Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
RICHARD J CARNEY AND DONNA R CARNEY

A Employer identification number
45-2031385

Number and street (or P O box number if mail is not delivered to street address) CO LEGACY PRIVATE TRUST CO PO BOX
Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code
NEENAH, WI 549570649

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 588,034

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	14,616	14,616		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	5,001			
	b	Gross sales price for all assets on line 6a 737,415				
	7	Capital gain net income (from Part IV, line 2)		5,001		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	19,617	19,617		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	10,124			
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,585	1,585	0	0
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	1,136	323		813
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	12,845	1,908	0	813
	25	Contributions, gifts, grants paid	32,000			32,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	44,845	1,908	0	32,813
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-25,228			
	b	**Net investment income** (if negative, enter -0-)		17,709		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	10,299	10,908	10,908
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	591,253	565,325	577,126
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			0
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	601,552	576,233	588,034
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	601,552	576,233	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	601,552	576,233	
31	**Total liabilities and net assets/fund balances** (see instructions)	601,552	576,233	

Side labels: Assets (lines 1–16); Liabilities (lines 17–23); Net Assets or Fund Balances (lines 24–31).

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	601,552
2	Enter amount from Part I, line 27a	2	-25,228
3	Other increases not included in line 2 (itemize) ▶	3	3
4	Add lines 1, 2, and 3	4	576,327
5	Decreases not included in line 2 (itemize) ▶	5	94
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	576,233

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	**2**	5,001
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	**(b)** Adjusted qualifying distributions	**(c)** Net value of noncharitable-use assets	**(d)** Distribution ratio (col (b) divided by col (c))
2017	30,369	649,879	0 04673
2016	31,466	622,356	0 050559
2015	31,705	634,713	0 049952
2014	30,980	649,444	0 047702
2013	25,735	618,012	0 041642

2 Total of line 1, column (d)	**2**	0 236585
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	**3**	0 047317
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	**4**	634,104
5 Multiply line 4 by line 3	**5**	30,004
6 Enter 1% of net investment income (1% of Part I, line 27b)	**6**	177
7 Add lines 5 and 6	**7**	30,181
8 Enter qualifying distributions from Part XII, line 4	**8**	32,813

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	177
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	177
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	177
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 552		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	552
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	375
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 180	**Refunded** ▶	11	195

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶			

14 The books are in care of ▶LEGACY PRIVATE TRUST COMPANY Telephone no ▶(920) 967-5020

Located at ▶TWO NEENAH CENTER STE 501 NEENAH WI ZIP+4 ▶54956

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JENNIFER A AMOS 19009 DRESDEN DRIVE SOUTH BEND, IN 46637	CO-TRUSTEE 12	3,255		
LEGACY PRIVATE TRUST COMPANY PO BOX 649 NEENAH, WI 54956	CO-TRUSTEE 12	6,869		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	632,847
b	Average of monthly cash balances.		1b	10,913
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	643,760
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	643,760
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	9,656
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	634,104
6	**Minimum investment return.** Enter 5% of line 5.		6	31,705

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	31,705
2a	Tax on investment income for 2018 from Part VI, line 5.	2a 177		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	177
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	31,528
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	31,528
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	31,528

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	32,813
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	32,813
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	177
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	32,636

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				31,528
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013. 0				
b From 2014. 0				
c From 2015. 0				
d From 2016. 838				
e From 2017. 0				
f **Total** of lines 3a through e.	838			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 32,813				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				31,528
e Remaining amount distributed out of corpus	1,285			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,123			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	2,123			
10 Analysis of line 9				
a Excess from 2014. . . . 0				
b Excess from 2015. . . . 0				
c Excess from 2016. . . . 838				
d Excess from 2017. . . . 0				
e Excess from 2018. . . . 1,285				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JENNIFER AMOS
C/O LEGACY PRIVATE TRUST CO PO BOX
NEENAH, WI 549570649
(920) 967-5020

b The form in which applications should be submitted and information and materials they should include

WRITTEN

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PREFERENCE GIVEN TO 7 CHARITABLE ORGANIZATIONS DETAILED IN TRUST

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	14,616	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	5,001	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).				19,617	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 19,617

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-06-07	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
BARBARA A BLASHKA TAX OFFICER		2019-06-07		
Firm's name ▶ LEGACY PRIVATE TRUST COMPANY				Firm's EIN ▶
Firm's address ▶ PO BOX 649 Neenah, WI 549570649				Phone no (920) 967-5020

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 195 ISHARES BARCLAYS 20+ YR TR BD		2017-12-19	2018-02-07
1 5 POWERSHARES FTSE RAFI US 1000		2016-09-13	2018-02-07
450 POWERSHARES S&P 500 QUALITY PORT ETF		2017-01-18	2018-02-07
20 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2017-12-19	2018-02-07
105 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2018-02-07
375 POWERSHARES S&P500 LOW VOL ETF		2017-05-10	2018-02-07
10 SPDR BLOOMBERG BARCLAYS INT TERM TREASURY ETF		2017-11-08	2018-02-07
115 VANGUARD FTSE DEV MARKETS ETF		2016-12-06	2018-02-07
40 VANGUARD INTL EMERGING MKT ETF		2016-10-25	2018-02-07
5 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2018-02-07	2018-03-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,277		24,671	-1,394
568		460	108
13,860		11,853	2,007
374		379	-5
1,962		1,965	-3
17,538		16,463	1,075
587		600	-13
5,153		4,196	957
1,898		1,533	365
248		250	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,394
			108
			2,007
			-5
			-3
			1,075
			-13
			957
			365
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 POWERSHARES S&P 500 QUALITY PORT ETF		2017-01-18	2018-03-21
1 35 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2018-03-21
145 POWERSHARES EMERG MKTS SOVER DEBT		2018-02-07	2018-03-21
85 POWERSHARES S&P500 LOW VOL ETF		2017-05-10	2018-03-21
145 PROSHARES LARGE CAP CORE PLUS ETF		2018-02-07	2018-03-21
5 GUGGENHEIM S&P PURE GROWTH ETF		2018-02-07	2018-03-21
10 GUGGENHEIM S&P SMALLCAP 600 PURE GROWTH ETF		2018-02-07	2018-03-21
1315 SPDR PORTFOLIO INTERMEDIATE TERM CORP BOND ETF		2018-02-07	2018-03-21
615 SPDR BLOOMBERG BARCLAYS INT TERM TREASURY ETF		2017-12-19	2018-03-21
350 VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF		2018-02-07	2018-03-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
305		263	42
646		655	-9
4,041		4,200	-159
4,023		3,732	291
9,879		9,037	842
573		547	26
1,180		1,134	46
43,776		45,280	-1,504
35,939		36,861	-922
6,732		6,731	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			42
			-9
			-159
			291
			842
			26
			46
			-1,504
			-922
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 VANGUARD FTSE DEV MARKETS ETF		2017-12-19	2018-03-21
1 100 VANGUARD FTSE DEV MARKETS ETF		2017-02-22	2018-03-21
50 GUGGENHEIM ULTRA SHORT DURATION ETF		2017-12-19	2018-03-28
170 POWERSHARES S&P 500 QUALITY PORT ETF		2017-01-18	2018-03-28
55 POWERSHARES S&P500 LOW VOL ETF		2017-05-10	2018-03-28
30 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2018-02-07	2018-03-28
55 VANGUARD FTSE DEV MARKETS ETF		2016-08-02	2018-03-28
20 VANGUARD INTER TERM CORP BD ETF		2018-03-21	2018-03-28
115 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2018-02-07	2018-05-01
195 ISHARES JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-01-18	2018-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
445		449	-4
4,450		3,658	792
2,509		2,520	-11
5,022		4,478	544
2,560		2,415	145
1,420		1,426	-6
2,416		2,005	411
1,690		1,681	9
5,566		5,741	-175
9,438		8,477	961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			792
			-11
			544
			145
			-6
			411
			9
			-175
			961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	75 ISHARES EDGE MSCI USA MOMENTUM IDX		2018-02-07	2018-05-01
1	10 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2018-03-21	2018-05-01
	5 POWERSHARES FTSE RAFI US 1500 SMALL MID PORTFOLIO ETF		2016-10-25	2018-05-01
	15 POWERSHARES FTSE RAFI US 1000		2018-03-21	2018-05-01
	15 POWERSHARES FUNDAMENTAL HIGH YIELD CORP BOND PORTFOLIO ETF		2016-09-13	2018-05-01
	15 POWERSHARES S&P SMALLCAP 600 PURE GROWTH PORTFOLIO		2018-02-07	2018-05-01
	10 PROSHARES LARGE CAP CORE PLUS ETF		2017-05-10	2018-05-01
	5 VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-11-08	2018-05-01
	25 VANGUARD FTSE DEV MARKETS ETF		2017-03-29	2018-05-01
	185 VANGUARD INTER TERM CORP BD ETF		2018-03-21	2018-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,948		8,087	-139
1,295		1,327	-32
648		517	131
1,653		1,689	-36
276		281	-5
1,713		1,700	13
661		595	66
93		92	1
1,113		988	125
15,402		15,552	-150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-139
			-32
			131
			-36
			-5
			13
			66
			1
			125
			-150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
885 INVESCO ULTRASHORT DURATION ETF		2018-05-01	2018-06-13
1 135 INVESCO S&P 500 QUALITY ETF		2017-05-10	2018-06-13
5 INVESCO S&P 500 PURE GROWTH ETF		2018-02-07	2018-06-13
160 INVESCO FTSE US 1500 SMALL MID PORT		2016-10-25	2018-06-13
15 INVESCO FTSE RAFI US 1000 ETF		2017-05-10	2018-06-13
5 INVESCO S&P 500 LOW VOLATILITY ETF		2017-05-10	2018-06-13
280 INVESCO FUNDAMENTAL HIGH YIELD CORP BOND PORT		2016-09-13	2018-06-13
200 ISHARES BARCLAYS 20+ YR TR BD		2018-05-01	2018-06-13
15 PROSHARES LARGE CAP CORE PLUS ETF		2017-05-10	2018-06-13
295 SPDR SERIES TRUST BRCLYS INTL ETF		2018-05-01	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,409		44,503	-94
4,220		3,746	474
597		547	50
22,653		16,549	6,104
1,735		1,544	191
236		220	16
5,169		5,239	-70
23,898		23,733	165
1,042		892	150
8,233		8,588	-355

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-94
			474
			50
			6,104
			191
			16
			-70
			165
			150
			-355

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
205 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2018-05-01	2018-06-13
1 10 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2018-06-13
5 VANGUARD S&P 500 ETF		2018-05-01	2018-06-13
75 INVESCO ULTRASHORT DURATION ETF		2018-02-07	2018-07-24
30 INVESCO S&P 500 QUALITY ETF		2017-05-10	2018-07-24
5 INVESCO FTSE US 1500 SMALL MID PORT		2016-10-25	2018-07-24
120 INVESCO FTSE RAFI US 1000 ETF		2017-05-10	2018-07-24
40 INVESCO S&P 500 LOW VOLATILITY ETF		2017-05-10	2018-07-24
55 INVESCO EMERGING MKTS SOVEREIGN DEBT		2018-06-13	2018-07-24
20 ISHARES BARCLAYS 20+ YR TR BD		2018-05-01	2018-07-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,668		9,729	-61
472		476	-4
1,282		1,207	75
3,766		3,767	-1
935		833	102
711		517	194
13,879		11,321	2,558
1,915		1,756	159
1,488		1,467	21
2,389		2,371	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-61
			-4
			75
			-1
			102
			194
			2,558
			159
			21
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 ISHARES BARCLAYS 1-3 YR TR BD FD		2018-06-13	2018-07-24
1 5 PROSHARES LARGE CAP CORE PLUS ETF		2017-05-10	2018-07-24
25 SPDR BLOOMBERG BARCLAYS INT TERM TREASURY ETF		2018-06-13	2018-07-24
5 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-12-19	2018-07-24
230 VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-11-08	2018-07-24
55 VANGUARD INTER TERM CORP BD ETF		2018-03-21	2018-07-24
115 INVESCO S&P 500 QUALITY ETF		2017-05-10	2018-09-05
5 INVESCO S&P 500 PURE GROWTH ETF		2018-02-07	2018-09-05
50 INVESCO S&P 500 LOW VOLATILITY ETF		2017-05-10	2018-09-05
20 PROSHARES LARGE CAP CORE PLUS ETF		2017-05-10	2018-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,326		3,331	-5
348		297	51
1,458		1,460	-2
235		236	-1
3,951		4,215	-264
4,594		4,624	-30
3,706		3,191	515
596		547	49
2,496		2,195	301
1,436		1,189	247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			51
			-2
			-1
			-264
			-30
			515
			49
			301
			247

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1525 SPDR BLOOMBERG BARCLAYS INT TERM TREASURY ETF		2018-06-13	2018-09-05
1 65 VANGUARD INTL EMERGING MKT ETF		2017-05-10	2018-09-05
490 VANGUARD INTL EMERGING MKT ETF		2018-07-24	2018-09-05
40 VANGUARD INTERMEDIATE TERM GOVT BOND ETF		2018-07-24	2018-09-05
5 VANGUARD S&P 500 ETF		2018-05-01	2018-09-05
70 INVESCO ULTRASHORT DURATION ETF		2018-02-07	2018-10-16
185 INVESCO FUNDAMENTAL HIGH YIELD CORP BOND PORT		2018-09-05	2018-10-16
40 INVESCO EMERGING MKTS SOVEREIGN DEBT		2018-06-13	2018-10-16
35 ISHARES BARCLAYS 20+ YR TR BD		2018-09-05	2018-10-16
55 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-01-18	2018-10-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
89,211		89,060	151
2,643		2,648	-5
19,922		22,870	-2,948
2,498		2,495	3
1,327		1,207	120
3,516		3,515	1
3,393		3,435	-42
1,063		1,067	-4
3,998		4,191	-193
2,595		2,618	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			151
			-5
			-2,948
			3
			120
			1
			-42
			-4
			-193
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	100 VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF		2017-11-08	2018-10-16
1	270 VANGUARD INTL EMERGING MKT ETF		2017-06-22	2018-10-16
	35 VANGUARD INTERMEDIATE TERM GOVT BOND ETF		2018-07-24	2018-10-16
	50 VANGUARD INTER TERM CORP BD ETF		2018-03-21	2018-10-16
	15 INVESCO S&P 500 QUALITY ETF		2018-10-16	2018-11-27
	95 INVESCO S&P 500 PURE GROWTH ETF		2018-02-07	2018-11-27
	215 INVESCO FTSE US 1500 SMALL MID PORT		2018-10-16	2018-11-27
	55 INVESCO FTSE RAFI US 1000 ETF		2018-10-16	2018-11-27
	15 INVESCO FTSE RAFI US 1000 ETF		2017-09-20	2018-11-27
	10 INVESCO S&P 500 LOW VOLATILITY ETF		2017-05-10	2018-11-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,644		1,825	-181
10,527		10,956	-429
2,161		2,183	-22
4,131		4,203	-72
442		467	-25
10,044		10,398	-354
27,292		29,631	-2,339
6,074		6,520	-446
1,657		1,452	205
488		439	49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-181
			-429
			-22
			-72
			-25
			-354
			-2,339
			-446
			205
			49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
160 INVESCO FUNDAMENTAL HIGH YIELD CORP BOND PORT		2018-09-05	2018-11-27
1 177 INVESCO FUNDAMENTAL HIGH YIELD CORP BOND PORT		2016-09-13	2018-11-27
430 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2018-09-05	2018-11-27
135 SPDR BLACKSTONE/GSO SENIOR LOAN ETF		2017-02-22	2018-11-27
5 VANECK VECTORS JP MORGAN EMERGING LOCAL CURRENCY BOND ETF		2018-06-13	2018-11-27
105 VANGUARD FTSE DEV MARKETS ETF		2018-10-16	2018-11-27
1762 VANGUARD FTSE DEV MARKETS ETF		2017-06-22	2018-11-27
330 VANGUARD INTER TERM CORP BD ETF		2018-09-05	2018-11-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,869		2,971	-102
3,174		3,312	-138
19,965		20,266	-301
6,268		6,425	-157
163		174	-11
4,136		4,524	-388
69,405		71,110	-1,705
27,089		27,674	-585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-102
			-138
			-301
			-157
			-11
			-388
			-1,705
			-585

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DARDEN PRIMARY CENTER 18645 JANET DR SOUTH BEND, IN 46637	NONE		GENERAL CHARITABLE	5,000
PRIMATES INC ATTN AMY KERWINPO BOX 7384 MADISON, WI 53707	NONE		GENERAL CHARITABLE	5,000
AIDS WALK NEW YORKPO BOX 7607 NEW YORK, NY 10116	NONE		GENERAL CHARITABLE	5,000
Total . . . . ▶ **3a**				32,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SPORTS & ARTS IN SCHOOL FOUNDATION 58-12 QUEENS BLVD WOODSIDE, NY 11377	NONE		GENERAL CHARITABLE	5,000
AMERICAN INDIAN COMMUNITY HOUSE 39 ELDRIDGE ST 4TH FLOOR NEW YORK, NY 10002	NONE		GENERAL CHARITABLE	5,000
PETS FOR VETS INCPO BOX 10860 WILMINGTON, NC 28404	NONE		GENERAL CHARITABLE	2,000
Total . . . . ▶ **3a**				32,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PET REFUGE INC4626 BURNETT DRIVE SOUTH BEND, IN 46614	NONE		GENERAL CHARITABLE	5,000
Total . . . . ▶ **3a**				32,000

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TY 2018 Accounting Fees Schedule

Name: RICHARD J CARNEY AND DONNA R CARNEY

EIN: 45-2031385

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,585	1,585		

TY 2018 Investments Corporate Stock Schedule

Name: RICHARD J CARNEY AND DONNA R CARNEY

EIN: 45-2031385

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESCO FTSE RAFI US 1500 SMAL	19,064	21,027
INVESCO FTSE RAFI US 1000 PORT	30,211	37,581
VANGUARD FTSE DEVELOPED MARKET		
VANGUARD TOTAL BOND MARKET	36,432	37,224
POWERSHARES FUNDAMENTAL HIGH Y		
INVESCO S&P 500 QUALITY PORT	74,491	78,841
VANGUARD INTL EMERGING MKT		
GUGGENHEIM ULTRA SHORT DURATIO		
ISHARES JPMORGAN EM LOCAL CURR		
INVESCO S&P 500 LOW VOL ETF	59,129	62,744
POWERSHARES LARGE CAP CORE PLU	28,403	28,795
SPDR PORTFOLIO INTERMEDIATE TE		
SPDR BLOOMBERG BARCLAYS INT TE		
SPDR BLACKSTON/EGSO SR LOAN ET		
VANECK VECTORS JP MORGAN EM LO	12,559	12,375
ISHARES BARCLAYS 20+ YR TR BD	7,712	7,898
INVESCO EMERGING MKTS SOVERIGN	12,642	12,550
ISHARES BARCLAYS 1-3 YR TR BD	16,638	16,724
ISHARES CORE MSCI INTL DEV MKT	30,356	28,577
ISHARES CORE 1-5 YR USD BOND E	85,697	86,100
JPMORGAN ULTRASHORT INCOME ETF	16,574	16,543
VANGUARD INT TERM CORP BD ETF	16,726	16,572
VANGUARD INTER TERM GOVT BD ET	26,823	27,292
VANGUARD S&P 500 ETF	85,707	80,434
XTRACKERS USD HI YLD CORP BOND	6,161	5,849

TY 2018 Other Decreases Schedule

Name: RICHARD J CARNEY AND DONNA R CARNEY

EIN: 45-2031385

Description	Amount
ADJUSTMENT DUE TO RETURN OF CAPITAL	94

TY 2018 Other Increases Schedule

Name: RICHARD J CARNEY AND DONNA R CARNEY

EIN: 45-2031385

Description	Amount
ADJUSTMENT DUE TO ROUNDING	3

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TY 2018 Taxes Schedule

Name: RICHARD J CARNEY AND DONNA R CARNEY

EIN: 45-2031385

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	261	0		261
FEDERAL ESTIMATES - INCOME	552	0		552
FOREIGN TAXES ON QUALIFIED FOR	194	194		0
FOREIGN TAXES ON NONQUALIFIED	129	129		0