2019 29 **49 1** 3 2 7 **0 9 5** 1 1

Form. **990-PF** Department of the Treasury Internal Revenue Service EXTENDED TO NOVEMBER 15, 2
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information.

	For	cale	ndar year 2018 or tax year	r beginning			, and ending		
	Na	me o	f foundation					A Employer identification	on number
			E. AND S. FO	ירדיייגרוואוור	л т	INC.		59-246694	3
			and street (or PO box number				Room/suite		<u> </u>
			SOUTH 5TH S		10 31100	1 40416537	1650	B Telephone number 502-583-0	546
			own, state or province, co		nraign r	nostal code		C If exemption application is	
			ISVILLE, KY	40202	oreign p	JOSIAI COUC		o il exemption application is	pending, check here
			call that apply:	Initial return		Initial return of a fo	ormer public charity	D 1. Foreign organization	ns check here
	u	J11001	Can that apply.	Final return		Amended return	inthor public charity	1. Foreign organization	io, oncon noro
				Address change		Name change		2 Foreign organizations no check here and attach of	neeting the 85% test,
	H (Check	type of organization.	<u> </u>	(c)(3) e:	xempt private foundation	M	E If private foundation st	
	Ϊ	_	ction 4947(a)(1) nonexem			Other taxable private founda	ition \bigcup	under section 507(b)(
	I Fa		arket value of all assets at			ing method: Cash	Accrual	F If the foundation is in a	
			Part II, col. (c), line 16)		X 0	ther (specify) MODIFI	ED CASH	under section 507(b)(
	•	\$	67,032	2,990. (Part	I, colur	mn (d) must be on cash basi	s.)		
	Pa	art l		d Expenses		(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
•			necessarily equal the amoun	its in column (a))	ay not	expenses per books	income	income	for charitable purposes (cash basis only)
(h)		1	Contributions, gifts, gran	ts, etc., received		365,900.		N/A	
<i>/</i> (\		2		n is not required to attach i	Sch 8				
U	\	3	Interest on savings and tempo cash investments	orary		7,735.	7,735.		STATEMENT 1
		4	Dividends and interest fro	om securities		855,272.	1,062,971.		STATEMENT 2
		5a	Gross rents						
		þ	Net rental income or (loss) _			200 404		_	
	ē	6a	Net gain or (loss) from sale of Gross sales price for all			888,484.			*
	Revenue	_b	assets on line 6a	6,592,02	<u> 19.</u>		1,711,184.		
	Ŗ	7	Capital gain net income (from				1,/11,104.		
		8	Net short-term capital ogi Income medifications	CEIVED	اہ				
		100	Gross sales less eturns and allowances		낑				+
			Less 'Cost of goods south	1 9 2019	İ				
			Gross profit (loss)	<u> </u>	ത				
		11	Other income	SPA FELL AND AND ADDRESS OF THE PARTY OF THE	그뜨	0.	112,149.		STATEMENT 3
55		12	Total. Add ines 1 through	以作N, UI	<u>_</u>	2,117,391.	2,894,039.		
NOV 2·1 2019		13	Compensation of officers, dire			200,000.	20,000.		180,000.
ä		14	Other employee salaries a	and wages		376,825.	<u>37,683.</u>		339,142.
3	۲۸.	15	Pension plans, employee			51,873.	<u>5,187.</u>		46,686.
Z	ses	ı	Legal fees	STMT	4	2,482.	0.		2,485.
	Expen	ı	Accounting fees	STMT		8,048.	0.		8,048.
06 Raceived in Batching Cycen	ŭ	l	Other professional fees	STMT	6	301,748.	250,795.		50,953.
ွှိုင် (၁)	tive	l	Interest		_	04 150	00 202		24 014
8 £	and Administrative	l	Taxes	STMT	/	24,158.	22,393.		34,014.
œ ș	ij	19	Depreciation and depletio	n		01 020	0 103		72 727
ક્ષ્ટ	μÞ	20	Occupancy	maatinaa		81,930. 20,059.	8,193.		73,737. 18,053.
	Þ	21	Travel, conferences, and Printing and publications			1,708.	2,006. 171.		1,537.
	gaī	1	Other expenses	STMT	ρ	115,114.	409,580.		103,718.
	Operating	24	Total operating and adm		•	********	±03,300 •		103,710.
	era	- 1	expenses. Add lines 13 t			1,183,945.	756,008.		858,373.
	ŏ	25	Contributions, gifts, grant			2,037,363.			3,189,619.
		l	Total expenses and disb						
			Add lines 24 and 25			3,221,308.	756,008.		4,047,992.
		27	Subtractiline 26 from line	12:					
		a	Excess of revenue over expen	ses and disbursemen	ts	-1,103,917.			
			Net investment income (2,138,031.		
		c	Adjusted net income (if ne	egative, enter -0-)				N/A	<u> </u>

823501 12-11-18 LHA For Paperwork Reduction Act Notice, see instructions

Fo	rm 99	00-PF (2018) C. E. AND S. FOUNDATION	, INC.	59-	2466943 Page 2
	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	
<u></u>	art	Balance Streets column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	36,688.	49,228.	
	2	Savings and temporary cash investments	5,251,476.	1,425,885.	1,425,885.
	3	Accounts receivable ►			
	1	Less; allowance for doubtful accounts ▶			
	4	Pledges receivable ►		- "	
	'	Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	1	Receivables due from officers, directors, trustees, and other			
	"				
	١,	disqualified persons	<u></u>		
	'	Other notes and loans receivable			
		Less: allowance for doubtful accounts ▶			
Assets	_	Inventories for sale or use			<u> </u>
1ss		Prepaid expenses and deferred charges			
•	10a	Investments - U.S. and state government obligations		0.500.450	2 244 552
	b	Investments - corporate stock STMT 10	0.	3,799,459.	3,341,75 <u>2.</u>
	C	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	51,831,186.	49,222,706.	62,216,125.
	14	Land, buildings, and equipment: basis ►			
		Less accumulated depreciation			
	15	Other assets (describe ►			
	1	Total assets (to be completed by all filers - see the			
	-	instructions. Also, see page 1, item I)	57,119,350.	54.497.278.	67,032,990.
	17	Accounts payable and accrued expenses			
	i	Grants payable	1,744,356.	592,100.	
"	19	Deferred revenue	1//11/000	332/1001	
Liabilities					
፱		Loans from officers, directors, trustees, and other disqualified persons Mortgages and other notes payable			
Ë					· · · · · · · · · · · · · · · · · · ·
	22	Other liabilities (describe)			
	00	Total liabilities (add lines 17 through 22)	1,744,356.	592,100.	
_	23		1,144,330.	332,100.	
		Touristic to the try, check here			
Š		and complete lines 24 through 26, and lines 30 and 31			
ĕ	24	Unrestricted			
Net Assets or Fund Balances	25	Temporarily restricted			
8	26	Permanently restricted			
Š		Foundations that do not follow SFAS 117, check here			
ř	}	and complete lines 27 through 31.			
ţ	27	Capital stock, trust principal, or current funds	0.	0.	
Se	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Ž	29	Retained earnings, accumulated income, endowment, or other funds	55,374,994.	53,905,178.	
Ž	30	Total net assets or fund balances	55,374,994.	53,905,178.	
	31	Total liabilities and net assets/fund balances	57,119,350.	54,497,278.	
	art	Analysis of Changes in Net Assets or Fund Ba	alances		
	art	Analysis of Onlinges in Net Assets of Fund Bo			
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line 3	30		
	(mus	t agree with end-of-year figure reported on prior year's return)		1	55,374,994.
2		amount from Part I, line 27a		2	-1,103,917.
		increases not included in line 2 (itemize)		3	0.
		ines 1, 2, and 3		4	54,271,077.
		eases not included in line 2 (itemize)	SEE STA	TEMENT 9 5	365,899.
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		6	53,905,178.
_					Form 990-PF (2018)

Form 990-PF (2018)

See the Part VI instructions.

orm 990-PF (2018) C. E. AND S. FOUNDATION, INC	3.	59-2	2466943		Page 4
Part VI Excise Tax Based on Investment Income (Section 4		4948 -	see instru	uctio	ns)
1a Exempt operating foundations described in section 4940(d)(2), check here $ ightharpoonup$ a	nd enter "N/A" on line 1.	1 1			
Date of ruling or determination letter: (attach copy of letter i				^ -	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here	e ▶ 💹 and enter 1%	1 1	4	2,7	61.
of Part I, line 27b		1			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, ent		1 . 1			•
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations on	ly; others, enter -0-)	2		2 5	0.
3 Add lines 1 and 2		3	4	2,7	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations or	nly; others, enter -0-)	4		2 5	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	4	<u> </u>	61.
6 Credits/Payments:	146 222				
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a 146,322.				
b Exempt foreign organizations - tax withheld at source	6b 0.				
c Tax paid with application for extension of time to file (Form 8868)	6c O.	-			
d Backup withholding erroneously withheld	6d 0.	-	1 /	6 2	22
7 Total credits and payments. Add lines 6a through 6d	attached	7	14	0,3	0.
8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is	attached	8			<u> </u>
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	:4	10	1.0	3 5	61.
O Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpa	103,561. Refunded	11		3,3	0.
1 Enter the amount of line 10 to be: Credited to 2019 estimated tax Part VII-A Statements Regarding Activities	103,301.[Relulided]	<u>, ,, , , , , , , , , , , , , , , , , ,</u>			
1a During the tax year, did the foundation attempt to influence any national, state, or local	legislation or did it participate or interven			Yes	No
any political campaign?	registation of the it participate of intervent	.	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political pt	irnoses? See the instructions for the defi	nition	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and cop		1111011	10		
distributed by the foundation in connection with the activities.	nes of any materials published of				
c Did the foundation file Form 1120-POL for this year?			10		Х
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during	n the year			-	
(1) On the foundation. > \$ 0 • (2) On foundation mana	_			ļ	
e Enter the reimbursement (if any) paid by the foundation during the year for political exp		<u>-</u>			
managers. ► \$ 0.					
2 Has the foundation engaged in any activities that have not previously been reported to t	the IRS?		2		х
If "Yes," attach a detailed description of the activities.					
Has the foundation made any changes, not previously reported to the IRS, in its govern	ing instrument, articles of incorporation,	or			
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X
a Did the foundation have unrelated business gross income of \$1,000 or more during the	e year?		4a	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?			4b	X	
Was there a liquidation, termination, dissolution, or substantial contraction during the y	rear?		5		X
If "Yes," attach the statement required by General Instruction T					
Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfie	ed either:				ŀ
By language in the governing instrument, or					
By state legislation that effectively amends the governing instrument so that no mand	latory directions that conflict with the stat	e law			
remain in the governing instrument?			6	Х	
Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," c	omplete Part II, col. (c), and Part XV		7	Х	
Ba Enter the states to which the foundation reports or with which it is registered. See instruKY, DE	uctions. >				
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to t	he Attorney General (or designate)				
of each state as required by General Instruction G? If "No," attach explanation	, , , , , , , , , , , , , , , , , , ,		8b	х	4
Is the foundation claiming status as a private operating foundation within the meaning of	of section 4942(j)(3) or 4942(j)(5) for cal	endar			 ,
year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes,"	,		9		X
Did any persons become substantial contributors during the tax year? If "Yes," attach a so			10		X
			Form 99 6	0-PF	(2018)

Yes No	Pa	art VII-A Statements Regarding Activities (continued)			
section 5/2(p) (1/3)? If the foundation make a distribution to a drone adversed fund over which the foundation or a dissipation make a distribution to a drone adversed fund over which the foundation or a dissipation make a distribution to a drone adversed fund over which the foundation or a dissipation make a distribution to a drone adversed fund over the surface of the foundation comply with the pubble expection is equilibrium. COM 10 The books are in care of the TESS MCNAIR, EXECUTIVE DIFECTOR Telephone no \$502-583-0.546				Yes	No
section 5/2(p) (1/3)? If the foundation make a distribution to a drone adversed fund over which the foundation or a dissipation make a distribution to a drone adversed fund over which the foundation or a dissipation make a distribution to a drone adversed fund over which the foundation or a dissipation make a distribution to a drone adversed fund over the surface of the foundation comply with the pubble expection is equilibrium. COM 10 The books are in care of the TESS MCNAIR, EXECUTIVE DIFECTOR Telephone no \$502-583-0.546	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
12			11		х
If "Yes," attach statement See instructions 13	12				
18 Do the foundation comply with the public respection requirements for its annual eleuns and exemption application? Whethis address ▶ WWW. CRSPOUNDATION.COM 14 The books are in care of ▶ TESS MCNAIR, EXECUTIVE DIRECTOR Telephone no. ▶ 50.2-58.3-0.546 Located at ▶ 10.1 SOUTH 5TH STREET, SUITE 1650, LOUISVILLE, KY 27º44 ▶ 20.2 Section 48°(27)(1) nonexempt character treased or accrued during the year A ray time during ceinarity ways 27lft, dit the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the intertione for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country. ▶ Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 frany item is checked in the "Yes" column, unless an exception applies. 1 During the year, did the foundation (either directly or indirectly): (1) Lingape in the sace or exchange, or lessing of property with a disqualified person? (2) Diorrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Firmship goods, services, or facilities to (or accept them from) a disqualified person? (3) Formship goods, services, or facilities to (or accept them from) a disqualified person? (3) Formship goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remibuse the expenses of, a disqualified person? (5) Agree to bey money or propretly to a powermont official? (Exception, Check "No" if the foundation of powermont survey, at the official for a period after termship and of powermont survey, at the many within 90 days) b if any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494 (16)-3 or a current notice regarding disaster assistance. See institutions Organizations only one or propropry to a power money or propry to power power			12		x
White is address \boxed with VESSOUNDATION.COM 1 The books are in care of \boxed TESS MCNAIR, EXECUTIVE DIRECTOR 1 telephone no. \boxed 50.46 1 The books are in care of \boxed TESS MCNAIR, EXECUTIVE DIRECTOR 1 telephone no. \boxed 50.46 1 The books are in care of \boxed TESS MCNAIR, EXECUTIVE DIRECTOR 1 telephone no. \boxed 50.46 1 Section 4947(a)(1) nonexempt character outsits fluing form 990PF in lieu of Form 1041 - check here and only the monutor if ax occupant interect received or accrued during the year 1 Any time during cleaned year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial accounting a form 900PF in lieu of Form 1141. If Yes, enter the name of the foreground of the foundation of accounting a contract of the instructions for exceptions and filing requirements for FinGEN Form 1141. If Yes, enter the name of the foreground of the instructions of exceptions and filing requirements for FinGEN Form 1141. If Yes, enter the name of the foreground of the instruction of the instr	13	·		x	
14 The books are in care of ▶ TESS MCNATR, EXECUTIVE DIRECTOR Telephone in ▶ 502-583-0546 Located at 1 ± 0.1 SOUTH 5TH STREET, SUITE 1650, LOUISVILLE, KY 2FI-4 ▶ 40.202 15 Section 4947(a)(1) nonexempt charitable trusts thing Form 390-Pr in leve of Form 1041 - check there and onter the amount of tax owning interest recoved or accrued during the year A ray time during cleaning year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the nontroutloon for exception and filting requirements for FinCEN Form 114. If Yes, center the name of the financial account in a foreign country? File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 During the year, did the foundation (either directly or indirectly); (1) Longa in the safe or exchange, or lessing of property with a disqualified person? (2) Dorrow money from, land money, to, or otherwise extend credit to (or accept it from) a disqualided person? (3) Furnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to (is accept them from) a disqualified person? (3) Turnish poods, services, or facilities to a disqualified person? (3) Turnish poods, services, or facilities to a disqualified person? (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person? (5) Turnish poods, services, or					
Located al ▶ 1.01 SOUTH 5TH STREET, SUTTRE 1650, LOUISVILLE, KY 2IP4 ▶ 40202 Section 4947(a)(1) nonexempt charactel trusts filling Form 990-PF in live of Form 1041 - check here and ontor the amount of tax exempt inferect received or accrued during the year and ontor the amount of tax exempt inferect received or accrued during the year. 18	14		83-0	546	
15 Section 4947(a)(1) nonexempt charactable trusts bling Form 990.PE in lieu of Form 1041 - check here and onter the amount of tax exempt interect received or accrued during the year. 16 At any time during celendar year 2018, did the foundation have an interest in or a signature or other fanancial account in a foreign country. See the nontrolleon or or exceptione and filing requirements for FinCEN Form 114. If "Yes," onter the name of the foreign country. File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exclusing, or islaining of property with a disqualified person? (2) Dorrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish poods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remibius the expenses of, a disqualified person? (5) Trancfor any income or accepts to a designation of proon (or make any of other available for the benefit or use of a disqualified person? (6) Agree to pay money or proporty to a government official? (Exception, Chock 'No' if the loundation agreed to make a grant to us to employ the official for a period after termination of government service, if terminating within 90 days) 1b If any answers in 'Se' 10 fat()-fig.0 did any of the acts last to quality under the exceptions described in Regulations section 53-981(d)-30 im a current notion regaging disaster assistance, 'See instructions' Organizations relying on a current notion regarding disaster assistance, 'See instructions' Organizations of assets) to the tax year beginning in 2018? 1b Ave there any years listed on 34-92 (a)(2) (a) and if the accidescribed in its, other item excepted acts, that were not corrected before the first day of the tax year beginning in 2018? 1b Ave t	•				
and onter the amount of the exempt interest received or accound during the year All any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the inctructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ■	15				. []
No securities, or other financial account in a foreign country? Soe the indication have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Soe the indication for exceptions and filing requirements for FinCEN Form 114. If "Yos," onter the name of the foreign country. Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required Part VII-B Part			N	·/λ	
Securities, or other financial account in a foreign country? See the inctructions for exceptions and filing proquirements for FinCEN Form 114. If "Yes," ontor the name of the foreign country. Part VII-B. Statements Regardling Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimbius the expenses of, a disqualified person? (5) Transfer any income or accests to a disqualified person (or make any of oither available for the benefit or use of a disqualified person)? (6) Agree to pay money or proporty to a government official? (Exception, Chock "No" if the foundation agreed to make a givant to or to employ the official for a period after termination of government service, if terminating within 90 days.) 8 Iday answer is Yes's to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 3-4941(d)-3 or in a current notice regarding disaster assistance, check here 6 bid life foundation engage in a piror year in any of the acts fall to qualify under the exceptions described in Regulations section 4942(a)(3) or 4942(b)(5): 8 A there are any years listed in 2a for which the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? 1b Yes X No If Yes X No If the provisions of section 4942(a)(2) here have a period applying the provisions of section 4942(a)(2) (relating to incorrect valiation of asset) to the year's undistributed income? (If applying section 4942(a)(2) to all year's listed, answer Nor' and attach. 1b If Yes, Yes X No If t	16				No
Soe the instructions for exceptions and filing requirements for FinGEN Form 114. If "Yes," enter the name of the the part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 ff any items is checked in the "Yes" column, unless an exception applies. 1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualitied person? (2) Dorrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualitied person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualitied person? (4) Pay compensation to, or pay or reimbluse the expenses of, a disqualitied person? (5) Transfer any momor or accest to a designating depreson? (6) Transfer any momor or accest to a designating depreson? (7) Yes X No (8) Agree to pay money or property to a government official? (Exception, Chock "No" if the foundation agreed or makes a junt to or to employ the official for a period after termination of government service, if terminating within 90 days;) If farny answer is "Yes" to 1a(1)-(6), ild any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance, check, here 1b U X Taxis in Islaine to distribute uncome (section 4942) (floes into apply for years the foundation was a private operating foundation defined in section 4942(1)(3) or 4942(1)(5): a At the end of tax year 2910, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If Yes, I list the years is undistributed income? (If applying section 4942(2)(2) to all years listed, answer "No" and attach, statement - see instructions.) If Yes, I list the year's being a list of the part section 4942(3) as a result of (1) any purchase by the foundation or disqualified persons after May 70, 1903 (2) the lapse of the 10, 15, or 20 year feet phace holding period? (16		
Foreign country Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required			13		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Dorrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburs e the expenses of, a disqualified person? (5) Transfor any income or accept to a disqualified person or make any of other available for the benefit or use of a disqualified person)? (6) Agroet to pay money or property to a pownment official? (Exception, Chock No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) b if any answers or "Set" to 14(1-6), did any of the acts fail to quality under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions (7) Organizations relying on a current notice regarding disaster assistance? See instructions (8) Taxes un failure to dishinitie uncome (section 4942) (rions inti apply for years the foundation was a pulvale uperating foundation defined in section 4942(a)(3) or 4942(b)(5): a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and Ge, Part XIII) for tax year(s) beginning before 2018? If Yes, sits the years I was a play year in any of the acception section 4942(a)(2) (relating to incorract valuation of assested in 12 and the foundation was a pulvale uperating foundation distributed income? (If applying section 4942(a)(2) to all years is faired, answer "No" and attack statement - see instructions.) b Are there any years isted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorract valuation of assested in the fo					
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Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No			2b		
during the year? b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10, 15, or 20 year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?					
during the year? b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10, 15, or 20 year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	•	Del the foundation to bloom a 100 days to an involve to a section to the section of the section			
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10 , 15 , or 20 year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 4a Did the foundation invest during the year arry amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	32				
May 26. 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10 , 15 , or 20 year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? X	L				
of holdings acquired by gift or bequest; or (3) the lapse of the 10 , 15 , or 20 year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? X	D				
Form 4720, to determine if the foundation had excess business holdings in 2018.) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? X					
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? 4b X			J.		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? 4b X	Δu		· ·		v
had not been removed from jeopardy before the first day of the tax year beginning in 2018?			74		- 27
	U		46		¥
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orm 990-PF (2018) C. E. AND S. FOUNDATION	, INC.		<u>59-24669</u>	43	Page 6
Part VII-B Statements Regarding Activities for Which	Form 4720 May Be I	Required (contin	ued)	\ \ \ -	- 81-
5a During the year, did the foundation pay or incur any amount to:	10.157.110	[]		Ye	s No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section).			es X No		
(2) Influence the outcome of any specific public election (see section 4955);	or to carry on, directly or indir		es X No		
any voter registration drive?	•••		es X No		
(3) Provide a grant to an individual for travel, study, or other similar purpose(4) Provide a grant to an organization other than a charitable, etc., organizat		10	es LALINO		
4945(d)(4)(A)? See instructions	ion described in section		es X No		
(5) Provide for any purpose other than religious, charitable, scientific, literar	v or educational nurnoses, or t		3 22 110		
the prevention of cruelty to children or animals?	y, or concumonal purposes, or		es X No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify u	nder the exceptions described				
section 53.4945 or in a current notice regarding disaster assistance? See ins		g	N/A	5b	
Organizations relying on a current notice regarding disaster assistance, check					
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption		ined	-		
expenditure responsibility for the grant?	<i>y</i>	// 🔲 Ye	es 🔲 No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).					
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	o pay premiums on		_		
a personal benefit contract?		Ye	es X No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?		_	6ь	<u> </u>
If "Yes" to 6b, file Form 8870.					
7a At any time during the tax year, was the foundation a party to a prohibited tax		Ye	es X No		+-
b If "Yes," did the foundation receive any proceeds or have any net income attri			N/A	7b	+-
8 Is the foundation subject to the section 4960 tax on payment(s) of more than	\$1,000,000 in remuneration o		[1 2],,		
excess parachute payment(s) during the year? Part VIII Information About Officers, Directors, Trus	toos Foundation Ma		es X No		
Paid Employees, and Contractors	itees, Foundation Ma	magers, migrin	,		
List all officers, directors, trustees, and foundation managers and	their compensation.	· · · · · · · · · · · · · · · · · · ·		_	
	(b) Title, and average	(c) Compensation	(d) Contributions to employee benefit plans and deterred	(e) E	xpense nt, other
(a) Name and address	hòurs per week devoted to position	(If not paid, enter -0-)	and deferred compensation	accou	nt, other vances
SEE STATEMENT 12		200,000.	20,000.		0.
	_				
	_				
	4				
	4				
					
	-				
	-				
Compensation of five highest-paid employees (other than those in	cluded on line 1). If none.	enter "NONE."			
	(b) Title, and average	_	(d) Contributions to employee benefit plans and deferred	(e) E	xpense nt, other
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	accou	nt, other vances
ANTHONY PEYTON - 101 S. 5TH ST. ST.	PROGRAM OFFIC	ER			
L650, LOUISVILLE, KY 40202	40.00	157,896.	13,158.		0.
LORI HATTON - 101 S. 5TH ST. ST.	GRANTS & ACCO	UNTING MA	NAGER		
L650, LOUISVILLE, KY 40202	40.00	94,554.	7,880.		0.
NICOLE EOVINO - 101 S. 5TH ST. ST.	PROGRAM ASSOC				
L650, LOUISVILLE, KY 40202	40.00	78,120.	6,510.		0.
	_				
	4				
Table I number of the complete and the first OCC 000	1.		<u> </u>		
otal number of other employees paid over \$50,000			<u> </u>	990-P	<u>0</u>
			rorm	330-6	ı (ZU I8)

Form 990-PF (2018) C. E. AND S. FOUNDATION, INC.	59-	-2466943 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundate Paid Employees, and Contractors (continued)	tion Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter	"NONE "	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BBR PARTNERS, LLC - 140 E. 45TH STREET, 26TH	INVESTMENT	(C) companiation
FLOOR, NEW YORK, NY 10017	MANAGEMENT	245,133.
PROOR, NEW TORK, NI 10017	MANAGEMENT	243,133.
	-	
		
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statisti	cal information such as the	- Fyransas
number of organizations and other beneficiaries served, conferences convened, research papers produced and appears	uced, etc.	Expenses
1 NONE		-
		0.
2		-
3		_
		-
4		_
		1
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on in a NONE	nes 1 and 2.	Amount
NONE		<u> </u>
		0.
2		-
All other program-related investments. See instructions. 3		
		-
Total. Add lines 1 through 3	>	0.

P	art X Minimum Investment Return (All domestic foundations mus	t com	olete this	part Foreign fou	ndations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, e	tc., pur	poses:			· · ·
a	Average monthly fair market value of securities		•		1a	72,642,320.
ь					1b	595,701.
C	Fair market value of all other assets				1c	<u> </u>
d	Total (add lines 1a, b, and c)				1d	73,238,021.
	Reduction claimed for blockage or other factors reported on lines 1a and					
	1c (attach detailed explanation)	.		0.		
2	Acquisition indebtedness applicable to line 1 assets	<u>-</u>	-		2	0.
3	Subtract line 2 from line 1d				3	73,238,021.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see	e instru	ctions)		4	1,098,570.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Pa	rt V, lır	e 4		5	72,139,451.
6	Minimum investment return Enter 5% of line 5				6	3,606,973.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (foreign organizations, check here ▶ ☐ and do not complete this part.))(5) pr	vate opera	ating foundations ar	nd certain	
1	Minimum investment return from Part X, line 6				1	3,606,973.
	Tax on investment income for 2018 from Part VI, line 5	. 1		42,761.		3,000,373.
b	Income tax for 2018. (This does not include the tax from Part VI.)	$\overline{}$		4,736.	1	
	Add lines 2a and 2b	'		- 1,730 °	2c	47,497.
3	Distributable amount before adjustments. Subtract line 2c from line 1				3	3,559,476.
4	Recoveries of amounts treated as qualifying distributions				4	0.
5	Add lines 3 and 4				5	3,559,476.
6	Deduction from distributable amount (see instructions)				6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII	line 1			7	3,559,476.
=	art XII Qualifying Distributions (see instructions)	, 11116 1			<u> </u>	3,333,470.
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purpose	35.				
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26				1a	4,047,992.
b	Program-related investments - total from Part IX-B				1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable,	etc., pu	ıposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:					
а	Suitability test (prior IRS approval required)				3a	
þ	Cash distribution test (attach the required schedule)				3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and P	art XIII	, line 4		4	<u>4,047,992.</u>
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investm	ent				
	income. Enter 1% of Part I, line 27b				5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4				6	4,047,992.
	Note: The amount on line 6 will be used in Part V. column (b), in subsequent years when	calcul	ating whet	her the foundation i	qualifies for	r the section

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4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI,				
line 7				3,559,476.
2 Undistributed income, if any, as of the end of 2018		-		
a Enter amount for 2017 only			0.	
b Total for prior years:			_	
		0.		
3 Excess distributions carryover, if any, to 201	8:			· -
a From 2013 179,411	. •			
b From 2014				
c From 2015 1,028,669) .			
d From 2016 1,722,823				
e From 2017 2,078,649				
f Total of lines 3a through e	5,009,552.			
4 Qualifying distributions for 2018 from	3,003,3320		 · · -	
Part XII, line 4: ▶\$ 4,047,992	, [
a Applied to 2017, but not more than line 2a	'		0.	
				·
b Applied to undistributed income of prior		0.		
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			2 EEO 476
d Applied to 2018 distributable amount	100 516			3,559,476.
e Remaining amount distributed out of corpus	488,516.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amoun must be shown in column (a))	, 0.			0.
6 Enter the net total of each column as indicated below:				
& Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,498,068.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable	***			
amount - see instructions		0.		
e Undistributed income for 2017. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract				
,				
lines 4d and 5 from line 1. This amount must				0.
be distributed in 2019				
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by	}			
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2013	150 411			
not applied on line 5 or line 7	179,411.			
9 Excess distributions carryover to 2019				
Subtract lines 7 and 8 from line 6a	5,318,657.			
O Analysis of line 9:				
a Excess from 2014				
b Excess from 2015 1,028,669				
c Excess from 2016 1,722,823				
d Excess from 2017 2,078,649				
e Excess from 2018 488,516				

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Form 990-PF (2018) C. E. Al	ND S. FOUNI	DATION, INC	•	59-246	56943 Page 1
Part XIV Private Operating Fo	oundations (see II	nstructions and Part V	'II-A, question 9)	N/A	
1 a If the foundation has received a ruling or	determination letter that	at it is a private operating	1		
foundation, and the ruling is effective for	2018, enter the date of	the ruling	▶		/
b Check box to indicate whether the found	ation is a private operat	ing foundation described		4942(j)(3)_or494	12(1)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed			/		
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities			 / 		
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying		1			
under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6 for each year					
listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					•
and 5 or prore exempt					
organizations as provided in					
section 4942(j)(3)(B)(iii)				 	
(3) Largest amount of support from					
an exempt organization			 	 	
(4) Gross investment income		4-46:4	if the formulation	- h - d &C 000 - 11 - 12 - 1	
Part XV Supplementary Inform			if the foundation	n nad \$5,000 or moi	e in assets
at any time during th		ructions.)			
1 Information Regarding Foundation	•				
a List any managers of the foundation who			tributions received by th	e foundation before the close	of any tax
year (but only if they have contributed mo	ore than \$5,000). (See s	section 507(d)(2).)			
DAVID A. JONES					
b List any managers of the foundation who			(or an equally large port	ion of the ownership of a par	tnership or
other entity) of which the foundation has	a 10% or greater intere	est.			
NONE					
2 Information Regarding Contributio	n, Grant, Gift, Loan	, Scholarship, etc., P	rograms:		
Check here If the foundation only	ly makes contributions	to preselected charitable	organizations and does	not accept unsolicited reques	sts for funds. If
the foundation makes gifts, grants, etc., to					
a The name, address, and telephone number	er or email address of t	he person to whom appli	cations should be address	ssed.	
TESS P. MCNAIR, EXECU				550a.	
101 SOUTH 5TH STREET,				0.2	
				02	-
b The form in which applications should be		•			m T O VI
SEE WWW.CESFOUNDATION	I.COM, "GRA	MTSEEKERS"	FOR APPLIC	ATION INFORMA	TION.
c Any submission deadlines:					
NONE					
d Any restrictions or limitations on awards,					
SEE WWW.CESFOUNDATION	I.COM, HOME	E PAGE "AREA	AS OF FOCUS	" FOR AWARD	
INFORMATION.					
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Part XV Supplementary Information	(continued)			
3 Grants and Contributions Paid During the Ye	ear or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	Contribution	
a Paid during the year				
SEE ATTACHED SCHEDULE				
				3,189,619.
		. <u>-</u>		
Total			<u>▶ 3a</u>	3,189,619,
b Approved for future payment				
,				
SEE ATTACHED SCHEDULE				
				592,100.
İ				
Tatal			.	
Total	· · · · · · · · · · · · · · · · · · ·	<u> </u>	<u>▶ 3b</u>	592 100, orm 990-PF (2018)
			ru	,,,,, (2010)

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated b	usiness income		d by section 512, 513, or 514	
	(a)	(b)	(c) Exclu-	(d)	Related or exempt
1 Program service revenue:	Business code	Amount	sion	Amount	function income
a					
b					
C		-			
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments			14	7,735.	
4 Dividends and interest from securities	531390	28,379.	14	826,893.	
5 Net rental income or (loss) from real estate:		·			
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					··
property					
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory	531390	91,580.	18	796,904.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		119,959.		1,631,532.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	1,751,491.
(See worksheet in line 13 instructions to verify calculations	. 1				

Part XVI-B	Relationship of Activitie	es to the Accompli	shment of Exempt Purpo	ses
I GILATI-D	ricialionship of Activitie	73 LO LING MODOLLIDII	Simile in the Exempt i dipt	,,,,

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Form 99				TION, INC.	ınd Relations		466943 haritable		age 13
	Exempt Orga					• /			
1 Did	the organization directly or in-	directly engage in an	y of the following	ng with any other organizati	on described in sec	tion 501(c)		Yes	No
	her than section 501(c)(3) org					, ,			
•	ansfers from the reporting foun	•		= :					
	Cash			9			1a(1)		X
, ,	Other assets						1a(2)		Х
	ner transactions:						1.5(5)		
_	Sales of assets to a nonchari	table exempt organi:	zation				1b(1)		Х
	Purchases of assets from a r						1b(2)		X
٠,	Rental of facilities, equipmen	•	t organization				1b(3)		X
	Reimbursement arrangemen						1b(4)		X
	Loans or loan guarantees	13					1b(5)		X
	Performance of services or n	nomborchin or fundr	aicina colicitatio	nne			1b(6)		X
							1c	1	X
	aring of facilities, equipment, n	-			waya ahaw tha fair	market value of the ac-		l cote	
	he answer to any of the above							5615,	
	services given by the reporting				ue in any transactio	n or snaring arrangem	ent, snow in		
	umn (d) the value of the goods				(4)				
(a) Line n	o (b) Amount involved	(c) Name		e exempt organization	(0) Descriptio	n of transfers, transactions	, and sharing a	rangeme	nts
			N/A						
					_ -				
						<u> </u>			
				<u> </u>					
,									
2a Ist	he foundation directly or indire	ctly affiliated with, o	r related to, one	or more tax-exempt organ	izations described				
	ection 501(c) (other than secti						Yes	X] No
	res," complete the following sc								_
	(a) Name of or			(b) Type of organization		(c) Description of relat	tionship		
	N/A			,,,,,			· ·		
	21/ 22								
						·			
	Under penalties of perjury, I declar	e that have examined t	his return, includin	accompanying schedules and	statements, and to the	best of my knowledge			
Sign	and belief, it is true, correct, and co	omplete Declaration of	preparer (other the	n taxpayer) is based on all inform	nation of which prepare	r has any knowledge	May the IRS return with th	discuss e prepar	this er
Here		'	M, V	Intelia			shown below	? See in	str
	Supplying of officer buch	<u> </u>		///3//7	TREASI	JRER	X Yes		J No
	Signature of officer or truste		Droporario a	Date / /	Title Date	Check If P	TIN		
	Print/Type preparer's r	Iailic	Preparer's s	ignatur e	Vale	self- employed	1 114		
Paid			1	V Van	11.14.11	, , ,	504000	100	
	CHRISTINE		and	m 9/ Joung	<u> </u>		P01022		
Prepa	Time Table	MING MALO	NE LIVE	SAY & OSTROF	PSC	Firm's EIN ► 61	-10642	49	
Use (<u> </u>	1			
	Firm's address > 9	300 SHELB	YVILLE	RD STE 1100					

823622 12-11-18

LOUISVILLE, KY 40222-5187

Phone no. (502)426-9660

<u> </u>	· E. AND S. FOU	MDATION, INC.	33-240	0743	PAGE I	Or I
		sses for Tax on Investment Income				
	(a) List and 2-story b	d describe the kind(s) of property sol rick warehouse; or common stock, 2	d, e.g., real estate, 00 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHARLES SCHWAB	#1303 - DETAIL	MAINTAINED	P		
b	CHARLES SCHWAB	#1303 - DETAIL	MAINTAINED	P		
_	CHARLES SCHWAB		MAINTAINED	P		
_	CHARLES SCHWAB		MAINTAINED	P		
	CHARLES SCHWAB		MAINTAINED	P		
	CHARLES SCHWAB		MAINTAINED	P		
_		-TERM INVESTMENT			01/19/16	01/31/18
	K-1 GAINS/LOSS		12,230,333 5.115	P	01/13/10	01/01/10
-:-	CAPITAL GAIN D			P	1	
<u> </u>	CALLIAD GAIN D	IBIRIBUTION			1	
k			· · · · · · · · · · · · · · · · · · ·		 	
<u>^</u>						
<u>_</u>	· · · · · · · · · · · · · · · · · · ·			- 		
m						
n						
0		(f) Depresenting allowed	(a) Cost or other basis		A Carp or (loce)	
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(e)	ı) Gaın or (loss) plus (f) mınus (g)	
a	234,097.		244,239.			<u>-10,142.</u>
b.	1,866,648.	_	995,062.			871,586.
С	1,771,186.		1,795,989.			-24,803.
d	1,792,066.		1,841,238.			-49,172.
e	602,456.		646,676.			-44,220.
f	195,576.		220,681.			-25,105.
g	130,000.		131,344.	•		-1,344.
h			•			822,700.
ı						171,684.
i						
, k						
i I						
m						
n						
 0						 · ·
<u> </u>	Complete only for assets showing	ng gain in column (h) and owned by t	the foundation on 12/31/69	(1)1.0	sses (from col. (h))	
		(j) Adjusted basis	(k) Excess of col. (i)	Gains (excess	of col. (h) gain over	col. (k),
	(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if any	buti	not less than "-0-")	
<u> </u>						-10,142.
b b				-		871,586.
C	· · · · · · · · · · · · · · · · · · ·					-24,803.
d d		•				-49,172.
<u>u</u>						-44,220.
<u>-</u>						-25,105.
<u>-</u>						-1,344.
<u>g</u>						822,700.
h_						
					·-·-··	171,684.
<u>J</u>						
<u>K</u> _						
1						
n						
n	-					
0		_				
<u>)</u> (Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7	2		711,184.
	Net short-term capital gain or (los If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) an	, I			

If (loss), enter "-0-" in Part I, line 8

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

➤ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545 0047

2018

Name of the organization

Employer identification number

(C. E. AND S. FOUNDATION, INC.	59-2466943
Organization type (chec		
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private foundation	
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	
· -	on is covered by the General Rule or a Special Rule . (c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special	Rule See instructions
	tion filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions tota any one contributor. Complete Parts I and II. See instructions for determining a contribution	
Special Rules		
sections 509(a)(any one contribu	tion described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% supp (1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 10 utor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the am EZ, line 1 Complete Parts I and II	6a, or 16b, and that received from
year, total contri	tion described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from the section \$1,000 exclusively for religious, charitable, scientific, literary, or equality to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the	ducational purposes, or for the
year, contribution is checked, enter purpose Don't c	tion described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from sexclusively for religious, charitable, etc., purposes, but no such contributions totaled er here the total contributions that were received during the year for an exclusively religion complete any of the parts unless the General Rule applies to this organization because able, etc., contributions totaling \$5,000 or more during the year	I more than \$1,000 If this box ous, charitable, etc ,
but it must answer "No" o	on that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule E on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its et the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)	

Name of organization

Employer identification number

C. E. AND S. FOUNDATION, INC.

59-2466943

	Part I	Contributors	(see instructions)	Use duplicate co	opies of Part I if addition	nal space is needed
--	--------	--------------	--------------------	------------------	-----------------------------	---------------------

			T
(a) <u>No.</u>	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u> </u>	THE CITY OF INDIAN HILLS 4207 OLD BROWNSBORO ROAD LOUISVILLE, KY 40207	\$365,900.	Person Payroll Noncash X (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)

Name of organization

Employer identification number

C. E. AND S. FOUNDATION, INC.

59-2466943

Part II	Noncash Property (see instruction	ons) Use duplicate copies of Part II if additional space is needed
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No. rom art I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
LAND 1			
		\$\$\$	12/10/18
a) lo. om irt l	(b) Description of noncash property given	(c) FMV (or estimate) (See Instructions)	(d) Date received
		 \$	
a) o. om rt I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
a) o. om rt I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
) o. m t l	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
) o. m t l	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received

Name of or	rganization			Employer identification number
C. E.	AND S. FOUNDATION, INC	2.		59-2466943
Part III	Exclusively religious, charitable, etc., contribution any one contributor. Complete columns (a completing Part III, enter the total of exclusively religious Use duplicate copies of Part III if additional	itions to organizations described in a) through (e) and the following line of , charitable, etc., contributions of \$1,000 of	entry For organizations	or (10) that total more than \$1,000 for the year
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d)	Description of how gift is held
		(e) Transfer of g	ift	
ļ	Transferee's name, address, a			of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d)	Description of how gift is held
		(e) Transfer of g	ift	
	Transferee's name, address, a	and ZIP + 4	Relationship	of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d)	Description of how gift is held
}		(e) Transfer of g	ift	
	Transferee's name, address, a	and ZIP + 4	Relationship	of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d)	Description of how gift is held
-	· · · · · · · · · · · · · · · · · · ·	(e) Transfer of g	ft	
-	Transferee's name, address, a	nd ZIP + 4	Relationship	of transferor to transferee
			·	

FORM 990-PF INTERE	ST ON SAVING	GS AND TE	EMPORA	RY CASH	IN	VESTMENTS	STATEMENT	1
SOURCE		REV	(A) VENUE BOOKS	NET	IN	(B) VESTMENT COME	(C) ADJUSTEI NET INCOM	
INVESTMENT ACCOUNTS MAINTAINED	- DETAIL		7,735			7,735.		
TOTAL TO PART I, LI	NE 3		7,735	5.		7,735.		
FORM 990-PF	DIVIDENDS	AND INTE	EREST I	FROM SE	CUR	ITIES	STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITA GAINS DIVIDEN	3	(A) REVENU PER BOO		(B) NET INVES MENT INCO		
INVESTMENT ACCOUNTS - DETAIL MAINTAINED	855,272.		0.	855,2	72.	1,062,97	1.	
TO PART I, LINE 4	855,272.		0.	855,2	72.	1,062,97	1.	.
FORM 990-PF		OTHER	INCOME	G			STATEMENT	3
DESCRIPTION			(A REVE PER E	ENUE		(B) ET INVEST- ENT INCOME		
K-1 OTHER INCOME		_		0	- -	112,149	•	
TOTAL TO FORM 990-P	F, PART I, I	JINE 11		0	•	112,149	•	

FORM 990-PF	LEGAL	FEES	S'	PATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL SERVICES	2,482.	0.		2,485.
TO FM 990-PF, PG 1, LN 16A =	2,482.	0.		2,485.
FORM 990-PF	ACCOUNTI	NG FEES	S	ratement 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING, TAX PREPARATION, PAYROLL	8,048.	0.		8,048.
TO FORM 990-PF, PG 1, LN 16B	8,048.	0.		8,048.
FORM 990-PF C	THER PROFES	SIONAL FEES	S	FATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING AND OTHER	56,615.	5,662.		
INVESTMENT MANAGEMENT	245,133.	245,133.		50,953. 0.
TO FORM 990-PF, PG 1, LN 16C				· · · · · · · · · · · · · · · · · · ·
_	245,133.	245,133.	Si	0.
TO FORM 990-PF, PG 1, LN 16C	245,133.	245,133.	(C) ADJUSTED NET INCOME	50,953.
TO FORM 990-PF, PG 1, LN 16C =	245,133. 301,748. TAXI (A) EXPENSES	245,133. 250,795. ES (B) NET INVEST-	(C) ADJUSTED	50,953. FATEMENT 7 (D) CHARITABLE
TO FORM 990-PF, PG 1, LN 16C FORM 990-PF DESCRIPTION PAYROLL FORGEIN TAXES PAID - K-1S	245,133. 301,748. TAXI (A) EXPENSES PER BOOKS 37,794. 0.	245,133. 250,795. ES (B) NET INVEST- MENT INCOME 3,780. 18,613.	(C) ADJUSTED	O. 50,953. FATEMENT 7 CHARITABLE PURPOSES 34,014. 0.

	OTHER E	XPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE		(C) ADJUSTEI NET INCOM		
INSURANCE WORKER'S COMP PROFESSIONAL DEVELOPMENT BANK FEES K-1 DEDUCTIONS MISCELLANEOUS	34,304. 1,587. 77,893. 177. 0. 1,153.	7,	430. 159. 789. 18. 184.		30,87 1,42 70,10 15	28. 04. 59.
TO FORM 990-PF, PG 1, LN 23	115,114.	409,	580.		103,71	18.
FORM 990-PF OTHER DECREASE	ES IN NET AS	SETS OR FU	UND E	BALANCES	STATEMENT	9
DESCRIPTION					AMOUNT	
VARIANCE BETWEEN COST BASIS A	AND FMV OF C	ONTRIBUTE	D LAN	ID	365,89	99.
TOTAL TO FORM 990-PF, PART II	II, LINE 5				365,89	
						99.
FORM 990-PF	CORPORAT	E STOCK			STATEMENT	10
FORM 990-PF DESCRIPTION	CORPORAT	E STOCK	ВОС	OK VALUE		10
	CORPORAT	E STOCK		OK VALUE	STATEMENT FAIR MARKET	10
DESCRIPTION		E STOCK	3		STATEMENT FAIR MARKET VALUE	10
DESCRIPTION CORPORATE STOCK		- - -	3	,799,459.	STATEMENT FAIR MARKET VALUE 3,341,75	10
DESCRIPTION CORPORATE STOCK TOTAL TO FORM 990-PF, PART II	OTHER INV	- - -	3	,799,459.	STATEMENT FAIR MARKET VALUE 3,341,75	10 52.
DESCRIPTION CORPORATE STOCK TOTAL TO FORM 990-PF, PART II FORM 990-PF	OTHER INV	ESTMENTS	BOO 10 14	,799,459.	STATEMENT FAIR MARKET VALUE 3,341,75 3,341,75 STATEMENT FAIR MARKET	10 52. 52. 11

	ST OF OFFICERS, IN FOUNDATION MANA		STAT	EMENT 12
NAME AND ADDRESS	TITLE AND AVRG HRS/WK		EMPLOYEE BEN PLAN CONTRIB	
DAVID A. JONES 101 S. 5TH ST. ST. 1650 LOUISVILLE, KY 40202	PRESIDENT 5.00	0.	0.	0.
DAVID A. JONES, JR. 101 S. 5TH ST. ST. 1650 LOUISVILLE, KY 40202	TREASURER 5.00	0.	0.	0.
DAVID WESSEL 101 S. 5TH ST. ST. 1650 LOUISVILLE, KY 40202	ASSISTANT TREA	SURER 0.	0.	0.
BRYAN K. JOHNSON 101 S. 5TH ST. ST. 1650 LOUISVILLE, KY 40202	SECRETARY 5.00	0.	0.	0.
TESS P. MCNAIR 101 S. 5TH ST. ST. 1650 LOUISVILLE, KY 40202	EXECUTIVE DIRE 50.00	CTOR 200,000.	20,000.	0.
TOTALS INCLUDED ON 990-PF, PAGE	6, PART VIII	200,000.	20,000.	0.