

Form **990-PF**

OMB No 1545-0052

Department of the Treasury  
Internal Revenue Service**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending

THE LAURA E. DUPONT FOUNDATION  
P.O. BOX 8099  
GREENVILLE, SC 29604-8099

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation **DU**  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 4,227,278.

**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

**A** Employer identification number  
58-6340729

**B** Telephone number (see instructions)  
864-232-7848

**C** If exemption application is pending, check here ▶ ☐ **6**

**D** 1 Foreign organizations, check here ▶ ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	3,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	19,488.	19,488.	N/A	
4 Dividends and interest from securities	43,366.	43,366.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	108,618.			
b Gross sales price for all assets on line 6a	1,377,782.			
7 Capital gain net income (from Part IV, line 2)		108,618.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total Add lines 1 through 11	3,171,472.	171,472.		
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch)	22,778.	22,778.		
c Other professional fees (attach sch)				
17 Interest	2,212.	2,212.		
18 Other (attach schedule) (see instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	640.	640.		
24 Total operating and administrative expenses. Add lines 13 through 23	25,630.	25,630.		
25 Contributions, gifts, grants paid	219,000.			219,000.
26 Total expenses and disbursements. Add lines 24 and 25	244,630.	25,630.		219,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	2,926,842.			
b Net investment income (if negative, enter -0-)		145,842.		
c Adjusted net income (if negative, enter -0-)				

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other professional fees (attach sch)

17 Interest

18 Other (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

SEE STATEMENT 3

PART XV

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing	-4,456.	-22,760.	
	2 Savings and temporary cash investments	141,923.	1,970,257.	1,947,497.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule) STATEMENT 4		113,413.	113,925.
	b Investments — corporate stock (attach schedule) STATEMENT 5	1,043,606.	1,910,706.	2,029,218.
	c Investments — corporate bonds (attach schedule) STATEMENT 6		133,438.	133,746.
Liabilities	11 Investments — land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe SEE STATEMENT 7)	31.	2,892.	2,892.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	1,181,104.	4,107,946.	4,227,278.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	1,181,104.	4,107,946.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,181,104.	4,107,946.	
	31 Total liabilities and net assets/fund balances (see instructions)	1,181,104.	4,107,946.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,181,104.
2	Enter amount from Part I, line 27a	2	2,926,842.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	4,107,946.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	4,107,946.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a PUBLICLY TRADED SECURITIES</b>	P	VARIOUS	VARIOUS
<b>b CAPITAL GAIN DIVIDENDS</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,377,673.		1,269,164.	108,509.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			108,509.
<b>b</b>			109.
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px; display: inline-block;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		<b>2</b>	108,618.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	<div style="border: 1px solid black; padding: 2px; display: inline-block;">           If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0-            in Part I, line 8         </div>		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

<b>1</b> Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	73,475.	1,477,374.	0.049734
2016	69,151.	1,354,073.	0.051069
2015	66,185.	1,435,597.	0.046103
2014	68,658.	1,457,286.	0.047114
2013	61,600.	1,321,294.	0.046621
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.240641
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.048128
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 4,346,563.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 209,191.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 1,458.
<b>7</b> Add lines 5 and 6			<b>7</b> 210,649.
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 219,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,458.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	1,458.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	1,458.
6 Credits/Payments			
a 2018 estimated tax pmts and 2017 overpayment credited to 2018	6 a	6,313.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	6,313.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,855.	
11 Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <input checked="" type="checkbox"/> 4,855. <b>Refunded</b> <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> SC		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses <b>SEE STATEMENT 8</b>	X	

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X
14 The books are in care of <u>SHEILA C. MCKINNEY, CPA</u> Telephone no <u>864-232-7848</u> Located at <u>P.O. BOX 25926 GREENVILLE SC</u> ZIP + 4 <u>29616-0926</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4 b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration

or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES S. JOHNSTON, JR. P.O. BOX 714 GEORGETOWN, SC 29442	CO-TRUSTEE 0.46	0.	0.	0.
CHARLES P. JOHNSTON 5201 RIO VISTA KNOXVILLE, TN 37919	CO-TRUSTEE 0.46	0.	0.	0.
ELLIS M. JOHNSTON, II P.O. BOX 2048 GREENVILLE, SC 29602	CO-TRUSTEE 0.48	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	1,492,557.
b	Average of monthly cash balances	1 b	2,920,197.
c	Fair market value of all other assets (see instructions)	1 c	
d	<b>Total</b> (add lines 1a, b, and c)	1 d	4,412,754.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,412,754.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	66,191.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,346,563.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	217,328.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	217,328.
2a	Tax on investment income for 2018 from Part VI, line 5	2 a	1,458.
b	Income tax for 2018 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	1,458.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	215,870.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	215,870.
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	215,870.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	219,000.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	219,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	1,458.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	217,542.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				215,870.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2018.				
a From 2013				
b From 2014	338.			
c From 2015				
d From 2016	2,553.			
e From 2017	874.			
f Total of lines 3a through e	3,765.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 219,000.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2018 distributable amount				215,870.
e Remaining amount distributed out of corpus	3,130.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,895.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	6,895.			
10 Analysis of line 9				
a Excess from 2014	338.			
b Excess from 2015				
c Excess from 2016	2,553.			
d Excess from 2017	874.			
e Excess from 2018	3,130.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 9				
<b>Total</b>			<b>3 a</b>	219,000.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3 b</b>	

<b>Part XVI-A</b>	<b>Analysis of Income-Producing Activities</b>
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Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	19,488.	
4	Dividends and interest from securities			14	43,366.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	108,618.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				171,472.	
13	Total. Add line 12, columns (b), (d), and (e)				13	171,472.

<b>Part XVI-B</b>	<b>Relationship of Activities to the Accomplishment of Exempt Purposes</b>
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[illegible]



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, Form 990-EZ, or Form 990-PF.**  
► **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

OMB No 1545-0047

**2018**

Name of the organization

THE LAURA E. DUPONT FOUNDATION

Employer identification number

58-6340729

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering 'N/A' in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2018)**

Name of organization

Employer identification number

THE LAURA E. DUPONT FOUNDATION

58-6340729

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DUPONT 2NDAMENDED&RESTATED REVTR PO BOX 8099 GREENVILLE, SC 29604-8099	\$ 3,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

THE LAURA E. DUPONT FOUNDATION

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**Part III** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
	N/A		
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)





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## FEDERAL STATEMENTS

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 22,778.	\$ 22,778.		
TOTAL	<u>\$ 22,778.</u>	<u>\$ 22,778.</u>		<u>\$ 0.</u>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	\$ 2,212.	\$ 2,212.		
TOTAL	<u>\$ 2,212.</u>	<u>\$ 2,212.</u>		<u>\$ 0.</u>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN SECS TRANSACTION FEES	\$ 640.	\$ 640.		
TOTAL	<u>\$ 640.</u>	<u>\$ 640.</u>		<u>\$ 0.</u>

**STATEMENT 4**  
**FORM 990-PF, PART II, LINE 10A**  
**INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS**

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS FCI A/C - GOVT SECS - SEE ATTACHMENT	COST	\$ 113,413.	\$ 113,925.
	TOTAL	<u>\$ 113,413.</u>	<u>\$ 113,925.</u>

## THE LAURA E. DUPONT FOUNDATION

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**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
UBS DELAWARE A/C - SEE ATTACHMENT	COST	\$ 272,605.	\$ 309,990.
UBS EIC ACV A/C - SEE ATTACHMENT	COST	499,311.	547,627.
UBS JH LCC (GREENWOOD) A/C-SEE ATTACHMENT	COST	432,195.	457,496.
UBS CAPITAL GROUP A/C - SEE ATTACHMENT	COST	168,279.	168,188.
UBS PMP A/C - SEE ATTACHMENT	COST	44,145.	44,145.
UBS TCW A/C - SEE ATTACHMENT	COST	167,671.	170,506.
UBS DELAWARE SCC A/C - SEE ATTACHMENT	COST	326,500.	331,266.
	TOTAL	<u>\$ 1,910,706.</u>	<u>\$ 2,029,218.</u>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10C**  
**INVESTMENTS - CORPORATE BONDS**

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
UBS FCI A/C - BONDS - SEE ATTACHMENT	COST	\$ 133,438.	\$ 133,746.
	TOTAL	<u>\$ 133,438.</u>	<u>\$ 133,746.</u>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ACCR TAX INT PD '18 COLL '19 C/O TO 2019	\$ 1,732.	\$ 1,732.
DIVIDENDS RECEIVABLE	22.	22.
UBS BANK INTEREST RECEIVABLE	1,138.	1,138.
	TOTAL <u>\$ 2,892.</u>	<u>\$ 2,892.</u>

**STATEMENT 8**  
**FORM 990-PF, PART VII-A, LINE 10**  
**SUBSTANTIAL CONTRIBUTORS DURING THE TAX YEAR**

<u>NAME OF SUBSTANTIAL CONTRIBUTOR</u>	<u>ADDRESS OF SUBSTANTIAL CONTRIBUTOR</u>
LE DUPONT 2ND AMENDED&RESTATED RTR	PO BOX 8099 GREENVILLE, SC 29604-8099

## THE LAURA E. DUPONT FOUNDATION

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**STATEMENT 9**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MISSION OF HOPE 6030 INDUSTRIAL HEIGHTS DRIVE KNOXVILLE TN 37909		PC	APPALACHIAN RELIEF MINISTRY	\$ 20,000.
KNOXVILLE INTERNATIONALS NETWORK 6322 DEANE HILL DRIVE SUITE 202 KNOXVILLE TN 37919		PC	GENERAL OPERATING BUDGET	20,000.
LOGOS LA GONAVE INC 71 DAGULLAH WAY SUITE C PAWLEYS ISLAND SC 29585		PC	MEDICINE & SUPPLIES FOR HAITIAN PEOPLE IN LOTORE'	2,000.
BROOKGREEN GARDENS 1931 BROOKGREEN GARDEN DRIVE MURRELLS INLET SC 29576		PC	2018 ANNUAL FUND	4,000.
TEACH MY PEOPLE 753 WAVERLY ROAD PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET	4,000.
STUDENTS IN THE WORD 10304 OCEAN HIGHWAY PAWLEYS ISLAND SC 29585		PC	GENERAL OPERATING BUDGET	4,000.
THE BILLFISH FOUNDATION 5100 N FEDERAL HIGHWAY SUITE 200 FORT LAUDERDALE FL 33308		PC	GENERAL OPERATING BUDGET	2,000.
FRIENDS OF THE MUSEUM SCHOOL FOUNDATION 211 MAPLE STREET SAN DIEGO CA 92103		PC	GENERAL OPERATING BUDGET	10,000.
CANCER SOCIETY OF GREENVILLE COUNTY 113 MILLS AVENUE GREENVILLE SC 29605		PC	GENERAL OPERATING BUDGET	5,000.
NEMOURS WILDLIFE FOUNDATION 161 NEMOURS PLANTATION ROAD YEMASSEE SC 29945		PC	GENERAL OPERATING BUDGET	2,000.

## THE LAURA E. DUPONT FOUNDATION

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CHRIST CHURCH EPISCOPAL 10 N. CHURCH STREET GREENVILLE SC 29601		PC	JOYFULL GARDEN TOUR FUND, GROUNDS RESTORATION FUND & HOPE WORKS	\$ 40,000.
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON ROAD KANAB UT 84741		PC	GENERAL OPERATING BUDGET	5,000.
WOUNDED WARRIOR PROJECT 4899 BELFORD RD SUITE 300 JACKSONVILLE FL 32256		PC	GENERAL OPERATING BUDGET	5,000.
ALL SAINTS CHURCH 3560 KINGS RIVER ROAD PAWLEYS ISLAND SC 29585		PC	DISCRETIONARY FUND & CEMETERY EXPANSION	23,000.
STREET HOPE TN 7212 OAK RIDGE HWY KNOXVILLE TN 37931		PC	GENERAL OPERATING BUDGET	10,000.
GLOBAL GRACE FELLOWSHIP 1800 S MYRTLE AVE MONROVIA CA 91016		PC	MISSION OPERATING FUND	5,000.
GLOBAL PARTNERSHIP SERVICES INC 1221 OSPREY LN KNOXVILLE TN 37922		PC	GENERAL OPERATING BUDGET	5,000.
WITH INC 122 W GLENWOOD AVE KNOXVILLE TN 37917		PC	GENERAL OPERATING BUDGET	5,000.
THE CRU FOUNDATION 100 LAKE HART DRIVE #3600 ORLANDO FL 32832		PC	GENERAL OPERATING BUDGET	8,000.
JDRF 26 BROADWAY, 14TH FLOOR NEW YORK NY 10004		PC	GENERAL OPERATING BUDGET	10,000.
CLEMSON UNIVERSITY FOUNDATION 155 OLD GREENVILLE HWY UNIT 105 CLEMSON SC 29631		PC	CLEMSON UNIVERSITY CHAPEL PROJECT INITIATIVE	10,000.
FRIENDSHIP PLACE INC 1905 FRONT ST GEORGETOWN SC 29440		PC	GENERAL OPERATING BUDGET	4,000.

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STATEMENT 9 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
TARA HALL HOME FOR BOYS 510 TARA HALL RD GEORGETOWN SC 29440		PC	GENERAL OPERATING BUDGET	\$ 4,000.
MISS RUBY'S KIDS 2018 CHURCH STREET GEORGETOWN SC 29440		PC	GENERAL OPERATING BUDGET	4,000.
CAINE HALTER FAMILY YMCA 721 CLEVELAND STREET GREENVILLE SC 29601		PC	GENERAL OPERATING BUDGET	3,000.
JULIE VALENTINE CENTER 2905 WHITE HORSE ROAD GREENVILLE SC 29611		PC	GENERAL OPERATING FUND	5,000.
TOTAL				\$ 219,000.

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ATTACHMENT TO STATEMENT 4

FORM 990-PF, PART II, LINE 10A

INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

Face Value at Maturity 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
	UBS FCI A/C			
8,000	US Treasury Note Rate 2 000% Matures 02/15/22	0.00	7,866.25	7,887.52
22,000	US Treasury Note Rate 2 750% Matures 02/15/24	0.00	22,134.93	22,235.40
21,000	US Treasury Note Rate 2 125% Matures 05/15/25	0.00	20,319.96	20,435.73
27,000	US Treasury Note Rate 2 250% Matures 02/15/27	0.00	26,067.66	26,217.54
11,000	US Treasury Note Rate 2 750% Matures 02/15/28	0.00	10,996.57	11,056.76
35,000	US Treasury Securit Stripped Interest Payment Coupon Strip OID	0.00	26,027.59	26,091.80
124,000	Total UBS FCI A/C	0.00	113,412.96	113,924.75
124,000	TOTAL U.S. AND STATE GOVERNMENT OBLIGATIONS	0.00	113,412.96	113,924.75

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FEDERAL ATTACHMENT

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
	UBS Capital Group A/C			
198	AIA Group Ltd Spon ADR	0 00	6,528 04	6,510.21
38	Air Liquide ADR France ADR	0.00	925 22	937 46
183	Airbus SE Un-sponsored ADR	0 00	4,387 30	4,351.74
18	Amcor Ltd New AU ADR	0 00	676.98	669 51
12	AON PLC	0 00	1,736.41	1,744 32
67	Asahi Kasei Corp Un-spon ADR	0 00	1,379 87	1,375 51
21	ASML Hldg NV Spon ADR	0.00	3,297 71	3,268 02
305	ASSA Abloy AB ADR	0 00	2,677 87	2,705.35
36	Associated British Foods PLC New ADR	0 00	939.60	930 60
171	Astrazeneca PLC Spon ADR	0 00	6,511.34	6,494.58
65	BNP Paribas SA ADR	0.00	1,468 66	1,465.10
157	Brenntag AG Un-spon ADR	0 00	1,352 56	1,367.47
199	Carlsberg AS Apon ADR	0 00	4,224.75	4,228.75
15	CDN Natl Railway Co CAD	0 00	1,112.97	1,111 65
188	Danone Spon ADR	0.00	2,617 25	2,628 24
27	DBS Group Hldgs Ltd Spon ADR	0.00	1,872.72	1,882.58
35	Denso Corp ADR	0 00	792 40	784 53
79	Deutsche Boerse ADR	0.00	942.08	942.47
21	Diageo PLC New GB Spon ADR	0 00	2,987 88	2,977.80
69	DNB ASA Spon ADR	0 00	1,089 86	1,102.97
33	DSV AS ADR	0.00	1,081 91	1,087.35
417	ENEL SPA ADR	0.00	2,403 44	2,422 77
154	Ensco PLC CL A	0.00	573.99	548 24
74	Essilorluxottica ADR	0.00	4,668.56	4,673 84
106	Genmab A/S Spon ADR	0 00	1,736 28	1,746.35
29	Givaudan SA ADR	0.00	1,341 83	1,342.12
53	Grifols S A CL B Spon ADR	0 00	968 70	973 08
24	HDFC Bank Ltd ADR Repstg 3 Ord Shs	0 00	2,496 00	2,486.16
17	Hermes Intl SCA ADR	0 00	930 98	939 17
38	Hoya Corp Spon ADR	0 00	2,283.42	2,285 51
33	Hutchison China Meditech Ltd Spon ADR	0 00	776.61	761.97
83	Iberdrola SA Spon ADR	0 00	2,636 08	2,663.06
64	Imperial Brands PLC Spon ADR	0 00	1,919 99	1,953 28



## THE LAURA E. DUPONT FOUNDATION

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
24	Intercontinental Hotels Group PLC New Spon ADR	0 00	1,291 89	1,310 16
57	Jardine Matheson HD-Unsp ADR	0 00	3,922.74	3,935 85
39	KBC Group NV ADR	0 00	1,252 49	1,246 44
54	Kering S A Un Sponsored ADR	0 00	2,471 95	2,524 77
11	Keyence Corp Ord JPY	0 00	5,559.90	5,487.02
10	Kubota Corp ADR Japan ADR	0 00	708 00	704.50
275	London STK Exchange Un-sponsored ADR	0 00	3,574 97	3,602 50
79	Loreal Co ADR France ADR	0.00	3,602.11	3,607.14
49	LVMH Moet Hennessy Louis New ADR	0 00	2,862.32	2,864.54
4	Mercadolibre Inc	0.00	1,167.40	1,171.40
75	Murata MFG Co Ltd ADR	0 00	2,537 63	2,525.25
24	Naspers Ltd Spon ADR	0 00	954.00	951 72
51	Nestle S A Spon ADR Repstg Reg Shs Switz ADR	0 00	4,132 53	4,128 96
65	Nidec Corp ADR	0.00	1,844 04	1,823.25
17	Novartis AG Spon ADR	0 00	1,461.32	1,458.77
126	Novo Nordisk ADR Denmark ADR	0 00	5,804.56	5,804 82
87	NTT Docomo Inc Ech Repstg 1/200TH Com Shs Spon ADR	0.00	1,946.18	1,935 75
152	Pernod Ricard SA ADR	0 00	4,931.93	4,976 48
60	Prudential PLC ADR United Kingdom	0.00	2,118.66	2,122.20
52	Reckitt Benckiser PLC Spon ADR	0 00	798 20	786 76
49	RELX PLC Spon ADR	0.00	1,011 85	1,005 48
24	Rio Tinto PLC Spon ADR	0 00	1,168 80	1,163 52
38	Royal Dutch Shell PLC Ads Repstg 2 CL B Ord Shs Spon ADR	0.00	2,272.70	2,277 72
365	Royal KPN N V Spon ADR	0 00	1,039.37	1,049 38
10	Ryanair Holdings PLC Spon ADR	0 00	719.40	713.40
15	Ryohin Keikaku Co Un-sponsored ADR	0 00	723 15	721 73
208	Safran SA ADR	0 00	6,162.73	6,217 12
147	Sampo OYJ ADR	0 00	3,232 52	3,256 05
68	Samsonite Intl SA Un-sponsored ADR	0.00	943.16	963 56
41	SAP SE Spon ADR	0.00	4,096.29	4,081.55
66	Shin Etsu Chem Co Ltd ADR	0.00	1,280.07	1,267.20
12	SMC Corp Yen Shs Ord JPY	0 00	3,632.40	3,552 24
179	Societe Generale France Spons ADR ADR	0.00	1,141.86	1,125 91
84	Softbank Group Corp ADR	0.00	2,772.83	2,738 40
33	Sonova Hldg AG ADR	0.00	1,076 63	1,081 74

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
49	SSE PLC Spon ADR	0 00	660 03	674 73
349	Svenska Handelsbanken AB ADR	0.00	1,896 26	1,936 95
131	Taiwan Semiconductor MFG Co Ltd ADR	0 00	4,916.35	4,835 21
22	Total S A France Spon ADR	0.00	1,166 13	1,147 96
298	Unicharm Corp Spon ADR	0 00	1,960.84	1,966.80
51	Vodafone Group PLC Spon ADR	0.00	999.89	983 28
51	Wynn Macau Ltd Unspn ADR	0.00	1,123 53	1,098 03
6,630	Total UBS Capital Group A/C	0.00	168,278.87	168,188.00
	UBS Delaware A/C			
441	ABB Ltd Spon ADR	7,222 38	7,222 38	8,383 41
396	Allianz SE ADR	8,042.03	6,697 74	7,975 44
0	Banco Santander SA Spon ADR	999 88	0 00	0 00
243	BP PLC Spon ADR	8,577 82	7,104 70	9,214 56
0	Canon Inc ADR Japan Spon ADR	5,440 38	0.00	0.00
0	China Mobile Ltd Spon ADR	1,522 79	0.00	0 00
212	Daimler AG Un-sponsored ADR	9,893.33	2,759.48	2,779.32
417	Deutsche Telekom AG DE Spon ADR	4,813 55	4,813.55	7,080.66
2,180	Enel Spa ADR	9,733 99	9,733.99	12,665.80
327	ENI SPA IT Spon ADR	10,123.13	9,448 84	10,300 50
46	Fuji Film Holdings Corp ADR	1,860 04	1,789 89	1,792 16
0	Honda Motor Co ADR Japan ADR	12,135 66	0 00	0 00
383	Iberdrola SA Spon ADR	9,650.77	8,820.06	12,288.55
2,188	Ishares MSCI EAFE ETF	74,315.16	131,192 26	128,610 64
138	Isuzu Motor Co Ltd ADR ADR	1,784.19	1,784.19	1,900.26
0	Kingfisher PLC New Spon ADR	11,774 20	0 00	0.00
343	Koninklijke Ahold Delhaize NV Spon ADR	9,256 87	5,741 83	8,653.89
0	Lloyds Banking Group PLC Spon ADR	2,974.99	0 00	0 00
323	Mitsubishi Elec Corp ADR Japan ADR	0.00	8,434.27	7,102.77
109	Novartis AG Spon ADR	7,924 69	6,639 57	9,353 29
136	NTT Docomo Inc ECH Repstg 1/200th Com Shs Spon ADR	2,100.15	2,100.15	3,026.00
0	QBE Ins Group Ltd Spon ADR	1,543.08	0 00	0 00
0	Royal Dutch Shell PLC CL A Spon ADR	297.93	0.00	0 00
155	Royal Dutch Shell PLC ADS Repstg 2 CL B ORD SHS Spon ADR	7,626 98	6,602.30	9,290 70

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
0	RWE AG ADR	1,679.46	0.00	0.00
239	Sanofi Spon ADR	9,694.20	8,678.71	10,374.99
0	SAP SE Spon ADR	6,484.07	0.00	0.00
70	Singapore Telecom Ltd New 2006 Spon ADR	5,724.66	1,116.67	1,505.00
257	Taiwan Semiconductor Mfg Co Ltd ADR	2,722.00	2,599.69	9,485.87
0	Takeda Pharmaceutical Co Ltd Spon ADR	11,065.09	0.00	0.00
0	Telefonica S A Spon ADR	285.05	0.00	0.00
1,173	Telia Co AB ADR	3,389.37	10,505.39	11,043.79
515	Tesco PLC Spons ADR United Kingdom	6,272.64	3,511.41	3,769.80
222	Tokio Marine Holdings Inc Spon ADR	9,370.32	7,128.39	10,527.24
265	Untd Overseas Bk Ltd Spons ADR Singapore ADR	8,346.54	6,507.75	9,571.80
326	WH Group LTD Spon ADR	0.00	4,927.11	5,007.36
0	WPP PLC New Spon ADR	3,675.10	0.00	0.00
278	Zurich Insurance Group Ltd Spon ADR	6,745.39	6,745.39	8,285.79
11,382	<b>Total UBS Delaware A/C</b>	<b>285,067.88</b>	<b>272,605.71</b>	<b>309,989.59</b>
	<b>UBS Delaware SCC A/C</b>			
126	ABM Inds Inc	0.00	3,901.19	4,045.86
180	Adamas Pharmaceuticals Inc	0.00	1,494.70	1,537.20
183	American Eagle Outfitters Inc New	0.00	3,443.73	3,537.39
92	American Equity Investment Life Holding Co	0.00	2,532.75	2,570.48
46	Anixter Intl Inc	0.00	2,474.68	2,498.26
72	Applied Indl Tech Inc	0.00	3,825.29	3,883.68
189	Arlo Technologies Inc	0.00	2,019.27	1,886.76
108	Armada Hoffer Pptys Inc Reit	0.00	1,503.80	1,518.48
35	ASGN Inc	0.00	1,886.15	1,907.50
38	ATN Intl Inc Com	0.00	2,712.44	2,718.14
27	Balchem Corp	0.00	2,108.97	2,115.45
75	Barnes Group Inc~	0.00	3,954.00	4,021.50
57	Belden Inc New	0.00	2,326.06	2,380.89
15	BlackBaud Inc	0.00	949.65	943.50
103	Boise Cascade Co	0.00	2,380.43	2,456.55
83	Brightview Hldgs Inc	0.00	813.39	847.43
115	Brooks Automation Inc	0.00	3,009.09	3,010.70

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
30	Bryn Mawr Bank Corp	0.00	1,017.35	1,032.00
218	Carrizo Oil & Gas Inc	0.00	2,465.38	2,461.22
110	Casella Waste Systems Inc CL A	0.00	3,038.19	3,133.90
32	Catalent Inc	0.00	980.61	997.76
126	Centerstate Banks Corp	0.00	2,610.87	2,651.04
74	Cheesecake Factory Inc	0.00	3,152.78	3,219.74
82	Chuys Hldgs Inc	0.00	1,441.56	1,454.68
34	City Holding Co W VA	0.00	2,293.64	2,298.06
269	Coeur Mining Inc	0.00	1,193.85	1,202.43
95	Columbus McKinnon Corp	0.00	2,808.20	2,863.30
51	Conmed Corp	0.00	3,171.68	3,274.20
158	Continental Bldg Prod	0.00	3,965.97	4,021.10
226	Cousins Properties	0.00	1,757.83	1,785.40
108	Cryolife Inc	0.00	2,990.06	3,065.04
45	Eastgroup Properties Inc (Maryland Corp)	0.00	4,080.13	4,127.85
46	Esco Technologies Inc	0.00	2,990.00	3,033.70
125	Essent Group Ltd	0.00	4,125.23	4,272.50
19	Evercore Inc	0.00	1,330.02	1,359.64
74	EXLSERVICE Holdings Inc	0.00	3,803.59	3,893.88
53	FCB Financial Holdings Inc CL A	0.00	1,772.84	1,779.74
148	Federal Signal Corp	0.00	2,875.92	2,945.20
58	First Bancorp N C	0.00	1,873.97	1,894.28
136	First Fincl Bancorp Ohio Monroe	0.00	3,150.06	3,225.92
135	First Indl Realty Trust Inc	0.00	3,810.50	3,896.10
82	First Intst BancSystem Inc Com CL A	0.00	2,968.25	2,997.92
38	Five Below Inc	0.00	3,759.62	3,888.16
64	Granite Construction Co Inc	0.00	2,549.75	2,577.92
110	Great Westn Bancorp Inc	0.00	3,393.74	3,437.50
8	Grubhub Inc	0.00	602.48	614.48
37	Hamilton Lane Inc CL A	0.00	1,341.62	1,369.00
51	Hawaiian Holdings Inc	0.00	1,317.79	1,346.91
137	Hope Bancorp Inc Com	0.00	1,615.20	1,624.82
26	HUB Group Inc CL A	0.00	952.38	963.82
88	II VI Inc	0.00	2,831.84	2,856.48
37	Independent Bank Corp Mass	0.00	2,554.85	2,601.47

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
53	Independent Bank Group Inc	0 00	2,438.61	2,425.81
57	Interxion Holdings NV Eur	0 00	3,027.91	3,087.12
45	Jack In The Box Inc	0 00	3,452.60	3,493.35
26	J & J Snack Foods Corp	0 00	3,728.61	3,759.34
56	J2 Global Inc Com	0.00	3,837.37	3,885.28
43	Kadant Inc	0 00	3,481.38	3,502.78
39	Kaiser Aluminum Corp New 2006	0.00	3,474.90	3,482.31
197	Keane Group Inc	0 00	1,575.68	1,611.46
71	Keyw Hldg Corp Com	0.00	486.35	474.99
35	Kforce Inc	0.00	1,056.48	1,082.20
252	Kite Realty Group Trust Reit	0 00	3,556.90	3,550.68
15	KLX Energy Svcs Hldgs Inc	0 00	369.19	351.75
41	Knight-Swift Transn Hldgs Inc CL A	0 00	1,018.81	1,027.87
8	Lendingtree Inc	0 00	1,740.00	1,756.56
24	Ligand Pharmaceuticals Inc Com New	0 00	3,310.27	3,256.80
182	Mack-Cali Realty Corp	0 00	3,540.37	3,565.38
27	Macom Technology Solutions Holdings Inc	0 00	402.30	391.77
78	Malibu Boats Inc CL A	0 00	2,726.73	2,714.40
49	Mastec Inc	0 00	1,964.41	1,987.44
210	Maxlinear Inc CL A	0 00	3,670.23	3,696.00
128	Medicines Co	0 00	2,440.95	2,449.92
78	Merit Medical System Inc	0 00	4,261.91	4,353.18
390	MGIC Investment Corp	0 00	3,964.66	4,079.40
57	Minerals Technologies Inc	0 00	2,868.65	2,926.38
89	MYR Group Inc	0 00	2,461.74	2,507.13
128	Natera Inc	0.00	1,673.19	1,786.88
115	Navigant Consulting Inc	0 00	2,662.83	2,765.75
56	Neenah Inc	0 00	3,265.35	3,299.52
48	Netgear Inc	0.00	1,554.95	1,551.65
13	Nexstar Media Group Inc CL A	0.00	986.57	1,022.32
96	Northwestern Corp New	0 00	5,673.59	5,706.24
55	Nuvasive Inc	0 00	2,655.30	2,725.80
198	Old Natl Bancorp Evansvl Ind	0.00	2,989.56	3,049.20
139	Patterson-Uti Energy Inc	0 00	1,435.47	1,438.65
7	Paycom Software Inc	0 00	848.05	857.15

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
89	Pebblebrook Hotel Tr	0.00	2,505.13	2,519.59
86	Physicians Realty Trust SBI	0.00	1,376.00	1,378.58
393	Pioneer Energy Svcs Corp Com	0.00	541.00	483.39
44	Plantronics Inc New	0.00	1,430.88	1,456.40
104	Prestige Consumer Healthcare Inc	0.00	3,157.86	3,211.52
31	Primerica Inc	0.00	3,008.24	3,029.01
9	Proofpoint Inc	0.00	762.93	754.29
71	Puma Biotechnology Inc	0.00	1,486.02	1,444.85
22	Quaker Chemical Corp	0.00	3,919.08	3,909.62
64	Quidel Corp	0.00	3,059.72	3,124.48
81	Q2 Hldgs Inc	0.00	3,892.86	4,013.55
53	Rapid7 Inc	0.00	1,627.62	1,651.48
71	Repligen Corp	0.00	3,610.34	3,744.54
158	Retrophin Inc Com	0.00	3,504.38	3,575.54
339	RPT Rlty Reit	0.00	4,104.51	4,051.05
63	Selective Ins Group Inc	0.00	3,745.30	3,839.22
81	Semtech Corp	0.00	3,641.76	3,715.47
34	Silicon Laboratories Inc	0.00	2,665.91	2,679.54
147	South Jersey Ind Inc	0.00	4,036.37	4,086.60
39	Spark Therapeutics Inc	0.00	1,492.52	1,526.46
176	Spectrum Pharmaceuticals Inc	0.00	1,528.75	1,540.00
65	Spire Inc	0.00	4,737.85	4,815.20
92	Sterling Bancorp Del Com	0.00	1,512.30	1,518.92
157	Steven Madden Ltd	0.00	4,684.13	4,750.82
72	Stifel Financial Corp	0.00	2,949.11	2,982.24
50	Supernus Pharmaceuticals Inc	0.00	1,609.12	1,661.00
7	Synnex Corp	0.00	556.08	565.88
23	Tabula Rasa Healthcare Inc	0.00	1,417.95	1,466.48
92	Tenneco Inc	0.00	2,469.28	2,519.88
61	Tetra Tech Inc New	0.00	3,106.72	3,157.97
32	Ultragenyx Pharmaceutical Inc	0.00	1,335.14	1,391.36
83	Umpqua Holdings Corp OR	0.00	1,319.69	1,319.70
41	US Ecology Inc Com	0.00	2,531.32	2,582.18
75	U S Silica Hldgs Inc	0.00	773.03	763.50
334	Valley Natl Bancorp	0.00	2,961.88	2,965.92

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
167	Vanda Pharmaceuticals Inc	0.00	4,248.08	4,363.71
41	Wageworks Inc	0.00	1,102.75	1,113.56
88	WNS Holdings Ltd Spon ADR	0.00	3,563.99	3,630.88
22	Woodward Inc	0.00	1,593.97	1,634.38
85	Worthington Indust Inc	0.00	2,950.33	2,961.40
153	Wright Medical Group N V Eur	0.00	4,041.36	4,164.66
85	WSFS Finl Corp	0.00	3,149.25	3,222.35
66	YELP Inc	0.00	2,264.45	2,309.34
11,825	Total UBS Delaware SCC A/C	0.00	326,500.17	331,265.90
	UBS EIC ACV A/C			
200	American Express Co	15,476.16	12,825.56	19,064.00
0	Annaly Capital Management Inc REIT	8,063.07	0.00	0.00
135	Cisco Systems Inc	8,503.21	3,327.34	5,849.55
195	ConocoPhillips	14,159.01	8,642.90	12,158.25
0	Diamond Offshore Drilling Inc	4,203.86	0.00	0.00
524	eBay Inc	15,071.26	14,883.96	14,708.68
0	Energy Select Sector Spdr Fund ETF	7,041.50	0.00	0.00
430	Exelon Corp	15,672.55	12,034.28	19,393.00
0	Exxon Mobil Corp	17,091.22	0.00	0.00
280	Franklin Resources Inc	6,802.00	8,154.69	8,304.80
280	Hartford Financial Services Group Inc	0.00	11,545.21	12,446.00
0	Honda Motor Co ADR Japan ADR	15,427.22	0.00	0.00
1,575	iShares Russell 1000 Value ETF	28,664.67	178,486.25	174,903.75
410	iShares Short Treas Bond ETF	0.00	45,218.21	45,223.00
135	Johnson & Johnson Com	11,589.21	14,070.44	17,421.75
420	Kroger Company	11,871.95	9,619.69	11,550.00
115	Lowe's Companies Inc	12,182.97	8,418.49	10,621.40
255	Mack-Cali Realty Co	4,826.00	4,826.00	4,995.45
0	McKesson Corp	13,822.92	0.00	0.00
190	Medtronic PLC	14,377.00	14,377.00	17,282.40
0	Microsoft Corp	4,779.90	0.00	0.00
90	Mohawk Industries Inc	0.00	10,902.27	10,527.06
90	Molson Coors Brewing CO CL B	0.00	5,482.29	5,054.40

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
120	National Grid PLC Spon ADR	0.00	6,217.54	5,757.60
155	Pepsico Inc	13,919.93	13,919.93	17,124.40
145	PNC Financial Services Group	11,924.55	14,570.53	16,951.95
155	PPG Industries Inc	14,481.45	14,481.45	15,845.65
128	Procter & Gamble Co	10,420.44	10,050.10	11,765.76
120	Qualcomm Inc	5,192.95	5,107.59	6,829.20
0	Schlumberger Ltd Netherlands Antilles	9,362.03	0.00	0.00
325	SunTrust Banks Inc	6,997.13	14,120.74	16,393.00
0	Taiwan Semiconductor Mfg Co Ltd ADR	4,657.67	0.00	0.00
190	Target Corp	19,595.99	11,065.94	12,557.10
105	Torchmark Corp	9,036.47	5,750.48	7,825.65
125	Travelers Cos Inc	11,944.16	11,944.16	14,968.75
0	United Parcel Service Inc CL B	14,269.99	0.00	0.00
315	US Bancorp Del (New)	13,592.22	13,592.22	14,395.50
315	Verizon Communications Inc	14,919.49	15,675.55	17,709.30
0	Walmart Inc	10,354.86	0.00	0.00
0	Wells Fargo & Co New	17,298.61	0.00	0.00
7,522	Total UBS EIC ACV A/C	417,593.62	499,310.81	547,627.35
	UBS John Hancock Large Cap Core A/C (was Greenwood Capital)			
0	ABB Ltd Spon ADR	2,548.82	0.00	0.00
0	Abbott Labs	5,124.30	0.00	0.00
110	Allergan PLC	0.00	14,770.57	14,702.60
20	Alphabet Inc CL A	9,872.72	13,190.81	20,899.20
23	Amazon Com Inc	0.00	34,632.25	34,545.31
53	Amer Express Co	0.00	5,049.30	5,051.96
63	American Tower Corp Reit	0.00	10,080.62	9,965.97
27	Amgen Inc	5,761.95	5,236.38	5,256.09
29	Analog Devices Inc	0.00	2,502.12	2,489.07
191	Anheuser Busch Inbev Spon ADR	0.00	12,603.71	12,569.71
121	Apple Inc	7,499.27	12,961.53	19,086.54
0	Applied Materials Inc	2,361.50	0.00	0.00
281	Baker Hughes A GE Co CL A	0.00	6,071.60	6,041.50
764	Bank of Amer Corp	7,248.25	16,769.78	18,824.96



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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
0	BB&T Corp	6,120 84	0 00	0 00
19	Biogen Inc	7,225 00	5,411.55	5,717 48
0	Blackrock Inc	4,668 70	0 00	0 00
0	BP PLC Spon ADR	8,347 68	0.00	0 00
99	Bristol Myers Squibb Co	5,537 78	5,113 31	5,146 02
13	Broadcom Inc	3,198 25	3,337.75	3,305 64
234	Cargurus Inc CL A	0 00	7,960 68	7,892 82
41	Caterpillar Inc	0 00	5,249 99	5,209 87
0	Celgene Corp	3,259 95	0 00	0 00
174	Cheniere Energy Inc New	0.00	10,012.85	10,299 06
0	Chubb Ltd CHF	5,096 25	0 00	0 00
0	Cisco Systems Inc	6,210 00	0.00	0 00
280	CitiGroup Inc	7,544 00	14,673 99	14,576 80
0	Coca Cola Co Com	6,008.95	0 00	0 00
158	Comcast Corp New CL A	2,816 74	5,488 60	5,379 90
0	ConocoPhillips	9,608 18	0.00	0 00
0	Costco Wholesale Corp	10,957.25	0.00	0 00
0	CVS Health Corp	4,038 05	0 00	0 00
51	Danaher Corp	0 00	5,244 74	5,259 12
717	Danone Spon ADR	0.00	9,960 17	10,023 66
29	Diageo PLC New GB Spon ADR	0 00	4,122 06	4,112.20
0	DowDuPont Inc	5,899.25	0 00	0 00
301	Ebay Inc	0 00	8,580 82	8,449 07
0	Electronic Arts	2,914.65	0 00	0 00
0	Emerson Electric Co	8,941.53	0.00	0.00
0	EOG Resources Inc	5,637.80	0 00	0 00
143	Facebook Inc CL A	2,287 50	19,280 36	18,745 87
0	FedEx Corp	9,702 75	0.00	0.00
38	First Rep Bank	0.00	3,383 52	3,302 20
1,250	Genl Electric Co	0.00	9,452 13	9,462 50
112	Gilead Sciences Inc	2,768 10	7,023 07	7,005 60
59	Goldman Sachs Group Inc	0 00	9,718 26	9,855 95
0	Home Depot Inc	1,304.03	0.00	0 00
0	Honeywell Intl Inc	3,703 70	0 00	0 00
0	Intel Corp	6,738 35	0 00	0 00

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
0	Johnson & Johnson Com	7,842.82	0.00	0.00
74	JPMorgan Chase & Co	4,624.70	3,781.87	7,223.88
146	Kinder Morgan Inc	0.00	2,249.85	2,245.48
542	Lennar Corp CL A	0.00	21,525.53	21,219.30
304	Liberty Media Corp-Liberty Formula One Ser C	0.00	9,092.64	9,332.80
0	Lily Eli & Co	2,230.55	0.00	0.00
0	Lowe's Companies Inc	5,742.01	0.00	0.00
21	Marriott Intl Inc New CL A	0.00	2,270.73	2,279.76
0	Mastercard Inc CL A	5,315.71	0.00	0.00
0	McDonalds Corp	4,676.85	0.00	0.00
80	Microsoft Corp	6,152.20	3,164.00	8,125.60
285	Morgan Stanley	4,021.08	11,311.65	11,300.25
0	Nextera Energy Inc Com	5,337.60	0.00	0.00
0	Nucor Corp	7,301.75	0.00	0.00
0	Oracle Corp	736.56	0.00	0.00
0	PayPal Holdings Inc	4,032.98	0.00	0.00
0	Pepsico Inc	6,092.10	0.00	0.00
0	Pfizer Inc	8,690.09	0.00	0.00
189	Polaris Industries Inc (Minn)	0.00	14,482.99	14,492.52
0	Potash Corp Sask Inc Canada CAD	7,354.28	0.00	0.00
72	Prudential Financial Inc	0.00	5,884.55	5,871.60
0	Qualcomm Inc	5,423.58	0.00	0.00
0	Raytheon Co New	3,802.50	0.00	0.00
231	Schlumberger Ltd Netherlands Antilles	2,779.00	8,523.37	8,334.48
0	Sony Corp ADR New Japan ADR	4,833.81	0.00	0.00
0	Southwest Airlines Co	4,798.41	0.00	0.00
0	Stanley Black & Decker Inc Com	5,841.85	0.00	0.00
0	SunTrust Banks Inc	7,345.73	0.00	0.00
252	Synchrony Finl	0.00	5,967.31	5,911.92
194	Tempur Sealy Intl Inc	0.00	8,136.13	8,031.60
0	Texas Instruments	3,143.40	0.00	0.00
170	Twenty-First Century Fox Inc CL B	4,997.92	8,152.71	8,122.60
99	Twitter Inc	0.00	2,848.09	2,845.26
68	Union Pacific Corp	5,199.59	6,888.75	9,399.64
25	UnitedHealth Group Inc	0.00	6,222.75	6,228.00

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ATTACHMENT TO STATEMENT 5  
 FORM 990-PF, PART II, LINE 10B  
 INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
43	Untd Technologies Corp	5,038 00	5,180 63	4,578.64
0	Valero Energy Corp New	3,383 50	0 00	0 00
0	VF Corp	5,193 69	0 00	0.00
41	Visa Inc CL A	0 00	5,423.89	5,409 54
0	Vodafone Group PLC Spon ADR	4,872 13	0 00	0.00
65	Walmart Inc	0 00	5,747 94	6,054 75
0	Walt Disney Co (Holding Co) Disney Com	9,012 75	0 00	0.00
0	Waste Mgmt Inc New	4,175 23	0 00	0 00
183	Wells Fargo & Co New	0 00	8,479 84	8,432 64
89	Workday Inc CL A	0 00	14,309 42	14,211 52
45	Zimmer Biomet Holdings Inc	0 00	4,667 40	4,667 40
8,618	<b>Total UBS Greenwood Capital Associates A/C</b>	<b>340,944.46</b>	<b>432,194.56</b>	<b>457,495.85</b>
	<b>UBS PMP A/C</b>			
129	Amer Funds New World Fund Class F2	0 00	7,357.48	7,357 46
707	Brandes International Small Cap Equity Fund Class I	0 00	7,357 49	7,357.49
440	Delaware Emerging MKTS Funds Institutional Class	0.00	7,357.49	7,357 50
144	First Eagle Global Funds Class I	0 00	7,357.45	7,357 43
530	Hartford Schrodgers Emerging Markets Equity Fund Class I	0.00	7,357 49	7,357.49
274	Matthews Pacific Tiger Fund	0 00	7,357 48	7,357.49
2,224	<b>Total UBS PMP A/C</b>	<b>0.00</b>	<b>44,144.88</b>	<b>44,144.86</b>
	<b>UBS TCW A/C</b>			
41	Adobe Inc (Delaware)	0 00	9,123.47	9,275.84
24	Alexion Pharmaceuticals Inc	0 00	2,321.52	2,336 64
17	Align Technology Inc	0.00	3,534.57	3,560 31
10	Alphabet Inc CL C	0 00	10,311.39	10,356 10
8	Amazon com Inc	0 00	11,599.90	12,015.76
55	American Tower Corp Reit	0.00	8,656 33	8,700 45
38	Biomarin Pharmaceutical Inc	0 00	3,149 46	3,235.70
2	Booking Hldgs Inc	0.00	3,419 34	3,444 84
19	Celgene Corp	0 00	1,171 48	1,217 71
38	Chubb Ltd CHF	0 00	4,812 81	4,908 84

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ATTACHMENT TO STATEMENT 5  
FORM 990-PF, PART II, LINE 10B  
INVESTMENTS - CORPORATE STOCKS

# Shares 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
19	Concho Resources Inc	0 00	1,918 45	1,953.01
22	Costco Wholesale Corp	0 00	4,379 08	4,481 62
12	Equinix Inc Reit	0 00	4,113 34	4,230 72
57	Facebook Inc CL A	0 00	7,653 96	7,472 13
30	Home Depot Inc	0 00	5,042 83	5,154 60
62	IHS Markit Ltd	0 00	2,924 01	2,974 14
13	Illumina Inc	0 00	3,837 77	3,899 09
29	Mastercard Inc CL A	0 00	5,393 56	5,470 85
79	Monster Beverage Corp New Com	0 00	3,876 73	3,888 38
25	Nvidia Corp	0 00	3,249 26	3,337 50
82	Paypal Holdings Inc	0 00	6,828 60	6,895 38
17	S&P Global Inc	0 00	2,842 29	2,888 98
67	Salesforce com Inc	0.00	8,929 75	9,176 99
101	Schwab Charles Corp New	0 00	4,088 13	4,194.53
42	Servicenow Inc	0 00	7,385 13	7,478 10
38	Splunk Inc	0 00	3,851 33	3,984.30
72	Transunion	0 00	3,997 80	4,089 60
16	Ulta Beauty, Inc	0 00	3,841.55	3,917 44
86	Visa Inc CL A	0.00	11,188 05	11,346 84
51	Waste Connections Inc CAD	0.00	3,740.98	3,786.75
28	West Pharmaceutical Services Inc	0 00	2,649 98	2,744 84
52	Xylem Inc	0 00	3,348.75	3,469.44
54	Zoetis Inc	0 00	4,489 69	4,619 16
1,306	Total UBS TCW A/C	0.00	167,671.29	170,506.58
49,507	TOTAL CORPORATE STOCK	1,043,605.96	1,910,706.29	2,029,218.13

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## ATTACHMENT TO STATEMENT 6

FORM 990-PF, PART II, LINE 10C

## INVESTMENTS - CORPORATE BONDS AND NOTES

Face Value at Maturity 12/31/18	Description	Beg Book Value 01/01/18	End Book Value 12/31/18	FMV 12/31/18
	UBS FCI A/C			
6,000	Alphabet Inc B/E CALL@MW+12 5BP Rate 1 998% Matures 08/15/26	0 00	5,441 10	5,454 60
7,000	Amazon com Inc B/E CALL@MW+15BP Rate 02 500% Matures 11/29/22	0.00	6,825 35	6,846 42
6,000	American Intl Group B/E CALL@MW+30BP Rate 03 900% Matures 04/01/26	0.00	5,753 58	5,772 42
7,000	Amgen Inc NTS B/E CALL@MW+20BP Rate 03 625% Matures 05/22/24	0.00	6,963.53	6,978 72
4,000	AON PLC B/E CALL@MW+35BP Rate 03.875% Matures 12/15/25	0 00	3,951 92	3,947 24
8,000	Apple Inc NTS B/E CALL@MW+15BP Rate 02 400% Matures 05/03/23	0.00	7,717.92	7,735 44
7,000	AT&T Inc NTS B/E CALL@MW+30BP Rate 03 600% Matures 02/17/23	0 00	6,947.78	6,956 60
6,000	Bank of America Corp CALL@MW+25BP Rate 03 824% Matures 01/20/28	0 00	5,796.48	5,820 60
7,000	Blackrock Inc NTS B/E CALL@MW+15BP Rate 03 500% Matures 03/18/24	0 00	7,058.59	7,075.81
7,000	Chevron Corp NTS B/E CALL@MW+15BP Rate 02 100% Matures 05/16/21	0.00	6,870 36	6,864.90
7,000	Citigroup Inc NTS B/E Rate 02 650% Matures 10/26/20	0 00	6,907 11	6,906 97
6,000	Goldman Sachs Group Inc CALL@MW+25BP Rate 03 850% Matures 01/26/27	0 00	5,638.68	5,643.90
8,000	Intel Corp NTS B/E CALL@MW+20BP Rate 02 700% Matures 12/15/22	0.00	7,848 88	7,879 76
6,000	JP Morgan Chase & Co NTS B/E Rate 03 250% Matures 09/23/22	0 00	5,943 66	5,952 36
7,000	Lowe's Cos Inc B/E CALL@MW+15BP Rate 03 100% Matures 05/03/27	0 00	6,393.24	6,396.18
6,000	Morgan Stanley NTS B/E Rate 05 500% Matures 7/28/21	0.00	6,277.02	6,287.94
6,000	Oracle Corp B/E CALL@MW+20BP Rate 02 650% Matures 07/15/26	0.00	5,548.68	5,560.56
6,000	Rio Tinto Fin USA Ltd NTS B/E Rate 03.750% Matures 6/15/25 Callable	0 00	5,985.24	6,024.54
7,000	Salesforce com Inc NTS CALL@MW+15BP Rate 03.700% Matures 04/11/28	0.00	7,018.62	7,037 03
7,000	Verizon Communications CALL@MW+12.5BP Rate 02 450% Matures 11/01/22	0 00	6,760.53	6,790.42
6,000	Wells Fargo & Co Med Term NTS Rate 03 550% Matures 09/29/25	0.00	5,789.82	5,813.28
137,000	Total UBS FCI A/C	0.00	133,438.09	133,745.69
137,000	TOTAL CORPORATE BONDS AND NOTES	0.00	133,438.09	133,745.69