

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2018**Department of the Treasury  
Internal Revenue Service

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► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

Name of foundation <b>JOHN &amp; CAROLYN PETERSON CHARITABLE FOUNDATION INC.</b>		A Employer identification number <b>43-1974269</b>
Number and street (or P O box number if mail is not delivered to street address) <b>PO BOX 1507</b>	Room/suite	B Telephone number <b>(262) 821-5378</b>
City or town, state or province, country, and ZIP or foreign postal code <b>BROOKFIELD, WI 53005</b>		C If exemption application is pending, check here <input type="checkbox"/> <b>6</b>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 6,807,024.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	189,161.	189,161.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	300,022.			
	b Gross sales price for all assets on line 6a	1,974,902.			
	7 Capital gain net income (from Part IV, line 2)		300,022.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	1,772.	1,772.	0.	STATEMENT 1	
12 Total. Add lines 1 through 11	490,955.	490,955.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	8,500.	4,250.	0.	4,250.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2 4,950.	2,475.	0.	2,475.
	c Other professional fees	STMT 3 50,475.	50,475.	0.	0.
	17 Interest				
	18 Taxes	STMT 4 4,402.	3,262.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 5 800.	0.	0.	800.
	24 Total operating and administrative expenses. Add lines 13 through 23	69,137.	60,462.	0.	7,525.
	25 Contributions, gifts, grants paid	347,000.			347,000.
26 Total expenses and disbursements. Add lines 24 and 25	416,137.	60,462.	0.	354,525.	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	74,818.				
b Net investment income (if negative, enter -0-)		430,493.			
c Adjusted net income (if negative, enter -0-)			0.		

**JOHN & CAROLYN PETERSON CHARITABLE  
FOUNDATION INC.**

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	5,214.	5,214.	5,214.
	2 Savings and temporary cash investments	107,014.	239,040.	239,040.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations <b>STMT 6</b>	59,005.	56,240.	56,510.
	b Investments - corporate stock <b>STMT 7</b>	3,287,639.	3,336,655.	5,668,085.
	c Investments - corporate bonds <b>STMT 8</b>	935,864.	832,405.	838,175.
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,394,736.	4,469,554.	6,807,024.
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,394,736.	4,469,554.	
	30 <b>Total net assets or fund balances</b>	4,394,736.	4,469,554.	
	31 <b>Total liabilities and net assets/fund balances</b>	4,394,736.	4,469,554.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,394,736.
2 Enter amount from Part I, line 27a	2	74,818.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,469,554.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,469,554.

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)

(b) How acquired  
P - Purchase  
D - Donation

(c) Date acquired  
(mo., day, yr.)

(d) Date sold  
(mo., day, yr.)

1a ACCOUNT 472 SUMMARY	P	01/01/17	12/31/18
b ACCOUNT 771 SUMMARY	P	01/01/17	12/31/18
c ACCOUNT 776 SUMMARY	P	01/01/17	12/31/18
d ACCOUNT 779 SUMMARY	P	01/01/17	12/31/18
e ACCOUNT 782 SUMMARY	P	01/01/17	12/31/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 58,650.		54,407.	4,243.
b 1,053,260.		966,845.	86,415.
c 259,255.		283,039.	-23,784.
d 187,294.			187,294.
e 416,443.		370,589.	45,854.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			4,243.
b			86,415.
c			-23,784.
d			187,294.
e			45,854.

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7

If (loss), enter -0- in Part I, line 7

2

300,022.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter -0- in Part I, line 8

3

0.

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes
 ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	347,790.	7,447,498.	.046699
2016	335,663.	6,890,250.	.048716
2015	329,157.	6,807,794.	.048350
2014	319,274.	6,893,594.	.046315
2013	239,191.	6,357,984.	.037621

2 Total of line 1, column (d)

2

.227701

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years

3

.045540

4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5

4

7,478,479.

5 Multiply line 4 by line 3

5

340,570.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

4,305.

7 Add lines 5 and 6

7

344,875.

8 Enter qualifying distributions from Part XII, line 4

8

354,525.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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10550513 756035 1250096
 2018.03040 JOHN & CAROLYN PETERSON CHA 12500961

3  
**JOHN & CAROLYN PETERSON CHARITABLE  
FOUNDATION INC.**

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<p><b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)</p> <p><b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b</p> <p><b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).</p> <p><b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)</p> <p><b>3</b> Add lines 1 and 2</p> <p><b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)</p> <p><b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-</p> <p><b>6</b> Credits/Payments:</p> <p><b>a</b> 2018 estimated tax payments and 2017 overpayment credited to 2018</p> <p><b>b</b> Exempt foreign organizations - tax withheld at source</p> <p><b>c</b> Tax paid with application for extension of time to file (Form 8868)</p> <p><b>d</b> Backup withholding erroneously withheld</p> <p><b>7</b> Total credits and payments. Add lines 6a through 6d</p> <p><b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached</p> <p><b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed</p> <p><b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid</p> <p><b>11</b> Enter the amount of line 10 to be: <b>Credited to 2019 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/></p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="width: 5%;">1</td><td style="width: 15%;">4,305.</td></tr> <tr><td>2</td><td>0.</td></tr> <tr><td>3</td><td>4,305.</td></tr> <tr><td>4</td><td>0.</td></tr> <tr><td>5</td><td>4,305.</td></tr> <tr><td>6a</td><td>1,213.</td></tr> <tr><td>6b</td><td>0.</td></tr> <tr><td>6c</td><td>0.</td></tr> <tr><td>6d</td><td>0.</td></tr> <tr><td>7</td><td>1,213.</td></tr> <tr><td>8</td><td>0.</td></tr> <tr><td>9</td><td>3,092.</td></tr> <tr><td>10</td><td></td></tr> <tr><td>11</td><td></td></tr> </table>	1	4,305.	2	0.	3	4,305.	4	0.	5	4,305.	6a	1,213.	6b	0.	6c	0.	6d	0.	7	1,213.	8	0.	9	3,092.	10		11	
1	4,305.																												
2	0.																												
3	4,305.																												
4	0.																												
5	4,305.																												
6a	1,213.																												
6b	0.																												
6c	0.																												
6d	0.																												
7	1,213.																												
8	0.																												
9	3,092.																												
10																													
11																													

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
<b>1c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. <u>WI</u>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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FOUNDATION INC.**

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	X	
14 The books are in care of ► <b>MICHAEL GUZNICZAK</b> Telephone no. ► <b>262-821-5378</b> Located at ► <b>PO BOX 1507, BROOKFIELD, WI</b> ZIP+4 ► <b>53005</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <b>N/A</b> 0.		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>N/A</b>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	<b>N/A</b>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No

Yes No

5b

6b

7b

X

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		8,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Total number of voters receiving over \$50,000 for professional services	
<b>Part IX-A</b>	<b>Summary of Direct Charitable Activities</b>

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A	
2		
3		
4		

<b>Part IX-B</b>	<b>Summary of Program-Related Investments</b>
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		0.
	All other program-related investments. See instructions.	
3		

**Total.** Add lines 1 through 3

0.

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JOHN & CAROLYN PETERSON CHARITABLE  
FOUNDATION INC.**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,587,150.
b	Average of monthly cash balances	1b	5,214.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	7,592,364.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,592,364.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	113,885.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,478,479.
6	Minimum investment return. Enter 5% of line 5	6	373,924.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	373,924.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	4,305.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,305.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	369,619.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	369,619.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	369,619.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	354,525.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	354,525.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,305.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	350,220.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**JOHN & CAROLYN PETERSON CHARITABLE  
FOUNDATION INC.**

Form 990-PF (2018)

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				<b>369,619.</b>
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only			<b>352,633.</b>	
<b>b</b> Total for prior years:		<b>0.</b>		
<b>3</b> Excess distributions carryover, if any, to 2018:				
<b>a</b> From 2013				
<b>b</b> From 2014				
<b>c</b> From 2015				
<b>d</b> From 2016				
<b>e</b> From 2017				
<b>f</b> Total of lines 3a through e	<b>0.</b>			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4: ► \$ <b>354,525.</b>				
<b>a</b> Applied to 2017, but not more than line 2a			<b>352,633.</b>	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		<b>0.</b>		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	<b>0.</b>			
<b>d</b> Applied to 2018 distributable amount				<b>1,892.</b>
<b>e</b> Remaining amount distributed out of corpus	<b>0.</b>			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	<b>0.</b>			<b>0.</b>
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>0.</b>			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		<b>0.</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		<b>0.</b>		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		<b>0.</b>		
<b>e</b> Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instructions			<b>0.</b>	
<b>f</b> Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				<b>367,727.</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	<b>0.</b>			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7	<b>0.</b>			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	<b>0.</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2014				
<b>b</b> Excess from 2015				
<b>c</b> Excess from 2016				
<b>d</b> Excess from 2017				
<b>e</b> Excess from 2018				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
CHARLESTON STAGE COMPANY 629 JOHNNIE DODDS BLVD MT PLEASANT, SC 29464	NONE	PC	PERFORMING ARTS	25,000.
CHIPS HEALTH & WELLNESS CTR 2431 N. GRAND BLVD ST LOUIS, MO 63106	NONE	PC	INDIVIDUAL WELLNESS	3,000.
CONSERVATION COLORADO 1536 WYNKOOP ST. #510 DENVER, CO 80202	NONE	PC	CONSERVATION PROGRAMMING	5,500.
CULTURAL LEADERSHIP 225 S. MERAMAC STE 107 ST. LOUIS, MO 63015	NONE	PC	CULTURAL TRAINING	3,000.
GUSTAVUS ADOLPHUS COLLEGE 800 W COLLEGE AVE SAINT PETER, MN 56082	NONE	PC	FELLOWSHIP SUPPORT	2,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				347,000.
<b>b Approved for future payment</b>				
NONE				
Total ▶ 3b				0.

## Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

<b>Line No</b> ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
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[illegible]

<b>Part XVII</b>	<b>Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
	a Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
	b Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
	c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
	d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

of which preparer has any knowledge

**DIRECTOR/TREASURER**

May the IRS discuss this return with the preparer shown below? See instr.

☒ Yes    ☐ No**Paid  
Preparer  
Use Only**

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Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ self-employed

PTIN
------

PAUL SENGGER

PAUL SENG

05/13/19

P00005154

Firm's name ► CLIFTONLARSONALLEN LLP

Firm's EIN ► 41-0746749

Firm's address ► 235 N. EXECUTIVE DRIVE, SUITE 160  
BROOKFIELD, WI 53005

Phone no. (262) 797-9050

Form **990-PF** (2018)

JOHN & CAROLYN PETERSON CHARITABLE  
FOUNDATION INC.

43-1974269

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HERITAGE MILITARY MUSIC 504 S. 4TH ST. WATERTOWN, WI 53094	NONE	PC	EQUIPMENT	4,000.
MADISON JAZZ CONSORTIUM 318 ELMSIDE BOULEVARD MADISON, WI 53704	NONE	PC	SUSTAIN CURRENT PROGRAMS AND LAUNCH NEW INITIATIVES	40,000.
MADISON OPERA, INC 3414 MONROE STREET <sup>1</sup> MADISON, WI 53711	NONE	PC	OPERA IN THE PARK	30,000.
MADISON SYMPHONY ORCHESTRA 222 W. WASHINGTON AVE. MADISON, WI 53703	NONE	PC	UP CLOSE AND MUSICAL - SYMPHONY SOUP	20,000.
PRISON PERFORMING ARTS 3547 OLIVE ST., STE 250 ST. LOUIS, MO 63103	NONE	PC	PROGRAM FUNDING	20,000.
ST. LOUIS ARTWORKS 2703 N. 14TH ST. ST LOUIS, MO 63106	NONE	PC	SUMMER PROGRAM	20,000.
ST. LOUIS ZOO 1 GOVERNMENT DR. ST. LOUIS, MO 63110	NONE	PC	WILDLIFE CONSERVATION	10,000.
UNIVERSITY LAKE SCHOOL 4024 NAGAWICKA RD HARLAND, WI 53029	NONE	PC	EDUCATION	11,000.
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVENUE MADISON, WI 53726	NONE	PF	TEACHING ASST JAZZ DEPT	30,000.
WILDLIFE IN NEED CENTER W349 S1480 S WATERVILLE ROAD OCONOMOWOC, WI 53066	NONE	PC	WILDIFE REHABILITATION	11,000.
Total from continuation sheets				308,500.

JOHN & CAROLYN PETERSON CHARITABLE  
FOUNDATION INC.

43-1974269

**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WISCONSIN CONSERVATORY OF MUSIC 1584 N. PROSPECT AVE MILWAUKEE, WI 53202	NONE	PC	SUPPORT OF JAZZ INSTITUTE	25,000.
WISCONSIN PUBLIC TELEVISION 821 UNIVERSITY AVE. MADISON, WI 53701	NONE	PC	COLLABORATE TO RECORD AND BROADCAST TWO TELEVISION PROGRAMS	15,000.
ZOOLOGICAL SOCIETY OF MILWAUKEE 10005 W BLUEMOUND RD MILWAUKEE, WI 53226	NONE	PC	WILDLIFE CONSERVATION	11,000.
THE SEASIDE SCHOOL FOUNDATION, INC PO BOX 4610 SANTA ROSA BEACH, FL 32459	NONE	PF	EDUCATION PROGRAMS	30,000.
FIGHT ISLAND HUNGER PO BOX 268 JOHNS ISLAND, SC 29457	NONE	PC	FIGHTING HUNGER	11,000.
LOWCOUNTRY FOOD BANK 2864 AZALEA DR NORTH CHARLESTON, SC 29405	NONE	PC	FOOD BANK	9,000.
LAUGHING BEAR BAKERY 1610 OLIVE ST LOUIS, MO 63103	NONE	PC	REHABILITATION PROGRAMS	1,000.
KIDS IN THE MIDDLE 2650 S HANLEY ROAD, SUITE 150 ST LOUIS, MO 63144	NONE	PC	CHILDRENS PROGRAMMING	5,000.
ACLU OF COLORADO 303 E. 17TH AVE, STE 350 DENVER, CO 80203	NONE	PC	CIVIL RIGHTS	5,500.
Total from continuation sheets				

FORM 990-PF	OTHER INCOME		STATEMENT	1
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
K-1 ORDINARY INCOME	1,772.	1,772.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,772.	1,772.	0.	

FORM 990-PF	ACCOUNTING FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WKMR TAX PREPARATION FEES	4,950.	2,475.	0.	2,475.
TO FORM 990-PF, PG 1, LN 16B	4,950.	2,475.	0.	2,475.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY, MANAGEMENT FEES	50,475.	50,475.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	50,475.	50,475.	0.	0.

FORM 990-PF	TAXES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITTHELD	3,262.	3,262.	0.	0.
ESTIMATED TAX PAYMENT	1,150.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	4,412.	3,262.	0.	0.



FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WEBSITE WORK	800.	0.	0.	800.	
TO FORM 990-PF, PG 1, LN 23	800.	0.	0.	800.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
U.S AND STATE GOVERNMENT OBLIGATIONS OVERRIDE	X		56,240.	56,510.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			56,240.	56,510.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			56,240.	56,510.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK OVERRIDE			3,336,655.	5,668,085.
TOTAL TO FORM 990-PF, PART II, LINE 10B			3,336,655.	5,668,085.

FORM 990-PF	CORPORATE BONDS		STATEMENT	8
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS OVERRIDE			832,405.	838,175.
TOTAL TO FORM 990-PF, PART II, LINE 10C			832,405.	838,175.

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FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARK L. PETERSON PO BOX 1507 BROOKFIELD, WI 53005	DIR/VICE PRES 1.00	0.	0.	0.
ELINOR GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIR/PRESIDENT 1.00	0.	0.	0.
MICHAEL J. GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIR/TREASURER 5.00	8,500.	0.	0.
RYIA R. PETERSON PO BOX 1507 BROOKFIELD, WI 53005	DIR/SECRETARY 1.00	0.	0.	0.
EMILY C. GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIRECTOR 1.00	0.	0.	0.
LAUREN A. GUZNICZAK PO BOX 1507 BROOKFIELD, WI 53005	DIRECTOR 1.00	0.	0.	0.
JAKE PETERSON PO BOX 1507 BROOKFIELD, WI 53005	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		8,500.	0.	0.

**John & Carolyn Peterson Charitable Foundation**  
**Form 990-PF**  
**Page 2, Part II - Balance Sheet**  
**12/31/2018**

**BOOK VALUE**

	Cash	Savings/ Temp	Corp Stock	US/Gov	Corp Bonds	Total
<b>472</b>		21,237		56,240		
Corp Stock			103,652			
Mutual Funds			35,186			216,315
<b>771</b>		35,797				
Corp Stock			720,304			
ETF's and CEF's			699,169			1,455,270
<b>776</b>		11,279	619,378			630,657
<b>779</b>		143,627	680,055			823,682
<b>782</b>		27,100			832,405	
Corp Stock			293,878			
ETF's and CEF's			83,264			
Mutual Funds			81,738			
Unit Inv Trst			20,031			1,338,416
<b>ckg</b>	5,214					5,214
	<u>5,214</u>	<u>239,040</u>	<u>3,336,655</u>	<u>56,240</u>	<u>832,405</u>	<u>4,469,554</u>

**FAIR MARKET VALUE**

	Cash	Savings/ Temp	Corp Stock	US/Gov	Corp Bonds	Total
<b>472</b>		21,237		56,510		
Corp Stock			127,563			
Mutual Funds			33,501			238,811
<b>771</b>		35,797				
Corp Stock			906,827			
ETF's and CEF's			691,451			1,634,075
<b>776</b>		11,279	590,940			602,219
<b>779</b>		143,627	2,614,983			2,758,610
<b>782</b>		27,100			838,175	
Corp Stock			355,916			
ETF's and CEF's			251,170			
Mutual Funds			71,155			
Unit Inv Trst			24,579			1,568,095
<b>ckg</b>	5,214					5,214
	<u>5,214</u>	<u>239,040</u>	<u>5,668,085</u>	<u>56,510</u>	<u>838,175</u>	<u>6,807,024</u>