergency Shelter	933 Goss Avenue	Louisville, KY 40217-9943	2,500	
WHAS Crusade for Children	Employee Match	520 W Chestnut St	Louisville, KY 40202	20,000	
ALL UNITED WAYS	Employee "Match"	Various	Various	see amounts above	400,000
TOTAL				600,525	440,000

LG&E and KU Foundation Inc.; 61-1257368; From 990-PF 2018 Part II-Line 10 Page 1 of 2

Detail

Portfolio - income

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$41,453 47 49,693 020	$49,693 02 $1 0000	0 58 %	$49,693 02 $1 00		2 34 %	$1,162 73	$25 69

Portfolio - principal

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$138,286 07 4,152,977 500	$4,152,977 50 $1 0000	47 82 %	$4,152,977 50 $1 00		2 34 %	$97,172 72	$277 34

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
MFS VALUE FUND CLASS I (MEIIX) FUND #893	$5,144,017 64 125,112 240	$4,442,735 64 $35 5100	51 15 %	$3,273,787 82 $26 17	$1,168,947 82	2 11 %	$93,458 84	$97,825 26

PNC INSTITUTIONAL ASSET MANAGEMENT

Detail

Alternative investments

Other alternative investments

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
LOUISVILLE DEV BANCORP INC () CLASS A (MARKET VALUE AS OF 03/31/15)	$18,225 00 4,500	$18,225 00 $4 0500	0 21 %	$45,000 00 $10 00	- $26,775 00			
LOUISVILLE DEV BANCORP INC () CLASS B (MARKET VALUE AS OF 05/12/15)	22,275 00 5,500	22,275 00 4 0500	0 26 %	55,000 00 10 00	- 32,725 00			
Total other alternative investments		**$40,500.00**	**0.47 %**	**$100,000.00**	**- $59,500.00**			
Total alternative investments		**$40,500.00**	**0.47 %**	**$100,000.00**	**- $59,500.00**			
Total portfolio		**$8,685,906.16**	**100.00 %**	**$7,576,458.34**	**$1,109,447.82**	**2.21 %**	**$191,794.29**	**$98,128.29**

Pending Trades

Purchases

Description	Trade date	Settle date	Quantity	Price per unit	Cash
MFS VALUE FUND CLASS I FUND #893	12/31/18	01/02/19	115,460 434	$35 5099	- $4,100,000 00
Net pending trades					**- $4,100,000.00**

PNC INSTITUTIONAL ASSET MANAGEMENT

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$170,036 91 170,036 910	$170,036 91 $1 0000	5 28 %	$170,036 91 $1 00		2 34 %	$3,978 58	$132 67
PNC GOVT MONEY MARKET FUND #405 PKIXX	1,252,308 27 1,252,308 270	1,252,308 27 1 0000	38 85 %	1,252,308 27 1 00		2 34 %	29,301 92	81 61
Total mutual funds - money market		**$1,422,345.18**	**44.12 %**	**$1,422,345.18**		**2.34 %**	**$33,280.50**	**$214.28**
Total cash and cash equivalents		**$1,422,345.18**	**44.12 %**	**$1,422,345.18**		**2.34 %**	**$33,280.50**	**$214.28**

Fixed income

Treasury bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
USA TREASURY BD 07 500% DUE 11/15/2024 RATING AAA (912810ES3)	$79,704 00 60,000	$76,036 20 $126 7270	2 36 %	$83,125 77 $138 54	- $7,089 57	5 92 %	$4,500 00	$584 25
USA TREASURY BOND 06 875% DUE 08/15/2025 RATING AAA (912810EV6)	131,641 00 100,000	125,934 00 125 9340	3 91 %	150,630 97 150 63	- 24,696 97	5 46 %	6,875 00	2,596 81

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Fixed income
Treasury bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
USA TREASURY BOND 05 250% DUE 11/15/2028 RATING AAA (912810FF0)	529,641 41 435,000	529,816 95 121 7970	16 44 %	530,237 52 121 89	- 420 57	4.32 %	22,837 50	170 41
USA TREASURY BD 05 375% DUE 02/15/2031 RATING AAA (912810FP8)	342,812 62 270,000	343,026 90 127 0470	10 65 %	342,416 38 126 82	610 52	4 24 %	14,512 50	812 09
USA TREASURY NOTE 03 500% DUE 02/15/2039 RATING AAA (912810QA9)	226,940 91 200,000	218,758 00 109 3790	6 79 %	219,987 89 109 99	- 1,229 89	3 20 %	7,000 00	2,644 02
USA TREASURY NOTES 03 625% DUE 02/15/2020 RATING AAA (912828MP2)	31,074 60 30,000	30,325 80 101 0860	0 95 %	33,162 89 110 54	- 2,837 09	3 59 %	1,087 50	410 77
USA TREASURY NOTES TREASURY INFLATION PROTECTN SEC 00 125% DUE 04/15/2021 RATING N/A (912828Q60)	10,367 85 10,000	10,379 23 103 7923	0 33 %	10,345 22 103 45	34 01	0 13 %	12 83	2 74
USA TREASURY NOTES TREASURY INFLATION PROTECTN SECS 00 125% DUE 07/15/2026 RATING N/A (912828S50)	120,245 63 120,000	118,937 89 99 1149	3 69 %	119,087 41 99 24	- 149 52	0 14 %	157 08	72 56
USA TREASURY NOTES 01 625% DUE 08/15/2022 RATING AAA (912828TJ9)	95,355 47 100,000	97,020 00 97 0200	3.01 %	95,355 47 95 36	1,664 53	1 68 %	1,625 00	613 79

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Treasury bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
USA TREASURY NOTES 02 125% DUE 11/30/2023 RATING AAA (912828U57)	58,969.95 60,000	58,917 00 98 1950	1.83 %	58,961 12 98 27	- 44.12	2 17 %	1,275 00	112 09
USA TREASURY NOTES 01 625% DUE 04/30/2022 RATING AAA (912828WZ9)	29,483 10 30,000	29,305 20 97 6840	0 91 %	29,616 80 98 72	- 311 60	1 80 %	525 00	89 18
Total treasury bonds		**$1,638,457.17**	**50.83 %**	**$1,672,927.44**	**- $34,470.27**	**3.69 %**	**$60,407.41**	**$8,108.71**

Agency bonds

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024 RATING N/A (3128MCVG7)	$2,692 04 2,577 581	$2,640.42 $102 4380	0 09 %	$2,791 42 $108 30	- $151 00	5 37 %	$141 77	$12 21
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024 RATING N/A (3128MD4G5)	9,380 19 9,194 750	9,241 83 100 5120	0 29 %	9,543 86 103 80	- 302 03	2 99 %	275 84	23 75
FEDERAL HOME LOAN MTG CORP GOLD POOL G08843 04 500% DUE 10/01/2048 RATING N/A (3128MJ5D8)	30,462 48 29,510 310	30,559 40 103 5550	0 95 %	30,462 48 103 23	96 92	4 35 %	1,327 96	114 35

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Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP GOLD POOL T65489 04 500% DUE 06/01/2048 RATING N/A (31323AC26)	18,581 90 18,423 570	18,813 04 102 1140	0 59 %	18,581 90 100 86	231 14	4 41 %	829 06	71 39
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019 RATING N/A (31371HHZ4)	121 84 109 920	109 81 99 9020	0 01 %	116 62 106 10	- 6 81	6 51 %	7 14	0 62
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028 RATING. N/A (3138EKFT7)	10,525 74 10,188 976	10,333 66 101 4200	0 33 %	10,824 18 106 23	- 490 52	3 46 %	356 61	30 71
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028 RATING N/A (3138EN3Q0)	81,257 44 78,650 180	79,611 29 101 2220	2 47 %	81,464 37 103 58	- 1,853 08	3 46 %	2,752 76	237 04
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031 RATING N/A (3140J5LM9)	69,020 75 66,810 650	67,864 25 101 5770	2 11 %	69,232 52 103 62	- 1,368 27	3 45 %	2,338 37	201 36
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032 RATING N/A (3140J6ES2)	1,190 43 1,166 560	1,188 13 101 8490	0 04 %	1,196 55 102 57	- 8 42	4 42 %	52 50	4 52
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019 RATING N/A (31402QZB0)	58 12 57 410	57 46 100 0790	0 01 %	61 86 107 75	- 4 40	6 50 %	3 73	0 32

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Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032 RATING N/A (31402RH28)	6,717 60 5,920 210	6,457 29 109 0720	0 21 %	6,519 61 110 12	- 62 32	5 51 %	355 21	30 59
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023 RATING N/A (31417GNL6)	12,725.67 12,655 170	12,672 13 100 1340	0 40 %	12,951 79 102 34	- 279 66	2 50 %	316 38	27 24
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024 RATING N/A (31417QKK9)	13,399.04 12,898 964	13,210 09 102 4120	0 41 %	13,672 90 106 00	- 462 81	3 91 %	515 96	44 43
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031 RATING N/A (31417Y7H4)	20,517 16 19,730 900	20,097 70 101.8590	0 63 %	20,735 91 105 09	- 638 21	3 44 %	690 58	59 47
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035 RATING N/A (31418MH47)	11,047 08 9,979 937	10,706 08 107 2760	0 34 %	10,851 61 108 73	- 145 53	5 13 %	548 90	47 27
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037 RATING N/A (31419AMN4)	6,881 75 6,208 160	6,677 06 107 5530	0 21 %	6,700 90 107 94	- 23 84	5 12 %	341 45	29 40
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036 RATING N/A (31419AW94)	8,614 82 7,792 352	8,329 01 106 8870	0 26 %	8,567 90 109 95	- 238 89	5 15 %	428 58	36 91

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Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
GOVT NATL MTG ASSN II POOL MA2375 05.500% DUE 11/20/2044 RATING N/A (36179QT84)	14,704 61 13,293 380	14,310 59 107 6520	0 45 %	14,585 32 109 72	- 274 73	5 11 %	731 14	62 96
GOVT NATL MTG ASSN II POOL MA5468 05 000% DUE 09/20/2048 RATING N/A (36179UCD2)	31,057 39 29,801 320	31,098 57 104 3530	0 97 %	31,057 39 104 21	41 18	4 80 %	1,490 07	128 31
GOVT NATL MTG ASSN II POOL MA5653 05 000% DUE 12/20/2048 RATING N/A (36179UH62)	57,418 75 55,000	57,425 50 104 4100	1 79 %	57,418 75 104 40	6 75	4 79 %	2,750 00	229 17
GOVT NATL MTG ASSN II POOL MA5712 05 000% DUE 01/20/2049 RATING N/A (36179UKZ4)	104,234 38 100,000	100,000 00 100 0000	3 11 %	104,234 38 104 23	- 4,234 38	5 01 %	5,000 00	
Total agency bonds		**$501,403.31**	**15.55 %**	**$511,572.22**	**- $10,168.91**	**4.24 %**	**$21,254.01**	**$1,392.02**

Mortgages

Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035 RATING N/A (30287WAG5)	$8,197 17 8,236 710	$8,164 47 $99 1230	0 26 %	$8,235 43 $99 98	- $70 96	2 40 %	$195 21	$16 27

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Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023 RATING N/A (31359QPC2)	8,219 33 7,610 210	8,034 48 105 5750	0 25 %	8,004 96 105.19	29 52	6 64 %	532 71	44 39
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042 RATING N/A (3136AB4K6)	42,049 15 45,767 780	42,679 37 93 2520	1 33 %	42,049 14 91 87	630 23	1 61 %	686 52	57 21
FEDERAL NATL MTG ASSN SERIES 2013 18 CLASS CY 02 500% DUE 03/25/2028 RATING N/A (3136ACYJ4)	37,412.50 40,000	36,691.60 91 7290	1 14 %	37,412 50 93 53	- 720 90	2 73 %	1,000 00	83 33
FEDERAL NATL MTG ASSN SERIES 2012-M2 CLASS X 00 803% DUE 11/25/2021 RATING N/A (3136A4TZ2)	4,758 90 176,701 710	2,922 65 1 6540	0 10 %	9,984.68 5 65	- 7,062 03	40 21 %	1,175 07	97 92
FEDERAL NATL MTG ASSN SERIES 2012 98 CLASS ZP 06 000% DUE 09/25/2042 RATING N/A (3136A8CD0)	38,041 12 29,218 010	37,616 43 128 7440	1 17 %	38,055.22 130.25	- 438 79	4 67 %	1,753 08	146 09
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041 RATING N/A (3137AAQV8)	7,942 77 9,159 828	7,815 53 85 3240	0 25 %	8,569 44 93 55	- 753 91			
FHLMC MULTIFAM STRUCTURED P SERIES K018 CLASS X1 VAR% DUE 01/25/2022 RATING N/A (3137APPA2)	4,014 04 88,239 340	2,855 43 3 2360	0 09 %	9,069 82 10 28	- 6,214 39	49 91 %	1,425 07	118 76

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Description (Cusip)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042 RATING N/A (3137AUGK9)	81,601 44 83,244 690	80,465 98 96 6620	2 50 %	81,371 68 97 75	- 905 70	2 07 %	1,664 89	138 74
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042 RATING N/A (3137AVAM9)	10,483 59 10,911 662	10,414 96 95 4480	0 33 %	11,010 49 100 91	- 595 53	2 10 %	218 23	18 19
FEDERAL HOME LOAN MTG CORP SERIES K023 CLASS X1 01 316% DUE 08/25/2022 RATING N/A (3137AWQJ7)	6,838 41 136,575 260	5,192 59 3 8020	0.17 %	13,571 29 9 94	- 8,378 70	34 62 %	1,797 47	154 78
FEDERAL HOME LOAN MTG CORP SERIES K024 CLASS X1 00 906 DUE 09/25/2022 RATING AAA (3137AXHQ9)	5,591 25 164,556 410	4,237 33 2 5750	0.14 %	11,158 54 6 78	- 6,921 21	35 19 %	1,490 88	128 38
FEDERAL HOME LOAN MTG CORP SERIES 3794 CLASS PB 04 500% DUE 01/15/2041 RATING N/A (3137A4ZS9)	21,000 00 20,000	21,326 40 106 6320	0 67 %	21,000 00 105 00	326 40	4 23 %	900 00	75 00
FHLMC MULTIFAMILY STRUCTURED P SERIES KSMC CLASS X1 00 887% DUE 01/25/2023 RATING· N/A (3137B04Z4)	8,355.42 275,845 700	6,396 86 2 3190	0 20 %	16,078 49 5 83	- 9,681 63	38 25 %	2,446 75	203.90
FHLMC MULTIFAMILY STRUCTURED SERIES K026 CLASS X1 01 177% DUE 11/25/2022 RATING N/A (3137B1BT8)	3,428 37 83,296 600	2,641 34 3 1710	0 09 %	6,644 40 7 98	- 4,003 06	37 14 %	980 82	81 73

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FEDERAL HOME LOAN MTG CORP SERIES 4206 CLASS DZ 03 000% DUE 05/15/2033 RATING N/A (3137B2FF2)	15,971 67 17,244 670	17,037 73 98.8000	0 53 %	15,971 67 92 62	1,066 06	2 66 %	452 85	37 74
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036 RATING N/A (31395DPX1)	6,850 20 7,447 150	6,777 20 91 0040	0 22 %	6,292 82 84 50	484 38			
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034 RATING N/A (31395F3L6)	14,389 08 13,646 960	14,016 25 102 7060	0 44 %	14,882 85 109 06	- 866 60	4 39 %	614 11	51 18
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020 RATING N/A (31395MCQ0)	690 18 703 090	692 47 98 4890	0 03 %	625 76 89 00	66 71			
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035 RATING N/A (31395TX74)	10,362 26 12,040 045	10,380 08 86 2130	0 33 %	10,264 10 85 25	115 98			
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020 RATING N/A (31395WDM6)	2,396 65 2,351 550	2,356 89 100 2270	0 08 %	2,564 66 109 06	- 207 77	4 49 %	105 82	8 82
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041 RATING N/A (31397UNL9)	12,347 60 11,815 530	12,249 51 103 6730	0 38 %	12,480 14 105 62	- 230 63	3 86 %	472 62	39 39

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FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057 RATING N/A (35563PCM9)	36,604.03 36,003 750	35,732 28 99 2460	1 11 %	36,677 29 101 87	- 945 01	3 53 %	1,260 13	21 00
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057 RATING N/A (35563PDZ9)	26,991 18 27,611 490	27,181 58 98 4430	0 85 %	26,991 17 97 75	190 41	3 05 %	828 34	13 81
FEDERAL HOME LOAN MTG CORP SERIES 2018 3 CLASS MA 03 500% DUE 08/25/2057 RATING N/A (35563PGG8)	56,384 05 56,691 350	56,835 35 100 2540	1 77 %	56,384 05 99 46	451 30	3 50 %	1,984 20	165 35
GOVERNMENT NATIONAL MORTGAGE A SERIES 2012 84 CLASS D 05 000% DUE 08/16/2039 RATING N/A (38375GGU9)	43,000 00 40,000	43,359 60 108 3990	1 35 %	43,000 00 107.50	359 60	4 62 %	2,000 00	166 67
GOVT NATL MTG ASSN SERIES 2012-123 CLASS IO 00 953% DUE 12/16/2051 RATING N/A (38378BQ77)	6,812 12 129,222 350	6,360 32 4 9220	0 20 %	10,138 46 7 85	- 3,778 14	16 71 %	1,062 21	88 52
GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 40 CLASS IO 01 084% DUE 06/16/2054 RATING N/A (38378KCX5)	3,159 39 69,566 423	2,856 40 4 1060	0 09 %	5,195 71 7 47	- 2,339 31	20 02 %	571 84	47 65
GOVT NATL MTG ASSN SERIES 2013-45 CLASS IO VAR % DUE 10/16/2040 RATING N/A (38378KEX3)	5,667.75 162,611 120	3,899 41 2 3980	0 13 %	12,043 38 7 41	- 8,143 97	27 15 %	1,058 60	88 22

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GOVT NATL MTG ASSN SERIES 2013-55 CLASS IO 01 035% DUE 09/16/2054 RATING N/A (38378KHN2)	3,642 48 85,063 230	3,161 80 3 7170	0 10 %	6,420 89 7.55	- 3,259 09	20 45 %	646 48	53 87
GOVT NATL MTG ASSN SERIES 2013-78 CLASS IO 00 9336% DUE 01/01/2055 RATING N/A (38378KRX9)	3,668 06 61,806 790	2,671 91 4 3230	0 09 %	4,516 35 7 31	- 1,844 44	18 28 %	488 27	40 69
GOVT NATL MTG ASSN SERIES 2013-80 CLASS IO 00 918% DUE 03/16/2052 RATING N/A (38378KSD2)	4,466 39 71,257 630	4,158 60 5 8360	0 13 %	6,246 14 8 77	- 2,087 54	15 43 %	641 32	53 44
GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 105 CLASS IO 00 716% DUE 06/16/2054 RATING N/A (38378KYB9)	3,738 89 93,927 930	1,796 84 1 9130	0 06 %	4,696 34 5 00	- 2,899 50	25 10 %	450 85	18 79
GOVERNMENT NATIONAL MORTGAGE A SERIES 2013 107 CLASS ID 00 862% DUE 11/16/2047 RATING N/A (38378KYU7)	2,709 24 83,319 600	1,796 37 2 1560	0 06 %	5,187 95 6 23	- 3,391 58	22 69 %	407 43	33 95
GOVERNMENT NATIONAL MORTGAGE A SERIES 2015 130 CLASS IO 00 991% DUE 07/16/2057 RATING N/A (38379KJ74)	10,933 15 205,441 420	9,815 99 4 7780	0 31 %	15,400 08 7 50	- 5,584 09	17 75 %	1,742 14	145 18
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047 RATING N/A (38380GJF2)	14,264 05 14,476 680	14,294 56 98 7420	0 45 %	14,264 05 98 53	30 51	3 04 %	434 30	36 19

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Total mortgages		$554,886.56	17.21 %	$632,459.94	- $77,573.38	5.68 %	$31,488.21	$2,475.15
Total fixed income		$2,694,747.04	83.59 %	$2,816,959.60	- $122,212.56	4.20 %	$113,149.63	$11,975.88
Total portfolio		$4,117,092.22	127.72 %	$4,239,304.78	- $122,212.56	3.56 %	$146,430.13	$12,190.16
Net pending trades		- $893,463.09	- 27.72 %	- $893,463.09				
Total - including net pending trades		$3,223,629.13	100.00 %	$3,345,841.69	- $122,212.56	4.54 %	$146,430.13	$12,190.16

Pending Trades

Purchases

Description	Trade date	Settle date	Quantity	Price per unit	Cash	Original Value at PNC	Market value
GOVT NATL MTG ASSN II POOL MA5712 05 000% DUE 01/20/2049	12/17/18	01/23/19	100,000	$104 2343	- $104,234 38	$104,234 38	$104,234 38
USA TREASURY BOND 05 250% DUE 11/15/2028	12/31/18	01/02/19	410,000	121 4457	- 497,927 41	497,927 41	497,927 41
USA TREASURY BD 05 375% DUE 02/15/2031	12/31/18	01/02/19	230,000	126 6527	- 291,301 30	291,301 30	291,301 30
Total pending purchases					- $893,463.09	$893,463.09	$893,463.09
Net pending trades					- $893,463.09	$893,463.09	$893,463.09

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E KU FDN, INC. HARBOR
CUSTODY STATEMENT
Account number 21-75-080-4780426
January 1, 2018 - December 31, 2018

Detail

Portfolio - income

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	- 1,569 690	- $1,569 69 $1 0000	- 0 05 %	- $1,569 69 $1 00				

Portfolio - principal

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	1,569 690	$1,569 69 $1 0000	0 05 %	$1,569 69 $1 00				

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$994 46 1,102,285 130	$1,102,285 13 $1 0000	33 66 %	$1,102,285 13 $1 00		2 09 %	$22,947 12	$74 68
Total cash and cash equivalents		**$1,103,854.82**	**50.76 %**	**$1,103,854.82**		**2.08 %**	**$22,947.12**	**$74.68**

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E KU FDN, INC. HARBOR
CUSTODY STATEMENT
Account number 21-75-080-4780426
January 1, 2018 - December 31, 2018

Detail

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
HARBOR INTERNATIONAL FUND (HAINX)	$1,332,126 59	$2,172,482 51	66 35 %	$2,626,310 23	- $453,827 72	2 47 %	$53,458 66	
CLASS INS FD # 2011	64,408 020	$33 7300		$40 78				

Net pending trades

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PENDING SECURITIES PAYABLE		- $1,100,000 00	- 33 60 %	- $1,100,000 00				
(MARKET VALUE AS OF 03/06/15)	- 1,100,000			$1 00				
Total portfolio		**$2,174,767.64**	**100.00 %**	**$2,628,595.36**	**- $453,827.72**	**3.51 %**	**$76,405.78**	**$74.68**

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN, INC. VANGUARD
CUSTODY STATEMENT
Account number 21-75-080-4780434
January 1, 2018 - December 31, 2018

Detail

Portfolio - income

Cash and cash equivalents
Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	- 2,162 080	- $2,162 08 $1 0000	- 0 07 %	- $2,162 08 $1 00				

Fixed income
Mutual funds - fixed income

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VANGUARD INTM TERM INVESTMENT (VFIDX) GRADE ADMR FD#571	$25,567 16 2,622 273	$24,623 14 $9 3900	0 75 %	$26,078 22 $9 94	- $1,455 08	3 31 %	$812 90	

Portfolio - principal

Cash and cash equivalents
Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	2,162 080	$2,162 08 $1 0000	0 07 %	$2,162 08 $1 00				

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC. VANGUARD
CUSTODY STATEMENT
Account number 21-75-080-4780434
January 1, 2018 - December 31, 2018

Detail

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$1,517 04 1,400,724 480	$1,400,724 48 $1 0000	42 47 %	$1,400,724 48 $1 00		2 34 %	$32,774 61	$91 07
Total cash and cash equivalents		**$1,402,886.56**	**42.53 %**	**$1,402,886.56**		**2.34 %**	**$32,774.61**	**$91.07**

Fixed income

Mutual funds - fixed income

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VANGUARD INTM TERM INVESTMENT (VFIDX) GRADE ADMR FD#571	$1,881,776 46 199,471 273	$1,873,035 25 $9 3900	56 79 %	$2,030,403 96 $10 18	- $157,368 71	3 31 %	$61,836 09	$5,807 56
Total portfolio		**$3,298,382.87**	**100.00 %**	**$3,457,206.66**	**- $158,823.79**	**2.89 %**	**$95,423.60**	**$5,898.63**

Pending Trades

Purchases

Description	Trade date	Settle date	Quantity	Price per unit	Cash
VANGUARD INTM TERM INVESTMENT GRADE ADMR FD#571	12/31/18	01/02/19	149,094 782	$9 3899	- $1,400,000 00
Net pending trades					**- $1,400,000.00**

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN VANGUARD GROWTH
CUSTODY STATEMENT
Account number 21-75-080-4768868
January 1, 2018 - December 31, 2018

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$64 74 64 740	$64 74 $1 0000	0 01 %	$64 74 $1 00		2 09 %	$1 35	$0 12
PNC GOVT MONEY MARKET FUND #405 PKIXX	1,003,013 06 1,003,013 060	1,003,013 06 1 0000	46 03 %	1,003,013 06 1 00		2 09 %	20,880 50	69 60
Total mutual funds - money market		**$1,003,077.80**	**46.02 %**	**$1,003,077.80**		**2.08 %**	**$20,881.85**	**$69.72**
Total cash and cash equivalents		**$1,003,077.80**	**46.02 %**	**$1,003,077.80**		**2.08 %**	**$20,881.85**	**$69.72**

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VANGUARD GROWTH INDX #509 (VIGAX) ADM	$2,216,762 69 31,501.338	$2,176,427 44 $69 0900	99.86 %	$1,699,335 99 $53 94	$477,091 45	1 32 %	$28,571 71	
Total portfolio		**$3,179,505.24**	**145.88 %**	**$2,702,413.79**	**$477,091.45**	**1.56 %**	**$49,453.56**	**$69.72**
Net pending trades		**- $1,000,000.00**	**- 45.88 %**	**- $1,000,000.00**				
Total - including net pending trades		**$2,179,505.24**	**100.00 %**	**$1,702,413.79**	**$477,091.45**	**2.27 %**	**$49,453.56**	**$69.72**

Detail

Portfolio - income

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	- 503 980	- $503 98 $1 0000	- 0 03 %	- $503 98 $1 00				

Portfolio - principal

Cash and cash equivalents

Uninvested cash

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
UNINVESTED CASH	503 980	$503 98 $1 0000	0 03 %	$503 98 $1 00				

Mutual funds - money market

Description	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
PNC GOVT MONEY MARKET FUND #405 PKIXX	$6,183 18 1,104,666 900	$1,104,666 90 $1 0000	50 83 %	$1,104,666 90 $1 00		2 09 %	$22,996 71	$79 03
Total cash and cash equivalents		**$1,105,170.88**	**50.85 %**	**$1,105,170.88**		**2.08 %**	**$22,996.71**	**$79.03**

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN, INC VICTORY RS
CUSTODY STATEMENT
Account number 21-75-080-6820896
January 1, 2018 - December 31, 2018

Detail

Equities

Mutual funds - equity

Description (Symbol)	Market value last period / Quantity	Current market value / Current price per unit	% of total portfolio	Total original value at PNC / Avg original value at PNC per unit	Unrealized gain/loss	Current yield	Estimated annual income	Accrued income
VICTORY RS SM CAP GROW-Y (RSYEX)	$1,337,398 92 16,762 889	$1,068,634 17 $63 7500	49 18 %	$1,298,977 81 $77 49	- $230,343 64	0 02 %	$129.07	
Total portfolio		**$2,173,301.07**	**100.00 %**	**$2,403,644.71**	**- $230,343.64**	**1.06 %**	**$23,125.78**	**$79.03**

Pending Trades

Purchases

Description	Trade date	Settle date	Quantity	Price per unit	Cash
VICTORY RS SM CAP GROW-Y	12/31/18	01/02/19	17,254 902	$63 7499	- $1,100,000 00
Net pending trades					**- $1,100,000.00**

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN, INC. - MFS INV
CUSTODY STATEMENT
Account number 21-75-080-4479641
January 1, 2018 - December 31, 2018

Detail

Fees and charges

Activity	Description	Post date	Quantity	Amount per unit	Cash-income	Cash-principal	Original value at PNC Market value
Asset value fee	PNC BANK PRINCIPAL COMPENSATION THRU 12/29/17	01/12/18			- $576 66		
Asset value fee	PNC BANK PRINCIPAL COMPENSATION THRU 03/30/18	04/11/18			- $559 98		
Asset value fee	PNC BANK PRINCIPAL COMPENSATION THRU 06/29/18	07/12/18			- $546 51		
Asset value fee	PNC BANK PRINCIPAL COMPENSATION THRU 09/28/18	10/11/18			- $565 51		
Total fees and charges					**- $2,248.66**		
Total disbursements					**- $299,357.64**	**- $4,777,143.45**	**$4,540,895.64** **$4,540,895.64**
Ending cash balance						**$0.00**	
Change in cash					-	-	

Realized gain/loss detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
MFS VALUE FUND CLASS I FUND #893	1,903 070	$26 16681	- $49,797 27	04/26/18	$39 41	$75,000 00	$25,202 73
MFS VALUE FUND CLASS I FUND #893	1,572 707	26 16681	- 41,152 72	08/29/18	41 33	65,000 00	23,847 28
MFS VALUE FUND CLASS I FUND #893	1,946 535	26 16681	- 50,934 61	12/07/18	38 53	75,000 00	24,065 39
Total			**- $141,884.60**			**$215,000.00**	**$73,115.40**

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2018 - December 31, 2018

Detail

Securities delivered

Activity	Description	Date	Quantity	Original value at PNC	Market Value
Total securities delivered				**- $10,263.45**	**- $4,945.97**
Total non-cash transactions				**- $10,263.45**	**- $4,771.06**

Realized gain/loss detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	27 860	$100 00000	- $27 86	01/01/18	$1 00	$27 86	
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02.370% DUE 09/25/2035	551.050	99 98548	- 550 97	02/01/18	1 00	551 05	0 08
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	1,088 870	99 98439	- 1,088 70	03/01/18	1 00	1,088 87	0 17
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	25 340	100 00000	- 25 34	04/01/18	1 00	25 34	
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	1,134 900	99 98502	- 1,134 73	05/01/18	1 00	1,134 90	0 17
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	5,175 670	99 98454	- 5,174 87	06/01/18	1 00	5,175 67	0 80
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	13 110	100 00000	- 13 11	07/01/18	1 00	13 11	
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	12 400	100 00000	- 12 40	08/01/18	1 00	12 40	

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2018 - December 31, 2018

Detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	12 440	100 00000	- 12 44	09/01/18	1 00	12 44	
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	678 670	99 98379	- 678 56	10/01/18	1 00	678 67	0 11
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	11 400	100 00000	- 11 40	11/01/18	1 00	11 40	
FRESB MULTIFAMILY MORTGAGE PAS SERIES 2015 SB7 CLASS A5 02 370% DUE 09/25/2035	12 120	100 00000	- 12 12	12/01/18	1 00	12 12	
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	162 250	103 73498	- 168 31	12/31/17	1 00	162 25	- 6 06
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	157 070	103 73082	- 162 93	01/31/18	1 00	157 07	- 5 86
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	142.470	103 73412	- 147 79	02/28/18	1 00	142 47	- 5 32
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	112 020	103 73148	- 116 20	03/31/18	1 00	112 02	- 4 18
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	92 280	103 73862	- 95 73	04/30/18	1 00	92 28	- 3 45
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	92.670	103 73368	- 96 13	05/31/18	1 00	92 67	- 3 46
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	67 850	103 72881	- 70 38	06/30/18	1 00	67 85	- 2 53

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2018 - December 31, 2018

Detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LN MTG CORP GOLD POOL #E98662 05 000% DUE 08/01/2018	50 120	103 73105	- 51 99	07/31/18	1 00	50 12	- 1 87
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	140 950	108 30082	- 152 65	12/31/17	1 00	140 95	- 11 70
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	128 480	108 29701	- 139 14	01/31/18	1 00	128 48	- 10 66
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	134 650	108 29558	- 145 82	02/28/18	1 00	134 65	- 11 17
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	143 400	108 29847	- 155.30	03/31/18	1 00	143 40	- 11 90
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	133 990	108 29913	- 145 11	04/30/18	1 00	133 99	- 11 12
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	128 180	108 30083	- 138 82	05/31/18	1 00	128 18	- 10 64
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	128 490	108 29637	- 139 15	06/30/18	1 00	128 49	- 10 66
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	124 220	108 29979	- 134 53	07/31/18	1 00	124 22	- 10 31
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	130 070	108.29553	- 140 86	08/31/18	1 00	130 07	- 10 79
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	124 810	108 30062	- 135 17	09/30/18	1 00	124 81	- 10 36

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
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Detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	150 270	108 29840	- 162 74	10/31/18	1 00	150 27	- 12 47
FEDERAL HOME LOAN MTG CORP GOLD POOL #G14015 05 500% DUE 12/01/2024	102 160	108 30070	- 110 64	11/30/18	1 00	102 16	- 8 48
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	414 830	103 79674	- 430 58	12/31/17	1 00	414 83	- 15 75
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	358 080	103 79803	- 371 68	01/31/18	1 00	358 08	- 13 60
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	375 680	103 79578	- 389 94	02/28/18	1 00	375 68	- 14 26
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	259 760	103 79581	- 269 62	03/31/18	1 00	259 76	- 9 86
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	311 120	103 79596	- 322 93	04/30/18	1 00	311 12	- 11 81
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	242 600	103 79637	- 251 81	05/31/18	1 00	242 60	- 9 21
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	418 830	103 79629	- 434 73	06/30/18	1 00	418 83	- 15 90
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	251 250	103 79701	- 260 79	07/31/18	1 00	251 25	- 9 54
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	259 280	103 79898	- 269 13	08/31/18	1 00	259 28	- 9 85

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2018 - December 31, 2018

Detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	250 170	103 79742	- 259 67	09/30/18	1.00	250.17	- 9 50
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	203 240	103 79846	- 210 96	10/31/18	1 00	203 24	- 7 72
FEDERAL HOME LOAN MTG CORP GOLD POOL G15123 03 000% DUE 06/01/2024	282 940	103 79586	- 293 68	11/30/18	1 00	282 94	- 10 74
FEDERAL HOME LOAN MTG CORP GOLD POOL G08843 04 500% DUE 10/01/2048	134 140	103 22797	- 138 47	09/30/18	1 00	134 14	- 4 33
FEDERAL HOME LOAN MTG CORP GOLD POOL G08843 04 500% DUE 10/01/2048	160 990	103 22380	- 166 18	10/31/18	1 00	160 99	- 5 19
FEDERAL HOME LOAN MTG CORP GOLD POOL G08843 04 500% DUE 10/01/2048	194 560	103 22780	- 200 84	11/30/18	1 00	194 56	- 6 28
FEDERAL HOME LOAN MTG CORP GOLD POOL T65489 04 500% DUE 06/01/2048	22.220	100 85509	- 22 41	11/30/18	1 00	22 22	- 0 19
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	238 580	105 18904	- 250 96	01/01/18	1 00	238 58	- 12 38
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	429 270	105 18788	- 451 54	02/01/18	1 00	429 27	- 22 27
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	213	105 18779	- 224.05	03/01/18	1 00	213 00	- 11 05
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	302 560	105 18575	- 318 25	04/01/18	1 00	302 56	- 15 69

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
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Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	211 550	105 18554	- 222 52	05/01/18	1 00	211 55	- 10 97
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	199 440	105 18953	- 209 79	06/01/18	1 00	199 44	- 10 35
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	189 710	105 18686	- 199 55	07/01/18	1 00	189 71	- 9 84
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	287 780	105 18799	- 302 71	08/01/18	1 00	287 78	- 14 93
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	203 230	105 18624	- 213 77	09/01/18	1 00	203 23	- 10 54
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	203 050	105 18591	- 213 58	10/01/18	1 00	203 05	- 10 53
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	190 980	105 18903	- 200 89	11/01/18	1 00	190 98	- 9 91
FEDERAL NATL MTG ASSN SERIES 1997-61 CLASS ZC 07 000% DUE 02/25/2023	241 630	105 18561	- 254 16	12/01/18	1 00	241 63	- 12 53
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	385 080	91 87442	- 353 79	05/01/18	1 00	385 08	31 29
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	771 120	91 87545	- 708 47	06/01/18	1 00	771 12	62 65
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	1,140 160	91 87482	- 1,047 52	07/01/18	1 00	1,140 16	92 64

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FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	733 440	91 87527	- 673 85	08/01/18	1 00	733 44	59 59
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	676 370	91 87575	- 621 42	09/01/18	1 00	676 37	54 95
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	576 670	91 87577	- 529 82	10/01/18	1 00	576 67	46 85
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	709 780	91 87495	- 652 11	11/01/18	1 00	709 78	57 67
FEDERAL NATL MTG ASSN SERIES 2013 2 CLASS LA 01 500% DUE 08/25/2042	834 550	91 87466	- 766 74	12/01/18	1 00	834 55	67 81
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	207 120	93 55446	- 193 77	01/01/18	1 00	207 12	13 35
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	181 050	93 55427	- 169 38	02/01/18	1 00	181.05	11 67
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	131 520	93 55231	- 123 04	03/01/18	1 00	131 52	8 48
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	188 360	93 55489	- 176 22	04/01/18	1 00	188 36	12 14
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	120 980	93 55265	- 113 18	05/01/18	1 00	120 98	7 80
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	148 330	93 55491	- 138 77	06/01/18	1 00	148 33	9 56

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FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	235 060	93 55484	- 219 91	07/01/18	1 00	235 06	15 15
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	184 460	93 55416	- 172 57	08/01/18	1 00	184 46	11 89
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	175 710	93 55757	- 164 39	09/01/18	1 00	175 71	11 32
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	87 400	93 55835	- 81 77	10/01/18	1 00	87 40	5 63
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	110 350	93 55686	- 103 24	11/01/18	1 00	110 35	7 11
FEDERAL HOME LOAN MTG CORP SERIES 3862 CLASS TO 00 000 DUE 05/15/2041	129 120	93 55638	- 120 80	12/01/18	1 00	129 12	8 32
FEDERAL HOME LOAN MTG CORP SERIES 4029 CLASS CA 02 000% DUE 05/15/2041	44,399 100	88 18751	- 39,154 46	04/03/18	90 63	40,236 68	1,082 22
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,284 850	97 74993	- 1,255 94	01/01/18	1 00	1,284 85	28 91
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,079 220	97 75023	- 1,054 94	02/01/18	1 00	1,079 22	24 28
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,186 010	97 75044	- 1,159 33	03/01/18	1 00	1,186 01	26 68
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	586 370	97 75057	- 573 18	04/01/18	1 00	586 37	13 19

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FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	2,011 450	97 74988	- 1,966 19	05/01/18	1 00	2,011 45	45 26
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,884 090	97.75011	- 1,841 70	06/01/18	1 00	1,884 09	42 39
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	2,624 430	97 74999	- 2,565 38	07/01/18	1 00	2,624 43	59 05
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,684 380	97 74991	- 1,646 48	08/01/18	1 00	1,684 38	37 90
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,449 150	97 74972	- 1,416 54	09/01/18	1 00	1,449 15	32 61
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,440 940	97 75008	- 1,408 52	10/01/18	1.00	1,440 94	32 42
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	947 390	97 74961	- 926 07	11/01/18	1 00	947 39	21 32
FEDERAL HOME LOAN MTG CORP SERIES 4107 CLASS MC 02 000% DUE 01/15/2042	1,838 230	97 75001	- 1,796 87	12/01/18	1 00	1,838 23	41 36
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	276 070	100.90557	- 278 57	01/01/18	1 00	276 07	- 2 50
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	591 340	100 90642	- 596 70	02/01/18	1.00	591 34	- 5.36
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	129 670	100 91000	- 130 85	03/01/18	1 00	129 67	- 1 18

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FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	221 320	100 90819	- 223 33	04/01/18	1 00	221 32	- 2 01
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	91 340	100 90869	- 92 17	05/01/18	1 00	91 34	- 0 83
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	666 200	100 90513	- 672 23	06/01/18	1 00	666 20	- 6 03
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	225 320	100 90538	- 227 36	07/01/18	1 00	225 32	- 2 04
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	134 380	100 90787	- 135 60	08/01/18	1 00	134 38	- 1 22
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	123 750	100 90505	- 124 87	09/01/18	1 00	123 75	- 1 12
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	123 300	100 90835	- 124 42	10/01/18	1 00	123 30	- 1 12
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02.000% DUE 10/15/2042	69 250	100 90975	- 69 88	11/01/18	1 00	69 25	- 0 63
FEDERAL HOME LOAN MTG CORP SERIES 4120 CLASS DE 02 000% DUE 10/15/2042	118 650	100 91024	- 119 73	12/01/18	1 00	118 65	- 1 08
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	279 680	106 09268	- 296 72	12/31/17	1 00	279 68	- 17 04
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	204	106 09314	- 216 43	01/31/18	1 00	204 00	- 12 43

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FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	200 220	106 09330	- 212 42	02/28/18	1 00	200 22	- 12 20
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	257 380	106 09216	- 273 06	03/31/18	1 00	257 38	- 15 68
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	232 920	106 09222	- 247 11	04/30/18	1 00	232 92	- 14 19
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	184 780	106 09373	- 196 04	05/31/18	1 00	184 78	- 11 26
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	181 780	106 09528	- 192 86	06/30/18	1 00	181 78	- 11 08
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	178 660	106 09538	- 189 55	07/31/18	1 00	178 66	- 10 89
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	154 600	106 09314	- 164.02	08/31/18	1 00	154 60	- 9 42
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	144 820	106 09032	- 153 64	09/30/18	1 00	144 82	- 8 82
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	151 400	106 09643	- 160 63	10/31/18	1 00	151 40	- 9 23
FEDERAL NATL MTG ASSN POOL # 252348 06 500% DUE 03/01/2019	156 440	106.09179	- 165 97	11/30/18	1 00	156 44	- 9 53
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	295 950	106 23416	- 314 40	12/31/17	1 00	295 95	- 18 45

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FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	190 650	106 23656	- 202 54	01/31/18	1 00	190 65	- 11 89
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	151 430	106 23390	- 160 87	02/28/18	1 00	151 43	- 9 44
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	138 100	106 23461	- 146 71	03/31/18	1 00	138 10	- 8 61
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	118 750	106 23158	- 126 15	04/30/18	1 00	118 75	- 7 40
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	195 340	106 23528	- 207 52	05/31/18	1 00	195 34	- 12 18
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	212 760	106 23237	- 226 02	06/30/18	1 00	212 76	- 13 26
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	198 610	106.23332	- 210 99	07/31/18	1 00	198 61	- 12 38
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	153 570	106 23169	- 163 14	08/31/18	1 00	153 57	- 9 57
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	212 200	106 23468	- 225 43	09/30/18	1 00	212 20	- 13 23
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	122 020	106 23668	- 129 63	10/31/18	1 00	122 02	- 7 61
FEDERAL NATL MTG ASSN POOL AL2877 03 500% DUE 01/01/2028	165 200	106 23487	- 175.50	11/30/18	1 00	165 20	- 10 30

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FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	2,928 020	103 57819	- 3,032 79	12/31/17	1 00	2,928 02	- 104 77
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,511 280	103 57842	- 1,565 36	01/31/18	1 00	1,511 28	- 54 08
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,614 260	103 57811	- 1,672 02	02/28/18	1 00	1,614 26	- 57 76
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	3,627 210	103 57823	- 3,757 00	03/31/18	1 00	3,627 21	- 129 79
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,767.100	103 57818	- 1,830 33	04/30/18	1 00	1,767 10	- 63 23
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,470 220	103 57838	- 1,522 83	05/31/18	1 00	1,470 22	- 52 61
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,388 060	103 57838	- 1,437 73	06/30/18	1 00	1,388 06	- 49 67
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,544 200	103 57790	- 1,599 45	07/31/18	1 00	1,544 20	- 55 25
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	2,430 040	103 57813	- 2,516 99	08/31/18	1 00	2,430 04	- 86 95
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,341 090	103 57843	- 1,389 08	09/30/18	1.00	1,341 09	- 47 99
FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,245 070	103 57811	- 1,289 62	10/31/18	1 00	1,245 07	- 44 55

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FEDERAL NATL MTG ASSN POOL AL6206 03 500% DUE 06/01/2028	1,715 630	103 57828	- 1,777 02	11/30/18	1 00	1,715 63	- 61 39
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	402 920	104 68828	- 421 81	12/31/17	1 00	402 92	- 18 89
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	377 830	104 68729	- 395 54	01/31/18	1 00	377 83	- 17 71
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	303 010	104 68631	- 317 21	02/28/18	1 00	303 01	- 14 20
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	577 860	104 68799	- 604 95	03/31/18	1 00	577 86	- 27 09
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	445 580	104 68827	- 466 47	04/30/18	1 00	445 58	- 20 89
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	154 660	104 68770	- 161 91	05/31/18	1 00	154 66	- 7 25
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	516 790	104 68662	- 541.01	06/30/18	1 00	516 79	- 24 22
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	231 260	104 68736	- 242 10	07/31/18	1 00	231 26	- 10.84
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	209 450	104 68847	- 219 27	08/31/18	1 00	209 45	- 9 82
FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	24,556 810	104 68746	- 25,707 90	10/09/18	100 45	24,666 16	- 1,041 74

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FEDERAL NATL MTG ASSN POOL AL8168 04 000% DUE 11/01/2042	91 080	104 68819	- 95 35	09/30/18	1 00	91 08	- 4 27
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05 000% DUE 03/25/2018	93 340	108 56010	- 101 33	01/01/18	1 00	93 34	- 7 99
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05 000% DUE 03/25/2018	9 190	108 48749	- 9 97	02/01/18	1 00	9 19	- 0.78
FEDERAL NATL MTG ASSN SERIES 2003-16 CLASS LJ 05 000% DUE 03/25/2018	0 300	110 00000	- 0 33	03/01/18	1 00	0 30	- 0 03
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04 000% DUE 06/25/2033	489 960	107 30060	- 525 73	01/01/18	1 00	489 96	- 35 77
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04 000% DUE 06/25/2033	2,351 070	107 30008	- 2,522 70	02/01/18	1 00	2,351 07	- 171 63
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04 000% DUE 06/25/2033	507 420	107 29967	- 544 46	03/01/18	1 00	507 42	- 37 04
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04 000% DUE 06/25/2033	1,762 750	107 29996	- 1,891 43	04/01/18	1 00	1,762 75	- 128 68
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04 000% DUE 06/25/2033	93,163 720	107 30000	- 99,964 67	05/02/18	102 72	95,696 61	- 4,268 06
FEDERAL NATL MTG ASSN SERIES 2003-44 CLASS CL 04 000% DUE 06/25/2033	1,635 730	107 30010	- 1,755 14	05/01/18	1 00	1,635 73	- 119 41
FEDERAL HOME LN MTG CORP SERIES 2602 CLASS EU 05 000% DUE 04/15/2018	282 440	108 85852	- 307 46	01/10/18	100 06	282 62	- 24 84

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FEDERAL HOME LN MTG CORP SERIES 2602 CLASS EU 05 000% DUE 04/15/2018	169 370	108 86225	- 184 38	01/01/18	1 00	169 37	- 15 01
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	265 320	84 49796	- 224 19	01/01/18	1 00	265 32	41 13
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	258 630	84 49909	- 218 54	02/01/18	1 00	258 63	40 09
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	252 090	84 50157	- 213 02	03/01/18	1 00	252 09	39 07
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	245 720	84 49862	- 207 63	04/01/18	1 00	245 72	38 09
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	239 510	84 50169	- 202 39	05/01/18	1 00	239 51	37 12
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	233 450	84 49775	- 197 26	06/01/18	1 00	233 45	36 19
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	227 540	84 49943	- 192 27	07/01/18	1 00	227 54	35 27
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	221 780	84 49815	- 187 40	08/01/18	1 00	221 78	34 38
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	216 150	84 50150	- 182 65	09/01/18	1 00	216 15	33 50
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	210 680	84 49782	- 178 02	10/01/18	1 00	210 68	32 66

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FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	205 330	84 49812	- 173 50	11/01/18	1 00	205 33	31 83
FEDERAL NATL MTG ASSN SERIES 2006-36 CLASS VO ZERO CPN DUE 05/25/2036	200 120	84 49930	- 169 10	12/01/18	1 00	200 12	31 02
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	95 410	109 05565	- 104 05	01/01/18	1 00	95 41	- 8 64
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	1,329 590	109 05618	- 1,450 00	02/01/18	1 00	1,329 59	- 120 41
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	76 830	109 05896	- 83 79	03/01/18	1 00	76 83	- 6 96
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	77 360	109 06153	- 84 37	04/01/18	1 00	77 36	- 7 01
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	87 620	109 06186	- 95 56	05/01/18	1 00	87 62	- 7 94
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	81 430	109 06300	- 88 81	06/01/18	1 00	81 43	- 7 38
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	80 570	109 06044	- 87 87	07/01/18	1 00	80 57	- 7 30
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	74 270	109 06153	- 81 00	08/01/18	1 00	74 27	- 6 73
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	1,544 120	109 05629	- 1,683 96	09/01/18	1 00	1,544 12	- 139 84

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FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	1,344 370	109 05629	- 1,466 12	10/01/18	1 00	1,344 37	- 121 75
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	79 080	109 05412	- 86 24	11/01/18	1 00	79 08	- 7 16
FEDERAL HOME LOAN MTG CORP SERIES 2835 CLASS TB 04 500% DUE 08/15/2034	60 020	109 06365	- 65 46	12/01/18	1 00	60 02	- 5 44
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	104 700	88 99713	- 93 18	01/01/18	1 00	104 70	11 52
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	142 110	89 00148	- 126 48	02/15/18	1 00	142 11	15 63
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	118 440	88 99865	- 105 41	03/01/18	1 00	118 44	13 03
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	168 250	89 00446	- 149 75	04/01/18	1 00	168 25	18 50
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	121 680	89 00394	- 108 30	05/01/18	1 00	121 68	13 38
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	116 220	89 00361	- 103 44	06/01/18	1 00	116 22	12 78
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	112 610	88 99742	- 100 22	07/01/18	1 00	112 61	12 39
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	120 180	88 99983	- 106 96	08/01/18	1 00	120 18	13 22

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FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	96 380	89 00187	- 85 78	09/01/18	1 00	96 38	10.60
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	98 260	88 99858	- 87 45	10/01/18	1 00	98 26	10 81
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	95 030	89.00347	- 84 58	11/01/18	1 00	95 03	10 45
FEDERAL HOME LOAN MTG CORP SERIES 2934 CLASS EC ZERO COUPON DUE 02/15/2020	112 840	89 00213	- 100 43	12/01/18	1 00	112 84	12 41
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	209 330	85 24817	- 178 45	01/01/18	1 00	209 33	30 88
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	214 330	85 25171	- 182 72	02/15/18	1 00	214 33	31 61
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	50 790	85 25300	- 43 30	03/01/18	1.00	50 79	7 49
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	227 850	85 24907	- 194 24	04/01/18	1 00	227 85	33 61
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	182 630	85 24886	- 155 69	05/01/18	1 00	182 63	26 94
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	49 300	85 25355	- 42 03	06/01/18	1 00	49 30	7 27
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	348 370	85 25131	- 296 99	07/01/18	1 00	348 37	51 38

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FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	50 190	85 25603	- 42 79	08/01/18	1 00	50 19	7 40
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	49 950	85 24525	- 42 58	09/01/18	1 00	49 95	7 37
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	217 900	85 25011	- 185 76	10/01/18	1 00	217 90	32 14
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	303 140	85 25104	- 258 43	11/01/18	1 00	303 14	44 71
FEDERAL HOME LOAN MTG CORP SERIES 2974 CLASS KO ZERO CPN DUE 05/15/2035	82 690	85 24610	- 70 49	12/01/18	1 00	82 69	12 20
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	512 770	109 06254	- 559 24	01/01/18	1 00	512 77	- 46 47
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	524.590	109 06232	- 572 13	02/01/18	1 00	524 59	- 47 54
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	490 430	109 06347	- 534 88	03/01/18	1 00	490 43	- 44 45
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	486 650	109 06195	- 530 75	04/01/18	1 00	486 65	- 44 10
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	534 430	109 06199	- 582 86	05/01/18	1 00	534 43	- 48 43
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	450 170	109 06324	- 490 97	06/01/18	1 00	450 17	- 40 80

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FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	375 990	109 06407	- 410 07	07/01/18	1 00	375 99	- 34 08
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	432 110	109 06251	- 471 27	08/01/18	1 00	432 11	- 39 16
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	389 890	109 06153	- 425 22	09/01/18	1 00	389 89	- 35 33
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	398 180	109 06374	- 434 27	10/01/18	1 00	398 18	- 36 09
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	346 520	109 06153	- 377 92	11/01/18	1 00	346 52	- 31 40
FEDERAL HOME LN MTG CORP SERIES 3010 CLASS WB 04 500% DUE 07/15/2020	359 600	109 06285	- 392 19	12/01/18	1 00	359 60	- 32 59
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	209 960	105 62488	- 221 77	01/01/18	1 00	209 96	- 11 81
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	233 200	105 62607	- 246 32	02/01/18	1 00	233 20	- 13 12
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	232 870	105.62546	- 245 97	03/01/18	1 00	232 87	- 13 10
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	217.290	105 62382	- 229 51	04/01/18	1 00	217 29	- 12 22
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	206 930	105 62509	- 218 57	05/01/18	1 00	206 93	- 11 64

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FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	221 920	105 62365	- 234 40	06/01/18	1 00	221 92	- 12 48
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	182 720	105 62609	- 193 00	07/01/18	1 00	182 72	- 10 28
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	191 250	105 62614	- 202 01	08/01/18	1 00	191 25	- 10 76
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	223 680	105 62411	- 236 26	09/01/18	1 00	223 68	- 12 58
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	185 940	105 62547	- 196 40	10/01/18	1 00	185 94	- 10 46
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	184 740	105 62412	- 195 13	11/01/18	1 00	184 74	- 10 39
FEDERAL NATL MTG ASSN SERIES 2011-53 CLASS CY 04 000% DUE 06/25/2041	197 080	105 62716	- 208 17	12/01/18	1 00	197 08	- 11 09
FEDERAL NATL MTG ASSN SERIES 2010 21 CLASS PZ 05 000% DUE 03/25/2040	29,935 920	110.31316	- 33,023 26	03/27/18	115 39	34,543 25	1,519 99
FEDERAL HOME LOAN MTG CORP SERIES 3747 CLASS HX 04 500% DUE 11/15/2039	40,000	108 68750	- 43,475 00	06/05/18	104 75	41,900 00	- 1,575 00
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,876 940	103 62505	- 1,944 98	12/31/17	1 00	1,876 94	- 68 04
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,656 760	103 62515	- 1,716 82	01/31/18	1 00	1,656 76	- 60 06

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FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,642 690	103 62515	- 1,702 24	02/28/18	1 00	1,642 69	- 59 55
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,789 630	103 62477	- 1,854 50	03/31/18	1 00	1,789 63	- 64 87
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,554 900	103 62531	- 1,611 27	04/30/18	1 00	1,554 90	- 56 37
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,662 340	103 62501	- 1,722 60	05/31/18	1 00	1,662 34	- 60 26
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,745 600	103 62511	- 1,808 88	06/30/18	1 00	1,745 60	- 63 28
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,470 920	103 62494	- 1,524 24	07/31/18	1 00	1,470 92	- 53 32
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,496 200	103 62518	- 1,550 44	08/31/18	1 00	1,496 20	- 54 24
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,250 400	103 62524	- 1,295 73	09/30/18	1 00	1,250 40	- 45 33
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,449 960	103 62493	- 1,502 52	10/31/18	1 00	1,449 96	- 52 56
FEDERAL NATL MTG ASSN POOL BM1231 03 500% DUE 11/01/2031	1,268 180	103 62488	- 1,314 15	11/30/18	1 00	1,268 18	- 45 97
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	156 730	102 57130	- 160 76	12/31/17	1 00	156 73	- 4 03

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FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	155 190	102 57104	- 159 18	01/31/18	1 00	155 19	- 3 99
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	142 790	102 57021	- 146 46	02/28/18	1 00	142 79	- 3 67
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	147 340	102 57228	- 151 13	03/31/18	1 00	147 34	- 3 79
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	131 220	102 56821	- 134 59	04/30/18	1 00	131 22	- 3 37
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	127 340	102 56793	- 130 61	05/31/18	1 00	127 34	- 3 27
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	119 900	102 56881	- 122 98	06/30/18	1 00	119 90	- 3 08
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	122 610	102 56912	- 125 76	07/31/18	1 00	122 61	- 3 15
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	112 100	102 56913	- 114 98	08/31/18	1 00	112 10	- 2 88
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	101 560	102 56991	- 104 17	09/30/18	1 00	101 56	- 2 61
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	98 920	102 56773	- 101 46	10/31/18	1 00	98 92	- 2 54
FEDERAL NATL MTG ASSN POOL BM1944 04 500% DUE 11/01/2032	96.250	102 57662	- 98 73	11/30/18	1 00	96 25	- 2 48

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FEDERAL NATL MTG ASSN POOL # 725606 06 000% DUE 03/01/2018	0 180	105 55556	- 0 19	12/31/17	1 00	0 18	- 0 01
FEDERAL NATL MTG ASSN POOL # 725606 06 000% DUE 03/01/2018	0.100	110 00000	- 0 11	01/31/18	1 00	0 10	- 0 01
FEDERAL NATL MTG ASSN POOL # 725606 06 000% DUE 03/01/2018	0 046	108.69565	- 0 05	02/28/18	1 00	0 04	- 0 01
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	62 820	107 75231	- 67 69	12/31/17	1 00	62 82	- 4 87
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	53 980	107 74361	- 58 16	01/31/18	1 00	53 98	- 4 18
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	43 250	107.74566	- 46 60	02/28/18	1 00	43 25	- 3 35
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	42 110	107 74163	- 45 37	03/31/18	1 00	42 11	- 3 26
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	41 180	107 74648	- 44 37	04/30/18	1 00	41 18	- 3 19
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	50 370	107 74270	- 54 27	05/31/18	1.00	50 37	- 3 90
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	37 260	107.75631	- 40 15	06/30/18	1 00	37 26	- 2 89
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	27 940	107 76664	- 30 11	07/31/18	1 00	27 94	- 2 17

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FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	31 600	107 75316	- 34 05	08/31/18	1 00	31.60	- 2 45
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	25 540	107 75255	- 27 52	09/30/18	1 00	25 54	- 1 98
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	18 560	107 75862	- 20 00	10/31/18	1 00	18 56	- 1.44
FEDERAL NATL MTG ASSN POOL 735238 06 500% DUE 12/01/2019	10 760	107 71375	- 11 59	11/30/18	1 00	10 76	- 0 83
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	161 250	110 12713	- 177 58	12/31/17	1 00	161 25	- 16 33
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	177 340	110 12744	- 195 30	01/31/18	1 00	177 34	- 17 96
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	80 710	110 12266	- 88 88	02/28/18	1 00	80 71	- 8 17
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	153 380	110 12518	- 168 91	03/31/18	1 00	153 38	- 15 53
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	118 470	110 12915	- 130.47	04/30/18	1 00	118 47	- 12 00
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	97 260	110 12749	- 107 11	05/31/18	1 00	97 26	- 9 85
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	65 850	110 12908	- 72 52	06/30/18	1 00	65 85	- 6 67

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FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	108 420	110 12728	- 119 40	07/31/18	1 00	108 42	- 10 98
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	191 050	110 12300	- 210 39	08/31/18	1 00	191 05	- 19 34
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	118 850	110 12200	- 130 88	09/30/18	1 00	118 85	- 12 03
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	99 640	110 12646	- 109 73	10/31/18	1 00	99 64	- 10 09
FEDERAL NATL MTG ASSN POOL 735649 06 000% DUE 12/01/2032	47 510	110 12418	- 52 32	11/30/18	1 00	47 51	- 4 81
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	437 360	102.34361	- 447 61	12/31/17	1 00	437 36	- 10 25
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	624 820	102.34307	- 639 46	01/31/18	1 00	624 82	- 14 64
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	393 980	102 34276	- 403 21	02/28/18	1 00	393 98	- 9 23
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	367 490	102 34292	- 376 10	03/31/18	1 00	367 49	- 8 61
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	316 750	102 34254	- 324 17	04/30/18	1 00	316 75	- 7 42
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	492 760	102 34394	- 504 31	05/31/18	1 00	492 76	- 11 55

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FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	464 730	102 34330	- 475 62	06/30/18	1 00	464 73	- 10 89
FEDERAL NATL MTG ASSN POOL AB9394 02.500% DUE 05/01/2023	416 230	102 34486	- 425 99	07/31/18	1 00	416 23	- 9 76
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	405 730	102 34392	- 415 24	08/31/18	1 00	405 73	- 9 51
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	415 190	102 34351	- 424 92	09/30/18	1 00	415 19	- 9 73
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	495 610	102 34459	- 507 23	10/31/18	1 00	495 61	- 11 62
FEDERAL NATL MTG ASSN POOL AB9394 02 500% DUE 05/01/2023	556 940	102 34316	- 569 99	11/30/18	1 00	556 94	- 13 05
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	384 970	106 00047	- 408 07	12/31/17	1 00	384 97	- 23 10
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	378 910	106 00143	- 401 65	01/31/18	1 00	378 91	- 22 74
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	510 720	105 99937	- 541 36	02/28/18	1 00	510 72	- 30 64
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	420 630	106 00052	- 445 87	03/31/18	1 00	420 63	- 25 24
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	288 630	106 00076	- 305 95	04/30/18	1 00	288 63	- 17 32

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FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	407 430	106 00103	- 431 88	05/31/18	1 00	407 43	- 24 45
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	461 420	106 00104	- 489 11	06/30/18	1 00	461 42	- 27 69
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	461 610	106 00074	- 489 31	07/31/18	1 00	461 61	- 27 70
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	355 840	105 99989	- 377 19	08/31/18	1 00	355 84	- 21 35
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	251 010	105 99976	- 266 07	09/30/18	1 00	251 01	- 15 06
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	364 710	105 99929	- 386 59	10/31/18	1 00	364 71	- 21 88
FEDERAL NATL MTG ASSN POOL AC4797 04 000% DUE 10/01/2024	235 860	105 99932	- 250 01	11/30/18	1 00	235 86	- 14 15
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	356 830	105 09486	- 375 01	12/31/17	1 00	356 83	- 18 18
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	370 130	105 09281	- 388.98	01/31/18	1 00	370 13	- 18 85
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	325 660	105 09427	- 342 25	02/28/18	1 00	325 66	- 16 59
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	348 960	105 09514	- 366 74	03/31/18	1 00	348 96	- 17 78

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FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	305 080	105 09375	- 320 62	04/30/18	1 00	305 08	- 15 54
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	380 030	105 09433	- 399 39	05/31/18	1 00	380 03	- 19 36
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	273 820	105 09459	- 287 77	06/30/18	1 00	273 82	- 13 95
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	308 130	105 09525	- 323 83	07/31/18	1 00	308 13	- 15 70
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	247 620	105 09248	- 260 23	08/31/18	1 00	247 62	- 12 61
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	276 950	105 09478	- 291 06	09/30/18	1 00	276 95	- 14 11
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	316 360	105 09230	- 332 47	10/31/18	1 00	316 36	- 16 11
FEDERAL NATL MTG ASSN POOL MA0895 03 500% DUE 11/01/2031	245 720	105 09523	- 258 24	11/30/18	1 00	245 72	- 12 52
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	252 050	108 73636	- 274 07	12/31/17	1 00	252 05	- 22 02
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	103 230	108 73777	- 112 25	01/31/18	1 00	103 23	- 9 02
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	157 080	108 73440	- 170 80	02/28/18	1 00	157 08	- 13 72

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FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	134 890	108 73304	- 146 67	03/31/18	1 00	134 89	- 11 78
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	134 720	108 73664	- 146 49	04/30/18	1 00	134 72	- 11 77
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	296 250	108 73586	- 322 13	05/31/18	1 00	296 25	- 25 88
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	126 530	108 73311	- 137 58	06/30/18	1 00	126 53	- 11 05
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	230 590	108 73412	- 250 73	07/31/18	1 00	230 59	- 20 14
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	155 150	108 73348	- 168 70	08/31/18	1.00	155 15	- 13 55
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	158 500	108 73186	- 172 34	09/30/18	1 00	158 50	- 13 84
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	142 970	108 73610	- 155 46	10/31/18	1 00	142 97	- 12 49
FEDERAL NATL MTG ASSN POOL #AD0250 05 500% DUE 04/01/2035	79.560	108 73555	- 86 51	11/30/18	1 00	79 56	- 6 95
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	104 120	107 93315	- 112 38	12/31/17	1 00	104 12	- 8 26
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	126 430	107 94115	- 136 47	01/31/18	1 00	126 43	- 10 04

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FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	105 260	107 94224	- 113 62	02/28/18	1 00	105 26	- 8 36
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	92 350	107 93720	- 99 68	03/31/18	1 00	92 35	- 7 33
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	74 740	107 93417	- 80 67	04/30/18	1 00	74 74	- 5 93
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	81 260	107 93748	- 87 71	05/31/18	1 00	81 26	- 6 45
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	100 030	107 93762	- 107 97	06/30/18	1 00	100 03	- 7 94
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	93 470	107 93838	- 100 89	07/31/18	1 00	93 47	- 7 42
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	77 740	107 93671	- 83 91	08/31/18	1 00	77 74	- 6 17
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	63 730	107 93975	- 68 79	09/30/18	1 00	63 73	- 5 06
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	75 090	107 93714	- 81 05	10/31/18	1 00	75 09	- 5 96
FEDERAL NATL MTG ASSN POOL #AE0364 05 500% DUE 04/01/2037	74 770	107 94436	- 80 71	11/30/18	1 00	74 77	- 5 94
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	148 370	109 95484	- 163 14	12/31/17	1 00	148 37	- 14 77

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FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	163 520	109 95597	- 179 80	01/31/18	1 00	163 52	- 16 28
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	152 780	109 95549	- 167 99	02/28/18	1 00	152 78	- 15 21
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	127 510	109 95216	- 140 20	03/31/18	1 00	127 51	- 12 69
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	120 480	109 95186	- 132 47	04/30/18	1 00	120 48	- 11 99
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	135 160	109 95117	- 148 61	05/31/18	1 00	135 16	- 13 45
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	133 440	109 95204	- 146 72	06/30/18	1 00	133 44	- 13 28
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	108 360	109 95755	- 119 15	07/31/18	1 00	108.36	- 10 79
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	116 560	109 95196	- 128 16	08/31/18	1 00	116 56	- 11 60
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	114 180	109 94920	- 125 54	09/30/18	1 00	114 18	- 11 36
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	90 630	109 95255	- 99.65	10/31/18	1 00	90 63	- 9 02
FEDERAL NATL MTG ASSN POOL #AE0671 05 500% DUE 09/01/2036	155 540	109 95242	- 171 02	11/30/18	1 00	155 54	- 15 48

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FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	344 720	102 35263	352 83	12/14/17	1 00	- 344 72	8 11
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	359 300	102 35180	- 367 75	12/15/17	1 00	359 30	- 8 45
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	319 710	102 35213	- 327 23	01/15/18	1 00	319 71	- 7 52
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	324 890	102 35157	- 332 53	02/15/18	1 00	324 89	- 7 64
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	328 770	102 23561	- 336 12	03/15/18	1 00	328 77	- 7 35
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	215 030	102 19969	- 219 76	04/15/18	1 00	215 03	- 4 73
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	369 990	102 15952	- 377 98	05/25/18	1 00	369 99	- 7 99
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	390 420	102 15921	- 398 85	06/25/18	1 00	390 42	- 8 43
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	407 020	102 07852	- 415 48	07/25/18	1 00	407 02	- 8 46
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	365 190	102 03730	- 372 63	08/25/18	1 00	365 19	- 7 44
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	278 660	101 99526	- 284 22	09/25/18	1 00	278 66	- 5 56

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FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	269 510	101 95540	- 274 78	10/25/18	1.00	269 51	- 5 27
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	297 630	101 91177	- 303 32	11/25/18	1 00	297 63	- 5 69
FEDERAL HOME LOAN MTG CORP SERIES 2017 4 CLASS MT 03 500% DUE 06/25/2057	248 040	101 87067	- 252 68	12/25/18	1 00	248 04	- 4 64
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	215 390	97 75291	- 210 55	03/25/18	1 00	215 39	4 84
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	231 420	97 75300	- 226 22	04/25/18	1 00	231 42	5 20
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	213 160	97 75286	- 208 37	05/25/18	1 00	213 16	4 79
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	256 220	97 75193	- 250 46	06/25/18	1 00	256 22	5 76
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	248 890	97 75403	- 243 30	07/25/18	1 00	248 89	5 59
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	275 540	97 75350	- 269 35	08/25/18	1 00	275 54	6 19
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	252 710	97 75236	- 247 03	09/25/18	1 00	252 71	5 68
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	225 640	97 75306	- 220 57	10/25/18	1 00	225 64	5 07

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FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	267 660	97 75461	- 261 65	11/25/18	1 00	267 66	6 01
FEDERAL HOME LOAN MTG CORP SERIES 2018 1 CLASS MA 03 000% DUE 05/25/2057	201 880	97 75609	- 197 35	12/25/18	1 00	201 88	4 53
FEDERAL HOME LOAN MTG CORP SERIES 2018 3 CLASS MA 03 500% DUE 08/25/2057	948 460	99 45807	- 943 32	08/01/18	1 00	948 46	5 14
FEDERAL HOME LOAN MTG CORP SERIES 2018 3 CLASS MA 03 500% DUE 08/25/2057	678 080	99 45729	- 674 40	09/01/18	1 00	678 08	3 68
FEDERAL HOME LOAN MTG CORP SERIES 2018 3 CLASS MA 03 500% DUE 08/25/2057	623 420	99 45783	- 620 04	10/01/18	1 00	623 42	3 38
FEDERAL HOME LOAN MTG CORP SERIES 2018 3 CLASS MA 03 500% DUE 08/25/2057	539 780	99 45719	- 536 85	11/01/18	1 00	539 78	2 93
FEDERAL HOME LOAN MTG CORP SERIES 2018 3 CLASS MA 03 500% DUE 08/25/2057	518 910	99 45848	- 516 10	12/01/18	1 00	518 91	2 81
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	158 110	109 72108	- 173 48	12/31/17	1 00	158 11	- 15 37
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	259 150	109 72024	- 284 34	01/31/18	1 00	259 15	- 25 19
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	400 820	109 71758	- 439 77	02/28/18	1 00	400 82	- 38 95
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	547 950	109 71804	- 601 20	03/31/18	1 00	547 95	- 53 25

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GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	89 320	109 71787	- 98 00	04/30/18	1 00	89 32	- 8.68
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	139 840	109 71825	- 153 43	05/31/18	1 00	139 84	- 13 59
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	419 350	109 71980	- 460 11	06/30/18	1 00	419 35	- 40 76
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	132 860	109 71700	- 145 77	07/31/18	1 00	132 86	- 12 91
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	370 250	109 71776	- 406 23	08/31/18	1 00	370 25	- 35 98
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	251 980	109 71903	- 276 47	09/30/18	1 00	251 98	- 24 49
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	40 250	109 71429	- 44 16	10/31/18	1 00	40 25	- 3 91
GOVT NATL MTG ASSN II POOL MA2375 05 500% DUE 11/20/2044	40 940	109 72154	- 44 92	11/30/18	1 00	40 94	- 3 98
GOVT NATL MTG ASSN II POOL MA4722 05 000% DUE 09/20/2047	2,134 520	107 46866	- 2,293 94	12/31/17	1 00	2,134 52	- 159 42
GOVT NATL MTG ASSN II POOL MA4722 05 000% DUE 09/20/2047	96,154 620	107 46875	- 103,336 17	01/11/18	106 13	102,044 09	- 1,292 08
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	182 880	106 59449	- 194 94	01/31/18	1 00	182 88	- 12 06

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GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	561 860	106 59417	- 598 91	02/28/18	1 00	561 86	- 37 05
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	664 630	106 59314	- 708 45	03/31/18	1 00	664 63	- 43 82
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	906 300	106 59384	- 966 06	04/30/18	1 00	906 30	- 59 76
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	827 220	106 59438	- 881 77	05/31/18	1 00	827 22	- 54 55
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	957 730	106 59372	- 1,020 88	06/30/18	1 00	957 73	- 63 15
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	1,780 760	106 59381	- 1,898 18	07/31/18	1 00	1,780 76	- 117 42
GOVT NATL MTG ASSN II POOL MA4965 05 000% DUE 01/20/2048	94,118 620	106 59374	- 100,324 56	08/07/18	104 88	98,706 91	- 1,617 65
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	164.550	105 25068	- 173 19	04/30/18	1 00	164 55	- 8 64
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	320 790	105 24954	- 337 63	05/31/18	1 00	320 79	- 16 84
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	261 360	105.24946	- 275 08	06/30/18	1 00	261 36	- 13 72
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	525 170	105 24973	- 552 74	07/31/18	1 00	525 17	- 27 57

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Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	492 580	105 24991	- 518 44	08/31/18	1 00	492 58	- 25 86
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	622 990	105 25049	- 655 70	09/30/18	1 00	622 99	- 32 71
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	1,608 530	105 25014	- 1,692 98	10/31/18	1 00	1,608 53	- 84 45
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	33,655 780	105 24997	- 35,422 70	12/10/18	104 28	35,096 67	- 326 03
GOVT NATL MTG ASSN II POOL MA5080 05 000% DUE 03/20/2048	2,252 130	105 25014	- 2,370 37	11/30/18	1 00	2,252 13	- 118 24
GOVT NATL MTG ASSN II POOL MA5400 05 000% DUE 08/20/2048	156 130	105 16236	- 164 19	08/31/18	1 00	156 13	- 8 06
GOVT NATL MTG ASSN II POOL MA5400 05 000% DUE 08/20/2048	180 900	105 16307	- 190 24	09/30/18	1 00	180 90	- 9 34
GOVT NATL MTG ASSN II POOL MA5400 05 000% DUE 08/20/2048	286 190	105 16091	- 300 96	10/31/18	1 00	286 19	- 14 77
GOVT NATL MTG ASSN II POOL MA5400 05 000% DUE 08/20/2048	93,984 680	105 16015	- 98,834 43	12/17/18	104 31	98,037 77	- 796 66
GOVT NATL MTG ASSN II POOL MA5400 05 000% DUE 08/20/2048	392 090	105 15953	- 412 32	11/30/18	1 00	392 09	- 20 23
GOVT NATL MTG ASSN II POOL MA5468 05 000% DUE 09/20/2048	52 080	104 22427	- 54 28	10/31/18	1 00	52 08	- 2 20

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2018 - December 31, 2018

Detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
GOVT NATL MTG ASSN II POOL MA5468 05 000% DUE 09/20/2048	94 610	104 21731	- 98 60	11/30/18	1 00	94 61	- 3 99
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	1,082 250	98 53084	- 1,066 35	03/01/18	1 00	1,082 25	15 90
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	0 050	100 00000	- 0 05	04/01/18	1 00	0 05	
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	0 050	100 00000	- 0 05	05/01/18	1 00	0 05	
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	0 050	100 00000	- 0 05	06/01/18	1 00	0 05	
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	1,360 960	98 53118	- 1,340 97	07/01/18	1 00	1,360 96	19 99
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	0 050	100 00000	- 0 05	08/01/18	1 00	0 05	
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	0 050	100 00000	- 0 05	09/01/18	1 00	0 05	
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	0 050	100 00000	- 0 05	10/01/18	1 00	0 05	
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	1,340 900	98 53158	- 1,321 21	11/01/18	1 00	1,340 90	19 69
GOVERNMENT NATIONAL MORTGAGE A SERIES 2017 117 CLASS HU 03 000% DUE 08/20/2047	1,633 710	98 53095	- 1,609 71	12/01/18	1 00	1,633 71	24 00

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN, INC. -MD SASS
CUSTODY STATEMENT
Account number 21-75-080-4479659
January 1, 2018 - December 31, 2018

Detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
USA TREASURY BD 07 500% DUE 11/15/2024	70,000	138.54296	- 96,980 07	01/09/18	131 95	92,364 45	- 4,615 62
USA TREASURY BOND 06 000% DUE 02/15/2026	10,000	131.51560	- 13,151.56	09/25/18	119 41	11,941 41	- 1,210 15
USA TREASURY NOTES 01 750% DUE 09/30/2019	30,000	101 84637	- 30,553.91	04/04/18	99 27	29,782 03	- 771 88
USA TREASURY NOTES 01 375% DUE 10/31/2020	15,000	98 84093	- 14,826 14	01/25/18	97 82	14,673 05	- 153 09
USA TREASURY NOTES 02 125% DUE 11/30/2023	40,000	98 65583	- 39,462 33	03/14/18	97 11	38,842 19	- 620 14
USA TREASURY NOTES 02 125% DUE 11/30/2023	50,000	98.65584	- 49,327 92	04/17/18	96 93	48,462 89	- 865 03
USA TREASURY NOTES 01 625% DUE 04/30/2022	60,000	98 72265	- 59,233 59	08/10/18	96 68	58,005 47	- 1,228 12
Total			-$1,064,336.17			$1,042,053.85	-$22,282.32

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PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E AND KU FDN VANGUARD GROWTH
CUSTODY STATEMENT
Account number 21-75-080-4768868
January 1, 2018 - December 31, 2018

Detail

Realized gain/loss detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
VANGUARD GROWTH INDX #509 ADM	1,013 377	$40 74595	- $41,291 01	03/26/18	$74 01	$75,000 00	$33,708 99
VANGUARD GROWTH INDX #509 ADM	953 289	40 74595	- 38,842 67	05/02/18	73 43	70,000 00	31,157 33
Total			- $80,133.68			$145,000.00	$64,866.32

PNC
INSTITUTIONAL
ASSET MANAGEMENT

LG&E KU FDN, INC. HARBOR
CUSTODY STATEMENT
Account number 21-75-080-4780426
January 1, 2018 - December 31, 2018

Detail

Realized gain/loss detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
HARBOR INTERNATIONAL FUND CLASS INS FD # 2011	368 949	$57 13890	- $21,081 34	04/26/18	$67 76	$25,000 00	$3,918 66
Total			- $21,081.34			$25,000.00	$3,918.66

PNC INSTITUTIONAL ASSET MANAGEMENT

LG&E AND KU FDN, INC VICTORY RS
CUSTODY STATEMENT
Account number 21-75-080-6820896
January 1, 2018 - December 31, 2018

Detail

Fees and charges

Activity	Description	Post date	Quantity	Amount per unit	Cash-income	Cash-principal	Original value at PNC Market value
Total disbursements					- $574.33	- $1,472,153.37	$1,289,112.34 $1,289,112.31
Ending cash balance					- $503.98	$503.98	
Change in cash					- $475.25	$475.25	

Realized gain/loss detail

Description	Quantity	Avg original value at PNC per unit	Total original value at PNC	Sale date	Sale price per unit	Total proceeds	Net realized gain/loss
VICTORY RS SM CAP GROW-Y	1,770 695	$80 31906	- $142,220 56	03/26/18	$90 36	$160,000 00	$17,779 44
VICTORY RS SM CAP GROW-Y	103 402	80 31905	- 8,305 15	06/07/18	96 71	10,000 00	1,694 85
VICTORY RS SM CAP GROW-Y	118 671	80 31903	- 9,531 54	08/29/18	101 12	12,000 00	2,468 46
Total			- $160,057.25			$182,000.00	$21,942.75