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EXTENDED TO NOVEMBER 15, 2019

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

Name of foundation
THALIA & MICHAEL C CARLOS FOUNDATION, INC

A Employer identification number
58-1410420

Number and street (or P O box number if mail is not delivered to street address): FIVE CONCOURSE PKWY
Room/suite: 1000

B Telephone number
404-898-8209

City or town, state or province, country, and ZIP or foreign postal code
ATLANTA, GA 30328

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 28,254,070.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received			N/A	
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	626,414.	626,414.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	619,890.			
b	Gross sales price for all assets on line 6a 15,063,750.				
7	Capital gain net income (from Part IV, line 2)		619,890.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	-3,143.	-3,143.		STATEMENT 2
12	**Total** Add lines 1 through 11	1,243,161.	1,243,161.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 3	9,934.	9,934.		0.
b	Accounting fees STMT 4	8,500.	4,250.		4,250.
c	Other professional fees				
17	Interest	14.	14.		0.
18	Taxes STMT 5	44,143.	3,819.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 6	158,195.	156,879.		1,316.
24	**Total operating and administrative expenses** Add lines 13 through 23	220,786.	174,896.		5,566.
25	Contributions, gifts, grants paid	1,530,000.			1,530,000.
26	**Total expenses and disbursements.** Add lines 24 and 25	1,750,786.	174,896.		1,535,566.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-507,625.			
b	**Net investment income** (if negative, enter -0-)		1,068,265.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

Revenue — Operating and Administrative Expenses

SCANNED DEC 1 0 2019

823501 12-11-18 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2018)

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13041030 794202 60-04844.000 2018.04030 THALIA & MICHAEL C CARLOS 60-04841

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	1,789,978.	849,645.	849,645.
3	Accounts receivable ▶			
	Less, allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S. and state government obligations STMT 7	174,614.	276,993.	274,411.
b	Investments - corporate stock STMT 8	8,188,977.	8,234,552.	11,335,064.
c	Investments - corporate bonds STMT 9	10,799,024.	11,180,346.	10,897,634.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other STMT 10	3,199,260.	3,096,071.	4,882,430.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
15	Other assets (describe ▶)	15,830.	14,886.	14,886.
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	24,167,683.	23,652,493.	28,254,070.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.**			
24	Unrestricted	24,167,683.	23,652,493.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances**	24,167,683.	23,652,493.	
31	**Total liabilities and net assets/fund balances**	24,167,683.	23,652,493.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,167,683.
2	Enter amount from Part I, line 27a	2	-507,625.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	23,660,058.
5	Decreases not included in line 2 (itemize) ▶ OTHER ADJUSTMENTS	5	7,565.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	23,652,493.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	15,063,750.		14,372,959.	619,890.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				619,890.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 619,890.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,393,559.	29,911,733.	.046589
2016	1,419,065.	28,518,616.	.049759
2015	1,397,482.	29,449,065.	.047454
2014	1,253,361.	28,876,012.	.043405
2013	1,021,762.	26,001,860.	.039296

2 **Total** of line 1, column (d)	2	.226503
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.045301
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	30,682,911.
5 Multiply line 4 by line 3	5	1,389,967.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,683.
7 Add lines 5 and 6	7	1,400,650.
8 Enter qualifying distributions from Part XII, line 4	8	1,535,566.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

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Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	10,683.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3	Add lines 1 and 2		3	10,683.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-		5	10,683.
6	Credits/Payments:			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 16,000.		
b	Exempt foreign organizations - tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 5,000.		
d	Backup withholding erroneously withheld	6d 0.		
7	Total credits and payments Add lines 6a through 6d		7	21,000.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached		8	20.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	10,297.
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 10,297. **Refunded** ▶		11	0.

Part VII-A | Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ GA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT AROGETI, TREASURER Telephone no ▶404-898-8209

Located at ▶FIVE CONCOURSE PARKWAY, SUITE 1000, ATLANTA, GA ZIP+4 ▶30328

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
MORGAN STANLEY DOMESTIC HOLDINGS INC 1 NEW YORK PLAZA, 12TH FL, NEW YORK, NY 10004		75,221.
FIDELITY BROKERAGE SERVICES LLC P.O. BOX 28019, ALBUQUERQUE, NM 87125		59,556.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	31,009,630.
b	Average of monthly cash balances	1b	140,533.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	31,150,163.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,150,163.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	467,252.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,682,911.
6	**Minimum investment return** Enter 5% of line 5	6	1,534,146.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,534,146.
2a	Tax on investment income for 2018 from Part VI, line 5 — 2a: 10,683.		
b	Income tax for 2018 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	10,683.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,523,463.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,523,463.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,523,463.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,535,566.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,535,566.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	10,683.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,524,883.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,523,463.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			1,458,536.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 1,535,566.				
a Applied to 2017, but not more than line 2a			1,458,536.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				77,030.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				1,446,433.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2019** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

ROBERT AROGETI, TRUSTEE, 404-898-8209
FIVE CONCOURSE PARKWAY, ATLANTA, GA 30328

b The form in which applications should be submitted and information and materials they should include.

LETTER DESCRIBING NEED

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT ATTACHED SEE STATEMENT ATTACHED SEE STATEMENT ATTACHED SEE STATEMENT ATTACHED	NONE	PC		1,530,000.
Total			▶ 3a	1,530,000.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	626,414.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-3,143.	
8 Gain or (loss) from sales of assets other than inventory			18	619,890.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		1,243,161.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 1,243,161.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	N/A

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/6/19 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SCOTT M. POHAR	Scott M. Pohar	10/30/19		P00110577

Firm's name ▶ CARR, RIGGS & INGRAM, LLC — Firm's EIN ▶ 72-1396621

Firm's address ▶ 4004 SUMMIT BLVD NE, SUITE 800, ATLANTA, GA 30319 — Phone no 770.394.8000

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	FIDELITY			
b	MS #128567			
c	MS #022861			
d	MANAGED FUT PREM GRAHAM K-1 - PASSTHROUGH			
e	CHARTER ASPECT LP K-1 - PASSTHROUGH			
f	CERES ABINGDON K-1 - PASSTHROUGH			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,065,864.		10,662,120.	403,744.
b	2,331,568.		2,338,071.	-6,503.
c	1,666,318.		1,372,768.	293,550.
d				-36,209.
e				-32,978.
f				-1,714.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a				403,744.
b				-6,503.
c				293,550.
d				-36,209.
e				-32,978.
f				-1,714.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	619,890.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

2018 FINAL GRANT LIST

2018 GRANTS AND CONTRIBUTIONS - THALIA & MICHAEL C. CARLOS FOUNDATION, INC.

Charity	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Alliance Theatre 1280 Peachtree Street NE, Atlanta, GA 30309	NONE	PC	General Fund	$15,000
American Jewish Committee Southeast Region Atlanta Regional Office, 6 Piedmont Center, Suite 510, Atlanta, GA 30305	NONE	PC	General Fund	$15,000
Anti-Defamation League - "A World of Difference Institute" 3490 Piedmont Road NE, Suite 610, Atlanta, GA 30305	NONE	PC	General Fund	$12,500
Anti-Defamation League - "No Place for Hate" 3490 Piedmont Road NE, Suite 610, Atlanta, GA 30305	NONE	PC	General Fund	$12,500
Georgia Appleseed 1600 Parkwood Circle, Suite 200, Atlanta, GA 30339	NONE	PC	General Fund	$15,000
Aris Theatre/ Irish Traditions Atlanta 227 Sandy Springs Place NE, Suite 28395, Atlanta, GA 30328	NONE	PC	General Fund	$10,000
Arts Now 10 Glenlake Parkway, Suite 130, Atlanta, GA 30328	NONE	PC	General Fund	$15,000
Atlanta Ballet Michael C Carlos Dance Center 1695 Marietta Boulevard NW, Atlanta, GA 30318	NONE	PC	General Fund	$100,000
Atlanta Beltline Partnership 112 Krog Street NE, Suite 14, Atlanta, GA 30307	NONE	PC	General Fund	$10,000
Atlanta Bicycle Coalition 889 Wylie Street SE, Atlanta, GA 30316	NONE	PC	General Fund	$10,000
Atlanta Contemporary Arts Center 535 Means Street NW, Atlanta, GA 30318	NONE	PC	General Fund	$10,000
Atlanta Community Food Bank 732 Joseph E Lowery Boulevard NW, Atlanta, GA 30318-6628	NONE	PC	General Fund	$10,000
Atlanta History Center 130 West Paces Ferry Road NW, Atlanta, GA 30305	NONE	PC	General Fund	$75,000
Atlanta Legal Aid Society 54 Ellis Street NE, Atlanta, GA 30303	NONE	PC	General Fund	$25,000
Atlanta Music Project 1280 Peachtree Street NE, Atlanta, GA 30309	NONE	PC	General Fund	$25,000
Atlanta Police Foundation 191 Peachtree Street NE, Suite 191, Atlanta, GA 30303	NONE	PC	General Fund	$50,000
Atlanta Symphony Orchestra Youth Talent Development 1280 Peachtree Street NE, Suite 4074, Atlanta, GA 30339	NONE	PC	General Fund	$25,000
Atlanta Volunteer Lawyers Foundation 235 Peachtree Street NE, Suite 1750, Atlanta GA 30303	NONE	PC	General Fund	$15,000
Ben Massell Dental Clinic 700 14th Street NW, Atlanta, GA 30318	NONE	PC	General Fund	$10,000
Blue Heron Nature Preserve 4055 Roswell Road NE, Atlanta, GA 30342	NONE	PC	General Fund	$15,000
CARE 151 Ellis Street NE, Atlanta, GA 30303-2440	NONE	PC	General Fund	$15,000
Carter Center 453 John Lewis Freedom Parkway NE, Atlanta, GA 30307	NONE	PC	General Fund	$15,000
CASA-Fulton County Court Appointed Special Advocates 395 Pryor Street SW, Suite 4116, Atlanta, GA 30312	NONE	PC	General Fund	$15,000
Center for Visually Impaired 739 West Peachtree Street NW, Atlanta, GA 30308	NONE	PC	General Fund	$15,000
CHRIS180 1017 Fayetteville Road SE, Suite B, Atlanta, GA 30316	NONE	PC	General Fund	$25,000
Cool Girls 1382 Peachtree Street NE, Suite 100, Atlanta, GA 30309	NONE	PC	General Fund	$10,000
Covenant House 1559 Johnson Road NW, Atlanta, GA 30318	NONE	PC	General Fund	$10,000
Open Doors - Atlanta Real Estate Collaborative 321 West Hill Street, Suite 2E, Decatur, GA 30030	NONE	PC	General Fund	$15,000
Families First 80 Joseph E Lowery Boulevard NW, Atlanta, GA 30314	NONE	PC	General Fund	$20,000
Families of Children Under Stress 3825 Presidential Parkway, Suite 103, Atlanta, GA 30340	NONE	PC	General Fund	$10,000
Fernbank Museum 767 Clifton Road NE, Atlanta, GA 30307-1221	NONE	PC	General Fund	$20,000

2018 FINAL GRANT LIST

Fulton County 4-H/Extension 1757 Washington Road, East Point, GA 30344-4151	NONE	PC	General Fund	$35,000
Gateway Center 275 Pryor Street SW, Atlanta, GA 30303	NONE	PC	General Fund	$10,000
Georgia Justice Project 438 Edgewood Avenue SE, Atlanta, GA 30312	NONE	PC	General Fund	$10,000
Georgia Legal Services 104 Marietta Street NW, Suite 250, Atlanta, GA 30303-2743	NONE	PC	General Fund	$15,000
Georgia Organics 200 Ottley Drive NE, Suite A, Atlanta, GA 30324	NONE	PC	General Fund	$10,000
Georgia Public Broadcasting 260 14th Street NW, Atlanta, GA 30318	NONE	PC	General Fund	$10,000
Georgia Trust for Historic Preservation 1516 Peachtree Street NW, Atlanta, GA 30309-2908	NONE	PC	General Fund	$50,000
Georgians for a Healthy Future 50 Hurt Plaza SE, Suite 806, Atlanta, GA 30303	NONE	PC	General Fund	$10,000
Gideon's Promise 101 Marietta Street, Suite 250, Atlanta, GA 30303	NONE	PC	General Fund	$10,000
Global Growers P O Box 276, Avondale Estates, GA 30002	NONE	PC	General Fund	$10,000
Hands On Atlanta 600 Means Street, Suite 100, Atlanta, GA 30318	NONE	PC	General Fund	$25,000
Historic Oakland Foundation 248 Oakland Avenue, SE, Atlanta, GA 30312	NONE	PC	General Fund	$25,000
In The City Camp 2897 N Druid Hills Road, Suite 344, Atlanta, GA 30329	NONE	PC	General Fund	$5,000
Jewish Educational Loan Fund 4549 Chamblee Dunwoody Road, Atlanta, GA 30338	NONE	PC	General Fund	$10,000
Jewish Family and Career Services 4549 Chamblee Dunwoody Road, Atlanta, GA 30338	NONE	PC	General Fund	$10,000
Lawyers' Committee for Civil Rights Under Law 1500 K Street NW, Suite 900, Washington DC 20005	NONE	PC	General Fund	$10,000
Michael C Carlos Museum 517 South Kilgo Circle, Atlanta, GA 30322	NONE	PC	General Fund	$100,000
Murphy Harpst 740 Fletcher Street, Cedartown, GA 30125	NONE	PC	General Fund	$25,000
National Center for Civil and Human Rights 250 Williams Street, Suite 2322, Atlanta, GA 30303	NONE	PC	General Fund	$25,000
Nature Conservancy of Georgia 100 Peachtree Street NW, Suite 2250, Atlanta, GA 30303	NONE	PC	General Fund	$20,000
New American Pathways 2300 Henderson Mill Road NE, Suite 100, Atlanta, GA 30345	NONE	PC	General Fund	$50,000
Park Pride 233 Peachtree Street NE, Suite 1600, Atlanta, GA 30303	NONE	PC	General Fund	$25,000
Partnership Against Domestic Violence P O Box 170225, Atlanta, GA 30317	NONE	PC	General Fund	$15,000
Planned Parenthood Southeast, Inc 241 Peachtree Street NE, Suite 400, Atlanta, GA 30303	NONE	PC	General Fund	$25,000
Sierra Club Foundation - Georgia Chapter 743 E College Avenue, Suite B, Decatur, GA 30030	NONE	PC	General Fund	$75,000
Southern Environmental Law Center 10 10th Street NW, Suite 1050, Atlanta, GA 30309	NONE	PC	General Fund	$20,000
St Jude's Recovery Center, Inc 139 Renaissance Parkway NE, Atlanta, GA 30308	NONE	PC	General Fund	$25,000
Star-C 1201 Peachtree Street NE, Suite 1110, Atlanta, GA 30361	NONE	PC	General Fund	$15,000
Story Corps Atlanta 80 Hanson Place, Second Floor, Brooklyn, NY 11217	NONE	PC	General Fund	$50,000
Sweet Auburn Works 522 Auburn Avenue, Atlanta, GA 30312	NONE	PC	General Fund	$50,000
Tapestry Public Charter School 3130 Raymond Drive, Doraville, GA 30340	NONE	PC	General Fund	$25,000
Trees Atlanta 225 Chester Avenue SE, Atlanta, GA 30316	NONE	PC	General Fund	$10,000
Truancy Intervention Project Georgia, Inc 395 Pryor Street SW, Suite 4122, Atlanta, GA 30312	NONE	PC	General Fund	$15,000

2018 FINAL GRANT LIST

Usher 2020 Foundation, Inc 209 Peachtree Circle NE, Atlanta, GA 30309	NONE	PC	General Fund	$15,000
Weinstein Hospice 3150 Howell Mill Road NW, Atlanta, GA 30327-2108	NONE	PC	General Fund	$10,000
West Atlanta Watershed Alliance 1442 Richland Road SW, Atlanta, GA 30310	NONE	PC	General Fund	$10,000
William Breman Jewish Heritage Museum 1440 Spring Street NW, Atlanta, GA 30309-2837	NONE	PC	General Fund	$25,000
Total				$1,530,000

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CERES ABINGDON K-1 - PASSTHROUGH	4,523.	0.	4,523.	4,523.	
CHARTER ASPECT LP K-1 - PASSTHROUGH	2,688.	0.	2,688.	2,688.	
FIDELITY #5880	190,299.	0.	190,299.	190,299.	
FIDELITY #5880 - ACCRUED INT PD	-2,696.	0.	-2,696.	-2,696.	
FIDELITY #5880 - AMORTIZATION	-24,225.	0.	-24,225.	-24,225.	
MANAGED FUT PREM GRAHAM K-1 - PASSTHROUGH	2,732.	0.	2,732.	2,732.	
MORGAN STANLEY #022861	236,508.	0.	236,508.	236,508.	
MORGAN STANLEY #101180	573.	0.	573.	573.	
MORGAN STANLEY #128567 - AMORTIZATION	-125,862.	0.	-125,862.	-125,862.	
MORGAN STANLEY #128567 - INT/DIV/US	326,988.	0.	326,988.	326,988.	
SKYBRIDGE HEDGE FUND PORT	14,886.	0.	14,886.	14,886.	
TO PART I, LINE 4	626,414.	0.	626,414.	626,414.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CHARTER ASPECT LP K-1 - PASSTHROUGH	396.	396.	
MANAGED FUT PREM GRAHAM K-1 - PASSTHROUGH	-150.	-150.	
MANAGED FUT PREM ABINGDON K-1 - PASSTHROUGH	-3,389.	-3,389.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-3,143.	-3,143.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,934.	9,934.		0.
TO FM 990-PF, PG 1, LN 16A	9,934.	9,934.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,500.	4,250.		4,250.
TO FORM 990-PF, PG 1, LN 16B	8,500.	4,250.		4,250.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	40,324.	0.		0.
FOREIGN TAXES - FIDELITY #5880	1,571.	1,571.		0.
FOREIGN TAXES - MORGAN STANLEY #022861	2,248.	2,248.		0.
TO FORM 990-PF, PG 1, LN 18	44,143.	3,819.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FIDELITY #5880 - ADVISOR FEES	57,680.	57,680.		0.
MORGAN STANLEY #022861 - ADVISOR FEES	75,222.	75,222.		0.
CHARTER ASPECT LP K-1 - PASSTHROUGH	7,184.	7,184.		0.
MANAGED FUT PREM GRAHAM K-1 - PASSTHROUGH	7,887.	7,887.		0.
CERES ABINGDON K-1 - PASSTHROUGH	7,591.	7,591.		0.
D & O LIABILTY INSURANCE	2,631.	1,315.		1,316.
TO FORM 990-PF, PG 1, LN 23	158,195.	156,879.		1,316.

FORM 990-PF — U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS — STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #128567 - SEE STATEMENT A-2	X		177,168.	174,278.
FIDELITY #5880 - SEE STATEMENT A-3	X		99,825.	100,133.
TOTAL U.S. GOVERNMENT OBLIGATIONS			276,993.	274,411.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			276,993.	274,411.

FORM 990-PF — CORPORATE STOCK — STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #022861 - SEE STATEMENT A-1	5,141,386.	8,297,740.
FIDELITY #5880 - SEE STATEMENT A-3	1,954,912.	2,030,884.
FIDELITY #5880 - SEE STATEMENT A-3	1,138,254.	1,006,440.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,234,552.	11,335,064.

FORM 990-PF	CORPORATE BONDS	STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #128567 - SEE STATEMENT A-2	7,935,132.	7,697,474.
FIDELITY #5880 - SEE STATEMENT A-3	3,245,214.	3,200,160.
TOTAL TO FORM 990-PF, PART II, LINE 10C	11,180,346.	10,897,634.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY #128567 - SEE STATEMENT A-2	COST	428,613.	434,258.
MORGAN STANLEY #022861 - SEE STATEMENT A-1	COST	1,995,392.	3,830,676.
MS MANAGED FUTURES PREMIER GRAHAM LP - FLOWTHROUGH	COST	196,654.	182,087.
MANAGED FUTURES PREMIER ABINGDON LP - FLOWTHROUGH	COST	282,955.	261,831.
CERES TACTICAL GLOBAL LP	COST	192,457.	173,578.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,096,071.	4,882,430.

FORM 990-PF	OTHER ASSETS	STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIV/INT/CGD DUE FROM BROKER	826.	0.	0.
RECEIVABLE FROM SKYBRIDGE HEDGE FUND	15,004.	14,886.	14,886.
TO FORM 990-PF, PART II, LINE 15	15,830.	14,886.	14,886.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARY BALENT LONG 1100 PEACHTREE STREET, SUITE 1600 ATLANTA, GA 30309	PRESIDENT 1.00	0.	0.	0.
F. SHEFFIELD HALE 303 PEACHTREE BATTLE AVE ATLANTA, GA 30305	SECRETARY 0.50	0.	0.	0.
MILES J. ALEXANDER 1100 PEACHTREE STREET, SUITE 2800 ATLANTA, GA 30309	TRUSTEE 0.50	0.	0.	0.
CHRIS M. CARLOS ONE NATIONAL DRIVE, SW ATLANTA, GA 30336	TRUSTEE 0.50	0.	0.	0.
ROBERT AROGETI FIVE CONCOURSE PARKWAY, SUITE 1000 ATLANTA, GA 30328	TREASURER 0.50	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

STATEMENT A-1

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALIBABA GROUP HLDG LTD (BABA)	8/13/18	520.000	$178.083	$137.070	$92,603.00	$71,276.40	$(21,326.60) ST		
	10/24/18	40.000	146.168	137.070	5,846.70	5,482.80	(363.90) ST		
	12/27/18	40.000	137.814	137.070	5,512.54	5,482.80	(29.74) ST		
	Total	600.000			103,962.24	82,242.00	(21,720.24) ST	—	—
Asset Class: Equities									
ALPHABET INC CL A (GOOGL)	4/4/13	100.000	398.016	1,044.960	39,801.58	104,496.00	64,694.42 LT		
	10/28/13	10 000	509.233	1,044.960	5,092.33	10,449.60	5,357.27 LT		
	4/23/15	20.000	552.565	1,044.960	11,051.30	20,899.20	9,847.90 LT		
	5/22/15	20.000	555.141	1,044.960	11,102.81	20,899.20	9,796.39 LT		

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	3/15/16	15.000	749.447	1,044.960	11,241.70	15,674.40	4,432.70 LT		
	12/8/16	25.000	793.758	1,044.960	19,843.96	26,124.00	6,280.04 LT		
	1/11/17	45.000	825 694	1,044.960	37,156.21	47,023.20	9,866.99 LT		
	2/6/17	35.000	816.848	1,044.960	28,589.68	36,573.60	7,983.92 LT		
	9/15/17	5.000	936.390	1,044.960	4,681.95	5,224.80	542.85 LT		
	Total	275.000			168,561.52	287,364.00	118,802.48 LT	—	—
Asset Class: Equities									
ALPHABET INC CL C (GOOG)	4/4/13	40 000	395.550	1,035.610	15,821.98	41,424.40	25,602.42 LT	—	—
Asset Class: Equities									
AMAZON COM INC (AMZN)	11/28/16	210.000	767.734	1,501.970	161,224.06	315,413.70	154,189.64 LT		
	7/6/17	35.000	967.005	1,501.970	33,845 17	52,568.95	18,723.78 LT		
	Total	245.000			195,069.23	367,982.65	172,913.42 LT	—	—
Asset Class: Equities									
AMERICAN TOWER REIT COM (AMT)	1/23/12	1,180.000	61.838	158.190	72,968.25	186,664.20	113,695.95 LT	3,717.00	1.99
Next Dividend Payable 01/14/19; Asset Class: Alt									
APPLE INC (AAPL)	5/29/09	1,940.000	19.308	157.740	37,456.60	306,015.60	268,559.00 LT	5,665.00	1.85
Next Dividend Payable 02/2019; Asset Class: Equities									
BANK OF AMERICA CORP (BAC)	7/6/17	6,250.000	25.030	24.640	156,439.38	154,000 00	(2,439.38) LT		
	8/7/17	850.000	24.958	24 640	21,213.88	20,944.00	(269.88) LT		
	9/15/17	400.000	24.297	24.640	9,718 64	9,856.00	137.36 LT		
	10/16/17	280.000	26.288	24.640	7,360 53	6,899.20	(461.33) LT		
	2/22/18	2,000.000	32.003	24 640	64,006.40	49,280.00	(14,726.40) ST		
	12/7/18	1,960 000	25.880	24.640	50,724.21	48,294.40	(2,429.81) ST		
	12/27/18	260.000	24.218	24.640	6,296.65	6,406.40	109.75 ST		
	Total	12,000.000			315,759.69	295,680.00	(3,033.23) LT (17,046.46) ST	7,200 00	2.44
Next Dividend Payable 03/2019; Asset Class: Equities									
BAXTER INTL INC (BAX)	5/13/10	120.000	24.639	65.820	2,956.72	7,898.40	4,941.68 LT		
	2/9/11	800.000	27.521	65.820	22,017.07	52,656.00	30,638.93 LT		
	11/16/11	550.000	30.170	65.820	16,593 68	36,201.00	19,607.32 LT		
	7/12/12	50.000	29.648	65.820	1,482.40	3,291.00	1,808.60 LT		
	12/10/12	20.000	36.151	65 820	723.01	1,316 40	593.39 LT		
	10/28/13	140 000	37.048	65 820	5,186 76	9,214.80	4,028.04 LT		
	4/23/15	170.000	39.430	65 820	6,703.10	11,189 40	4,486.30 LT		
	5/22/15	150.000	37.212	65.820	5,581.81	9,873.00	4,291.19 LT		
	2/22/18	930.000	67.998	65 820	63,238.42	61,212.60	(2,025.82) ST		

Security Mark at Right

STATEMENT A-1

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	9/27/18	800.000	76.908	65.820	61,526 64	52,656.00	(8,870 64) ST		
	Total	3,730.000			186,009.61	245,508.60	70,395.45 LT (10,896.46) ST	2,835.00	1.15
Next Dividend Payable 01/02/19; Asset Class: Equities									
BLACKROCK INC (BLK)	4/2/12	400.000	206.340	392.820	82,536.12	157,128.00	74,591.88 LT		
	11/27/12	150.000	193.130	392.820	28,969.49	58,923.00	29,953.51 LT		
	10/24/18	50.000	388.818	392.820	19,440.89	19,641.00	200.11 ST		
	Total	600.000			130,946 50	235,692.00	104,545.39 LT 200.11 ST	7,512.00	3.19
Next Dividend Payable 03/2019; Asset Class: Equities									
CHARLES SCHWAB NEW (SCHW)	10/24/16	3,000 000	32.255	41.530	96,764.40	124,590.00	27,825.60 LT		
	1/11/17	30.000	41.407	41.530	1,242.20	1,245.90	3.70 LT		
	2/6/17	400.000	39.877	41.530	15,950.68	16,612.00	661.32 LT		
	4/17/17	250 000	37.687	41.530	9,421.68	10,382.50	960.82 LT		
	Total	3,680.000			123,378.96	152,830.40	29,451.44 LT	1,914.00	1.25
Next Dividend Payable 02/2019; Asset Class: Equities									
CHEVRON CORP (CVX)	3/26/13	570.000	120.807	108.790	68,859.88	62,010.30	(6,849.58) LT		
	10/28/13	150.000	120.280	108.790	18,041.99	16,318.50	(1,723.49) LT		
	4/23/15	300.000	110.423	108.790	33,126.99	32,637.00	(489.99) LT		
	5/22/15	100.000	105 020	108.790	10,501.99	10,879.00	377.01 LT		
	6/30/15	100.000	96.899	108.790	9,689.89	10,879.00	1,189.11 LT		
	8/3/15	90.000	85.630	108.790	7,706.68	9,791.10	2,084.42 LT		
	9/9/15	160 000	75.890	108.790	12,142.40	17,406.40	5,264.00 LT		
	7/29/16	100.000	101.373	108.790	10,137.27	10,879.00	741.73 LT		
	4/17/17	30 000	105.824	108.790	3,174.71	3,263.70	88.99 LT		
	6/8/17	790.000	104.414	108.790	82,486.74	85,944.10	3,457.36 LT		
	8/7/17	130.000	110.075	108.790	14,309.74	14,142.70	(167.04) LT		
	10/24/18	40 000	113 600	108.790	4,544 00	4,351.60	(192.40) ST		
	Total	2,560 000			274,722.28	278,502.40	3,972.52 LT (192.40) ST	11,469.00	4.12
Next Dividend Payable 03/2019; Asset Class: Equities									
COMCAST CORP (NEW) CLASS A (CMCSA)	6/29/15	5,600.000	30.070	34.050	168,392.56	190,680.00	22,287.44 LT	4,256.00	2.23
Next Dividend Payable 01/23/19; Asset Class: Equities									
COSTCO WHOLESALE CORP NEW (COST)	7/18/14	1,560.000	117.439	203.710	183,205 00	317,787.60	134,582.60 LT	3,557.00	1.12
Next Dividend Payable 02/2019; Asset Class: Equities									

STATEMENT A-1

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
FACEBOOK INC CL-A (FB)	8/5/15	690.000	96.462	131.090	66,558.71	90,452.10	23,893.39 LT		
	9/9/15	210.000	91.617	131.090	19,239.53	27,528.90	8,289.37 LT		
	3/15/16	30.000	110.518	131.090	3,315.54	3,932.70	617.16 LT		
	7/29/16	50.000	125.534	131.090	6,276.69	6,554.50	277.81 LT		
	1/11/17	170 000	124.797	131.090	21,215.51	22,285.30	1,069.79 LT		
	2/6/17	100.000	130.644	131.090	13,064.35	13,109.00	44.65 LT		
	7/6/17	230.000	149.463	131.090	34,376.42	30,150.70	(4,225.72) LT		
	10/24/18	70 000	154.234	131.090	10,796.39	9,176.30	(1,620.09) ST		
	12/27/18	100.000	133.570	131.090	13,357.00	13,109.00	(248.00) ST		
	Total	1,650.000			188,200.14	216,298.50	29,966.45 LT (1,868.09) ST	—	—
Asset Class: Equities									
FIDELITY NATL INFORMATION SE (FIS)	10/24/16	1,280.000	75.890	102.550	97,139.33	131,264.00	34,124.67 LT		
	12/8/16	120.000	74.830	102.550	8,979.55	12,306.00	3,326.45 LT		
	1/11/17	150.000	77.524	102.550	11,628 53	15,382.50	3,753.97 LT		
	2/6/17	140.000	79.150	102.550	11,081.03	14,357.00	3,275.97 LT		
	Total	1,690.000			128,828.44	173,309.50	44,481.06 LT	2,163.00	1.25
Next Dividend Payable 03/2019; Asset Class: Equities									
GARRETT MOTION INC (GTX)	9/23/10	140.000	4.580	12.340	641.24	1,727.60	1,086.36 LT	—	—
Asset Class: Equities									
GENL DYNAMICS CORP (GD)	4/24/17	750.000	191.826	157.210	143,869.73	117,907.50	(25,962.23) LT		
	6/21/18	220.000	188.115	157.210	41,385.34	34,586.20	(6,799.14) ST		
	12/27/18	30.000	154.553	157.210	4,636 58	4,716.30	79.72 ST		
	Total	1,000 000			189,891.65	157,210.00	(25,962.23) LT (6,719.42) ST	3,720.00	2.37
Next Dividend Payable 02/2019; Asset Class: Equities									
HOME DEPOT INC (HD)	8/29/13	1,590.000	75.423	171.820	119,922.24	273,193.80	153,271.56 LT	6,551.00	2.40
Next Dividend Payable 03/2019; Asset Class: Equities									
HONEYWELL INTERNATIONAL INC (HON)	9/23/10	1,400.000	41.718	132.120	58,405.30	184,968.00	126,562.70 LT	4,592.00	2.48
Next Dividend Payable 03/2019; Asset Class: Equities									
JOHNSON & JOHNSON (JNJ)	6/8/17	1,110.000	130.774	129 050	145,159.58	143,245.50	(1,914.08) LT		
	9/29/17	340 000	129.500	129.050	44,029.83	43,877.00	(152.83) LT		
	12/7/18	350 000	145.037	129.050	50,762.78	45,167.50	(5,595.28) ST		
	12/27/18	50.000	126.927	129.050	6,346.34	6,452.50	106.16 ST		
	Total	1,850.000			246,298.53	238,742.50	(2,066.91) LT (5,489 12) ST	6,660.00	2.79

Security Mark at Right

STATEMENT A-1

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 03/2019; Asset Class: Equities									
JPMORGAN CHASE & CO (JPM)	12/6/04	75.000	38.150	97.620	2,861.25	7,321.50	4,460.25 LT		
	12/28/04	35.000	39.220	97.620	1,372.70	3,416.70	2,044.00 LT		
	1/12/05	20.000	37.720	97.620	754.40	1,952.40	1,198.00 LT		
	2/9/05	65.000	37.550	97.620	2,440.75	6,345.30	3,904.55 LT		
	8/16/05	175 000	34.770	97.620	6,084.75	17,083.50	10,998.75 LT		
	9/12/07	20.000	44.690	97.620	893.80	1,952.40	1,058.60 LT		
	12/18/07	100.000	43.520	97.620	4,352.00	9,762.00	5,410.00 LT		
	1/8/08	100.000	41.190	97.620	4,119.00	9,762.00	5,643.00 LT		
	6/15/09	1,000.000	34.238	97.620	34,238.00	97,620.00	63,382.00 LT		
	7/12/12	550 000	34 098	97.620	18,754.07	53,691.00	34,936.93 LT		
	11/27/12	490.000	40.932	97.620	20,056.68	47,833.80	27,777.12 LT		
	12/10/12	30 000	42.310	97.620	1,269.30	2,928 60	1,659.30 LT		
	12/7/18	490 000	104.594	97.620	51,251.06	47,833 80	(3,417.26) ST		
	Total	3,150.000			148,447.76	307,503.00	162,472.50 LT (3,417.26) ST	10,080.00	3.28
Next Dividend Payable 01/2019; Asset Class: Equities									
LOCKHEED MARTIN CORP (LMT)	1/14/16	640.000	215 405	261.840	137,859.13	167,577.60	29,718 47 LT		
	12/27/18	20 000	258.746	261 840	5,174.91	5,236.80	61.89 ST		
	Total	660.000			143,034.04	172,814.40	29,718.47 LT 61.89 ST	5,808.00	3.36
Next Dividend Payable 03/2019; Asset Class: Equities									
MARATHON PETROLEUM CORP (MPC)	3/10/17	3,310.000	49.719	59 010	164,570.55	195,323.10	30,752.55 LT		
	3/17/17	290.000	50.903	59.010	14,761.84	17,112.90	2,351.06 LT		
	4/17/17	200.000	49.397	59.010	9,879.32	11,802.00	1,922.68 LT		
	6/8/17	1,340.000	53.601	59.010	71,825.61	79,073.40	7,247.79 LT		
	9/15/17	110.000	52.995	59.010	5,829.41	6,491.10	661.69 LT		
	Total	5,250.000			266,866.73	309,802.50	42,935.77 LT	9,660.00	3 12
Next Dividend Payable 03/2019; Asset Class: Equities									
MC DONALDS CORP (MCD)	3/12/18	1,500.000	158.163	177.570	237,244.20	266,355.00	29,110.80 ST		
	9/27/18	375.000	166.764	177.570	62,536.39	66,588.75	4,052.36 ST		
	Total	1,875.000			299,780 59	332,943.75	33,163.16 ST	8,700 00	2.61
Next Dividend Payable 03/2019; Asset Class: Equities									
MERCK & CO INC NEW COM (MRK)	5/10/16	2,940.000	54.514	76.410	160,272.34	224,645.40	64,373.06 LT		
	4/17/17	60.000	62.588	76.410	3,755.30	4,584.60	829.30 LT		
	8/7/17	100.000	62.987	76.410	6,298.65	7,641.00	1,342.35 LT		

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	10/16/17	130.000	63.337	76.410	8,233.81	9,933.30	1,699.49 LT		
	12/7/18	655.000	77.086	76.410	50,491.13	50,048.55	(442.58) ST		
	Total	3,885.000			229,051.23	296,852.85	68,244.20 LT	8,547.00	2.88
							(442.58) ST		
Next Dividend Payable 01/08/19; Asset Class: Equities									
MICROSOFT CORP (MSFT)	5/1/12	1,860.000	32.214	101.570	59,917.30	188,920.20	129,002.90 LT		
	7/12/12	350.000	28.859	101.570	10,100.51	35,549.50	25,448.99 LT		
	11/27/12	1,100.000	27.287	101.570	30,015.15	111,727.00	81,711.85 LT		
	Total	3,310.000			100,032.96	336,196.70	236,163.74 LT	6,090 00	1.81
Next Dividend Payable 03/2019; Asset Class: Equities									
NEXTERA ENERGY INC (NEE)	2/18/14	1,040.000	93.477	173.820	97,215.98	180,772.80	83,556.82 LT	4,618 00	2.55
Next Dividend Payable 03/2019; Asset Class: Equities									
ORACLE CORP (ORCL)	8/12/08	2,480.000	22.879	45.150	56,739.18	111,972.00	55,232.82 LT		
	10/31/08	500 000	18.210	45.150	9,104.90	22,575.00	13,470.10 LT		
	6/15/09	900.000	20 068	45.150	18,061.20	40,635.00	22,573.80 LT		
	11/11/09	250.000	21.888	45.150	5,472.00	11,287.50	5,815.50 LT		
	Total	4,130.000			89,377.28	186,469.50	97,092.22 LT	3,139.00	1.68
Next Dividend Payable 01/2019; Asset Class: Equities									
PAYPAL HLDGS INC COM (PYPL)	6/25/13	1,800.000	31.362	84.090	56,450.79	151,362.00	94,911.21 LT	—	—
Asset Class: Equities									
PEPSICO INC NC (PEP)	1/8/08	30 000	77.890	110.480	2,336.70	3,314.40	977.70 LT		
	1/22/08	150.000	69.670	110.480	10,450.50	16,572.00	6,121.50 LT		
	2/1/08	200.000	68.740	110.480	13,748.00	22,096.00	8,348.00 LT		
	4/2/08	100.000	71.728	110.480	7,172.80	11,048.00	3,875.20 LT		
	4/28/08	100.000	68.578	110.480	6,857.80	11,048.00	4,190.20 LT		
	6/15/09	900.000	53.108	110.480	47,797.20	99,432.00	51,634.80 LT		
	12/10/12	20.000	70.210	110.480	1,404.20	2,209.60	805.40 LT		
	7/29/16	20.000	108.893	110.480	2,177.85	2,209.60	31.75 LT		
	12/8/16	100 000	102.321	110.480	10,232.09	11,048.00	815.91 LT		
	2/6/17	400.000	104.786	110.480	41,914.40	44,192.00	2,277.60 LT		
	Total	2,020.000			144,091.54	223,169.60	79,078.06 LT	7,494.00	3.36
Next Dividend Payable 01/07/19; Asset Class: Equities									
PNC FINL SVCS GP (PNC)	1/23/12	870.000	59.835	116.910	52,056.19	101,711.70	49,655.51 LT		
	11/27/12	360.000	55.408	116.910	19,946.95	42,087.60	22,140.65 LT		
	10/24/18	60 000	119 680	116.910	7,180.80	7,014.60	(166.20) ST		
	12/27/18	60.000	115 201	116.910	6,912.04	7,014.60	102.56 ST		

Security Mark at Right

STATEMENT A-1

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	Total	1,350.000			86,095.98	157,828.50	71,796.16 LT (63.64) ST	5,130.00	3.25
Next Dividend Payable 02/2019; Asset Class: Equities									
RESIDEO TECHNOLOGIES INC (REZI) *Asset Class: Equities*	9/23/10	233.000	7.524	20.550	1,753.00	4,788.15	3,035.15 LT	—	—
ROYAL DUTCH SHELL PLC (RDS'A)	5/15/18	1,730.000	72.804	58.270	125,950.06	100,807.10	(25,142.96) ST		
	6/21/18	600 000	66.746	58.270	40,047.84	34,962.00	(5,085.84) ST		
	10/24/18	30.000	63.130	58.270	1,893.90	1,748.10	(145.80) ST		
	Total	2,360.000			167,891.80	137,517.20	(30,374 60) ST	7,543.00	5.49
Next Dividend Payable 03/2019; Asset Class: Equities									
THERMO FISHER SCIENTIFIC (TMO) *Next Dividend Payable 01/15/19; Asset Class: Equities*	3/14/12	1,360.000	57.658	223.790	78,415.28	304,354.40	225,939.12 LT	925.00	0.30
UNITED TECHNOLOGIES CORP (UTX)	3/24/06	200.000	59.728	106.480	11,945.64	21,296.00	9,350.36 LT		
	2/26/07	20.000	67.100	106.480	1,342.00	2,129.60	787.60 LT		
	3/26/07	240 000	66.280	106.480	15,907.20	25,555.20	9,648 00 LT		
	4/27/07	150.000	67.517	106.480	10,127.54	15,972.00	5,844.46 LT		
	7/11/07	40.000	72.290	106.480	2,891.60	4,259.20	1,367.60 LT		
	12/18/07	80.000	75.520	106.480	6,041.60	8,518.40	2,476.80 LT		
	1/8/08	50.000	74.160	106.480	3,708 00	5,324.00	1,616 00 LT		
	2/1/08	100.000	74.180	106.480	7,418.00	10,648.00	3,230.00 LT		
	4/2/08	150.000	71.500	106.480	10,725 00	15,972.00	5,247.00 LT		
	4/28/08	250.000	72.868	106.480	18,217.00	26,620.00	8,403.00 LT		
	10/31/08	200.000	54.168	106.480	10,833.60	21,296.00	10,462.40 LT		
	6/15/09	700.000	54.558	106.480	38,190.60	74,536.00	36,345.40 LT		
	Total	2,180.000			137,347.78	232,126.40	94,778.62 LT	6,409.00	2.76
Next Dividend Payable 03/2019; Asset Class: Equities									
UNITEDHEALTH GP INC (UNH) *Next Dividend Payable 03/2019; Asset Class: Equities*	3/13/14	1,100.000	77.156	249.120	84,871 82	274,032.00	189,160.18 LT	3,960.00	1.45
VISA INC CL A (V) *Next Dividend Payable 03/2019; Asset Class: Equities*	4/16/10	1,540.000	23.339	131.940	35,942 60	203,187.60	167,245.00 LT	1,540.00	0.76
WALT DISNEY CO HLDG CO (DIS) *Next Dividend Payable 01/10/19; Asset Class: Equities*	10/8/09	2,300.000	28 816	109.650	66,276 80	252,195.00	185,918.20 LT	4,048.00	1.61

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	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
STOCKS	67.68%	$5,141,385.92	$8,297,740.10	$3,221,159.29 LT $(64,805.11) ST	$165,502.00	2.00%

EXCHANGE-TRADED & CLOSED-END FUNDS

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES INC MSCI JAPAN ETF (EWJ)	3/15/16	3,852.000	$45.700	$50.690	$176,036.40	$195,257.88	$19,221.48 LT		
	10/18/17	848.000	57.467	50.690	48,732.19	42,985.12	(5,747.07) LT		
	Total	4,700.000			224,768.59	238,243.00	13,474.41 LT	4,070.00	1.71
GIMA Status: AL; Next Dividend Payable 06/2019; Asset Class: Equities									
ISHARES MSCI EAFE ETF (EFA)	2/1/08	100.000	73.330	58.780	7,333.00	5,878.00	(1,455.00) LT		
	4/2/08	200.000	74.258	58.780	14,851.60	11,756.00	(3,095.60) LT		
	4/28/08	200.000	76.188	58.780	15,237.60	11,756.00	(3,481.60) LT		
	10/31/08	500.000	44.030	58.780	22,015 00	29,390.00	7,375.00 LT		
	6/15/09	1,150.000	46 478	58.780	53,449.70	67,597.00	14,147.30 LT		
	12/21/09	350.000	55.084	58.780	19,279.51	20,573.00	1,293.49 LT		
	12/10/12	35.000	55.549	58.780	1,944.22	2,057.30	113.08 LT		
	7/29/16	65.000	57.998	58.780	3,769.85	3,820.70	50.85 LT		
	4/17/17	50.000	61.887	58 780	3,094.35	2,939.00	(155.35) LT		
	Total	2,650.000			140,974.83	155,767.00	14,792.17 LT	5,279.00	3.39
GIMA Status: AL; Next Dividend Payable 06/2019; Asset Class: Equities									
ISHARES MSCI PAC EX-JPN ETF (EPP)	10/31/08	300.000	26.040	40.700	7,812.00	12,210.00	4,398.00 LT		
	4/15/09	2,500.000	27.331	40.700	68,328.50	101,750.00	33,421.50 LT		
	12/21/09	500 000	40.390	40.700	20,194.90	20,350.00	155.10 LT		
	12/10/12	50.000	47.280	40.700	2,364.00	2,035 00	(329.00) LT		
	5/22/15	80.000	46 802	40.700	3,744.14	3,256.00	(488.14) LT		
	7/29/16	70.000	41.597	40.700	2,911.78	2,849.00	(62.78) LT		
	4/17/17	100.000	44.617	40.700	4,461.67	4,070.00	(391.67) LT		
	10/18/17	2,100.000	47.564	40.700	99,883.35	85,470.00	(14,413.35) LT		
	10/24/18	100.000	41.776	40.700	4,177.61	4,070.00	(107.61) ST		
	Total	5,800.000			213,877.95	236,060.00	22,289.66 LT (107.61) ST	11,797.00	5.00
GIMA Status: AL; Next Dividend Payable 06/2019; Asset Class: Equities									

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES NASDAQ BIOTECH ETF (IBB)	9/14/10	1,125.000	28.354	96.430	31,898.78	108,483.75	76,584.97 LT	212.00	0.20
GIMA Status: AL; Next Dividend Payable 03/2019; Asset Class: Equities									
ISHARES S&P MID-CAP 400 G ETF (IJK)	12/6/04	30.000	65.360	191.230	1,960.80	5,736.90	3,776.10 LT		
	12/28/04	110.000	66 905	191.230	7,359.55	21,035.30	13,675.75 LT		
	1/12/05	130.000	64.225	191.230	8,349.25	24,859.90	16,510.65 LT		
	2/9/05	210.000	66.955	191.230	14,060.55	40,158.30	26,097.75 LT		
	8/16/05	440.000	71.470	191.230	31,446.80	84,141.20	52,694.40 LT		
	3/26/07	70.000	84.210	191.230	5,894.70	13,386.10	7,491.40 LT		
	10/31/08	500.000	58.154	191.230	29,077.00	95,615.00	66,538.00 LT		
	6/15/09	2,140 000	62 730	191.230	134,242.20	409,232.20	274,990.00 LT		
	Total	3,630.000			232,390.85	694,164.90	461,774.05 LT	7,848.00	1.13
GIMA Status: AL; Next Dividend Payable 03/2019; Asset Class: Equities									
ISHARES S&P MID-CAP 400 V ETF (IJJ)	12/6/04	10 000	62.410	138.340	624.10	1,383.40	759.30 LT		
	12/28/04	130.000	63 825	138.340	8,297.25	17,984.20	9,686 95 LT		
	1/12/05	130.000	61.195	138.340	7,955.35	17,984.20	10,028 85 LT		
	2/9/05	220.000	64.080	138.340	14,097.60	30,434.80	16,337.20 LT		
	8/16/05	450.000	68.920	138.340	31,014.00	62,253.00	31,239.00 LT		
	1/8/08	150.000	76.310	138.340	11,446.50	20,751.00	9,304.50 LT		
	2/1/08	120.000	76 900	138.340	9,228.00	16,600.80	7,372.80 LT		
	4/2/08	400.000	75.808	138.340	30,323.00	55,336.00	25,013 00 LT		
	10/31/08	500.000	52.790	138.340	26,395 00	69,170 00	42,775 00 LT		
	6/15/09	2,750.000	52.368	138.340	144,010.90	380,435.00	236,424.10 LT		
	10/24/18	70.000	154.437	138.340	10,810.60	9,683.80	(1,126.80) ST		
	Total	4,930.000			294,202.30	682,016.20	388,940.70 LT (1,126.80) ST	13,691.00	2.01
GIMA Status: AL; Next Dividend Payable 03/2019; Asset Class: Equities									
ISHARES S&P SMLL-CAP 600 V ETF (IJS)	12/28/04	120.000	60 880	131.850	7,305 60	15,822.00	8,516.40 LT		
	1/12/05	150.000	56.935	131.850	8,540 25	19,777.50	11,237.25 LT		
	2/9/05	230.000	60.395	131.850	13,890.85	30,325.50	16,434.65 LT		
	8/16/05	500.000	63.470	131.850	31,735.00	65,925.00	34,190 00 LT		
	12/18/07	380.000	67.750	131.850	25,745.00	50,103.00	24,358.00 LT		
	1/8/08	150.000	66.980	131.850	10,047.00	19,777.50	9,730.50 LT		
	10/31/08	500.000	51.120	131.850	25,560 00	65,925.00	40,365 00 LT		
	6/15/09	3,000.000	47.159	131.850	141,475.50	395,550.00	254,074.50 LT		
	Total	5,030.000			264,299.20	663,205.50	398,906.30 LT	11,604.00	1.75
GIMA Status: AL; Next Dividend Payable 03/2019; Asset Class: Equities									

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Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ISHARES SMALL CAP 600 G ETF (IJT)	12/6/04	10.000	52.640	161.330	526.40	1,613.30	1,086.90 LT		
	12/28/04	150.000	53.525	161.330	8,028.75	24,199.50	16,170.75 LT		
	1/12/05	160.000	50.800	161.330	8,128 00	25,812.80	17,684.80 LT		
	2/9/05	250.000	54.465	161.330	13,616.25	40,332.50	26,716.25 LT		
	8/16/05	490.000	56.680	161.330	27,773.20	79,051.70	51,278.50 LT		
	10/31/08	800 000	47.439	161.330	37,951.04	129,064.00	91,112.96 LT		
	6/15/09	2,670 000	46.126	161.330	123,156.15	430,751.10	307,594.95 LT		
	Total	4,530.000			219,179.79	730,824.90	511,645.11 LT	6,741.00	0.92
GIMA Status: AL; Next Dividend Payable 03/2019; Asset Class: Equities									
SPDR S&P REGIONAL BANKING ETF (KRE)	4/3/17	2,290.000	54.034	46.790	123,738.32	107,149.10	(16,589.22) LT		
	12/27/18	110 000	45.968	46.790	5,056.43	5,146.90	90.47 ST		
	Total	2,400.000			128,794.75	112,296.00	(16,589.22) LT 90.47 ST	2,522.00	2.25
GIMA Status: AL; Next Dividend Payable 03/2019, Asset Class: Equities									
VANGUARD FTSE DEVELOPED MKTS E (VEA)	9/29/17	3,000.000	43.392	37.100	130,176 60	111,300.00	(18,876.60) LT		
	10/18/17	2,300.000	44.080	37.100	101,383.54	85,330.00	(16,053.54) LT		
	10/24/18	200 000	39 680	37.100	7,935 98	7,420.00	(515.98) ST		
	12/27/18	150.000	36.727	37.100	5,509.04	5,565.00	55.96 ST		
	Total	5,650.000			245,005.16	209,615.00	(34,930.14) LT (460.02) ST	7,029.00	3.35
GIMA Status: AL; Next Dividend Payable 03/2019; Asset Class: Equities									

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	31.24%	$1,995,392.20	$3,830,676.25	$1,836,888.01 LT $(1,603.96) ST	$70,793.00	1.85%

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STATEMENT A-2

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
BANK OF NEW YORK MELLON CORP/THE	4/3/14	100,000.000	$100.664	$99.971	$100,664.64			$1,050.00	1.05
Coupon Rate 2.100%; Matures 01/15/2019; CUSIP 06406HCP2			$100.005		$100,005.19	$99,971.00	$(34.19) LT	$968.33	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.819%; Moody A1 S&P A; Issued 11/18/13; Asset Class: FI & Pref									
BB&T CORP	12/3/15	125,000 000	101.905	99.939	127,382.75			1,406.00	1.12
Coupon Rate 2.250%; Matures 02/01/2019; CUSIP 05531FAQ6			100.049		125,061.79	124,923.75	(138.04) LT	1,171.87	
Int. Semi-Annually Feb/Aug 01; Callable $100.00 on 01/02/19; Yield to Maturity 2.956%; Moody A2 S&P A-; Issued 02/04/14; Asset Class: FI & Pref									
BB&T CORP	6/23/14	100,000.000	123.122	101.229	123,122.50			3,425.00	3.38
Coupon Rate 6.850%; Matures 04/30/2019; CUSIP 05531FAB9			101.618		101,617.85	101,229.00	(388.85) LT	1,141.66	
Int. Semi-Annually Apr/Oct 30; Yield to Maturity 3.059%; Moody A2 S&P A-; Issued 05/04/09; Asset Class: FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
NATIONAL CITY CORP Coupon Rate 6 875%; Matures 05/15/2019, CUSIP 635405AM5 *Int. Semi-Annually May/Nov 15; Yield to Maturity 3.119%; Moody A3 S&P BBB+; Issued 05/04/99; Asset Class: FI & Pref*	5/15/13	55,000.000	126.438 101.719	101.372	69,541.35 55,945.21	55,754.60	(190 61) LT	1,891.00 483.15	3.39
AMERICAN EXPRESS CO Coupon Rate 8.125%; Matures 05/20/2019; CUSIP 025816BB4 *Int. Semi-Annually May/Nov 20; Yield to Maturity 3.139%; Moody A3 S&P BBB+; Issued 05/18/09; Asset Class: FI & Pref*	11/21/17	100,000 000	109.409 102.429	101.891	109,409 00 102,428.99	101,891.00	(537.99) LT	4,063.00 925.34	3.98
CITIGROUP INC Coupon Rate 2.500%; Matures 07/29/2019; CUSIP 172967HU8 *Int. Semi-Annually Jan/Jul 29; Yield to Maturity 3.100%; Moody BAA1 (+) S&P BBB+; Issued 07/29/14; Asset Class: FI & Pref*	11/21/17	150,000.000	101.007 100.347	99.658	151,511.00 150,519.77	149,487.00	(1,032.77) LT	3,750.00 1,583.33	2.50
UNITED TECHNOLOGIES CORP Coupon Rate 8.875%; Matures 11/15/2019; CUSIP 913017AR0 *Int. Semi-Annually May/Nov 15; Yield to Maturity 3.336%; Moody BAA1 S&P BBB+; Issued 11/13/89; Asset Class: FI & Pref*	7/12/18	250,000 000	108.524 105.573	104.716	271,311.50 263,932.86	261,790.00	(2,142.86) ST	22,188.00 2,835.06	8.47
AT&T INC Coupon Rate 2.450%; Matures 06/30/2020; CUSIP 00206RCL4 *Int. Semi-Annually Jun/Dec 30; Callable $100.00 on 05/30/20; Yield to Maturity 3.348%; Moody BAA2 S&P BBB; Issued 05/04/15; Asset Class: FI & Pref*	5/15/15	150,000.000	101.460 100.443	98.699	152,190.23 150,663 86	148,048.50	(2,615.36) LT	3,675.00 —	2.48
BANK OF AMERICA CORP Coupon Rate 5.625%; Matures 07/01/2020; CUSIP 06051GEC9 *Int. Semi-Annually Jan/Jul 01; Yield to Maturity 3.298%; Moody A3 (+) S&P A-; Issued 06/22/10; Asset Class: FI & Pref*	5/15/15	80,000.000	116.564 105.029	103.378	93,251.02 84,023.46	82,702.40	(1,321.06) LT	4,500.00 2,250.00	5.44
ANTHEM INC Coupon Rate 4.350%; Matures 08/15/2020, CUSIP 94973VAS6 *Int. Semi-Annually Feb/Aug 15; Yield to Maturity 3.226%; Moody BAA2 S&P A; Issued 08/12/10; Asset Class: FI & Pref*	6/4/18	100,000.000	103 801 102.822	101.760	103,801.50 102,822.20	101,760.00	(1,062.20) ST	4,350 00 1,643.33	4.27
AUTOZONE INC Coupon Rate 4.000%; Matures 11/15/2020; CUSIP 053332AL6 *Int. Semi-Annually May/Nov 15; Callable $100.00 on 08/15/20; Yield to Call 3.502%; Moody BAA1 S&P BBB; Issued 11/15/10; Asset Class: FI & Pref*	12/3/15	50,000.000	107.931 103.103	100.776	53,966.00 51,551.45	50,388.00	(1,163.45) LT	2,000.00 255.55	3.96
PRUDENTIAL FINANCIAL INC Coupon Rate 4.500%; Matures 11/15/2020; CUSIP 74432QBP9 *Int. Semi-Annually May/Nov 15; Yield to Maturity 3.229%; Moody BAA1 S&P A; Issued 11/18/10; Asset Class: FI & Pref*	4/27/18	150,000.000	104.306 103.193	102.287	156,461.00 154,789.37	153,430.50	(1,358.87) ST	6,750 00 862.50	4.39
HP INC Coupon Rate 3.750%; Matures 12/01/2020, CUSIP 428236BF9 *Int. Semi-Annually Jun/Dec 01; Yield to Maturity 3.102%; Moody BAA2 S&P BBB; Issued 12/02/10; Asset Class: FI & Pref*	8/20/12	50,000.000	100 888 100.259	101.195	50,444.50 50,129.72	50,597.50	467.78 LT	1,875.00 156.24	3.70
MCDONALD'S CORP Coupon Rate 2.750%; Matures 12/09/2020; CUSIP 58013MEX8 *Int. Semi-Annually Jun/Dec 09; Callable $100.00 on 11/09/20; Yield to Maturity 3.121%; Moody BAA1 S&P BBB+; Issued 12/09/15; Asset Class: FI & Pref*	12/13/17	250,000.000	102.080 101.362	99.306	255,201.50 253,404.59	248,265.00	(5,139.59) LT	6,875 00 420.13	2.76
STARBUCKS CORP Coupon Rate 2.100%; Matures 02/04/2021; CUSIP 855244AJ8 *Int. Semi-Annually Feb/Aug 04; Callable $100.00 on 01/04/21; Yield to Maturity 3.325%; Moody BAA1 S&P BBB+; Issued 02/04/16; Asset Class: FI & Pref*	3/20/18	200,000.000	98.839 98.839	97.543	197,678.50 197,678.50	195,086.00	(2,592.50) ST	4,200 00 1,714.99	2.15

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
SUNTRUST BANKS INC	10/6/17	125,000.000	103.125	99.135	128,907.63			3,625.00	2.92
Coupon Rate 2.900%; Matures 03/03/2021; CUSIP 867914BK8			102.018		127,522.87	123,918.75	(3,604.12) LT	1,188.19	
Int. Semi-Annually Mar/Sep 03; Callable $100.00 on 02/03/21; Yield to Maturity 3.315%; Moody BAA1 S&P BBB+; Issued 03/03/16; Asset Class: FI & Pref									
WELLS FARGO & CO	8/24/17	100,000 000	102.342	98.357	102,343.00			2,500.00	2.54
Coupon Rate 2.500%; Matures 03/04/2021; CUSIP 949746RS2			101.464		101,463.68	98,357.00	(3,106.68) LT	812.50	
Int. Semi-Annually Mar/Sep 04; Yield to Maturity 3.288%; Moody A2 S&P A-; Issued 03/04/16; Asset Class: FI & Pref									
FORD MOTOR CREDIT CO LLC	1/17/18	200,000.000	102.594	97.031	205,190.10			6,672.00	3.43
Coupon Rate 3.336%; Matures 03/18/2021; CUSIP 345397XW8			101 °32		203,663.27	194,062.00	(9,601.27) ST	1,908.93	
Int. Semi-Annually Mar/Sep 18; Yield to Maturity 4.763%; Moody BAA3 S&P BBB; Issued 03/18/16; Asset Class: FI & Pref									
BANK OF AMERICA CORP	5/15/15	120,000.000	113.848	103.803	136,617.81			6,000.00	4.81
Coupon Rate 5.000%; Matures 05/13/2021; CUSIP 06051GEH8			105.710		126,852.32	124,563.60	(2,288.72) LT	799.99	
Int. Semi-Annually May/Nov 13; Yield to Maturity 3.314%; Moody A3 (+) S&P A-; Issued 05/13/11; Asset Class: FI & Pref									
MORGAN STANLEY	4/12/18	200,000 000	108.472	104.799	216,943.30			11,000 00	5.24
Coupon Rate 5.500%; Matures 07/28/2021; CUSIP 61747WAL3			106.690		213,379.63	209,598.00	(3,781.63) ST	4,675.00	
Int. Semi-Annually Jan/Jul 28; Yield to Maturity 3.533%; Moody A3 S&P BBB+; Issued 07/28/11; Asset Class: FI & Pref									
VERIZON COMMUNICATIONS INC	2/10/16	100,000.000	105.839	101.071	105,839.50				
Coupon Rate 3.500%; Matures 11/01/2021; CUSIP 92343VBC7			102.991		102,990.51	101,071.00	(1,919.51) LT		
	2/15/18	200,000.000	102.463	101.071	204,926.50				
			101.905		203,810.29	202,142.00	(1,668.29) ST		
	Total	300,000.000			310,766.00			10,500.00	3.46
					306,800.80	303,213.00	(1,919.51) LT	1,750.00	
							(1,668.29) ST		
Int. Semi-Annually May/Nov 01; Yield to Maturity 3.101%; Moody BAA1 S&P BBB+; Issued 11/03/11; Asset Class: FI & Pref									
GOLDMAN SACHS GROUP INC/THE	2/8/18	200,000.000	98.848	96.421	197,696.50			4,700.00	2.43
Coupon Rate 2.350%; Matures 11/15/2021; CUSIP 38145GAG5			98.848		197,696.50	192,842.00	(4,854.50) ST	600 55	
Int. Semi-Annually May/Nov 15; Callable $100.00 on 11/15/20; Yield to Maturity 3.674%; Moody A3 S&P BBB+; Issued 09/27/16; Asset Class: FI & Pref									
PRUDENTIAL FINANCIAL INC	12/3/15	150,000.000	110.130	103.208	165,195.50			6,750.00	4.36
Coupon Rate 4.500%; Matures 11/16/2021; CUSIP 74432QBT1			105.089		157,633.77	154,812.00	(2,821.77) LT	843.75	
Int. Semi-Annually May/Nov 16; Yield to Maturity 3.320%; Moody BAA1 S&P A; Issued 11/16/11; Asset Class: FI & Pref									
SOUTHERN POWER CO	1/17/18	200,000.000	100.809	97.121	201,620.50			5,000.00	2.57
Coupon Rate 2.500%; Matures 12/15/2021; CUSIP 843646AT7			100.618		201,236.53	194,242.00	(6,994.53) ST	222.22	
Int. Semi-Annually Jun/Dec 15; Callable $100.00 on 11/15/21; Yield to Maturity 3.534%; Moody BAA1 S&P BBB+; Issued 11/16/16; Asset Class: FI & Pref									
JPMORGAN CHASE & CO	7/11/16	100,000.000	113.714	103.039	113,714.50			4,500.00	4.36
Coupon Rate 4.500%; Matures 01/24/2022; CUSIP 46625HJD3			107.760		107,760.26	103,039.00	(4,721.26) LT	1,962.49	
Int. Semi-Annually Jan/Jul 24; Yield to Maturity 3.446%; Moody A2 S&P A-; Issued 01/23/12; Asset Class: FI & Pref									
UBS GROUP FUNDING SWITZERLAND AG REGS	12/22/17	200,000.000	100.366	96.530	200,733.10			5,300.00	2.74
Coupon Rate 2.650%; Matures 02/01/2022; CUSIP G91703AM3			100.279		200,557.86	193,060.00	(7,497.86) LT	2,208.33	

Security Mark at Right

STATEMENT A-2

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 3.854%; Moody A3u S&P A-; Issued 08/10/16; Asset Class: FI & Pref									
PNC FINANCIAL SERVICES GROUP INC/THE	6/13/17	150,000.000	105.814	99.779	158,721.50			4,950.00	3.30
Coupon Rate 3.300%; Matures 03/08/2022; CUSIP 693476BN2			103.970		155,955.46	149,668.50	(6,286.96) LT	1,553.75	
Int. Semi-Annually Mar/Sep 08; Callable $100.00 on 02/06/22; Yield to Maturity 3.373%; Moody A3 S&P A-; Issued 03/08/12; Asset Class: FI & Pref									
BP CAPITAL MARKETS PLC	8/24/17	150,000.000	104.600	99.090	156,900.35			4,593.00	3.09
Coupon Rate 3.062%; Matures 03/17/2022; CUSIP 05565QCZ9			103.283		154,925.00	148,635.00	(6,290.00) LT	1,326.86	
Int. Semi-Annually Mar/Sep 17; Yield to Maturity 3.362%; Moody A1 S&P A-; Issued 03/17/15; Asset Class: FI & Pref									
AUTOZONE INC	12/3/15	45,000.000	104.625	100.778	47,081.45			1,665.00	3.67
Coupon Rate 3.700%; Matures 04/15/2022; CUSIP 053332AM4			102.495		46,122.67	45,350.10	(772.57) LT	351.49	
Int. Semi-Annually Apr/Oct 15; Callable $100.00 on 01/15/22; Yield to Call 3.428%; Moody BAA1 S&P BBB; Issued 04/24/12; Asset Class: FI & Pref									
AT&T INC	2/8/18	200,000.000	100.343	97.525	200,686.50			6,000.00	3.07
Coupon Rate 3.000%; Matures 06/30/2022; CUSIP 00206RCM2			100.277		200,553.51	195,050.00	(5,503.51) ST	—	
Int. Semi-Annually Jun/Dec 30; Callable $100.00 on 04/30/22; Yield to Maturity 3.762%; Moody BAA2 S&P BBB; Issued 05/04/15; Asset Class: FI & Pref									
UNITEDHEALTH GROUP INC	11/21/17	200,000.000	104.689	100.458	209,378.90			6,700.00	3.33
Coupon Rate 3.350%; Matures 07/15/2022; CUSIP 91324PCN0			103.613		207,225.27	200,916.00	(6,309.27) LT	3,089.44	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 3.212%; Moody A3 S&P A+; Issued 07/23/15; Asset Class: FI & Pref									
SUNTRUST BANK/ATLANTA GA	10/30/17	175,000.000	100.991	96.406	176,734.80			4,288.00	2.54
Coupon Rate 2.450%; Matures 08/01/2022; CUSIP 86787EAT4			100.756		176,323.22	168,710.50	(7,612.72) LT	1,786.45	
Int. Semi-Annually Feb/Aug 01; Callable $100.00 on 07/01/22; Yield to Maturity 3.526%; Moody BAA1 S&P A-; Issued 07/31/17; Asset Class: FI & Pref									
JPMORGAN CHASE & CO	1/23/17	100,000.000	102.826	99.206	102,826.23			3,250.00	3.27
Coupon Rate 3.250%; Matures 09/23/2022; CUSIP 46625HJE1			101.907		101,906.59	99,206.00	(2,700.59) LT	884.72	
Int. Semi-Annually Mar/Sep 23; Yield to Maturity 3.478%; Moody A2 S&P A-; Issued 09/24/12; Asset Class: FI & Pref									
VERIZON COMMUNICATIONS INC	12/11/17	250,000.000	99.914	97.006	249,784.00			6,125.00	2.52
Coupon Rate 2.450%; Matures 11/01/2022; CUSIP 92343VBJ2			99.914		249,784.00	242,515.00	(7,269.00) LT	1,020.83	
Int. Semi-Annually May/Nov 01; Callable $100.00 on 08/01/22; Yield to Maturity 3.287%; Moody BAA1 S&P BBB+; Issued 11/07/12; Asset Class: FI & Pref									
AETNA INC	2/27/18	200,000.000	98.408	95.937	196,816.50			5,500.00	2.86
Coupon Rate 2.750%; Matures 11/15/2022; CUSIP 008117AP8			98.408		196,816.50	191,874.00	(4,942.50) ST	702.77	
Int. Semi-Annually May/Nov 15; Callable $100.00 on 08/15/22; Yield to Maturity 3.890%; Moody BAA2 S&P BBB; Issued 11/07/12; Asset Class: FI & Pref									
EXPRESS SCRIPTS HOLDING CO	2/27/18	200,000.000	99.505	96.780	199,010.50			6,100.00	3.15
Coupon Rate 3.050%; Matures 11/30/2022; CUSIP 30219GAT5			99.505		199,010.50	193,560.00	(5,450.50) ST	508.33	
Int. Semi-Annually May/Nov 30; Callable $100.00 on 10/31/22; Yield to Maturity 3.945%; Moody BAA2 (-) S&P A-; Issued 11/30/17; Asset Class: FI & Pref									
HUMANA INC	1/3/18	200,000.000	102.400	97.964	204,800.50			6,300.00	3.21
Coupon Rate 3.150%; Matures 12/01/2022; CUSIP 444859BA9			101.937		203,874.41	195,928.00	(7,946.41) ST	524.99	
Int. Semi-Annually Jun/Dec 01; Callable $100.00 on 09/01/22; Yield to Maturity 3.713%; Moody BAA3 S&P BBB+; Issued 12/10/12; Asset Class: FI & Pref									
AMERICAN EXPRESS CO	6/13/17	100,000.000	101.968	96.740	101,968.50			2,650.00	2.73
Coupon Rate 2.650%; Matures 12/02/2022; CUSIP 025816BD0			101.435		101,434.60	96,740.00	(4,694.60) LT	213.47	
Int. Semi-Annually Jun/Dec 02; Yield to Maturity 3.548%; Moody A3 S&P BBB+; Issued 12/03/12; Asset Class: FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
BANK OF AMERICA CORP	5/5/17	175,000.000	103.041	98.486	180,323.00			5,775.00	3.35
Coupon Rate 3.300%; Matures 01/11/2023; CUSIP 06051GEU9			102.204		178,857.73	172,350.50	(6,507.23) LT	2,727.08	
Int. Semi-Annually Jan/Jul 11; Yield to Maturity 3.708%; Moody A3 (+) S&P A-; Issued 01/11/13; Asset Class: FI & Pref									
GOLDMAN SACHS GROUP INC/THE	10/30/17	200,000.000	105.214	98.427	210,428.50			7,250.00	3.68
Coupon Rate 3.625%; Matures 01/22/2023; CUSIP 38141GRD8			104.102		208,204.08	196,854.00	(11,350.08) LT	3,202.08	
Int. Semi-Annually Jan/Jul 22; Yield to Maturity 4.049%; Moody A3 S&P BBB+; Issued 01/22/13; Asset Class: FI & Pref									
WELLS FARGO & CO	5/19/17	250,000.000	102.831	97.392	257,078.94			7,673.00	3.15
Coupon Rate 3.069%; Matures 01/24/2023; CUSIP 949746SK8			102.068		255,169.07	243,480.00	(11,689.07) LT	3,346.06	
Int. Semi-Annually Jan/Jul 24; Callable $100.00 on 01/24/22; Yield to Maturity 3.767%; Moody A2 S&P A-; Issued 01/24/17; Asset Class: FI & Pref									
MARRIOTT INTERNATIONAL INC/MD	11/21/17	100,000.000	102.085	96.176	102,085.50			3,125.00	3.24
Coupon Rate 3.125%; Matures 02/15/2023; CUSIP 571903AV5			101.666		101,666 27	96,176.00	(5,490.27) LT	1,180.55	
Int. Semi-Annually Feb/Aug 15; Callable $100.00 on 11/15/22; Yield to Maturity 4.143%; Moody BAA2 S&P BBB; Issued 08/15/16; Asset Class: FI & Pref									
VODAFONE GROUP PLC	7/29/16	200,000.000	105.047	96.275	210,094.50			5,900.00	3.06
Coupon Rate 2.950%; Matures 02/19/2023; CUSIP 92857WBC3			103.263		206,526.57	192,550.00	(13,976.57) LT	2,163.33	
Int. Semi-Annually Feb/Aug 19; Yield to Maturity 3.935%; Moody BAA1 (-) S&P BBB+; Issued 02/19/13; Asset Class: FI & Pref									
JPMORGAN CHASE & CO	11/21/17	100,000.000	100.923	96.053	100,923.50			2,700.00	2.81
Coupon Rate 2.700%; Matures 05/18/2023; CUSIP 46625HRL6			100.747		100,746.64	96,053.00	(4,693.64) LT	322.49	
Int. Semi-Annually May/Nov 18; Callable $100.00 on 03/18/23; Yield to Maturity 3.683%; Moody A2 S&P A-; Issued 05/18/16; Asset Class: FI & Pref									
MORGAN STANLEY	1/3/18	200,000.000	105.802	100.144	211,606.50			8,200.00	4 09
Coupon Rate 4.100%; Matures 05/22/2023; CUSIP 61747YDU6			104.795		209,590.80	200,288.00	(9,302.80) ST	888.33	
Int. Semi-Annually May/Nov 22; Yield to Maturity 4.063%; Moody BAA2 S&P BBB; Issued 05/21/13; Asset Class: FI & Pref									
ALLSTATE CORP/THE	8/24/17	150,000.000	105.081	99 873	157,623.50			4,725 00	3.15
Coupon Rate 3.150%; Matures 06/15/2023; CUSIP 020002AZ4			103.959		155,937.87	149,809.50	(6,128.37) LT	209.99	
Int. Semi-Annually Jun/Dec 15; Yield to Maturity 3.181%; Moody A3 S&P A-; Issued 06/07/13; Asset Class: FI & Pref									
BP CAPITAL MARKETS PLC	11/3/17	200,000.000	108.907	102.076	217,815.50			7,988.00	3.91
Coupon Rate 3.994%; Matures 09/26/2023; CUSIP 05565QCJ5			107.253		214,506.66	204,152.00	(10,354.66) LT	2,107.94	
Int. Semi-Annually Mar/Sep 26; Yield to Maturity 3.513%; Moody A1 S&P A-; Issued 09/26/13; Asset Class: FI & Pref									
TIME WARNER INC	12/22/17	200,000.000	105.955	99 879	211,910.10			8,100.00	4.05
Coupon Rate 4.050%; Matures 12/15/2023; CUSIP 887317AR6			105.011		210,021.30	199,758.00	(10,263.30) LT	359.99	
Int. Semi-Annually Jun/Dec 15; Yield to Maturity 4.077%; Moody BAA2 S&P BBB; Issued 12/16/13; Asset Class: FI & Pref									
AMERICAN INTERNATIONAL GROUP INC	1/17/18	200,000.000	106.341	100.409	212,682.50			8,250.00	4.10
Coupon Rate 4.125%; Matures 02/15/2024; CUSIP 026874CY1			105.415		210,830.94	200,818.00	(10,012.94) ST	3,116.66	
Int. Semi-Annually Feb/Aug 15; Yield to Maturity 4.035%; Moody BAA1 S&P BBB+; Issued 10/02/13; Asset Class: FI & Pref									

Security Mark at Right

STATEMENT A-2

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		7,750,000.000	$8,072,946.70			$266,352.00	3.46%
			$7,935,131.96	$7,697,473.70	$(160,442.95) LT	$64,971.03	
					$(77,215.31) ST		
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	85.68%			$7,762,444.73			

Watchlist and CreditWatch Indicators: (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE-INFLATION INDEXED	11/5/10	150,000.000	$95.307	$99.358	$167,166.80			$2,412.00	1.38
Coupon Rate 1.375%; Matures 01/15/2020; CUSIP 912828MF4			$101.010		$177,167.98	$174,277.90	$(2,890.08) LT	$1,107.59	

Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.002%; Factor 1.16936000; Moody AAA; Issued 01/15/10; Current Face 175,404.000; Asset Class: FI & Pref

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
GOVERNMENT SECURITIES		150,000.000	$167,166.80			$2,412.00	1.38%
			$177,167.98	$174,277.90	$(2,890.08) LT	$1,107.59	
TOTAL GOVERNMENT SECURITIES (includes accrued interest)	1.94%			$175,385.49			

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Security Description	Trade Date	Quantity	Unit Cost	Estimated NAV	Total Cost	Estimated Value	Unrealized Gain/(Loss)		Valuation Date
SKYBRIDGE MUL-AD SER G	6/1/13	327.535	$1,221.240	$1,232.700	$400,000 00	$403,753.38	$3,753.38	F	11/30/18
	Purchases	327.535			400,000 00	403,753.38	3,753.38	F	
Reinvestment	12/31/15	6.967	1,151.280	1,232.700	8,020 88	8,588.24	567.36	F	
Reinvestment	12/31/16	4.967	1,124.870	1,232.700	5,587.22	6,122.84	535.62	F	
Reinvestment	12/31/17	12.812	1,171.130	1,232.700	15,004.46	15,793.39	788.93	F	
	Total	352.281			$\boxed{428,612.56}$	$\boxed{434,257.85}$	5,645.29		
Total Purchases vs Estimated Value					400,000.00	434,257.85			
Net Value Increase/(Decrease)						34,257.85			

Asset Class: Alt

STATEMENT A-3

Exchange Traded Products

Includes exchange-traded funds (ETFs), exchange-traded notes (ETNs), and other exchange-traded vehicles.

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Equity ETPs							
ISHARES CORE S&P 500 ETF(IVV)	4,000.000	$251.6100	$1,006,440.00	$1,138,254.46	-$131,814.46	$17,764.87	1.770%
Total Equity ETPs(14% of account holdings)			1,006,440.00	1,138,254.46	-131,814.46	17,764.87	
Total Exchange Traded Products (14% of account holdings)			**$1,006,440.00**	**$1,138,254.46**	**-$131,814.46**	**$17,764.87**	

Stocks

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Common Stock							
M ALPHABET INC CAP STK CL C(GOOG)	100.000	$1,035.6100	$103,561.00	$117,132.02	-$13,571.02	-	-
M AMAZON.COM INC (AMZN)	75.000	1,501.9700	112,647.75	29,137.62	83,510.13	-	-
M AMERICAN EXPRESS CO(AXP)	1,000.000	95.3200	95,320.00	106,374.85	-11,054.85	1,560.00	1.640
M ANTHEM INC COM (ANTM)	500.000	262.6300	131,315.00	143,702.95	-12,387.95	1,500.00	1.140
M APPLE INC(AAPL)	250.000	157.7400	39,435.00	54,771.87	-15,336.87	730.00	1.850
M BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)	500.000	204.1800	102,090.00	96,050.10	6,039.90	-	-
M CHEVRON CORP NEW COM(CVX)	1,000.000	108.7900	108,790.00	119,122.65	-10,332.65	4,480.00	4.120
M DANAHER CORP COM USD0.01(DHR)	1,500.000	103.1200	154,680.00	152,197.17	2,482.83	960.00	0.620
M EURONET WORLDWIDE INC(EEFT)	700.000	102.3800	71,666.00	80,407.31	-8,741.31	-	-
M INTERCONTINENTAL EXCHANGE INC COM USD0.01 (ICE)	1,500.000	75.3300	112,995.00	119,043.70	-6,048.70	1,440.00	1 270

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Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
Common Stock (continued)							
M IQVIA HLDGS INC COM(IQV)	1,000.000	116.1700	116,170.00	125,726.90	-9,556.90	-	-
M LILLY ELI &CO COM NPV(LLY)	1,000.000	115.7200	115,720.00	95,549 05	20,170.95	2,580.00	2.230
M MARKEL CORP HLDG CO(MKL)	120.000	1,038.0500	124,566.00	69,167.94	55,398.06	-	-
M MICROSOFT CORP (MSFT)	1,000.000	101.5700	101,570.00	109,056.25	-7,486.25	1,840 00	1.810
M NIKE INC CLASS B(NKE)	1,500.000	74.1400	111,210.00	105,687.55	5,522.45	1,320.00	1.190
M PFIZER INC (PFE)	2,000.000	43.6500	87,300.00	80,838.95	6,461.05	2,880.00	3.300
M SALESFORCE COM INC(CRM)	500.000	136.9700	68,485.00	73,491.97	-5,006.97	-	-
M VERIZON COMMUNICATIONS (VZ)	2,000.000	56.2200	112,440.00	113,392.75	-952.75	4,820.00	4.290
M VISA INC COM CL A(V)	700.000	131.9400	92,358.00	84,643.17	7,714.83	700.00	0.760
M VMWARE INC CL A COM(VMW)	500 000	137.1300	68,565.00	79,417.15	-10,852.15	-	-
Total Common Stock (29% of account holdings)			$2,030,883.75	$1,954,911.92	$75,971.83	$24,810.00	
Total Stocks (29% of account holdings)			**$2,030,883.75**	**$1,954,911.92**	**$75,971.83**	**$24,810.00**	

Bonds

Description	Maturity	Quantity	Price Per Unit	Total Market Value *Accrued Interest (AI)*	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Coupon Rate
Corporate Bonds								
MARRIOTT INTL INC NEW	03/01/19	100,000.000	$99.8720	$99,872.00 *$1,000.00*	$100,041.23 B	-$169.23	$1,500.00	3.000%
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 02/01/2019 CUSIP: 571903AJ2								
UNITED PARCEL SERVICE INC	04/01/19	100,000.000	100.5350	100,535.00 *1,281.25*	100,726.04 B	-191.04	2,562.50	5.125
FIXED COUPON MOODYS A1 S&P A+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 911312AK2								
APPLE INC NOTE CALL MAKE WHOLE	05/06/20	100,000.000	99.0540	99,054.00 *266.67*	100,070.00 B	-1,016.00	2,000.00	2.000
FIXED COUPON MOODYS Aa1 S&P AA+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 037833BD1								
NORFOLK SOUTHN RY CO BOND	06/15/20	128,000.000	109.3220	139,932.16 *554.67*	140,910.93 B	-978.77	12,480.00	9.750
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY CUSIP: 655855FA7								

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Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value *Accrued Interest (AI)*	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Coupon Rate
Corporate Bonds (continued)								
ANTHEM INC NOTE CALL MAKE WHOLE	08/15/20	100,000.000	101.7600	101,760.00 *1,643.33*	102,130.62 B	-370.62	4,350.00	4.350
FIXED COUPON MOODYS Baa2 S&P A SEMIANNUALLY MAKE WHOLE CALL CUSIP: 94973VAS6								
CSX CORP NOTE CALL MAKE WHOLE	10/30/20	100,000.000	101.0470	101,047.00 *626.94*	101,924.14 B	-877.14	3,700.00	3.700
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 07/30/2020 CONT CALL 07/30/2020 MAKE WHOLE CALL CUSIP: 126408GT4								
CAMPBELL SOUP CO NOTE CALL MAKE WHOLE	04/15/21	75,000.000	101.4970	76,122.75 *672.92*	77,735.60 B	-1,612.85	3,187.50	4.250
FIXED COUPON MOODYS Baa2 S&P BBB- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 134429AW9								
APPLE INC NOTE CALL MAKE WHOLE	05/06/21	100,000.000	100.1220	100,122.00 *435.42*	100,307.88 B	-185.88	2,850.00	2.850
FIXED COUPON MOODYS Aa1 S&P AA+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 037833AR1								
JPMORGAN CHASE &CO NOTE	05/10/21	100,000.000	102.9130	102,913 00 *655.21*	103,813.02 B	-900.02	4,625.00	4.625
FIXED COUPON MOODYS A2 S&P A- SEMIANNUALLY CUSIP: 46625HHZ6								
GENERAL DYNAMICS CORP	07/15/21	100,000.000	102.2080	102,208.00 *1,786.81*	101,836.87 B	371.13	3,875.00	3.875
FIXED COUPON MOODYS A2 S&P A+ SEMIANNUALLY NEXT CALL DATE 04/15/2021 CONT CALL 04/15/2021 MAKE WHOLE CALL CUSIP: 369550AR9								
FLORIDA POWER CORP BOND CALL MAKE WHOLE	08/15/21	100,000.000	99.8580	99,858.00 *1,171.11*	101,133.71 B	-1,275.71	3,100.00	3.100
FIXED COUPON MOODYS A1 S&P A SEMIANNUALLY NEXT CALL DATE 05/15/2021 CONT CALL 05/15/2021 MAKE WHOLE CALL CUSIP: 341099CP2								
COCA COLA CO NOTE CALL MAKE WHOLE	09/01/21	100,000.000	101.2730	101,273.00 *1,100.00*	102,090.91 B	-817.91	3,300.00	3.300
FIXED COUPON MOODYS A1 S&P A+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 191216AV2								
VERIZON COMMUNICATIONS INC	11/01/21	100,000.000	101.0710	101,071.00 *583.33*	101,051.54 B	19.46	3,500.00	3.500
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 92343VBC7								
VERIZON COMMUNICATIONS INC	11/01/21	100,000.000	99.4980	99,498.00 *500.00*	99,421.00 B	77.00	3,000.00	3.000
FIXED COUPON MOODYS Baa1 S&P BBB+ SEMIANNUALLY NEXT CALL DATE 09/01/2021 CONT CALL 09/01/2021 MAKE WHOLE CALL CUSIP: 92343VCN2								
BECTON DICKINSON &CO NOTE CALL MAKE WHOLE	11/08/21	100,000.000	98.6260	98,626.00 *460.07*	100,478.28 B	-1,852.28	3,125.00	3.125
FIXED COUPON MOODYS Ba1 S&P BBB SEMIANNUALLY MAKE WHOLE CALL CUSIP: 075887BA6								

STATEMENT A-3

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value / *Accrued Interest (AI)*	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Coupon Rate
Corporate Bonds (continued)								
EXPRESS SCRIPTS HLDG CO NOTE	02/15/22	50,000.000	100.4610	50,230.50 *736.67*	51,297.83 B	-1,067.33	1,950.00	3.900
FIXED COUPON MOODYS Baa2 S&P A- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 30219GAF5								
ABBOTT LABS NOTE CALL MAKE WHOLE	03/15/22	75,000.000	97.4210	73,065.75 *563.12*	75,085.05 B	-2,019.30	1,912.50	2.550
FIXED COUPON MOODYS Baa1 S&P BBB SEMIANNUALLY MAKE WHOLE CALL CUSIP: 002824BA7								
MOLSON COORS BREWING CO BOND	05/01/22	100,000.000	99.4020	99,402.00 *583.33*	100,849.39 B	-1,447.39	3,500.00	3.500
FIXED COUPON MOODYS Baa3 S&P BBB- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 60871RAC4								
WALGREEN CO NOTE CALL MAKE WHOLE	09/15/22	100,000.000	97.5500	97,550.00 *912.78*	98,792.00 B	-1,242.00	3,100.00	3.100
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY MAKE WHOLE CALL CUSIP: 931422AH2								
AMERICAN EXPRESS CO NOTE	12/02/22	100,000.000	96.7400	96,740.00 *213.47*	97,331.73 B	-591.73	2,650.00	2.650
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY CUSIP: 025816BD0								
RAYTHEON CO NOTE CALL MAKE WHOLE	12/15/22	100,000.000	97.4510	97,451.00 *111.11*	96,010.00 B	1,441.00	2,500.00	2.500
FIXED COUPON MOODYS A3 S&P A+ SEMIANNUALLY NEXT CALL DATE 09/15/2022 CONT CALL 09/15/2022 MAKE WHOLE CALL CUSIP: 755111BX8								
ANHEUSER BUSCH INBEV FIN INC NOTE	01/17/23	100,000.000	95.1780	95,178.00 *1,195.83*	95,920.00 B	-742.00	2,625.00	2.625
FIXED COUPON MOODYS Baa1 S&P A- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 035242AA4								
TOTAL SYS SVCS INC NOTE CALL MAKE WHOLE	06/01/23	100,000.000	99.1870	99,187.00 *312.50*	99,307.00 B	-120.00	3,750.00	3.750
FIXED COUPON MOODYS Baa3 S&P BBB- SEMIANNUALLY NEXT CALL DATE 03/01/2023 CONT CALL 03/01/2023 MAKE WHOLE CALL CUSIP: 891906AB5								
AFLAC INC NOTE CALL MAKE WHOLE	06/15/23	100,000.000	100.3320	100,332.00 *161.11*	102,522.43 B	-2,190.43	3,625.00	3.625
FIXED COUPON MOODYS A3 S&P A- SEMIANNUALLY MAKE WHOLE CALL CUSIP: 001055AL6								
CVS HEALTH CORP NOTE CALL MAKE WHOLE	12/05/23	100,000.000	100.2860	100,286.00 *288.89*	104,766.55 B	-4,480.55	4,000.00	4.000
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 09/05/2023 CONT CALL 09/05/2023 MAKE WHOLE CALL CUSIP: 126650CC2								
MORGAN STANLEY CALL MAKE WHOLE	04/29/24	75,000.000	99.5220	74,641.50 *500.52*	76,874.78 B	-2,233.28	2,906.24	3.875
FIXED COUPON MOODYS A3 S&P BBB+ SEMIANNUALLY MAKE WHOLE CALL CUSIP: 61746BDQ6								

STATEMENT A-3

Bonds (continued)

Description	Maturity	Quantity	Price Per Unit	Total Market Value *Accrued Interest (AI)*	Total Cost Basis	Unrealized Gain/Loss	Est. Annual Income (EAI)	Coupon Rate
Corporate Bonds (continued)								
AMGEN INC NOTE CALL MAKE WHOLE	05/22/24	100,000.000	99.6960	99,696.00 *392.71*	103,761.41 B	-4,065.41	3,625.00	3.625
FIXED COUPON MOODYS Baa1 S&P A SEMIANNUALLY NEXT CALL DATE 02/22/2024 CONT CALL 02/22/2024 MAKE WHOLE CALL CUSIP: 031162BV1								
WALGREENS BOOTS ALLIANCE INC	11/18/24	100,000.000	98.4790	98,479.00 *453.89*	103,225.91 B	-4,746.91	3,800.00	3.800
FIXED COUPON MOODYS Baa2 S&P BBB SEMIANNUALLY NEXT CALL DATE 08/18/2024 CONT CALL 08/18/2024 MAKE WHOLE CALL CUSIP: 931427AH1								
AMAZON COM INC BOND CALL MAKE WHOLE	12/05/24	100,000.000	102.3490	102,349.00 *274.44*	102,882.11 B	-533.11	3,800.00	3.800
FIXED COUPON MOODYS A3 S&P AA- SEMIANNUALLY NEXT CALL DATE 09/05/2024 CONT CALL 09/05/2024 MAKE WHOLE CALL CUSIP: 023135AN6								
AMERICAN EXPRESS CO BOND	12/05/24	75,000.000	97.7580	73,318.50 *196.35*	77,775.80 B	-4,457.30	2,718.74	3.625
FIXED COUPON MOODYS A3 S&P BBB SEMIANNUALLY NEXT CALL DATE 11/04/2024 CONT CALL 11/04/2024 CUSIP: 025816BK4								
BECTON DICKINSON &CO NOTE CALL MAKE WHOLE	12/15/24	47,000.000	96.6000	45,402.00 *78.00*	48,280.28 B	-2,878.28	1,754.98	3.734
FIXED COUPON MOODYS Ba1 S&P BBB SEMIANNUALLY NEXT CALL DATE 09/15/2024 CONT CALL 09/15/2024 MAKE WHOLE CALL CUSIP: 075887BF5								
ADOBE INC NOTE CALL MAKE WHOLE	02/01/25	100,000.000	98.1410	98,141.00 *1,354.17*	99,098.00 B	-957.00	3,250.00	3.250
FIXED COUPON MOODYS A3 S&P A SEMIANNUALLY NEXT CALL DATE 11/01/2024 CONT CALL 11/01/2024 MAKE WHOLE CALL CUSIP: 00724FAC5								
CONOCOPHILLIPS CO NOTE CALL MAKE WHOLE	05/15/25	75,000.000	99.0940	74,320.50 *321.04*	76,504.07 B	-2,183.57	2,512.50	3.350
FIXED COUPON MOODYS A3 S&P A SEMIANNUALLY NEXT CALL DATE 02/15/2025 CONT CALL 02/15/2025 MAKE WHOLE CALL CUSIP: 20826FAG1								
PEPSICO INC NOTE CALL MAKE WHOLE	07/17/25	100,000 000	100.4980	100,498.00 *1,594.44*	101,258.34 B	-760.34	3,500.00	3.500
FIXED COUPON MOODYS A1 S&P A+ SEMIANNUALLY NEXT CALL DATE 04/17/2025 CONT CALL 04/17/2025 MAKE WHOLE CALL CUSIP: 713448CY2								
Total Corporate Bonds (46% of account holdings)				$3,200,159.66	$3,245,214.45	-$45,054.79	$114,634.96	
US Treasury/Agency Securities								
UNITED STATES TREAS NTS NOTE	08/31/20	100,000.000	$100.1330	$100,133.00 *$891.92*	$99,825.11 B	$307.89	$2,625.00	2.625%
FIXED COUPON MOODYS Aaa SEMIANNUALLY CUSIP: 9128284Y3								
Total US Treasury/Agency Securities (1% of account holdings)				$100,133.00	$99,825.11	$307.89	$2,625.00	