Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
JULIA & ISADORE KLEIN FAMILY
FOUNDATION INC

A Employer identification number
61-0648689

Number and street (or P O box number if mail is not delivered to street address) Room/suite
6714 ELMCROFT CIRCLE

B Telephone number (see instructions)
(502) 292-0597

City or town, state or province, country, and ZIP or foreign postal code
LOUISVILLE, KY 40241

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 6,024,406

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1,186	1,186		
	4	Dividends and interest from securities	81,052	81,052		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	283,154			
	b	Gross sales price for all assets on line 6a 571,871				
	7	Capital gain net income (from Part IV, line 2)		283,154		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-1,473	-1,473		
	12	**Total.** Add lines 1 through 11	363,919	363,919		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	24,000	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,250	4,250		0
	c	Other professional fees (attach schedule)	5,487	5,487		0
	17	Interest	765	765		0
	18	Taxes (attach schedule) (see instructions)	542	542		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	22,887	22,573		314
	24	**Total operating and administrative expenses.** Add lines 13 through 23	57,931	33,617		314
	25	Contributions, gifts, grants paid	210,135			210,135
	26	**Total expenses and disbursements.** Add lines 24 and 25	268,066	33,617		210,449
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	95,853			
	b	**Net investment income** (if negative, enter -0-)		330,302		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing	181,893	187,261	187,261
2	Savings and temporary cash investments	277,004	151,436	151,436
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	5,176,494	5,406,257	5,685,709
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,635,391	5,744,954	6,024,406
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	5,635,391	5,744,954	
30	**Total net assets or fund balances** (see instructions)	5,635,391	5,744,954	
31	**Total liabilities and net assets/fund balances** (see instructions)	5,635,391	5,744,954	

Lines 1–16: Assets; lines 17–23: Liabilities; lines 24–31: Net Assets or Fund Balances

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,635,391
2	Enter amount from Part I, line 27a	2	95,853
3	Other increases not included in line 2 (itemize) ▶	3	13,710
4	Add lines 1, 2, and 3	4	5,744,954
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,744,954

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	283,154
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	292,629	6,050,803	0 048362
2016	308,874	5,894,161	0 052403
2015	318,288	6,168,507	0 051599
2014	315,911	6,433,529	0 049104
2013	493,544	5,781,103	0 085372

2	**Total** of line 1, column (d)	2	0 286840
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 057368
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	6,248,834
5	Multiply line 4 by line 3	5	358,483
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,303
7	Add lines 5 and 6	7	361,786
8	Enter qualifying distributions from Part XII, line 4	8	210,449

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	6,606
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	6,606
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	6,606
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 6,400		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 2,500		
d	Backup withholding erroneously withheld	6d 0		
7	Total credits and payments Add lines 6a through 6d		7	8,900
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	104
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,190
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 2,190	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶BETH KLEIN Telephone no ▶(502) 562-5413

Located at ▶6714 ELMCROFT CIRCLE LOUISVILLE KY ZIP+4 ▶40241

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
STEPHEN KLEIN PO BOX 201 MCKENNA, WA 98558	PRESIDENT 0 00	0	0	0
RICHARD KLEIN 6714 ELMCROFT CIRCLE LOUISVILLE, KY 40241	VICE PRESIDENT 0 00	0	0	0
BETH PAXTON KLEIN 6714 ELMCROFT CIRCLE LOUISVILLE, KY 40241	SECRETARY 15 00	24,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	6,025,876
b	Average of monthly cash balances.		1b	318,118
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	6,343,994
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	6,343,994
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	95,160
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	6,248,834
6	**Minimum investment return.** Enter 5% of line 5.		6	312,442

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	312,442
2a	Tax on investment income for 2018 from Part VI, line 5.	2a 6,606		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	6,606
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	305,836
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	305,836
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	305,836

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	210,449
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	210,449
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	210,449

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					305,836
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.				0	
b Total for prior years 20____, 20____, 20____			0		
3 Excess distributions carryover, if any, to 2018					
a From 2013.	206,881				
b From 2014.					
c From 2015.	11,536				
d From 2016.	14,760				
e From 2017.					
f **Total** of lines 3a through e.		233,177			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 210,449					
a Applied to 2017, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					210,449
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2018		95,387			95,387
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		137,790			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		111,494			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a		26,296			
10 Analysis of line 9					
a Excess from 2014. . . .					
b Excess from 2015. . . .	11,536				
c Excess from 2016. . . .	14,760				
d Excess from 2017. . . .					
e Excess from 2018. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

BETH KLEIN
6714 ELMCROFT CIRCLE
LOUISVILLE, KY 40241
(502) 473-6071

b The form in which applications should be submitted and information and materials they should include

THERE IS NO PRESCRIBED FORMAT

c Any submission deadlines

SUBMISSIONS ACCEPTED THROUGHOUT THE YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THERE ARE NO SPECIFIC LIMITATIONS OR RESTRICTIONS ON AWARDS

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,186	
4 Dividends and interest from securities. . . .			14	81,052	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	8	
8 Gain or (loss) from sales of assets other than inventory			14	283,154	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FIFTH AVENUE PEF 12 LLC			14	-1,112	
b FIFTH AVENUE PEF 11 LLC			14	-369	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		363,919	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 363,919

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2019-11-11 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOHN LEMASTUS				P00225963
Firm's name ▶ LEMASTUS MCDONOUGH PLLC				Firm's EIN ▶82-4357834
Firm's address ▶ 10200 FOREST GREEN BLVD SUITE 603 LOUISVILLE, KY 40223				Phone no (502) 473-6071

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ALEXION PHARMACEUTICAL/CUSIP 015351109 SYMBOL ALXN		2018-06-22	2018-12-31
1 LILLY ELI & CO CUSIP 532457108 SYMBOL LLY		2017-01-18	2018-01-24
J B HUNT TRANSPORT SVCS/CUSIP 445658107 SYMBOL JBHT (CONTD)			2018-07-18
J B HUNT TRANSPORT SVCS/CUSIP 445658107 SYMBOL JBHT (CONTD)		2016-08-15	2018-07-17
J B HUNT TRANSPORT SVCS/CUSIP 445658107 SYMBOL JBHT (CONTD)		2016-08-15	2018-07-16
J B HUNT TRANSPORT SVCS/CUSIP 445658107 SYMBOL JBHT (CONTD)		2016-08-15	2018-07-13
J B HUNT TRANSPORT SVCS/CUSIP 445658107 SYMBOL JBHT		2017-04-06	2018-07-12
J B HUNT TRANSPORT SVCS/CUSIP 445658107 SYMBOL JBHT		2017-02-03	2018-07-11
FORTIVE CO/CUSIP 34959J108 SYMBOL FTV		2017-07-24	2018-10-16
FORTIVE CO/CUSIP 34959J108 SYMBOL FTV			2018-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,404		3,833	-429
1,501		1,913	-412
264		287	-23
1,015		1,266	-251
2,577		2,725	-148
944		795	149
473		342	131
1,218		912	306
2,109		2,136	-27
1,309		1,238	71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-429
			-412
			-23
			-251
			-148
			149
			131
			306
			-27
			71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	FIDELITY NATL INFO SVCS/CUSIP 31620M106 SYMBOL FIS			2018-03-06
1	ENCANA CORP CUSIP 292505104 SYMBOL			2018-05-18
	ENCANA CORP CUSIP 292505104 SYMBOL		2017-01-25	2018-05-16
	ENISPA ADR/CUSIP 26874R108 SYMBOL E			2018-05-21
	ENISPA ADR/CUSIP 26874R108 SYMBOL E			2018-05-17
	ENISPA ADR/CUSIP 26874R108 SYMBOL E		2015-06-29	2018-05-16
	LILLY ELI & CO CUSIP 532457108 SYMBOL LLY		2017-01-18	2018-01-25
	DOW DU FONT INC CUSIP 26078J100 SYMBOL DWDP (CONTD)		2017-01-19	2018-10-29
	LILLY ELI & CO CUSIP 532457108 SYMBOL LLY		2017-01-18	2018-01-26
	NORDEA BANK AB/CUSIP 5380031 SYMBOL			2018-04-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,434		1,684	-250
13,003		12,302	701
13,548		14,098	-550
5,895		6,261	-366
6,996		7,123	-127
584		577	7
14,268		9,745	4,523
10,007		14,262	-4,255
4,424		6,309	-1,885
5,999		2,980	3,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-250
			701
			-550
			-366
			-127
			7
			4,523
			-4,255
			-1,885
			3,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	CORNERSTONE CAPITAL CUSIP 21922J208 SYMBOL (CONTD)			2018-06-20
1	CANTERRA MINERALS CUSIP 138134101 SYMBOL			2018-06-20
	ATLANTIC POWER CUSIP 04878Q863 SYMBOL AT			2018-06-20
	CLASS ACTION PROCEEDS CUSIP 007515 SYMBOL			2018-10-11
	ZOETIS INC/CUSIP 98978V103/SYMBOL ZTS			2018-10-16
	VISA INC/CUSIP 92826C839 SYMBOL V			2018-09-05
	RAYTHEON CO NEW/CUSIP 755111507 SYMBOL RTN			2018-01-17
	NIKE INC CL B/CUSIP 654106103 SYMBOL NKE			2018-08-23
	MICROSOFT CORP/CUSIP 594918104 SYMBOL MSFT			2018-11-12
	MICROSOFT CORP/CUSIP 594918104 SYMBOL MSFT			2018-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,294		1,987	1,307
7,161		5,740	1,421
4,840		3,376	1,464
11,262		7,798	3,464
10,570		7,789	2,781
7,133		7,116	17
262		245	17
14,215		11,353	2,862
1,629		833	796
4,654		3,482	1,172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,307
			1,421
			1,464
			3,464
			2,781
			17
			17
			2,862
			796
			1,172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	NORDEA BANK AB CUSIP 5380031 SYMBOL (CONTD)		2016-06-03	2018-04-13
1	NORDEA BANK AB CUSIP 5380031 SYMBOL (CONTD)		2016-06-03	2018-04-12
	NORDEA BANK AB CUSIP 5380031 SYMBOL (CONTD)			2018-04-11
	NORDEA BANK AB CUSIP 5380031 SYMBOL (CONTD)		2016-06-07	2018-04-10
	NORDEA BANK AB CUSIP 5380031 SYMBOL (CONTD)			2018-04-09
	NORDEA BANK AB/CUSIP 5380031 SYMBOL		2017-01-31	2018-04-05
	DOW DU FONT INC CUSIP 26078J100 SYMBOL DWDP (CONTD)			2018-10-26
	DOW DU FONT INC/CUSIP 26078J100 SYMBOL DWDP			2018-10-25
	DANAHER CORP/CUSIP 235851102 SYMBOL DHR		2017-08-31	2018-10-04
	ALEXION PHARMACEUTICAL CUSIP 015351109 SYMBOL ALXN		2017-06-16	2018-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,024		1,562	462
3,664		2,742	922
5,434		4,661	773
3,477		3,016	461
159		137	22
2,997		2,505	492
4,276		3,329	947
7,947		8,911	-964
7,292		8,047	-755
3,422		3,696	-274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			462
			922
			773
			461
			22
			492
			947
			-964
			-755
			-274

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	ALEXION PHARMACEUTICAL CUSIP 015351109 SYMBOL ALXN			2018-10-25
1	ENERGY SELECT SECTOR/CUSIP 81369Y506 SYMBOL XLE (CONTD)		2018-05-18	2018-06-25
	ENERGY SELECT SECTOR/CUSIP 81369Y506 SYMBOL XLE (CONTD)			2018-06-22
	PAYPAL HOLDINGS INC/CUSIP 70450Y103 SYMBOL PYPL			2018-05-03
	NORDEA BANK AB/CUSIP 5380031 SYMBOL			2018-04-05
	LILLY ELI & CO/CUSIP 532457108 SYMBOL LLY		2017-08-08	2018-01-26
	LILLY ELI & CO/CUSIP 532457108 SYMBOL LLY		2017-04-06	2018-01-24
	J B HUNT TRANSPORT SVCS CUSIP 445658107 SYMBOL JBHT		2017-08-08	2018-07-18
	ENCANA CORP CUSIP 292505104 SYMBOL		2017-08-08	2018-05-18
	ENISPA ADR/CUSIP 26874R108 SYMBOL E		2017-08-08	2018-05-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
391		364	27
8,612		7,354	1,258
6,001		4,656	1,345
1,687		1,721	-34
4,853		4,826	27
7,895		6,397	1,498
4,193		3,261	932
4,779		3,798	981
2,445		1,989	456
2,433		1,853	580

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27
			1,258
			1,345
			-34
			27
			1,498
			932
			981
			456
			580

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COMCAST CORP CL A NEW/CUSIP 20030N101 SYMBOL CMCS A		2017-08-08	2018-02-27
1 BROADCOM INC/CUSIP 11135F101 SYMBOL AVGO		2017-08-08	2018-07-13
BRISTOL-MYERS SQUIBB CO/CUSIP 110122108 SYMBOL BMY		2017-08-08	2018-04-17
ALTRIA GROUP INC/CUSIP 02209S103 SYMBOL MO		2018-03-05	2018-12-20
ALPHABET INC CLASS C/CUSIP 02079K107 SYMBOL GOOG (CONTD)			2018-03-06
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO			2018-12-20
ALTRIA GROUP INC CUSIP 02209S103 SYMBOL MO			2018-12-21
AMAZON COM INC/CUSIP 023135106 SYMBOL AMZN		2017-08-08	2018-09-05
DANAHER CORP/CUSIP 235851102 SYMBOL DHR			2018-08-23
COMCAST CORP CL A NEW/CUSIP 20030N101 SYMBOL CMCS A (CONTD)		2016-01-26	2018-05-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,096		4,123	1,973
3,622		2,474	1,148
1,820		1,237	583
4,263		2,798	1,465
422		388	34
10,211		9,310	901
9,163		8,146	1,017
2,151		2,544	-393
1,799		2,116	-317
1,284		1,485	-201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,973
			1,148
			583
			1,465
			34
			901
			1,017
			-393
			-317
			-201

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	COMCAST CORP CL A NEW/CUSIP 20030N101 SYMBOL CMCS A (CONTD)		2016-01-26	2018-05-24
1	COMCAST CORP CL A NEW/CUSIP 20030N101 SYMBOL CMCS A (CONTD)		2016-01-26	2018-05-23
	COMCAST CORP CL A NEW/CUSIP 20030N101 SYMBOL CMCS A (CONTD)		2016-01-26	2018-02-28
	COMCAST CORP CL A NEW/CUSIP 20030N101 SYMBOL CMCS A (CONTD)			2018-02-27
	CHURCH & DWIGHT INC/CUSIP 171340102 SYMBOL CHD			2018-11-12
	DEEP WELL OIL AND GAS CUSIP 243798105 SYMBOL DWOG			2018-06-20
	BROADCOM INC CUSIP 11135F101 SYMBOL AVGO		2015-01-09	2018-07-16
	BRISTOL-MYERS SQUIBB CO/CUSIP 110122108 SYMBOL BMY (CONTD)		2017-01-31	2018-04-18
	BRISTOL-MYERS SQUIBB CO/CUSIP 110122108 SYMBOL BMY (CONTD)			2018-04-17
	BOOKING HLDGS INC/CUSIP 09857L108 SYMBOL BKNG			2018-07-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,346		1,293	53
1,220		1,182	38
1,509		1,480	29
911		888	23
3,261		2,101	1,160
4,276		2,634	1,642
4,978		2,869	2,109
5,912		3,220	2,692
4,290		2,855	1,435
3,668		2,609	1,059

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			53
			38
			29
			23
			1,160
			1,642
			2,109
			2,692
			1,435
			1,059

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	APPLE INC/CUSIP 037833100/SYMBOL AAPL			2018-11-14
1	APPLE INC/CUSIP 037833100/SYMBOL AAPL			2018-07-27
	AMERICAN WATER WORKS CO/CUSIP 030420103 SYMBOL AWK (CONTD)			2018-09-05
	AMAZON COM INC/CUSIP 023135106 SYMBOL AMZN		2017-08-08	2018-11-12
	BROADCOM INC CUSIP 11135F101 SYMBOL AVGO			2018-07-13
	DENISON MINES INC CUSIP 248356107 SYMBOL		2013-01-31	2018-06-20
	FIFTH AVENUE PEF 11 LLC	P		2018-12-31
	FIFTH AVENUE PEF 11 LLC	P		2018-12-31
	FIFTH AVENUE PEF 12 LLC	P		2018-12-31
	FIFTH AVENUE PEF 12 LLC	P		2018-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			0
1,334		5,503	-4,169
22		3,056	-3,034
260		875	-615
120		8,085	-7,965
44		114	-70
557			557
87,517			87,517
634			634
32,034			32,034

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-4,169
			-3,034
			-615
			-7,965
			-70
			557
			87,517
			634
			32,034

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
137,174			137,174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			137,174

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALLEY CAT ADVOCATES INC 3044 BARDSTOWN ROAD 204 LOUISVILLE, KY 40205		501(C)(3)1	CHARITABLE	100
MAKER'S MARK SECRETARIAT CENTER 4089 IRON WORKS PARKWAY LEXINGTON, KY 40511		501(C)(3)1	CHARITABLE	2,500
METRO UNITED WAYPO BOX 950148 LOUISVILLE, KY 402950148		501(C)(3)1	CHARITABLE	28,500
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011		501(C)(3)1	CHARITABLE	1,250
NISQUALLY LAND TRUST 1420 MARVIN ROAD NE SUITE C LACEY, WA 985163878		501(C)(3)1	CHARITABLE	1,500
NORTHWEST VIPASSANA CENTER 445 GORE ROAD ONALASKA, WA 98570		501(C)(3)1	CHARITABLE	1,500
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OPTIMUM HEALTH INSTITUTE OF AUSTIN SCHOLARSHIP FUND 265 CEDAR LANE CEDAR CREEK, TX 78612		501(C)(3)1	CHARITABLE	1,500
OPTIMUM HEALTH INSTITUTE OF SAN DIEGO SCHOLARSHIP FUND 6970 CENTRAL AVENUE LEMON GROVE, CA 91945		501(C)(3)1	CHARITABLE	1,000
RAINIER EDUCATION FOUNDATION PO BOX 1017 RAINIER, WA 98576		501(C)(3)1	CHARITABLE	1,500
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOUISVILLE SPORTS COMMISSION ONE RIVERFRONT PLAZA STE 2200 401 W MAIN ST LOUISVILLE, KY 40202		501(C)(3)1	CHARITABLE	5,000
SOUTH OF THE SOUND COMMUNITY FARM LAND TRUST PO BOX 12118 OLYMPIA, WA 98508		501(C)(3)1	CHARITABLE	500
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 381051942		501(C)(3)1	CHARITABLE	150
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STANDING ROOM ONLYPO BOX 3086 YELM, WA 98597		501(C)(3)1	CHARITABLE	250
THE TEMPLE5101 US HIGHWAY 42 LOUISVILLE, KY 40241		501(C)(3)1	CHARITABLE	18,605
US HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PLACE SW WASHINGTON, DC 200242126		501(C)(3)1	CHARITABLE	1,000
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF KENTUCKY ALUMNI ASSOCIATION KING ALUMNI HOUSE 400 ROSE STREET LEXINGTON, KY 405060119		501(C)(3)1	CHARITABLE	45
UNIVERSITY OF KENTUCKY GLUCK EQUINE RESEARCH FOUNDATION 108 GLUCK EQUINE RESEARCH CENTER DRIVE LEXINGTON, KY 405460099		501(C)(3)1	CHARITABLE	1,000
YELM COOPERATIVE AKA BOUNTY FOR FAMILIES PO BOX 2947 YELM, WA 98597		501(C)(3)1	CHARITABLE	2,000
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YELM INDEPENDENT FILM FESTIVAL DBS THE TRIAD THEATRE PO BOX 2040 102 E YELM AVE YELM, WA 98597		501(C)(3)1	CHARITABLE	500
ST JOHN CENTER 700 EAST MUHAMMAD ALI BLVD LOUISVILLE, KY 40202		501(C)(3)1	CHARITABLE	1,000
LOUISVILLE BALLET 315 EAST MAIN STREET LOUISVILLE, KY 40202		501(C)(3)1	CHARITABLE	10,000
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KOSAIR CHARITIES 982 EASTERN PARKWAY PO BOX 37370 LOUISVILLE, KY 40233		501(C)(3)1	CHARITABLE	2,000
KENTUCKY HUMANE SOCIETY 241 STEEDLY DRIVE LOUISVILLE, KY 40214		501(C)(3)1	CHARITABLE	400
AMERICAN PRINTING HOUSE FOR THE BLIND INC 1839 FRANKFORT AVE LOUISVILLE, KY 40206		501(C)(3)1	CHARITABLE	1,000
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BAPTIST HEALTH FOUNDATION 4007 KRESGE WAY LOUISVILLE, KY 40207		501(C)(3)1	CHARITABLE	20,000
CAMP NEBAGAMON SCHOLARSHIP FUND PO BOX 331 EAST TROY, WI 53120		501(C)(3)1	CHARITABLE	250
CAMPERSHIPS FOR NEBAGAMON PO BOX 331 EAST TROY, WI 53120		501(C)(3)1	CHARITABLE	250
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTER FOR ENVIRONMENTAL LAW & POLICY 85 S WASHINGTON ST 301 SEATTLE, WA 98104		501(C)(3)1	CHARITABLE	500
CENTER FOR SCIENCE IN THE PUBLIC INTEREST 1220 L STREET NW SUITE 300 WASHINGTON, DC 200054053		501(C)(3)1	CHARITABLE	175
CENTRE COLLEGE 600 WEST WALNUT STREET DANVILLE, KY 40422		501(C)(3)1	CHARITABLE	2,750
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTRE COLLEGE ATHLETICS 600 WEST WALNUT STREET DANVILLE, KY 40422		501(C)(3)1	CHARITABLE	10,000
CHI OMEGA FOUNDATIONPO BOX 2121 MEMPHIS, TN 38159		501(C)(3)1	CHARITABLE	100
COALITION FOR THE HOMELESS 1300 S 4TH STREET SUITE 250 LOUISVILLE, KY 40208		501(C)(3)1	CHARITABLE	5,100
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONGREGATION ADATH JESHURAN 2401 WOODBOURNE AVE LOUISVILLE, KY 40205		501(C)(3)1	CHARITABLE	350
CONSCIOUS BY KALIPO BOX 1813 YELM, WA 98597		501(C)(3)1	CHARITABLE	1,000
GILDA'S CLUB OF LOUISVILLLE 633 BAXTER AVENUE LOUISVILLE, KY 402041157		501(C)(3)1	CHARITABLE	20,000
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GRAYSON-JOCKEY CLUB RESEARCH FOUNDATION INC 821 CORPORATE DRIVE LEXINGTON, KY 40503		501(C)(3)1	CHARITABLE	15,000
GREEN COUNTY DISTINGUISHED YOUNG WOMEN AKA GREEN COUNTY JUNIOR MISS SCHOLAR 406 E COLUMBIA AVE GREENSBURG, KY 42743		501(C)(3)1	CHARITABLE	110
JEWISH FAMILY & CAREER SERVICES 2821 KLEMPNER WAY LOUISVILLE, KY 40205		501(C)(3)1	CHARITABLE	50
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JEWISH COMMUNITY OF LOUISVILLE AKA JEWISH FEDERATION OF LOUISVILLE 3600 DUTCHMANS LANE LOUISVILLE, KY 40205		501(C)(3)1	CHARITABLE	50,000
KAPPA ALPHA THETA FOUNDATION 8740 FOUNDERS ROAD INDIANAPOLIS, IN 46268		501(C)(3)1	CHARITABLE	100
KENTUCKY COUNTRY DAY SCHOOL 4100 SPRINGDALE ROAD LOUISVILLE, KY 40241		501(C)(3)1	CHARITABLE	100
Total . . . . ▶ **3a**				210,135

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YELM JAZZ IN THE PARK 309 E YELM AVENUE YELM, WA 98597		501(C)(3)1	CHARITABLE	1,000
BOUNTY FOR FAMILIES DBA YELM COOPERATIVE PO BOX 2583 YELM, WA 98597		501(C)(3)1	CHARITABLE	500
Total . . . . ▶ **3a**				210,135

TY 2018 Accounting Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	4,250	4,250		0

TY 2018 Investments Corporate Stock Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS STOCKS	5,406,257	5,685,709

TY 2018 Other Expenses Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POSTAGE & STAMPS	40	0		40
OFFICE SUPPLIES	274	0		274
FILING FEE	15	15		0
PORTFOLIO DEDUCTIONS FROM FLOW THRU	22,493	22,493		0
OTHER DEDUCTIONS FROM FLOW THRU	65	65		0

TY 2018 Other Income Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FIFTH AVENUE PEF 12 LLC	8	8	8
FIFTH AVENUE PEF 12 LLC	-1,112	-1,112	-1,112
FIFTH AVENUE PEF 11 LLC	-369	-369	-369

TY 2018 Other Increases Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Description	Amount
ADJUSTMENTS IN BOOK VALUE OF ASSETS	13,710

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TY 2018 Other Professional Fees Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BESSEMER TRUST	5,487	5,487		0

TY 2018 Taxes Schedule

Name: JULIA & ISADORE KLEIN FAMILY FOUNDATION INC

EIN: 61-0648689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	542	542		0