EXTENDED TO NOVEMBER 15, 20

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

Name of foundation

JAMES S. MCDONNELL FOUNDATION

A Employer identification number

54-2074788

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

1034 S BRENTWOOD BLVD | 1860

B Telephone number

(314)862-1040

City or town, state or province, country, and ZIP or foreign postal code

ST. LOUIS, MO 63117-1229

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 537,824,939.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

04

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,742,835.	1,742,835.		STATEMENT 1
	4 Dividends and interest from securities	7,085,660.	7,085,660.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	27,046,092.			
	b Gross sales price for all assets on line 6a 94,307,667.				
	7 Capital gain net income (from Part IV, line 2)		27,046,092.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	<921,830.>	<796,109.>		STATEMENT 3
	12 Total. Add lines 1 through 11	34,952,757.	35,078,478.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	338,376.	0.		338,376.
	14 Other employee salaries and wages	378,838.	0.		378,838.
	15 Pension plans, employee benefits	71,143.	0.		71,143.
	16a Legal fees STMT 4	32,327.	28,800.		3,527.
	b Accounting fees				
	c Other professional fees STMT 5	481,175.	457,200.		23,975.
	17 Interest				
	18 Taxes STMT 6	1,121,991.	1,089,873.		32,118.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	4,366,024.	3,669,759.		671,723.
	24 Total operating and administrative expenses. Add lines 13 through 23	6,789,874.	5,245,632.		1,519,700.
	25 Contributions, gifts, grants paid	21,253,773.			21,253,773.
	26 Total expenses and disbursements. Add lines 24 and 25	28,043,647.	5,245,632.		22,773,473.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	6,909,110.			
	b Net investment income (if negative, enter -0-)		29,832,846.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	15,982,349.	13,752,140.	13,752,140.
	3 Accounts receivable ▶ 18,068,885.			
	Less: allowance for doubtful accounts ▶	31,604.	18,068,885.	18,068,885.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	130,198.	130,198.	359,461.
	c Investments - corporate bonds STMT 10	35,000,000.	28,347,474.	28,050,639.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	207,555,540.	240,706,143.	238,526,635.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 12)	199,925,497.	171,725,334.	239,067,179.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	458,625,188.	472,730,174.	537,824,939.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26, and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	458,625,188.	472,730,174.	
	30 **Total net assets or fund balances**	458,625,188.	472,730,174.	
	31 **Total liabilities and net assets/fund balances**	458,625,188.	472,730,174.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	458,625,188.
2	Enter amount from Part I, line 27a	2	6,909,110.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	7,195,876.
4	Add lines 1, 2, and 3	4	472,730,174.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	472,730,174.

Part IV | Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e	94,307,667.		67,261,575.	27,046,092.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				27,046,092.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 27,046,092.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 **3** N/A

Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	23,958,866.	511,112,684.	.046876
2016	26,340,678.	481,254,184.	.054733
2015	27,917,095.	494,979,062.	.056401
2014	29,008,669.	512,280,513.	.056627
2013	29,045,926.	493,108,135.	.058904

2	**Total** of line 1, column (d)	2	.273541
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.054708
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	528,546,174.
5	Multiply line 4 by line 3	5	28,915,704.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	298,328.
7	Add lines 5 and 6	7	29,214,032.
8	Enter qualifying distributions from Part XII, line 4	8	22,773,473.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	596,657.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3	Add lines 1 and 2			3	596,657.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	596,657.
6	Credits/Payments:				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	509,052.		
b	Exempt foreign organizations - tax withheld at source	6b	0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c	320,000.		
d	Backup withholding erroneously withheld	6d	0.		
7	Total credits and payments. Add lines 6a through 6d			7	829,052.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	232,395.
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 232,395. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.JSMF.ORG

14 The books are in care of ▶ J&J MANAGEMENT SERVICES, INC. Telephone no. ▶314-862-1040

Located at ▶1034 S. BRENTWOOD, #1860, ST. LOUIS, MO ZIP+4 ▶63117-1229

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Form **990-PF** (2018)

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☒ Yes ☐ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		**5b**		X
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☒ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	**7b**		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		338,376.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
J&J MANAGEMENT SERVICES, INC - 1034 S. BRENTWOOD BLVD, SUITE 1860, ST LOUIS, MO	FINANCIAL ADMINISTRATIVE SERVI	239,847.
HAMMOND 701 MARKET STREET, ST LOUIS, MO 63101	FINANCIAL SERVICES	113,274.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:				
a	Average monthly fair market value of securities			1a	529,213,707.
b	Average of monthly cash balances			1b	7,362,101.
c	Fair market value of all other assets			1c	19,293.
d	**Total** (add lines 1a, b, and c)			1d	536,595,101.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	536,595,101.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	8,048,927.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	528,546,174.
6	**Minimum investment return.** Enter 5% of line 5			6	26,427,309.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	26,427,309.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	596,657.		
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	596,657.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	25,830,652.
4	Recoveries of amounts treated as qualifying distributions			4	241,102.
5	Add lines 3 and 4			5	26,071,754.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	26,071,754.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	22,773,473.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	22,773,473.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	22,773,473.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2018)

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					26,071,754.
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only				0.	
b Total for prior years: ____, ____, ____			0.		
3 Excess distributions carryover, if any, to 2018:					
a From 2013					
b From 2014	266,609.				
c From 2015	3,226,174.				
d From 2016	2,117,252.				
e From 2017					
f **Total** of lines 3a through e		5,610,035.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 22,773,473.					
a Applied to 2017, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2018 distributable amount					22,773,473.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)		3,298,281.			3,298,281.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,311,754.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2017 Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		2,311,754.			
10 Analysis of line 9:					
a Excess from 2014					
b Excess from 2015	194,502.				
c Excess from 2016	2,117,252.				
d Excess from 2017					
e Excess from 2018					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 14

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FROM SCHEDULES K-1				1,017.
SEE ATTACHED STATEMENT				21,252,756.
Total			▶ 3a	21,253,773.
b *Approved for future payment*				
SEE ATTACHED STATEMENT				94,118,047.
Total			▶ 3b	94,118,047.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,742,835.	
4 Dividends and interest from securities			14	7,085,660.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	531120	<125,721.>	14	<796,109.>	
8 Gain or (loss) from sales of assets other than inventory			18	27,046,092.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		<125,721.>		35,078,478.	0.

13 Total. Add line 12, columns (b), (d), and (e)	13 34,952,757.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Susan M Fitzpatrick 11/6/2019 President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SCOTT A. PINKOWSKI	S P	11/6/19		P01293505
Firm's name ▶ J. & J. MANAGEMENT SERVICES, INC.			Firm's EIN ▶ 43-1725668	
Firm's address ▶ 1034 S. BRENTWOOD SUITE 1860 ST. LOUIS, MO 63117-1229			Phone no. (314) 862-1040	

Part IV Capital Gains and Losses for Tax on [redacted]vestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BANK OF AMERICA ST SALES	P	VARIOUS	VARIOUS
b	BANK OF AMERICA LT SALES	P	VARIOUS	VARIOUS
c	REALTY ASSOCIATED FUND IX CORPORATION	P	VARIOUS	VARIOUS
d	FROM K-1 - ATLAS CAPITAL RESOURCES II	P	VARIOUS	VARIOUS
e	FROM K-1 - AVALON VENTURES X	P	VARIOUS	VARIOUS
f	FROM K-1 - AVALON VENTURES XI	P	VARIOUS	VARIOUS
g	FROM K-1 - BC EUROPEAN CAPITAL IX-4	P	VARIOUS	VARIOUS
h	FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII,	P	VARIOUS	VARIOUS
i	FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND	P	VARIOUS	VARIOUS
j	FROM K-1 - BPEA III	P	VARIOUS	VARIOUS
k	FROM K-1 - CERBERUS PARTNERS SERIES 4	P	VARIOUS	VARIOUS
l	FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNER	P	VARIOUS	VARIOUS
m	FROM K-1 - DFJ GROWTH 2013	P	VARIOUS	VARIOUS
n	FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	P	VARIOUS	VARIOUS
o	FROM K-1 - GREAT HILL EQUITY PARTNERS IV	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,902,611.		3,937,001.	<34,390.>
b	66,895,363.		59,799,443.	7,095,920.
c	712,489.			712,489.
d	2,086.			2,086.
e	3,983.			3,983.
f	6,420.			6,420.
g	120.			120.
h	115.			115.
i			39.	<39.>
j			20,845.	<20,845.>
k			6,478.	<6,478.>
l	172,551.			172,551.
m	7,828.			7,828.
n	1,624.			1,624.
o			12.	<12.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<34,390.>
b				7,095,920.
c				712,489.
d				2,086.
e				3,983.
f				6,420.
g				120.
h				115.
i				<39.>
j				<20,845.>
k				<6,478.>
l				172,551.
m				7,828.
n				1,624.
o				<12.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

823591
04-01-18

Part IV Capital Gains and Losses for Tax on [redacted]vestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - KINETIC VENTURES IX	P	VARIOUS	VARIOUS
b	FROM K-1 - MERIT ENERGY PARTNERS I	P	VARIOUS	VARIOUS
c	FROM K-1 - MERIT ENERGY PARTNERS J	P	VARIOUS	VARIOUS
d	FROM K-1 - NEWBURY EQUITY PARTNERS	P	VARIOUS	VARIOUS
e	FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	P	VARIOUS	VARIOUS
f	FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (D	P	VARIOUS	VARIOUS
g	FROM K-1 - RESOURCE CAPITAL FUND V	P	VARIOUS	VARIOUS
h	FROM K-1 - RHO VENTURES V	P	VARIOUS	VARIOUS
i	FROM K-1 - RIVERVEST VENTURE FUND III	P	VARIOUS	VARIOUS
j	FROM K-1 - SENTINEL CAPITAL PARTNERS V	P	VARIOUS	VARIOUS
k	FROM K-1 - SILVER LAKE PARTNERS IV	P	VARIOUS	VARIOUS
l	FROM K-1 - THE COLCHESTER GLOBAL BOND FUND	P	VARIOUS	VARIOUS
m	FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VAL	P	VARIOUS	VARIOUS
n	FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	P	VARIOUS	VARIOUS
o	FROM K-1 - VECTIS LIFE SCIENCES FUND I	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5.			5.
b			3.	<3.>
c			3.	<3.>
d			2,462.	<2,462.>
e	3,166.			3,166.
f			108.	<108.>
g			512.	<512.>
h	14,519.			14,519.
i	913.			913.
j	1,005.			1,005.
k	2.			2.
l			10,839.	<10,839.>
m			240,591.	<240,591.>
n			3,569.	<3,569.>
o	7,974.			7,974.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				5.
b				<3.>
c				<3.>
d				<2,462.>
e				3,166.
f				<108.>
g				<512.>
h				14,519.
i				913.
j				1,005.
k				2.
l				<10,839.>
m				<240,591.>
n				<3,569.>
o				7,974.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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04-01-18

Part IV Capital Gains and Losses for Tax on [redacted]vestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - AMERICAN SECURITIES PARTNERS VI	P	VARIOUS	VARIOUS
b	FROM K-1 - AMERICAN SECURITIES PARTNERS VII	P	VARIOUS	VARIOUS
c	FROM K-1 - ATLAS CAPITAL RESOURCES II	P	VARIOUS	VARIOUS
d	FROM K-1 - AVALON VENTURES X	P	VARIOUS	VARIOUS
e	FROM K-1 - AVALON VENTURES XI	P	VARIOUS	VARIOUS
f	FROM K-1 - BC EUROPEAN CAPITAL IX-4	P	VARIOUS	VARIOUS
g	FROM K-1 - BERKSHIRE MULTIFAMILY VALUE FUND II	P	VARIOUS	VARIOUS
h	FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII,	P	VARIOUS	VARIOUS
i	FROM K-1 - BPEA III	P	VARIOUS	VARIOUS
j	FROM K-1 - CERBERUS PARTNERS SERIES 3	P	VARIOUS	VARIOUS
k	FROM K-1 - CERBERUS PARTNERS SERIES 4	P	VARIOUS	VARIOUS
l	FROM K-1 - CIP AIV	P	VARIOUS	VARIOUS
m	FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNER	P	VARIOUS	VARIOUS
n	FROM K-1 - DFJ GROWTH 2013	P	VARIOUS	VARIOUS
o	FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	157,604.			157,604.
b	180,320.			180,320.
c	338.			338.
d			23,742.	<23,742.>
e	75,389.			75,389.
f	35,056.			35,056.
g			7,672.	<7,672.>
h	110.			110.
i	99,451.			99,451.
j			3,583.	<3,583.>
k	21,625.			21,625.
l	3,438.			3,438.
m	284,043.			284,043.
n	32,983.			32,983.
o	201,349.			201,349.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				157,604.
b				180,320.
c				338.
d				<23,742.>
e				75,389.
f				35,056.
g				<7,672.>
h				110.
i				99,451.
j				<3,583.>
k				21,625.
l				3,438.
m				284,043.
n				32,983.
o				201,349.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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04-01-18

Part IV Capital Gains and Losses for Tax on [redacted]vestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - GENSTAR CAPITAL PARTNERS VI	P	VARIOUS	VARIOUS
b	FROM K-1 - GENSTAR CAPITAL PARTNERS VII	P	VARIOUS	VARIOUS
c	FROM K-1 - GENSTAR CAPITAL PARTNERS VII PT (PP)	P	VARIOUS	VARIOUS
d	FROM K-1 - GREAT HILL EQUITY PARTNERS IV	P	VARIOUS	VARIOUS
e	FROM K-1 - IDG VENTURES USA III	P	VARIOUS	VARIOUS
f	FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	P	VARIOUS	VARIOUS
g	FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XV	P	VARIOUS	VARIOUS
h	FROM K-1 - KINETIC VENTURES IX	P	VARIOUS	VARIOUS
i	FROM K-1 - LIME ROCK PARTNERS V	P	VARIOUS	VARIOUS
j	FROM K-1 - LIME ROCK RESOURCES II-C	P	VARIOUS	VARIOUS
k	FROM K-1 - LIME ROCK RESOURCES III-C	P	VARIOUS	VARIOUS
l	FROM K-1 - LIME ROCK RESOURCES IV-C	P	VARIOUS	VARIOUS
m	FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	P	VARIOUS	VARIOUS
n	FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	P	VARIOUS	VARIOUS
o	FROM K-1 - NEWBURY EQUITY PARTNERS	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,257,589.			1,257,589.
b	266,032.			266,032.
c	476,963.			476,963.
d	3,525,435.			3,525,435.
e	37,710.			37,710.
f	68,976.			68,976.
g	34,923.			34,923.
h	7.			7.
i	179,538.			179,538.
j			454,136.	<454,136.>
k			4,877.	<4,877.>
l	6.			6.
m	158,064.			158,064.
n	120,546.			120,546.
o			363,502.	<363,502.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,257,589.
b				266,032.
c				476,963.
d				3,525,435.
e				37,710.
f				68,976.
g				34,923.
h				7.
i				179,538.
j				<454,136.>
k				<4,877.>
l				6.
m				158,064.
n				120,546.
o				<363,502.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

823591
04-01-18

Part IV Capital Gains and Losses for Tax on [redacted]vestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	P	VARIOUS	VARIOUS
b	FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAY	P	VARIOUS	VARIOUS
c	FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DEL	P	VARIOUS	VARIOUS
d	FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	P	VARIOUS	VARIOUS
e	FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (C	P	VARIOUS	VARIOUS
f	FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (D	P	VARIOUS	VARIOUS
g	FROM K-1 - OCM OPPORTUNITIES FUND IV	P	VARIOUS	VARIOUS
h	FROM K-1 - OCM OPPORTUNITIES FUND V	P	VARIOUS	VARIOUS
i	FROM K-1 - OCM OPPORTUNITIES FUND VI	P	VARIOUS	VARIOUS
j	FROM K-1 - OCM OPPORTUNITIES FUND VII	P	VARIOUS	VARIOUS
k	FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF (DE	P	VARIOUS	VARIOUS
l	FROM K-1 - OCM OPPORTUNITIES FUND VIIB	P	VARIOUS	VARIOUS
m	FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMA	P	VARIOUS	VARIOUS
n	FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAW	P	VARIOUS	VARIOUS
o	FROM K-1 - PRISA	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			33,570.	<33,570.>
b	2,107.			2,107.
c	637.			637.
d	12,657.			12,657.
e			6,262.	<6,262.>
f			2,102.	<2,102.>
g			134.	<134.>
h	5,894.			5,894.
i	1,656.			1,656.
j			12,572.	<12,572.>
k			2,272.	<2,272.>
l	39,885.			39,885.
m			105,830.	<105,830.>
n			764.	<764.>
o	57,440.			57,440.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<33,570.>
b				2,107.
c				637.
d				12,657.
e				<6,262.>
f				<2,102.>
g				<134.>
h				5,894.
i				1,656.
j				<12,572.>
k				<2,272.>
l				39,885.
m				<105,830.>
n				<764.>
o				57,440.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on [redacted]vestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - PROLOG HEALTY LIVING FUND II	P	VARIOUS	VARIOUS
b	FROM K-1 - QUANTUM ENERGY PARTNERS VI	P	VARIOUS	VARIOUS
c	FROM K-1 - REALTY ASSOCIATES FUND X UTP	P	VARIOUS	VARIOUS
d	FROM K-1 - RESOURCE CAPITAL FUND V	P	VARIOUS	VARIOUS
e	FROM K-1 - RHO VENTURES V	P	VARIOUS	VARIOUS
f	FROM K-1 - RIVERSIDE FUND V	P	VARIOUS	VARIOUS
g	FROM K-1 - SAIF PARTNERS IV	P	VARIOUS	VARIOUS
h	FROM K-1 - SENTINEL CAPITAL PARTNERS V	P	VARIOUS	VARIOUS
i	FROM K-1 - SILVER LAKE PARTNERS IV	P	VARIOUS	VARIOUS
j	FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	P	VARIOUS	VARIOUS
k	FROM K-1 - SILVER LAKE PARTNERS IV CAYMAN	P	VARIOUS	VARIOUS
l	FROM K-1 - THE COLCHESTER GLOBAL BOND FUND	P	VARIOUS	VARIOUS
m	FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VAL	P	VARIOUS	VARIOUS
n	FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	P	VARIOUS	VARIOUS
o	FROM K-1 - THOMPSON STREET CAPITAL PARTNERS III	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			1,060.	<1,060.>
b	12,988.			12,988.
c	136,142.			136,142.
d			102,103.	<102,103.>
e	1,679.			1,679.
f	1,043,790.			1,043,790.
g			57,748.	<57,748.>
h	192,459.			192,459.
i	23,073.			23,073.
j			15,725.	<15,725.>
k	3,210.			3,210.
l			115,945.	<115,945.>
m			1,619,432.	<1,619,432.>
n			112,773.	<112,773.>
o	19,725.			19,725.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<1,060.>
b				12,988.
c				136,142.
d				<102,103.>
e				1,679.
f				1,043,790.
g				<57,748.>
h				192,459.
i				23,073.
j				<15,725.>
k				3,210.
l				<115,945.>
m				<1,619,432.>
n				<112,773.>
o				19,725.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on [redacted]nvestment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1 - THOMPSON STREET CAPITAL PARTNERS IV	P	VARIOUS	VARIOUS
b	FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	P	VARIOUS	VARIOUS
c	FROM K-1 - US REAL ESTATE INCOME & GROWTH DOMESTI	P	VARIOUS	VARIOUS
d	FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	P	VARIOUS	VARIOUS
e	FROM K-1 - VECTIS LIFE SCIENCES FUND I	P	VARIOUS	VARIOUS
f	FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMA	P	VARIOUS	VARIOUS
g	FROM K-1 - WARBURG PINCUS GANYMEDE-II PRIVATE EQU	P	VARIOUS	VARIOUS
h	FROM K-1 - WARBURG PINCUS O & G PRIVATE EQUITY X	P	VARIOUS	VARIOUS
i	FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	P	VARIOUS	VARIOUS
j	FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	P	VARIOUS	VARIOUS
k	FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	P	VARIOUS	VARIOUS
l	FROM K-1 - WLR RECOVERY FUND V	P	VARIOUS	VARIOUS
m	SECTION 1256 GAINS FROM K-1S	P	VARIOUS	VARIOUS
n	COLCHESTER GLOBAL BOND FUND-GAIN ON DISTRIBUTION	P	VARIOUS	VARIOUS
o	US REAL ESTATE INCOME & GROWTH DOMESTIC GAIN ON D	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	343,380.			343,380.
b	295,150.			295,150.
c	10,388.			10,388.
d	1,112,228.			1,112,228.
e	153,622.			153,622.
f			6.	<6.>
g	27,378.			27,378.
h	3,037.			3,037.
i	445,436.			445,436.
j	129,099.			129,099.
k			32,152.	<32,152.>
l	28,293.			28,293.
m	96,657.			96,657.
n	1,359,154.			1,359,154.
o	269,032.			269,032.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				343,380.
b				295,150.
c				10,388.
d				1,112,228.
e				153,622.
f				<6.>
g				27,378.
h				3,037.
i				445,436.
j				129,099.
k				<32,152.>
l				28,293.
m				96,657.
n				1,359,154.
o				269,032.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BERKSHIRE MULTIFAMILY VALUE FUND II LOSS ON DISPO	P	VARIOUS	VARIOUS
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			161,708.	<161,708.>
b	9,531,202.			9,531,202.
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<161,708.>
b				9,531,202.
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	27,046,092.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 - AMERICAN SECURITIES PARTNERS VI	13.	13.	
FROM K-1 - AMERICAN SECURITIES PARTNERS VII	232.	232.	
FROM K-1 - ARTIMAN VENTURES III	16.	16.	
FROM K-1 - ATLAS CAPITAL RESOURCES II	140.	140.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-CAYMAN)	773.	773.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	7,363.	7,363.	
FROM K-1 - AVALON VENTURES X	7,466.	7,466.	
FROM K-1 - AVALON VENTURES XI	1,192.	1,192.	
FROM K-1 - BC EUROPEAN CAPITAL IX-4	18,226.	18,226.	
FROM K-1 - BERKSHIRE MULTIFAMILY VALUE FUND II	488.	488.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ	2,127.	2,127.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	410.	410.	
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II CAYMAN	4.	4.	
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II	1,886.	1,886.	
FROM K-1 - BPEA III	4,250.	4,250.	
FROM K-1 - CALERA V	1.	1.	
FROM K-1 - CALERA V AIV II	20.	20.	
FROM K-1 - CARLYLE POWER PARTNERS II-A	30,244.	30,244.	
FROM K-1 - CERBERUS PARTNERS SERIES 3	284.	284.	
FROM K-1 - CERBERUS PARTNERS SERIES 4	2,154.	2,154.	
FROM K-1 - CIP AIV	12.	12.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	359,507.	359,507.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND X-C	78,132.	78,132.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND XI-C	11,596.	11,596.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND IX-C	127,864.	127,864.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B	126,651.	126,651.	

FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII-B	228,796.	228,796.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND II-C	54,697.	54,697.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND III-C	40,641.	40,641.
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	2,469.	2,469.
FROM K-1 - GENSTAR CAPITAL PARTNERS VII	60.	60.
FROM K-1 - GREAT HILL EQUITY PARTNERS IV	13,032.	13,032.
FROM K-1 - GREAT HILL EQUITY PARTNERS V	602.	602.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	740.	740.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A	2.	2.
FROM K-1 - HG CAPITAL 8A	985.	985.
FROM K-1 - HIG ADVANTAGE BUYOUT FUND	4.	4.
FROM K-1 - IDG VENTURES USA III	875.	875.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	262.	262.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XV	70.	70.
FROM K-1 - KINETIC VENTURES IX	1,666.	1,666.
FROM K-1 - LIME ROCK PARTNERS V	11,532.	11,532.
FROM K-1 - LIME ROCK RESOURCES III-C	52.	52.
FROM K-1 - LIME ROCK RESOURCES IV-C	945.	945.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	12,284.	12,284.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	13,973.	13,973.
FROM K-1 - MERIT ENERGY PARTNERS I	3,007.	3,007.
FROM K-1 - MERIT ENERGY PARTNERS J	4,623.	4,623.
FROM K-1 - NEWBURY EQUITY PARTNERS	70,226.	70,226.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	12,189.	12,189.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	1,600.	1,600.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DELAWARE)	2.	2.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	2,147.	2,147.

FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN)	54.	54.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	79.	79.
FROM K-1 - OCM OPPORTUNITIES FUND V	35.	35.
FROM K-1 - OCM OPPORTUNITIES FUND VI	141.	141.
FROM K-1 - OCM OPPORTUNITIES FUND VII	3,742.	3,742.
FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	332.	332.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	14,815.	14,815.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	252.	252.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE)	57.	57.
FROM K-1 - PROLOG HEALTY LIVING FUND II	710.	710.
FROM K-1 - QUANTUM ENERGY PARTNERS VI	1,138.	1,138.
FROM K-1 - RESOURCE CAPITAL FUND V	3,839.	3,839.
FROM K-1 - RHO VENTURES V	1,425.	1,425.
FROM K-1 - RIVERVEST VENTURE FUND III	5,579.	5,579.
FROM K-1 - RIVERVEST VENTURE FUND IV	365.	365.
FROM K-1 - SAIF PARTNERS IV	351.	351.
FROM K-1 - SAYBROOK CORPORATE OPPORTUNITY FUND II	54,950.	54,950.
FROM K-1 - SENTINEL CAPITAL PARTNERS V	2,513.	2,513.
FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	55.	55.
FROM K-1 - SILVER LAKE PARTNERS IV	14.	14.
FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	1.	1.
FROM K-1 - SILVER LAKE PARTNERS IV CAYMAN	539.	539.
FROM K-1 - SILVER LAKE PARTNERS V	20.	20.
FROM K-1 - SLP IV BASQUIAT FEEDER I	6,134.	6,134.
FROM K-1 - SLP IV CASTLE FEEDER I	5,613.	5,613.
FROM K-1 - SLP IV OLYMPIAN FEEDER I	3.	3.
FROM K-1 - SLP IV RV FEEDER I	8.	8.
FROM K-1 - SLP IV RV FOI FEEDER I	456.	456.

FROM K-1 - SLP IV WEST FEEDER I	5,868.	5,868.	
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A	17.	17.	
FROM K-1 - THE COLCHESTER GLOBAL BOND FUND	314,819.	314,819.	
FROM K-1 - THE COLUMN GROUP III-A	66.	66.	
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	482.	482.	
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	886.	886.	
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS III	6.	6.	
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS IV	279.	279.	
FROM K-1 - TRUSTBRIDGE PARTNERS V	1,587.	1,587.	
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	1,928.	1,928.	
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	22,092.	22,092.	
FROM K-1 - VECTIS LIFE SCIENCES FUND I	1,919.	1,919.	
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	62.	62.	
FROM K-1 - WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X	90.	90.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	22,394.	22,394.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII (B)	2,614.	2,614.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII MAIN-2	44.	44.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	831.	831.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	1,188.	1,188.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)	130.	130.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	186.	186.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (FT-2)	1,841.	1,841.	
FROM K-1 - WLR RECOVERY FUND V	1,242.	1,242.	
FROM K-1 - WLR RECOVERY FUND V DSS AIV	512.	512.	
TOTAL TO PART I, LINE 3	1,742,835.	1,742,835.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
AEW CORE PROPERTY TRUST (U.S.) INC.	86,872.	31,866.	55,006.	55,006.	
BANK OF AMERICA	4,054,462.	0.	4,054,462.	4,054,462.	
BANK OF AMERICA-CAP GAIN DIST	9,499,336.	9,499,336.	0.	0.	
FROM K-1 - AMERICAN SECURITIES	72,788.	0.	72,788.	72,788.	
FROM K-1 - AMERICAN SECURITIES	17,294.	0.	17,294.	17,294.	
FROM K-1 - ATLAS CAPITAL RESOURCES II (A-1 CAYMAN)	173,249.	0.	173,249.	173,249.	
FROM K-1 - BC EUROPEAN CAPITAL IX-4	15,358.	0.	15,358.	15,358.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS	328.	0.	328.	328.	
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND	5,379.	0.	5,379.	5,379.	
FROM K-1 - BPEA III	696.	0.	696.	696.	
FROM K-1 - CALERA V	27,800.	0.	27,800.	27,800.	
FROM K-1 - CERBERUS PARTNERS SERIES 3	19.	0.	19.	19.	
FROM K-1 - CERBERUS PARTNERS SERIES 4	1,538.	0.	1,538.	1,538.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL	56,976.	0.	56,976.	56,976.	
FROM K-1 - ENCAP ENERGY CAPITAL FUND IX-C	13,608.	0.	13,608.	13,608.	
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND II-C	142,925.	0.	142,925.	142,925.	
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND III-C	156,464.	0.	156,464.	156,464.	
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	741.	0.	741.	741.	

FROM K-1 - GENSTAR CAPITAL PARTNERS VII	10,903.	0.	10,903.	10,903.
FROM K-1 - GREAT HILL EQUITY PARTNERS IV	24.	0.	24.	24.
FROM K-1 - GREAT HILL EQUITY PARTNERS V	5,375.	0.	5,375.	5,375.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	76.	0.	76.	76.
FROM K-1 - KINETIC VENTURES IX	11,300.	0.	11,300.	11,300.
FROM K-1 - LIME ROCK PARTNERS V	180,038.	0.	180,038.	180,038.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	87,264.	0.	87,264.	87,264.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	12,544.	0.	12,544.	12,544.
FROM K-1 - MERIT ENERGY PARTNERS I	819.	0.	819.	819.
FROM K-1 - MERIT ENERGY PARTNERS J	1,051.	0.	1,051.	1,051.
FROM K-1 - NEWBURY EQUITY PARTNERS	69,161.	0.	69,161.	69,161.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	32,707.	0.	32,707.	32,707.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	8,946.	0.	8,946.	8,946.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DELAWARE)	246.	0.	246.	246.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	5,284.	0.	5,284.	5,284.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN)	246.	0.	246.	246.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF	6.	0.	6.	6.
FROM K-1 - OCM OPPORTUNITIES FUND V	989.	0.	989.	989.
FROM K-1 - OCM OPPORTUNITIES FUND VII	6.	0.	6.	6.
FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF	891.	0.	891.	891.

FROM K-1 - OCM OPPORTUNITIES FUND VIIB	124.	0.	124.	124.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	2,307.	0.	2,307.	2,307.
FROM K-1 - PRISA	153,729.	0.	153,729.	153,729.
FROM K-1 - PROLOG HEALTY LIVING FUND II	791.	0.	791.	791.
FROM K-1 - REALTY ASSOCIATES FUND X UTP	7,298.	0.	7,298.	7,298.
FROM K-1 - SAIF PARTNERS IV	12,484.	0.	12,484.	12,484.
FROM K-1 - SAYBROOK CORPORATE OPPORTUNITY FUND	12,856.	0.	12,856.	12,856.
FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	9,321.	0.	9,321.	9,321.
FROM K-1 - SILVER LAKE PARTNERS IV	387.	0.	387.	387.
FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	1,036.	0.	1,036.	1,036.
FROM K-1 - SLP IV RV FEEDER I	468.	0.	468.	468.
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL	654,390.	0.	654,390.	654,390.
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	57.	0.	57.	57.
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS	11,587.	0.	11,587.	11,587.
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS	40.	0.	40.	40.
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	150,776.	0.	150,776.	150,776.
FROM K-1 - US REAL ESTATE INCOME & GROWTH DOMESTIC	7,406.	0.	7,406.	7,406.
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	90,083.	0.	90,083.	90,083.
FROM K-1 - VECTIS LIFE SCIENCES FUND I	351.	0.	351.	351.
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	1,587.	0.	1,587.	1,587.

FROM K-1 - WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X	10,248.	0.	10,248.	10,248.	
FROM K-1 - WARBURG PINCUS O & G PRIVATE EQUITY X	10,480.	0.	10,480.	10,480.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	501.	0.	501.	501.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII	10.	0.	10.	10.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII	3,108.	0.	3,108.	3,108.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	94,603.	0.	94,603.	94,603.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	26,399.	0.	26,399.	26,399.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	67.	0.	67.	67.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	3,879.	0.	3,879.	3,879.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	38.	0.	38.	38.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	12.	0.	12.	12.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (NDF)	14.	0.	14.	14.	
FROM K-1 - WLR RECOVERY FUND V DSS AIV	10,222.	0.	10,222.	10,222.	
GB NEWCO, INC	1,202.	0.	1,202.	1,202.	
GMO QUALITY FUND III	585,091.	0.	585,091.	585,091.	
INTERNAL REVENUE SERVICE	32.	0.	32.	32.	
JP MORGAN CHASE	49.	0.	49.	49.	
JP MORGAN REIT DIVIDEND	120.	0.	120.	120.	
TO PART I, LINE 4	16,616,862.	9,531,202.	7,085,660.	7,085,660.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1 - ATLAS CAPITAL RESOURCES II	<2.>	<2.>	
FROM K-1 - BC EUROPEAN CAPITAL IX-4	<991.>	<991.>	
FROM K-1 - BENSELL US FEEDER III,L.P. (BENSON ELLIOT)	989,564.	989,564.	
FROM K-1 - BERKSHIRE MULTIFAMILY VALUE FUND II	10,504.	10,504.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ - UBTI	<4,183.>	0.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ	56.	56.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	3,294.	3,294.	
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1 - UBTI	8,772.	0.	
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II CAYMAN	9,239.	9,239.	
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II-Q	180.	180.	
FROM K-1 - BPEA III	1,312.	1,312.	
FROM K-1 - BPEA III - UBTI	41,982.	0.	
FROM K-1 - CALERA V AIV II - UBTI	<17,545.>	0.	
FROM K-1 - CALERA V AIV II	792.	792.	
FROM K-1 - CERBERUS PARTNERS SERIES 3	5,053.	5,053.	
FROM K-1 - CERBERUS PARTNERS SERIES 4	20,251.	20,251.	
FROM K-1 - CIP AIV	2,953.	2,953.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS - UBTI	<907.>	0.	
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	156,867.	156,867.	
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	17,043.	17,043.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL - UBTI	<16,396.>	0.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL	16,396.	16,396.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL AIV - UBTI	<3,109.>	0.	
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL AIV	3,109.	3,109.	
FROM K-1 - GREAT HILL EQUITY PARTNERS IV	3,226.	3,226.	
FROM K-1 - GREAT HILL EQUITY PARTNERS IV-A	10,701.	10,701.	

FROM K-1 - GREAT HILL EQUITY PARTNERS V-A	9,629.	9,629.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A	562.	562.
FROM K-1 - HG CAPITAL 8A	<792.>	<792.>
FROM K-1 - HIG ADVANTAGE BUYOUT FUND	<23.>	<23.>
FROM K-1 - HIG ADVANTAGE BUYOUT FUND - UBTI	23.	0.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	2,530.	2,530.
FROM K-1 - KINETIC VENTURES IX - UBTI	<48,628.>	0.
FROM K-1 - KINETIC VENTURES IX	<1.>	<1.>
FROM K-1 - LIME ROCK PARTNERS V	128.	128.
FROM K-1 - LIME ROCK RESOURCES III-C	7,389.	7,389.
FROM K-1 - LIME ROCK RESOURCES IV-C	400.	400.
FROM K-1 - MERIT ENERGY PARTNERS I	103,860.	103,860.
FROM K-1 - MERIT ENERGY PARTNERS J	218,558.	218,558.
FROM K-1 - NEWBURY EQUITY PARTNERS	4,270.	4,270.
FROM K-1 - NEWBURY EQUITY PARTNERS - UBTI	50,007.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX - UBTI	<1,509.>	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	28,116.	28,116.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII - UBTI	<807.>	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	<652.>	<652.>
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE) - UBTI	<2,194.>	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	3,821.	3,821.
FROM K-1 - OCM OPPORTUNITIES FUND IV	98.	98.
FROM K-1 - OCM OPPORTUNITIES FUND V	82.	82.
FROM K-1 - OCM OPPORTUNITIES FUND VI	201.	201.
FROM K-1 - OCM OPPORTUNITIES FUND VI - UBTI	6,458.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VII	151.	151.
FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	6.	6.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	<165.>	<165.>
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE)	<9.>	<9.>
FROM K-1 - QUANTUM ENERGY PARTNERS VI	99,415.	99,415.
FROM K-1 - RESOURCE CAPITAL FUND V	<6,861.>	<6,861.>
FROM K-1 - RESOURCE CAPITAL FUND V	0.	0.

FROM K-1 - RHO VENTURES V	6,910.	6,910.	
FROM K-1 - RIVERSIDE FUND V - UBTI	<102,198.>	0.	
FROM K-1 - RIVERSIDE FUND V	<8.>	<8.>	
FROM K-1 - SAIF PARTNERS IV	<836.>	<836.>	
FROM K-1 - SENTINEL CAPITAL PARTNERS V - UBTI	<29,403.>	0.	
FROM K-1 - SENTINEL CAPITAL PARTNERS V	9,836.	9,836.	
FROM K-1 - SLP IV RV FEEDER I	365.	365.	
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A - UBTI	<6,833.>	0.	
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A	11,380.	11,380.	
FROM K-1 - THE COLCHESTER GLOBAL BOND FUND	<1,397,351.>	<1,397,351.>	
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	<1,255,691.>	<1,255,691.>	
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV - UBTI	<76.>	0.	
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	<9.>	<9.>	
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II - UBTI	<61,887.>	0.	
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	33,220.	33,220.	
FROM K-1 - VECTIS LIFE SCIENCES FUND I	379.	379.	
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	19.	19.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) X-B	2.	2.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	20,854.	20,854.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	6,905.	6,905.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)	1,853.	1,853.	
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	17,089.	17,089.	
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V - UBTI	<39.>	0.	
FROM K-1 - GREAT HILL EQUITY PARTNERS VI - UBTI	<28,631.>	0.	
FROM K-1 - QUANTUM ENERGY PARTNERS VI - UBTI	91,382.	0.	
BANK OF AMERICA	83.	83.	
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	28,631.	28,631.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<921,830.>	<796,109.>	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & LEGAL	32,327.	28,800.		3,527.
TO FM 990-PF, PG 1, LN 16A	32,327.	28,800.		3,527.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FINANCIAL SERVICE FEE - J&J	239,847.	215,872.		23,975.
MERCER FEES	113,274.	113,274.		0.
AGENCY FEES- BANK OF AMERICA	97,613.	97,613.		0.
BANK OF AMERICA INVESTMENT EXPENSES	0.	0.		0.
INVESTMENT MANAGER FEES	30,441.	30,441.		0.
TO FORM 990-PF, PG 1, LN 16C	481,175.	457,200.		23,975.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EMPLOYER FICA & MEDICARE	32,118.	0.		32,118.
FOREIGN TAXES PAID - BANK OF AMERICA 595	189,184.	189,184.		0.
FOREIGN TAXES PAID - FROM K-1 - ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	851.	851.		0.
FOREIGN TAXES PAID - FROM K-1 - BC EUROPEAN CAPITAL IX-4	113.	113.		0.
FOREIGN TAXES PAID - FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	19.	19.		0.
FOREIGN TAXES PAID - FROM K-1 - BPEA III	43.	43.		0.

FOREIGN TAXES PAID - FROM K-1 - CALERA V AIV II	661.	661.		0.
FOREIGN TAXES PAID - FROM K-1 - CERBERUS PARTNERS SERIES 4	7.	7.		0.
FOREIGN TAXES PAID - FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	3,948.	3,948.		0.
FOREIGN TAXES PAID - FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	54.	54.		0.
FOREIGN TAXES PAID - FROM K-1 - GREAT HILL EQUITY PARTNERS IV	804,122.	804,122.		0.
FOREIGN TAXES PAID - FROM K-1 - KINETIC VENTURES IX	133.	133.		0.
FOREIGN TAXES PAID - FROM K-1 - LIME ROCK PARTNERS V	59.	59.		0.
FOREIGN TAXES PAID - FROM K-1 - NEWBURY EQUITY PARTNERS	824.	824.		0.
FOREIGN TAXES PAID - FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	210.	210.		0.
FOREIGN TAXES PAID - FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	2.	2.		0.
FOREIGN TAXES PAID - FROM K-1 - OCM OPPORTUNITIES FUND V	150.	150.		0.
FOREIGN TAXES PAID - FROM K-1 - SAIF PARTNERS IV	2,662.	2,662.		0.
FOREIGN TAXES PAID - FROM K-1 - SENTINEL CAPITAL PARTNERS V	88.	88.		0.
FOREIGN TAXES PAID - FROM K-1 - SILVER LAKE PARTNERS IV CAYMAN	694.	694.		0.
FOREIGN TAXES PAID - FROM K-1 - THE COLCHESTER GLOBAL BOND FUND	2,030.	2,030.		0.
FOREIGN TAXES PAID - FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQU	62,483.	62,483.		0.
FOREIGN TAXES PAID - FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	19,930.	19,930.		0.
FOREIGN TAXES PAID - FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	1,566.	1,566.		0.
FOREIGN TAXES PAID - FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	40.	40.		0.
TO FORM 990-PF, PG 1, LN 18	1,121,991.	1,089,873.		32,118.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMPUTER EQUIPMENT	14,614.	0.		14,614.
EQUIPMENT MAINTENANCE & RENTAL	2,938.	0.		2,938.
INSURANCE	6,431.	0.		6,431.
MEDICAL INSURANCE	88,071.	0.		88,071.
MISCELLANEOUS EXPENSE	242.	0.		242.
OFFICE AND COMPUTER SUPPLIES	1,689.	0.		1,689.
POSTAGE	491.	0.		491.
PROGRAM ADMINISTRATION	387,345.	0.		387,345.
PROGRAM HORORARIUMS	165,000.	0.		165,000.
SUBS COMP	3,687.	0.		3,687.
SUBSCRIPTIONS, BOOKS, PROF. MEMBERSHIPS	24,417.	0.		0.
TELEPHONE EXPENSE	1,215.	0.		1,215.
FROM K-1 - AMERICAN SECURITIES PARTNERS VI	3,285.	3,285.		0.
FROM K-1 - AMERICAN SECURITIES PARTNERS VII	45,918.	45,918.		0.
FROM K-1 - ARTIMAN VENTURES III	16,025.	16,025.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II	18,205.	18,205.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A-1 CAYMAN)	194.	194.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A2-CAYMAN)	59.	59.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A3-F DE)	8.	8.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A4-F2)	5.	5.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-CAYMAN)	12.	12.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A5-F)	10.	10.		0.
FROM K-1 - ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	90.	90.		0.
FROM K-1 - AVALON VENTURES X	32,583.	32,583.		0.
FROM K-1 - AVALON VENTURES XI	41,208.	41,208.		0.
FROM K-1 - BC EUROPEAN CAPITAL IX CYXTERA AIV	19.	19.		0.
FROM K-1 - BC EUROPEAN CAPITAL IX-4	34,240.	34,240.		0.
FROM K-1 - BERKSHIRE MULTIFAMILY VALUE FUND II	4,435.	4,435.		0.
FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII TE 1-NQ	302.	302.		0.

FROM K-1 - BLACKSTONE REAL ESTATE PARTNERS VIII, TE 1	684.	684.	0.
FROM K-1 - BLACKSTONE TACTICAL OPPORTUNITIES FUND II CAYMAN	3,756.	3,756.	0.
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II	6,111.	6,111.	0.
FROM K-1 - BLCKSTONE TACTICAL OPPORTUNITIES FUND II-Q	302.	302.	0.
FROM K-1 - BPEA III	54,885.	54,885.	0.
FROM K-1 - CALERA V	41,164.	41,164.	0.
FROM K-1 - CALERA V CAYMEN AIV I	11.	11.	0.
FROM K-1 - CERBERUS PARTNERS SERIES 3	872.	872.	0.
FROM K-1 - CERBERUS PARTNERS SERIES 4	3,674.	3,674.	0.
FROM K-1 - CIP AIV	373.	373.	0.
FROM K-1 - CORNERSTONE REAL ESTATE FUND VIII	42,867.	42,867.	0.
FROM K-1 - DAVIDSON KEMPNER INSTITUTIONAL PARTNERS	222,248.	222,248.	0.
FROM K-1 - DFJ GROWTH 2013	37,976.	37,976.	0.
FROM K-1 - DFJ GROWTH III	39,174.	39,174.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND X-C	80.	80.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND XI-C	149.	149.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND IX-C	1,641.	1,641.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B	376.	376.	0.
FROM K-1 - ENCAP ENERGY CAPITAL FUND VIII-B	657.	657.	0.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND II-C	780.	780.	0.
FROM K-1 - ENCAP FLATROCK MIDSTREAM FUND III-C	120.	120.	0.
FROM K-1 - EUROPEAN SECONDARY DEVELOPMENT FUND V	24,709.	24,709.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VI	31,070.	31,070.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VII	31,364.	31,364.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VII AIV (BR)	5.	5.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL	56,432.	56,432.	0.
FROM K-1 - GENSTAR CAPITAL PARTNERS VIII BL AIV	3,348.	3,348.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS IV	39,397.	39,397.	0.

FROM K-1 - GREAT HILL EQUITY PARTNERS IV-A	239.	239.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS V	24,204.	24,204.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS V-A	251.	251.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI	30,211.	30,211.	0.
FROM K-1 - GREAT HILL EQUITY PARTNERS VI-A	564.	564.	0.
FROM K-1 - HG CAPITAL 8A	72,537.	72,537.	0.
FROM K-1 - HIG ADVANTAGE BUYOUT FUND	109,877.	109,877.	0.
FROM K-1 - IDG VENTURES USA III	40,502.	40,502.	0.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XIII	19,359.	19,359.	0.
FROM K-1 - INSTITUTIONAL VENTURE PARTNERS XV	36,902.	36,902.	0.
FROM K-1 - KINETIC VENTURES IX	27,415.	27,415.	0.
FROM K-1 - LIME ROCK PARTNERS V	10,201.	10,201.	0.
FROM K-1 - LIME ROCK RESOURCES II-C	182,177.	182,177.	0.
FROM K-1 - LIME ROCK RESOURCES III-C	147,426.	147,426.	0.
FROM K-1 - LIME ROCK RESOURCES IV-C	26,942.	26,942.	0.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS III-A	62,738.	62,738.	0.
FROM K-1 - LOVELL MINNICK EQUITY PARTNERS IV-A	66,982.	66,982.	0.
FROM K-1 - MERIT ENERGY PARTNERS I	33,066.	33,066.	0.
FROM K-1 - MERIT ENERGY PARTNERS J	53,283.	53,283.	0.
FROM K-1 - NEWBURY EQUITY PARTNERS	135,673.	135,548.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX	31,475.	31,475.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (CAYMAN)	5,050.	5,050.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND IX AIF (DELAWARE)	2.	2.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII	3,440.	3,440.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (CAYMAN)	166.	166.	0.
FROM K-1 - OAKTREE OPPORTUNITIES FUND VIII AIF (DELAWARE)	947.	947.	0.

FROM K-1 - OCM OPPORTUNITIES FUND IV	49.	49.	0.
FROM K-1 - OCM OPPORTUNITIES FUND V	407.	407.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VI	10,174.	10,174.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VII	2,288.	2,288.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VII, LP AIF (DELAWARE)	237.	237.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB	12,958.	12,958.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (CAYMAN)	1,682.	1,682.	0.
FROM K-1 - OCM OPPORTUNITIES FUND VIIB AIF (DELAWARE)	181.	181.	0.
FROM K-1 - PRISA	42,856.	42,856.	0.
FROM K-1 - PROLOG HEALTY LIVING FUND II	14,398.	14,398.	0.
FROM K-1 - QUANTUM ENERGY PARTNERS VI	406,417.	406,417.	0.
FROM K-1 - REALTY ASSOCIATES FUND X UTP	42.	42.	0.
FROM K-1 - RESOURCE CAPITAL FUND V	13,436.	13,436.	0.
FROM K-1 - RHO VENTURES V	1,731.	1,731.	0.
FROM K-1 - RIVERVEST VENTURE FUND III	39,564.	39,564.	0.
FROM K-1 - RIVERVEST VENTURE FUND IV	163,361.	163,361.	0.
FROM K-1 - SAIF PARTNERS IV	24,892.	24,892.	0.
FROM K-1 - SAYBROOK CORPORATE OPPORTUNITY FUND II	24,561.	24,561.	0.
FROM K-1 - SENTINEL CAPITAL PARTNERS V	14,308.	14,308.	0.
FROM K-1 - SHOREVIEW PARALLEL PARTNERS III	15,748.	15,748.	0.
FROM K-1 - SILVER LAKE PARTNERS IV	19,666.	19,666.	0.
FROM K-1 - SILVER LAKE PARTNERS IV AIV II CAYMAN	55.	55.	0.
FROM K-1 - SILVER LAKE PARTNERS IV CAYMAN	107.	107.	0.
FROM K-1 - SILVER LAKE PARTNERS V	26,581.	26,581.	0.
FROM K-1 - SILVER LAKE PARTNERS V CAYMAN	88.	88.	0.
FROM K-1 - SLP IV BASQUIAT FEEDER I	24.	24.	0.
FROM K-1 - SLP IV CASTLE FEEDER I	18.	18.	0.
FROM K-1 - SLP IV OLYMPIAN FEEDER I	61.	61.	0.

FROM K-1 - SLP IV RV FEEDER I	199.	199.	0.
FROM K-1 - SLP IV RV FOI FEEDER I	19.	19.	0.
FROM K-1 - SLP IV WEST FEEDER I	8.	8.	0.
FROM K-1 - SLP V DUFFLE FEEDER I	878.	878.	0.
FROM K-1 - STIRLING SQUARE CAP PART 3RD FUND A	28,106.	28,106.	0.
FROM K-1 - THE COLCHESTER GLOBAL BOND FUND	64,821.	64,821.	0.
FROM K-1 - THE COLUMN GROUP III-A	27,478.	27,478.	0.
FROM K-1 - THE SILCHESTER INTL INVESTORS INTL VALUE EQUITY TRUST	182,735.	182,735.	0.
FROM K-1 - THOMAS WEISEL GLOBAL PARTNERS IV	26,555.	26,555.	0.
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS III	26,453.	26,453.	0.
FROM K-1 - THOMPSON STREET CAPITAL PARTNERS IV	47,719.	47,719.	0.
FROM K-1 - TRUSTBRIDGE PARTNERS V	32,836.	32,836.	0.
FROM K-1 - UBS (US) TRUMBULL PROPERTY FUND	78,424.	78,424.	0.
FROM K-1 - US REAL ESTATE INCOME & GROWTH DOMESTIC	8,010.	8,010.	0.
FROM K-1 - VECTIS HEALTHCARE & LIFE SCIENCES II	215,590.	215,590.	0.
FROM K-1 - VECTIS LIFE SCIENCES FUND I	46,165.	46,165.	0.
FROM K-1 - WARBURG PINCUS (CALLISTO) P/E XI CAYMAN	3,054.	3,054.	0.
FROM K-1 - WARBURG PINCUS GANYMEDE-II PRIVATE EQUITY X	1,809.	1,809.	0.
FROM K-1 - WARBURG PINCUS O & G PRIVATE EQUITY X	3,056.	3,056.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) X-B	1.	1.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XI-B	85.	85.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII (B)	492.	492.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY (E&P) XII MAIN-2	13.	13.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY X	18,403.	18,403.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI	10,225.	10,225.	0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XI (INTERNATIONAL)	492.	492.	0.

FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII	30,132.	30,132.		0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (FT-2)	14.	14.		0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (INTERNATIONAL)	152.	152.		0.
FROM K-1 - WARBURG PINCUS PRIVATE EQUITY XII (NDF)	448.	448.		0.
FROM K-1 - WLR RECOVERY FUND V	16,511.	16,511.		0.
FROM K-1 - WLR RECOVERY FUND V DSS AIV	402.	402.		0.
FROM K-1 - CALERA V AIV II	257.	257.		0.
TO FORM 990-PF, PG 1, LN 23	4,366,024.	3,669,759.		671,723.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
ADJUSTMENT FROM FAIR MARKET VALUE TO BOOK VALUE AND TIMING DIFFERENCES	6,954,774.
RECOVERY OF PRIOR YEAR AMOUNTS TREATED AS QUALIFING DISTRIBUTIONS	241,102.
TOTAL TO FORM 990-PF, PART III, LINE 3	7,195,876.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DIMENSIONAL FUND ADVISORS SMALL CO. FUND	130,198.	359,461.
TOTAL TO FORM 990-PF, PART II, LINE 10B	130,198.	359,461.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF AMERICA SHORT-TERM BOND FUND	28,347,474.	28,050,639.
TOTAL TO FORM 990-PF, PART II, LINE 10C	28,347,474.	28,050,639.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
1798 UK SMALL CAP BEST IDEAS FUN LTD	COST	11,000,000.	11,382,543.
ABERDEEN EMERGING MARKETS INSTL FUND	COST	13,000,000.	12,182,446.
AEW CORE PROPERTY TRUST	COST	3,000,000.	3,564,782.
CARE COM INC.	COST	21,024.	20,372.
CEVIAN CAPITAL II, LTD	COST	11,000,000.	9,935,640.
CHARTERHOUSE CAPITAL PARTNERS X	COST	839,083.	1,073,204.
CIDER MILL OFFSHORE FUND LTD	COST	6,000,000.	5,115,303.
CRITERION VISTA OFFSHORE LTD	COST	240,000.	235,654.
DODGE & COX INTL STOCK FUND	COST	17,000,000.	15,990,571.
GMO US QUALITY EQUITY FUND III	COST	46,068,597.	42,733,821.
HARBOR SPRING CAPITAL PARTNERS LP	COST	6,500,000.	6,685,874.
ISHARES TR S&P GSSI NAT RES FD	COST	6,083,585.	4,104,180.
JENSEN FUND I	COST	12,285,077.	20,362,680.
MEVERICK LONG, LTD	COST	12,000,000.	10,605,537.
MFS INTL VALUE FUND	COST	13,000,000.	14,373,214.
ON DECK CAPITAL INC	COST	42,973.	28,273.
PHARO GAIA FUND, LTD	COST	3,500,000.	3,620,072.
SILCHESTER GLOBAL BOND FUND	COST	22,112,262.	21,495,629.
VALINOR CAPITAL PARTNERS OFFSHORE, LTD	COST	6,000,000.	5,016,409.
VAN ECK GLOBAL HARD ASSETS FUND	COST	12,473,572.	6,183,082.
VANGUARD EMERGING MARKETS STOCK INDEX FUND	COST	22,000,000.	18,456,680.
VANGUARD INSTL INDEX FUND	COST	16,539,970.	25,360,669.
TOTAL TO FORM 990-PF, PART II, LINE 13		240,706,143.	238,526,635.

FORM 990-PF OTHER ASSETS STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
AMERICAN SECURITIES CAPITAL PARTNERS VI LP	903,815.	546,176.	1,090,324.
AMERICAN SECURITIES CAPITAL PARTNERS VII LP	778,497.	1,021,171.	1,364,824.
ANCHORAGE CAPITAL PARTNERS OFFSHORE LTD.	2,000,000.	2,000,000.	4,524,937.
ANCHORAGE SHORT CREDIT OFFSHORE FUND LTD	5,011,200.	5,011,020.	2,132,938.
ARTIMAN VENTURES III, LP	625,737.	634,728.	579,228.
ATLAS CAPITAL RESOURCES II LP	693,743.	543,503.	891,599.
AURELIUS CAPITAL INTERNATIONAL, LTD	6,000,000.	6,000,000.	8,923,767.
AVALON VENTURES X, LP	1,246,350.	1,545,351.	1,540,066.
AVALON VENTURES XI, LP	431,789.	555,337.	645,377.
BC EUROPEAN CAPITAL IX	1,181,933.	1,225,515.	1,752,006.
BENSELL US FEEDER III, LP	3,869,179.	4,993,055.	2,337,528.
BERKSHIRE MULTIFAMILY VALUE FUND II, LP	400,587.	0.	0.
BLACKSTONE REAL ESTATE PARTNERS VIII L.P.	569,857.	686,068.	754,684.
BLACKSTONE TACTICAL OPPORTUNITIES FUND II L.P.	478,887.	469,080.	1,301,888.
BROOKE PRIVATE EQUITY PARTNERS III LP	1,802,120.	1,944,318.	2,774,829.
CALERA CAPITAL PARTNERS V LP	809,353.	959,111.	1,400,596.
CARLYLE POWER PARTNERS II-A LP	885,382.	852,020.	812,034.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 3	84,161.	37,249.	36,615.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 4	689,727.	418,422.	675,786.
COLCHESTER GLOBAL BOND FUND	16,806,260.	0.	0.
CORNERSTONE REAL ESTATE FUND VIII	262,685.	48,543.	2,154.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS LP	9,867,188.	6,702,667.	6,770,374.
DFJ GROWTH 2013 LP	1,258,798.	1,251,133.	2,586,905.
DFJ GROWTH III	290,417.	669,493.	738,346.
DOVER STREET VI	1,819,033.	1,866,850.	865,551.
ELLIOT INTERNATIONAL	12,000,000.	6,000,000.	27,391,904.
ENCAP ENERGY CAPITAL FUND IX-C, LP	1,793,907.	1,725,718.	1,522,125.
ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B LP	1,786,976.	1,865,291.	1,447,503.
ENCAP ENERGY CAPITAL FUND VIII-B, LP	3,526,037.	3,459,728.	1,638,153.
ENCAP ENERGY CAPITAL FUND X-C, L.P.	884,448.	1,143,519.	1,148,168.
ENCAP ENERGY CAPITAL FUND XI-C, LP	73,079.	195,977.	204,795.

ENCAP FLATROCK MIDSTREAM FUND II-C LP	882,826.	785,683.	722,962.
ENCAP FLATROCK MIDSTREAM FUND III-C LP	475,521.	753,495.	637,401.
EUROPEAN SECONDARY DEVELOPMENT FUND V LP	444,371.	607,431.	710,741.
FORTRESS MACRO OFFSHORE FUND LP	37,000.	28,356.	21,607.
GENSTAR CAPITAL PARTNERS VI, LP	1,601,367.	1,181,392.	2,188,589.
GENSTAR CAPITAL PARTNERS VII, LP	1,846,072.	1,824,887.	2,451,680.
GENSTAR CAPITAL PARTNERS VIII LP	180,293.	1,069,506.	1,439,260.
GREAT HILL EQUITY PARTNERS IV, LP	266,482.	0.	2,195,267.
GREAT HILL EQUITY PARTNERS V, LP	1,461,331.	1,564,482.	2,548,898.
GREAT HILL EQUITY PARTNERS VI, LP	122,955.	460,498.	604,140.
HG CAPITAL 8A	<16,479.>	<88,823.>	80,905.
HIG ADVANTAGE	4,863.	314,216.	357,356.
HOPLITE OFFSHORE FUND, LTD	150,000.	0.	0.
IDG VENTURES USA III LP	969,666.	1,239,749.	1,857,652.
INSTITUTIONAL VENTURE PARTNERS XV, L.P.	307,875.	1,083,686.	1,515,966.
INSTITUTIONAL VENTURE PARTNERS, XIII, LP	1,001,287.	172,741.	651,097.
JP MORGAN US RE INC & GR FUND	318,762.	0.	0.
KINETIC VENTURES IX, LP	377,381.	464,998.	702,654.
KING STREET CAPITAL LTD CL A SERIES 1	5,500,000.	3,500,000.	8,287,019.
LIME ROCK PARTNERS V, LP	1,038,506.	859,027.	628,238.
LIME ROCK RESOURCES II-C, LP	1,507,382.	873,617.	1,230,319.
LIME ROCK RESOURCES III-C, LP	1,468,515.	1,334,512.	2,181,002.
LIME ROCK RESOURCES IV-C, LP	559,069.	1,082,031.	1,380,752.
LOVELL MINNICK EQUITY PARTNERS III-A, LP	1,766,951.	1,624,164.	3,401,781.
LOVELL MINNICK EQUITY PARTNERS IV-A, LP	1,037,904.	1,750,774.	2,266,805.
MERIT ENERGY PARTNERS I	1,870,099.	962,147.	1,365,132.
MERIT ENERGY PARTNERS J	0.	1,428,495.	1,790,603.
NEWBURY SECONDARY FUND LP	1,315,605.	0.	3,086,757.
OAKTREE OPPORTUNITIES FUND IX	2,230,359.	2,283,489.	2,018,397.
OAKTREE OPPORTUNITIES FUND VIII, L.P.	475,524.	208,329.	140,779.
OCM OPPORTUNITIES FUND IV	762.	<73.>	0.
OCM OPPORTUNITIES FUND V	67,129.	59,542.	36,571.
OCM OPPORTUNITIES FUND VI	<18,145.>	<19,863.>	1,267.
OCM OPPORTUNITIES FUND VII, LP	327,111.	177,910.	146,788.
OCM OPPORTUNITIES FUND VIIB, LP	1,755,097.	1,110,070.	362,757.
OZ OVERSEAS FUND II LTD	6,000,000.	451,981.	815,231.
PRISA LP	5,388,681.	5,247,053.	8,991,556.
PROLOG CAPITAL IV	284,864.	244,608.	522,724.
QUANTUM ENERGY PARTNERS VI, LP	609,060.	443,391.	1,310,609.
REALTY ASSOCIATES FUND IX	4,450,878.	4,450,878.	5,627.
REALTY ASSOCIATES FUND X UTP, LP	988,735.	761,189.	1,143,169.
RESOURCE CAPITAL FUND V LP	656,195.	537,122.	423,822.
RHO VENTURES V, LP	1,069,509.	1,092,311.	1,370,157.
RIVERSIDE FUND V, LP	1,178,567.	1,342,690.	1,783,637.
RIVERVEST VENTURE FUND III LP	1,109,748.	1,129,176.	3,342,595.

RIVERVEST VENTURE FUND IV LP	143,797.	905,801.	978,650.
SAIF PARTNERS IV LP	1,788,703.	1,606,006.	2,695,704.
SAVANNA REAL ESTATE FUND II, LP	1,371,794.	0.	0.
SAYBROOK CORPORATE OPPORTUNITY FUND II	1,166,511.	1,194,322.	1,601,347.
SECURITY DEPOSITS	2,992.	2,992.	2,992.
SENTINAL CAPITAL PARTNERS V	1,045,771.	1,304,952.	1,433,130.
SHELLBACK FUND LP	7,000,000.	7,000,000.	8,478,723.
SHOREVIEW CAPITAL PARTNERS III	544,870.	679,940.	973,065.
SILVER LAKE PARTNERS V	0.	263,468.	538,549.
SILVER LAKE PARTNERSHIP IV, LP	1,553,692.	1,489,946.	3,292,120.
SILVER POINT CAPITAL OFFSHORE FUND LTD	4,000,000.	4,000,000.	8,107,965.
STARK GLOBAL OPPORTUNITIES	430,895.	279,995.	140,171.
STIRLING SQUARE CAP PART 3RD FUND A	1,046,225.	1,144,429.	1,977,144.
THE COLUMN GROUP	368,841.	647,483.	743,550.
THE SILCHESTER INT'L INVESTORS INT'L VALUE EQUITY TRUST	0.	22,112,262.	21,495,629.
THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	1,300,813.	1,051,315.	811,215.
THOMPSON STREET CAPITAL III	1,256,321.	1,341,814.	1,494,564.
THOMPSON STREET CAPITAL IV	1,319,504.	1,529,599.	1,704,402.
TIAA-CREF ASSET MANAGEMENT CORE PROPERTY	9,080,685.	0.	0.
TRUSTBRIDGE PARTNERS V	1,484,188.	1,955,261.	2,526,331.
UBS TRUMBULL PROPERTY FUND LP	7,765,733.	7,485,856.	9,022,298.
VECTIS HEALTHCARE & LIFE SCIENCES FUND II, LP	7,096,412.	5,736,182.	7,927,361.
VECTIS LIFE SCIENCE FUND I	3,374,492.	3,042,730.	3,409,057.
WARBURG PINCUS PRIVATE EQUITY X, LP	4,110,713.	3,447,430.	5,398,698.
WARBURG PINCUS PRIVATE EQUITY XI, LP	1,677,105.	1,618,760.	2,355,014.
WARBURG PINCUS PRIVATE EQUITY XII, LP	748,713.	862,570.	1,507,180.
WEXFORD OFFSHORE SPECTRUM FUND	6,270,064.	270,064.	4,106,742.
WLR RECOVERY FUND V, LP	2,075,840.	1,984,247.	1,770,305.
TO FORM 990-PF, PART II, LINE 15	199,925,497.	171,725,334.	239,067,179.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES S. MCDONNELL III 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & SECRETARY 1.00	0.	0.	0.
JOHN F. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & TREASURER 2.50	0.	0.	0.
HOLLY M. JAMES 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
JEANNE M. CHAMPER 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
MARCELLA M. STEVENS 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
ALICIA S. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
SUSAN M. FITZPATRICK, PH.D. 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1284	PRESIDENT 50.00	338,376.	0.	0.
JEFFREY M. MCDONNELL 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1229	ASSISTANT SECRETARY 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		338,376.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 14
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

DR. SUSAN M. FITZPATRICK
1034 S. BRENTWOOD, #1850
ST LOUIS, MO 63117-1284

TELEPHONE NUMBER

(314) 721-1532

FORM AND CONTENT OF APPLICATIONS

REQUEST FOR PROPOSALS(RFP) SUBMISSION INFORMATION ON FUNDING PROGRAMS CAN BE OBTAINED AT THE FOUNDATION'S WEBSITE ADDRESS: WWW.JSMF.ORG

ANY SUBMISSION DEADLINES

SEE 2.B. ABOVE AND THE FOUNDATION'S WEBSITE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

JAMES S. MCDONNELL FOUNDATION
FEIN # 54-2074788
FORM 990-PF (2016)
PART VII – B, LINES 5(a)(4) AND 5(c)
REG. § 53.4945-5(d) STATEMENT
ATTACHED STATEMENT

1. Grantee:

   The JSM Charitable Trust ("JSMCT")
   1034 South Brentwood Blvd.
   Suite 1860
   St. Louis, MO 63117-1229

2. Dates and amounts of grants made to JSMCT by the James S. McDonnell Foundation (FEIN: 54-2074788, "JSMF"):

DATE		AMOUNT
4/16/2018	$	537,500.00
11/20/2018	$	5,524,000.00

3. JSMF made the above grants to JSMCT so that JSMCT could obtain matching grants and then make distributions of such grants and the matching grants in 2018 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.

4. The above grants totaling $6,061,500 were distributed by JSMCT in 2018 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.

5. JSMCT has not diverted any portion of the above grants from their tax-exempt purpose.

6. JSMF receives a copy of JSMCT's Form 990-PF for 2018 at the time it is filed with the Internal Revenue Service.

James S. McDonnell Foundation - 2018 Grants Paid By Program Area

As of 31 December 2018

Form 990-PF Part XV, Line 3.a.

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 11/9/2018 Paid: 11/20/2018	220020560	Universidad de Castilla-La Mancha (University of Castilla-La Mancha) E.T.S. Ingenieros Industriales Avda. Camilo Jose Cela, 3 13071 Ciudad Real, Spain	Therapy optimization in glioblastoma: An integrated human data-based approach using mathematical models - Phase 2	$336,000.00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Collaborative
Scheduled: 11/9/2018 Paid: 11/20/2018	220020516	University of California-Davis 1850 Research Park Drive Suite 300 Davis, California 95618	Cortical plasticity within and across lifetimes	$326,750.00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Collaborative
Total 21st Century Science Initiative-Studying Complex Systems\:UDMS-Collaborative				$662,750.00	
Scheduled: 4/13/2018 Paid: 4/16/2018	220020538	University of Michigan-Ann Arbor Office of Research & Sponsored Projects 1054 Wolverine Tower - 3003 S. State St. Ann Arbor, Michigan 48109-1274	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000.00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Postdoctoral Fellow 2Yr
Scheduled: 10/12/2018 Paid. 10/2[illegible]18	220020555	Princeton University Office of Research and Project Administration 4th Floor, New South Building P O. Box 36 Princeton, New Jersey 08544-0036	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000.00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Postdoctoral Fellow 2Yr
Scheduled: 11/9/2018 Paid: 11/20/2018	220020556	University of Oslo Department of Biosciences P.O. Box 1066 Blindern NO-0316 Oslo Norway	In support of the postdoctoral fellows salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems/.UDMS-Postdoctoral Fellow 2Yr
Total 21st Century Science Initiative-Studying Complex Systems\:UDMS-Postdoctoral Fellow 2Yr				$300,000 00	

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 4/6/2018 Paid: 4/16/2018	220020535	Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue NE18-901 Cambridge, Massachusetts 02139-4307	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000.00	21st Century Science Initiative-Studying Complex Systems/·UDMS-Postdoctoral Fellow 3Yr
Scheduled: 4/6/2018 Paid. 4/16/2018	220020536	University of Chicago University Research Administration 6030 S. Ellis Avenue, Room 114 Chicago, Illinois 60637	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000.00	21st Century Science Initiative-Studying Complex Systems/.UDMS-Postdoctoral Fellow 3Yr
Scheduled: 5/8/2018 Paid. 6/5/2018	220020540	Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue NE18-901 Cambridge, Massachusetts 02139-4307	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000.00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Postdoctoral Fellow 3Yr
Scheduled: 5/8/2018 Paid. 6/5/2018	220020539	University of Helsinki Haartmaninkatu 8, P.O. Box 63 FI-00014, Finland	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000.00	21st Century Science Initiative-Studying Complex Systems/.UDMS-Postdoctoral Fellow 3Yr
Scheduled: 7/19/2018 Paid: 7/19/2018	220020539	University of Helsinki Haartmaninkatu 8, P.O. Box 63 FI-00014, Finland	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$1,923.34	21st Century Science Initiative-Studying Complex Systems/.UDMS-Postdoctoral Fellow 3Yr
Scheduled: 3/10/2018 Paid: 8/14/2018	220020554	University of Minnesota Sponsored Projects Administration 450 McNamara Alumni Center 200 Oak Street, SE Minneapolis, Minnesota 55455-2070	In support of the postdoctoral fellow's salary, fringe benefits and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Postdoctoral Fellow 3Yr
Scheduled: 11/9/2018 Paid: 11/20/2018	220020559	Harvard University Office for Sponsored Programs 1350 Massachusetts Ave., Holyoke Center, Suite 600 Cambridge, Massachusetts 02138-3800	In support of the postdoctoral fellow's salary, fringes and research plan	$100,000 00	21st Century Science Initiative-Studying Complex Systems/·UDMS-Postdoctoral Fellow 3Yr

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 12/7/2018 Paid: 12/14/2018	220020561	University of California-Santa Barbara Office of Sponsored Research Santa Barbara, California 93106	In support of the postdoctoral fellows' salary, fringe benefits and research plan	$200,000 00	21st Century Science Initiative-Studying Complex Systems/.UDMS-Postdoctoral Fellow 3Yr
Total 21st Century Science Initiative-Studying Complex Systems\UDMS-Postdoctoral Fellow 3Yr				$801,923.34	
Scheduled: 3/10/2018 Pa[illegible]/14/2018	220020542	Princeton University Office of Research and Project Administration 4th Floor, New South Building P.O. Box 36 Princeton, New Jersey 08544-0036	Individual Behavior and Infectious Disease	$380,177.00	21st Century Science Initiative-Studying Complex Systems/.UDMS-Special Initiative/Research
Scheduled: 10/5/2018 Paid: 10/23/2018	220020541	Santa Fe Institute 1399 Hyde Park Rd Santa Fe, New Mexico 87501-8943	Support for the James S. McDonnell Postdoctoral Fellows Conference Phase 2	$242,914.00	21st Century Science Initiative-Studying Complex Systems/:UDMS-Special Initiative/Research
Scheduled: 12/7/2018 Paid: 11/29/2018	220020541	Santa Fe Institute 1399 Hyde Park Rd Santa Fe, New Mexico 87501-8943	Support for the James S McDonnell Postdoctoral Fellows Conference Phase 2	$247,772 00	21st Century Science Initiative-Studying Complex Systems/.UDMS-Special Initiative/Research
Total 21st Century Science Initiative-Studying Complex Systems\UDMS-Special Initiative				$870,863.00	
Scheduled: 4/6/2018 Paid: 4/16/2018	220020217	The JSM Charitable Trust 1034 South Brentwood Blvd. Suite 1860 St. Louis, Missouri 63117-1218	Operating Fund	$537,500.00	Special Interests/JSMF Transfers to JSMCT
Scheduled. 11/9/2018 Paid: 11/20/2018	220020217	The JSM Charitable Trust 1034 South Brentwood Blvd. Suite 1860 St. Louis, Missouri 63117-1218	Operating Fund	$5,524,000.00	Special Interests/JSMF Transfers to JSMCT
Total Special Interests\JSMF Transfers to JSMCT				$6,061,500.00	

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled. 2/2/2018 Paid· 2/15/2018	220020534	Washington University School of Medicine Office of Sponsored Research Services Campus Box 1054, One Brookings Drive St. Louis, Missouri 63130-4862	How the brain breaks· Non-linear network level changes in neurodegenerative diseases	$164,580 00	21st Century Science Initiative-Understanding Human Cognition/Research
Scheduled. 11/9/2018 P 11/20/2018	220020534	Washington University School of Medicine Office of Sponsored Research Services Campus Box 1054, One Brookings Drive St. Louis, Missouri 63130-4862	How the brain breaks: Non-linear network level changes in neurodegenerative diseases	$169,099.00	21st Century Science Initiative-Understanding Human Cognition/Research
Total 21st Century Science Initiative-Understanding Human Cognition\Research				$333,679 00	
Scheduled: 2/2/2018 Paid: 2/15/2018	220020394	Northwestern University Rubloff Building 750 North Lake Shore Drive, 7th Floor Chicago, Illinois 60611-3008	Modeling Biocomplexity: from molecular interactions to population genetics	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled· 2/2/2018 Paid: 2/15/2018	220020437	University of California-Los Angeles Office of Contract & Grant Administration 10920 Wilshire Blvd., Suite 211 Los Angeles, California 90024-1406	Emergent interactions in complex networks: Beyond pairwise parts in systems ranging from drugs and microbes to consumers and resources	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 9/14/2018 Paid. 9/11/2018	220020476	Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue NE18-901 Cambridge, Massachusetts 02139-4307	Self-organization in driven many-body assemblies: Predictive principles from nonequilibrium statistical thermodynamics	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 9/14/2018 Paid: 9/11/2018	220020470	NUS America Foundation, Inc. f/t National University of Singapore 440 North Wolfe Road Sunnyvale, California 94085	Modeling complex ecological communities· From small islands to tropical forests	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled· 9/14/2018 Paid: 9/11/2018	220020435	Stevens Institute of Technology Office of Sponsored Programs Suite 322, Room B, 3rd Floor of the EAS Building 1 Castle Point on the Hudson Hoboken, New Jersey 07030	Multiscale causality across time and space	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 9/14/2018 Pa[illegible]/11/2018	220020441	University of Glasgow Room 241, Isabella Elder Building Gilmorehill Campus University Avenue Glasgow, Lanarkshire G128QQ Scotland, United Kingdom	Complexity and scaling in the long-range collective movement of animals	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled· 9/14/2018 Paid: 9/11/2018	220020440	University of Kansas Center for Research, Inc. 2385 Irving Hill Road Lawrence, Kansas 66045-7568	Spatio-temporal climate impacts on complex ecosystems	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 9/14/2018 Paid· 9/11/2018	220020471	University of Nebraska-Lincoln Office of Sponsored Programs Alex West, 312 N 14th Street Lincoln, Nebraska 68588-0430	Understanding the consequences of body size evolution in ecological communities	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 9/14[illegible]8 Paid. 9/11/2018	220020473	University of Wisconsin - Madison Research and Sponsored Programs 21 North Park Street, Suite 6401 Madison, Wisconsin 53715-1218	Using an evolutionary framework to study robustness and predictive power of data-driven molecular regulatory networks	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020474	Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue NE18-901 Cambridge, Massachusetts 02139-4307	Evolution of topological features in complex biological systems	$150,000 00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 10/12/2018 Paid. 10/23/2018	220020475	The Hebrew University of Jerusalem Authority for Research and Development Edmond J. Safra Campus Givat Ram, Jerusalem Israel 9190401	Life on leafs: Complex microbial cities on plant leaf surfaces	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Scheduled: 12/7/2018 Paid: 11/29/2018	220020437	University of California-Los Angeles Office of Contract & Grant Administration 10920 Wilshire Blvd., Suite 211 Los Angeles, California 90024-1406	Emergent interactions in complex networks: Beyond pairwise parts in systems ranging from drugs and microbes to consumers and resources	$112,500.00	21st Century Science Initiative-Studying Complex Systems/SCS-Scholar
Total 21st Century Science Initiative-Studying Complex Systems\SCS-Scholar				$1,387,500.00	
Scheduled. 2/9/2018 Paid: 2/15/2018	220020460.02	Council on Foundations Council Office 2121 Crystal Drive, 7th Floor Arlington, Virginia 22202	This grant may be used only for Grantee's charitable and educational activities	$23,500.00	21st Century Science Initiative-Special Initiative/Special Initiative
10/10/2018 Paid· 10/23/2018	220020480 01	Marine Biological Laboratory 7 MBL Street Candle House 211 Woods Hole, Massachusetts 02543	Transforming Discovery· Historians, Philosophers, and Life Scientists Exploring Regeneration	$528,440.00	21st Century Science Initiative-Special Initiative/Special Initiative
Scheduled. 11/9/2018 Paid. 11/20/2018	220020460.03	Council on Foundations Council Office 2121 Crystal Drive, 7th Floor Arlington, Virginia 22202	This grant may be used only for Grantee's charitable and educational activities	$23,500.00	21st Century Science Initiative-Special Initiative/Special Initiative
Scheduled: 11/9/2018 Paid· 11/20/2018	220020543	Health Research Alliance P.O. Box 13605 65 T.W Alexander Drive Research Triangle Park, North Carolina 27709	In support of JSMF's contribution over three years to the Brain Tumor Funders' Collaborative research initiative on immunotherapy for primary human brain tumors	$825,000.00	21st Century Science Initiative-Special Initiative/Special Initiative

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 11/9/2018 Paid: 11/20/2018	220020558	New York University Office of Sponsored Programs 665 Broadway, Suite 801 New York, New York 10012	Facilitating Use and Reuse of Video In Behavioral Science	$350,000.00	21st Century Science Initiative-Special Initiative/Special Initiative
Total 21st Century Science Initiative-Special Initiative\Special Initiative				$1,750,440.00	
Scheduled: 2/9/2018 P[illegible]2/15/2018	220020484	Emory University Office of Sponsored Programs 1784 N. Decatur Rd. Suite 510 Atlanta, Georgia 30322	Probing the overlap between sleep and anesthesia to enhance human cognition (Phase 2)	$488,799 00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Scheduled 10/12/2018 Paid: 10/23/2018	220020479	Boston University Office of Sponsored Programs 881 Commonwealth Ave Boston, Massachusetts 02215-1300	From defensive responses to clinical interventions. Understanding the mechanisms of anxiety	$457,678.00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
Total 21st Century Science Initiative-Understanding Human Cognition\UHC Collaborative				$946,477.00	
Scheduled. 2/2/2018 Paid: 2/15/2018	220020427	Pomona College Office of Sponsored Research 333 N. College Avenue Claremont, California 91711-6349	The rational construction of preference	$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 5/8/[illegible] Pai[illegible]6/5/2018	220020510	Koc University Research, Project Development and Technology Transfer Directorate Rumelifeneri Yolu 34450 Sariyer Istanbul Turkiyey (Turkey)	Event representation and relational language learning	$50,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 5/8/2018 Paid: 6/5/2018	220020429	Skidmore College 815 N Broadway Saratoga Springs, New York 12866-1632	How does retrieval shape memory? Exploring the retrieval practice effect	$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled 7/13/2018 Paid: 7/19/2018	220020510	Koc University Research, Project Development and Technology Transfer Directorate Rumelifeneri Yolu 34450 Sarıyer Istanbul Turkıyey (Turkey)	Event representation and relational language learning	$50,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 3/10/2018 Paid: 8/14/2018	220020547	Indiana University Sponsored Research Services 530 East Kirkwood Ave. Carmichael Center, L03 Bloomington, Indiana 47408-4003	Understanding the development of early mathematics knowledge	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 3/10/2018 Paid: 8/14/2018	220020548	University of California-Berkeley Sponsored Projects Office 336 Sproul Hall Berkeley, California 94720-5940	Learning to Learn: How Polysemy Scaffolds Development	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 3/10/2018 Paid. 8/14/2018	220020549	University of Connecticut Office for Sponsored Programs 438 Whitney Road Extension, Unit 1133 Storrs, Connecticut 06269-1133	How the Dynamics of Early Interactions Shape Word Learning	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 3/10/2018 Paid: 8/14/2018	220020550	University of Illinois - Urbana/Champaign Office of Sponsored Programs and Research Administration 1901 S. First Street, Suite A M/C 685 Champaign, Illinois 61820-7406	Understanding early language environments: Analytic techniques and outcome predictions	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 3/10/2018 Paid: 8/14/2018	220020552	University of Pittsburgh Office of Research 350 Thackeray Hall Pittsburgh, Pennsylvania 15260	Intrinsic and extrinsic influences on young children's mathematical abilities	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 3/10/2018 Paid: 8/14/2018	220020551	University of Wisconsin - Madison Research and Sponsored Programs 21 North Park Street, Suite 6401 Madison, Wisconsin 53715-1218	The Development of Higher-Order Cognition: Words, Categories, and Concepts	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 8/10/2018 Paid: 8/14/2018	220020553	University of Wisconsin - Madison Research and Sponsored Programs 21 North Park Street, Suite 6401 Madison, Wisconsin 53715-1218	Theoretical and Pedagogical Implications of the Nonsymbolic Ratio Processing System	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/14/2018 Paid: 9/11/2018	220020426	Colby College Office of the Vice President for Administration 4774 Mayflower Hill Waterville, Maine 04901-8847	Integrating new and prior knowledge in semantic memory	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/14/2018 Paid: 9/11/2018	220020430	Colgate University 13 Oak Drive 108 Olin Hall Hamilton, New York 13346	Understanding early visual codes and their relative contribution to rapid perceptual inference	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/7/2018 Paid. 9/11/2018	220020462	Harvard Medical School Sponsored Programs Administration Gordon Hall, Suite 509 25 Shattuck Street Boston, Massachusetts 02115	The approximate computations underlying decisions based on perceptual evidence	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/7/2018 Paid: 9/11/2018	220020431	Mount Holyoke College Sponsored Research Office 50 College Street South Hadley, Massachusetts 01035	Exploring auditory imagery through reading	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled. 9/7/2018 Paid: 9/11/2018	220020544	Rutgers University 7 College Ave New Brunswick, NJ 08901	Learning in Early Childhood. A Computational Cognitive Development Approach	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/7/2018 Paid: 9/11/2018	220020464	Stanford University Office of Sponsored Research 651 Serra Street, Suite 260 Stanford, California 94305-4125	Components and computations for building adaptive cognitive maps of space	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 9/14/2018 Paid: 9/11/2018	220020469	Stanford University Office of Sponsored Research 651 Serra Street, Suite 260 Stanford, California 94305-4125	Building richer computational models of visual cognition	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/7/2018 Paid: 9/11/2018	220020545	Stanford University Office of Sponsored Research 651 Serra Street, Suite 260 Stanford, California 94305-4125	Cognitive Foundations of Distinctively Human Social Learning	$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 9/7/2018 Paid. 9/11/2018	220020546	Temple University Grants and Contracts 1701 N. 13th Street Philadelphia, Pennsylvania 19122-6003	Developing Mathematical Skills and Motivation	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled. 9/14/2018 Paid: 9/11/2018	220020465	The Hebrew University of Jerusalem Authority for Research and Development Edmond J. Safra Campus Givat Ram, Jerusalem Israel 9190401	Rhythmic sampling: A domain-general principle in the architecture of attention	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled. 9/14/2018 Paid: 9/11/2018	220020468	University of Texas at Dallas Office of Development and Alumni Relations 800 W. Campbell Road, SPN 10 Richardson, Texas 75080	A complex networks approach for understanding age-related cognitive decline	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled. 9/14/2018 Paid: 9/11/2018	220020467	University of Texas Southwestern Medical Center Sponsored Programs Administration 5323 Harry Hines Boulevard Dallas, Texas 75390-9105	Elucidating the molecular evolution of human cognition	$150,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020501	Ecole Normale Superieure Direction Generale des Services 45 Rue d'Ulm - F-75230 Paris, Cedex 05 France	Early language acquisition: Beyond WEIRD	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 10/11/2018 Paid: 10/23/2018	220020511	Ludwig-Maximilians-Universitat in Munich Geschwister-Scholl-Platz 1, 80539 Munich Germany	The emergence and early development of morality	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020463	Massachusetts General Hospital Research Management 50 Staniford Street, Suite 1001 Boston, Massachusetts 02114-2554	Brainstem modulation of human consciousness	$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020503	New York University Office of Sponsored Programs 665 Broadway, Suite 801 New York, New York 10012	Developing representations of the social world	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/9/2018 Paid: 10/23/2018	220020425	Occidental College Office of the Vice President for Academic Affairs 1600 Campus Road Los Angeles, California 90041	The roles of intuition and reflection in understanding science	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020505	Princeton University Office of Research and Project Admin. 4th Floor, New South Building P O. Box 36 Princeton, New Jersey 08544-0036	Does Top-Down Processing Support Infant Development?	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020502	Radboud University Nijmegen Montessorilaan 3 6525 EN Nijmegen The Netherlands	Integrating evolution, development, and learning in psychological science	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/23/2018	220020504	Rutgers University 7 College Ave New Brunswick, NJ 08901	Responding to Imminent Threat: A Developmental Approach	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 10/12/2018 Paid. 10/23/2018	220020507	University of California-Los Angeles Office of Contract & Grant Administration 10920 Wilshire Blvd., Suite 211 Los Angeles, California 90024-1406	Understanding the emergence of speech vocalizations in human infancy	$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/12/2018 Paid: 10/[illegible]018	220020506	University of Chicago University Research Administration 6030 S. Ellis Avenue, Room 114 Chicago, Illinois 60637	A coordination approach to early language learning	$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/5/2018 Paid. 10/23/2018	220020428	University of Richmond Office of the President 28 Westhampton Way Richmond, Virginia 23173-0001	Beyond categories: Understanding the factors underlying category specificity in the brain	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 10/5/2018 Paid: 10/23/2018	220020432	Wellesley College 106 Central Street Wellesley, Massachusetts 02481	Understanding the unique relationship between language and human cognition	$100,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 11/9/2018 Paid: 11/20/2018	220020466	Icahn School of Medicine at Mount Sinai Grants & Contracts Office One Gustave L. Levy Place New York, New York 10029-6574	Integrative theory of memory and cognitive processes	$250,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
Scheduled: 12/7/2018 Paid. 11/29/2018	220020427	Pomona College Office of Sponsored Research 333 N College Avenue Claremont, California 91711-6349	The rational construction of preference	$100,000 00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

Total 21st Century Science Initiative-Understanding Human Cognition\UHC Scholar $4,650,000.00

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled. 1/5/2018 Paid. 1/12/2018	220020522	Boston University Office of Sponsored Programs 881 Commonwealth Ave Boston, Massachusetts 02215-1300	Understanding How Elementary Teachers Take Up Discussion Practices to Promote Disciplinary Learning and Equity	$460,316 00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Scheduled: 1/5/2018 Paid: 1/12/2018	220020520	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	ClassInSight. Insight on Teacher Learning by Scaffolding Noticing and Reflection	$509,930.00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Scheduled: 1/5/2018 Paid: 1/12/2018	220020526	Northwestern University Evanston Campus Office of Sponsored Research 633 Clark Street, Suite 2-502 Evanston, Illinois 60208-1110	Developing a Model of Teaching Learning to Support Classroom Enactment of Purposeful Sensemaking	$437,349.00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Scheduled: 1/5/2018 Paid: 1/12/2018	220020519	University of California-Davis 1850 Research Park Drive Suite 300 Davis, California 95618	New Teachers Learning Disciplined Improvisation for Meaningful Talk in Diverse Classrooms	$394,385.00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Scheduled: 1/5/2018 Paid. 1/12/2018	220020518	University of Michigan-Ann Arbor Office of Research & Sponsored Projects 1054 Wolverine Tower - 3003 S State St. Ann Arbor, Michigan 48109-1274	Teachers Learning to Facilitate Communication and Reasoning Through Inquiry with History and Social Science Sources	$471,100.00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Scheduled: 1/5/2018 Paid: 1/12/2018	220020524	University of Michigan-Ann Arbor Office of Research & Sponsored Projects 1054 Wolverine Tower - 3003 S. State St. Ann Arbor, Michigan 48109-1274	Managing students' contributions to mathematical work in whole class discussions in high school: How do teachers decide what to do?	$389,882.00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Scheduled: 1/5/2018 Paid: 1/12/2018	220020523	University of Wisconsin - Madison Research and Sponsored Programs 21 North Park Street, Suite 6401 Madison, Wisconsin 53715-1218	Advancing Teachers' Pedagogical Reasoning and Practices with Tools	$445,181 00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL

Status/Date	Request ID	Payee Organization	Request Project Title	Amt. Paid	Pgm Area
Scheduled: 2/16/2018 Paid: 2/15/2018	220020525	University of Pittsburgh Office of Research 350 Thackeray Hall Pittsburgh, Pennsylvania 15260	Teacher Learning to Enact Productive Discussions in Mathematics and Literacy	$379,481.00	21st Century Science Initiative-Understanding Human Cognition/UTC TaL
Total 21st Century Science Initiative-Understanding Human Cognition\UTC TaL				$3,487,624.00	
			Grand Totals	$21,252,756.34	

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) - by Program Area

Form 990-PF Part XV, Line 3.b.

As of December 31, 2018

Organization / Grant Amount	Total Paid	Scheduled 2019	2020	2021	2022	2023	2024	Remaining Balance
Understanding Human Cognition								
Collaboratives								
Emory University $1,407,908 00 *Probing the overlap between sleep and anesthesia to enhance human cognition (Phase 2)*	$915,392 00	$492,516.00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Harvard University $1,997,025 00 *The Nature and Origins of the Human Capacity for Abstract Combinatorial Thought*	$1,176,290 00	$0 00	$452,604 00	$348,131 00	$0 00	$0 00	$0 00	$0 00
Iowa State University $4,635,718 00 *Implementing principles from the science of learning within educational practice*	$1,748,604 00	$925,725 00	$961,880 00	$999,509 00	$0 00	$0 00	$0 00	$0 00
University of Oxford $2,356,160 00 *Brain Rhythms in Cognition*	$1,759,078 00	$597,082 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
UHC Collaborative Subtotal	$5,599,364 00	$2,015,323 00	$1,414,484 00	$1,347,640 00	$0 00	$0 00	$0 00	$0 00
Teachers as Learners								
Boston University $2,500,000 00 *Understanding How Elementary Teachers Take Up Discussion Practices to Promote Disciplinary Learning and Equity*	$460,316 00	$496,587 00	$521,747 00	$531,705 00	$489,645 00	$0 00	$0 00	$0 00
Carnegie Mellon University $2,499,984 00 *ClassInSight Insight on Teacher Learning by Scaffolding Noticing and Reflection*	$509,930.00	$573,600 00	$545,630 00	$479,352 00	$391,472 00	$0 00	$0 00	$0 00

James S. McDonnell Foundation
Grant Commitment Schedule (6 years) - by Program Area

Form 990-PF Part XV, Line 3.b.

As of December 31, 2018

Organization Grant Amount	Total Paid	2019	Scheduled 2020	2021	2022	2023	2024	Remaining Balance
Indiana University $2,499,999 00 *Teacher Cognition and Learning about Incorporating Science Representations in Elementary Classrooms*	$472,467 00	$539,316 00	$479,722 00	$550,495 00	$457,999 00	$0 00	$0 00	$0 00
Northwestern University Evanston Campus $2,497,997 00 *Developing a Model of Teaching Learning to Support Classroom Enactment of Purposeful Sensemaking*	$437,349 00	$516,611 00	$573,315 00	$508,427 00	$462,295 00	$0 00	$0 00	$0 00
University of California-Davis $2,496,062 00 *New Teachers Learning Disciplined Improvisation for Meaningful Talk in Diverse Classrooms*	$394,385 00	$528,077 00	$564,003 00	$505,894 00	$503,703 00	$0 00	$0 00	$0 00
University of Illinois at Chicago $2,491,500 00 *How Teachers Learn Orchestrating Disciplinary Discourse in Science, Literature, and Mathematics Classrooms*	$564,869 00	$579,883 00	$517,663 00	$485,701 00	$343,168 00	$0 00	$0 00	$0 00
University of Michigan-Ann Arbor $2,500,000 00 *Teachers Learning to Facilitate Communication and Reasoning Through Inquiry with History and Social Science Sources*	$471,100 00	$532,195 00	$506,782 00	$506,755 00	$483,168 00	$0 00	$0 00	$0 00
University of Michigan-Ann Arbor $1,969,652 00 *Managing students' contributions to mathematical work in whole class discussions in high school How do teachers decide what to do?*	$389,882 00	$383,549.00	$391,969 00	$403,650 00	$400,602 00	$0.00	$0 00	$0.00

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University of Pittsburgh $2,499,651 00 *Teacher Learning to Enact Productive Discussions in Mathematics and Literacy*	$379,481.00	$443,916 00	$528,874 00	$568,418 00	$578,962 00	$0 00	$0 00	$0 00
University of Wisconsin - Madison $2,499,821 00 *Advancing Teachers' Pedagogical Reasoning and Practices with Tools*	$445,181 00	$574,959 00	$590,450 00	$471,445 00	$417,786.00	$0 00	$0 00	$0 00
UHC TaL Subtotal	$4,524,960 00	$5,168,693 00	$5,220,155 00	$5,011,842 00	$4,528,800 00	$0 00	$0 00	$0 00
<u>Scholar</u>								
Colby College $600,000 00 *Integrating new and prior knowledge in semantic memory*	$400,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
Colgate University $600,000 00 *Understanding early visual codes and their relative contribution to rapid perceptual inference*	$400,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0.00	$0 00	$0 00
e Normale Superieure $600,000 00 *Early language acquisition Beyond WEIRD*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Fundación Universidad Torcuato Di Tella $600,000 00 *From single decisions to mental programs*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Harvard Medical School $600,000 00 *The approximate computations underlying decisions based on perceptual evidence*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00

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The Hebrew University of Jerusalem $600,000 00 *Rhythmic sampling A domain-general principle in the architecture of attention*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Icahn School of Medicine at Mount Sinai (transferred from Princeton University #220020466T) $600,000 00 *Integrative theory of memory and cognitive processes*	$308,898 00	$150,000 00	$0 00	$141,102 00	$0 00	$0 00	$0 00	$0 00
Indiana University $600,000 00 *Understanding the development of early mathematics knowledge*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
Koc University $600,000 00 *Event representation and relational language learning*	$150,000 00	$50,000 00	$50,000 00	$50,000 00	$50,000 00	$50,000 00	$50,000 00	$150,000 00
Ludwig-Maximilians-Universitat in Munich $600,000 00 *The emergence and early development of morality*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Massachusetts General Hospital $600,000 00 *Brainstem modulation of human consciousness*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Mount Holyoke College $600,000 00 *Exploring auditory imagery through reading*	$400,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0.00	$0 00	$0 00
New York University $600,000 00 *Developing representations of the social*	$200,000.00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00

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Grant Amount	Paid	2019	2020	2021	2022	2023	2024	Balance
world								

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Organization Grant Amount	Total Paid	Scheduled 2019	2020	2021	2022	2023	2024	Remaining Balance
Occidental College $600,000 00 *The roles of intuition and reflection in understanding science*	$400,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
Pomona College $600,000 00 *The rational construction of preference*	$400,000 00	$0 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00
Princeton University $600,000 00 *Does Top-Down Processing Support Infant Development?*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Radboud University Nijmegen $600,000 00 *Integrating evolution, development, and learning in psychological science*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Rutgers University $600,000 00 *Responding to Imminent Threat A Developmental Approach*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
Rutgers University $600,000 00 *Learning in Early Childhood A Computational Cognitive Development Approach*	$150,000 00	$50,000.00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
Skidmore College $600,000 00 *How does retrieval shape memory? Exploring the retrieval practice effect*	$450,000 00	$0 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
Stanford University School of Medicine $600,000 00 *Components and computations for building adaptive cognitive maps of space*	$450,000 00	$0 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00

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		Scheduled						
Stanford University $600,000 00 *Building richer computational models of visual cognition*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Stanford University $600,000 00 *Cognitive Foundations of Distinctively Human Social Learning*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0.00
Temple University $600,000 00 *Developing Mathematical Skills and Motivation*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
University of California-Berkeley $600,000 00 *Learning to Learn How Polysemy Scaffolds Development*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
University of California-Los Angeles $600,000 00 *Understanding the emergence of speech vocalizations in human infancy*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of Chicago $600,000 00 *A coordination approach to early language learning*	$200,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00
University of Connecticut $600,000 00 *How the Dynamics of Early Interactions Shape Word Learning*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00

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Organization / Grant Amount	Total Paid	2019	2020	2021	2022	2023	2024	Remaining Balance
		Scheduled						
University of Illinois - Urbana/Champaign $600,000 00 *Understanding early language environments Analytic techniques and outcome predictions*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
University of Pittsburgh $600,000 00 *Intrinsic and extrinsic influences on young children's mathematical abilities*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
University of Richmond $600,000 00 *Beyond categories Understanding the factors underlying category specificity in the brain*	$400,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Southern California $600,000 00 *Using Automated Controlled Rearing to Explore the Origins of the Mind*	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
University of Texas at Dallas $600,000 00 *A complex networks approach for understanding age-related cognitive decline*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Texas Southwestern Medical Center $600,000 00 *Elucidating the molecular evolution of human cognition*	$450,000 00	$150,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Wisconsin - Madison $600,000 00 *The Development of Higher-Order Cognition Words, Categories, and Concepts*	$150,000 00	$50,000.00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00

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Organization Grant Amount	Total Paid	Scheduled 2019	2020	2021	2022	2023	2024	Remaining Balance
University of Wisconsin - Madison $600,000 00 *Theoretical and Pedagogical Implications of the Nonsymbolic Ratio Processing*	$150,000 00	$50,000 00	$100,000 00	$100,000 00	$100,000 00	$100,000 00	$0 00	$0 00
Wellesley College $600,000 00 *Understanding the unique relationship between language and human cognition*	$400,000 00	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
UHC Scholar Subtotal	$10,508,898 00	$3,250,000 00	$2,950,000 00	$2,191,102 00	$1,950,000 00	$1,150,000 00	$50,000 00	$150,000 00

Studying Complex Systems/Understanding Dynamic and Multi-scale Systems

Collaborative

Organization Grant Amount	Total Paid	2019	2020	2021	2022	2023	2024	Remaining Balance
Santa Fe Institute $2,500,000 00 *Adaptation, Aging, and the Arrow of Time*	$1,500,000 00	$0 00	$1,000,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of California-Davis $1,670,356 00 *Cortical plasticity within and across lifetimes*	$662,092 00	$334,772 00	$340,813 00	$332,679 00	$0 00	$0 00	$0 00	$0 00
SCS/UDMS Collab. Subtotal	$2,162,092 00	$334,772 00	$1,340,813 00	$332,679 00	$2,550,000 00	$1,750,000 00	$50,000 00	$150,000 00

Scholar

Organization Grant Amount	Total Paid	2019	2020	2021	2022	2023	2024	Remaining Balance
The Hebrew University of Jerusalem $450,000 00 *Life on leafs Complex microbial cities on plant leaf surfaces*	$337,500 00	$112,500 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00

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Organization Grant Amount	Total Paid	Scheduled 2019	2020	2021	2022	2023	2024	Remaining Balance
Massachusetts Institute of Technology $450,000 00 *Self-organization in driven many-body assemblies Predictive principles from nonequilibrium statistical thermodynamics*	$337,500 00	$112,500 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0.00
NUS America Foundation, Inc. $450,000 00 *Modeling complex ecological communities From small islands to tropical forests*	$337,500 00	$112,500 00	$0 00	$0 00	$0 00	$0.00	$0 00	$0 00
University of Illinois Urbana-Champaign $450,000 00 *The origins of emergent phenomena renormalization, coarse-graining, and the fingerprints of ecological and evolutionary processes*	$270,000 00	$90,000 00	$90,000 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Nebraska-Lincoln $450,000 00 *Understanding the consequences of body size evolution in ecological communities*	$337,500 00	$0 00	$112,500 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Wisconsin - Madison $450,000 00 *Using an evolutionary framework to study robustness and predictive power of data-driven molecular regulatory networks*	$337,500 00	$0 00	$112,500 00	$0 00	$0 00	$0 00	$0 00	$0 00
SCS/UDMS Scholar Subtotal	$17,490,582 00	$4,547,044 00	$6,246,626 00	$3,056,460 00	$4,700,000 00	$3,100,000 00	$100,000 00	$300,000.00

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Special Initiative - Research								
Princeton University $540,984 00 *Individual Behavior and Infectious Disease*	$380,177 00	$0 00	$160,807 00	$0 00	$0 00	$0 00	$0 00	$0 00
Santa Fe Institute $743,415 00 *Support for the James S McDonnell Postdoctoral Fellows Conference Phase 2*	$490,686 00	$0 00	$252,729 00	$0 00	$0 00	$0 00	$0 00	$0 00
SCS/UDMS Spec. Init.-Rsrch. Subtotal	$870,863.00	$0 00	$413,536 00	$0 00	$0 00	$0 00	$0 00	$0 00
Postdoctoral Fellows								
Harvard University $200,000.00 *In support of the postdoctoral fellow's salary, fringes and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Massachusetts Institute of Technology $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
ssachusetts Institute of Technology $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Princeton University $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Chicago $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00

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University of Helsinki $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Michigan-Ann Arbor $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Minnesota $200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*	$100,000.00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
University of Oslo $200,000 00 *In support of the postdoctoral fellows salary, fringe benefits and research plan*	$100,000 00	$100,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
SCS/UDMS Postdoc Subtotal	$900,000 00	$900,000 00	$0 00	$0 00	$0 00	$0 00	$0 00	$0 00
Postdoctoral Program in Complexity Science $2,200,000 00 *In support of the postdoctoral fellow's salary, fringe benefits and research plan*								

Ref Board approval of Resolution #133 on 24Oct18 for support of eleven awards at $2 2M ($200K each, payable over 2 years during 2019 and 2020, approved but unallocated future commitments at 31Dec18

Special Interests

Organization Grant Amount	Total Paid	2019	2020	2021	2022	2023	2024	Remaining Balance
Special Interests								
The JSM Charitable Trust *Operating Fund*	-	$6,116,878 00	$5,643,000 00	$5,650,000 00	$5,000,000 00	$4,900,000 00	$4,750,000 00	$15,717,000 00

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Grant Amount	Paid	2019	2020	2021	2022	2023	2024	Balance
A charitable trust described in IRC Sections 501(c)(3) 501(a) and 170(b)(1)(F)(ii)								
Total Spec. Int.	-	$6,116,878 00	$5,643,000 00	$5,650,000 00	$5,000,000 00	$4,900,000 00	$4,750,000.00	$15,717,000 00
Grand Total	$42,056,759 00	$22,332,710 00	$23,228,614 00	$17,589,723 00	$5,000,000 00	$4,900,000 00	$4,750,000 00	$16,317,000 00