

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052  
**2018**  
**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018**

Name of foundation SECURE WORLD FOUNDATION		<b>A Employer identification number</b> 52-2387043	
Number and street (or P O box number if mail is not delivered to street address) 371 CENTENNIAL PARKWAY SUITE 200		Room/suite	
		<b>B Telephone number</b> (see instructions) (303) 466-2500	
City or town, state or province, country, and ZIP or foreign postal code LOUISVILLE, CO 80027			
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 15,606,959		<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	
		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	878,000			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	15,176	15,176	15,176	
	<b>4</b> Dividends and interest from securities	336,123	336,123	336,123	
	<b>5a</b> Gross rents	190,808	190,808	190,808	
	<b>b</b> Net rental income or (loss) 27,368				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	245,898			
	<b>b</b> Gross sales price for all assets on line 6a 246,072				
	<b>7</b> Capital gain net income (from Part IV, line 2)		245,898		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	-17,559	-27,920	-17,559		
<b>12 Total.</b> Add lines 1 through 11	1,648,446	760,085	524,548		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages	945,163			945,163
	<b>15</b> Pension plans, employee benefits	206,886			206,886
	<b>16a</b> Legal fees (attach schedule)	10,560			10,560
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	8,541	8,541	8,541	
	<b>19</b> Depreciation (attach schedule) and depletion	19,049	19,049	19,049	
	<b>20</b> Occupancy	66,551	66,551	66,551	
	<b>21</b> Travel, conferences, and meetings	143,832			143,832
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	668,698	77,840	77,840	590,858
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	2,069,280	171,981	171,981	1,897,299
<b>25</b> Contributions, gifts, grants paid	0			0	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	2,069,280	171,981	171,981	1,897,299	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-420,834				
<b>b Net investment income</b> (if negative, enter -0-)		588,104			
<b>c Adjusted net income</b> (if negative, enter -0-)			352,567		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	8,361,051	460,596	460,596
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	10,659,221	17,878,913	11,371,928
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ 2,485,463 Less accumulated depreciation (attach schedule) ▶ _____ 527,543	1,965,146	1,957,920	2,609,000
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	720,648	987,803	1,165,435
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	21,706,066	21,285,232	15,606,959	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	21,706,066	21,285,232		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	21,706,066	21,285,232		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	21,706,066	21,285,232		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	21,706,066
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-420,834
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	21,285,232
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	21,285,232

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b> SOUTHERN CROSS	P		
<b>b</b> PLATINUM	P		
<b>c</b> SOUTHERN CROSS	P	2018-01-01	2018-12-31
<b>d</b> JPM LTCG DIV	P		
<b>e</b> JPM MANAGED INCOME	P	2018-01-01	2018-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 4,473			4,473
<b>b</b> 241,248			241,248
<b>c</b>		71	-71
<b>d</b> 351			351
<b>e</b>		103	-103

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			4,473
<b>b</b>			241,248
<b>c</b>			-71
<b>d</b>			351
<b>e</b>			-103

<b>2</b> Capital gain net income or (net capital loss)	}	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	<b>2</b>	245,898
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,601,025	16,225,983	0.098670
2016	1,562,438	15,470,907	0.100992
2015	1,659,837	16,725,706	0.099239
2014	1,506,532	12,753,680	0.118125
2013	1,950,765	13,589,378	0.143551

<b>2</b> Total of line 1, column (d)	<b>2</b>		0.560577
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>		0.112115
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>		16,551,131
<b>5</b> Multiply line 4 by line 3	<b>5</b>		1,855,630
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>		5,881
<b>7</b> Add lines 5 and 6	<b>7</b>		1,861,511
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>		1,897,299

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total amount owed is 144.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW SWFOUND.ORG
14 The books are in care of JASON R ESPLIN Telephone no (303) 466-2500

Located at 371 CENTENNIAL PKWY SUITE 200 LOUISVILLE CO ZIP+4 80027

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services.</b>		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<p><b>1</b> SPACE SITUATIONAL AWARENESS(SSA)DIALOGUES - ENGAGING WITH THE SSA AND SATELLITE OPERATOR COMMUNITIES TO PROMOTE DATA SHARING AND COLLABORATION INCLUDES TOPICS SUCH AS IMPROVED TRACKING OF SMALL SATELLITES, SSA TO SUPPORT SPACE TRAFFIC MANAGEMENT (STM), LICENSING/AVAILABILITY OF COMMERCIAL SPACE-BASED SENSORS, AND SSA TO SUPPORT RENDEZVOUS AND PROXIMITY OPERATIONS (RPO) IMPROVING SSA FOR ALL SPACE ACTORS CONTINUES TO BE CRITICAL TO ENSURING THE LONG-TERM SUSTAINABILITY, SAFETY, AND SECURITY OF SPACE ACTIVITIES ALTHOUGH SOME PROGRESS HAS BEEN MADE, THERE IS STILL SIGNIFICANT WORK THAT NEEDS TO BE DONE, PARTICULARLY TO ADDRESS THE GROWING NUMBER OF SMALL SATELLITES, LARGE CONSTELLATIONS, AND NEW ACTORS IN SPACE SWF HAS BEEN A LEADER ON SSA ISSUES FOR NEARLY A DECADE AND CONTINUES TO BE THE PRIMARY ORGANIZATION FACILITATING KEY DISCUSSIONS BETWEEN DIFFERENT STAKEHOLDERS, AND LINKING DIFFERENT GROUPS IN 2018, WE COLLABORATED WITH INDIA'S NATIONAL INSTITUTE FOR ADVANCED STUDIES AND THE</p>	66,583
<p><b>2</b> SPACE MINERAL RESOURCES GOVERNANCE WORKING GROUP - CONTINUES SWF'S INVOLVEMENT AS CONSORTIUM PARTNER IN THE HAGUE SPACE RESOURCES GOVERNANCE WORKING GROUP (WG) THE OBJECTIVE OF THE WG, WHICH IS COMPRISED OF OVER 30 INTERNATIONAL MEMBERS, IS TO ASSESS THE NEED FOR A REGULATORY FRAMEWORK FOR SPACE RESOURCE ACTIVITIES AND TO PREPARE THE BASIS FOR SUCH A REGULATORY FRAMEWORK (BUILDING BLOCKS) SPACE RESOURCES HAS EMERGED AS A KEY NEXUS OF DISCUSSION IN DEFINING THE RELATIONSHIP BETWEEN NOVEL AND NON-TRADITIONAL COMMERCIAL USE OF SPACE, AND THE INTERNATIONAL (AND DOMESTIC) LEGAL CONTEXT FOR THOSE APPLICATIONS THE TRACK 1 5 PROCESS REPRESENTED BY THE WG PROVIDES A USEFUL FORUM FOR THOUGHTFUL DISCUSSION AND RELATIONSHIP BUILDING IN ADDRESSING THESE ISSUES SWF ALSO CO-HOSTED A WORKSHOP WITH THE CATHOLIC UNIVERSITY OF SANTOS, A MEMBER OF THE HAGUE SPACE RESOURCES GOVERNANCE WORKING GROUP, IN SAO PAULO, BRAZIL, WITH 30 STUDENTS TO COLLECT REGIONAL PERSPECTIVES ON THE WG'S RECOMMENDED BUILDING</p>	52,175
<p><b>3</b> 17TH ANNUAL SPACE SECURITY CONFERENCE CO-HOSTED BY THE UNITED NATIONS INSTITUTE FOR DISARMAMENT RESEARCH (UNIDIR), SWF AND SEVERAL OTHER HOSTS, WAS A TWO DAY EVENT FOCUSED ON VARIOUS ASPECTS OF SPACE SECURITY AND ATTENDED BY OVER 90 GOVERNMENT, IGO, NGO AND INDUSTRY PARTICIPANTS SWF HAS BEEN CRITICAL IN STRENGTHENING THIS EVENT AND SHIFTING IT FROM BEING AN ARTIFACT FROM THE HISTORICAL ERA OF DISARMAMENT AND ARMS CONTROL FOCUS TO ONE THAT ACTIVELY INCORPORATES ISSUES WHICH AFFECT THE SECURITY AND STABILITY OF THE SPACE DOMAIN WE PROVIDE A UNIQUE POINT OF VIEW, WHICH WELL BALANCES GOVERNMENTS INVOLVED WE MAKE POSSIBLE FOR ALL SPEAKERS TO COME TO THIS EVENT WE WERE THE FIRST ONES TO BRING COPUOS REPS TO THIS EVENT UNIDIR DOES NOT HAVE INSTITUTIONAL RESOURCES AND IN HOUSE KNOWLEDGE OF SPACE SECURITY ISSUES, SO SWF HELPS TO GUIDE THE CONVERSATION, FOCUS AND SPEAKER SELECTION OF THE CONFERENCE</p>	38,726
<p><b>4</b> THE SPACE SYMPOSIUM LUNCHEON PANEL ON COMMERCIAL ACTORS AND NORMS FOR RESPONSIBLE SPACE BEHAVIOR HAD OVER 110 ATTENDEES (OF 14,000 OVERALL SYMPOSIUM ATTENDEES), INCLUDING GOVERNMENT, MILITARY, NGO AND AEROSPACE INDUSTRY ATTENDEES SWF MODERATED A WIDE RANGE OF VIEWPOINTS, INCLUDING SEVERAL INDUSTRY GROUPS WHO ARE CURRENTLY WORKING ON RECOMMENDED BEST PRACTICES, SWF'S WORK IN VARIOUS FORA ON NORMS OF RESPONSIBLE BEHAVIOR, INCLUDING THE CONFERS PROJECT, AND PANELISTS REPRESENTED AND EXPLAINED THE SPACE INSURANCE VIEWPOINT SWF ALSO INTRODUCED OUR COUNTERSPACE THREAT ASSESSMENT REPORT</p>	31,783

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	12,661,678
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	1,532,501
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	2,609,000
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	16,803,179
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	16,803,179
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	252,048
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	16,551,131
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	827,557

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	1,897,299
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	1,897,299
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	5,881
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,891,418

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .				
<b>b</b> From 2014. . . . .				
<b>c</b> From 2015. . . . .				
<b>d</b> From 2016. . . . .				
<b>e</b> From 2017. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,897,299</u>				
<b>a</b> Applied to 2017, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2018 distributable amount. . . . .				
<b>e</b> Remaining amount distributed out of corpus	1,897,299			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,897,299			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .				
<b>b</b> Excess from 2015. . . . .				
<b>c</b> Excess from 2016. . . . .				
<b>d</b> Excess from 2017. . . . .				
<b>e</b> Excess from 2018. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . 2004-05-21

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	352,567	67,735	297,885	625,071	1,343,258
<b>b</b> 85% of line 2a . . . . .	299,682	57,575	253,202	531,310	1,141,769
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .	1,897,299	1,601,025	1,562,438	1,659,837	6,720,599
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .	1,897,299	1,601,025	1,562,438	1,659,837	6,720,599
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .	551,705	540,866	515,697	557,523	2,165,791
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
<b>Total . . . . .</b>	<b>▶ 3a</b>			
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>	<b>▶ 3b</b>			





**TY 2018 Investments Corporate Stock Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
STOCK HOLDINGS	17,878,913	11,371,928

**TY 2018 Investments - Land Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043

<b>Category/ Item</b>	<b>Cost/Other Basis</b>	<b>Accumulated Depreciation</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
BUILDINGS	910,903	527,543	383,360	1,000,000
LAND	1,574,560		1,574,560	1,609,000

**TY 2018 Investments - Other Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
PLATINUM EQUITY PARTNERS	FMV	252,981	579,200
SOUTHERN CROSS	FMV	647,832	499,553
BOW RIVER CAPITAL FUND	FMV	86,990	86,682

**TY 2018 Legal Fees Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INDIRECT LEGAL FEES	10,560			10,560

**TY 2018 Other Expenses Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RENTAL REAL ESTATE				
LANDSCAPING	8,821	8,821	8,821	
FIRE PROTECTION	4,423	4,423	4,423	
INSURANCE	2,189	2,189	2,189	
UTILITIES	3,759	3,759	3,759	
MAINTENANCE	32,045	32,045	32,045	
REPAIRS	13,047	13,047	13,047	
OTHER	10,000	10,000	10,000	
MANAGEMENT FEES	474	474	474	
LATE FEES	181	181	181	

**Other Expenses Schedule**

<b>Description</b>	<b>Revenue and Expenses per Books</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
CONTRACT SERVICES	2,368	2,368	2,368	
SECURITY	195	195	195	
PERSONAL PROPERTY TAX	338	338	338	
EXPENSES				
BANK CHARGES	6,365			6,365
COMPUTER EXPENSE	33,867			33,867
CONFERENCES AND MEETINGS	218,649			218,649
CONSULTANTS & CONTRACT LABOR	121,588			121,588
COPYING/PRINTING	7,843			7,843
DUES & SUBSCRIPTIONS	10,962			10,962

**Other Expenses Schedule**

<b>Description</b>	<b>Revenue and Expenses per Books</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INSURANCE	10,576			10,576
LICENSES, FEES AND PERMITS	2,159			2,159
MESSANGER/POSTAGE	2,010			2,010
OFFICE SUPPLIES	5,416			5,416
OTHER	2,053			2,053
PROJECT EXPENSES	31,148			31,148
RENT	103,180			103,180
TELEPHONE EXPENSE	20,654			20,654
TRAINING/PROF DEVELOPMENT	8,608			8,608
ADVERTISING	1,505			1,505

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MEALS	4,275			4,275

**TY 2018 Other Income Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PLATINUM	-4,356	-4,356	-4,356
SOUTHERN CROSS	-13,658	-13,658	-13,658
BOW RIVER	-9,906	-9,906	-9,906
OTHER INCOME	10,361		10,361

**TY 2018 Taxes Schedule****Name:** SECURE WORLD FOUNDATION**EIN:** 52-2387043

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAX	8,541	8,541	8,541	

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information

OMB No 1545-0047  
**2018**

**Name of the organization**  
SECURE WORLD FOUNDATION

**Employer identification number**  
52-2387043

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

<b>Name of organization</b> SECURE WORLD FOUNDATION	<b>Employer identification number</b> 52-2387043
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARCEL ARSENAULT 371 CENTENNIAL PARKWAY SUITE 200	\$ 750,000	Person <input checked="" type="checkbox"/>
	LOUISVILLE, CO 80027		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
<small>(Complete Part II for noncash contributions )</small>			
2	CYNTHIA ARSENAULT 371 CENTENNIAL PARKWAY SUITE 200	\$ 23,000	Person <input checked="" type="checkbox"/>
	LOUISVILLE, CO 80027		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
<small>(Complete Part II for noncash contributions )</small>			
3	ERIN JELLE COLLINS ARSENAULT TRUST 371 CENTENNIAL PARKWAY SUITE 200	\$ 100,000	Person <input checked="" type="checkbox"/>
	LOUISVILLE, CO 80027		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
<small>(Complete Part II for noncash contributions )</small>			
4	HANS HERMANN 371 CENTENNIAL PARKWAY SUITE 200	\$ 5,000	Person <input checked="" type="checkbox"/>
	LOUISVILLE, CO 80027		Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
<small>(Complete Part II for noncash contributions )</small>			
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
<small>(Complete Part II for noncash contributions )</small>			
		\$	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
<small>(Complete Part II for noncash contributions )</small>			

<b>Name of organization</b> SECURE WORLD FOUNDATION	<b>Employer identification number</b> 52-2387043
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**Part II** **Noncash Property**

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
(See instructions) Use duplicate copies of Part II if additional space is needed			
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____

<b>Name of organization</b> SECURE WORLD FOUNDATION	<b>Employer identification number</b> 52-2387043
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**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____