

Form **990-PF**Department of the Treasury
Internal Revenue Service
 Filed to November 15, 2019
Return of Private Foundation

 Do not enter social security numbers on this form as it may be made public.
 Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2018

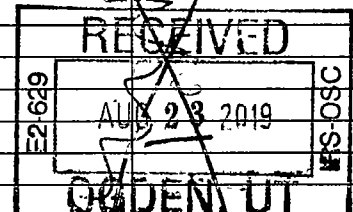
Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation BROYHILL FAMILY FOUNDATION, INC.		A Employer identification number 56-6054119						
Number and street (or P.O. box number if mail is not delivered to street address) 800 HICKORY BLVD, SW	Room/suite	B Telephone number 828-758-6100						
City or town, state or province, country, and ZIP or foreign postal code LENOIR, NC 28645		C If exemption application is pending, check here <input type="checkbox"/> 6						
G Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>						
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 36,782,730. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	50,272.	50,272.		Statement 1
	4 Dividends and interest from securities	448,940.	448,940.		Statement 2
	5a Gross rents	1,583.	1,583.		Statement 3
	b Net rental income or (loss)	1,583.			
	6a Net gain or (loss) from sale of assets not on line 10	413,694.			
	b Gross sales price for all assets on line 6a	4,711,466.			
	7 Capital gain net income (from Part IV, line 2)		413,694.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	44,309.	44,309.			
12 Total. Add lines 1 through 11	958,798.	958,798.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	14,773.	3,693.		11,080.
	c Other professional fees				
	17 Interest				
	18 Taxes	32,355.	16,463.		0.
	19 Depreciation and depletion				
	20 Occupancy	40,897.	10,224.		30,673.
	21 Travel, conferences, and meetings	7,267.	1,817.		5,450.
	22 Printing and publications				
	23 Other expenses	386,143.	96,535.		289,608.
24 Total operating and administrative expenses. Add lines 13 through 23	481,435.	128,732.		336,811.	
25 Contributions, gifts, grants paid	1,547,276.			1,547,276.	
26 Total expenses and disbursements. Add lines 24 and 25	2,028,711.	128,732.		1,884,087.	
27 Subtract line 26 from line 12	-1,069,913.				
a Excess of revenue over expenses and disbursements		830,066.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		



purpose

633

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	49,338.	206,500.	206,500.
	2 Savings and temporary cash investments	5,258,902.	936,905.	936,905.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	12,494.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 250,000.			
	Less: allowance for doubtful accounts ▶ 0.	250,000.	250,000.	250,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 8	9,872,331.	9,551,751.	10,630,018.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 9	14,007,644.	17,435,640.	22,276,992.	
14 Land, buildings, and equipment: basis ▶ 2,455,857.				
Less: accumulated depreciation ▶ 16,941.	2,438,916.	2,438,916.	2,438,916.	
15 Other assets (describe ▶ Statement 10)	44,108.	43,399.	43,399.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	31,933,733.	30,863,111.	36,782,730.	
Liabilities	17 Accounts payable and accrued expenses	28,999.	52,624.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	28,999.	52,624.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31			
	24 Unrestricted	31,904,734.	30,810,487.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	31,904,734.	30,810,487.		
31 Total liabilities and net assets/fund balances	31,933,733.	30,863,111.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,904,734.
2 Enter amount from Part I, line 27a	2	-1,069,913.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	30,834,821.
5 Decreases not included in line 2 (itemize) ▶ BOOK TO CASH ACCRUAL DIFFERENCE	5	24,334.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	30,810,487.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a LONG-TERM CAPITAL GAINS - SCHEDULE 4		P		
b SHORT-TERM CAPITAL GAINS - SCHEDULE 4		P		
c Capital Gains Dividends				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 2,837,088.		2,586,461.	250,627.
b 1,780,777.		1,711,311.	69,466.
c 93,601.			93,601.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			250,627.
b			69,466.
c			93,601.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	413,694.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,881,743.	39,011,262.	.048236
2016	1,956,729.	37,749,471.	.051835
2015	1,875,765.	38,800,522.	.048344
2014	1,858,142.	40,828,369.	.045511
2013	1,970,154.	39,105,317.	.050381

2 Total of line 1, column (d)	2	.244307
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.048861
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	38,676,013.
5 Multiply line 4 by line 3	5	1,889,749.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,301.
7 Add lines 5 and 6	7	1,898,050.
8 Enter qualifying distributions from Part XII, line 4	8	1,884,087.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2018 estimated tax payments and 2017 overpayment credited to 2018

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2019 estimated tax

58,399. Refunded

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions

NC

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► D. EUGENE HENDRICKS Telephone no ► 828-758-6100 Located at ► 800 HICKORY BLVD, SW, LENOIR, NC ZIP+4 ► 28645		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► Other Country	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

Form 990-PF (2018)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments See instructions.		
3		

Total. Add lines 1 through 3

7

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	34,116,061.
b Average of monthly cash balances	1b	2,412,590.
c Fair market value of all other assets	1c	2,736,337.
d Total (add lines 1a, b, and c)	1d	39,264,988.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	39,264,988.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	588,975.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	38,676,013.
6 Minimum investment return. Enter 5% of line 5	6	1,933,801.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,933,801.
2a Tax on investment income for 2018 from Part VI, line 5	2a	16,601.
b Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	16,601.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,917,200.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	1,917,200.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,917,200.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,884,087.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,884,087.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,884,087.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,917,200.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			1,563,744.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 1,884,087.				
a Applied to 2017, but not more than line 2a			1,563,744.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				320,343.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				1,596,857.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

10

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SCHEDULE 5				1,547,276.
Total			▶ 3a	1,547,276.
b Approved for future payment				
SCHEDULE 6				724,000.
Total			▶ 3b	724,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	50,272.		
4 Dividends and interest from securities			14	448,940.		
5 Net rental income or (loss) from real estate						
a Debt-financed property			16	1,583.		
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			14	93,601.		320,093.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a PARTNERSHIP INCOME			14			44,029.
b MISCELLANEOUS			14			280.
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		594,396.		364,402.
13 Total. Add line 12, columns (b), (d), and (e)					13	958,798.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
INTEREST INCOME	50,272.	50,272.	
Total to Part I, line 3	50,272.	50,272.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
DIVIDEND INCOME	542,541.	93,601.	448,940.	448,940.	
To Part I, line 4	542,541.	93,601.	448,940.	448,940.	

Form 990-PF Rental Income Statement 3

Kind and Location of Property	Activity Number	Gross Rental Income
RENTAL INCOME	1	1,583.
Total to Form 990-PF, Part I, line 5a		1,583.

Form 990-PF Other Income Statement 4

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
PARTNERSHIP INCOME	44,029.	44,029.	
MISCELLANEOUS	280.	280.	
Total to Form 990-PF, Part I, line 11	44,309.	44,309.	

Form 990-PF	Accounting Fees			Statement 5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
SMITH, STEVENS & FORD, PA	14,773.	3,693.		11,080.
To Form 990-PF, Pg 1, ln 16b	14,773.	3,693.		11,080.

Form 990-PF	Taxes			Statement 6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
PROPERTY TAXES	14,376.	14,376.		0.
FOREIGN TAXES	2,087.	2,087.		0.
EXCISE TAXES	15,892.	0.		0.
To Form 990-PF, Pg 1, ln 18	32,355.	16,463.		0.

Form 990-PF	Other Expenses			Statement 7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
MANAGEMENT FEES	331,517.	82,879.		248,638.
INVESTMENT EXPENSES	12,710.	3,177.		9,533.
MISCELLANEOUS	41,393.	10,348.		31,045.
OFFICE SUPPLIES & POSTAGE	523.	131.		392.
To Form 990-PF, Pg 1, ln 23	386,143.	96,535.		289,608.

Form 990-PF	Corporate Stock	Statement	8
Description	Book Value	Fair Market Value	
CORPORATE STOCK	9,551,751.	10,630,018.	
Total to Form 990-PF, Part II, line 10b	9,551,751.	10,630,018.	

Form 990-PF	Other Investments	Statement	9
Description	Valuation Method	Book Value	Fair Market Value
OTHER INVESTMENTS	COST	9,994,935.	12,694,155.
PARTNERSHIP INTERESTS	COST	7,440,705.	9,582,837.
Total to Form 990-PF, Part II, line 13		17,435,640.	22,276,992.

Form 990-PF	Other Assets	Statement	10
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value
EXCISE TAX RECEIVABLE	44,108.	43,399.	43,399.
To Form 990-PF, Part II, line 15	44,108.	43,399.	43,399.

BROYHILL FAMILY FOUNDATION, INC
NOTES RECEIVABLE
DECEMBER 31, 2018

NAME

BALANCE
12/31/2018

Capitala Investment Advisors, LLC

\$ 250,000

BROYHILL FAMILY FOUNDATION, INC
INVESTMENTS GROUPINGS - COST & FAIR MARKET VALUE
12-31-17 AND 12-31-18

INVESTMENT MANAGER	12-31-17		12-31-18	
	COST	MARKET	COST	MARKET
IN HOUSE - COMMON STOCK	\$ 183,112 69	\$ 1,841,541 66	\$ 183,112 69	\$ 1,785,659 21
IN HOUSE - PARTNERSHIP	287,717 57	225,505 37	287,717 57	226,108 99
WACHOVIA/CORNERCAP				
CASH	36,430 07	36,430 07	12,498 88	12,498 88
COMMON STOCK	1,602,533 12	1,899,840 65	1,787,675 64	1,663,589 63
	1,638,963 19	1,936,270 72	1,800,174 52	1,676,088 51
CURIS, INC - COMMON STOCK	8,324 11	1,001 70	8,324 11	987 39
THE MITCHELL GROUP, INC				
CASH	1,356,773 05	1,356,773 05	17,501 69	17,501 69
PARTNERSHIPS			39,260 61	32,964 00
COMMON STOCKS	1,449,834 87	1,337,429 60	1,412,122 56	821,600 96
	2,806,607 92	2,694,202 65	1,468,884 86	872,066 65
FIDELITY				
CASH	1,007,402 40	1,007,402 40	443,490 67	443,490 67
MUTUAL FUNDS	2,919,566 32	3,927,593 55	1,790,768 28	2,466,505 95
PARTNERSHIP	108,156 50	201,500 00	254,528 50	211,400 00
CORPORATE BONDS	350,158 49	364,875 00	350,158 49	327,690 00
COMMON STOCKS	1,721,937 83	1,996,365 15	2,471,857 04	2,769,873 76
	6,107,221 54	7,497,736 10	5,310,802 98	6,218,960 38
KINDERHOOK CAPITAL FUND	81,524 17	13,805 09	48,145 17	19,809 09
KINDERHOOK CAPITAL FUND II	149,588 43	126,173 73	146,368 99	113,506 73
KINDERHOOK CAPITAL FD III	237,959 57	199,052 78	220,554 84	182,216 78
KINDERHOOK CAPITAL FD IV	245,819 59	331,083 59	310,927 46	430,207 59
KINDERHOOK CAPITAL FD V	33,810 00	31,055 00	152,396 00	171,864 00
GREENLIGHT OFFSHORE - PARTNERSH	1,000,000 00	1,395,326 00	1,000,000 00	1,200,440 00
GENWORTH BLDG - PARTNERSHIP	(28,355 76)	1,765,000 00	(116,078 51)	2,055,000 00
MORROCROFT OFFSHORE FUND				
MUTUAL FUNDS	(10,562 31)	157,458 00	(67,961 02)	100,067 00
ELLIOTT INTERNATIONAL LTD - MUTUAL	1,500,000 00	2,837,931 00	1,500,000 00	2,904,144 05

BROYHILL FAMILY FOUNDATION, INC
GAIN/LOSS ON INVESTMENTS
2018

ACCOUNT	GROSS SALES PRICE	COST OR OTHER BASIS	GAIN OR (LOSS)	LONG-TERM GAIN(LOSS)	SHORT-TERM GAIN(LOSS)
FIDELITY	897,052 00	880,694 00	\$16,358 00	51,876 00	(35,518 00)
CORNERCAP	1,428,396 00	1,310,394 00	\$118,002 00	76,903 00	41,099 00
THE MITCHELL GROUP, INC	332,746 00	343,949 00	(\$11,203 00)	(18,307 00)	7,104 00
REAVES	363,714 00	326,653 00	\$37,061 00	42,356 00	(5,295 00)
BROYHILL PORTFOLIO	1,596,877 00	1,436,082 00	\$160,795 00	99,038 00	61,757 00
	4,618,785 00	4,297,772 00	321,013 00	251,866 00	69,147 00
LONG TERM CAPITAL GAIN DISTRIBUTIONS					
OTHER	93,601 00		93,601 00	93,601 00	
	(920 00)		(920 00)	(1,239 00)	319 00
TOTAL GAINS	4,711,466 00	4,297,772 00	413,694 00	344,228 00	69,466 00
PARTNERSHIP INCOME (LOSS)					
GENWORTH BUILDING - LOSS ON PTRSP INTEREST	(12,079 00)		(12,079 00)	(12,079 00)	
KINDERHOOK CAPITAL I - LOSS ON PTRSP INTEREST	(33,379 00)		(33,379 00)	(33,379 00)	
KINDERHOOK CAPITAL II - GAIN ON PTRSP INTEREST	8,553 00		8,553 00	8,553 00	
WIC MANAGED VOLATILITY - GAIN ON PTRSP INTEREST	40,722 00		40,722 00	40,722 00	
KINDERHOOK CAPITAL III - LOSS ON PTRSP INTEREST	(33,894 00)		(33,894 00)	(33,894 00)	
KINDERHOOK CAPITAL IV - LOSS ON PTRSP INTEREST	(2,932 00)		(2,932 00)	(2,932 00)	
KINDERHOOK CAPITAL V - LOSS ON PTRSP INTEREST	(2,864 00)		(2,864 00)	(2,864 00)	
MORROCROFT - LOSS ON PTRSP INTEREST	(2,002 00)		(2,002 00)	(2,002 00)	
VIRGO SOCIETAS - GAIN ON PTRSP INTEREST	958 00		958 00	958 00	
BIENVILLE CAPITAL - LOSS ON PTRSP INTEREST	(6,527 00)		(6,527 00)	(6,527 00)	
OAKTREE ENHANCED - GAIN ON PTRSP INTEREST	67,441 00		67,441 00	67,441 00	
SEGRA PARTNERS - GAIN ON PTRSP INTEREST	12,494 00		12,494 00	12,494 00	
GRAHAM PARTNERS - GAIN ON PTRSP INTEREST	58,158 00		58,158 00	58,158 00	
FLAGSHIP HEALTHCARE - LOSS ON PTRSP INTEREST	(5,507 00)		(5,507 00)	(5,507 00)	
SENIOR CARE CENTERES - LOSS ON PTRSP INTEREST	(32,315 00)		(32,315 00)	(32,315 00)	
OIL WELL EMERGING MKTS - LOSS ON PTRSP INTEREST	(9,181 00)		(9,181 00)	(9,181 00)	
COLLETT CAPITAL FUND - LOSS ON PTRSP INTEREST	(3,617 00)		(3,617 00)	(3,617 00)	
TOTAL CAPITAL GAINS ON INVESTMENTS	4,755,495 00	4,297,772 00	457,723 00	388,257 00	69,466 00

* SEE SCHEDULE ATTACHED

THE BROYHILL FAMILY FOUNDATION, INC			
CONTRIBUTIONS	2018		
ARTS & MUSEUMS--6111			
Amer Home Furnishings Hall of Fame Fdn.	\$ 2,500.00		
	\$ 1,000 00		
ASU Foundation--Appalachian Summer	\$ 20,000 00		
Caldwell Arts Council	\$ 10,000 00		
Caldwell Arts Council Sculpture Celebration			
Caldwell Arts Council			
Caldwell Arts Council (Fiddlers Conv)	\$ 5,000 00		
Caldwell Heritage Museum			
Caldwell Historical Society			
Caldwell Men's Chorus	\$ 1,200 00		
Catawba Science Center			
Community Music Club	\$ 5,000.00		
Foothills Performing Arts			
Fort Defiance			
Friends of the Battleship NC			
Furniture Library Foundation			
Gaston Music Education Foundation			
Hickory Choral Society			
Hickory Museum of Art	\$ 5,000 00		
Hudson Community Development Assoc			
James C Harper Sch of Performing Arts	\$ 12,000 00		
National Air and Space Museum	\$ 12,500 00		
National WWII Museum	\$ 500.00		
North Carolina Museum of History			
NC Museum of Art Foundation			
North Carolina Symphony	\$ 10,000 00		

Reynolda House			
Royal Oak			
UNC-TV			
Western Piedmont Symphony	\$ 4,000.00		
	\$ 88,700.00		
<u>CIVIC-6112</u>			
Blue Ridge Opportunity Commission			
Blowing Rock Community Foundation			
Caldwell 20/20			
Caldwell Chamber-Initiatives	\$ 10,000 00		
Caldwell County Lions Activities Association	\$ 1,000 00		
Caldwell House	\$ 10,000 00		
Caldwell Senior Ctr	\$ 4,000 00		
Caldwell Humane Society	\$ 500 00		
City of Lenoir (Fire Department)			
City of Lenoir (Harambee Festival)	\$ 500.00		
City of Lenoir			
Governor's Western Residence Association			
Hibriten District Youth Sports			
Kings Creek Volunteer Fire Department			
Lenoir Police Department	\$ 3,000.00		
Navy Seal Foundation	\$ 10,000 00		
NC Big Sweep			
Patterson Fire/Rescue Department			
Smoking in the Foothills	\$ 5,000.00		
Senior Services	\$ 200 00		
Town of Blowing Rock			
Town of Granite Falls			
Watauga Humane Society (Robert Light)			
Yadkin Valley Community Park			
	\$ 44,200.00		
<u>CHURCHES/RELIGIOUS ORGANIZATIONS-6113</u>			
Aldersgate United Methodist Church			
Baton United Methodist Church	\$ 250 00		
Biblical Ministries Worldwide			
Billy Graham Evangelistic Association	\$ 5,000.00		
Bushtown Menonite Brethern Church			

Calvary Baptist Church			
Chapel of Rest Preservation Society			
Church of the Ascension			
College Avenue Baptist Church			
Confidence Advent Christian Church			
Corinth Reformed United Church of Christ	\$ 5,000 00		
Dry Ponds Baptist Church			
Dudley Shoals Baptist Church			
Dula Chapel Baptist Church			
Eldorado Baptist Church			
Far East Broadcasting (Billy Kim)			
First Advent Christian Church			
First Baptist Church of Palm Beach	\$ 200.00		
First Baptist Church	\$ 200 00		
First Baptist Church Dental Mission			
First Baptist Church Heating Fund	\$ 2,500 00		
First Baptist Church/Xmas Needy	\$ 2,500 00		
First Baptist Church (Coats)			
First Baptist Church of Hickory			
First Church of God (Venoy Pearson)			
First Presbyterian Church of Myrtle Beach			
First United Methodist Church	\$ 1,500 00		
	\$ 250 00		
First United Methodist Church	200.00		
Garner United Methodist Church			
Gideons International			
Holy Trinity Lutheran Church			
Hudson United Methodist Church			
Kings Creek Baptist Church			
Lenoir Presbyterian Church	\$ 150 00		
Lifespring Community Church			
Little Rock Baptist Church			
Lower Creek Baptist Church			
Mariah's Chapel Preservation Foundation			
Mountain Grove Baptist Church			
Mount Pilgrim Missionary Baptist	\$ 1,000 00		
Myers Park Methodist Church	\$ 2,000 00		
Naples United Church of Christ	\$ 3,000 00		
NC Baptist Assembly--Fort Caswell			
Prince of Peace Lutheran Church			
Rocky Springs Baptist Church	\$ 250 00		
Samaritan's Purse			
South Main Baptist Church/Houston			
St John's Lutheran	\$ 300 00		
Torat Emet Congregation			
Trinity United Methodist Church--Geo Parcell			

Troutman Baptist Church			
Yadkin Baptist Church			
Wee Kirk Presbyterian Church	\$ 2,500 00		
	\$ 5,000.00		
	\$ 31,600.00		
	31,550.00		
COLLEGES-SCHOOLS-UNIVERSITIES-6114			
ABC of NC Child Development Center			
ASU Foundation			
ASU Foundation--Dave Thompson	\$ 10,000 00		
ASU Foundation--Gene Hendricks Scholarship	1,000.00		
ASU Foundation--Business School Fund			
ASU Foundation--Go Abroad Endowment			
ASU Foundation--Yosef Scholarships			
ASU Foundation--Furniture Design Lab			
ASU Foundation--Library Honorarium	\$ 25,000 00		
ASU Foundation--Satie Broyhill Endowment	\$ 25,000.00		
Beta Chapter of PDT, Inc			
Caldwell Community Col/I Have A Dream	\$ 20,000 00		
Caldwell Community College Gateway	\$ -		
Caldwell Community College Child Care	\$ 10,000 00		
Caldwell Community College Foundation	\$ 1,000 00		
Caldwell Community College (Civic Center)			
Caldwell Community College/Athletic	\$ 1,500 00		
Caldwell County Schools			
(Kaleidoscope)	\$ 1,000 00		
(Project Graduation)	\$ 2,000 00		
(Firefighter Tech)			
(Gravity Games)			
Caldwell County Schools (Hall of Honor)			
Caldwell County Schools (John Craig)	\$ 500 00		
Christ School	\$ 10,000 00		
College of William and Mary	\$ 10,000 00		
Crossnore School			
Culver Educational Foundation			
Gardner-Webb University--APEE Conference	\$ 1,925 06		

Gardner-Webb University			
Georgia Institute of Technology (Bishop Smith)			
Hickory Day School			
Hickory Day School			
Hickory Day School			
High Point University	\$ 67,500.00		
	\$ 67,500 00		
Hill Center			
Independent College Fund of NC	\$ 20,000 00		
John William Pope Ctr. Higher Ed Policy	\$ 5,000 00		
chged to James G. Martin Center for Academic Renewal			
Lenoir Rhyne College-Business Council			
Lenoir Rhyne College-Broyhill Leadership			
Lenoir Rhyne University	\$ 100,000.00		
Lenoir Rhyne University Visiting Writers			
LRU-Piedmont Educational Foundation			
Lees-McRae College (Drama Dept)			
Mars Hill University			
Meredith College			
NC School of Science and Math			
Patterson School			
Patrick Beaver Learning Resource Center			
Phi Delta Theta Education Foundation (see note)	\$ 1,000.00		
Phi Beta Kappa Society			
Phi Beta Kappa Society (Honor Roll)			
Presbyterian College			
Queen's University			
Southeastern Baptist Theological Seminary			
Teacher Treasures A Resource Store	\$ 1,000 00		
UNC Charlotte Foundation	\$ 300 00		
UNC Law Foundation			
University of Houston			
University of North Carolina	\$ 5,000 00		
	\$ 6,240 00		
Wake Forest University			
Wake Forest University (Deacon Club)			
	\$ 391,465.06		
	392,445.06		
FREE ENTERPRISE--6115			

Anti-Defamation League Foundation	\$ 8,200 00		
/Internat'l Home Furnishings Div			
Association of Private Enterprise Education			
Capital Research Center	\$ 1,500 00		
Center for Individual Rights			
Federation for Amer Immigration Reform			
Fdn for Individual Rights in Education			
FreedomWorks Foundation			
Jesse Helms Ctr Fdn. (Free Ent. Leadership)	\$ 10,000 00		
John Locke Foundation			
Landmark Legal Foundation			
Leadership Institute			
National Center for Policy Analysis			
National Center for Public Policy Research			
National Legal & Policy Center			
National Right to Work Legal Defense Foundation			
National Taxpayers Union Foundation			
NC Center for Public Policy Research			
NC Chamber Fdn. for Annual Conference			
NC Chamber Fdn for Jobs Summit			
NC Chamber Fdn. For Manufacturing Summit			
NC Chamber Fdn For Education Summit	\$ 1,000 00		
NC Council on Economic Education			
NC Free Enterprise Foundation	\$ 2,000 00		
Tax Foundation			
Washington Legal Foundation			
Young America's Foundation			
	\$ 22,700.00		
HEALTH AND HOSPITALS--6116			
24 Hours of Booty			
ALS Association (Lou Gerhig's)			
Alzheimer's Association (Alois)			
American Cancer Society(Hickory)			
American Heart Association			
American Heart Association Gala (Catawba)			
American Red Cross (Catawba Valley)			
Appalachian Regional Healthcare Fdn			
Association for Macular Degeneration			

Beginnings			
Bethel Colony of Mercy			
Caldwell Dialysis Foundation			
Caldwell Memorial Hospital Found (Gala)	\$ 5,000 00		
Caldwell Memorial Hospital Found.	\$ 100,000 00		
Caldwell County Hospice	\$ 200.00		
	\$ 150 00		
Caldwell County Hospice Foundation			
Caldwell County Hospice (Memorials)			
Carolinas HealthCare Foundation			
Cart Fund (Rotary for Alzheimer's)			
Catawba Regional Hospice			
Children's Cancer Foundation			
City of Hope (AHFI)	\$ 3,900 00		
Duke University			
Duke University Medical Center	\$ 350 00		
Duke Comprehensive Cancer Center			
Foundation Fighting Blindness			
Foundation for the Carolinas	\$ 20,000 00		
Hebron Colony Ministries			
Helping Hands Clinic	\$ 50,000 00		
Hopeway Foundation	\$ 5,000 00		
Hospice of Rowan County			
Hospice of Winston Salem			
Hospice and Palliative Care/Charlotte			
Hickory Rescue Squad			
International Essential Tremor Foundation	\$ 1,000 00		
Juvenile Diabetes Assoc Walk	\$ 1,000 00		
Komen, Susan G Foundation (RFC)	\$ 10,000 00		
Komen, Susan G. Foundation (Columbus)			
Knights Templar Eye Foundation			
KRB Hospice of Winston-Salem			
Lymphoma Research Foundation			
March of Dimes Catawba			
March of Dimes Foundation	\$ 2,500.00		
Mayo Foundation	\$ 75,000 00		
Medical Foundation of NC	\$ 10,000 00		
Medi Home Health and Hospice			
MemoryCare of Asheville			
Mikey Czech Foundation	\$ 1,000 00		

Moffitt Cancer Center Foundation			
Natl Multiple Sclerosis Soc/Central NC			
Natl Multiple Sclerosis Soc/Greater Carolinas	\$ 1,000 00		
National Kidney Foundation			
NCH Healthcare Foundation	\$ 5,000 00		
	\$ 5,000 00		
Ocean Reef Medical Center Foundation	\$ 3,000 00		
Ochsner Clinic Foundation			
Ophthalmology Research Foundation			
Palliative Care Center & Hospice of Catawba Valley (formerly Catawba Regional Hospice)	\$ 5,000 00		
Parkinson Association of the Carolinas			
Renewal Center of the South			
Scott Rigsby Foundation			
SECU Family House (Chapel Hill)			
Shepherd Center Foundation			
Shriners Hospitals for Children			
St Jude Children's Research Hospital			
Stuttering Assoc for the Young			
University of NC at Chapel Hill/School of Med			
University of Miami/Bascom Palmer	\$ 5,000 00		
Wake Forest University, Bap Med Ctr	\$ 200,000 00		
West Caldwell Health Council	\$ 20,000 00		
Western NC Epilepsy Foundation			
Wig Bank of Caldwell County			
Wig Bank of Caldwell County			
	\$ 529,100.00		
<u>INDIGENT--6117</u>			
Caldwell DSS Christmas for Foster Kids			
Caldwell County DSS (Adolescent Parenting)			
Caldwell County DSS (Celebration of Life)	\$ 500 00		
Caldwell County DSS (Emergency Fund)			
Caldwell County DSS (Adult and Senior)			
Caldwell County Yokefellow	\$ 15,000 00		
Caldwell County Yokefellow (LEOS)			
CC Yokefellow (Cops for Tots)			
Caldwell County Smart Start			
Caldwell People	\$ 3,000 00		
Dulatown Outreach Centers (Men's Shelter)			
Family Care Center of Catawba Valley	\$ 5,000 00		

Habitat for Humanity/Caldwell	\$ 10,000 00		
Habitat for Humanity/Greater Miami			
Lenoir Soup Kitchen	\$ 5,000 00		
	\$ 3,000 00		
Toys for Tots Foundation	\$ 1,000 00		
Parson of the Hills Christian Outreach			
Pay It Forward			
Salvation Army			
Salvation Army			
Salvation Army of Greater Hickory			
Second Harvest Food Bank of NC	\$ 3,000 00		
South Caldwell Christian Ministries	\$ 7,000 00		
Shelter Home of Caldwell County			
Volunteer Avery County			
Watauga County Habitat for Humanity			
	\$ 52,500.00		
MISCELLANEOUS--6118			
AOPA	\$ 1,000 00		
Commemorative Air Force			
Exponent Philanthropy formerly	\$ 1,500 00		
Association of Small Foundations			
Foothills Outdoorsman Association			
Greater Hickory Classic Foundation			
North American Land Trust	\$ 10,000 00		
Patriot Foundation	\$ 10,000.00		
Special Pedals	\$ 500 00		
Twenty Four Hour Club			
Wikimedia Foundation			
	\$ 23,000.00		
SCHOLARSHIPS--6119			
ASU Foundation (Gene Hendricks)		8505	1/30/2019
Caldwell County Agricultural Fair			
Caldwell County Schools (John Craig)			
	\$ 2,000 00		
Lenoir Woman's Club			
THA Foundation (Tom Haggar)			

	\$ 2,000.00		
YOUTH DEVELOPMENT--6120			
Broyhill Children's Homes/Clyde Summer Trip	\$ 2,000 00		
Baptist Children's Homes/Clyde Shoeboxes	\$ 2,000 00		
Baptist Children's Homes/Shoeboxes	\$ 2,000 00		
Broyhill Children's Homes/Clyde/Xmas	\$ 6,000 00		
Baptist Children's Homes			
Baptist Children's Homes/Special Projects	\$ 35,000 00		
Baptist Children's Homes/Special Projects	\$ 103,000 00		
Baptist Children's Homes (Video)			
Baptist Children's Homes (Care & Noel)			
Boy Scouts/Piedmont Council	\$ 12,000 00		
Boy Scout Troop 59 (Hedgecock)			
Boys Town			
Buffalo Cove Outdoor Education Center	\$ 2,000 00		
Caldwell Council on Adolescent Health	\$ 5,000 00		
Caldwell Friends	\$ 3,500 00		
Catawba Valley Young Life	\$ 5,000 00		
Children's Advocacy Center			
Christian Record Services	\$ 500 00		
Communities In Schools	\$ 6,000 00		
Discovery Place			
Girl Scouts Carolinas Peak to Piedmont			
Grandfather Home (Grandparents' Club)			
Grandfather Home (Children's Hope Alliance)	\$ 16,580 00		
	2,000.00		
Grandfather Home (Shoeboxes)			
Grandfather Home (Easter Shoeboxes)			
Junior Achievement of the Carolinas	\$ 25,000 00		
Lenoir Optimist--Youth Activities, Inc			
Make-A-Wish Foundation			
NC Agricultural Fdn (for NC 4-H)			
Robin's Nest/Children's Advocacy Center	\$ 10,000 00		
Sims Country Cloggers			
Sipes Orchard Home			
South River			
Special Olympics NC	\$ 3,500 00		

Tomorrow's America Foundation	\$ 50,000 00		
	\$ 50,000 00		
USA Pentathlon			
Western Youth Network			
	\$ 339,880.00		
PARKS & RECREATION--6121	34,080.00		
Blue Ridge Parkway Foundation			
Blue Ridge Parkway /Parks in Classroom			
City of Lenoir Parks and Recreation	\$ 5,000 00		
	\$ 1,036 00		
	\$ 10,595.00		
Caldwell County Pathways			
Dix Visionaries			
Friends of the Blue Ridge Parkway	\$ 2,500 00		
Lenoir Youth Soccer Association			
North Carolina Zoological Society	\$ 1,000 00		
Northwest NC Mountain Bike Alliance	\$ 20,000 00		
Society of American Foresters			
Town of Hudson Recreation & Fitness Ctr			
Village of Blowing Rock Foundation			
	\$ 40,131.00		
Grand Total	\$ 1,567,426.06		

OUTSTANDING PLEDGES AS OF JANUARY 1, 2019		
	Original Pledge	Balance
Appalachian State University	\$ 50,000.00	\$ 20,000.00
Dave Thompson		
Baptist Children's Homes	\$ 6,000.00	\$ 6,000 00
Christmas Gifts for 60 Children at Clyde		
Baptist Children's Homes	\$ 2,000 00	\$ 2,000 00
Summer Trip		
Baptist Children's Homes	\$ 25,000 00	\$ 25,000 00
Video		
Caldwell Mem. Hosp Fdn	\$ 500,000 00	\$ 200,000 00
Renovate Hospital		
Caldwell Mem. Hosp. Fdn.	\$ 150,000 00	\$ 150,000 00
CCC&TI "Dream"	\$ 20,000 00	\$ 20,000 00
CCC&TI Child Care Stipends	\$10,000.00	\$ 10,000 00
Corinth Reformed Church of Chr	\$15,000.00	\$ 5,000 00
John Craig Scholarship	\$ 500.00	\$ 500.00
Helping Hands Clinic	\$ 150,000.00	\$ 100,000.00
High Point University	\$135,000.00	\$ 67,500.00
Medical Center at Ocean Reef Fd	\$ 15,000.00	\$ 6,000 00

Piedmont Council Boy Scouts	\$ 12,000.00	\$ 12,000.00
Boy Scouts		
Tomorrow's America Foundation	\$ 100,000.00	\$ 100,000.00

Total Pledges Outstanding

\$ 724,000.00
