

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

, 2018, and ending

, 20

Name of foundation

PIPER JAFFRAY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

800 NICOLLET MALL, J09SFA

City or town, state or province, country, and ZIP or foreign postal code

MINNEAPOLIS, MN 55402

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) ▶ \$ 560,353.

J Accounting method: ☐ Cash ☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

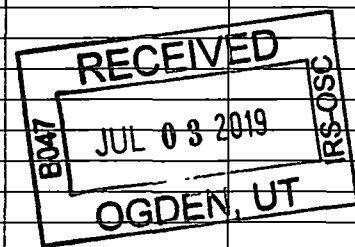
41-1734808

B Telephone number (see instructions)

(612) 303-0447

C If exemption application is
pending, check here. ☐D 1 Foreign organizations, check here. ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here. ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here. ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

| | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------|----------------------------|--|
| 1 Contributions, gifts, grants, etc., received (attach schedule) | 2,038,852. | | | |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. | | | | |
| 3 Interest on savings and temporary cash investments. | | | | |
| 4 Dividends and interest from securities | 12,652. | 12,652. | | |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | | | |
| b Gross sales price for all assets on line 6a | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| 8 Net short-term capital gain. | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) (attach schedule) | | | | |
| 11 Other income (attach schedule) | | | | |
| 12 Total. Add lines 1 through 11 | 2,051,504. | 12,652. | | |
| 13 Compensation of officers, directors, trustees, etc. | 0. | | | |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees (attach schedule) | | | | |
| b Accounting fees (attach schedule) | | | | |
| c Other professional fees (attach schedule) | | | | |
| 17 Interest | | | | |
| 18 Taxes (attach schedule) (see instructions). | | | | |
| 19 Depreciation (attach schedule) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses (attach schedule) ATCH 1 | 911. | 884. | | |
| 24 Total operating and administrative expenses. Add lines 13 through 23. | 911. | 884. | | |
| 25 Contributions, gifts, grants paid | 1,874,502. | | | 1,874,502. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 1,875,413. | 884. | | 1,874,502. |
| 27 Subtract line 26 from line 12 | | | | |
| a Excess of revenue over expenses and disbursements | 176,091. | | | |
| b Net investment income (if negative, enter -0-) | | 11,768. | | |
| c Adjusted net income (if negative, enter -0-) | | | | |



| Part II Balance Sheets | | Beginning of year | | End of year | |
|-----------------------------|---|---|--|-----------------------|----------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 384,262. | 560,353. | 560,353. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) | | | |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | Liabilities | 11 | Investments - land, buildings, and equipment basis ▶ | | |
| | | Less: accumulated depreciation ▶ (attach schedule) | | | |
| 12 | | Investments - mortgage loans | | | |
| 13 | | Investments - other (attach schedule) | | | |
| 14 | | Land, buildings, and equipment basis ▶ | | | |
| | | Less: accumulated depreciation ▶ (attach schedule) | | | |
| 15 | | Other assets (describe ▶) | | | |
| 16 | | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 384,262. | 560,353. | 560,353. |
| 17 | | Accounts payable and accrued expenses | | | |
| 18 | | Grants payable | | | |
| Net Assets or Fund Balances | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | |
| | | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31. | | | |
| | 24 | Unrestricted | 384,262. | 560,353. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31. | | | |
| 27 | Capital stock, trust principal, or current funds | | | | |
| 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | | |
| 30 | Total net assets or fund balances (see instructions) | 384,262. | 560,353. | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | 384,262. | 560,353. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|----------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 384,262. |
| 2 | Enter amount from Part I, line 27a | 2 | 176,091. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 560,353. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 560,353. |

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Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|---|---|--|------------------------------------|--------------------------------|
| 1 a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | | |
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) | <div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">{</div> <div> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div> | | 2 | | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | <div style="display: flex; align-items: center;"> <div style="font-size: 3em; margin-right: 10px;">}</div> <div> If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 </div> </div> | | 3 | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2017 | 2,047,170. | 608,541. | 3.364063 |
| 2016 | 1,705,703. | 1,257,523. | 1.356399 |
| 2015 | 1,385,151. | 1,068,000. | 1.296958 |
| 2014 | 914,943. | 866,109. | 1.056383 |
| 2013 | 826,053. | 1,003,348. | 0.823297 |
| 2 Total of line 1, column (d) | | | 7.897100 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | | | 1.579420 |
| 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | | | 661,551. |
| 5 Multiply line 4 by line 3. | | | 1,044,867. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b). | | | 118. |
| 7 Add lines 5 and 6. | | | 1,044,985. |
| 8 Enter qualifying distributions from Part XII, line 4. | | | 1,874,502. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|------|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 | | | |
| Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 118. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | | 2 | |
| 3 Add lines 1 and 2 | | 3 | 118. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 118. |
| 6 Credits/Payments | | | |
| a 2018 estimated tax payments and 2017 overpayment credited to 2018 | 6a | 2. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 260. | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 262. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 144. | |
| 11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input checked="" type="checkbox"/> 144. Refunded <input type="checkbox"/> | 11 | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | | X |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? | | X |
| If "Yes," attach a detailed description of the activities | | |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | X |
| If "Yes," attach the statement required by General Instruction T | | |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions <input checked="" type="checkbox"/> MN, <input type="checkbox"/> _____ | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PIPERJAFFRAY.COM | X | |
| 14 The books are in care of ► GREGORY MEYER Telephone no ► 612-303-0477 Located at ► 800 NICOLLET MALL, JO9SFA MINNEAPOLIS, MN ZIP+4 ► 55402 | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ► 15 | | |
| 16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | | X |
| See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ► | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|-----|----|
| 1a During the year, did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/> | 1b | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) | 2b | X |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|---|--|------------------------------|--|
| 5a | During the year, did the foundation pay or incur any amount to | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. | 5b | |
| Organizations relying on a current notice regarding disaster assistance, check here | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d) | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | X |
| If "Yes" to 6b, file Form 8870 | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| ATCH 2 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | 0. |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| | Amount |
|--|--------|
| 1 NONE | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| All other program-related investments See instructions | |
| 3 NONE | |
| | |
| | |
| | |

Total. Add lines 1 through 3 ▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

| | | | |
|----------|--|-----------|----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | |
| b | Average of monthly cash balances | 1b | 671,625. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 671,625. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 671,625. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 10,074. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 661,551. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 33,078. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

| | | | |
|-----------|---|-----------|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 33,078. |
| 2a | Tax on investment income for 2018 from Part VI, line 5 | 2a | 118. |
| b | Income tax for 2018 (This does not include the tax from Part VI) | 2b | |
| c | Add lines 2a and 2b | 2c | 118. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 32,960. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 32,960. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 32,960. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 1,874,502. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,874,502. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b See instructions | 5 | 118. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,874,384. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2018)

Page **9****Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 32,960. |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only. | | | | |
| b Total for prior years 20 <u>16</u> , 20 <u>15</u> , 20 <u>14</u> | | | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | |
| a From 2013 | 775,926. | | | |
| b From 2014 | 871,662. | | | |
| c From 2015 | 1,331,759. | | | |
| d From 2016 | 1,642,901. | | | |
| e From 2017 | 2,016,791. | | | |
| f Total of lines 3a through e | 6,639,039. | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,874,502.</u> | | | | |
| a Applied to 2017, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required - see instructions). | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2018 distributable amount. | | | | 32,960. |
| e Remaining amount distributed out of corpus. | 1,841,542. | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 8,480,581. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019. | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) | 775,926. | | | |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a | 7,704,655. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2014 | 871,662. | | | |
| b Excess from 2015 | 1,331,759. | | | |
| c Excess from 2016 | 1,642,901. | | | |
| d Excess from 2017 | 2,016,791. | | | |
| e Excess from 2018 | 1,841,542. | | | |

Form **990-PF** (2018)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|----------|---------------|----------|----------|-----------|
| | (a) 2018 | (b) 2017 | (c) 2016 | (d) 2015 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 3

b The form in which applications should be submitted and information and materials they should include

ATCH 4

c Any submission deadlines:

ATCH 5

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 6

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|------------|
| Name and address (home or business) | | | | |
| a Paid during the year ATCH 7 | | | | |
| Total | | | 3a | 1,874,502. |
| b Approved for future payment | | | | |
| Total | | | 3b | |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|--|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | | |
| a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| f _____ | | | | | | |
| g Fees and contracts from government agencies | | | | | | |
| 2 Membership dues and assessments | | | | | | |
| 3 Interest on savings and temporary cash investments . | | | | | | |
| 4 Dividends and interest from securities | | | | 14 | 12,652. | |
| 5 Net rental income or (loss) from real estate | | | | | | |
| a Debt-financed property | | | | | | |
| b Not debt-financed property | | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | | |
| 7 Other investment income | | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | | | | |
| 9 Net income or (loss) from special events . . . | | | | | | |
| 10 Gross profit or (loss) from sales of inventory . . | | | | | | |
| 11 Other revenue a _____ | | | | | | |
| b _____ | | | | | | |
| c _____ | | | | | | |
| d _____ | | | | | | |
| e _____ | | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | | 12,652. | |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | | | 12,652. |

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

| | | Yes | No |
|----------|---|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Date _____

Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

Phone no 612-305-5000

Form **990-PF** (2018)

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2018

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization

PIPER JAFFRAY FOUNDATION

Employer identification number

41-1734808

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **PIPER JAFFRAY FOUNDATION**Employer identification number
41-1734808**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|---|----------------------------|---|
| 1 | PIPER JAFFRAY & CO. 800 NICOLLET MALL, SUITE 1000 MINNEAPOLIS, MN 55048 | \$ 2,034,849. | Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

Name of organization **PIPER JAFFRAY FOUNDATION**

Employer identification number

41-1734808

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---------------------------|--|---|----------------------|
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |

Name of organization PIPER JAFFRAY FOUNDATION

Employer identification number

41-1734808

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---|-----------------|--|
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |

ATTACHMENT 1

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|------------------------------|---|-----------------------------|
| BANK SERVICE & DRAFT CHARGES | 884. | 884. |
| COURIER/DELIVERY SERVICES | 27. | |
| TOTALS | 911. | 884. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 2

| <u>NAME AND ADDRESS</u> | <u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u> | <u>EXPENSE ACCT AND OTHER ALLOWANCES</u> |
|---|---|---------------------|--|--|
| DEBRA L. SCHONEMAN 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | PRESIDENT 3.00 | 0. | 0. | 0. |
| TIMOTHY L. CARTER 800 NICOLLET MALL, MINNEAPOLIS, MN J09STR | CFO/TREASURER 2.15 | 0. | 0. | 0. |
| JAMES GRANT 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | SECRETARY 2.00 | 0. | 0. | 0. |
| GRETCHEN M. FREEMAN 800 NICOLLET MALL, MINNEAPOLIS, MN J09STR | ASSISTANT TREASURER 2.15 | 0. | 0. | 0. |
| ANDREW S. DUFF 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | DIRECTOR 1.00 | 0. | 0. | 0. |
| CHAD ABRAHAM 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | DIRECTOR 1.00 | 0. | 0. | 0. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 2 (CONT'D)

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|--|---|--------------|---|---|
| FRANK E. FAIRMAN 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | DIRECTOR 1.00 | 0. | 0. | 0. |
| MATTHEW S. HEMSLEY 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | DIRECTOR 1.00 | 0. | 0. | 0. |
| THOMAS P. SCHNETTLER 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | DIRECTOR 1.00 | 0. | 0. | 0. |
| VICTORIA BEARD 800 NICOLLET MALL, MINNEAPOLIS, MN J09S02 | DIRECTOR 1.00 | 0. | 0. | 0. |
| GRAND TOTALS | | 0. | 0. | 0. |

ATTACHMENT 3

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PIPER JAFFRAY COMMUNITY RELATIONS
800 NICOLLET MALL, J12NMK
MINNEAPOLIS, MN 55402
612-303-8202

ATTACHMENT 4990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

PIPER JAFFRAY COMMUNITY RELATIONS

ALL REQUESTS FOR FUNDING SHOULD BEGIN WITH A COMPLETE PIPER JAFFRAY
GRANT APPLICATION AVAILABLE AT PIPERJAFFRAY.COM. THE APPLICATION
INCLUDES A COMPLETE LIST OF REQUIRED SUPPORTING MATERIALS.

ATTACHMENT 5

990PF, PART XV - SUBMISSION DEADLINES

PIPER JAFFRAY COMMUNITY RELATIONS

THE APPLICATION CYCLE IS JANUARY 1 THROUGH MARCH 15

ATTACHMENT 6990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

PIPER JAFFRAY COMMUNITY RELATIONS

PIPER JAFFRAY CORPORATION GIVING WILL NOT FUND:

1. ORGANIZATIONS THAT ARE NOT TAX-EXEMPT UNDER IRS CODE SECTION 501(C)(3) OR EQUIVALENT
2. ORGANIZATIONS THAT DO NOT SERVE COMMUNITIES WHERE PIPER JAFFRAY HAS A BUSINESS PRESENCE
3. INDIVIDUALS OR GROUPS SEEKING SUPPORT FOR PLANNING, PERSONAL NEEDS OR TRAVEL
4. PUBLIC SERVICE OR POLITICAL CAMPAIGNS
5. LOBBYING, POLITICAL OR FRATERNAL ACTIVITIES
6. ORGANIZATIONS RECEIVING PRIMARY FUNDING FROM UNITED WAY
7. ATHLETIC OR PAGEANT SCHOLARSHIPS
8. RELIGIOUS ACTIVITIES OR RELIGIOUS PURPOSES
9. PUBLICATIONS, AUDIO-VISUAL

PIPER JAFFRAY WILL CONSIDER REPEAT GRANTS FOR UP TO THREE CONSECUTIVE YEARS.

ATTACHMENT 7

FORM 990PF, PART XV, LINE 3A - CONTRIBUTIONS PAID DURING THE YEAR

| <u>ORGANIZATION NAME AND ADDRESS</u> | <u>Relationship to Substantial Contributor and Foundation Status of Recipient</u> | <u>PURPOSE</u> | <u>AMOUNT</u> |
|---|---|----------------------|---------------|
| LONE STAR VETERANS ASSOCIATION 2929 MCKINNEY STREET, SUITE #A, HOUSTON, TX, 77003 | | BOARD LEADER PROGRAM | 4,000 |
| TWIN CITIES PUBLIC TELEVISION 172 EAST 4TH STREET, ST PAUL, MN, 55101 | | VARIOUS | 18,000 |
| CARLSON SCHOOL ALUMNI BOARD 321 19TH AVENUE SOUTH, SUITE 4-300, MINNEAPOLIS, MN, 55455 | | BOARD LEADER PROGRAM | 4,000 |
| DEFEAT OF JESSE JAMES DAYS COMMITTEE PO BOX 23, NORTHFIELD, MN, 55057 | | BOARD LEADER PROGRAM | 4,000 |
| INVESTED 911 8TH AVENUE N , SEATTLE, WA, 98109 | | BOARD LEADER PROGRAM | 4,000 |
| SCHOLARS OF FINANCE 5534 PARKDALE DRIVE, SUITE 103, ST LOUIS PARK, MN, 55416 | | VARIOUS | 14,000 |
| STUDENTS CONNECTING THROUGH INTERNATIONAL SERVICE 4431 ZENITH AVE N , ROBBINSDALE, MN, 55422 | | BOARD LEADER PROGRAM | 4,000 |
| HOURLCAR 1754 UNIVERSITY AVE W , ST PAUL, MN, 55104 | | BOARD LEADER PROGRAM | 4,000 |
| GREATER CAPE MAY HISTORICAL SOCIETY 653 1/2 WASHINGTON STREET, PO BOX 495, CAPE MAY, NJ, 08204 | | BOARD LEADER PROGRAM | 4,000 |
| NORTHERN STAR COUNCIL, BOY SCOUTS OF AMERICA 393 MARSHALL AVENUE, ST PAUL, MN, 55102 | | BOARD LEADER PROGRAM | 4,000 |
| PTA MARYLAND CONGRESS OF PARENTS AND TEACHERS BENFIELD PTA 365 LYNWOOD DRIVE, SEVERNA PARK, MD, 21146 | | BOARD LEADER PROGRAM | 4,000 |
| THE NATURE CONSERVANCY 1101 WEST RIVER PARKWAY, SUITE 200, MINNEAPOLIS, MN, 55415 | | BOARD LEADER PROGRAM | 4,000 |
| MINNESOTA HISTORICAL SOCIETY 345 KELLOGG BOULEVARD WEST, ST PAUL, MN, 55102 | | VARIOUS | 18,000 |
| RONALD MCDONALD HOUSE CHARITIES OF WESTERN WASHINGTON & ALASKA 5130 40TH AVENUE NE, SEATTLE, WA, 98105 | | BOARD LEADER PROGRAM | 4,000 |
| SCIENCE MUSEUM OF MINNESOTA 120 WEST KELLOGG BOULEVARD, ST PAUL, MN, 55102 | | VARIOUS | 15,000 |
| ELIZABETH ANN SETON MONTESSORI 149 E THOMPSON AVENUE, SUITE 160, WEST ST PAUL, MN, 55118 | | BOARD LEADER PROGRAM | 4,000 |
| MENTOR IOWA 3900 INGERSOLL AVENUE, DES MOINES, IA, 50312 | | BOARD LEADER PROGRAM | 4,000 |
| ST GEORGE'S INDEPENDENT SCHOOL FOUNDATION 1880 WOLF RIVER BOULEVARD, COLLIERSVILLE, TN, 38017 | | BOARD LEADER PROGRAM | 4,000 |
| BAGS OF SMILES 19959 GARY STREET NW, ELK RIVER, MN, 55330 | | BOARD LEADER PROGRAM | 4,000 |
| DOMESTIC ABUSE PROJECT 204 WEST FRANKLIN AVENUE, MINNEAPOLIS, MN, 55404 | | BOARD LEADER PROGRAM | 4,000 |
| ORT - OHIO REGION 24100 CHAGRIN BOULEVARD, SUITE 300, BEACHWOOD, OH, 44122 | | BOARD LEADER PROGRAM | 4,000 |

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| THE CENTER 3550 WEST DALLAS ST , HOUSTON, TX, 77019 | BOARD LEADER PROGRAM | 4,000 |
| COLEMAN PROFESSIONAL SERVICES 5982 RHODES RD , KENT, OH, 44240 | BOARD LEADER PROGRAM | 2,000 |
| GONZAGA UNIVERSITY 502 E BOONE AVENUE, SPOKANE, WA, 99258-0098 | BOARD LEADER PROGRAM | 4,000 |
| UNITED STATES NAVAL ACADEMY ALUMNI ASSOCIATION TEXAS GULF COAST FOUNDATION PO BOX 60623, HOUSTON, TX, 77205 | BOARD LEADER PROGRAM | 4,000 |
| ARIZONA COUNCIL ON ECONOMIC EDUCATION 16421 N TATUM BLVD , SUITE 123, SCOTTSDALE, AZ, 85032 | VARIOUS | 18,000 |
| BESTPREP 7100 NORTHLAND CIRCLE N, SUITE 120, MINNEAPOLIS, MN, 55428 | VARIOUS | 20,000 |
| BEYOND WALLS URBAN SQUASH TWIN CITIES 123 HARVARD STREET SE, MINNEAPOLIS, MN, 55455 | BOARD LEADER PROGRAM | 4,000 |
| CANINE COMPANIONS 4989 STATE ROUTE 37 EAST, DELAWARE, OH, 43015 | BOARD LEADER PROGRAM | 2,000 |
| CARLSON SCHOOL OF MANAGEMENT UNIVERSITY OF MINNESOTA, 321 19TH AVENUE S, MINNEAPOLIS, MN, 55455 | BOARD LEADER PROGRAM / | 4,000 |
| CATHOLIC CHARITIES 1200 SECOND AVENUE S, MINNEAPOLIS, MN, 55403 | BOARD LEADER PROGRAM | 4,000 |
| COLLEGE POSSIBLE 755 PRIOR AVENUE NORTH, SUITE 200, ST PAUL, MN, 55104 | VARIOUS | 18,000 |
| COPLEY-FAIRLAWN SCHOOLS FOUNDATION 2480 COPLEY ROAD, COPLEY, OH, 44321 | BOARD LEADER PROGRAM | 4,000 |
| EASTMAN MEMORIAL FOUNDATION PO BOX 1108, LAUREL, MS, 39441 | BOARD LEADER PROGRAM | 4,000 |
| GILLETTE CHILDREN'S SPECIALTY HEALTHCARE 200 UNIVERSITY AVENUE E, ST PAUL, MN, 55101 | VARIOUS | 19,000 |
| HELP FOR CHILDREN 330 7TH AVENUE, SUITE 2B, NEW YORK, NY, 10001 | BOARD LEADER PROGRAM | 4,000 |
| JOHN THEISSEN CHILDREN'S FOUNDATION 1881 WANTAGH AVENUE, WANTAGH, NY, 11793 | BOARD LEADER PROGRAM | 4,000 |
| KIDS CREATIVE 2014 5TH AVENUE, NEW YORK, NY, 10035 | BOARD LEADER PROGRAM | 4,000 |
| LAFAYETTE COLLEGE 700 W PIERCE STREET, EASTON, PA, 18042 | BOARD LEADER PROGRAM | 8,000 |
| LIFECARE ALLIANCE 1699 W MOUND STREET, COLUMBUS, OH, 43223 | BOARD LEADER PROGRAM | 4,000 |
| LUPUS FOUNDATION OF AMERICA 2121 K STREET NW, SUITE 200, WASHINGTON, DC, 20037 | BOARD LEADER PROGRAM | 2,000 |
| NORTHEAST IOWA COMMUNITY COLLEGE FOUNDATION 1625 HWY 150 SOUTH, PO BOX 400, CALMAR, IA, 52132 | BOARD LEADER PROGRAM | 4,000 |
| PHI BETA KAPPA ALUMNI ASSOCIATION OF GREATER HOUSTON PO BOX 25283, HOUSTON, TX, 77215 | BOARD LEADER PROGRAM | 4,000 |
| ST PATRICK CENTER 800 N TUCKER BOULEVARD, ST LOUIS, MO, 63101 | VARIOUS | 15,000 |

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| SPRINGSPIRIT 8526 PITNER ROAD, HOUSTON, TX, 77080 | BOARD LEADER PROGRAM | 4,000 |
| STREETWISE PARTNERS 222 BROADWAY, 19TH FLOOR, NEW YORK, NY, 10036 | BOARD LEADER PROGRAM | 4,000 |
| THE EXCHANGE CLUB OF NEW CANAAN FOUNDATION, INC PO BOX 1163, NEW CANAAN, CT, 06840 | BOARD LEADER PROGRAM | 4,000 |
| THE POSSE FOUNDATION 1001 MCKINNEY STREET, SUITE 950, HOUSTON, TX, 77002 | BOARD LEADER PROGRAM | 4,000 |
| THE SAINT PAUL CHAMBER ORCHESTRA 408 ST PETER STREET, THIRD FLOOR, ST PAUL, MN, 55102 | BOARD LEADER PROGRAM | 4,000 |
| THE UNIVERSITY OF CHICAGO 5235 S HARPER COURT, SUITE 450, CHICAGO, IL, 60615 | BOARD LEADER PROGRAM | 4,000 |
| THE UNIVERSITY OF TEXAS FOUNDATION MD ANDERSON CANCER CENTER, PO BOX 250, AUSTIN, TX, 78767-0250 | BOARD LEADER PROGRAM | 4,000 |
| TOM KARLIN FOUNDATION 17707 W 83 TERRACE, LENEXA, KS, 66219 | BOARD LEADER PROGRAM | 4,000 |
| WISE PENNY THRIFT SHOPPE 310 BRIGHTON AVENUE S, BUFFALO, MN, 55313 | BOARD LEADER PROGRAM | 4,000 |
| THE HOUSTON MUSEUM OF NATURAL SCIENCE - WEISS ENERGY HALL 5555 HERMAN PARK DR , HOUSTON , TX, 77030 | REGIONAL GIVING | 50,000 |
| ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVENUE, CHICAGO, IL, 60603 | REGIONAL GIVING | 6,000 |
| BECHTLER MUSEUM OF MODERN ART 420 S TRYON STREET, CHARLOTTE, NC, 28202 | REGIONAL GIVING | 9,000 |
| CRISTO REY NETWORK 14 E JACKSON BOULEVARD, SUITE 1200, CHICAGO, IL, 60604 | REGIONAL GIVING | 10,000 |
| POSSE FOUNDATION 1001 MCKINNEY ST , SUITE 950, HOUSTON, TX, 77002 | VARIOUS | 11,100 |
| RIGHT MOVES FOR YOUTH 2211 WEST MOREHEAD STREET, CHARLOTTE, NC, 28208 | VARIOUS | 9,100 |
| AMERICAN RED CROSS PO BOX 37839, BOONE, IA, 50037-0839 | VARIOUS | 28,300 |
| GREEN MINNEAPOLIS 81 SOUTH 9TH STREET, SUITE 260, MINNEAPOLIS, MN, 55402 | HEADQUARTER GIVING | 50,000 |
| DOING GOOD TOGETHER 5141 16TH AVENUE SOUTH, MINNEAPOLIS, MN, 55402 | HEADQUARTER GIVING | 2,500 |
| WALLIN EDUCATION PARTNERS 5200 WILSON ROAD, SUITE 209, MINNEAPOLIS, MN, 55424 | HEADQUARTER GIVING | 22,500 |
| ACHIEVEMPLS 2829 UNIVERSITY AVE SE, SUITE 850, MINNEAPOLIS, MN, 55414 | HEADQUARTER GIVING | 9,000 |
| CATHOLIC CHARITIES OF SAINT PAUL & MINNEAPOLIS 1200 SECOND AVENUE SOUTH, MINNEAPOLIS, MN, 55424 | HEADQUARTER GIVING | 16,000 |
| CES MEALS ON WHEELS 1900 11TH AVENUE SOUTH, MINNEAPOLIS, MN, 55404 | HEADQUARTER GIVING | 9,000 |
| CHILDREN'S MINNESOTA | HEADQUARTER GIVING | 11,000 |

5901 LINCOLN DRIVE, MS CBC-3-FOUN, EDINA, MN, 55436

CORNERSTONE ADVOCACY SERVICE
1000 EAST 80TH STREET, BLOOMINGTON, MN, 55420

VARIOUS 10,100

GREAT RIVER GREENING
251 STARKEY STREET, SUITE 2200, ST PAUL, MN, 55107

HEADQUARTER GIVING 6,000

GUTHRIE THEATER
818 SOUTH SECOND STREET, MINNEAPOLIS, MN, 55415

HEADQUARTER GIVING 11,000

ICA FOOD SHELF
12990 ST DAVIDS ROAD, MINNETONKA, MN, 55305

HEADQUARTER GIVING 5,000

METROPOLITAN ECONOMIC ASSOCIATION (MEDA)
1256 PENN AVENUE NORTH, MINNEAPOLIS, MN, 55411

HEADQUARTER GIVING 9,000

MINNEAPOLIS HEART INSTITUTE FOUNDATION
920 EAST 28TH STREET, SUITE 100, MINNEAPOLIS, MN, 55407

HEADQUARTER GIVING 3,000

MINNESOTA COUNCIL ON ECONOMIC EDUCATION (MCEE)
UNIVERSITY OF MINNESOTA, 1994 BUFORD AVENUE, SUITE 116, ST PAUL, MN, 55108

HEADQUARTER GIVING 6,000

MINNESOTA PUBLIC RADIO (MPR)
480 CEDAR STREET, ST PAUL, MN, 55101

HEADQUARTER GIVING 6,000

NATURE CONSERVANCY IN MN, ND & SD
1101 WEST RIVER PARKWAY, SUITE 200, MINNEAPOLIS, MN, 55415

HEADQUARTER GIVING 16,000

SAINT PAUL CHAMBER ORCHESTRA
408 SAINT PETER STREET, THIRD FLOOR, ST PAUL, MN, 55102

HEADQUARTER GIVING 14,000

LITTLE BROTHERS, FRIENDS OF THE ELDERLY
1845 EAST LAKE STREET, MINNEAPOLIS, MN, 55407

VOLUNTEER 1,000

HAITI AND AFRICA RELIEF TEAM INC
17212 MACK AVE, GROSSE PT FARM, MI, 48230

VOLUNTEER 500

MISERICORDIA HOME
6300 NORTH RIDGE AVE, CHICAGO, IL, 60660

VOLUNTEER 500

SAFE HANDS ANIMAL RESCUE
3732 10TH AVE S, MINNEAPOLIS, MN, 55407

VARIOUS 2,000

VOCALESSENCE
1900 NICOLLET AVE, MINNEAPOLIS, MN, 55403

VOLUNTEER 1,000

MOUNT OLIVET ROLLING ACRES
18986 LAKE DRIVE EAST, CHANHASSEN, MN, 55317

VOLUNTEER 1,000

ANOKA COUNTY BROTHERHOOD COUNCIL FOOD SHELF
2615 9TH AVENUE N, ANOKA, MN, 55303

VOLUNTEER 1,000

CMC FOUNDATION FOR CHANGE
519 EIGHTH AVENUE, 9TH FLOOR, NEW YORK, NY, 10018

VOLUNTEER 1,000

LAST HOPE, INC
431 3RD STREET, FARMINGTON, MN, 55024

VOLUNTEER 1,000

MINDS MATTER OF DENVER
PO BOX 48162, DENVER, CO, 80204

VOLUNTEER 1,000

SACRED HEART CATHOLIC SCHOOLS
4050 HUBBARD AVENUE N, ROBBINSDALE, MN, 55422

VOLUNTEER 1,000

THE RAPTOR CENTER
UNIVERSITY OF MINNESOTA, 1920 FITCH AVENUE, ST PAUL, MN, 55108

VOLUNTEER 1,000

CORPORATE MATCH - 2017 GIVING CAMPAIGN DONATION MATCH

CORPORATE MATCH 64,002

EPSILON DELTA FOUNDATION
PO BOX 1606, WILSONVILLE, OR, 97070

CORPORATE MATCH 3,500

LIVING WATER INTERNATIONAL
4001 GREENBRIAR DRIVE, STAFFORD, TX, 77477-3922

CORPORATE MATCH 1,800

CORPORATE MATCH - 2018 GIVING CAMPAIGN DONATION MATCH

VARIOUS 1,015,156

DONORSCHOOSE ORG
134 WEST 37TH STREET, 11TH FLOOR, NEW YORK, NY, 10018

CORPORATE MATCH 100

FLORISSANT VALLEY SHELTERED WORKSHOP
143 B MCDONNELL BLVD, HAZELWOOD, MO, 63042

CORPORATE MATCH 100

FOREVER FOOTPRINTS
PO BOX 421, ORANGE, CA, 92856

CORPORATE MATCH 100

FRIENDS OF THE BOUNDARY WATERS
401 N 3RD STREET, SUITE 290, MINNEAPOLIS, MN, 55401

CORPORATE MATCH 100

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| GURNEE BOYS BASEBALL PO BOX 185, GURNEE, IL, 60031 | CORPORATE MATCH | 100 |
| HOUSTON SPCA 7007 OLD KATY ROAD, HOUSTON, TX, 77024 | CORPORATE MATCH | 100 |
| MAKE A WISH WASHINGTON 811 FIRST AVENUE, SUITE 250, SEATTLE, WA, 98104 | CORPORATE MATCH | 100 |
| MAKE-A-WISH FOUNDATION OF NORTH DAKOTA 4143 26TH AVENUE SOUTH, SUITE 104, FARGO, ND, 58104 | CORPORATE MATCH | 100 |
| NAMI MINNESOTA 1919 UNIVERSITY AVENUE WEST, SUITE 400, ST PAUL, MN, 55104 | CORPORATE MATCH | 100 |
| NATIONAL ADULT PROTECTIVE SERVICES ASSOCIATION PO BOX 96503, PMB 74669, WASHINGTON, DC, 20090 | CORPORATE MATCH | 100 |
| OUTFRONT MINNESOTA 310 EAST 38TH STREET, SUITE 209, MINNEAPOLIS, MN, 55409-1337 | CORPORATE MATCH | 100 |
| PWSA OF WI, INC PO BOX 324, MENASHA, WI, 54952 | CORPORATE MATCH | 100 |
| RETTSYNDROME ORG 4600 DEVITT DRIVE, CINCINNATI, OH, 45246 | CORPORATE MATCH | 100 |
| SARTORI ELEMENTARY SCHOOL 332 PARK AVE N, RENTON, WA, 98057 | CORPORATE MATCH | 100 |
| ST JOSEPH CATHOLIC SCHOOL 275 W NORTH STREET, MANHATTAN, IL, 60442 | CORPORATE MATCH | 100 |
| THE CAMDEN PROMISE 4656 N COLFAX AVE, MINNEAPOLIS, MN, 55412 | CORPORATE MATCH | 100 |
| UNION GOSPEL MISSION DEPARTMENT OF DONOR SERVICES, 77 NINTH STREET EAST, ST PAUL, MN, 55101 | CORPORATE MATCH | 200 |
| UNITED WAY OF THE COLUMBIA-WILLAMETTE 619 SW 11TH AVENUE, PORTLAND, OR, 97205 | CORPORATE MATCH | 100 |
| UNIVERSITY OF MINNESOTA FOUNDATION MCNAMARA ALUMNI CENTER, 200 SE OAK STREET, SUITE 500, MINNEAPOLIS, MN, 55455 | CORPORATE MATCH | 200 |
| UNIVERSITY OF NORTHWESTERN 3003 SNELLING AVE N, ROSEVILLE, MN, 55113 | CORPORATE MATCH | 100 |
| UNIVERSITY OF ST THOMAS 2115 SUMMIT AVE, ST PAUL, MN, 55105 | CORPORATE MATCH | 100 |
| GATES PHILANTHROPY PARTNERS PO BOX 23350, SEATTLE, WA, 98102-0650 | VARIOUS | 164 |
| GREYHOUND TRUST WINGS, PEEKS BROOK LANE, HORLEY, RH6 9SX | CORPORATE MATCH | 191 |
| ABERDEEN CYRENIANS 62 SUMMER ST, ABERDEEN, AB10 1SD | CORPORATE MATCH | 320 |
| ABERDEEN FC COMMUNITY TRUST PITTOODRIE STADIUM, ABERDEEN, AB24 5QH | CORPORATE MATCH | 1,280 |
| ALZHEIMER SCOTLAND 160 DUNDEE STREET, EDINBURGH, EH11 1DO | CORPORATE MATCH | 13 |
| CANCER RESEARCH UK ANGEL BUILDING, 407 ST JOHN STREET, LONDON, EC1V 4AD | CORPORATE MATCH | 128 |
| CHEST HEART & STROKE SCOTLAND HEAD OFFICE, THIRD FLOOR ROSEBERY, HOUSE, 9 HAYMARKET TERRACE, EDINBURGH, EH12 5EZ | CORPORATE MATCH | 1,280 |
| CHILDREN 1ST 81 WHITEHOUSE LOAN, EDINBURGH, EH9 1AT | CORPORATE MATCH | 128 |
| DEMENTIA UK HEAD OFFICE, 7TH FLOOR, ONE ALDGATE, LONDON, EC3N 1RE | CORPORATE MATCH | 26 |
| MAGGIE'S CENTRES ABERDEEN ROYAL INFIRMARY ELIZABETH MONTGOMERIE BUILDING, WESTBURN ROAD, FORESTERHILL, ABERDEEN, AB25 2UZ | CORPORATE MATCH | 32 |
| MEDECINS SANS FRONTIERES LOWER GROUND FLOOR, CHANCERY EXCHANGE, 10 FURNIVAL STREET, LONDON, EC4A 1AB | CORPORATE MATCH | 256 |
| MS SOCIETY SCOTLAND RATHO PARK, 88 GLASGOW ROAD, RATHO STATION, NEWBRIDGE, EH28 8PP | CORPORATE MATCH | 64 |
| MUMMY'S STAR PO BOX 428, GLOSSOP, SK14 9EA | CORPORATE MATCH | 82 |

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| PAUL LAWRIE FOUNDATION SOUTH DEESIDE ROAD, ABERDEEN, AB12 5YN | CORPORATE MATCH | 640 |
| THE CHILDREN'S TRUST FREEPOST PLUS RTJG-BLBT-UTLK, TADWORTH COURT, TADWORTH, KT20 5RU | CORPORATE MATCH | 389 |
| THE QUEEN'S COLLEGE, OXFORD ATTN MATCHING GIFTS OFFICER, HIGH STREET, OXFORD, OX1 4AW | CORPORATE MATCH | 324 |
| THINK PINK ROCKS INC 6734 NEWPORT LAKE CIRCLE, BOCA RATON, FL, 33496-3003 | 501(C)(3) | 1,000 |
| ALS ASSOCIATION GOLDEN WEST CHAPTER PO BOX 565, AGOURA HILLS, CA, 91376 | 501(C)(3) | 1,000 |
| Q4 2017 MATCHING GIFT REQUESTS | GIFT MATCHING | 4,142 |
| Q1 2018 MATCHING GIFT REQUESTS | GIFT MATCHING | 17,156 |
| WASHINGTON AND LEE UNIVERSITY SCHOOL OF LAW OFFICE OF LAW SCHOOL ADVANCEMENT, LEWIS HALL, LEXINGTON, VA, 24450. | GIFT MATCHING | 1,000 |
| Q2 2018 MATCHING GIFT REQUESTS | GIFT MATCHING | 23,666 |
| ADULT CONGENITAL HEALTH NATIONAL OFFICE, 300 HENRY AVE, SUITE 112, PHILADELPHIA, PA, 19129 | GIFT MATCHING | 250 |
| MACMILLAN CANCER SUPPORT CAMELFORD HOUSE, 87-90 ALBERT EMBANKMENT, LONDON, SE1 7UQ, UK | VARIOUS | 1,201 |
| GLOBAL OVERSEAS ADOPTES' LINK 37 WORLD CUP BUK-RO 44-GIL 5TH FLOOR, MAPO-GU, SEOUL, 03930, REPUBLIC OF KOREA | GIFT MATCHING | 100 |
| Q3 2018 MATCHING GIFT REQUESTS | GIFT MATCHING | 15,940 |
| STV APPEAL PACIFIC QUAY, GLASGOW, G51 1PQ, UK | GIFT MATCHING | 1,129 |
| MAGGIE KESWICK JENCKS CANCER CARING CENTRES TRUST 20 ST JAMES STREET, LONDON, W6 9RW, UK | GIFT MATCHING | 2,206 |
| SUE RYDER KING STREET, SUDBURY, SUFFOLK, CO10 2ED, UK | GIFT MATCHING | 370 |
| SAMARITAN STRATEGY FOUNDATION OF ZAMBIA PO BOX 40360-00100, NAIROBI, KENYA | GIFT MATCHING | 3,000 |
| Q4 2018 MATCHING GIFT REQUESTS | GIFT MATCHING | 38,064 |
| ACTION FOR PULMONARY FIBROSIS CITY WHARF, DAVIDSON ROAD, LICHFIELD, STAFFORDSHIRE, WS14 9DZ, UK | GIFT MATCHING | 111 |
| FAMILY ACTION 24, ANGEL GATE, CITY RD, CLERKENWELL, LONDON, EC1V 2PT, UK | GIFT MATCHING | 126 |
| WWF UK THE LIVING PLANET CENTRE RUFFORD HOUSE, BREWERY RD, WOKING, GU21 4LL, UK | GIFT MATCHING | 287 |
| PINK RIBBON FOUNDATION CROFTON HOUSE, 5 MORLEY CL, ORPINGTON, BR6 8JR, UK | GIFT MATCHING | 139 |
| BARTS AND THE LONDON CHARITY 12 COCK LN, LONDON, EC1A 9BU, UK | GIFT MATCHING | 63 |
| ALZHEIMER'S RESEARCH UK 3 RIVERSIDE, GRANTA PARK, CAMBRIDGE, CB21 6AD, UK | GIFT MATCHING | 379 |
| CHILDREN'S CANCER AND LEUKAEMIA GROUP UNIVERSITY OF LEICESTER, CLINICAL SCIENCES BUILDING, LEICESTER ROYAL INFIRMARY, LEICESTER, LE2 7LX, UK | GIFT MATCHING | 108 |
| CRISIS 66 COMMERCIAL ST, LONDON, E1 6LT, UK | GIFT MATCHING | 152 |
| ELLENOR COLDHARBOUR ROAD, GRAVESEND, KENT, DA11 7HQ, UK | GIFT MATCHING | 100 |
| MND ASSOCIATION DAVID NIVEN HOUSE, 10-15 NOTRE DAME MEWS, NORTHAMPTON, NN1 2BG, UK | GIFT MATCHING | 139 |
| TOTAL CONTRIBUTION EXPENSE | | 1,874,502 |