

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

, 2018, and ending

, 20

Name of foundation

ANNA PAULINA FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

3400 W BRISTOL ROAD

City or town, state or province, country, and ZIP or foreign postal code

FLINT MI 48507-3112

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **18,373,640**
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)

A Employer identification number**38-6061335****B** Telephone number (see instructions)**810-238-3685****C** If exemption application is pending, check here ▶ ☐**D** 1. Foreign organizations, check here ▶ ☐2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	640,428			
	2	Check ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	732,960	732,960		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	(60,018)			
	b	Gross sales price for all assets on line 6a	6,829,004			
	7	Capital gain net income (from Part IV, line 2)	0			
	8	Net short-term capital gain	0			
	9	Income modifications				
Operating and Administrative Expenses	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	1,313,370	732,960	0	
	13	Compensation of officers, directors, trustees, etc.	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,100			
	c	Other professional fees (attach schedule)	43,652	43,652		
	17	Interest	92		92	
	18	Taxes (attach schedule) (see instructions)	24,849			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	20			
	24	Total operating and administrative expenses. Add lines 13 through 23	70,713	43,652	92	0
	25	Contributions, gifts, grants paid	1,114,162			1,114,162
	26	Total expenses and disbursements. Add lines 24 and 25	1,184,875	43,652	92	1,114,162
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	128,495			
	b	Net investment income (if negative, enter -0-)		689,308		
	c	Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	510,856	349,813	349,813
	2 Savings and temporary cash investments	456,634	546,533	546,533
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	5,266,502	5,066,115	5,755,758
	c Investments—corporate bonds (attach schedule)	665,497	660,907	743,411
	11 Investments—land, buildings, and equipment, basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	11,736,581	12,137,540	10,978,125
	14 Land, buildings, and equipment, basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	18,636,070	18,760,908	18,373,640	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ SEE ATTACHED SCHEDULE)	3,657	0	
	23 Total liabilities (add lines 17 through 22)	3,657	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26, and lines 30 and 31:			
	24 Unrestricted	18,632,413	18,760,908	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	18,632,413	18,760,908	
	31 Total liabilities and net assets/fund balances (see instructions)	18,636,070	18,760,908	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,632,413
2 Enter amount from Part I, line 27a	2	128,495
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	18,760,908
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,760,908

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE ATTACHED SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	(60,018)	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8		3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,016,137	18,784,744	0.054094
2016	1,777,966	18,090,895	0.098280
2015	1,317,531	17,914,417	0.073546
2014	417,454	17,161,401	0.024325
2013	414,210	16,023,855	0.025850
2 Total of line 1, column (d)			2 0.276095
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.055219
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 19,742,627
5 Multiply line 4 by line 3			5 1,090,168
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,893
7 Add lines 5 and 6			7 1,097,061
8 Enter qualifying distributions from Part XII, line 4			8 1,114,162

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		13,786
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2		0
3	Add lines 1 and 2	3		13,786
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4		0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		13,786
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	16,000	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		16,000
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		2,214
11	Enter the amount of line 10 to be Credited to 2019 estimated tax Refunded	11		2,214

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	✓	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ MICHIGAN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		✓
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		✓

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	✓
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	✓
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	✓
14 The books are in care of ► JOHN C. KOEGEL Telephone no. ► 810-238-3685 Located at ► 3400 W. BRISTOL RD, FLINT, MICHIGAN ZIP+4 ► 48507-3112		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year	15	
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b	
Organizations relying on a current notice regarding disaster assistance, check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? N/A	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) –		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b	✓
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870.		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part-VIII • Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1	N/A	
2		
3		
4		

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		

Form **990-PF** (2018)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,129,407
b	Average of monthly cash balances	1b	913,869
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	20,043,276
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	20,043,276
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	300,649
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,742,627
6	Minimum investment return. Enter 5% of line 5	6	987,131

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	987,131
2a	Tax on investment income for 2018 from Part VI, line 5	2a	13,786
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,786
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	973,345
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	973,345
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	973,345

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,114,162
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,114,162
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,114,162

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				973,345
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2018:				
a From 2013 0				
b From 2014 417,454				
c From 2015 438,678				
d From 2016 890,809				
e From 2017 92,826				
f Total of lines 3a through e	1,839,767			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 1,114,162				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2018 distributable amount				973,345
e Remaining amount distributed out of corpus	140,817			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	1,980,584			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,980,584			
10 Analysis of line 9				
a Excess from 2014 417,454				
b Excess from 2015 438,678				
c Excess from 2016 890,809				
d Excess from 2017 92,826				
e Excess from 2018 140,817				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE ATTACHED SCHEDULE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV . Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED SCHEDULE				1,114,162
Total			▶ 3a	1,114,162
b <i>Approved for future payment</i> N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	732,960	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	(60,018)	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue. a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		672,942	0
13	Total. Add line 12, columns (b), (d), and (e)				672,942	672,942

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
-------------------	--

[illegible]

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		✓
	(2) Other assets		✓
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		✓
	(2) Purchases of assets from a noncharitable exempt organization		✓
	(3) Rental of facilities, equipment, or other assets		✓
	(4) Reimbursement arrangements		✓
	(5) Loans or loan guarantees		✓
	(6) Performance of services or membership or fundraising solicitations		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/8/2019
Date

PRESIDENT
Title

May the IRS discuss this return with the preparer shown below?
See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JEFFRY D. ROCCO

Preparer's signature

Preparer's signature Jeffrey M. Rocco

Date _____

Date
5-8-19

Check ☒ if self-employed

PTIN

P00238449

Firm's name ▶ JEFFRY D. ROCCO, CPA

Firm's EIN ►

Firm's address ▶ 8315 GROVEMONT COURT GRAND BLANC, MI 48439-1967

Phone no	
----------	--

810-694-8060

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

ANNA PAULINA FOUNDATION

Employer identification number

38-6061335**Organization type** (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

ANNA PAULINA FOUNDATION

38-6061335

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEFFRY D. & ANNE ROCCO 3400 W. BRISTOL RD FLINT, MI 48507-3112	\$ 160,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	KATHRYN KOEGEL 3400 W. BRISTOL RD FLINT, MI 48507-3112	\$ 150,151	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
3	JANE KOEGEL 3400 W. BRISTOL RD FLINT, MI 48507-3112	\$ 180,274	Person ☐ \$30,000 Payroll ☐ Noncash ☒ \$150,274 (Complete Part II for noncash contributions)
4	JOHN C. & LISA KOEGEL 3400 W. BRISTOL RD FLINT, MI 48507-3112	\$ 149,678	Person ☐ \$50,000 Payroll ☐ Noncash ☒ \$99,678 (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

ANNA PAULINA FOUNDATION

38-6061335

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	PUBLICLY TRADED SECURITIES	\$ 150,151	12-19-18
3	PUBLICLY TRADED SECURITIES	\$ 150,274	12-19-18
4	PUBLICLY TRADED SECURITIES	\$ 99,678	12-18-18
		\$	
		\$	
		\$	

Name of organization ANNA PAULINA FOUNDATION	Employer identification number 38-6061335
--	---

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

ANNA PAULINA FOUNDATION
TAX ID# 38-6061335
2018 FORM 990-PF SUPPORTING SCHEDULE

PAGE 1, PART I, LINE 1 CONTRIBUTIONS

Jeffry D. & Anne Rocco
3400 W. Bristol Road, Flint, MI 48507-3112

Cash		160,000.00
------	--	------------

Kathryn Koegel
3400 W. Bristol Road, Flint, MI 48507-3112
Publicly Traded Securities

	150,150.80
--	------------

Jane Koegel
3400 W. Bristol Road, Flint, MI 48507-3112

Cash	30,000.00	
Publicly Traded Securities	<u>150,274.35</u>	180,274.35

John C & Lisa Koegel
3400 W. Bristol Road, Flint, MI 48507-3112

Cash	50,000.00	
Publicly Traded Securities	<u>99,677.55</u>	149,677.55

Cash (Koegel Meats, Inc. employees)

326.00

Total

<u><u>640,428.70</u></u>

ANNA PAULINA FOUNDATION
Tax ID# 38-6061335
2018 FORM 990-PF SUPPORTING SCHEDULE

PAGE 1, PART I

LINE 16b Accounting Fees	
Indirect Accounting Fees (Tax Preparation)	<u>2,100.00</u>
LINE 16c Other Professional Fees	
Investment Fees	35,033.00
Consulting	<u>8,619.00</u>
Total	<u>43,652.00</u>
LINE 18 Taxes (Paid in 2018)	
2015 Tax (due to IRS letter, amended return)	1,635.00
2016 Tax (due to amended return)	203.00
2017 Tax (final)	7,174.00
2018 Tax (estimated payments)	16,000.00
Tax Refund	<u>(163.00)</u>
Total	<u>24,849.00</u>
LINE 23 Other Expenses	
Michigan Nonprofit Corporation Annual Report Fee	<u>20.00</u>

ANNA PAULINA FOUNDATION
Tax ID# 38-6061335
2018 FORM 990-PF SUPPORTING SCHEDULE

PAGE 2, PART II BALANCE SHEET

	Beginning of Year Book Value	End of Year Book Value	Basis of Valuation	Fair Market Value
LINE.				
10b Investments - Corporate Stock	5,266,502.00	5,066,115.00	COST	5,755,758.00
10C Investments - Corporate Bonds	665,497.00	660,907 00	COST	743,411 00
13 Investments - Other				
Exchange-Traded & Closed-End Funds	904,221 00	1,425,242.00	COST	1,190,875.00
Mutual Funds Open-End funds	3,277,861.00	3,441,923.00	COST	2,980,072 00
Municipal Bonds	1,178,900.00	878,730.00	COST	889,402.00
Preferred Stock	6,375,599.00	6,391,645 00	COST	5,917,776 00
	<u>11,736,581 00</u>	<u>12,137,540 00</u>		<u>10,978,125 00</u>
22 Other Liabilities - loans	3,657 00	0 00		

ANNA PAULINA FOUNDATION
Tax ID# 38-6061335
2018 FORM 990-PF SUPPORTING SCHEDULE
PAGE 3, PART IV

SCHEDULE OF CAPITAL GAINS (LOSSES)

Quantity	Security Description	Purchase Date	Date Sold	Sale Amount	Cost	Gain (Loss)
8,000	General Electric Cap Cor CLD	1-22-13	3-1-18	200,000 00	200,000 00	0 00
1,163	Qwest Corp. (CTL) \$25 CLD	9-14-11	9-4-18	29,075 00	29,075 00	0 00
4,000	First Republic Bank CLD	10-21-13	12-28-18	100,000 00	100,000 00	0 00
1,755	Abbvie Inc.	3-2-18	6-12-18	174,099 01	199,572 98	(25,473 97)
3,750	Alcoa Corp	3-3-17	6-19-18	165,383 73	135,172 88	30,210 85
1,765	American Water Works Co Inc	2-25-16	3-12-18	145,291 44	129,785 75	15,505 69
3,850	Applied Material, Inc	1-12-17	6-12-18	193,083 07	126,079 80	67,003 27
1,900	Applied Material, Inc	12-14-17	6-12-18	95,287 76	97,489 00	(2,201.24)
760	ASML Hldg NV NY Reg	10-11-17	8-22-18	152,011 70	130,190 20	21,821 50
2,000	Avery Dennison Corp	10-22-15	3-26-18	209,832 35	124,595.80	85,236 55
2,500	Berry Global Group, Inc	1-27-17	2-13-18	137,755 57	128,212 25	9,543 32
1,551	Blackrock Global Allocation Fd Inc Inst	12-14-17	1-5-18	31,330 20	31,919 58	(589 38)
5,852	Box Inc. A	6-19-18	9-12-18	141,942 51	166,963 41	(25,020 90)
1,800	China Lodging Group, LTD	6-26-17	3-27-18	231,771 85	147,422 70	84,349.15
2,620	Citigroup, Inc	12-5-17	5-2-18	177,967 51	200,377 60	(22,410 09)
44	CMS Energy Corp.	12-14-17	1-5-18	2,016 58	2,159 08	(142 50)
1,240	Comcast Corp New CI A	12-14-17	3-12-18	45,773 54	48,508 80	(2,735 26)
1,961	East West Bancorp Inc	12-15-17	12-12-18	92,012 32	106,055 78	(14,043 46)
1,639	East West Bancorp Inc	12-12-17	12-12-18	76,903.72	98,600 60	(21,696 88)
750	Fedex Corp Deleware	6-12-18	12-12-18	141,312 41	199,005 00	(57,692 59)
1,457	Global Pmts, Inc. Georgia	9-11-18	12-12-18	152,654 94	182,992 21	(30,337 27)
2,660	Greenbrier Cos, Inc.	10-8-18	11-6-18	132,790 23	165,674 61	(32,884 38)
1,885	Grubhub Inc.	5-2-18	11-19-18	152,252 67	180,432 58	(28,179 91)
3,232	H and E Equip SVCS Inc	3-2-18	6-12-18	124,573 96	128,659 78	(4,085 82)
1,600	Hubspot Inc	3-12-18	11-5-18	214,300 41	188,691 20	25,609 21
960	Huntington Ingalls INDS	3-27-18	5-7-18	206,594 05	250,362 91	(43,768 86)
2,607	ITT, Inc	12-20-17	3-2-18	126,873 24	141,290 80	(14,417 56)
2,276	Kohls Corp. Wisc PV 1Ct	8-22-18	12-12-18	145,109 04	183,752 86	(38,643.82)
1,370	Lauder Estee Cos Inc. A	3-26-18	8-22-18	183,785 85	199,890 68	(16,104 83)
4,400	Micron Technology Inc	5-9-17	5-7-18	213,663 46	126,430 48	87,232 98
620	NVIDIA	8-28-17	11-16-18	101,706 33	102,094 14	(387 81)
525	NVIDIA	12-12-17	11-16-18	86,122 30	99,895 90	(13,773 60)
5,295	Penn National Gaming Corp.	10-16-17	10-8-18	153,136 25	125,065 56	28,070 69
4,655	Pulte Group	12-4-17	3-2-18	134,581 19	160,099 50	(25,518 31)
9,630	Pur Storage Inc.	5-7-18	12-18-18	164,221 71	214,561 22	(50,339 51)
9,000	Regions FINL Corp.	3-2-18	12-12-18	129,149 22	174,941 10	(45,791 88)
5,595	Scientific Games Corp	3-7-17	8-8-18	185,973 70	125,043 25	60,930 45
3,000	Steel Dynamics, Inc	2-13-18	11-6-18	122,980 40	135,767 50	(12,787 10)
5,225	Taiwan S Manufacturing ADR	9-1-16	5-2-18	197,928 88	150,524 94	47,403 94
1,700	Texas Instruments	1-12-17	10-8-18	173,446 37	126,678 90	46,767 47
10,315	Travelport Worldwide LTD	5-2-18	9-11-18	183,052 77	180,734 61	2,318 16
2,060	Walmart Inc.	12-05-17	3-12-18	181,219 57	201,149 73	(19,930 16)
1,255	Wynn Resorts LTD	12-5-17	3-2-18	202,880 37	201,414 95	1,465 42
865	3M Co. 865	6-15-16	5-2-18	168,492.06	145,444.73	23,047 33
4,000	Cabco Tr for JC Penney 7 625%	5-13-1999	9-12-18	37,970 55	101,997 77	(64,027 22)
173 000	General Mtrs Co Warrant Exp 071019	2-8-02	9-25-18	2,851 92	11,500 26	(8,648 34)
174 000	General Mtrs Co. Warrant Exp 071019	10-1-01	9-25-18	2,868 49	11,500 21	(8,631 72)

173 075 General Mtrs Co. Warrant Exp 071019	4-24-01	9-25-18	2,853 16	11,324 17	(8,471 01)
4.000 General Mtrs Co Warrant Exp 071019	2-8-02	9-25-18	65 94	0 00	65 94
4.000 General Mtrs Co. Warrant Exp 071019	10-1-01	9-25-18	65 94	0 00	65 94
6 276 General Mtrs Co. Warrant Exp 071019	4-24-01	9-25-18	103 46	0 00	103 46
6 000 General Mtrs Co Warrant Exp 071019	2-8-02	9-25-18	98 91	0 00	98 91
4.614 General Mtrs Co Warrant Exp 071019	10-1-01	9-25-18	76 06	0 00	76 06
9.613 General Mtrs Co. Warrant Exp 071019	2-8-02	9-25-18	158 49	0 00	158 49
9 613 General Mtrs Co. Warrant Exp 071019	10-1-01	9-25-18	158 49	0 00	158.49
9 806 General Mtrs Co. Warrant Exp 071019	4-24-01	9-25-18	161 66	0 00	161 66
5 298 General Mtrs Co. Warrant Exp 071019	2-8-02	9-25-18	87 34	0 00	87 34
5 298 General Mtrs Co. Warrant Exp 071019	10-1-01	9-25-18	87 34	0 00	87 34
5 404 General Mtrs Co. Warrant Exp 071019	4-24-01	9-25-18	89 00	0 00	89.00
4,000 Lehman Brothers Holdings Cap 6 24%	1-24-05	9-25-18	344 39	102,405 25	(102,060 86)
100,000 Ecorse MI Pub Sch Dist 5 25%	6-25-09	1-22-18	100,000 00	100,000 00	0.00
100,000 MI State Hsg Dev Auth Hsg Rev S 375%	6-3-09	10-1-18	100,000.00	99,991 00	9 00
100,000 Van Dyke MI Pub Schools 5%	4-21-11	5-1-18	100,000 00	100,000 00	0.00

Capital Gain Distributions			100,512.68		100,512 68
Capital Loss (stocks cost adjustment per brokerage statement)				9 30	(9 30)
Capital Loss (mutal funds cost adjustment per brokerage statements)			0 00	44,015 64	(44,015 64)
Capital Loss (corporate bonds, preferred stock cost adjustment per brokerage statements)			0 00	4,879 86	(4,879 86)
Capital Loss (municipal bonds cost adjustment per brokerage stmts)			111 24	290 27	(179 03)
Capital Loss (exchange-traded & closed end funds cost adjustment per brokerage statements)				8,328 08	(8,328 08)

TOTAL			6,829,004 30	6,889,022 16	(60,017 86)
			=====	=====	=====
					(60,017 86)
					=====

ANNA PAULINA FOUNDATION
Tax ID# 38-6061335
2018 FORM 990-PF SUPPORTING SCHEDULE

PAGE 6, PART VIII, LINE 1 LIST OF OFFICERS, DIRECTORS, TRUSTEES, Etc.

NAME & ADDRESS	TITLE	AVERAGE HOURS PER WEEK	COMPENSATION	BENEFITS	EXPENSES
JOHN C. KOEGEL 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	PRESIDENT	1	0	0	0
BARBARA L. KOEGEL 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	VICE PRESIDENT	0	0	0	0
JEFFRY D. ROCCO 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	SECRETARY/ TREASURER	1	0	0	0
KATHRYN KOEGEL 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0
ANNE ROCCO 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0
ALBERT J. KOEGEL 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0
LISA A. KOEGEL 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0
JANE KOEGEL 3400 W BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0
KATLYN E. KOEGEL 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0
MARK E. NEITHERCUT 3400 W. BRISTOL ROAD FLINT, MI 48507-3112	TRUSTEE	0	0	0	0

ANNA PAULINA FOUNDATION

Tax ID# 38-6061335

2018 FORM 990-PF SUPPORTING SCHEDULE

PAGE 10, PART XV, LINE 1a MANAGERS WHO CONTRIBUTED OVER 2% OR \$5,000.00

NAME	AMOUNT
JEFFRY D. ROCCO	80,000.00
ANNE ROCCO	80,000.00
KATHRYN KOEGEL	150,151.00
JANE KOEGEL	180,274.00
JOHN C. KOEGEL	74,839.00
LISA KOEGEL	74,838.00
	<u>640,102.00</u>

ANNA PAULINA FOUNDATION
TAX ID# 38-6061335
2018 FORM 990-PF SUPPORTING SCHEDULE

PAGE 11, PART XV SUPPLEMENTARY INFORMATION
3 CONTRIBUTIONS PAID DURING THE YEAR

ALL CONTRIBUTIONS LISTED BELOW ARE FOR EITHER CHARITABLE, EDUCATIONAL, OR RELIGIOUS PURPOSES

NAME OF RECIPIENT	ADDRESS	IF RECIPIENT IS AN INDIVIDUAL	FOUNDATION STATUS	AMOUNT
Alzheimer's Disease Research	P O Box 1950 Clarksburg, MD 20871-1950	N/A	Public Charity	500 00
American Forests	P O Box 96631, Washington, DC 20090-6631	N/A	Public Charity	1,000 00
American Lung Association In Michigan	P O Box 7000, Alberta Lea, MN 56007-8000	N/A	Public Charity	500 00
American Red Cross	1401 S Grand Traverse, Flint, MI 48503	N/A	Public Charity	15,000 00
American Red Cross	1401 S Grand Traverse, Flint, MI 48503	N/A	Public Charity	10,000 00
American Red Cross	1401 S Grand Traverse, Flint, MI 48503	N/A	Public Charity	10,000 00
American Symphony Orchestra League	33 W 60th St, 5th Floor, New York, NY 10023-7905	N/A	Public Charity	100 00
Americans for Prosperity	P O Box 9377, Pueblo, CO 81008-9982	N/A	Public Charity	1,000 00
Arthritis Foundation MI Chapter	888 W Big Beaver Rd, Ste 305, Troy, MI 48084	N/A	Public Charity	500 00
BBB Wise Giving Alliance	P O Box 1808, Merrifield, VA 22116-8008	N/A	Public Charity	100 00
Berea College	101 Chestnut St, Berea, KY 40403	N/A	Public Charity	500 00
Boys and Girls Club	3701 N Averill Ave, Flint, MI 48506	N/A	Public Charity	1,000 00
Boy Scouts of America	4205 E Court St, Burton, MI 48509	N/A	Public Charity	25,000 00
Boys Town	P O Box 5000, Boys Town, NE 68010-9989	N/A	Public Charity	250 00
Carman Ainsworth	G3475 W Court St, Flint, MI 48532	N/A	Public Charity	2,000 00
Carriage Town Ministries	605 Garland St, Flint, MI 48503	N/A	Public Charity	100,585 00
C-Fact	P O Box 430, Abington, MD 21009-0430	N/A	Public Charity	900 00
Christians in Defense of Israel	P O Box 540209, Orlando, FL 32854-0209	N/A	Public Charity	200 00
Citizens Against Government Waste	P O Box 96564, Washington, DC 20077-7200	N/A	Public Charity	100 00
Community Foundation of Greater Flint	500 S Saginaw St, Flint, MI 48502	N/A	Public Charity	5,000 00
Crim Fitness Foundation	452 S Saginaw St, Suite 1, Flint, MI 48502	N/A	Public Charity	200,000 00
Crime Stoppers of Michigan	210 E Fifth St, Flint, MI 48502	N/A	Public Charity	1,000 00
Crossover Downtown Outreach Ministry	414 W Court St, Flint, MI 48503	N/A	Public Charity	4,500 00
Doctors Without Borders	P O Box 5022, Hagerstown, MD 21741-5022	N/A	Public Charity	2,000 00
Dyslexia Institute of Michigan	532 E Shiawassee, Lansing, MI 48912-1214	N/A	Public Charity	10,000 00
Easter Seals	P O Box 798, Flint, MI 48501-0798	N/A	Public Charity	100 00
Engender Health	440 Ninth Ave, 12th Floor, New York, NY 10117	N/A	Public Charity	500 00
English First	8001 Forbes Place, Suite 102, Springfield, VA 22151	N/A	Public Charity	100 00
Fisher House Foundation	111 Rockville Pike, Suite 420, Rockville, MD 20850	N/A	Public Charity	10,000 00
Flint Classroom Support Fund	923 E Kearsley St, Room 101, Flint, MI 48503	N/A	Public Charity	250 00
Flint Regional Science Fair	P O Box 687 Flint, MI 48501	N/A	Public Charity	1,000 00
Flint Children's Museum	1602 W University Ave, Flint, MI 48504	N/A	Public Charity	5,000 00
Flint Institute of Music	1025 East Kearsley St, Flint, MI 48503	N/A	Public Charity	9,000 00
Flint River Watershed Coalition	400 N Saginaw St, Ste 233, Flint, MI 48502	N/A	Public Charity	1,000 00
Forgotten Man Ministries	3940 Fruit Ridge Ave, NW, Grand Rapids, MI 49544	N/A	Public Charity	5,000 00
Foundation for MCC	1401 E Court St, Flint, MI 48503-2089	N/A	Public Charity	1,000 00
Free Medical Clinic of Genesee County	2437 Welch Blvd, Flint, MI 48504-6400	N/A	Public Charity	5,000 00
Friends of For-Mar Foundation	2142 N Genesee Rd, Burton, MI 48509	N/A	Public Charity	500 00
Genesee Valley Men of Christ	P O Box 1101, Flint, MI 48501	N/A	Public Charity	350 00
Girl Scouts of Southeastern Michigan	42800 Garfield, Clinton Township, MI 48038	N/A	Public Charity	1,000 00
Goodwill Industries of Mid-Michigan	501 S Averill St, Flint, MI 48506	N/A	Public Charity	500 00
Greater Flint Arts Council	816 S Saginaw St, Flint, MI 48502-1730	N/A	Public Charity	500 00
Greenpeace	P O Box 90136, Fredericksburg, VA 22404-9952	N/A	Public Charity	250 00
Habitat for Humanity	101 Burton St, Flint, MI 48503	N/A	Public Charity	500 00
Heifer International	P O Box 6021, Alberta Lea, MN 56007-9831	N/A	Public Charity	1,000 00
Higgins Lake Foundation	709 Lake St Roscommon, MI 48653-7665	N/A	Public Charity	1,000 00
Humane Society of Genesee Co	P O Box 190138, Burton, MI 48519-0138	N/A	Public Charity	500 00
Institute for Justice	901 N Glebe Rd, Ste 900, Arlington, VA 22203	N/A	Public Charity	500 00
Kettering University	1700 University Avenue, Flint, MI 48504-6214	N/A	Public Charity	4,000 00
Mackinac Center	140 W Main St, P O Box 568, Midland, MI 48640	N/A	Public Charity	10,000 00
Men's Community Action Resource	C/O Bethesda Temple, 947 Leland St Flint, MI 48507	N/A	Public Charity	6,000 00
Mercy Ships	P O Box 1930, Grand Valley, TX 75771	N/A	Public Charity	100 00
Michigan Audubon Society	2310 Science Parkway, Ste 200, Okemos, MI 48864	N/A	Public Charity	250 00
Michigan Nature Association	2310 Science Parkway, Ste 100, Okemos, MI 48864	N/A	Public Charity	2,000 00
Muscular Dystrophy Association	P O Box 97075, Washington, DC 20090-7075	N/A	Public Charity	50 00
National Audubon Society	225 Varick St, New York, NY 10014	N/A	Public Charity	250 00
The Nature Conservancy - Michigan	101 East Grand River, Lansing, MI 48906-4348	N/A	Public Charity	1,000 00
Negative Population Growth	2861 Duke St, Suite 36, Alexandria, VA 22314	N/A	Public Charity	1,000 00
Old Newsboys of Flint	6255 Taylor Drive, Flint, MI 48507	N/A	Public Charity	1,000 00
Operation Smile	P O Box 517, Hagerstown, MD 21741-9716	N/A	Public Charity	25 00

Parents Television Council	P O Box 1855, Merrifield, VA 22116-9624	N/A	Public Charity	500 00
Planned Parenthood of Mid & S Michigan	PO Box 3673 Ann Arbor, MI 48106	N/A	Public Charity	1,000 00
Population Connection (Zero Population Gwth)	P O Box 97129 Washington, DC 20077-7052	N/A	Public Charity	1,000 00
ProEnglish	P O Box 97005, Washington, DC 20090-7005	N/A	Public Charity	100 00
Rafiki Foundation	P O Box 1988, Eustis, FL 32727-1988	N/A	Public Charity	1,000 00
Religious Freedom Coalition	P O Box 96603, Washington, DC 20090-6603	N/A	Public Charity	350 00
Salvation Army	211 W Kearsley St, Flint, MI 48502	N/A	Public Charity	10,000 00
Shriners Hospitals for Children	P O Box 1510, Ranson, WV 25438-4510	N/A	Public Charity	1,500 00
Sierra Club - Michigan	109 E Grand River Avenue, Lansing, MI 48906	N/A	Public Charity	500 00
Flint Cultural Center Corp (Sloan Longway)	1221 E Kearsley St , Flint, MI 48503	N/A	Public Charity	576,000 00
Special Olympics Area XIII	2413 W Maple Ave , Flint, MI 48507	N/A	Public Charity	500 00
St Paul Lutheran School	402 S Ballenger, Flint, MI 48532	N/A	Public Charity	500 00
St Jude Children's Research Hospital	501 St Jude Place Memphis, TN 38105	N/A	Public Charity	500 00
Starr Commonwealth	13725 26 Mile Rd, Albion, MI 49224	N/A	Public Charity	1,000 00
State Policy Network	2020 North 14th St , Suite 250, Arlington, VA 22201	N/A	Public Charity	500 00
Stop Predatory Gambling Foundation	100 Maryland Ave NE, Washington, DC 20002	N/A	Public Charity	500 00
Trout Unlimited Michigan Aquifer Club	2114 Seventh St, Bay City, MI 48708	N/A	Public Charity	250 00
University of Michigan - Flint	303 E Kearsley St, Flint, MI 48502-9989	N/A	Public Charity	500 00
United Way	PO Box 949 Flint, MI 48501	N/A	Public Charity	10,000 00
U S English Foundation	5335 Wisconsin Ave NW Washington, DC 20015	N/A	Public Charity	100 00
U S O World Headquarters	P O Box 96860, Washington, DC 20077-7677	N/A	Public Charity	500 00
U S Olympic Committee	P O Box 7010 Albert Lea, MN 56007-8010	N/A	Public Charity	250 00
Whaley Children's Center	1201 N Grand Traverse, Flint, MI 48503	N/A	Public Charity	1,000 00
Whaley Historical House Museum	624 E Kearsley St , Flint, MI 48503	N/A	Public Charity	500 00
Whiting, The	1241 E Kearsley St , Flint, MI 48503	N/A	Public Charity	1,000 00
WKAR @ MSU	283 Communication Arts Bldg , E Lansing, MI 48824	N/A	Public Charity	500 00
World Wildlife Fund	P O Box 96555, Washington DC 20077-7789	N/A	Public Charity	500 00
YMCA of Flint	411 E Third St, Flint, MI 48503	N/A	Public Charity	1,000 00
Young Life of Genesee County	10801 S Saginaw St, Grand Blanc, MI 48439	N/A	Public Charity	25,000 00
YWCA	801 S Saginaw St Flint, MI 48502	N/A	Public Charity	15,000 00
North End Soup Kitchen	735 E Stewart Ave Flint, MI 48505	N/A	Public Charity	652 00

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