

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	233,438				
	2	Savings and temporary cash investments	68,812	38,808	38,808		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges	3,432	8,711	8,711		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	28,758,696	28,363,178	28,115,880		
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	1,850,000	1,900,000	1,900,000		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)	16,493	26,376	26,376			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	30,930,871	30,337,073	30,089,775			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)	0	1,008			
	23	Total liabilities (add lines 17 through 22)	0	1,008			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	0	0			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	0			
29	Retained earnings, accumulated income, endowment, or other funds	30,930,871	30,336,065				
30	Total net assets or fund balances (see instructions)	30,930,871	30,336,065				
31	Total liabilities and net assets/fund balances (see instructions) .	30,930,871	30,337,073				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,930,871
2	Enter amount from Part I, line 27a	2	-594,806
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	30,336,065
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	30,336,065

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	342,292
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,744,103	31,490,356	0 055385
2016	582,027	30,122,331	0 019322
2015	0	30,006,628	0 000000
2014			
2013			

2 Total of line 1, column (d) { }	2	0 074707
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years { }	3	0 024902
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 { }	4	30,617,530
5 Multiply line 4 by line 3 { }	5	762,438
6 Enter 1% of net investment income (1% of Part I, line 27b) { }	6	8,854
7 Add lines 5 and 6 { }	7	771,292
8 Enter qualifying distributions from Part XII, line 4 { }	8	1,520,530

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	8,854
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	8,854
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,854
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	16,590
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	16,590
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	80
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,656
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ 7,656 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0 (2) On foundation managers ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>MARION ULMANN</u> Telephone no ▶ <u>(646) 239-0187</u>			

Located at ▶ 68 3RD STREET SUITE 232 BROOKLYN NY ZIP+4 ▶ 11231

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		Yes	No
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☒ Yes ☐ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	No
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☒ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARION ULMANN	DIRECTOR (FORMER) 15 00	96,000	0	0
SAUL FOUNDATION C/O NIXON PEABODY LLP 799 9TH STREET NW SUITE 500 WASHINGTON, DC 200015327				
JEAN DE LAROCHE	TREASURER (FORMER) 0 00	0	0	0
SAUL FOUNDATION C/O NIXON PEABODY LLP 799 9TH STREET NW SUITE 500 WASHINGTON, DC 200015327				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
JP MORGAN 390 COURT STREET BROOKLYN, NY 11231	INVESTMENT CUSTODIAN SERVICES	104,958
NEUBERGER BERMAN BD LLC 1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	INVESTMENT CUSTODIAN SERVICES	95,557

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 UNIVERSITE LAVAL - TO PREVENT UNINTENDED PREGNANCY AND FERTILITY CONTROL SPACING/PLANNING PREGNANCY - SEE STATEMENT 11	50,000
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3. **50,000**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	30,678,969
b	Average of monthly cash balances.	1b	401,178
c	Fair market value of all other assets (see instructions).	1c	3,640
d	Total (add lines 1a, b, and c).	1d	31,083,787
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	31,083,787
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	466,257
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	30,617,530
6	Minimum investment return. Enter 5% of line 5.	6	1,530,877

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,530,877
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	8,854
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,854
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,522,023
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,522,023
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,522,023

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,470,530
b	Program-related investments—total from Part IX-B.	1b	50,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,520,530
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	8,854
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,511,676

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,522,023
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			1,233,263	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 1,520,530				
a Applied to 2017, but not more than line 2a			1,233,263	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				287,267
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				1,234,756
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> THE IVORY FOUNDATION 48 RUE SAINT SEBASTIEN PARIS 75011 FR	NONE	FOREIGN EQUIVALENT P	SCIENTIFIC AND EDUCATIONAL	500,000
COMMUNITY HELP IN PARK SLOPE INC 200 4TH AVENUE BROOKLYN, NY 11217	NONE	PC	CHARITABLE	2,000
DEVELOPMENT MEDIA INTERNATIONAL ASSOCIATES UNIT R RELIANCE WHARF HERTFORD ROAD LONDON N EW UK	NONE	FOREIGN EQUIVALENT P	CHARITABLE	300,000
BANK OF AMERICA CHARITABLE GIFT FUND PO BOX 1802 RPROVIDENCE, RI 02901	NONE	PC	CHARITABLE	500,000
Total			► 3a	
b <i>Approved for future payment</i>				
Total			► 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2018)

Part XVII

	Yes	No
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1a(1)	No
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1a(2)		No
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1b(1)	No
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1b(2)	No
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1b(3)		No
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1b(4)	No
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1b(5)		No
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1b(6)		No
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1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here ***** Signature of officer or trustee	2019-11-14 Date	***** Title
---	-------------------------------------	---------------------------------

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ **Yes** ☐ **No**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below

(see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	PAUL J FREEDMAN		2019-11-08		
	Firm's name ▶ FREEDMAN & CO CPA PC				Firm's EIN ▶ 13-3598907

Print/Type preparer's name PAUL J FREEDMAN	Preparer's Signature	Date 2019-11-08	Check if self-employed ☐	PTIN P00725388
Firm's name ▶ FREEDMAN & CO CPA PC				Firm's EIN ▶ 13-3598907
Firm's address ▶ 61 BROADWAY NEW YORK, NY 10006				Phone no (212) 509-6700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d				
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1	200 SHS AETNA U S HEALTHCARE INC		2017-07-18	2018-11-29
1	525 SHS AETNA U S HEALTHCARE INC		2016-09-27	2018-11-29
	725 SHS AETNA U S HEALTHCARE INC		2017-01-27	2018-11-29
	800 SHS AMERICAN AIRLINES GROUP INCCOM		2017-01-27	2018-10-22
	1800 SHS CABOT OIL & GAS CORP		2017-01-27	2018-05-01
	2500 SHS CABOT OIL & GAS CORP		2016-10-10	2018-05-01
	900 SHS CABOT OIL & GAS CORP		2016-10-11	2018-05-01
	9500 SHS CENTENNIAL RESOURCE DEVELO-A		2018-05-01	2018-12-20
	1200 SHS COMCAST CORPNEW CL A		2016-09-27	2018-05-01
	600 SHS COMCAST CORPNEW CL A		2016-10-10	2018-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,450		30,688	11,762
111,431		60,441	50,990
153,881		85,153	68,728
25,868		38,543	-12,675
43,120		41,076	2,044
59,889		61,698	-1,809
21,560		21,386	174
101,807		175,735	-73,928
37,641		39,711	-2,070
18,821		19,727	-906

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,762
			50,990
			68,728
			-12,675
			2,044
			-1,809
			174
			-73,928
			-2,070
			-906

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2200 SHS COMERICA INC		2018-05-01	2018-12-06
1 2250 SHS CONAGRA BRANDS INC		2017-08-21	2018-01-12
350 SHS CONCHO RESOURCES INC		2017-01-27	2018-12-21
425 SHS FEDEX CORP		2017-01-27	2018-12-19
125 SHS FEDEX CORP		2016-10-11	2018-12-19
350 SHS FEDEX CORP		2016-09-27	2018-12-19
4050 SHS FGL HOLDINGS		2017-11-01	2018-10-09
1450 SHS GENERAL MOTORS COMPANY		2018-06-08	2018-10-10
25 SHS MICROSOFT CORP		2017-01-27	2018-04-12
1000 SHS MOHAWK INDUSTRIES INC		2018-05-01	2018-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
163,649		207,387	-43,738
82,779		77,645	5,134
35,471		50,578	-15,107
71,079		81,893	-10,814
20,906		21,709	-803
58,536		61,620	-3,084
36,503		44,523	-8,020
47,246		64,131	-16,885
2,342		1,633	709
185,356		208,950	-23,594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-43,738
			5,134
			-15,107
			-10,814
			-803
			-3,084
			-8,020
			-16,885
			709
			-23,594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3000 SHS TAYLOR MORRISON HOME CORPCL A			2018-01-12	2018-06-08
1	150 SHS WHIRLPOOL CORP		2017-08-18	2018-04-05
	375 SHS WHIRLPOOL CORP		2016-09-27	2018-04-05
	350 SHS WHIRLPOOL CORP		2017-01-27	2018-04-05
	0 81 SHS CVS HEALTH CORP		2018-11-30	2018-11-30
	25000 SHS UNITED STATES TREAS NTS NOTE 1 37500% 10		2018-10-10	2018-12-24
	15000 SHS DEUTSCHE BK CONTINGENT CAP TR III		2016-09-28	2018-02-20
	3500 SHS DEUTSCHE BK CONTINGENT CAP TR III		2016-09-29	2018-02-20
	425 SHS AIA GROUP LTD SPONSORED ADR		2017-01-18	2018-01-10
	9447 87300 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2017-07-27	2018-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
63,806		82,500	-18,694
22,897		25,604	-2,707
57,243		60,898	-3,655
53,427		60,246	-6,819
64		64	0
24,438		24,265	173
375,000		355,201	19,799
87,500		82,389	5,111
14,459		10,468	3,991
82,669		92,232	-9,563

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18,694
			-2,707
			-3,655
			-6,819
			0
			173
			19,799
			5,111
			3,991
			-9,563

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
917 04600 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2018-05-04	2018-07-11
1 1464 85200 SHS ADVISORS INNER CIRCLE FD I		2017-07-05	2018-04-11
2511 92300 SHS ADVISORS INNER CIRCLE FD I		2017-07-05	2018-04-17
755 69600 SHS ADVISORS INNER CIRCLE FD I		2018-01-10	2018-12-20
106 44800 SHS ADVISORS INNER CIRCLE FD I		2018-05-04	2018-12-20
930 11200 SHS ADVISORS INNER CIRCLE FD I		2018-11-09	2018-12-20
19 SHS ALBEMARLE CORPORATION		2018-05-03	2018-10-04
74 SHS ALEXION PHARMACEUTICALS INC		2017-06-30	2018-01-10
18 SHS ALEXION PHARMACEUTICALS INC		2017-06-30	2018-02-27
45 SHS ALEXION PHARMACEUTICALS INC		2017-06-30	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,024		8,952	-928
15,850		15,827	23
27,279		27,140	139
7,013		8,403	-1,390
988		1,184	-196
8,631		10,342	-1,711
1,968		1,855	113
8,986		9,036	-50
2,143		2,198	-55
5,133		5,382	-249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-928
			23
			139
			-1,390
			-196
			-1,711
			113
			-50
			-55
			-249

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 SHS ALEXION PHARMACEUTICALS INC			2018-05-04	2018-08-16
1 8 SHS ALPHABET INC CLASS C CAPITAL STOCK			2017-03-16	2018-01-10
11292 926 SHS AMERICAN BEACON FDS			2017-02-09	2018-01-10
217 20300 SHS AMERICAN BEACON FDS			2017-12-26	2018-11-30
342 41800 SHS AMERICAN BEACON FDS			2017-12-26	2018-11-30
102 13100 SHS AMERICAN BEACON FDS			2017-12-26	2018-11-30
487 15200 SHS AMERICAN BEACON FDS			2018-05-04	2018-11-30
88 10700 SHS AMERICAN BEACON FDS			2018-11-29	2018-11-30
284 SHS ATLAS COPCO AB-SPONSORED ADR REPSTG SER A			2017-04-07	2018-01-10
67 41400 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-06-01	2018-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,642		1,727	-85
8,787		6,789	1,998
113,607		115,115	-1,508
2,109		2,216	-107
3,325		3,493	-168
992		1,042	-50
4,730		4,970	-240
856		899	-43
13,037		10,040	2,997
698		712	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-85
			1,998
			-1,508
			-107
			-168
			-50
			-240
			-43
			2,997
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
183 76000 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-07-03	2018-06-01
1 5062 96700 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-07-27	2018-06-01
74 25600 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-08-01	2018-06-01
69 89000 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-09-01	2018-06-01
164 27600 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-11-01	2018-06-01
351 08400 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2017-12-01	2018-06-01
17 49000 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2018-01-02	2018-06-01
2120 13400 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2018-01-10	2018-06-01
0 00100 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2018-03-01	2018-06-01
115 51800 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K			2018-04-02	2018-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,902		1,942	-40
52,402		53,494	-1,092
769		785	-16
723		738	-15
1,700		1,736	-36
3,634		3,709	-75
181		185	-4
21,943		22,401	-458
			0
1,196		1,221	-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-40
			-1,092
			-16
			-15
			-36
			-75
			-4
			-458
			0
			-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
99 12800 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K		2018-05-01	2018-06-01
1 52 16500 SHS BLACKROCK PROTEC BOND PORTFOLIO CLASS K		2018-06-01	2018-06-01
2279 11400 SHS BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K		2017-05-25	2018-04-11
5396 27900 SHS BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K		2017-05-25	2018-04-17
1796 31800 SHS BLACKSTONE ALTRNTV INVT FD ALTRNTV MULTI STRTGY FD CL Y		2018-11-09	2018-12-14
1668 80100 SHS BROWN ADVISORY FDS JPAN APLHA OPPTY FUND INVT S		2017-12-20	2018-10-22
411 40600 SHS BROWN ADVISORY FDS JPAN APLHA OPPTY FUND INVT S		2018-05-04	2018-10-22
744 66300 SHS CRM MUT FD TR LNG SHT OPPORTUNITIES FD INS		2018-01-10	2018-04-11
2071 81400 SHS CRM MUT FD TR LNG SHT OPPORTUNITIES FD INS		2018-01-10	2018-04-17
126 SHS COLOPLAST AS UNSPONSORED ADR		2018-05-04	2018-05-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,026		1,047	-21
540		551	-11
32,477		31,081	1,396
77,652		73,591	4,061
18,951		18,951	0
17,055		16,405	650
4,205		4,044	161
7,692		7,729	-37
21,733		21,899	-166
1,142		1,142	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			-11
			1,396
			4,061
			0
			650
			161
			-37
			-166
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
244 45700 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2018-02-06	2018-11-09
1	197 SHS FANUC CORPORATION UNSPONSORED ADR		2018-04-06	2018-04-11
	125 SHS FANUC CORPORATION UNSPONSORED ADR		2018-04-06	2018-04-17
	1800 SHS FANUC CORPORATION UNSPONSORED ADR		2018-04-06	2018-12-04
	86 SHS FANUC CORPORATION UNSPONSORED ADR		2018-05-04	2018-12-04
	116 75700 SHS FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND		2018-02-09	2018-04-11
	223 2300 SHS FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND		2018-02-09	2018-04-17
	322 61500 SHS GMO TR SGM MAJOR MKTS FD CL VI		2018-01-10	2018-04-11
	589 45100 SHS GMO TR SGM MAJOR MKTS FD CL VI		2018-01-10	2018-04-17
	174 89200 SHS GMO TR SGM MAJOR MKTS FD CL VI		2018-01-10	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,474		2,573	-99
4,996		4,742	254
3,089		3,009	80
29,147		43,330	-14,183
1,393		1,859	-466
10,781		10,710	71
21,118		20,476	642
10,362		10,456	-94
18,880		19,104	-224
5,483		5,639	-156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-99
			254
			80
			-14,183
			-466
			71
			642
			-94
			-224
			-156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
43 92700 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6		2017-10-31	2018-10-22
1 28 72700 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R7		2017-11-30	2018-10-22
16 27700 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R8		2017-12-29	2018-10-22
27 34100 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R9		2018-04-30	2018-10-22
291 07400 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R10		2018-05-04	2018-10-22
28 22400 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R11		2018-05-31	2018-10-22
37 40400 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R12		2018-06-29	2018-10-22
33 03000 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R13		2018-07-31	2018-10-22
34 47400 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R14		2018-08-31	2018-10-22
24 93400 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R15		2018-09-28	2018-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
408		421	-13
267		275	-8
151		156	-5
254		262	-8
2,704		2,789	-85
262		270	-8
347		358	-11
307		316	-9
320		330	-10
232		239	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-8
			-5
			-8
			-85
			-8
			-11
			-9
			-10
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
35 SHS HEXAGON AB ADS US LISTED		2018-02-12	2018-04-11
1 104 SHS HEXAGON AB ADS US LISTED		2018-02-12	2018-04-17
24 SHS HOME DEPOT INC		2018-04-04	2018-04-17
10 SHS HOME DEPOT INC		2018-04-04	2018-12-14
50 SHS ISHARES TIPS BOND ETF		2018-05-04	2018-12-14
13 SHS ISHARES TIPS BOND ETF		2018-06-01	2018-12-14
69 SHS ISHARES INC ISHARES MSCI JAPAN ETF		2018-01-10	2018-04-11
316 SHS ISHARES INC ISHARES MSCI JAPAN ETF		2018-01-10	2018-04-17
3485 SHS ISHARES INC ISHARES MSCI JAPAN ETF		2018-01-10	2018-07-11
52 SHS ISHARES INC ISHARES MSCI JAPAN ETF		2018-05-04	2018-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,061		2,061	0
6,312		6,202	110
4,200		4,205	-5
1,738		1,752	-14
5,455		5,601	-146
1,418		1,456	-38
4,179		4,218	-39
19,159		19,865	-706
198,086		219,074	-20,988
2,956		3,269	-313

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			110
			-5
			-14
			-146
			-38
			-39
			-706
			-20,988
			-313

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
268 SHS J P MORGAN EXCHANGE TRADED F TR BETABUILDERS JAPAN ETF			2018-07-11	2018-10-22
1	196 69500 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-01-10	2018-07-20
	5 46700 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-02-01	2018-07-20
	9 09400 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-03-01	2018-07-20
	7 77600 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-04-02	2018-07-20
	5 69200 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-05-01	2018-07-20
	514 82600 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-05-04	2018-07-20
	1 16500 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-06-01	2018-07-20
	1 27400 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-07-02	2018-07-20
	0 31800 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD N		2018-07-03	2018-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,252		6,327	-75
1,727		1,866	-139
48		52	-4
80		86	-6
68		74	-6
50		54	-4
4,520		4,885	-365
10		11	-1
11		12	-1
3		3	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-75
			-139
			-4
			-6
			-6
			-4
			-365
			-1
			-1
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2245 54600 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD I			2018-01-10	2018-04-11
1	2205 87100 SHS JANUS INVT FD HENDERSON GLOBAL UNCNSTRND BOND FD I		2018-01-10	2018-04-17
4280 61000 SHS JOHN HANCOCK FDS II ABSLT RETRN CURR FD CL I			2017-02-24	2018-01-10
2125 37000 SHS JOHN HANCOCK FDS II ABSLT RETRN CURR FD CL I			2017-07-27	2018-01-10
257 51800 SHS JOHN HANCOCK FDS II ABSLT RETRN CURR FD CL I			2017-07-27	2018-02-06
2982 13047 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2017-02-24	2018-01-31
120 70325 SHS JPMORGAN UNCONSTRAINED DEB FUND R7			2017-02-27	2018-01-31
46 86250 SHS JPMORGAN UNCONSTRAINED DEB FUND R8			2017-03-30	2018-01-31
2126 64019 SHS JPMORGAN UNCONSTRAINED DEB FUND R9			2017-07-27	2018-01-31
164 78651 SHS JPMORGAN UNCONSTRAINED DEB FUND R10			2017-07-28	2018-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
21,220		21,409	-189
20,735		21,089	-354
40,323		41,676	-1,353
20,021		20,693	-672
2,408		2,507	-99
29,941		29,528	413
1,212		1,195	17
471		464	7
21,351		21,058	293
1,654		1,632	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-189
			-354
			-1,353
			-672
			-99
			413
			17
			7
			293
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 84733 SHS JPMORGAN UNCONSTRAINED DEB FUND R11			2017-08-30	2018-01-31
1	123 02394 SHS JPMORGAN UNCONSTRAINED DEB FUND R12		2017-09-28	2018-01-31
200 65500 SHS JPMORGAN UNCONSTRAINED DEB FUND R13			2017-10-30	2018-01-31
152 57300 SHS JPMORGAN UNCONSTRAINED DEB FUND R14			2017-11-29	2018-01-31
347 32400 SHS JPMORGAN UNCONSTRAINED DEB FUND R15			2017-12-28	2018-01-31
997 32800 SHS JPMORGAN UNCONSTRAINED DEB FUND R16			2018-01-10	2018-01-31
116 63600 SHS JPMORGAN UNCONSTRAINED DEB FUND R17			2018-01-30	2018-01-31
799 81999 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD			2017-07-27	2018-07-11
288 57873 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD			2017-12-21	2018-07-11
514 31400 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD			2018-05-04	2018-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
229		226	3
1,235		1,218	17
2,015		1,987	28
1,532		1,511	21
3,487		3,439	48
10,013		9,875	138
1,171		1,155	16
18,164		14,685	3,479
6,554		5,298	1,256
11,680		9,443	2,237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			17
			28
			21
			48
			138
			16
			3,479
			1,256
			2,237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 SHS ELI LILLY & CO		2017-07-27	2018-04-04
1 5883 79800 SHS LORD ABBETT INVT TR SHORT DURATION INCOME FD CL		2018-11-09	2018-12-14
14 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED		2018-05-04	2018-11-15
245 39800 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2018-01-10	2018-11-09
503 66800 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2018-05-04	2018-11-09
24610 51200 SHS PIMCO SHORT TERM FUND INSTL CL		2018-01-10	2018-02-09
24 41000 SHS PIMCO SHORT TERM FUND INSTL CL		2018-02-01	2018-02-09
978 95300 SHS PIMCO SHORT TERM FUND INSTL CL		2018-02-15	2018-04-11
1956 43500 SHS PIMCO SHORT TERM FUND INSTL CL		2018-02-15	2018-04-17
3074 91400 SHS PIMCO SHORT TERM FUND INSTL CL		2018-02-15	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,132		3,303	-171
24,418		24,418	0
846		870	-24
3,580		3,168	412
7,349		6,503	846
243,398		243,152	246
241		241	0
9,652		9,659	-7
19,290		19,310	-20
30,165		30,343	-178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-171
			0
			-24
			412
			846
			246
			0
			-7
			-20
			-178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3920 88600 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-02-24	2018-02-15
1	300 83100 SHS PIMCO HIGH YIELD FUND INSTL CL		2017-03-01	2018-02-15
339 91600 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-04-03	2018-02-15
295 98100 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-05-01	2018-02-15
314 78300 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-06-01	2018-02-15
330 77400 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-07-03	2018-02-15
2254 99700 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-07-27	2018-02-15
201 69400 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-08-01	2018-02-15
218 75200 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-09-01	2018-02-15
223 61400 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-10-02	2018-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
34,504		34,150	354
2,647		2,620	27
2,991		2,961	30
2,605		2,578	27
2,770		2,742	28
2,911		2,881	30
19,844		19,640	204
1,775		1,757	18
1,925		1,905	20
1,968		1,948	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			354
			27
			30
			27
			28
			30
			204
			18
			20
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
211 53900 SHS PIMCO HIGH YIELD FUND INSTL CL		2017-11-01	2018-02-15
1 219 41200 SHS PIMCO HIGH YIELD FUND INSTL CL		2017-12-01	2018-02-15
115 24600 SHS PIMCO HIGH YIELD FUND INSTL CL		2018-01-02	2018-02-15
567 09400 SHS PIMCO HIGH YIELD FUND INSTL CL		2018-01-10	2018-02-15
111 73500 SHS PIMCO HIGH YIELD FUND INSTL CL		2018-02-01	2018-02-15
166 SHS PERNOD RICARD SA UNSPONSORED ADR		2018-01-08	2018-01-10
71 SHS PERNOD RICARD SA UNSPONSORED ADR		2018-01-08	2018-04-11
60 SHS PERNOD RICARD SA UNSPONSORED ADR		2018-01-08	2018-10-31
59 85500 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-12-01	2018-11-09
744 64300 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-12-28	2018-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,862		1,842	20
1,931		1,911	20
1,014		1,004	10
4,990		4,939	51
983		973	10
5,245		5,245	0
2,445		2,264	181
1,821		1,913	-92
643		627	16
8,005		7,806	199

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			20
			20
			10
			51
			10
			0
			181
			-92
			16
			199

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 40400 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-01-02	2018-11-09
1	62 68400 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2018-02-01	2018-11-09
64 96800 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-03-01	2018-11-09
83 48900 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-04-02	2018-11-09
81 14800 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-05-01	2018-11-09
87 03700 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-06-01	2018-11-09
97 06500 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-07-02	2018-11-09
90 01500 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-08-01	2018-11-09
96 83500 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-09-04	2018-11-09
75 39600 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-10-01	2018-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
703		686	17
674		657	17
698		681	17
898		875	23
872		851	21
936		912	24
1,043		1,018	25
968		944	24
1,041		1,015	26
811		790	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			17
			17
			17
			23
			21
			24
			25
			24
			26
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
87 10900 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2018-11-01	2018-11-09
1	715 40600 SHS PIMCO MORTGAGE OPPORTUNITI AND BOND FUND INST		2018-07-20	2018-11-09
0 57300 SHS PIMCO MORTGAGE OPPORTUNITI AND BOND FUND INST			2018-08-01	2018-11-09
2 16600 SHS PIMCO MORTGAGE OPPORTUNITI AND BOND FUND INST			2018-09-04	2018-11-09
1 72800 SHS PIMCO MORTGAGE OPPORTUNITI AND BOND FUND INST			2018-10-01	2018-11-09
1 99300 SHS PIMCO MORTGAGE OPPORTUNITI AND BOND FUND INST			2018-11-01	2018-11-09
46 SHS RYANAIR HLDGS PLC SPONSORED ADR NEW			2017-11-28	2018-01-10
13 SHS RYANAIR HLDGS PLC SPONSORED ADR NEW			2017-11-28	2018-04-11
20 SHS RYANAIR HLDGS PLC SPONSORED ADR NEW			2017-11-28	2018-04-17
14 SHS SHOPIFY INC CL A			2018-05-02	2018-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
936		913	23
7,834		7,862	-28
6		6	0
24		24	0
19		19	0
22		22	0
5,052		5,553	-501
1,544		1,569	-25
2,360		2,414	-54
1,883		1,747	136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			23
			-28
			0
			0
			0
			0
			-501
			-25
			-54
			136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
74 SHS SOUTHWEST AIRLINES CO			2017-01-25	2018-01-10
1	21 SHS SYSMEX CORPORATION UNSPONSORED ADR		2018-05-04	2018-12-24
5271 73600 SHS VANGUARD BOND INDEX FD INC TOTAL BD MARKET IDX FDADMIRA			2018-07-11	2018-12-14
4192 SHS VANGUARD TOTAL INTERNATIONAL BOND ETF			2018-01-10	2018-04-11
5300 SHS VANGUARD TOTAL INTERNATIONAL BOND ETF			2018-01-10	2018-04-17
3762 SHS VANGUARD TOTAL INTERNATIONAL BOND ETF			2018-01-10	2018-12-14
352 SHS VANGUARD INTL EQUITY INDEX F FTSE EUROPE ETF			2017-05-25	2018-04-11
721 SHS VANGUARD INTL EQUITY INDEX F FTSE EUROPE ETF			2017-05-25	2018-04-17
898 SHS VANGUARD INTL EQUITY INDEX F FTSE EUROPE ETF			2017-07-05	2018-06-11
53 SHS FERRARI N V COM			2017-08-02	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,688		3,671	1,017
486		916	-430
54,615		54,699	-84
229,106		227,395	1,711
289,841		287,499	2,342
207,158		204,070	3,088
20,898		19,621	1,277
43,268		40,190	3,078
53,279		50,142	3,137
6,068		5,798	270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,017
			-430
			-84
			1,711
			2,342
			3,088
			1,277
			3,078
			3,137
			270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 SHS FERRARI N V COM		2017-08-02	2018-04-11
1 30 SHS FERRARI N V COM		2017-08-02	2018-04-17
241 SHS AIA GROUP LTD SPONSORED ADR		2017-01-18	2018-04-11
83 SHS AIA GROUP LTD SPONSORED ADR		2017-01-18	2018-04-17
160 SHS AIA GROUP LTD SPONSORED ADR		2017-01-18	2018-10-31
23837 38200 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-05-11	2018-01-10
4650 70600 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-08-09	2018-01-10
5453 2600 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-09-06	2018-01-10
5311 01300 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-10-05	2018-01-10
163 71800 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-10-05	2018-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,184		1,969	215
3,727		3,282	445
8,863		5,936	2,927
2,881		2,044	837
4,821		3,941	880
222,880		232,879	-9,999
43,484		45,435	-1,951
50,988		53,276	-2,288
49,658		51,886	-2,228
1,480		1,480	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			215
			445
			2,927
			837
			880
			-9,999
			-1,951
			-2,288
			-2,228
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 46100 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-10-05	2018-04-17
1 7 04200 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2016-12-21	2018-04-17
2267 27000 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2017-01-05	2018-04-17
11394 85700 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2017-01-05	2018-07-11
2482 69500 SHS AQR FDS MANAGED FUTURES STRATEGY FD		2017-02-24	2018-07-11
53 SHS ADIDAS AG SPONSORED ADR		2016-08-05	2018-01-10
33 SHS ADIDAS AG SPONSORED ADR		2016-08-05	2018-04-11
40 SHS ADIDAS AG SPONSORED ADR		2016-08-05	2018-04-17
26 SHS ADIDAS AG SPONSORED ADR		2016-08-05	2018-10-31
9368 23800 SHS ADVISORS INNER CIRCLE FD I CHILTON STRTGC ERPN EQI FD		2017-07-05	2018-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
302		302	0
64		64	0
20,473		21,623	-1,150
99,705		111,239	-11,534
21,724		24,237	-2,513
5,324		4,315	1,009
4,217		2,687	1,530
5,263		3,257	2,006
3,057		2,117	940
102,207		101,226	981

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			-1,150
			-11,534
			-2,513
			1,009
			1,530
			2,006
			940
			981

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17512 99900 SHS ADVISORS INNER CIRCLE FD I CHILTON STRTGC ERPN EQI FD			2017-07-05	2018-11-26
1	65 14400 SHS ADVISORS INNER CIRCLE FD I CHILTON STRTGC ERPN EQI FD		2017-07-05	2018-12-14
	51 83900 SHS ADVISORS INNER CIRCLE FD I CHILTON STRTGC ERPN EQI FD		2017-07-27	2018-12-14
	1490 09500 SHS ADVISORS INNER CIRCLE FD I CHILTON STRTGC ERPN EQI FD		2017-07-27	2018-12-20
	166 SHS ALEXION PHARMACEUTICALS INC		2017-06-30	2018-08-09
	156 SHS ALEXION PHARMACEUTICALS INC		2017-06-30	2018-08-16
	12 SHS ALLEGHANY CORP-DEL		2016-05-11	2018-01-10
	3 SHS ALLEGHANY CORP-DEL		2016-05-11	2018-02-27
	8 SHS ALLEGHANY CORP-DEL		2017-05-11	2018-04-17
	3 SHS ALLEGHANY CORP-DEL		2016-05-11	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
164,272		186,921	-22,649
605		724	-119
481		576	-95
13,828		16,569	-2,741
19,890		20,269	-379
18,301		19,048	-747
6,905		6,341	564
1,846		1,585	261
4,797		4,227	570
1,832		1,585	247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-22,649
			-119
			-95
			-2,741
			-379
			-747
			564
			261
			570
			247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 SHS ALPHABET INC CLASS C CAPITAL STOCK		2017-03-16	2018-04-17
1 3 SHS ALPHABET INC CLASS C CAPITAL STOCK		2017-03-16	2018-12-14
174 SHS ALTRIA GROUP INC		2016-05-11	2018-01-10
78 SHS ALTRIA GROUP INC		2016-05-11	2018-04-17
1050 84600 SHS AMERICAN BEACON FDS NUMERIC INTGRTD ALPHA FD ULT		2017-02-09	2018-04-11
2006 25000 SHS AMERICAN BEACON FDS NUMERIC INTGRTD ALPHA FD ULT		2017-02-09	2018-04-17
15765 29800 SHS AMERICAN BEACON FDS NUMERIC INTGRTD ALPHA FD ULT		2017-02-09	2018-11-30
1738 71400 SHS AMERICAN BEACON FDS NUMERIC INTGRTD ALPHA FD ULT		2017-02-24	2018-11-30
2842 47500 SHS AMERICAN BEACON FDS NUMERIC INTGRTD ALPHA FD ULT		2017-07-27	2018-11-30
77 SHS APPLE INC		2016-06-17	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
9,610		7,637	1,973
3,168		2,546	622
12,190		11,226	964
4,982		5,032	-50
10,477		10,610	-133
20,183		20,475	-292
153,081		160,843	-7,762
16,883		17,739	-856
27,600		29,000	-1,400
13,333		7,378	5,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,973
			622
			964
			-50
			-133
			-292
			-7,762
			-856
			-1,400
			5,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 SHS APPLE INC		2016-06-17	2018-02-27
1 44 SHS APPLE INC		2016-06-17	2018-04-17
64 SHS ATLAS COPCO AB-SPONSORED ADR REPSTG SER A		2017-04-07	2018-04-11
171 SHS ATLAS COPCO AB-SPONSORED ADR REPSTG SER A		2017-04-07	2018-04-17
45 SHS ATLAS COPCO AB-SPONSORED ADR REPSTG SER A		2017-04-07	2018-05-10
158 SHS BANK OF AMERICA CORP		2016-05-11	2018-01-10
3 SHS BANK OF AMERICA CORP		2016-05-11	2018-02-27
64 SHS BANK OF AMERICA CORP		2016-05-11	2018-04-17
18 SHS BANK OF AMERICA CORP		2016-08-09	2018-04-17
49 SHS BANK OF AMERICA CORP		2016-08-09	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,510		1,341	1,169
7,843		4,216	3,627
2,680		2,263	417
7,271		6,045	1,226
1,886		1,591	295
4,853		2,275	2,578
98		43	55
1,931		921	1,010
543		272	271
1,215		742	473

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,169
			3,627
			417
			1,226
			295
			2,578
			55
			1,010
			271
			473

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
103 SHS BERKSHIRE HATHAWAY INC DEL CL B		2016-05-11	2018-01-10
1 11 SHS BERKSHIRE HATHAWAY INC DEL CL B		2016-05-11	2018-02-27
50 SHS BERKSHIRE HATHAWAY INC DEL CL B		2016-05-11	2018-04-17
3 SHS BERKSHIRE HATHAWAY INC DEL CL B		2016-05-11	2018-05-03
27749 32145 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-05-11	2018-03-15
74 58882 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-07-01	2018-03-15
121 01762 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-08-01	2018-03-15
5962 51401 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-08-09	2018-03-15
109 05484 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-09-01	2018-03-15
5621 24204 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-09-06	2018-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
21,147		14,775	6,372
2,323		1,578	745
10,008		7,172	2,836
572		430	142
289,148		293,194	-4,046
777		788	-11
1,261		1,279	-18
62,129		63,002	-873
1,136		1,152	-16
58,573		59,396	-823

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			6,372
			745
			2,836
			142
			-4,046
			-11
			-18
			-873
			-16
			-823

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 17209 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-10-03	2018-03-15
1 5347 43913 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-10-05	2018-03-15
63 65000 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2016-12-01	2018-03-15
1301 29300 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-01-05	2018-03-15
1192 70100 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-01-05	2018-04-11
1871 17500 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-01-05	2018-04-17
8138 87000 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-01-05	2018-06-01
3367 31600 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-02-24	2018-06-01
292 83600 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-04-03	2018-06-01
213 75900 SHS BLACKROCK INFLATION PROTEC BOND PORTFOLIO CLASS K		2017-05-01	2018-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
23		23	0
55,720		56,503	-783
663		673	-10
13,559		13,750	-191
12,440		12,589	-149
19,535		19,772	-237
84,237		85,992	-1,755
34,852		35,578	-726
3,031		3,094	-63
2,212		2,259	-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			-783
			-10
			-191
			-149
			-237
			-1,755
			-726
			-63
			-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 SHS BLACKROCK INC		2016-05-11	2018-01-10
1 13 SHS BLACKROCK INC		2016-05-11	2018-04-17
24030 36300 SHS BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K		2017-05-25	2018-09-20
5863 67600 SHS BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K		2017-07-05	2018-09-20
86 SHS BRISTOL MYERS SQUIBB CO		2016-05-11	2018-01-10
2 SHS BRISTOL MYERS SQUIBB CO		2016-05-11	2018-02-27
41 SHS BRISTOL MYERS SQUIBB CO		2016-05-11	2018-04-17
36 SHS BRISTOL MYERS SQUIBB CO		2016-05-11	2018-05-03
24 SHS BRISTOL MYERS SQUIBB CO		2016-05-11	2018-12-14
909 09100 SHS BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT S		2017-01-03	2018-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11,157		7,486	3,671
6,865		4,634	2,231
339,549		329,044	10,505
82,854		80,290	2,564
5,308		6,132	-824
136		143	-7
2,161		2,477	-316
1,839		2,567	-728
1,267		1,711	-444
10,282		8,905	1,377

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,671
			2,231
			10,505
			2,564
			-824
			-7
			-316
			-728
			-444
			1,377

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1765 68200 SHS BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT S		2017-01-03	2018-04-17
1 7916 16800 SHS BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT S		2017-01-03	2018-10-22
7549 76400 SHS BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT S		2017-01-05	2018-10-22
1005 85600 SHS BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT S		2017-02-24	2018-10-22
388 67700 SHS BROWN ADVISORY FDS JPAN ALPHA OPPTY FUND INVT S		2017-07-27	2018-10-22
130 SHS CHR HANSEN HLDG A S SPONSORED ADR		2016-05-11	2018-01-10
85 SHS CHR HANSEN HLDG A S SPONSORED ADR		2016-05-11	2018-04-11
92 SHS CHR HANSEN HLDG A S SPONSORED ADR		2016-05-11	2018-04-17
36 SHS CHR HANSEN HLDG A S SPONSORED ADR		2016-05-11	2018-05-10
22 SHS CHR HANSEN HLDG A S SPONSORED ADR		2016-05-11	2018-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,076		17,296	2,780
80,903		77,817	3,086
77,159		74,215	2,944
10,280		9,888	392
3,972		3,821	151
6,094		4,135	1,959
3,810		2,704	1,106
4,161		2,927	1,234
1,657		1,145	512
1,108		700	408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,780
			3,086
			2,944
			392
			151
			1,959
			1,106
			1,234
			512
			408

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
244 SHS CSL LTD SPONSORED ADR		2016-05-11	2018-01-10
1 269 SHS CSL LTD SPONSORED ADR		2016-05-11	2018-04-06
80 SHS CSL LTD SPONSORED ADR		2016-05-11	2018-04-11
90 SHS CSL LTD SPONSORED ADR		2016-05-11	2018-04-17
2 SHS CSL LTD SPONSORED ADR		2016-08-09	2018-04-17
53 SHS CANADIAN PACIFIC RAILWAY LTD USD ISSUE		2016-05-11	2018-01-10
26 SHS CANADIAN PACIFIC RAILWAY LTD USD ISSUE		2016-05-11	2018-04-11
24 SHS CANADIAN PACIFIC RAILWAY LTD USD ISSUE		2016-05-11	2018-04-17
158 SHS CARMAX INC		2016-05-11	2018-01-10
42 SHS CARMAX INC		2016-05-11	2018-02-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
13,554		9,936	3,618
15,840		10,954	4,886
4,924		3,258	1,666
5,651		3,665	1,986
126		88	38
9,830		7,307	2,523
4,646		3,585	1,061
4,330		3,309	1,021
11,080		8,095	2,985
2,617		2,152	465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,618
			4,886
			1,666
			1,986
			38
			2,523
			1,061
			1,021
			2,985
			465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
68 SHS CARMAX INC		2016-05-11	2018-04-17
1 203 SHS CARNIVAL CORP COMMON PAIRED STOCK		2016-05-11	2018-01-10
112 SHS CARNIVAL CORP COMMON PAIRED STOCK		2016-05-11	2018-04-17
51 SHS CARNIVAL CORP COMMON PAIRED STOCK		2016-05-11	2018-12-14
53 SHS CHEVRON CORPORATION		2016-05-11	2018-01-10
16 SHS CHEVRON CORPORATION		2016-05-11	2018-02-27
26 SHS CHEVRON CORPORATION		2016-05-11	2018-04-17
12 SHS CHEVRON CORPORATION		2016-05-11	2018-12-14
185 SHS CISCO SYSTEMS INC		2016-05-11	2018-01-10
1 SHS CISCO SYSTEMS INC		2016-05-11	2018-02-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,310		3,484	826
13,851		10,287	3,564
7,238		5,676	1,562
2,873		2,585	288
6,800		5,380	1,420
1,831		1,624	207
3,139		2,639	500
1,377		1,218	159
7,376		4,976	2,400
45		27	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			826
			3,564
			1,562
			288
			1,420
			207
			500
			159
			2,400
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
122 SHS CISCO SYSTEMS INC		2016-05-11	2018-04-17
1 113 SHS COCA COLA COMPANY THE		2016-05-11	2018-01-10
6 SHS COCA COLA COMPANY THE		2016-05-11	2018-02-27
61 SHS COCA COLA COMPANY THE		2016-05-11	2018-04-17
2535 SHS COLOPLAST AS UNSPONSORED ADR		2016-05-11	2018-01-04
10 SHS COLOPLAST AS UNSPONSORED ADR		2016-08-09	2018-01-04
556 SHS COLOPLAST AS UNSPONSORED ADR		2016-08-09	2018-01-10
121 SHS COLOPLAST AS UNSPONSORED ADR		2016-08-09	2018-04-11
23 SHS COLOPLAST AS UNSPONSORED ADR		2016-08-09	2018-04-17
290 SHS COLOPLAST AS UNSPONSORED ADR		2016-09-06	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,417		3,281	2,136
5,204		5,160	44
263		274	-11
2,734		2,785	-51
20,261		19,291	970
80		79	1
4,538		4,387	151
1,020		955	65
197		181	16
2,478		2,213	265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,136
			44
			-11
			-51
			970
			1
			151
			65
			16
			265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
364 SHS COLOPLAST AS UNSPONSORED ADR		2016-09-06	2018-05-08
1 771 SHS COLOPLAST AS UNSPONSORED ADR		2016-10-05	2018-05-08
1696 SHS COLOPLAST AS UNSPONSORED ADR		2017-01-05	2018-05-08
458 SHS COMPASS GROUP PLC AMERICAN DEPOSITARY RECEIPTS SPONSORED		2016-05-11	2018-01-10
150 SHS COMPASS GROUP PLC AMERICAN DEPOSITARY RECEIPTS SPONSORED		2016-05-11	2018-04-11
241 SHS COMPASS GROUP PLC AMERICAN DEPOSITARY RECEIPTS SPONSORED		2016-05-11	2018-04-17
73 SHS COMPASS GROUP PLC AMERICAN DEPOSITARY RECEIPTS SPONSORED		2016-05-11	2018-10-31
68 SHS DEERE & CO		2016-05-11	2018-01-10
57 SHS DEERE & CO		2016-05-11	2018-04-17
77 SHS DEERE & CO		2016-05-11	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,299		2,777	522
6,987		5,875	1,112
15,370		11,993	3,377
9,725		8,627	1,098
3,215		2,825	390
5,087		4,540	547
1,448		1,375	73
11,414		5,745	5,669
8,634		4,816	3,818
10,252		6,505	3,747

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			522
			1,112
			3,377
			1,098
			390
			547
			73
			5,669
			3,818
			3,747

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 SHS DEERE & CO		2016-08-09	2018-05-03
1 65 SHS DEERE & CO		2016-08-09	2018-08-09
62 SHS DEERE & CO		2016-09-06	2018-08-09
16 SHS DEERE & CO		2016-10-05	2018-08-09
5 SHS DEERE & CO		2016-10-05	2018-12-14
5104 19700 SHS DODGE & COX INCOME FUND		2016-05-11	2018-04-11
8565 36900 SHS DODGE & COX INCOME FUND		2016-05-11	2018-04-17
13075 61800 SHS DODGE & COX INCOME FUND		2016-05-11	2018-12-14
7483 71800 SHS DODGE & COX INCOME FUND		2016-06-06	2018-12-14
98 72300 SHS DODGE & COX INCOME FUND		2016-06-29	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,065		629	436
9,184		5,114	4,070
8,760		5,279	3,481
2,261		1,378	883
745		431	314
69,060		69,528	-468
115,804		117,791	-1,987
174,429		179,392	-4,963
99,833		102,859	-3,026
1,317		1,357	-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			436
			4,070
			3,481
			883
			314
			-468
			-1,987
			-4,963
			-3,026
			-40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
121 SHS DOLLAR TREE INC			2016-05-11	2018-01-10
1	20 SHS DOLLAR TREE INC		2016-05-11	2018-02-27
84 SHS DOLLAR TREE INC			2016-05-11	2018-04-17
28 SHS DOLLAR TREE INC			2016-05-11	2018-10-04
29 SHS DOLLAR TREE INC			2016-05-11	2018-12-14
281 SHS DSV A/S UNSPONSORED ADR			2016-08-19	2018-01-10
78 SHS DSV A/S UNSPONSORED ADR			2016-08-19	2018-04-11
179 SHS DSV A/S UNSPONSORED ADR			2016-08-19	2018-04-17
107 SHS DSV A/S UNSPONSORED ADR			2016-08-19	2018-10-31
17554 10200 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-05-11	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
13,214		9,607	3,607
2,091		1,588	503
8,316		6,669	1,647
2,268		2,223	45
2,493		2,303	190
11,452		7,107	4,345
3,070		1,973	1,097
7,284		4,527	2,757
4,283		2,706	1,577
179,227		184,757	-5,530

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,607
			503
			1,647
			45
			190
			4,345
			1,097
			2,757
			1,577
			-5,530

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1744 87900 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-05-11	2018-04-11
1 2109 20700 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-05-11	2018-04-17
1934 66900 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-05-11	2018-11-09
6317 70500 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-08-09	2018-11-09
5113 73600 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-09-06	2018-11-09
4542 58800 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-10-05	2018-11-09
156 16300 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-12-28	2018-11-09
2018 68700 SHS EQUINOX FDS TR IPM SYSTEMATIC MACRO FD CL I			2016-12-28	2018-11-09
76 SHS ESSILORLUXOTTICA AMERICAN DEPOSITORY RECEIPTS UNSPONSORED			2016-06-09	2018-01-10
38 SHS ESSILORLUXOTTICA AMERICAN DEPOSITORY RECEIPTS UNSPONSORED			2016-06-09	2018-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
17,937		18,366	-429
22,020		22,201	-181
19,579		20,364	-785
63,935		66,499	-2,564
51,751		53,826	-2,075
45,971		47,815	-1,844
1,580		1,644	-64
20,429		21,248	-819
5,072		5,121	-49
2,622		2,560	62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-429
			-181
			-785
			-2,564
			-2,075
			-1,844
			-64
			-819
			-49
			62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 SHS ESSILORLUXOTTICA AMERICAN DEPOSITORY RECEIPTS UNSPONSORED		2016-06-09	2018-04-17
1 500 SHS EXPERIAN PLC SPONSORED ADR		2016-05-11	2018-01-10
156 SHS EXPERIAN PLC SPONSORED ADR		2016-05-11	2018-04-11
279 SHS EXPERIAN PLC SPONSORED ADR		2016-05-11	2018-04-17
19 SHS EXPERIAN PLC SPONSORED ADR		2016-05-11	2018-05-10
67 SHS EXPERIAN PLC SPONSORED ADR		2016-05-11	2018-10-31
23 SHS FEDEX CORP		2016-05-11	2018-01-10
5 SHS FEDEX CORP		2016-05-11	2018-02-27
16 SHS FEDEX CORP		2016-05-11	2018-04-17
6 SHS FEDEX CORP		2016-05-11	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,504		3,369	135
11,327		9,165	2,162
3,435		2,859	576
6,204		5,114	1,090
450		348	102
1,540		1,228	312
6,133		3,733	2,400
1,250		811	439
4,031		2,597	1,434
1,118		974	144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			135
			2,162
			576
			1,090
			102
			312
			2,400
			439
			1,434
			144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
51 SHS GENERAL DYNAMICS CORP			2016-05-11	2018-01-10
1	10 SHS GENERAL DYNAMICS CORP		2016-05-11	2018-02-27
32 SHS GENERAL DYNAMICS CORP			2016-05-11	2018-04-17
30 SHS GENERAL DYNAMICS CORP			2016-05-11	2018-05-03
38 SHS GENERAL DYNAMICS CORP			2016-08-09	2018-05-03
11 SHS GENERAL DYNAMICS CORP			2016-08-09	2018-12-14
30819 38200 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL			2016-10-28	2018-01-10
2 08900 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL			2016-11-01	2018-01-10
52 79300 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL			2016-12-01	2018-01-10
21 14700 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL			2017-01-03	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
10,451		7,422	3,029
2,253		1,455	798
7,100		4,657	2,443
5,792		4,366	1,426
7,336		5,635	1,701
1,868		1,631	237
294,633		295,460	-827
20		20	0
505		506	-1
202		203	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,029
			798
			2,443
			1,426
			1,701
			237
			-827
			0
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6129 1500 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL			2017-01-05	2018-01-10
1	793 72200 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL		2017-01-05	2018-04-11
1016 19600 SHS GOLDMAN SACHS STRATEGIC INCOME FUND INSTL CL			2017-01-05	2018-04-17
597 78000 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-01-05	2018-10-22
60 132000 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-02-01	2018-10-22
4289 73600 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-02-24	2018-10-22
64 08900 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-03-01	2018-10-22
16 79700 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-04-03	2018-10-22
82 01900 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-05-01	2018-10-22
71 32700 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6			2017-05-31	2018-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
58,595		58,759	-164
7,628		7,609	19
9,766		9,742	24
5,553		5,728	-175
559		576	-17
39,852		41,102	-1,250
595		614	-19
156		161	-5
762		786	-24
663		683	-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-164
			19
			24
			-175
			-17
			-1,250
			-19
			-5
			-24
			-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
75 49600 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6		2017-06-30	2018-10-22
1 5402 68900 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6		2017-07-27	2018-10-22
76 47000 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6		2017-07-31	2018-10-22
97 66400 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6		2017-08-31	2018-10-22
36 13900 SHS GOLDMAN SACHS TR STRATEGIC INCOME FD CL R6		2017-09-29	2018-10-22
91 SHS HDFC BK LTD ADR REPSTG 3 SHS		2016-05-11	2018-01-10
40 SHS HDFC BK LTD ADR REPSTG 3 SHS		2016-05-11	2018-04-11
52 SHS HDFC BK LTD ADR REPSTG 3 SHS		2016-05-11	2018-04-17
33 SHS HDFC BK LTD ADR REPSTG 3 SHS		2016-05-11	2018-10-31
7434 48893 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES		2016-05-11	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
701		723	-22
50,191		51,766	-1,575
710		733	-23
907		936	-29
336		346	-10
9,191		5,813	3,378
3,923		2,555	1,368
5,118		3,322	1,796
2,922		2,108	814
74,642		79,075	-4,433

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-22
			-1,575
			-23
			-29
			-10
			3,378
			1,368
			1,796
			814
			-4,433

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
27 99029 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-06-01	2018-12-14
1	46 99415 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES		2016-07-01	2018-12-14
48 33987 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-08-01	2018-12-14
4851 45269 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-08-09	2018-12-14
56 23434 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-09-01	2018-12-14
4655 94924 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-09-06	2018-12-14
68 71942 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-10-03	2018-12-14
3942 58207 SHS HANCOCK JOHN FDS II STRATEGIC INC OPPORTUNITIES			2016-10-05	2018-12-14
122 SHS HERMES INTL S A UNSPONSORED ADR			2016-06-09	2018-01-10
48 SHS HERMES INTL S A UNSPONSORED ADR			2016-06-09	2018-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
281		298	-17
472		501	-29
485		515	-30
48,709		51,695	-2,986
565		599	-34
46,746		49,611	-2,865
690		732	-42
39,584		42,010	-2,426
6,566		4,587	1,979
3,030		1,805	1,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-29
			-30
			-2,986
			-34
			-2,865
			-42
			-2,426
			1,979
			1,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
47 SHS HERMES INTL S A UNSPONSORED ADR		2016-06-09	2018-04-17
1 86 SHS HERMES INTL S A UNSPONSORED ADR		2016-06-09	2018-07-18
34 SHS HERMES INTL S A UNSPONSORED ADR		2016-06-09	2018-07-19
91 SHS HERMES INTL S A UNSPONSORED ADR		2016-08-09	2018-07-19
83 SHS HERMES INTL S A UNSPONSORED ADR		2016-09-06	2018-07-19
89 SHS HERMES INTL S A UNSPONSORED ADR		2016-10-05	2018-07-19
234 SHS HERMES INTL S A UNSPONSORED ADR		2017-01-05	2018-07-19
162 SHS INDUSTRIA DE DISENO TEXTI INDITEX SA UNSPONSORED ADR		2016-05-11	2018-01-10
88 SHS INDUSTRIA DE DISENO TEXTI INDITEX SA UNSPONSORED ADR		2016-05-11	2018-04-11
124 SHS INDUSTRIA DE DISENO TEXTI INDITEX SA UNSPONSORED ADR		2016-05-11	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,044		1,767	1,277
5,414		3,233	2,181
2,131		1,278	853
5,704		3,925	1,779
5,203		3,655	1,548
5,579		3,641	1,938
14,667		9,637	5,030
2,798		2,600	198
1,387		1,397	-10
1,928		1,990	-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,277
			2,181
			853
			1,779
			1,548
			1,938
			5,030
			198
			-10
			-62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9311 72300 JPMORGAN GLOBAL RESEARCH ENHANCED INDEX FUND CL I			2016-05-11	2018-01-10
1	1693 74800 JPMORGAN GLOBAL RESEARCH ENHANCED INDEX FUND CL I		2016-08-09	2018-01-10
10247 29800 SHS JOHN HANCOCK FDSII ABSLT RETURN CURR FD CL I			2017-01-05	2018-01-10
2216 58900 SHS JOHN HANCOCK FDS II STRATEGIC INCOME OPPTYS FD I			2016-05-11	2018-04-11
3658 71500 SHS JOHN HANCOCK FDS II STRATEGIC INCOME OPPTYS FD I			2016-05-11	2018-04-17
14875 0611 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-05-11	2018-01-31
64 42008 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-06-29	2018-01-31
97 27949 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-07-28	2018-01-31
5066 53357 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-08-09	2018-01-31
104 24857 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-08-30	2018-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
217,336		171,741	45,595
39,532		31,239	8,293
96,530		99,768	-3,238
23,851		23,617	234
39,441		38,982	459
149,346		147,290	2,056
647		638	9
977		963	14
50,868		50,168	700
1,047		1,032	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			45,595
			8,293
			-3,238
			234
			459
			2,056
			9
			14
			700
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4940 57353 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-09-06	2018-01-31
1	71 48408 SHS JPMORGAN UNCONSTRAINED DEB FUND R6		2016-09-29	2018-01-31
4831 40284 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-10-05	2018-01-31
151 61758 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-10-28	2018-01-31
149 58060 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-11-29	2018-01-31
350 30047 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2016-12-29	2018-01-31
10291 17929 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2017-01-05	2018-01-31
111 27560 SHS JPMORGAN UNCONSTRAINED DEB FUND R6			2017-01-30	2018-01-31
452 69000 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD			2016-08-09	2018-04-11
1775 9321 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD			2016-08-09	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
49,603		48,921	682
718		708	10
48,507		47,840	667
1,522		1,501	21
1,502		1,481	21
3,517		3,469	48
103,323		101,901	1,422
1,117		1,102	15
10,072		8,262	1,810
40,225		32,412	7,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			682
			10
			667
			21
			21
			48
			1,422
			15
			1,810
			7,813

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 06989 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD		2016-09-06	2018-04-17
1 4015 64785 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD		2016-09-06	2018-07-11
4541 48330 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD		2016-10-05	2018-07-11
777 06977 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD		2016-12-21	2018-07-11
7150 50959 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD		2017-01-05	2018-07-11
1202 14477 SHS JPMORGAN TR I GLOBAL RSRCH ENHNCD INDX FD		2017-02-24	2018-07-11
5197 11500 SHS JPMORGAN TRII CORE PLUS BD FD ULTRA CL		2016-05-11	2018-04-11
9521 93600 SHS JPMORGAN TRII CORE PLUS BD FD ULTRA CL		2016-05-11	2018-04-17
6729 08900 SHS JPMORGAN TRII CORE PLUS BD FD ULTRA CL		2016-05-11	2018-12-14
194 SHS LVMH MOET HENNESSEY LOUIS VUITTON SA UNSPONSORED ADR		2016-05-11	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
568		458	110
91,195		73,730	17,465
103,137		83,384	19,753
17,647		14,267	3,380
162,388		131,287	31,101
27,301		22,072	5,229
42,201		42,634	-433
77,223		79,033	-1,810
53,765		55,613	-1,848
11,221		6,500	4,721

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			110
			17,465
			19,753
			3,380
			31,101
			5,229
			-433
			-1,810
			-1,848
			4,721

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
82 SHS LVMH MOET HENNESSEY LOUIS VUITTON SA UNSPONSORED ADR		2016-05-11	2018-04-11
1 57 SHS LVMH MOET HENNESSEY LOUIS VUITTON SA UNSPONSORED ADR		2016-05-11	2018-04-17
20 SHS LVMH MOET HENNESSEY LOUIS VUITTON SA UNSPONSORED ADR		2016-05-11	2018-10-31
99 SHS ELI LILLY & CO		2016-05-11	2018-01-10
241 SHS ELI LILLY & CO		2016-05-11	2018-04-04
188 SHS ELI LILLY & CO		2016-09-06	2018-04-04
89 SHS ELI LILLY & CO		2016-10-05	2018-04-04
86 SHS ELI LILLY & CO		2017-01-05	2018-04-04
67 SHS LOWES COMPANIES INC		2016-05-11	2018-01-10
42 SHS LOWES COMPANIES INC		2016-05-11	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,646		2,748	2,898
3,921		1,910	2,011
1,213		670	543
8,493		7,615	878
18,873		18,538	335
14,722		14,745	-23
6,970		7,235	-265
6,735		6,496	239
6,322		5,060	1,262
3,643		3,172	471

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,898
			2,011
			543
			878
			335
			-23
			-265
			239
			1,262
			471

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55 SHS LOWES COMPANIES INC			2016-05-11	2018-05-03
1	100 SHS LOWES COMPANIES INC		2016-08-09	2018-05-03
15 SHS LOWES COMPANIES INC			2016-09-06	2018-05-03
67 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2016-11-18	2018-01-10
33 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2016-11-18	2018-04-11
51 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2016-11-18	2018-04-17
34 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2016-11-18	2018-10-31
107 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2016-11-18	2018-11-15
115 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2016-11-21	2018-11-15
189 SHS LUXOTTICA GROUP SPA AMERICAN DEPOSITORY RECEIPTS SPONSORED			2017-01-05	2018-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,516		4,154	362
8,211		8,160	51
1,232		1,186	46
4,047		3,475	572
2,086		1,712	374
3,282		2,645	637
2,130		1,764	366
6,462		5,550	912
6,945		6,095	850
11,415		9,669	1,746

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			362
			51
			46
			572
			374
			637
			366
			912
			850
			1,746

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1128 41200 SHS MFS SER TR X EMERGING MARK DEBT FUND CLASS I			2016-07-28	2018-04-11
1 2634 63700 SHS MFS SER TR X EMERGING MARK DEBT FUND CLASS I			2016-07-28	2018-04-17
1117 00700 SHS MFS SER TR X EMERGING MKTS DEBT FD R6			2016-07-28	2018-12-14
4068 28300 SHS MAINSTAY FDS MACKAY HGH YLD CORP BD FD R6			2016-05-11	2018-04-11
7066 90000 SHS MAINSTAY FDS MACKAY HGH YLD CORP BD FD R6			2016-05-11	2018-04-17
4327 38500 SHS MAINSTAY FDS MACKAY HGH YLD CORP BD FD R6			2016-05-11	2018-12-14
118 SHS NESTLE SA-SPONSORED ADR REPSTG REGD ORD SF 10 PAR			2016-05-11	2018-01-10
51 SHS NESTLE SA-SPONSORED ADR REPSTG REGD ORD SF 10 PAR			2016-05-11	2018-04-11
61 SHS NESTLE SA-SPONSORED ADR REPSTG REGD ORD SF 10 PAR			2016-05-11	2018-04-17
11 SHS NESTLE SA-SPONSORED ADR REPSTG REGD ORD SF 10 PAR			2016-05-11	2018-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
16,543		16,543	0
38,597		39,160	-563
15,269		16,302	-1,033
23,026		22,783	243
40,211		39,575	636
23,584		24,109	-525
10,020		8,838	1,182
4,079		3,820	259
4,743		4,569	174
848		824	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			-563
			-1,033
			243
			636
			-525
			1,182
			259
			174
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
20 SHS NESTLE SA-SPONSORED ADR REPSTG REGD ORD SF 10 PAR		2016-05-11	2018-12-14
1 769 36700 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-05-11	2018-04-11
2064 40000 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-05-11	2018-04-17
4005 60600 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-05-11	2018-07-11
3137 53300 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-08-09	2018-07-11
639 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-09-06	2018-07-11
2564 93400 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-09-06	2018-11-09
3129 46700 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2016-10-05	2018-11-09
5880 79400 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2017-01-05	2018-11-09
1144 68800 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2017-02-24	2018-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,682		1,498	184
11,117		9,905	1,212
30,099		26,577	3,522
59,684		51,716	7,968
46,749		40,509	6,240
9,527		8,255	1,272
37,422		33,116	4,306
45,659		40,405	5,254
85,801		75,927	9,874
16,701		14,779	1,922

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			184
			1,212
			3,522
			7,968
			6,240
			1,272
			4,306
			5,254
			9,874
			1,922

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1013 06500 SHS NEUBERGER BERMAN ALTERNATI FDS LONG SHORT FD INSTL CL		2017-07-27	2018-11-09
1 19 SHS NEWMARKET CORPORATION		2016-05-11	2018-01-10
2 SHS NEWMARKET CORPORATION		2016-05-11	2018-02-27
12 SHS NEWMARKET CORPORATION		2016-05-11	2018-04-17
6 SHS NEWMARKET CORPORATION		2016-05-11	2018-12-14
113 SHS NIKE INC		2016-08-25	2018-01-10
6 SHS NIKE INC		2016-08-25	2018-02-27
82 SHS NIKE INC		2016-08-25	2018-04-17
263 SHS NIKE INC		2016-08-25	2018-10-04
128 SHS NIKE INC		2016-09-06	2018-10-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,781		13,080	1,701
7,519		7,750	-231
840		816	24
4,998		4,895	103
2,343		2,447	-104
7,237		6,685	552
410		355	55
5,536		4,851	685
21,140		15,559	5,581
10,289		7,844	2,445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,701
			-231
			24
			103
			-104
			552
			55
			685
			5,581
			2,445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
102 SHS NIKE INC		2016-10-05	2018-10-04
1 129 SHS NIKE INC		2017-01-05	2018-10-04
72 SHS NIKE INC		2017-07-27	2018-10-04
62 SHS NORFOLK SOUTHERN CORP		2016-05-11	2018-01-10
38 SHS NORFOLK SOUTHERN CORP		2016-05-11	2018-04-17
127 SHS NOVOZYMES A/S ADR		2016-05-11	2018-01-10
73 SHS NOVOZYMES A/S ADR		2016-05-11	2018-04-11
76 SHS NOVOZYMES A/S ADR		2016-05-11	2018-04-17
86 SHS NOVOZYMES A/S ADR		2016-05-11	2018-05-01
122 SHS NOVOZYMES A/S ADR		2016-08-09	2018-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
8,199		5,462	2,737
10,369		6,785	3,584
5,788		4,188	1,600
9,484		5,558	3,926
5,138		3,407	1,731
7,054		6,158	896
3,724		3,540	184
3,915		3,685	230
4,030		4,170	-140
5,717		5,924	-207

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,737
			3,584
			1,600
			3,926
			1,731
			896
			184
			230
			-140
			-207

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)
113 SHS NOVOZYMES A/S ADR			2016-09-06
1	102 SHS NOVOZYMES A/S ADR		2016-10-05
313 SHS NOVOZYMES A/S ADR			2017-01-05
42 SHS NOVOZYMES A/S ADR			2017-04-12
25 SHS O REILLY AUTOMOTIVE INC			2016-05-11
15 SHS O REILLY AUTOMOTIVE INC			2016-05-11
7 SHS O REILLY AUTOMOTIVE INC			2016-05-11
3530 19000 SHS PIMCO HIGH YIELD FUND INSTL CL			2016-10-05
229 27200 SHS PIMCO HIGH YIELD FUND INSTL CL			2016-11-01
257 59200 SHS PIMCO HIGH YIELD FUND INSTL CL			2016-12-01
			2018-05-01
			2018-05-01
			2018-05-01
			2018-05-01
			2018-01-10
			2018-04-17
			2018-12-14
			2018-02-15
			2018-02-15
			2018-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
5,295		4,988	307
4,780		4,516	264
14,667		13,073	1,594
1,968		1,722	246
6,492		6,597	-105
3,400		3,958	-558
2,474		1,847	627
31,066		30,747	319
2,018		1,997	21
2,267		2,244	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			307
			264
			1,594
			246
			-105
			-558
			627
			319
			21
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
201 99400 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-01-03	2018-02-15
1	64 17300 SHS PIMCO HIGH YIELD FUND INSTL CL		2017-01-04	2018-02-15
12879 14900 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-01-05	2018-02-15
280 49700 SHS PIMCO HIGH YIELD FUND INSTL CL			2017-02-01	2018-02-15
721 41800 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-08-09	2018-04-17
5177 99100 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-08-09	2018-11-09
82 73900 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-09-01	2018-11-09
7680 74100 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-09-06	2018-11-09
85 28100 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-10-03	2018-11-09
6788 03900 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-10-05	2018-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,778		1,759	19
565		559	6
113,337		112,174	1,163
2,468		2,443	25
7,791		7,558	233
55,663		54,283	1,380
889		867	22
82,568		80,520	2,048
917		894	23
72,971		71,161	1,810

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			19
			6
			1,163
			25
			233
			1,380
			22
			2,048
			23
			1,810

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
73 77000 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-11-01	2018-11-09
1	44 37200 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2016-11-02	2018-11-09
66 13400 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2016-12-01	2018-11-09
59 90100 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-01-03	2018-11-09
7686 45200 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-01-05	2018-11-09
40 67900 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-02-01	2018-11-09
42 70800 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-03-01	2018-11-09
54 48100 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-04-03	2018-11-09
44 75800 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-05-01	2018-11-09
44 85100 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST			2017-06-01	2018-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
793		773	20
477		465	12
711		693	18
644		628	16
82,629		80,579	2,050
437		426	11
459		448	11
586		571	15
481		469	12
482		470	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			20
			12
			18
			16
			2,050
			11
			11
			15
			12
			12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
51 07300 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-07-03	2018-11-09
1 47 97200 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-08-01	2018-11-09
53 96800 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-09-01	2018-11-09
54 65900 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-10-02	2018-11-09
55 10300 SHS PIMCO FDS PIMCO DYNAMIC BOND FUND INST		2017-11-01	2018-11-09
220 SHS PROGRESSIVE CORP-OHIO		2016-05-11	2018-01-10
38 SHS PROGRESSIVE CORP-OHIO		2016-05-11	2018-02-27
152 SHS PROGRESSIVE CORP-OHIO		2016-05-11	2018-04-17
16 SHS PROGRESSIVE CORP-OHIO		2016-05-11	2018-05-03
46 SHS PROGRESSIVE CORP-OHIO		2016-05-11	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
549		535	14
516		503	13
580		566	14
588		573	15
592		578	14
12,236		7,344	4,892
2,239		1,268	971
9,477		5,074	4,403
937		534	403
2,855		1,535	1,320

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			13
			14
			15
			14
			4,892
			971
			4,403
			403
			1,320

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
521 SHS RECKITT BENCKISER PLC SPONSORED ADR		2016-05-11	2018-01-10
1 943 SHS RECKITT BENCKISER PLC SPONSORED ADR		2016-05-11	2018-04-02
440 SHS RECKITT BENCKISER PLC SPONSORED ADR		2016-08-09	2018-04-02
405 SHS RECKITT BENCKISER PLC SPONSORED ADR		2016-09-06	2018-04-02
432 SHS RECKITT BENCKISER PLC SPONSORED ADR		2016-10-05	2018-04-02
1125 SHS RECKITT BENCKISER PLC SPONSORED ADR		2017-01-05	2018-04-02
10153 00595 SHS ROWE T PRICE NEW INCOME FD INC CL I		2016-05-11	2018-04-11
74 39190 SHS ROWE T PRICE NEW INCOME FD INC CL I		2016-06-01	2018-04-11
117 70341 SHS ROWE T PRICE NEW INCOME FD INC CL I		2016-07-01	2018-04-11
116 40001 SHS ROWE T PRICE NEW INCOME FD INC CL I		2016-08-01	2018-04-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
9,725		10,524	-799
15,469		19,049	-3,580
7,218		8,761	-1,543
6,644		8,254	-1,610
7,087		8,117	-1,030
18,455		19,357	-902
94,220		94,220	0
690		690	0
1,092		1,092	0
1,080		1,080	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-799
			-3,580
			-1,543
			-1,610
			-1,030
			-902
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1416 99573 SHS ROWE T PRICE NEW INCOME FD INC CL I			2016-08-09	2018-04-11
1	10371 70386 SHS ROWE T PRICE NEW INCOME FD INC CL I		2016-08-09	2018-04-17
134 20113 SHS ROWE T PRICE NEW INCOME FD INC CL I			2016-09-01	2018-04-17
7983 58601 SHS ROWE T PRICE NEW INCOME FD INC CL I			2016-09-06	2018-04-17
3288 07926 SHS ROWE T PRICE NEW INCOME FD INC CL I			2016-09-06	2018-12-14
164 43360 SHS ROWE T PRICE NEW INCOME FD INC CL I			2016-10-03	2018-12-14
8444 71914 SHS ROWE T PRICE NEW INCOME FD INC CL I			2016-10-05	2018-12-14
48 SHS SOUTHWEST AIRLINES CO			2017-01-25	2018-04-17
31 SHS SOUTHWEST AIRLINES CO			2017-01-25	2018-12-14
100 SHS SYSMEX CORPORATION UNSPONSORED ADR			2016-05-11	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
13,150		13,150	0
96,146		97,029	-883
1,244		1,268	-24
74,008		75,440	-1,432
29,856		30,622	-766
1,493		1,553	-60
76,678		79,735	-3,057
2,632		2,381	251
1,565		1,538	27
4,078		3,440	638

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			-883
			-24
			-1,432
			-766
			-60
			-3,057
			251
			27
			638

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
36 SHS SYSMEX CORPORATION UNSPONSORED ADR			2016-05-11	2018-04-11
1	61 SHS SYSMEX CORPORATION UNSPONSORED ADR		2016-05-11	2018-04-17
106 SHS SYSMEX CORPORATION UNSPONSORED ADR			2016-05-11	2018-12-24
90 SHS SYSMEX CORPORATION UNSPONSORED ADR			2016-08-09	2018-12-24
84 SHS SYSMEX CORPORATION UNSPONSORED ADR			2016-09-06	2018-12-24
95 SHS SYSMEX CORPORATION UNSPONSORED ADR			2016-10-05	2018-12-24
237 SHS SYSMEX CORPORATION UNSPONSORED ADR			2017-01-05	2018-12-24
214 SHS TAIWAN SEMICONDUCTOR MFG LTD-SPONSORED ADR REPSTG 5 C			2016-05-11	2018-01-10
150 SHS TAIWAN SEMICONDUCTOR MFG LTD-SPONSORED ADR REPSTG 5 C			2016-05-11	2018-04-11
73 SHS TAIWAN SEMICONDUCTOR MFG LTD-SPONSORED ADR REPSTG 5 C			2016-05-11	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,585		1,238	347
2,695		2,098	597
2,451		3,646	-1,195
2,081		3,077	-996
1,943		2,724	-781
2,197		3,470	-1,273
5,481		7,329	-1,848
8,811		4,973	3,838
6,537		3,486	3,051
3,075		1,696	1,379

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			347
			597
			-1,195
			-996
			-781
			-1,273
			-1,848
			3,838
			3,051
			1,379

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
28 SHS TAIWAN SEMICONDUCTOR MFG LTD-SPONSORED ADR REPSTG 5 C			2016-08-09	2018-04-17
1	176 SHS TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING SHARES		2016-08-09	2018-01-10
	15 SHS TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING SHARES		2016-09-06	2018-01-10
	93 SHS TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING SHARES		2016-09-06	2018-04-11
	81 SHS TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING SHARES		2016-09-06	2018-04-17
	44 SHS TENCENT HOLDINGS LIMITED UNSPONSORED ADR REPRESENTING SHARES		2016-09-06	2018-10-31
	4115 56200 SHS VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD		2016-05-11	2018-04-11
	7940 11200 SHS VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD		2016-05-11	2018-04-17
	5641 43500 SHS VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD		2016-05-11	2018-12-14
	2638 SHS VANGUARD INTL EQUITY INDEX F FTSE EUROPE ETF		2017-05-25	2018-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,179		821	358
9,854		4,286	5,568
840		414	426
4,967		2,568	2,399
4,157		2,236	1,921
1,496		1,215	281
39,098		39,824	-726
75,272		78,758	-3,486
52,578		55,559	-2,981
156,516		147,299	9,217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			358
			5,568
			426
			2,399
			1,921
			281
			-726
			-3,486
			-2,981
			9,217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
282 15200 SHS VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRA			2016-10-05	2018-04-11
1	491 32300 SHS VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRA		2016-10-05	2018-04-17
16 88500 SHS VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRA			2016-12-22	2018-04-17
644 90400 SHS VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRA			2017-01-05	2018-04-17
92 SHS VERIZON COMMUNICATIONS			2016-05-11	2018-01-10
2 SHS VERIZON COMMUNICATIONS			2016-05-11	2018-02-27
48 SHS VERIZON COMMUNICATIONS			2016-05-11	2018-04-17
89 SHS VISA INC CL A COMMON STOCK			2016-05-11	2018-01-10
9 SHS VISA INC CL A COMMON STOCK			2016-05-11	2018-02-27
72 SHS VISA INC CL A COMMON STOCK			2016-05-11	2018-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
68,868		61,596	7,272
122,860		107,259	15,601
4,222		3,686	536
161,265		140,787	20,478
4,738		4,724	14
97		103	-6
2,345		2,465	-120
10,524		6,937	3,587
1,118		701	417
8,898		5,612	3,286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			7,272
			15,601
			536
			20,478
			14
			-6
			-120
			3,587
			417
			3,286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 SHS VISA INC CL A COMMON STOCK			2016-05-11	2018-12-14
1	253 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-05-11	2018-01-10
	13 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-08-09	2018-01-10
	95 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-08-09	2018-04-11
	19 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-09-06	2018-04-11
	81 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-09-06	2018-04-17
	30 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-10-05	2018-04-17
	86 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2016-10-05	2018-10-31
	7 SHS WAL-MART DE MEXICO S A B C V SPONS ADR REPSTG SER V S		2017-01-05	2018-10-31
	156 SHS WELLS FARGO & CO		2016-05-11	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,847		1,637	1,210
6,098		6,112	-14
313		326	-13
2,582		2,385	197
516		444	72
2,235		1,892	343
828		672	156
2,197		1,926	271
179		121	58
9,883		7,735	2,148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,210
			-14
			-13
			197
			72
			343
			156
			271
			58
			2,148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
80 SHS WELLS FARGO & CO			2016-05-11	2018-04-17
1	11 SHS WELLS FARGO & CO		2016-05-11	2018-05-03
4158 60300 SHS WELLS FARGO CORE BOND FUND INSTITUTIONAL			2016-05-11	2018-04-11
3555 99300 SHS WELLS FARGO CORE BOND FUND INSTITUTIONAL			2016-05-11	2018-04-17
39 27500 SHS WELLS FARGO CORE BOND FUND INSTITUTIONAL			2016-06-01	2018-04-17
60 26200 SHS WELLS FARGO CORE BOND FUND INSTITUTIONAL			2016-07-01	2018-04-17
59 14400 SHS WELLS FARGO CORE BOND FUND INSTITUTIONAL			2016-08-01	2018-04-17
3805 30900 SHS WELLS FARGO CORE BOND FUND INSTITUTIONAL			2016-08-09	2018-04-17
4914 57569 SHS WELLS FARGO FDS TR TOTAL RETURN BD FD CL R6			2016-08-09	2018-12-14
66 19899 SHS WELLS FARGO FDS TR TOTAL RETURN BD FD CL R6			2016-09-01	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,071		3,967	104
563		545	18
52,440		53,076	-636
44,770		45,959	-1,189
494		508	-14
759		779	-20
745		764	-19
47,909		49,181	-1,272
61,039		63,294	-2,255
822		856	-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			104
			18
			-636
			-1,189
			-14
			-20
			-19
			-1,272
			-2,255
			-34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
227 47132 SHS WELLS FARGO FDS TR TOTAL RETURN BD FD CL R6		2016-09-06	2018-12-14
1 63 SHS ACCENTURE PLC IRELAND SHS CL A		2016-05-11	2018-01-10
7 SHS ACCENTURE PLC IRELAND SHS CL A		2016-08-09	2018-01-10
22 SHS ACCENTURE PLC IRELAND SHS CL A		2016-08-09	2018-04-11
45 SHS ACCENTURE PLC IRELAND SHS CL A		2016-08-24	2018-04-17
14 SHS ACCENTURE PLC IRELAND SHS CL A		2016-08-24	2018-10-31
60 SHS ICON PLC		2016-05-11	2018-01-10
26 SHS ICON PLC		2016-05-11	2018-04-11
29 SHS ICON PLC		2016-05-11	2018-04-17
12 SHS ICON PLC		2016-05-11	2018-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,825		2,940	-115
9,958		7,336	2,622
1,106		815	291
3,290		2,561	729
6,894		5,199	1,695
2,215		1,605	610
7,021		3,831	3,190
3,037		1,660	1,377
3,469		1,852	1,617
1,649		766	883

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-115
			2,622
			291
			729
			1,695
			610
			3,190
			1,377
			1,617
			883

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
82 SHS CHUBB LTD COM		2016-05-11	2018-01-10
1 26 SHS CHUBB LTD COM		2016-05-11	2018-04-11
46 SHS CHUBB LTD COM		2016-05-11	2018-04-17
2 SHS CHUBB LTD COM		2016-05-11	2018-05-10
63 SHS CORE LABORATORIES NV		2016-05-11	2018-01-10
29 SHS CORE LABORATORIES NV		2016-05-11	2018-04-11
25 SHS CORE LABORATORIES NV		2016-05-11	2018-04-17
19 SHS CORE LABORATORIES NV		2016-05-11	2018-10-31
279 SHS FERRARI N V COM		2017-08-02	2018-08-03
228 SHS YANDEX N V CLASS A		2016-05-11	2018-01-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11,938		10,201	1,737
3,489		3,235	254
6,330		5,723	607
268		249	19
7,411		7,771	-360
3,338		3,470	-132
2,931		3,084	-153
1,638		2,344	-706
35,926		30,379	5,547
7,905		4,524	3,381

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,737
			254
			607
			19
			-360
			-132
			-153
			-706
			5,547
			3,381

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
140 SHS YANDEX N V CLASS A		2016-05-11	2018-04-11
1 61 SHS YANDEX N V CLASS A		2016-05-11	2018-04-17
222 SHS YANDEX N V CLASS A		2016-05-11	2018-04-23
160 SHS YANDEX N V CLASS A		2016-08-09	2018-04-23
186 SHS YANDEX N V CLASS A		2016-09-06	2018-04-23
122 SHS YANDEX N V CLASS A		2016-10-05	2018-04-23
463 SHS YANDEX N V CLASS A		2017-01-05	2018-04-23
73 SHS YANDEX N V CLASS A		2017-02-24	2018-04-23
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,071		2,778	2,293
2,077		1,210	867
7,542		4,405	3,137
5,436		3,566	1,870
6,319		4,136	2,183
4,145		2,688	1,457
15,730		9,761	5,969
2,480		1,712	768
17,008			17,008

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,293
			867
			3,137
			1,870
			2,183
			1,457
			5,969
			768
			17,008

TY 2018 Accounting Fees Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	40,000	4,000		36,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Expenditure Responsibility Statement

Name: SAUL FOUNDATION FOR PROGRESS INC
C/O NIXON PEABODY LLP MICHAEL J COONEY
EIN: 47-4954803

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
INSTITUT CURIE	26 RUE DULM 75248 PARIS CEDEX 05 FR	2016-12-27	200,000	TO DEVELOP A STANDARDIZED AND LOW-COST METHOLD FOR THE EARLY DETECTION OF BREAST CANCER BY HIGHLY TRAINED DOGS	81,101	NOT TO OUR KNOWLEDGE	06/16/2017, 10/25/2018	2018-10-25	N/A
UNIVERSITE LAVAL	2320 RUE DES BIBLIOTHEQUES LOCAL 1462 QUEBEC, QUEBEC G1V 0A6 CA	2016-10-05	1,900,000	TO PREVENT UNINTENDED PREGNANCY AND FERTILITY CONTROL SPACING/PLANNING PREGNANCY	244,892	NOT TO OUR KNOWLEDGE	05/15/2017, 06/01/2018	2018-06-01	N/A

TY 2018 Investments Corporate Stock Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
425367.46 SHS J P MORGAN DEPOSIT SWEEP	425,367	425,367
289079.8 SHS DREYFUS TREASURY SEC CASH MGMT ADMIN	289,080	289,080
377 SHS ACCENTURE PLC IRELAND	44,295	53,161
3700 SHS ACTIVISION BLIZZARD INC COM	173,839	172,309
407 SHS ADIDAS AG	38,873	42,466
90 SHS ADIENT PLC COM USD	4,193	1,355
1927 SHS AIA GROUP LTD	56,410	63,360
460 SHS ALBEMARLE CORPORATION	44,939	35,452
77 SHS ALLEGHANY CORP-DEL	43,092	47,996
319 SHS ALPHABET INC CAP STK CL C	276,244	330,360
750 SHS ALTRIA GROUP INC	49,412	37,043
410 SHS AMADEUS IT GROUP SA	31,222	28,706
3200 SHS AMERICAN AIRLINES GROUP INC COM	132,285	102,752
2500 SHS ANALOG DEVICES INC	210,696	214,575
11000 SHS ANNALY CAPITAL MANAGEMENT INC COM	116,930	108,020
850 SHS ANTHEM INC COM	199,115	223,236
2000 SHS AON PLC COM	233,792	290,720
451 SHS APPLE INC	51,602	71,141
162 SHS ASML HOLDING N V	28,630	25,210
2000 SHS ATHENE HOLDING LTD COM	104,047	79,660
1378 SHS ATLAS COPCO AB-SPONSORED	51,297	32,976
851 SHS BANK OF AMERICA CORP	15,315	20,969
500 SHS BB&T CORP DEP PFD SER H	12,530	11,755
537 SHS BERKSHIRE HATHAWAY INC	81,297	109,645
123 SHS BLACKROCK INC	45,939	48,317
49707.021 SHS BLACKROCK INDEX FDS INC	676,930	584,555
39264.15 SHS BLACKSTONE ALTRNTV INVT	417,681	404,028
600 SHS BOEING CO	195,988	193,500
16389.591 SHS BOSTON PARTNERS INV FDS	182,755	172,582
509 SHS BRISTOL MYERS SQUIBB CO	32,006	26,458

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1000 SHS BROOKFIELD PROPERTY REIT INC PFD A	24,189	22,121
3600 SHS C S X CORP	204,084	223,668
307 SHS CANADIAN PACIFIC RAILWAY	45,360	54,529
1700 SHS CARLYLE GROUP L P PFD UNT A	39,740	34,629
863 SHS CARMAX INC	50,763	54,136
1012 SHS CARNIVAL CORP	52,303	49,892
272 SHS CHEVRON CORPORATION	28,958	29,591
915 SHS CHR HANSEN HLDG	28,260	40,594
2209 SHS CHUBB LTD	286,776	285,359
550 SHS CIGNA CORP NEW COM	122,367	104,456
1041 SHS CISCO SYSTEMS INC	32,038	45,107
3750 SHS CITIGROUP INC COM	209,727	195,225
8800 SHS CITIGROUP INC DEP 6.875% PFD	253,378	230,736
9000 SHS CITIGROUP INC DEP SHS PFD S 6.3%	239,146	229,500
601 SHS COCA COLA COMPANY	26,645	28,457
2328 SHS COMPASS GROUP PLC	45,464	48,655
650 SHS CONCHO RES INC	79,924	66,814
324 SHS CORE LABORATORIES NV	39,536	19,330
21138.72 SHS CRM MUT FD TR	223,047	196,379
1032 SHS CSL LTD	41,858	66,781
2464 SHS CVS HEALTH CORP COM	196,655	161,441
4775 SHS D R HORTON INC COM	144,852	165,502
135 SHS DEERE & CO	14,400	20,138
3950 SHS DELTA AIR LINES INC DEL COM	178,977	197,105
10000 SHS DEUTSCHE BK CONTINGNT CAP TR V	240,008	250,200
77070.25 SHS DODGE & COX INCOME FUND	1,059,207	1,021,952
687 SHS DOLLAR TREE INC	55,950	62,050
1398 SHS DSV A/S	35,143	46,064
1900 SHS EOG RESOURCES INC	183,513	165,699
855 SHS ESSILORLUXOTTICA	54,841	54,002

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
178 SHS ETF SER SOLUTIONS LONCAR CANCER	3,896	3,360
2621 SHS EXPERIAN PLC	51,142	63,310
180 SHS FEDEX CORP	35,121	29,039
2373.859 SHS FIDELITY SALEM STR TR	217,948	206,763
105 SHS GARRETT MOTION INC	1,401	1,296
222 SHS GENERAL DYNAMICS CORP	36,833	34,901
6443.112 SHS GMO TR	208,814	200,832
7000 SHS GOLDMAN SACHS GROUP INC	189,702	177,520
20166.609 SHS HANCOCK JOHN FDS II	214,968	200,456
507 SHS HDFC BK LTD	34,372	52,520
1037 SHS HEXAGON AB	60,821	48,532
250 SHS HOME DEPOT INC	43,803	42,955
1050 SHS HONEYWELL INTERNATIONAL INC COM	124,870	138,726
304 SHS ICON PLC	22,755	39,280
1064 SHS INDUSTRIA DE DISENO	18,294	13,523
878 SHS INVESCO EXCHANGE TRADED FD TR S&P500 EQL WGT	82,468	80,249
6493 SHS ISHARES CORE S&P 500 ETF	1,382,294	1,633,704
800 SHS ISHARES MSCI EMERGING MARKETS ETF	34,892	31,248
596 SHS ISHARES RUSSELL 2000 ETF	93,570	79,804
1864 SHS ISHARES TIPS BOND ETF	208,807	204,127
600 SHS ISHARES TRUST CORE MSCI EUROPE	28,780	24,798
9242 SHS J P MORGAN ETF TR BETABUILDERS CDA	214,322	190,940
9131 SHS J P MORGAN ETF TR BETABUILDERS JAPAN	215,583	192,847
3100 SHS JPMORGAN CHASE & CO	251,173	302,622
500 SHS JPMORGAN CHASE &CO DEP SHS 1/400 6.125% PFD	12,755	12,675
102102.033 SHS JPMORGAN TR II	846,810	818,858
5000 SHS KKR &CO INC	134,427	129,300
5400 SHS LENNAR CORP CL A	262,344	211,410
56 SHS LENNAR CORP CL B	2,078	1,754
3800 SHS LINCOLN NATIONAL CORP IND	237,414	194,978

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
97975.289 SHS LORD ABBETT INVT TR	406,595	406,597
229 SHS LOWES COMPANIES INC	17,312	21,150
819 SHS LVMH MOET HENNESSY LOUIS	29,323	47,879
1600 SHS LYONDELLBASELL INDUSTRIES N V COM	133,907	133,056
74997.957 SHS MAINSTAY FDS	421,668	399,739
229 SHS MARTIN MARIETTA	47,298	39,358
81 SHS METTLER-TOLEDO	47,495	45,812
29720.185 SHS MFS SER TR X	442,187	406,572
2875 SHS MICROSOFT CORP	175,083	292,014
3200 SHS MORGAN STANLEY 6.375% PFD	90,247	80,736
100 SHS MORGAN STANLEY 6.875% PFD	27,528	25,230
1500 SHS MOTOROLA SOLUTIONS INC	191,079	172,560
1212 SHS NESTLE SA-SPONSORED ADR	96,208	98,124
104 SHS NEWMARKET CORPORATION	44,385	42,857
403 SHS NORFOLK SOUTHERN CORP	39,443	60,265
135 SHS O REILLY AUTOMOTIVE INC	37,219	46,485
3700 SHS ORACLE CORP	154,211	167,055
274 SHS P RESMED INC	30,967	31,200
1355 SHS PERNOD RICARD SA	44,285	44,363
41510.776 SHS PIMCO SHORT TERM FUND	409,930	405,145
1249 SHS PROGRESSIVE CORP-OHIO	45,602	75,352
175 SHS RESIDEO TECHNOLOGIES INC	3,753	3,596
201739.05 SHS ROWE T PRICE NEW INCOME	1,905,051	1,845,912
262 SHS RYANAIR HLDGS PLC	31,628	18,691
342 SHS SHOPIFY INC	42,689	47,350
787 SHS SOUTHWEST AIRLINES CO	42,993	36,580
550 SHS SPDR DOW JONES INDL AVERAGE ETF TR UNIT	138,013	128,260
400 SHS SPDR INDEX SHS FDS EURO STOXX 50 ETF	16,081	13,308
389 SHS SPDR S&P 500 ETF TRUST UNIT SER 1 S&P	105,330	97,219
878 SHS SPDR SER TR S&P BIOTECH ETF	71,125	62,997

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
5700 SHS STARWOOD PPTY TR INC COM	132,245	112,347
281 SHS STERIS PLC	32,678	30,025
2650 SHS T MOBILE US INC	172,892	168,567
1466 SHS TAIWAN SEMICONDUCTOR MFG	43,677	54,110
1346 SHS TENCENT HOLDINGS LIMITED	39,900	53,127
2500 SHS TEXTRON INC	118,633	114,975
1025 SHS TRAVELERS COMPANIES INC COM	125,035	122,744
1400 SHS UNITED RENTALS INC	206,381	143,542
350 SHS UNITED TECHNOLOGIES CORP	46,270	37,268
58931.089 SHS VANGUARD BOND INDEX FD	611,514	615,830
133896.692 SHS VANGUARD CHARLOTTE FDS	2,912,114	2,904,219
87350.726 SHS VANGUARD FIXED INCOME	865,446	820,223
6024.695 SHS VANGUARD INDEX TR	1,407,904	1,394,355
3695 SHS VANGUARD INTL EQUITY	206,319	179,651
5198 SHS VANGUARD SHORT TERM BOND	406,696	408,407
2969 SHS VANGUARD TOTAL	161,359	161,068
455 SHS VERIZION COMMUNICATIONS	23,851	25,580
545 SHS VISA INC	46,553	71,907
1338 SHS WAL-MART DE MEXICO S A B	26,617	34,052
893 SHS WELLS FARGO & CO	46,361	41,149
15000 SHS WELLS FARGO & CO NEW DEP SHS REPSTG 1/1000 SER X CL A	370,743	345,750
500 SHS WELLS FARGO & CO NEW PFD SER W CL A 5.7%	12,414	11,900
81911.995 SHS WELLS FARGO FDS TR	1,058,724	1,024,719
14600 SHS WPX ENERGY INC COM	224,850	165,710

TY 2018 Investments - Other Schedule

Name: SAUL FOUNDATION FOR PROGRESS INC
C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PROGRAM RELATED INVESTMENT - UNIVERSITE LAVAL	AT COST	1,900,000	1,900,000

TY 2018 Legal Fees Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	656	0		656

TY 2018 Other Assets Schedule

Name: SAUL FOUNDATION FOR PROGRESS INC
C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED DIVIDEND	15,189	25,072	25,072
SECURITY DEPOSITS	1,304	1,304	1,304

TY 2018 Other Expenses Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REGISTRATION AND FILING FEES	125	0		125
OFFICE EXPENSES	10,571	151		1,360

TY 2018 Other Income Schedule

Name: SAUL FOUNDATION FOR PROGRESS INC
C/O NIXON PEABODY LLP MICHAEL J COONEY
EIN: 47-4954803

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
KKR & CO LP	2,227	2,227	2,227
NONDIVIDEND DISTRIBUTIONS	9,363	0	9,363
CARLYLE GROUP LP	947	947	947
SECTION 951A GILTI	96	96	96

TY 2018 Other Liabilities Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Description	Beginning of Year - Book Value	End of Year - Book Value
OVERDRAFT	0	1,008

TY 2018 Other Professional Fees Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	220,052	220,052		0

TY 2018 Taxes Schedule**Name:** SAUL FOUNDATION FOR PROGRESS INC

C/O NIXON PEABODY LLP MICHAEL J COONEY

EIN: 47-4954803

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	7,390	7,390		0
FEDERAL TAXES	10,000	0		0
DE FRANCHISE TAX	50	0		50