Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
THE SELF FOUNDATION

A Employer identification number
57-0400594

Number and street (or P O box number if mail is not delivered to street address) Room/suite
PO BOX 1227

B Telephone number (see instructions)
(864) 941-4028

City or town, state or province, country, and ZIP or foreign postal code
GREENWOOD, SC 29648

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 26,466,417

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	661,143	661,143	661,143	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-800,054			
	b	Gross sales price for all assets on line 6a 10,138,051				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-138,911	661,143	661,143	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	172,216			172,216
	14	Other employee salaries and wages	119,147			119,147
	15	Pension plans, employee benefits	28,007			28,007
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	15,791			15,791
	c	Other professional fees (attach schedule)	384,148	384,148		
	17	Interest	3,169			3,169
	18	Taxes (attach schedule) (see instructions)	88,857			18,693
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	16,176			16,176
	21	Travel, conferences, and meetings	10,099			10,099
	22	Printing and publications	5,994			5,994
	23	Other expenses (attach schedule)	47,599			47,599
	24	**Total operating and administrative expenses.** Add lines 13 through 23	891,203	384,148		436,891
	25	Contributions, gifts, grants paid	1,121,785			1,121,785
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,012,988	384,148		1,558,676
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-2,151,899			
	b	**Net investment income** (if negative, enter -0-)		276,995		
	c	**Adjusted net income** (if negative, enter -0-)			661,143	

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	20,794	900,784	900,784
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	907,699	1,417,091	1,366,088
b	Investments—corporate stock (attach schedule)	14,563,103	14,901,422	15,326,517
c	Investments—corporate bonds (attach schedule)	2,263,260	776,291	755,973
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	10,702,873	6,172,098	8,117,055
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	28,457,729	24,167,686	26,466,417
Liabilities				
17	Accounts payable and accrued expenses	415,313	15,792	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	415,313	15,792	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	26,250,641	22,539,111	
25	Temporarily restricted	1,791,775	1,612,783	
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	28,042,416	24,151,894	
31	**Total liabilities and net assets/fund balances** (see instructions)	28,457,729	24,167,686	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,042,416
2	Enter amount from Part I, line 27a	2	-2,151,899
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	25,890,517
5	Decreases not included in line 2 (itemize) ▶	5	1,738,623
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	24,151,894

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	UBS SALES OF INVESTMENTS	P	2018-01-15	2018-11-30
b	UBS SALES OF INVESTMENTS	P	2012-01-09	2018-03-30
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,405,547		4,518,437	-1,112,890
b	6,732,504		6,419,668	312,836
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-1,112,890
b				312,836
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-800,054
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-1,112,890

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,459,231	30,986,873	0 04709
2016	1,612,162	29,556,064	0 05455
2015	1,663,300	32,001,772	0 05198
2014	1,544,007	33,139,793	0 04659
2013	1,525,163	31,732,540	0 04806

2	**Total** of line 1, column (d)	2	0 248267
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 049653
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	30,770,295
5	Multiply line 4 by line 3	5	1,527,837
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,770
7	Add lines 5 and 6	7	1,530,607
8	Enter qualifying distributions from Part XII, line 4	8	1,558,676

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	2,770
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	2,770
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,770
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a — 47,399		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d.	7	47,399
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	44,629
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 2,800 **Refunded** ▶	11	41,829

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶SC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶www selffoundation org

14 The books are in care of ▶WILLIAM M SELF JR Telephone no ▶(864) 941-9119

Located at ▶PO Box 518 Greenwood SC ZIP+4 ▶29648

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Mamie W Nicholson 104 Maxwell Avenue Greenwood, SC 29646	Program Officer 40 00	86,880	3,498	2,400

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
UBS 3280 Peachtree Rd NE Atlanta, GA 30305	Investment Advisory	384,148

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	31,238,878
b	Average of monthly cash balances.		1b	0
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	31,238,878
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	31,238,878
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	468,583
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	30,770,295
6	**Minimum investment return.** Enter 5% of line 5.		6	1,538,515

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	1,538,515
2a	Tax on investment income for 2018 from Part VI, line 5.	2a 2,770		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	2,770
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	1,535,745
4	Recoveries of amounts treated as qualifying distributions.		4	3,800
5	Add lines 3 and 4.		5	1,539,545
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	1,539,545

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,558,676
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,558,676
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	2,770
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	1,555,906

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,539,545
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			373,356	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 1,558,676				
a Applied to 2017, but not more than line 2a			373,356	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				1,185,320
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				354,225
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014. . . .				
b Excess from 2015. . . .				
c Excess from 2016. . . .				
d Excess from 2017. . . .				
e Excess from 2018. . . .				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

The Self Family Foundation
The Federal Building Main Street
Greenwood, SC 29646
(864) 953-2441

b The form in which applications should be submitted and information and materials they should include

See Program Guidelines and Application Procedures at www selffoundation org/grantmaking/apply

c Any submission deadlines

See Above

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Grants are limited to Greenwood, SC and surrounding counties No grants are made to individuals and all applicants must have the financial ability to maintain their program

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 12 See Statement 12 Greenwood, SC 29646	None	501c3	See Stmt 10	1,121,785
Total . . . . ▶ **3a**				
b *Approved for future payment*				
See Statement 12 See Statement 12 Greenwood, SC 29646	None	501c3	See Stmt 10	1,612,783
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	661,143	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-800,054	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				-138,911	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** -138,911

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-11-14	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Joyce P Boone				P01293292
Firm's name ▶ The Kirksey Company				Firm's EIN ▶57-0924695
Firm's address ▶ PO Box 1227 Greenwood, SC 296481227				Phone no (864) 941-4028

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Frank J Wideman III Route 1 Box 50 Bradley, SC 29819	President 40 00	172,216	6,889	4,200
Welborn Adams PO Box 1227 Greenwood, SC 296481227	Trustee 0 00	0		
Furman C Self P O Box 1227 Greenwood, SC 29648	Trustee 0 00	0		
WM Self PO Box 1227 Greenwood, SC 296481227	Chairman 0 00	0		
Sally E Self PO Box 1227 Greenwood, SC 29648	Sec , Trustee 0 00	0		
JC Self III PO Box 1227 Greenwood, SC 296481227	Vice Chair, Tte 0 00	0		
Cade Brennan Jackson PO Box 1227 Greenwood, SC 296491227	Trustee 0 00	0		
Helen Virginia Harley PO Box 1227 Greenwood, SC 296481227	Trustee 0 00	0		
Reverend Ankoma D Anderson Main Street Greenwood, SC 29646	Trustee 0 00	0		
William M Self Jr PO Box 1227 Greenwood, SC 296481227	Tte, Trs 0 00	0		
Virginia Self Goldsmith PO Box 1227 Greenwood, SC 296481227	Trustee 0 00	0		
Mary Andrews Self Whittington PO Box 1227 Greenwood, SC 296481227	Trustee 0 00	0		
Laurie Self Pulver PO Box 1227 Greenwood, SC 296481227	Trustee 0 00	0		

TY 2018 Accounting Fees Schedule

Name: THE SELF FOUNDATION

EIN: 57-0400594

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	15,791	0	0	15,791

TY 2018 Other Expenses Schedule

Name: THE SELF FOUNDATION

EIN: 57-0400594

Software ID: 18007218

Software Version: 2018v3.1

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Computer Support & Supplies	23,533			23,533
Convening	6,012			6,012
Dues & Subscriptions	3,531			3,531
Misc Office Supplies	1,233			1,233
Miscellaneous Expenses	414			414
Office Equipment & Leases	3,784			3,784
Postage	11			11
Telephone	8,087			8,087
Workmens Compensation Insurance	994			994

TY 2018 Other Professional Fees Schedule

Name: THE SELF FOUNDATION

EIN: 57-0400594

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS Investment Fees	384,148	384,148	0	0

TY 2018 Taxes Schedule

Name: THE SELF FOUNDATION

EIN: 57-0400594

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Taxes	70,164			
Payroll Taxes	18,693			18,693

Account name: THE SELF FAMILY FOUNDATION
Friendly account name: TSFF Managed
Account number: Y5 04505 31

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27,671.61	County Bank Checking
377,748.53	Rockefeller Checking
250,605.19	UBS Checking
* 244,758.73	See Below
900,784.06	

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0.00	2,592.89					
UBS BANK USA BUS ACCT	250,000.00	242,165.84					250,000 00
UBS SELECT GOVT CAPITAL	213,175.03	0 00	1.00	2.04%	Nov 1 to Nov 30	30	
Total	**$463,175.03**	**$244,758.73** *					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A Symbol ACN Exchange NYSE EAI $2,657 Current yield 2 07%	May 19, 17	910.000	121.178	110,272.46	141 010	128,319 10	18,046.64	LT
ADOBE INC. (DELAWARE) Symbol ADBE Exchange OTC	May 19, 17	770.000	136.522	105,122.67	226 240	174,204.80	69,082 13	LT
ALIBABA GROUP HLDG LTD SPON ADR Symbol BABA Exchange NYSE	Feb 22, 18	940.000	188.507	177,197.40	137.070	128,845.80	-48,351.60	ST
	Aug 10, 18	200.000	179 430	35,886.05	137.070	27,414.00	-8,472.05	ST
Security total		1,140 000	186.915	213,083.45		156,259.80	-56,823.65	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLERGAN PLC								
Symbol AGN Exchange NYSE								
EAI $2,822 Current yield 2.15%	Oct 1, 13	530.000	144 000	76,320.00	133.660	70,839.80	-5,480.20	LT
	Oct 1, 13	120.000	144.000	17,280.00	133.660	16,039.20	-1,240.80	LT
	Nov 30, 17	120.000	173.300	20,796.00	133 660	16,039.20	-4,756 80	LT
	Feb 22, 18	120.000	159.769	19,172 39	133.660	16,039 20	-3,133.19	ST
	Aug 10, 18	90.000	184 225	16,580.30	133.660	12,029.40	-4,550.90	ST
Security total		980.000	153 213	150,148 69		130,986 80	-19,161.89	
ALPHABET INC CL C								
Symbol GOOG Exchange OTC	Jan 13, 15	44.314	501.243	22,211.90	1,035.610	45,891.61	23,679.71	LT
	Jan 27, 15	250.686	520.750	130,545.00	1,035 610	259,613.34	129,068.34	LT
	Jun 20, 17	50.000	957.520	47,876.00	1,035.610	51,780.50	3,904.50	LT
Security total		345.000	581 545	200,632 90		357,285.45	156,652 55	
AMERICAN WATER WORKS CO INC NEW								
Symbol AWK Exchange NYSE								
EAI $1,911 Current yield 2 01%	May 19, 17	460.000	75.870	34,900 20	90.770	41,754.20	6,854.00	LT
	Feb 12, 18	590.000	77.400	45,666 00	90.770	53,554.30	7,888 30	ST
Security total		1,050.000	76 730	80,566 20		95,308.50	14,742.30	
AMERICAN TOWER CORP REIT								
Symbol AMT Exchange NYSE								
EAI $3,087 Current yield. 1.99%	Feb 22, 18	980.000	139.749	136,954.02	158.190	155,026 20	18,072.18	ST
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI: $3,816 Current yield 3.45%	May 19, 17	1,060 000	126 700	134,302.00	104.370	110,632.20	-23,669.80	LT
BANK UNTD INC								
Symbol BKU Exchange NYSE								
EAI $1,756 Current yield 2.81%	May 19, 17	880.000	33.850	29,788 00	29.940	26,347.20	-3,440.80	LT
	Aug 21, 17	240.000	32.810	7,874.40	29.940	7,185 60	-688 80	LT
	Aug 30, 17	300.000	33.150	9,945.00	29.940	8,982.00	-963.00	LT
	Nov 30, 17	670.000	37.920	25,406.40	29.940	20,059 80	-5,346.60	LT
Security total		2,090 000	34.935	73,013.80		62,574.60	-10,439.20	

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Account name: THE SELF FAMILY FOUNDATION
Friendly account name: TSFF Managed
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BLACKROCK INC								
Symbol BLK Exchange NYSE								
EAI $4,507 Current yield 3.19%	Apr 18, 12	10.000	196.974	1,969 74	392 820	3,928.20	1,958.46	LT
	May 10, 12	170 000	180.383	30,665.11	392.820	66,779.40	36,114.29	LT
	Sep 27, 13	130.000	270 300	35,139 00	392.820	51,066 60	15,927.60	LT
	Sep 30, 13	50.000	270 500	13,525.00	392.820	19,641.00	6,116.00	LT
Security total		360.000	225.830	81,298.85		141,415.20	60,116.35	
BRISTOL MYERS SQUIBB CO								
Symbol BMY Exchange NYSE								
EAI $4,198 Current yield 3 15%	Dec 26, 13	950.000	53.450	50,777 50	51.980	49,381.00	-1,396 50	LT
	Jan 23, 14	1,610.000	53.569	86,246 27	51 980	83,687 80	-2,558.47	LT
Security total		2,560 000	53.525	137,023 77		133,068.80	-3,954 97	
BRITISH AMER TOBACCO PLC GB SPON ADR								
Symbol BTI Exchange AMEX								
EAI $4,138 Current yield 8 07%	Dec 26, 07	610.000	40.069	24,442 36	31.860	19,434 60	-5,007.76	LT
	May 22, 12	360 000	48.203	17,353.39	31 860	11,469 60	-5,883 79	LT
	May 19, 17	640.000	70.959	45,413 82	31 860	20,390.40	-25,023.42	LT
Security total		1,610 000	54.167	87,209 57		51,294 60	-35,914.97	
CBOE GLOBAL MARKETS INC								
Symbol CBOE Exchange OTC								
EAI. $650 Current yield. 1.19%	Jun 21, 16	560.000	63.310	35,453 60	97 830	54,784.80	19,331.20	LT
CELGENE CORP								
Symbol CELG Exchange: OTC	May 23, 11	20 000	29.655	593.10	64.090	1,281.80	688.70	LT
	Aug 3, 11	250.000	29.149	7,287 38	64.090	16,022.50	8,735.12	LT
	Aug 14, 12	620 000	35 593	22,067.75	64 090	39,735.80	17,668.05	LT
	Nov 30, 17	180 000	101.832	18,329 81	64 090	11,536 20	-6,793 61	LT
Security total		1,070.000	45 120	48,278 04		68,576.30	20,298.26	
CENTENE CORP								
Symbol. CNC Exchange NYSE	Jun 21, 16	530 000	69 010	36,575.30	115.300	61,109.00	24,533.70	LT
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $6,451 Current yield 4.12%	May 19, 17	1,330.000	106.445	141,571 85	108.790	144,690.70	3,118 85	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 30, 17	110.000	118 703	13,057.34	108.790	11,966.90	-1,090.44	LT
Security total		1,440 000	107.381	154,629.19		156,657.60	2,028.41	
CHUBB LTD CHF Symbol CB Exchange NYSE EAI $2,628 Current yield 2.26% CHF Exchange rate 0.98580	May 19, 17	900.000	138.659	124,793.54	129.180	116,262 00	-8,531.54	LT
CISCO SYSTEMS INC Symbol. CSCO Exchange OTC EAI $5,135 Current yield 3.05%	Nov 3, 15	3,890.000	28 540	111,020 60	43.330	168,553.70	57,533 10	LT
CITIZENS FINANCIAL GROUP INC Symbol CFG Exchange. NYSE EAI $1,955 Current yield 3.63%	May 19, 17	1,020.000	34 779	35,475.50	29.730	30,324.60	-5,150.90	LT
	Nov 30, 17	470 000	41.266	19,395.30	29 730	13,973.10	-5,422.20	LT
	Feb 22, 18	320 000	45.750	14,640.00	29.730	9,513.60	-5,126.40	ST
Security total		1,810.000	38.404	69,510.80		53,811.30	-15,699.50	
COGNIZANT TECH SOLUTIONS CRP Symbol. CTSH Exchange OTC EAI $768 Current yield· 1.26%	Oct 6, 14	960.000	44.440	42,662.40	63 480	60,940.80	18,278.40	LT
COLGATE PALMOLIVE CO Symbol. CL Exchange NYSE EAI $2,486 Current yield 2 82%	May 19, 17	1,250.000	74.726	93,407.75	59.520	74,400 00	-19,007.75	LT
	Mar 20, 18	230.000	69.726	16,037.07	59.520	13,689 60	-2,347.47	ST
Security total		1,480 000	73.949	109,444.82		88,089.60	-21,355.22	
CORE LABORATORIES N V EUR Symbol CLB Exchange: NYSE EAI· $968 Current yield· 3.69% EUR Exchange rate 0.87477	Jul 31, 07	440.000	54.050	23,782 00	59.660	26,250.40	2,468.40	LT
COSTCO WHOLESALE CORP Symbol· COST Exchange. OTC EAI $1,756 Current yield 1 12%	Mar 15, 17	770.000	165.150	127,165.50	203.710	156,856 70	29,691 20	LT
CRANE CO Symbol CR Exchange NYSE EAI $1,904 Current yield 1.94%	May 19, 17	1,360 000	77.503	105,405.03	72.180	98,164.80	-7,240 23	LT

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Account name: THE SELF FAMILY FOUNDATION
Friendly account name: TSFF Managed
Account number: Y5 04505 31

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $922 Current yield 0.62%	May 19, 17	1,440.000	82 549	118,870 56	103 120	148,492.80	29,622 24	LT
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI· $3,133 Current yield 2.45%	Jul 25, 07	80.000	83 796	6,703.69	141.800	11,344 00	4,640.31	LT
	Sep 25, 07	330 000	86.577	28,570.71	141.800	46,794 00	18,223.29	LT
	May 19, 17	490.000	120.868	59,225.66	141 800	69,482.00	10,256 34	LT
Security total		900.000	105.000	94,500 06		127,620.00	33,119.94	
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI $1,858 Current yield 1.25%	Feb 11, 14	530 000	101.589	53,842.65	147 350	78,095.50	24,252.85	LT
	Apr 2, 14	480 000	108.820	52,233.60	147 350	70,728.00	18,494.40	LT
Security total		1,010 000	105.026	106,076.25		148,823.50	42,747 25	
EPAM SYSTEMS INC								
Symbol EPAM Exchange NYSE	Jun 21, 16	540 000	70 980	38,329 20	116 010	62,645.40	24,316 20	LT
	May 19, 17	70.000	82.675	5,787 26	116.010	8,120.70	2,333.44	LT
Security total		610.000	72 322	44,116 46		70,766.10	26,649 64	
FACEBOOK INC CL A								
Symbol FB Exchange OTC	May 19, 17	950 000	148 739	141,302.91	131.090	124,535 50	-16,767 41	LT
	Feb 22, 18	340.000	178 429	60,666.17	131 090	44,570.60	-16,095.57	ST
	Mar 20, 18	160.000	163.822	26,211 58	131.090	20,974.40	-5,237.18	ST
Security total		1,450.000	157 366	228,180 66		190,080.50	-38,100.16	
FORTINET INC								
Symbol. FTNT Exchange OTC	Jun 21, 16	1,360 000	33.780	45,940.80	70.430	95,784.80	49,844.00	LT
GARRETT MOTION INC								
Symbol GTX Exchange NYSE	May 19, 17	96.000	14 209	1,364 09	12 340	1,184 64	-179.45	LT
HOLOGIC INC								
Symbol HOLX Exchange OTC	Jun 21, 16	1,110.000	33.980	37,717.80	41 100	45,621 00	7,903.20	LT
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI $6,386 Current yield 2.40%	May 19, 17	420 000	156.633	65,786 24	171.820	72,164 40	6,378.16	LT
	Aug 21, 17	700.000	147.050	102,935.00	171.820	120,274.00	17,339 00	LT

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Friendly account name: TSFF Managed
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 22, 18	190.000	185 170	35,182.30	171.820	32,645.80	-2,536.50	ST
	Mar 20, 18	240.000	177.820	42,676.80	171.820	41,236.80	-1,440 00	ST
Security total		1,550.000	159.084	246,580.34		266,321.00	19,740.66	
HONEYWELL INTL INC Symbol. HON Exchange NYSE EAI· $3,149 Current yield 2.48%	May 19, 17	960.000	126.671	121,604 80	132.120	126,835.20	5,230.40	LT
HUDSON PAC PPTYS INC COM Symbol HPP Exchange NYSE EAI $3,990 Current yield 3 44%	Jul 25, 17	3,990.000	32.013	127,732.26	29.060	115,949 40	-11,782.86	LT
INCYTE CORP Symbol INCY Exchange OTC	Dec 26, 13	906.000	50.500	45,753.00	63.590	57,612.54	11,859.54	LT
	Dec 27, 13	1,110 000	50.320	55,855.20	63.590	70,584.90	14,729.70	LT
	Mar 11, 14	560.000	65.750	36,820.00	63 590	35,610.40	-1,209.60	LT
Security total		2,576.000	53 738	138,428.20		163,807.84	25,379.64	
INTEL CORP Symbol INTC Exchange OTC EAI. $10,724 Current yield 2.56%	Mar 15, 17	4,350.000	35.120	152,772.00	46.930	204,145 50	51,373.50	LT
	Mar 20, 18	1,010.000	51.759	52,277 50	46 930	47,399.30	-4,878.20	ST
	Apr 5, 18	3,577.000	50 300	179,923.10	46.930	167,868 61	-12,054.49	ST
Security total		8,937 000	43.076	384,972.60		419,413 41	34,440.81	
INTERCONTINENTALEXCHANGE GROUP Symbol ICE Exchange NYSE EAI· $2,016 Current yield 1.27%	Aug 14, 12	2,100.000	26.467	55,582.76	75.330	158,193.00	102,610 24	LT
INTL FLAVORS&FRGRNCS Symbol: IFF Exchange NYSE EAI $1,372 Current yield 2.17%	Jun 21, 16	360 000	127.450	45,882 00	134.270	48,337.20	2,455.20	LT
	Jan 8, 18	110.000	155 654	17,121.94	134.270	14,769.70	-2,352.24	ST
Security total		470 000	134 051	63,003.94		63,106.90	102 96	
JAZZ PHARMACEUTICALS PLC Symbol JAZZ Exchange OTC	May 19, 17	230.000	149.399	34,361.98	123.960	28,510 80	-5,851.18	LT
	Aug 21, 17	60 000	144 400	8,664 00	123.960	7,437.60	-1,226 40	LT
	Oct 11, 17	140.000	143.180	20,045.20	123.960	17,354.40	-2,690.80	LT
	Nov 30, 17	90.000	137.230	12,350 70	123 960	11,156.40	-1,194.30	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 22, 18	130.000	144.979	18,847 27	123.960	16,114 80	-2,732.47	ST
	Mar 20, 18	120 000	155.369	18,644.32	123 960	14,875.20	-3,769.12	ST
Security total		770.000	146.641	112,913 47		95,449 20	-17,464.27	
JOHNSON CTLS INTL PLC Symbol JCI Exchange NYSE EAI $3,453 Current yield 3 51%	May 19, 17	3,320.000	42.850	142,262.00	29.650	98,438.00	-43,824.00	LT
KEYCORP NEW Symbol KEY Exchange NYSE EAI $5,433 Current yield 4.60%	Sep 30, 13	3,370.000	11.360	38,283.20	14.780	49,808.60	11,525.40	LT
	Dec 6, 13	1,930.000	12 969	25,031.91	14 780	28,525.40	3,493.49	LT
	Jul 29, 14	810.000	13 650	11,056 50	14 780	11,971 80	915 30	LT
	Sep 4, 14	1,880.000	13.820	25,981.60	14.780	27,786.40	1,804.80	LT
Security total		7,990.000	12.560	100,353 21		118,092.20	17,738.99	
LENNOX INTL INC Symbol LII Exchange NYSE EAI $717 Current yield 1 17%	Jun 21, 16	280.000	139 000	38,920 00	218.860	61,280.80	22,360.80	LT
LOCKHEED MARTIN CORP Symbol LMT Exchange NYSE EAI $4,576 Current yield 3.36%	May 19, 17	520.000	272.779	141,845 08	261.840	136,156.80	-5,688.28	LT
LOWES COMPANIES INC Symbol LOW Exchange NYSE EAI $1,901 Current yield 2 08%	Feb 22, 18	990.000	96.768	95,801 16	92 360	91,436.40	-4,364.76	ST
MARATHON PETROLEUM CO Symbol MPC Exchange NYSE EAI $1,257 Current yield 3 12%	May 19, 17	683.000	51.412	35,114.52	59 010	40,303.83	5,189.31	LT
MARSH & MCLENNAN COS INC Symbol. MMC Exchange NYSE EAI: $2,424 Current yield 2 08%	May 19, 17	1,460 000	74.648	108,986.40	79 750	116,435.00	7,448.60	LT
MCDONALDS CORP Symbol MCD Exchange NYSE EAI $4,454 Current yield 2 61%	May 19, 17	960.000	148.459	142,521.12	177.570	170,467.20	27,946 08	LT
MEDTRONIC PLC Symbol MDT Exchange NYSE EAI $2,700 Current yield 2.20%	May 19, 17	1,350 000	83.436	112,639.54	90.960	122,796.00	10,156.46	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MICROSOFT CORP								
Symbol· MSFT Exchange OTC								
EAI $6,422 Current yield 1.81%	May 22, 12	180 000	29.836	5,370.57	101 570	18,282.60	12,912.03	LT
	Jun 12, 12	740.000	28.890	21,378 60	101.570	75,161.80	53,783.20	LT
	Jan 15, 14	1,760.000	36.686	64,568 06	101.570	178,763.20	114,195.14	LT
	Mar 31, 16	810.000	55 220	44,728 20	101 570	82,271.70	37,543 50	LT
Security total		3,490.000	38.981	136,045.43		354,479.30	218,433.87	
MONDELEZ INTL INC								
Symbol MDLZ Exchange OTC								
EAI $1,799 Current yield· 2.60%	May 19, 17	1,730.000	45.769	79,180.37	40.030	69,251.90	-9,928.47	LT
MYLAN N V EUR								
Symbol· MYL Exchange: OTC								
EUR Exchange rate: 0.87477	May 19, 17	2,620.000	38.089	99,794.04	27 400	71,788 00	-28,006 04	LT
NEXTERA ENERGY INC COM								
Symbol· NEE Exchange· NYSE								
EAI· $2,886 Current yield· 2.55%	May 19, 17	650.000	137.423	89,325 21	173.820	112,983 00	23,657.79	LT
NTHN TRUST CORP								
Symbol NTRS Exchange OTC								
EAI $880 Current yield 2.63%	May 19, 17	400 000	87 320	34,928.00	83.590	33,436.00	-1,492.00	LT
NUVASIVE INC								
Symbol NUVA Exchange· OTC	Jun 21, 16	680 000	57.760	39,276.80	49.560	33,700.80	-5,576.00	LT
O REILLY AUTOMOTIVE INC								
Symbol ORLY Exchange OTC	Feb 22, 18	460.000	247.870	114,020.20	344.330	158,391.80	44,371.60	ST
PVH CORP COM								
Symbol. PVH Exchange NYSE								
EAI $68 Current yield 0.16%	Jun 21, 16	450.000	101.470	45,661.50	92.950	41,827.50	-3,834.00	LT
RED HAT INC								
Symbol RHT Exchange NYSE	May 19, 17	1,010.000	86.710	87,577.10	175.640	177,396.40	89,819 30	LT
REPUBLIC SERVICES INC								
Symbol RSG Exchange· NYSE								
EAI· $2,400 Current yield· 2.08%	Jun 28, 18	1,600 000	68.335	109,337.11	72.090	115,344 00	6,006.89	ST
RESIDEO TECHNOLOGIES INC								
Symbol REZI Exchange· NYSE	May 19, 17	160.000	22.844	3,655.11	20.550	3,288.00	-367.11	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROCKWELL AUTOMATION INC NEW Symbol ROK Exchange NYSE EAI $2,561 Current yield 2 58%	May 19, 17	660.000	158 040	104,306.40	150.480	99,316 80	-4,989.60	LT
ROSS STORES INC Symbol. ROST Exchange OTC EAI. $544 Current yield 1.08%	Dec 23, 11	604.000	23.994	14,492.47	83.200	50,252.80	35,760.33	LT
SCHWAB CHARLES CORP NEW Symbol SCHW Exchange NYSE EAI $1,505 Current yield 1.25%	Mar 18, 15	2,895.000	29.703	85,990 88	41.530	120,229.35	34,238.47	LT
SEALED AIR CORP NEW Symbol SEE Exchange NYSE EAI $902 Current yield 1.84%	May 19, 17	820 000	43 410	35,596 20	34 840	28,568 80	-7,027 40	LT
	Feb 22, 18	590 000	41 978	24,767.20	34 840	20,555 60	-4,211 60	ST
Security total		1,410.000	42 811	60,363.40		49,124 40	-11,239.00	
SERVICE CORP INTL Symbol SCI Exchange NYSE EAI $1,013 Current yield 1 69%	Jun 21, 16	1,490 000	26 690	39,768.10	40.260	59,987 40	20,219.30	LT
SOUTHWEST AIRLINES CO Symbol LUV Exchange NYSE EAI $1,424 Current yield 1.38%	Nov 6, 18	2,225 000	51.889	115,453.20	46.480	103,418 00	-12,035.20	ST
STARBUCKS CORP Symbol SBUX Exchange. OTC EAI $2,390 Current yield 2.24%	May 8, 12	1,240.000	26.970	33,442.80	64 400	79,856.00	46,413.20	LT
	May 22, 12	420.000	26.808	11,259 47	64 400	27,048.00	15,788.53	LT
Security total		1,660.000	26.929	44,702.27		106,904 00	62,201.73	
SUNTRUST BANKS INC Symbol STI Exchange NYSE EAI $8,140 Current yield 3.97%	Dec 6, 18	4,070.000	57.230	232,926 10	50.440	205,290.80	-27,635.30	ST
THERMO FISHER SCIENTIFIC INC Symbol TMO Exchange NYSE EAI $428 Current yield 0 30%	Jun 28, 10	120.000	51 146	6,137.56	223.790	26,854.80	20,717.24	LT
	Jul 19, 10	40.000	49.320	1,972.80	223.790	8,951.60	6,978.80	LT
	Aug 25, 10	80.000	42.880	3,430.40	223.790	17,903 20	14,472.80	LT
	Oct 13, 10	40 000	49.370	1,974.80	223.790	8,951.60	6,976.80	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 24, 12	170.000	56.689	9,637.22	223.790	38,044.30	28,407.08	LT
	Feb 12, 18	180.000	202.900	36,522.00	223.790	40,282 20	3,760 20	ST
Security total		630.000	94 722	59,674 78		140,987.70	81,312 92	
UNION PACIFIC CORP Symbol. UNP Exchange NYSE EAI $3,136 Current yield 2.31%	May 19, 17	980.000	107.762	105,607.05	138 230	135,465.40	29,858.35	LT
UNITEDHEALTH GROUP INC Symbol UNH Exchange NYSE EAI. $2,016 Current yield 1 45%	Feb 22, 18	560 000	228.075	127,722 04	249 120	139,507.20	11,785 16	ST
UNTD TECHNOLOGIES CORP Symbol UTX Exchange NYSE EAI $3,234 Current yield 2.76%	Jul 24, 07	60.000	75.659	4,539.59	106 480	6,388.80	1,849.21	LT
	Jul 26, 07	300.000	74.063	22,218 99	106.480	31,944.00	9,725.01	LT
	Feb 26, 08	200 000	73.084	14,616 97	106.480	21,296.00	6,679.03	LT
	Jun 30, 10	540.000	64.909	35,050.96	106.480	57,499.20	22,448.24	LT
Security total		1,100.000	69.479	76,426.51		117,128.00	40,701.49	
VF CORP Symbol VFC Exchange NYSE EAI: $2,591 Current yield 2.86%	May 19, 17	1,270.000	52.480	66,649 60	71.340	90,601 80	23,952.20	LT
VISA INC CL A Symbol V Exchange. NYSE EAI $1,390 Current yield 0.76%	May 19, 17	1,390.000	92.499	128,573.62	131.940	183,396.60	54,822.98	LT
WALT DISNEY CO (HOLDING CO) DISNEY COM Symbol· DIS Exchange NYSE EAI $4,154 Current yield 1.61%	Aug 23, 16	1,060.000	95.886	101,639 87	109.650	116,229.00	14,589.13	LT
	Oct 14, 16	70.000	91.409	6,398 69	109 650	7,675.50	1,276.81	LT
	Sep 12, 17	1,230.000	97.939	120,466.08	109.650	134,869.50	14,403.42	LT
Security total		2,360.000	96.824	228,504.64		258,774.00	30,269.36	
WILLIS TOWERS WATSON PUB LTD CO Symbol WLTW Exchange OTC EAI $794 Current yield 1.58%	Jun 21, 16	1 000	127.000	127.00	151.860	151 86	24.86	LT
	May 19, 17	330.000	140 886	46,492.45	151.860	50,113.80	3,621.35	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		331.000	140.844	46,619 45		50,265.66	3,646 21	
WPX ENERGY INC								
Symbol WPX Exchange NYSE	Oct 6, 14	3,270 000	22.640	74,032 80	11.350	37,114 50	-36,918.30	LT
	Aug 1, 17	4,870 000	10 810	52,644 70	11.350	55,274.50	2,629.80	LT
	Aug 21, 17	1,730 000	9.750	16,867.50	11.350	19,635.50	2,768.00	LT
Security total		9,870 000	14.544	143,545.00		112,024 50	-31,520.50	
Total				**$7,864,503.66**		**$9,193,849.68**	**$1,329,346.02**	

Total estimated annual income: $164,735

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WELLS FARGO & CO NEW CONV SER L CL A 7.500% PREFERRED PAR VALUE - 1000.00 USD Symbol WFC PRL Exchange NYSE EAI $3,750 Current yield 5.94%	Jan 3, 18	50 000	1,300.000	65,000.00	1,261 900	63,095 00	-1,905.00	ST

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALERIAN MLP ETF									
Symbol AMLP									
Trade date May 19, 17	9,660.000	11.869	114,661.37	114,661.37	8 730	84,331.80	-30,329.57		LT
Trade date: Aug 21, 17	12,460.000	10.444	130,142.21	130,142 21	8 730	108,775.80	-21,366.41		LT
Trade date· Aug 10, 18	15,430.000	11.290	174,204.70	174,204 70	8.730	134,703.90	-39,500.80		ST
EAI· $30,453 Current yield· 9.29%									

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Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	37,550.000	11 159	419,008.28	419,008 28		327,811.50	-91,196.78	-91,196.78	
ISHARES US REAL ESTATE ETF									
Symbol IYR									
Trade date Sep 18, 12	60.000	66.980	4,018.80	4,018 80	74.940	4,496.40	477.60		LT
Trade date Feb 26, 16	1,500.000	71.750	107,625.00	107,625.00	74.940	112,410.00	4,785.00		LT
EAI· $4,129 Current yield. 3.53%									
Security total	1,560.000	71 567	111,643.80	111,643 80		116,906.40	5,262.60	5,262.60	
ISHARES MSCI EAFE ETF									
Symbol EFA									
Trade date Nov 15, 18	12,490.000	62.496	780,576.73	780,576.73	58.780	734,162.20	-46,414.53	-46,414 53	ST
EAI $24,880 Current yield 3.39%									
ISHARES MSCI EMERGING MARKETS ETF									
Symbol EEM									
Trade date Nov 30, 17	36,810.000	46.380	1,707,247.80	1,707,247.80	39.060	1,437,798.60	-269,449.20	-269,449.20	LT
EAI $32,172 Current yield 2.24%									
ISHARES US HOME CONSTR ETF									
Symbol. ITB									
Trade date Oct 24, 18	4,100.000	30.703	125,884.27	125,884.27	30.040	123,164.00	-2,720 27		ST
Trade date Oct 30, 18	4,220 000	30 900	130,398.00	130,398.00	30.040	126,768.80	-3,629.20		ST
EAI $1,581 Current yield. 0.63%									
Security total	8,320 000	30.803	256,282.27	256,282 27		249,932 80	-6,349.47	-6,349.47	
VANGUARD SMALL CAP GROWTH ETF									
Symbol VBK									
Trade date Aug 30, 17	2,140.000	145.150	310,621.00	310,621.00	150.590	322,262.60	11,641.60		LT
Trade date· Mar 20, 18	370.000	168.060	62,182.20	62,182.20	150 590	55,718.30	-6,463.90		ST
EAI· $2,989 Current yield 0.79%									
Security total	2,510 000	148.527	372,803.20	372,803.20		377,980.90	5,177 70	5,177.70	
VANGUARD SMALL-CAP VALUE ETF									
Symbol VBR									
Trade date Aug 30, 17	2,560 000	121.190	310,246.40	310,246.40	114.060	291,993.60	-18,252.80		LT

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Your assets › **Equities** › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Mar 20, 18	620 000	132 510	82,156.20	82,156 20	114.060	70,717 20	-11,439 00		ST
EAI $8,516 Current yield 2 35%									
Security total	3,180 000	123.397	392,402.60	392,402.60		362,710 80	-29,691.80	-29,691.80	
Total			**$4,039,964.68**	**$4,039,964.68**		**$3,607,303.20**	**-$432,661.48**	**-$432,661.48**	

Total estimated annual income: $104,720

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
GOTHAM INDEX PLUS									
INSTITUTIONAL FUND CLASS									
I									
Symbol GINDX									
Trade date Aug 10, 18	48,194 858	15 110	728,224.31	728,224 31	13.700	660,269.55	-67,954 76		ST
Trade date Aug 15, 18	3,839.308	15 139	58,127 12	58,127 12	13.700	52,598.52	-5,528.60		ST
EAI $2,966 Current yield 0 42%									
Security total	52,034.166	15 112	786,351 43	786,351 43		712,868 07	-73,483 36	-73,483 36	
HARDING LOEVNER									
EMERGING MARKETS									
PORTFOLIO ADVISOR CLASS									
Symbol HLEMX									
Trade date Mar 20, 18	11,577.262	62.499	723,578.86	723,578.86	47.720	552,466.94	-171,111.92	-171,111.92	ST
EAI $4,897 Current yield 0 89%									
INVESCO CONVERTIBLE									
SECURITIES FUND CLASS Y									
Symbol CNSDX									

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Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Apr 13, 16	27,396.358	22.209	608,473.11	608,473.11	21.440	587,377.91	-21,095.20	-21,095.20	LT
EAI $17,259 Current yield 2.94%									
JANUS HENDERSON EUROPEAN									
FOCUS FUND CLASS I									
Symbol HFEIX									
Trade date Mar 24, 16	15,217 130	31.089	473,100 57	473,100.57	23 840	362,776.38	-110,324.19		LT
Trade date Apr 25, 17	178.355	32.260	5,753.74	5,753.74	23 840	4,251 98	-1,501.76		LT
Trade date. Aug 21, 17	10,173 136	32 900	334,696 19	334,696 19	23.840	242,527.56	-92,168.63		LT
EAI $28,612 Current yield 4 69%									
Security total	25,568 621	31.818	813,550 50	813,550 50		609,555.92	-203,994 58	-203,994.58	
Total			**$2,931,953.90**	**$2,931,953.90**		**$2,462,268.84**	**-$469,685.06**	**-$469,685.06**	

Total estimated annual income: $53,734

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FRONTIER COMM CORP NTS								
MW +50BP								
RATE 08 500% MATURES 04/15/20								
ACCRUED INTEREST $538.33								
CUSIP 35906AAH1								
Moody: Caa1 S&P CCC+								
EAI $2,550 Current yield 9.60%								
Original cost basis $30,075 00	Jan 20, 12	30,000.000	100.051	30,015 33	88 500	26,550 00	-3,465.33	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
J P MORGAN CHASE & CO B/E RATE 04 400% MATURES 07/22/20 ACCRUED INTEREST $1,943 33 CUSIP 46625HHS2 Moody A2 S&P. A- EAI $4,400 Current yield 4.32% Original cost basis $105,604.00	Feb 28, 12	100,000.000	101 170	101,170.69	101.809	101,809.00	638.31	LT
PEPSICO INC B/E 3.125% 110120 DTD102610 FC050111 ACCRUED INTEREST $520 83 CUSIP 713448BR8 Moody A1 S&P A+ EAI $3,125 Current yield 3.11% Original cost basis $107,232 00	Apr 15, 16	100,000.000	102 975	102,975.00	100 444	100,444 00	-2,531 00	LT
GEORGIA PAC CORP B/E MW +50BP NTS RATE 08 000% MATURES 01/15/24 ACCRUED INTEREST $1,291.11 CUSIP 373298CF3 Moody A3 S&P A+ EAI $2,800 Current yield 6.66% Original cost basis $45,570.00	Jan 30, 12	35,000.000	114 775	40,171.25	120.060	42,021 00	1,849 75	LT
MICROSOFT CORP NTS B/E CALL@MW+15BP RATE 03.125% MATURES 11/03/25 CALLABLE ACCRUED INTEREST $755 20 CUSIP 594918BJ2 Moody Aaa S&P AAA EAI $4,688 Current yield 3 16% Original cost basis $159,759.00	Oct 14, 16	150,000.000	105.037	157,556.63	98.905	148,357.50	-9,199 13	LT

continued next page

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LIBERTY MEDIA GROUP RATE 08.250% MATURES 02/01/30 ACCRUED INTEREST $1,031 25 CUSIP 530715AJ0 Moody B2 S&P BB- EAI $2,475 Current yield 8.46%	Jan 13, 12	30,000 000	97 500	29,250 00	97 500	29,250 00		LT
FORD MOTOR CO COM GLOBAL RATE 07.450% MATURES 07/16/31 ACCRUED INTEREST $853 64 CUSIP 345370CA6 Moody Baa3 S&P BBB EAI $1,863 Current yield 7.23% Original cost basis $30,060 00	Jan 09, 12	25,000.000	115.374	28,843.59	103.102	25,775.50	-3,068.09	LT
EL PASO ENERGY MW+25BP RATE 07.750% MATURES 01/15/32 ACCRUED INTEREST $893.40 CUSIP 28368EAE6 Moody Baa2 S&P BBB- EAI $1,938 Current yield 6.47% Original cost basis $29,375.00	Jan 30, 12	25,000.000	113.640	28,410.08	119 701	29,925 25	1,515.17	LT
GOLDMAN SACHS GROUP INC MW +20BP RATE 06.125% MATURES 02/15/33 ACCRUED INTEREST $1,388 33 CUSIP 38141GCU6 Moody. A3 S&P BBB+ EAI $3,675 Current yield 5.37% Original cost basis. $61,921 20	Jan 30, 12	60,000.000	102.538	61,522 99	113.958	68,374 80	6,851 81	LT
COMCAST CORP NEW MW + 40BP RATE 07.050% MATURES 03/15/33 ACCRUED INTEREST $518 95 CUSIP 20030NAC5 Moody A3 S&P A- EAI $1,763 Current yield 5.67% Original cost basis $31,606.25	Feb 03, 12	25,000.000	120.576	30,144.09	124 232	31,058 00	913 91	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TECK COMINCO LTD B/E FOREIGN SECURITY RATE 06.125% MATURES 10/01/35 MW+30BP ACCRUED INTEREST $612.50 CUSIP 878742AE5 Moody Ba2 S&P BB+ EAI $2,450 Current yield 6.41% Original cost basis $43,608 32	Jan 20, 12	40,000 000	108.178	43,271.51	95.500	38,200 00	-5,071 51	LT
UNITED STATES STEEL CORP CALL@M/W+30BP RATE 06.650% MATURES 06/01/37 ACCRUED INTEREST $221.66 CUSIP 912909AD0 Moody B2 S&P B EAI $2,660 Current yield 8.84%	Jan 13, 12	40,000.000	79.750	31,900 00	75 250	30,100 00	-1,800.00	LT
CITIGROUP INC NTS B/E RATE 08.125% MATURES 07/15/39 ACCRUED INTEREST $1,311.28 CUSIP 172967EW7 Moody Baa1 S&P BBB+ EAI $2,844 Current yield 5 86% Original cost basis $46,248.30	Feb 14, 12	35,000.000	128 043	44,815.07	138.735	48,557.25	3,742 18	LT
CENTURYTEL INC NTS B/E 0BP RATE 07.600% MATURES 09/15/39 ACCRUED INTEREST $1,007.00 CUSIP 156700AM8 Moody B2 S&P B+ EAI $3,420 Current yield 9 62% Original cost basis $46,386.45	Jan 17, 12	45,000 000	102.764	46,244 07	79.000	35,550 00	-10,694.07	LT
Total		**$740,000.000**		**$776,290.30**		**$755,972.30**	**-$20,318.00**	

Total accrued interest: $12,886.81

Total estimated annual income: $40,651

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Your assets › Fixed income (continued)

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue discount for securities issued at a discount When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CALIFORNIA ST TAX BE/R/ RATE 07.550% MATURES 04/01/39 ACCRUED INTEREST $849.37 CUSIP 13063A5G5 Moody: Aa3 S&P AA- EAI $3,398 Current yield 5.27%	Jan 20, 12	45,000.000	129.618	58,328.10[1]	143.255	64,464.75	6,136.65	LT
NEW JERSEY ST TPK AUTH TAX F-BUI RV BE/R/ RATE 07.414% MATURES 01/01/40 ACCRUED INTEREST $1,112.10 CUSIP 646139W35 Moody: A2 S&P A+ EAI: $2,224 Current yield. 5.26%	Feb 01, 12	30,000.000	147.070	44,121.00[1]	140.900	42,270 00	-1,851.00	LT
Total		**$75,000.000**		**$102,449.10**		**$106,734.75**	**$4,285.65**	

Total accrued interest: $1,961.47

Total estimated annual income: $5,622

[1] Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
INVESCO SENIOR LN ETF Symbol BKLN									

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Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Oct 20, 16	5,070.000	23.170	117,471 90	117,471 90	21.780	110,424.60	-7,047 30		LT
Trade date May 19, 17	2,610 000	23.249	60,679 89	60,679.89	21.780	56,845.80	-3,834 09		LT
Trade date Aug 21, 17	6,290 000	23.050	144,984 50	144,984.50	21.780	136,996.20	-7,988 30		LT
Trade date Feb 22, 18	1,690.000	23.109	39,092.72	39,092 72[2]	21.780	36,808 20	-2,284.52		LT
EAI $15,394 Current yield 4.51%									
Security total	15,660.000	23 131	362,229 01	362,229 01		341,074.80	-21,154.21	-21,154 21	
INVESCO BULLETSHARES 2023									
CORPORATE BOND ETF									
Symbol BSCN									
Trade date Oct 14, 16	15,987.000	21.177	338,568.69	338,568.69	20 170	322,457 79	-16,110.90	-16,110.90	LT
EAI. $9,272 Current yield 2.88%									
ISHARES JP MORGAN USD EMERGING									
MARKETS BOND ETF									
Symbol EMB									
Trade date Jun 30, 14	1,250.000	115 300	144,125 00	144,125 00	103 910	129,887.50	-14,237.50		LT
Trade date Mar 20, 18	1,990.000	111.510	221,904 90	221,904.90	103.910	206,780.90	-15,124.00		ST
EAI $18,999 Current yield 5 64%									
Security total	3,240.000	112.972	366,029.90	366,029 90		336,668 40	-29,361.50	-29,361.50	
Total			**$1,066,827.60**	**$1,066,827.60**		**$1,000,200.99**	**-$66,626.61**	**-$66,626.61**	

Total estimated annual income: $43,665

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

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Your assets › **Fixed income** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PUTNAM DIVERSIFIED									
INCOME FUND CLASS Y									
Symbol. PDVYX									
Trade date Feb 22, 18	35,663.338	7 010	250,000.00	250,000.00	6 550	233,594.86	-16,405.14		ST
Trade date Mar 20, 18	33,248.933	6.999	232,742 53	232,742.53	6.550	217,780.51	-14,962 02		ST
EAI $25,566 Current yield. 5.66%									
Security total	68,912.271	7.005	482,742.53	482,742 53		451,375.37	-31,367.16	-31,367.16	

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Quantity	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
US TSY INFL PROT BOND RATE 0.1250% DUE 01/15/23 CURRENT PAR VALUE 273,880 ACCRUED INTEREST $157 20 CUSIP 912828UH1 EAI $342 Current yield 0.13% Original cost basis $250,973.82	Oct 23, 15	250,000.000	106.906	267,267.27	96.585	264,526.99	-2,740.28	LT
US TSY INFL PROT BOND RATE 2.3750% DUE 01/15/27 CURRENT PAR VALUE 313,480 ACCRUED INTEREST $3,419.09 CUSIP 912810PS1 EAI· $7,445 Current yield· 2 15% Original cost basis $364,596.39	Aug 09, 16	250,000.000	145.960	364,900.91	110.393	346,059 97	-18,840 94	LT

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Your assets › **Fixed income** › **Government securities** (continued)

Holding	Trade date	Quantity	Purchase price ($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
U S TREASURY NOTE RATE 2 7500% MATURES 02/15/28 ACCRUED INTEREST $5,156 25 CUSIP 9128283W8 EAI $13,750 Current yield· 2.74%	Mar 20, 18	250,000 000	98.890	247,225 00	100 516	251,290.00	4,065.00	ST
	Apr 17, 18	250,000 000	99.327	248,318.75	100.516	251,290.00	2,971.25	ST
Security total		500,000.000		495,543.75		502,580.00	7,036.25	
US TSY INFL PROT BOND RATE 1.0000% DUE 02/15/46 CURRENT PAR VALUE 266,803 ACCRUED INTEREST $1,000.51 CUSIP 912810RR1 EAI $2,668 Current yield 1.05% Original cost basis $278,303.16	Oct 21, 16	250,000 000	115 751	289,379.48	94 797	252,921 23	-36,458.25	LT
Total		**1,250,000.000**		**$1,417,091.41**		**$1,366,088.19**	**-$51,003.22**	

Total accrued interest: $9,733.05

Total estimated annual income: $24,205

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK EVENT DRIVEN EQUITY FUND CLASS INSTITUTIONAL Symbol: BILPX									

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Your assets › **Non-traditional** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Aug 10, 18	15,796.623	9 219	145,644 86	145,644.86	9.340	147,540.45	1,895.59	1,895.59	ST
EAI $948 Current yield 0.64%									
DIAMOND HILL LONG SHORT									
FUND CLASS I									
Symbol DHLSX									
Trade date Jan 8, 15	14,015.641	24.000	336,375.39	336,375 39	23 320	326,844.74	-9,530 65	-9,530.65	LT
EAI $2,593 Current yield 0.79%									
Total			**$482,020.25**	**$482,020.25**		**$474,385.19**	**-$7,635.06**	**-$7,635.06**	

Total estimated annual income: $3,541

Commodities

Precious metals

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain and loss ($)	Holding period
GOLD BULLION IN OUNCES								
HELD AT UBS AG IN								
COLLECTIVE CUSTODY	Oct 29, 12	250.000	1,713.000	428,250 00	1,282.170	320,542.50	-107,707 50	LT

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PUTNAM CAPITAL SPECTRUM									
FUND CLASS Y									
Symbol PVSYX									
Trade date May 4, 12	4,306 165	25 420	109,462.71	109,462.71	26 430	113,811.93	4,349.22		LT

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Your assets › **Other** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date. Sep 18, 12	9,396 519	26.605	250,000.00	250,000.00	26.430	248,350 00	-1,650 00		LT
Security total	13,702.684	26 233	359,462 71	359,462.71		362,161 93	2,699 22	2,699 22	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**244,758.73**	**1.20%**	**244,758.73**		
Equities	Common stock	9,193,849.68		7,864,503.66	164,735.00	1,329,346.02
	Preferred securities	63,095 00		65,000.00	3,750.00	-1,905 00
	Closed end funds & Exchange traded products	3,607,303 20		4,039,964.68	104,720.00	-432,661 48
	Mutual funds	2,462,268.84		2,931,953.90	53,734 00	-469,685 06
	Total equities	**15,326,516.72**	**75.01%**	**14,901,422.24**	**326,939.00**	**425,094.48**
Fixed income	Corporate bonds and notes	755,972 30		776,290 30	40,651 00	-20,318.00
	Municipal securities	106,734.75		102,449 10	5,622.00	4,285.65
	Closed end funds & Exchange traded products	1,000,200.99		1,066,827.60	43,665.00	-66,626.61
	Mutual funds	451,375 37		482,742.53	25,566 00	-31,367 16
	Government securities	1,366,088 19		1,417,091.41	24,205 00	-51,003 22
	Total accrued interest	24,581 33				
	Total fixed income	**3,704,952.93**	**18.13%**	**3,845,400.94**	**139,709.00**	**-165,029.34**
Non-traditional	**Mutual funds**	**474,385.19**	**2.32%**	**482,020.25**	**3,541.00**	**-7,635.06**
Commodities	**Precious metals**	**320,542.50**	**1.57%**	**428,250.00**		**-107,707.50**
Other	**Mutual funds**	**362,161.93**	**1.77%**	**359,462.71**		**2,699.22**
Total		**$20,433,318.00**	**100.00%**	**$20,261,314.87**	**$470,189.00**	**$147,421.80**

UBS Business Services Account
December 2018

Account name: THE SELF FAMILY FOUNDATION
Friendly account name TSFF Managed
Account number: Y5 04505 31

Your Financial Advisor:
JRTE/JOHN OBRIEN
404-479-6000/800-977-2756

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances** *	**244,758.73**	**1.20%**	**244,758.73**		
Equities	Common stock	9,193,849.68		7,864,503 66	164,735 00	1,329,346 02
	Preferred securities	63,095 00		65,000 00	3,750 00	-1,905 00
	Closed end funds & Exchange traded products	3,607,303 20		4,039,964 68	104,720 00	-432,661 48
	Mutual funds	2,462,268 84		2,931,953 90	53,734 00	-469,685 06
	Total equities 2	**15,326,516.72**	**75.01%**	2 **14,901,422.24**	**326,939.00**	**425,094.48**
Fixed income	Corporate bonds and notes 3	755,972 30		3 776,290 30	40,651 00	-20,318 00
	Municipal securities	106,734 75		102,449 10	5,622 00	4,285 65
	Closed end funds & Exchange traded products 4	1,000,200 99		4 1,066,827 60	43,665 00	-66,626 61
	Mutual funds	451,375 37		482,742 53	25,566 00	-31,367 16
	Government securities 1	1,366,088 19		1 1,417,091 41	24,205 00	-51,003 22
	Total accrued interest	24,581 33				
	Total fixed income	**3,704,952.93**	**18.13%**	**3,845,400.94**	**139,709.00**	**-165,029.34**
Non-traditional	**Mutual funds** 4	**474,385.19**	**2.32%**	4 **482,020.25**	**3,541.00**	**-7,635.06**
Commodities	**Precious metals**	**320,542.50**	**1.57%**	**428,250.00**		**-107,707.50**
Other	**Mutual funds**	**362,161.93**	**1.77%**	**359,462.71**		**2,699.22**
Total		**$20,433,318.00**	**100.00%**	**$20,261,314.87**	**$470,189.00**	**$147,421.80**
		<24,581.33>		—		
		20,408,736.67		20,261,314.87		

* Cash	244,758.73
County Bank	27,671.61
Rockefeller	377,748.53
UBS checking	250,605.19
	900,784.06

	Cost	FMV
1 Govt. Obligations	1,417,091.41	1,366,088.19
2 Corporate Stocks	14,901,422.24	15,326,516.72
3 Corporate Bonds	776,290.30	755,973.30
4 Inv. - Other	2,921,752.19	2,715,400.73
	29.00	29.00
Rockefeller RJL-000109 Inv. from Alt Inv. Stmt	5,780,347.00	7,908,571.70
Euclidean	478,596.80	501,680.00
Options - Rockefeller	<3,008,627.04>	<3,008,627.04>
	23,266,901.90	25,565,632.60

UBS

Investment Account
December 2018

Account name: THE SELF FAMILY FOUNDATION
Friendly account name: TSFF Alt Inv
Account number: Y5 04588 31

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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	61,303.00				
UBS BANK USA BUS ACCT	86,665 99	0 00				
Total	**$86,665.99**	**$61,303.00**				

Non-traditional

Private equity funds, Hedge funds, and Other investments are held in your UBS Financial Services Inc (UBS FS) brokerage account The positions reported below reflect the records of the issuers, and UBS FS does not guarantee the accuracy of the information The value shown is not necessarily the value you would receive from the issuer if you sold the assets Funds actively sold by UBS FS are subject to ongoing due diligence, although the level performed may vary A closed fund may be subject to no ongoing diligence. A fund that you purchased elsewhere may never have been subject to UBS FS diligence, although UBS FS may receive a fee from the fund's manager, which may constitute a majority of the management/incentive fee Please contact your Financial Advisor with questions. "Est value per unit" is an estimate of value supplied by an independent valuation firm and reflects adjustments for factors such as the liquidity of the units

Estimates of value supplied by issuers of certain programs (which are generally "net asset value" estimates) are shown as "issuer est. value per unit" For Private equity funds, "Est value per unit" is a value updated quarterly, based on the Net Asset Value ("NAV") in the fund as of the date specified, adjusted by adding capital calls and subtracting distributions since the NAV date The NAV is primarily based on estimated portfolio values provided by the underlying fund sponsor These two values may vary because of differences in the way they were estimated and because the "Third party est value per unit" may have been prepared on the basis of financial information other than year-end. Reported estimates may not reflect resale, liquidation or repurchase value, if any, and may not reflect distributions of capital until the next valuation is reported, generally on an annual or semi-annual basis See *Important information about your statement* at the end of this statement

Private equity funds

Holding	Cost	Units	Est Value per unit ($)	Est. value total ($)	Issuer est value per unit ($)	Issuer est. value total ($)	Distributions to date ($)	Original unit size ($)
ALPHAKEYS KKR FUND XI NAV A/O 9/30/18 ADJ FOR RECENT CALLS/DISTS	460,047	684,718 000	1 000	684,718.00			N/A	
ALPHAKEYS KKR FUND XI LLC COMMITMENT AMOUNT	—	620,000 000	--------- Not Priced ---------		--------- Not Priced ---------		N/A	
ARES HIGH INCOME CREDIT OPPORTUNITIES FD LP COMMITMENT	275,607	320,000.000	--------- Not Priced ---------		--------- Not Priced ---------		N/A	
ARES HIGH INCOME CREDIT OPPORTUNITIES FD LP A/O 09/30/18	—	313,215.000	1.000	313,215.00			N/A	

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Account name: THE SELF FAMILY FOUNDATION
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Your assets › **Non-traditional** › **Private equity funds** (continued)

Holding	Cost	Units	Est Value per unit ($)	Est value total ($)	Issuer est value per unit ($)	Issuer est value total ($)	Distributions to date ($)	Original unit size ($)
BLACKSTONE TOTAL ALTERNATIVES SOLUTION 2016-A LP COMMITMENT AMT	292,870	1,500,000.000	--------- Not Priced ---------		--------- Not Priced ---------		N/A	
BLACKSTONE TOTAL ALT SOLUTION 2016-A LP A/O 9/30/18 ADJ CALLS/DIST	—	901,553.000	1.000	901,553.00			N/A	
NB STRAT CO-INV PTN II-B EST VAL A/O 06/30/18 ADJ FOR CALLS/DIST	166,822	413,852.000	1.000	413,852.00			N/A	
NB STRATEGIC CO-INVSTMNT PARTNERS II-B LP COMMITMENT AMOUNT	—	620,000 000	--------- Not Priced ---------		--------- Not Priced ---------		N/A	
PORFOLIO ADV PVT EQTY FD 2012 OFFSHORE NAV AD REC CALLS/DIST A/O 09/30/18	316,718	666,223 000	1.000	666,223.00			N/A	
PORTFOLIO ADVISORS PVT EQTY FD 2012 OFFSHORE LP COMMIT AMT	—	620,000 000	--------- Not Priced ---------		--------- Not Priced ---------		N/A	
TRUMBULL PROPERTY GROWTH & INCOME FUND LP COMMITMENT AMOUNT	477,980	415,000.000	--------- Not Priced ---------		--------- Not Priced ---------		N/A	
TRUMBULL PROPERTY GROWTH & INCOME FUND LP A/O 09/30/18		19.793	24,098.770	476,986 95			N/A	
Total				**$3,456,547.95**				

Account name: THE SELF FAMILY FOUNDATION
Friendly account name: TSFF Alt Inv
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Your assets ▸ **Non-traditional** (continued)

Hedge funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Cost	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	~~Cost basis ($)~~	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
A&Q GLOBAL DIVERSIFIED STRATEGIES FUND LTD CL B SR 2 A/O 11/30/18 Trade date Jul 27, 12	1,500,000	1,527.305	982.122	1,500,000 00	~~1,500,000.00~~	1,279 354	1,953,963.75	453,963.75	453,963.75	LT
BLUE MOUNTAIN CREDIT ALTERNATIVES FUND LTD CL S A/O 11/30/18 Trade date Oct 26, 12	310,000			76,530.21	~~76,530.21~~	1 000	92,979 00	16,448 79		LT
NINETEEN77 GLOBAL MERGER ARBITRAGE LTD A/O 11/30/18 Trade date· Oct 26, 17	404,000			404,000.00	~~404,000.00~~	1.000	417,404 00	13,404 00		LT
NINETEEN77 GLOBAL MULTI-STRATEGY LTD A/O 11/30/18 Trade date May 25, 12	1,515,000			1,515,000.00	~~1,515,000.00~~	1.000	1,926,374 00	411,374.00		LT
Total	3,729,000			**$3,495,530.21**	**$3,495,530.21**		**$4,390,720.75**	**$895,190.54**	**$453,963.75**	

UBS **Investment Account**
December 2018

Account name: THE SELF FAMILY FOUNDATION
Friendly account name: TSFF Alt Inv
Account number: Y5 04588 3I

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Your assets (continued)

Your total assets

	Cost		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	61,303	**Cash and money balances**	**61,303.00**	**0.78%**	**61,303.00**		
Non-traditional	1,990,044	Private equity funds	3,456,547 95				
	3,729,000	Hedge funds	4,390,720.75		~~3,495,530.21~~		895,190.54
		Total non-traditional	**7,847,268.70**	**99.22%**	~~**3,495,530.24**~~		**895,190.54**
Total	5,780,347		**$7,908,571.70**	**100.00%**	~~**$3,556,833.21**~~		**$895,190.54**

Account activity this month

	Date	Activity	Description	Amount ($)
Deposits and other funds credited	Dec 7	Transfer	JOURNAL FROM Y5 04505THE SELF FAMILY FOUNDATION	175.00
	Total deposits and other funds credited			**$175.00**

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable interest	Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18	28.98
	Total taxable interest			**$28.98**
Miscellaneous	Dec 27	Distribution	ALPHAKEYS KKR FUND XI, LLC DISTRIBUTION CUSIP: G919DY9J6	35,340.00
	Dec 31	Distribution	PORTFOLIO ADVISORS PVT EQTY FD 2012 OFFSHORE LP DISTRIBUTION CUSIP 736499L0	25,963.00
	Total miscellaneous			**$61,303.00**
	Total dividend and interest income			**$61,331.98**

	Date	Activity	Description	Amount ($)
Fees	Dec 6	Fee Charge	ANNUAL FEE CHARGE	-175.00
	Total annual fees			**-$175.00**

	Date	Activity	Description	Amount ($)
Other funds debited	Dec 6	Transfer	JOURNAL TO Y5 04536 THE SELF FAMILY FOUNDATION	-86,665.99
	Dec 18	Deliver	CREDIT CASH BALANCE	-28.98
	Total other funds debited			**-$86,[illegible].97**

PS

Euclidean Fund I, L.P.

Euclidean Technologies GP, LLC
Michael Seckler
1325 Avenue of the Americas, 28th Floor
New York, NY 10019
Fax 646-417-5087
Email seckler@euclidean.com

The Self Family Foundation
PO Box 1227 / 104 Maxwell Avenue
Greenwood, SC 29646

RETURNS FOR PERIOD ENDING DECEMBER 31, 2018	MTD	YTD
The Self Family Foundation	1 19 %	8 04 %

Estimated time-weighted returns, for the account above, are net of fund expenses and manager compensation Due to timing of personal investments and other factors, this return may not equal fund results

ACCOUNT VALUE FOR PERIOD ENDING DECEMBER 31, 2017	Cost	MTD	FMV YTD
Beginning Capital	475,074	$495,769	$464,326
Capital Additions		0	0
Capital Withdrawals		0	0
Net Income	3,502.20	5,911	37 354
Estimated Net Ending Capital	478,576.20	$501,680	$501,680

Differences of $1 to $2 are due to rounding

PENDING CAPITAL ACTIVITY	
Capital Additions (pending for the next fund opening date)	$0

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THE SELF FAMILY FOUNDATION - Corporation
Account Number RJL-000110

ROCKEFELLER
CAPITAL MANAGEMENT

BROKERAGE SERVICES PROVIDED BY ROCKEFELLER FINANCIAL LLC MEMBER FINRA SIPC, AND A WHOLLY OWNED SUBSIDIARY OF ROCKEFELLER CAPITAL MANAGEMENT LP

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

For additional information regarding your holdings, please refer to the footnotes at the end of the statement

CASH AND CASH EQUIVALENTS - 0.00% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/18	Current Market Value	Estimated Annual Income
Cash					
NET CASH POSITION				($583,857 04)	
Total Cash and Cash Equivalents				**($583,857.04)**	

HOLDINGS > OPTIONS - 0 00% of Total Account Value

ALERT: You have an option expiring within the next 90 days

Description Symbol/Cusip	Account Type	Quantity	Price on 12/31/18	Current Market Value	Estimated Annual Income	~~Original/Adjusted Cost Basis~~	Unrealized Gain (Loss)
Index							
CALL (SPX) NEW S & P 500 INDEX JAN 18 19 $2825 (100 SHS) Average Unit Cost $0 25 SPX190118C2825	MARGIN	(53)	$0 25	($1,325 00)		~~$1,325 00~~	
CALL (SPX) NEW S & P 500 INDEX JAN 18 19 $2850 (100 SHS) Average Unit Cost $0 15 SPX190118C2850	MARGIN	53	$0 15	$795 00		~~$795 00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2230 (100 SHS) Average Unit Cost $4 60 SPX190118P2230	MARGIN	53	$4 60	$24,380 00		~~$24,380 00~~	

Account carried with National Financial Services LLC, Member NYSE, SIPC

MN _CTBGMFRWBBDNVWF_BBBBF 20181231

Statement for the Period December 1, 2018 to December 31, 2018

THE SELF FAMILY FOUNDATION - Corporation
Account Number: RJL-000110

ROCKEFELLER
CAPITAL MANAGEMENT

HOLDINGS > OPTIONS *continued*

Description Symbol/Cusip	Account Type	Quantity	Price on 12/31/18	Current Market Value	Estimated Annual Income	~~Original/~~Adjusted ~~Cost Basis~~	Unrealized Gain (Loss)
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2280 (100 SHS) Average Unit Cost $7.00 SPX190118P2280	MARGIN	(53)	$7.00	($37,100.00)		~~$37,100.00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2325 (100 SHS) Average Unit Cost $10.40 SPX190118P2325	MARGIN	(53)	$10.40	($55,120.00)		~~$55,120.00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2330 (100 SHS) Average Unit Cost $11.00 SPX190118P2330	MARGIN	(53)	$11.00	($58,300.00)		~~$58,300.00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2400 (100 SHS) Average Unit Cost $20.80 SPX190118P2400	MARGIN	53	$20.80	$110,240.00		~~$110,240.00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2405 (100 SHS) Average Unit Cost $21.80 SPX190118P2405	MARGIN	53	$21.80	$115,540.00		~~$115,540.00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2500 (100 SHS) Average Unit Cost $49.10 SPX190118P2500	MARGIN	53	$49.10	$260,230.00		~~$260,230.00~~	
PUT (SPX) NEW S & P 500 INDEX JAN 18 19 $2650 (100 SHS) Average Unit Cost $148.90 SPX190118P2650	MARGIN	(53)	$148.90	($789,170.00)		~~$789,170.00~~	
PUT (SPXW) NEW S & P 500 INDEX JAN 04 19 $2575 (100 SHS) Average Unit Cost $74.10 SPXW190104P2575	MARGIN	54	$74.10	$400,140.00		~~$400,140.00~~	
PUT (SPXW) NEW S & P 500 INDEX JAN 04 19 $2710 (100 SHS) Average Unit Cost $205.20	MARGIN	(54)	$205.20	($1,108,080.00)		~~$1,108,080.00~~	

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Account carried with National Financial Services LLC, Member NYSE, SIPC

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THE SELF FAMILY FOUNDATION - Corporation
Account Number: RJL-000110

ROCKEFELLER CAPITAL MANAGEMENT

BROKERAGE SERVICES PROVIDED BY ROCKEFELLER FINANCIAL LLC, MEMBER FINRA, SIPC, AND A WHOLLY OWNED SUBSIDIARY OF ROCKEFELLER CAPITAL MANAGEMENT LP

HOLDINGS > OPTIONS *continued*

Description Symbol/Cusip	Account Type	Quantity	Price on 12/31/18	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
PUT (SPXW) NEW S & P 500 INDEX JAN 04 19 SPXW190104P2710	*continued*						
CALL (SPXW) NEW S & P 500 INDEX JAN 11 19 $2500 (100 SHS) Average Unit Cost $45.70 SPXW190111C2500	MARGIN	(53)	$45.70	($242,210.00)		~~$242,210.00~~	
CALL (SPXW) NEW S & P 500 INDEX JAN 11 19 $2510 (100 SHS) Average Unit Cost $40.20 SPXW190111C2510	MARGIN	53	$40.20	$213,060.00		~~$213,060.00~~	
PUT (SPXW) NEW S & P 500 INDEX JAN 11 19 $2495 (100 SHS) Average Unit Cost $38.60 SPXW190111P2495	MARGIN	53	$38.60	$204,580.00		~~$204,580.00~~	
PUT (SPXW) NEW S & P 500 INDEX JAN 11 19 $2605 (100 SHS) Average Unit Cost $106.70 SPXW190111P2605	MARGIN	(53)	$106.70	($565,510.00)		~~$565,510.00~~	
CALL (SPXW) NEW S & P 500 INDEX JAN 25 19 $2725 (100 SHS) Average Unit Cost $1.95 SPXW190125C2725	MARGIN	(54)	$1.95	($10,530.00)		~~$10,530.00~~	
CALL (SPXW) NEW S & P 500 INDEX JAN 25 19 $2775 (100 SHS) Average Unit Cost $0.75 SPXW190125C2775	MARGIN	54	$0.75	$4,050.00		~~$4,050.00~~	
PUT (SPXW) NEW S & P 500 INDEX JAN 25 19 $2475 (100 SHS) Average Unit Cost $47.30 SPXW190125P2475	MARGIN	54	$47.30	$255,420.00		~~$255,420.00~~	
PUT (SPXW) NEW S & P 500 INDEX JAN 25 19 $2625 (100 SHS) Average Unit Cost $130.70 SPXW190125P2625	MARGIN	(54)	$130.70	($705,780.00)		~~$705,780.00~~	

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THE SELF FAMILY FOUNDATION - Corporation
Account Number RJL-000110

ROCKEFELLER CAPITAL MANAGEMENT

HOLDINGS > OPTIONS *continued*

Description Symbol Cusip	Account Type	Quantity	Price on 12/31/18	Current Market Value	Estimated Annual Income	Original/Adjusted Cost Basis	Unrealized Gain (Loss)
PUT (SPXW) NEW S & P 500 INDEX JAN 25 19 $2675 (100 SHS) Average Unit Cost $173.10 SPXW190125P2675	MARGIN	51	$173.10	$931,710.00		$934,740.00	
PUT (SPXW) NEW S & P 500 INDEX JAN 25 19 $2700 (100 SHS) Average Unit Cost $196.10 SPXW190125P2700	MARGIN	(54)	$196.10	($1,058,910.00)		$1,058,990.00	
CALL (SPXW) NEW S & P 500 INDEX JAN 31 19 $2725 (100 SHS) Average Unit Cost $3.20 SPXW190131C2725	MARGIN	(53)	$3.20	($16,960.00)		$16,960.00	
CALL (SPXW) NEW S & P 500 INDEX JAN 31 19 $2775 (100 SHS) Average Unit Cost $1.40 SPXW190131C2775	MARGIN	53	$1.40	$7,420.00		$7,420.00	
PUT (SPXW) NEW S & P 500 INDEX JAN 31 19 $2490 (100 SHS) Average Unit Cost $58.80 SPXW190131P2490	MARGIN	53	$58.80	$311,640.00		$311,640.00	
PUT (SPXW) NEW S & P 500 INDEX JAN 31 19 $2600 (100 SHS) Average Unit Cost $116.60 SPXW190131P2600	MARGIN	(53)	$116.60	($617,980.00)		$617,980.00	
Total Index				($2,424,770.00)		-$8,109,240.00	
Total Options				($2,424,770.00)		$8,109,240.00	
TOTAL PORTFOLIO VALUE				($3,008,627.04)		-$8,109,240.00	

Schedule of Appropriations and Payments

Fiscal Year 2018

1/2/2019

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
American National Red Cross (American Red Cross of Upstate SC) P O. Box 9035 Greenville, South Carolina 29604 *Prepare South Carolina - disaster cycle services - Greenwood, Abbeville & McCormick counties* $2,500 00 2018	501c(3)	$0.00	$2,500.00	$0 00	$2,500 00	$0 00
Arts Council Of Greenwood County Inc 120 Main Street Greenwood, South Carolina 29646 *summer outreach camps* $9,275.00 2018	501c(3)	$0.00	$9,275 00	$0 00	$9,275 00	$0 00
Burton Center Foundation (fka Emerald Center Foundation) 2605 Highway 72/221 East Greenwood, South Carolina 29649 *Generators for four Burton Center Intermediate Care Facilities* $23,500.00 2018		$0 00	$23,500 00	$0 00	$23,500 00	$0 00
Central Carolina Community Foundation 2711 Middleburg Drive, Suite 213 Columbia, South Carolina 29204 *Palmetto Green* $10,000 00 2018		$0 00	$10,000.00	$0 00	$10,000.00	$0 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
City of Greenwood Post Office Box 40 Greenwood, South Carolina 29648 *Update of City Center Master Plan* $25,000 00 2018		$0 00	$25,000 00	$0 00	$25,000.00	$0.00
Clemson University Foundation 110 Daniel Drive Box 345601 Clemson, South Carolina 29634-5601 *Call Me MISTER Leadership Institute* $20,000 00 2017	501c(3)	$20,000 00	$0 00	$0.00	$20,000 00	$0 00
Clemson University Foundation 110 Daniel Drive Box 345601 Clemson, South Carolina 29634-5601 *Lincoln's Unfinished Work Conference (Dr Vernon Burton)* $5,000.00 2018	501c(3)	$0 00	$5,000 00	$0 00	$5,000 00	$0 00
Community Foundation of the Lowcountry, Inc. Post Office Box 23019 Hilton Head Island, South Carolina 29925-3019 *Palmetto Dunes Cares* $10,000 00 2018		$0 00	$10,000.00	$0 00	$10,000 00	$0 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Community Initiatives, Inc. Post Office Box 1135 Greenwood, South Carolina 29648 *CINC (Community Initiatives Neighborhood Center) Program Support* $70,000 00 2017		$35,000 00	$0 00	$0 00	$35,000.00	$0 00
Converse College 580 East Main Street Spartanburg, South Carolina 29302 *Virginia Turner Self Scholarships* $67,600.00 2015		$16,600 00	$0 00	$400.00	$17,000.00	$0 00
ETV Endowment of SC Inc. 401 E. Kennedy Street, Suite B-1 Spartanburg, South Carolina 29302 *One State. Many Voices Sharing South Carolina's Stories* $150,000 00 2018		$0 00	$150,000.00	$0 00	$30,000.00	$120,000 00
Food Bank of Greenwood County P O. Box 604 Greenwood, South Carolina 29648 *Comparable Executive Director's Salary* $39,725 00 2017		$17,025 00	$0 00	$0.00	$17,025 00	$0 00
GLEAMNS Human Resource Commission Post Office Box 1326 Greenwood, South Carolina 29648 *2018 GLEAMNS GEMS PROGRAM* $20,000 00 2018		$0 00	$20,000 00	$0 00	$20,000.00	$0 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Greenwood Area Habitat for Humanity Post Office Box 68 Greenwood, South Carolina 29648 *Operational Support* $40,000.00 2018		$0 00	$40,000 00	$0 00	$40,000.00	$0 00
Greenwood Community Theatre 110 Main Street Greenwood, South Carolina 29646 *Funding for a new HVAC unit at Greenwood Community Theatre* $25,000 00 2018	501c(3)	$0 00	$25,000.00	$0 00	$25,000 00	$0 00
Greenwood County Community Foundation 929 Phoenix Street Greenwood, South Carolina 29646 *Greenwood County Community Indicators Project* $15,000.00 2018		$0.00	$15,000 00	$0.00	$15,000 00	$0.00
Greenwood County Community Foundation 929 Phoenix Street Greenwood, South Carolina 29646 *Community Indicators Project Research* $1,000.00 2018		$0.00	$1,000.00	$0.00	$1,000.00	$0 00
Greenwood Family YMCA 1760 Calhoun Road Greenwood, South Carolina 29649 *YMCA Community Outreach - YMCA High Hopes* $70,000.00 2018		$0.00	$70,000 00	$0 00	$50,000 00	$20,000.00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Greenwood-Lander Performing Arts, Inc. Post Office Box 50011 Greenwood, South Carolina 29649 *2018-2019 Greenwood Performing Arts Educational Outreach Program* $10,000 00 2018	501(c)(3)	$0 00	$10,000.00	$0 00	$10,000.00	$0 00
Greenwood Miracle League 2605 Highway 72/221 East Greenwood, South Carolina 29649 *Greenwood Miracle League Field Replacement* $25,000 00 2018		$0.00	$25,000 00	$0 00	$25,000.00	$0 00
The Greenwood Museum 106 Main Street P O Box 3131 Greenwood, South Carolina 29648 *New signage* $4,000 00 2018		$0.00	$4,000 00	$0 00	$4,000.00	$0 00
Greenwood Place, Inc. PO Box 49993 Greenwood, South Carolina 29649 *Training for Staff and Members* $7,500 00 2018	501(c)(3)	$0 00	$7,500 00	$0.00	$7,500 00	$0.00
Greenwood School District 50 Post Office Box 248 Greenwood, South Carolina 29648 *Greenwood District 50 Early Childhood Grant* $178,654 00 2018		$0 00	$178,654 00	$0.00	$100,000 00	$78,654 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Greenwood School District 52 605 Johnston Road Ninety Six, South Carolina 29666 *World Championship Percussion Tournament (Ninety Six High School)* $1,000 00 2018		$0.00	$1,000 00	$0 00	$1,000 00	$0 00
Greenwood Toros Soccer Club Inc PO Box 1422 Greenwood, South Carolina 29648 *Afterschool Soccer Clubs in Partnership with Greenwood District 50 Schools* $7,959 00 2018	501c(3)	$0.00	$7,959 00	$0 00	$7,959.00	$0 00
Healthy Learners 2749 Laurel Street Columbia, South Carolina 29204 *Alleviating Children's Health-Related Barriers to Learning* $25,000 00 2018		$0.00	$25,000 00	$0 00	$25,000 00	$0.00
Healthy Learners 2749 Laurel Street Columbia, South Carolina 29204 *Healthy Learners Greenwood Spelling Bee* $1,000.00 2018		$0.00	$1,000 00	$0 00	$1,000 00	$0 00
Home Works Of America Inc 3823 W Beltline Blvd Columbia, South Carolina 29204 *Spring 2018 Greenwood and Upstate Home Repairs* $5,000 00 2018	501c(3)	$0 00	$5,000.00	$0.00	$0 00	$5,000 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
HospiceCare of the Piedmont 408 West Alexander Avenue Greenwood, South Carolina 29646 *HospiceCare of the Piedmont Community Palliative Care Expansion Project* $25,000 00 2018		$0 00	$25,000 00	$0 00	$25,000 00	$0 00
Humane Society of Greenwood Post Office Box 49776 2820 Airport Road Greenwood, South Carolina 29649 *Unleash the Possibilities* $300,000.00 2016		$100,000 00	$0 00	$0 00	$100,000 00	$0 00
International African American Museum 113 Calhoun Street Charleston, South Carolina 29401 *International African American Museum, Charleston SC* $50,000 00 2017		$40,000 00	$0.00	$0 00	$10,000 00	$30,000 00
The Lander Foundation Lander University College of Education 320 Stanley Ave. Greenwood, South Carolina 29649 *Call Me MISTER* $60,200 00 2017	501c(3)	$45,150 00	$0 00	$0 00	$30,100 00	$15,050.00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Medical University of SC 135 Rutledge lAvenue, Suite 1201 Post Office Box 250550 Charleston, South Carolina 29425 *Implementation of the Docs Adopt School Health Initiative (DASHI©) in Greenwood-50 School District* $60,315 00 2018		$0 00	$60,315.00	$0.00	$19,832.00	$40,483 00
National Center for Family Philanthropy 1667 K Street, NW Suite 550 Washington DC 20006 *Dues Payment and Support for Family Foundations* $5,000.00 2018		$0.00	$5,000 00	$0 00	$5,000 00	$0.00
Foundation for a Greater Greenwood County Post Office Box 366 Greenwood, South Carolina 29648 *Support for workforce development efforts in Greenwood County* $600,000 00 2004		$50,000 00	$0.00	$0 00	$25,000 00	$25,000.00
Foundation for a Greater Greenwood County Post Office Box 366 Greenwood, South Carolina 29648 *The Greenwood Promise* $1,000,000.00 2015		$800,000.00	$0 00	$0 00	$200,000 00	$600,000.00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
Project Hope Foundation Inc 2131 Woodruff Rd PMB 358, Suite 2100 Greenville, South Carolina 29607 *Bringing HOPE (Project HOPE Foundation) to Greenwood County Families Living With Autism* $150,000 00 2016	501c(3)	$50,000 00	$0 00	$0.00	$50,000 00	$0 00
Self Regional Healthcare (formerly Self Memorial Hospital) 1325 Spring Street Greenwood, South Carolina 29646 *Our Vision Is 2020* $500,000 00 2017		$500,000 00	$0 00	$0 00	$0 00	$500,000 00
South Carolina Bar Foundation Post Office Box 608 Columbia, South Carolina 29202 *Sol Blatt Statue* $2,500 00 2018		$0 00	$2,500 00	$0.00	$2,500 00	$0.00
South Carolina Future Minds 234 West Cheves Street Florence, South Carolina 29501 *Support for Districts 50, 51 & 52 Teachers of the Year* $4,500 00 2018		$0 00	$4,500 00	$0 00	$4,500 00	$0 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
South Carolina Research and Education Foundation c/o SC Health CoordinatingCouncil Columbia, South Carolina 29210 *Alliance for a Healthier South Carolina* $2,000 00 2018		$0.00	$2,000 00	$0 00	$2,000.00	$0.00
Southeastern Council of Foundations 50 Hurt Plaza, Suite 350 Atlanta, Georgia 30303 *Annual Membership Dues* $3,060 00 2018		$0 00	$3,060 00	$0 00	$3,060 00	$0 00
Southeastern Council of Foundations 50 Hurt Plaza, Suite 350 Atlanta, Georgia 30303 *Family Foundations Forum Sponsorship* $2,500.00 2018		$0 00	$2,500 00	$0.00	$2,500 00	$0.00
Together SC (fka South Carolina Association of Nonprofit Organizations) 2711 Middleburg Drive, Suite 201 Columbia, South Carolina 29204 *Nonprofit Summit Sponsorship* $5,000 00 2018	501c(3)	$0.00	$5,000 00	$0 00	$5,000 00	$0 00
United Center for Community Care 929 Phoenix Street Greenwood, South Carolina 29646 *Unified Services Making A Collective Impact* $30,000 00 2018		$0 00	$30,000 00	$0.00	$30,000 00	$0 00

Fiscal Year 2018

Recipient and/or Purpose	Tax Status	Beginning Balance	Newly Allocated	Amended	Amount Paid	Ending Balance
University of South Carolina USC Research Foundation Columbia, South Carolina 29208 *The Records of Greenwood Mills and the Story of the New South* $118,000.00 2017		$118,000 00	$0.00	$0 00	$39,334 00	$78,666 00
University of South Carolina USC Research Foundation Columbia, South Carolina 29208 *PASOs Healthy Latino Communities Contributing to a Stronger South Carolina* $34,930 00 2018		$0 00	$34,930.00	$0 00	$15,000 00	$19,930.00
Wofford College 429 N Church Street Spartanburg, South Carolina 29303 *Chief Impact Officer position* $100,000 00 2018		$0.00	$100,000 00	$0.00	$20,000 00	$80,000 00
Grand Totals (48 items)		$1,791,775 00	$946,193 00	$400 00	$1,125,585.00	$1,612,783.00