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DLN: 93491316015719

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation
DOROTHY U DALTON FOUNDATION INC

A Employer identification number
38-2240062

Number and street (or P O box number if mail is not delivered to street address)
C/O GREENLEAF TRUST 211 S ROSE ST

Room/suite

B Telephone number (see instructions)
(269) 388-9800

City or town, state or province, country, and ZIP or foreign postal code
KALAMAZOO, MI 49007

C If exemption application is pending, check here

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

D 1. Foreign organizations, check here

D 2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 32,097,858

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)			
	2 Check ☒ if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	59,891	59,891	
	4 Dividends and interest from securities	559,886	559,886	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	631,864		
	b Gross sales price for all assets on line 6a			
		5,190,231		
	7 Capital gain net income (from Part IV, line 2)		631,864	
	8 Net short-term capital gain			
	9 Income modifications			
Operating and Administrative Expenses	10a Gross sales less returns and allowances			
	b Less Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule)	9,400	0	
	12 Total. Add lines 1 through 11	1,261,041	1,251,641	
	13 Compensation of officers, directors, trustees, etc	0	0	0
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	6,600	3,300	3,300
	c Other professional fees (attach schedule)	181,494	157,494	24,000
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	14,534	14,534	0
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
22 Printing and publications				
23 Other expenses (attach schedule)	7,780	20	7,760	
24 Total operating and administrative expenses. Add lines 13 through 23	210,408	175,348	35,060	
25 Contributions, gifts, grants paid	1,637,020		1,637,020	
26 Total expenses and disbursements. Add lines 24 and 25	1,847,428	175,348	1,672,080	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	-586,387		
	b Net investment income (if negative, enter -0-)		1,076,293	
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	3,714	14,113	14,113
	2 Savings and temporary cash investments	3,569,991	3,262,655	3,262,655
	3 Accounts receivable ▶ <u>7,108</u>			
	Less allowance for doubtful accounts ▶ _____	14,485	7,108	7,108
	4 Pledges receivable ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	2,499,975	2,805,253	2,755,334
	b Investments—corporate stock (attach schedule)	3,161,320	3,023,699	3,724,754
	c Investments—corporate bonds (attach schedule)	3,505,337	3,363,550	3,289,772
	Liabilities	11 Investments—land, buildings, and equipment basis ▶ _____		
Less accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)		18,720,758	18,412,815	19,044,122
14 Land, buildings, and equipment basis ▶ _____				
Less accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		31,475,580	30,889,193	32,097,858
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule).				
22 Other liabilities (describe ▶ _____)				
23 Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.		
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	14,119,390	14,119,390	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	17,356,190	16,769,803	
30 Total net assets or fund balances (see instructions)	31,475,580	30,889,193		
31 Total liabilities and net assets/fund balances (see instructions) .	31,475,580	30,889,193		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	31,475,580
2 Enter amount from Part I, line 27a	2	-586,387
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	30,889,193
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	30,889,193

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES			
b CAPITAL GAINS DIVIDENDS	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,009,645		4,558,367	451,278
b 180,586			180,586
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			451,278
b			180,586
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	631,864
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,659,673	33,132,636	0 050092
2016	1,635,878	31,494,350	0 051942
2015	1,791,637	33,958,560	0 052760
2014	2,118,136	35,377,868	0 059872
2013	1,666,593	32,837,963	0 050752

2 Total of line 1, column (d)	2	0 265418
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 053084
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	34,265,676
5 Multiply line 4 by line 3	5	1,818,959
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,763
7 Add lines 5 and 6	7	1,829,722
8 Enter qualifying distributions from Part XII, line 4	8	1,672,080

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	21,526
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	21,526
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,526
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	29,171
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	29,171
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,645
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 7,645 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ RN KILGORE GREENLEAF TRUST Telephone no ▶ (269) 388-9800			

Located at **▶** 211 SOUTH ROSE STREET KALAMAZOO MI ZIP+4 **▶** 49007

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GREENLEAF TRUST 211 SOUTH ROSE ST KALAMAZOO, MI 49007	ASSET MANAGEMENT	181,494
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	31,282,375
b	Average of monthly cash balances.	1b	3,505,113
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	34,787,488
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	34,787,488
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	521,812
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	34,265,676
6	Minimum investment return. Enter 5% of line 5.	6	1,713,284

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,713,284
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	21,526
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	21,526
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,691,758
4	Recoveries of amounts treated as qualifying distributions.	4	9,400
5	Add lines 3 and 4.	5	1,701,158
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,701,158

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,672,080
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,672,080
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,672,080

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,701,158
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.	70,394			
b From 2014.	421,509			
c From 2015.	120,103			
d From 2016.	90,487			
e From 2017.	58,817			
f Total of lines 3a through e.	761,310			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,672,080</u>				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				1,672,080
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	29,078			29,078
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	732,232			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	41,316			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	690,916			
10 Analysis of line 9				
a Excess from 2014.	421,509			
b Excess from 2015.	120,103			
c Excess from 2016.	90,487			
d Excess from 2017.	58,817			
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

RONALD N KILGORE GREENLEAF TRUST
211 SOUTH ROSE STREET
KALAMAZOO, MI 49007
(269) 388-9800

b The form in which applications should be submitted and information and materials they should include

APPLICANTS SHOULD SUBMIT A BRIEF DESCRIPTION AND BUDGET OF THE PROJECT OR PROGRAM THAT THE GRANT IS INTENDED TO FUND

c Any submission deadlines

THE BOARD MEETS TO ACT ON APPLICATIONS IN JUNE, SEPTEMBER AND DECEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS OR LOANS WILL BE GIVEN TO INDIVIDUALS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				
b <i>Approved for future payment</i> See Additional Data Table				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Form **990-PF** (2018)

Part XVII

		Yes	No
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1a(1)	No
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1a(2)	No
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1b(1)	No
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1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
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1b(6)		No
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1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

(see instr)? ☒ Yes ☐ No

Title

Print/Type preparer's name KAYLA A LAURIN CPA	Preparer's Signature	Date 2019-11-07	Check if self-employed ☐	PTIN P00243488
Firm's name ▶ PLANTE & MORAN PLLC				Firm's EIN ▶ 38-1357951
Firm's address ▶ 750 TRADE CENTRE WAY STE 300 PORTAGE, MI 49002				Phone no (269) 567-4500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JUDY K JOLLIFFE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	PRESIDENT & DIRECTOR 1 00	0	0	0
RONALD N KILGORE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007				
JAY GARSIDE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1 00	0	0	0
SARAH A JOHANSSON 211 SOUTH ROSE STREET KALAMAZOO, MI 49007				
RACHEL WORGESS 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AACORN FARM INC2016 N 5TH ST KALAMAZOO, MI 49009		PC	FARMS SKILLS-BUILDING PROGRAM	7,500
AMERICAN RED CROSS 5460 VENTURE CT KALAMAZOO, MI 49009		PC	DISASTER CYCLE SERVICES	5,000
ARTS COUNCIL OF GREATER KALAMAZOO 359 S BURDICK ST STE 203 KALAMAZOO, MI 49007		PC	GENERAL SUPPORT	10,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BACH FESTIVAL SOCIETY 1200 ACADEMY STREET KALAMAZOO, MI 49006		PC	GENERAL SUPPORT FOR 2017-2018 SEASON	3,000
BALLET ARTS ENSEMBLE INC 2018 RAMBLING ROAD KALAMAZOO, MI 49008		PC	2018 SPRING CONCERT	3,000
BIG BROTHERS BIG SISTERS 3501 COVINGTON RD KALAMAZOO, MI 49001		PC	ONE-TO-ONE MENTORING	10,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BLACK ARTS & CULTURAL CENTER 359 S KALAMAZOO MALL KALAMAZOO, MI 49007		PC	GENERAL SUPPORT	10,000
BORGESS FOUNDATION1521 GULL RD KALAMAZOO, MI 49048		PC	PSYCHIATRIC CRISIS INTERVENTION UNIT	25,000
BORGESS FOUNDATION1521 GULL RD KALAMAZOO, MI 49048		PC	GENERAL SUPPORT	25,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOY SCOUTS OF AMERICA SOUTHERN SHORES 3497 S 9TH STREET KALAMAZOO, MI 49009		PC	STAFF AND VOLUNTEER TRAINING PROGRAM - KALAMAZOO COUNTY	7,500
BOYS & GIRLS CLUBS OF GREATER KALAMAZOO 915 LAKE STREET KALAMAZOO, MI 49001		PC	DOUGLAS UNIT OPERATIONS	45,000
BRONSON HEALTH FOUNDATION 301 JOHN STREET BOX C KALAMAZOO, MI 49007		PC	MOTHER'S MILK BANK FREEZER	20,000
Total			▶ 3a	1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BUILDING BLOCKS OF KALAMAZOO 1822 W MILHAM AVE STE 4 PORTAGE, MI 49024		PC	GENERAL SUPPORT	5,000
CAN-DO KITCHEN3501 LAKE ST KALAMAZOO, MI 49048		PC	BUILDING OUR CAPACITY	3,500
COMMUNITY HEALING CENTERS 2615 STADIUM DRIVE KALAMAZOO, MI 49008		PC	CHARITABLE CARE FUNDING	50,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY HOMEWORKS 504 S WESTNEDGE AVENUE KALAMAZOO, MI 49008		PC	WEATHERIZATION, CRITICAL REPAIR, HOME EDUCATION	10,000
CONSTANCE BROWN HEARING & SPEECH CENTER 4855 W CENTRE AVE PORTAGE, MI 49024		PC	FINANCIAL ASSISTANCE PROGRAM	10,000
DISABILITY NETWORK OF SOUTHWEST MICHIGAN 517 EAST CROSSTOWN PARKWAY KALAMAZOO, MI 49001		PC	INDEPENDENT LIVING PROGRAM	5,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOUGLAS COMMUNITY ASSOCIATION 1000 W PATERSON STREET KALAMAZOO, MI 49007		PC	COMMUNITY HEALING FROM TRAUMA PROGRAM	25,000
ECUMENICAL SENIOR CENTER 702 N BURDICK KALAMAZOO, MI 49007		PC	BUILDING AND GROUNDS IMPROVEMENTS	7,500
FAMILY & CHILDREN SERVICES 1608 LAKE ST KALAMAZOO, MI 49001		PC	TRUSTEE DIRECTED - HANDLE WITH CARE	500
Total ► 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FARMERS ALLEY THEATRE INC 700 MALL DRIVE PORTAGE, MI 49024		PC	STUDENT TICKET INITIATIVE	2,500
FIRST DAY SHOE FUND 6750 CHIME STREET KALAMAZOO, MI 49009		PC	GENERAL SUPPORT	4,500
FONTANA CHAMBER ARTS 359 S BURDICK ST SUITE 200 KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED - GENERAL SUPPORT	1,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FONTANA CHAMBER ARTS 359 S BURDICK ST SUITE 200 KALAMAZOO, MI 49007		PC	OUTREACH AND EDUCATIONAL PROGRAMS	7,500
GFM THE SYNERGY 625 HARRISON STREET KALAMAZOO, MI 49007		PC	URBANZONE PROJECT	5,000
GIRL SCOUTS HEART OF MICHIGAN 601 WEST MAPLE STREET KALAMAZOO, MI 49008		PC	KALAMAZOO COMMUNITY OUTREACH	10,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLASS ART KALAMAZOO 326 W KALAMAZOO AVE 100 KALAMAZOO, MI 49007		PC	COLD SHOP RENOVATION PHASE II	3,000
GOODWILL INDUSTRIES OF SW MICHIGAN 420 E ALCOTT STREET KALAMAZOO, MI 49001		PC	LIFE GUIDES	12,000
GUARDIAN FINANCE AND ADVOCACY SERVICES 1000 S BURDICK STREET 200 KALAMAZOO, MI 49001		PC	GUARDIANSHIP SUPPORT FOR KALAMAZOO COUNTY	5,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HERITAGE COMMUNITY OF KALAMAZOO 2400 PORTAGE STREET KALAMAZOO, MI 49001		PC	CAPITAL CAMPAIGN - MEMORY CARE LEARNING CENTER	150,000
HOSPICE CARE OF SOUTHWEST MI 222 N KALAMAZOO MALL 100 KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED - GENERAL SUPPORT	500
HOSPITAL HOSPITALITY HOUSE OF KALAMAZOO INC 527 W SOUTH ST KALAMAZOO, MI 49007		PC	TWO HOUSES ONE HEART	50,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOUSING RESOURCES INC 420 E ALCOTT ST 200 KALAMAZOO, MI 49001		PC	GENERAL SUPPORT	30,000
IRVING S GILMORE INT'L KEYBOARD FESTIVAL 359 S BURDICK ST SUITE 101 KALAMAZOO, MI 49007		PC	GILMORE PIANO CAMP	10,000
JULIUS AND ESTER STULBERG STRING COMPETITION INC 359 S KALAMAZOO MALL STE 14 KALAMAZOO, MI 49007		PC	FEES FOR COMPETITION ADJUDICATORS	4,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUNIOR ACHIEVEMENT OF SOUTHWEST MICHIGAN 2775 W DICKMAN RD H3 SPRINGFIELD, MI 49037		PC	FINANCIAL EMPOWERMENT FOR YOUTH PROGRAM	3,000
KAIROS DWELLING2945 GULL RD KALAMAZOO, MI 49048		PC	GENERAL SUPPORT	25,000
KALAMAZOO AVIATION HISTORY MUSEUM 6151 PORTAGE ROAD PORTAGE, MI 49002		PC	EDUCATION CLASSROOM RENOVATION	15,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO BOOK ARTS CENTER 326 W KALAMAZOO AVE 103A KALAMAZOO, MI 49007		PC	GENERAL SUPPORT	4,000
KALAMAZOO CENTER FOR YOUTH AND COMMUNITY PO BOX 50227 KALAMAZOO, MI 49005		PC	STRUGGLING LEARNERS PROGRAM	10,000
KALAMAZOO CIVIC THEATER 329 S PARK STREET KALAMAZOO, MI 49007		PC	ADVANCEMENT CAMPAIGN	100,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO CIVIC THEATER 329 S PARK STREET KALAMAZOO, MI 49007		PC	GENERAL SUPPORT	150,000
KALAMAZOO COLLEGE 1200 ACADEMY STREET KALAMAZOO, MI 49006		PC	FESTIVAL PLAYHOUSE	40,000
KALAMAZOO CONCERT BAND 2200 AUDITORIUM DR KALAMAZOO, MI 49006		PC	DANCING ON AIRS PROGRAM	3,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO COUNTY HUMANE SOCIETY 2500 LAKE ST KALAMAZOO, MI 49048		PC	CAPITAL CAMPAIGN	50,000
KALAMAZOO COUNTY READY 4S 259 E MICHIGAN AVE 209 KALAMAZOO, MI 49007		PC	GENERAL SUPPORT	20,000
KALAMAZOO GAY LESBIAN RESOURCE CENTER 340 S ROSE ST KALAMAZOO, MI 49007		PC	CROSSING BRIDGES/CROSSING BORDERS	3,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO GOSPEL MISSION 448 N BURDICK ST KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED - WOMEN'S & CHILDREN'S SHELTER	500
KALAMAZOO GOSPEL MISSION 448 N BURDICK ST KALAMAZOO, MI 49007		PC	CAPITAL CAMPAIGN	100,000
KALAMAZOO IN BLOOMPO BOX 20178 KALAMAZOO, MI 49019		PC	COMMUNITY PLANTINGS	5,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO INSTITUTE OF ARTS 314 SOUTH PARK STREET KALAMAZOO, MI 49007		PC	KIA YOUTH EDUCATION PROGRAMS	25,000
KALAMAZOO JUNIOR SYMPHONY 714 S WESTNEDGE KALAMAZOO, MI 49007		PC	YOUTH ORCHESTRA TRAINING PROGRAM AND OUTREACH	4,000
KALAMAZOO LOAVES AND FISHES 901 PORTAGE STREET KALAMAZOO, MI 49001		PC	GENERAL SUPPORT	25,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO NEIGHBORHOOD HOUSING SERVICES 802 S WESTNEDGE AVE KALAMAZOO, MI 49008		PC	HOMEOWNERSHIP CENTER	8,000
KALAMAZOO PUBLIC LIBRARY 315 S ROSE ST KALAMAZOO, MI 49007		PC	ONEPLACE PROGRAMS AND SERVICES	15,000
KALAMAZOO SYMPHONY ORCHESTRA 359 S BURDICK ST SUITE 100 KALAMAZOO, MI 49007		PC	ARTISTS IN RESIDENCE PROGRAM	20,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MAKE A WISH FOUNDATION OF MICHIGAN 7600 GRAND RIVER AVENUE STE 175 BRIGHTON, MI 48114		PC	KALAMAZOO-WISH GRANTING PROGRAM	5,000
MERZE TATE EXPLORERS 310 E MICHIGAN AVE BOX 51042 KALAMAZOO, MI 49005		PC	MULTI-MEDIA EXPLORATION PROGRAM	2,000
MICHIGAN FESTIVAL OF SACRED MUSIC PO BOX 50566 KALAMAZOO, MI 49005		PC	GENERAL SUPPORT	2,500
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MINISTRY WITH COMMUNITY 440 N CHURCH STREET KALAMAZOO, MI 49007		PC	DROP-IN MEALS PROGRAM	30,000
NEW YEAR'S FEST 346 W MICHIGAN AVENUE KALAMAZOO, MI 49007		PC	2018-19 NEW YEAR'S FEST	10,000
OPEN DOORS KALAMAZOO 1141 S ROSE ST 3 KALAMAZOO, MI 49001		PC	GENERAL SUPPORT	25,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPEN ROADS BIKE PROGRAM 1523 RIVERVIEW DRIVE KALAMAZOO, MI 49004		PC	EARN A BIKE PROGRAM	3,000
PARKS FOUNDATION OF KALAMAZOO COUNTY 3299 GULL RD KALAMAZOO, MI 49048		PC	KALAMAZOO RIVER VALLEY TRAIL PROJECT	25,000
PRETTY LAKE VACATION CAMP 9123 WEST Q AVENUE MATTAWAN, MI 49071		PC	CAMPER SUPPORT PROGRAM	20,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PREVENTION WORKS 611 WHITCOMB ST A KALAMAZOO, MI 49008		PC	ADVANCEMENT CAMPAIGN	100,000
READ AND WRITE KALAMAZOO 802 S WESTNEDGE AVE KALAMAZOO, MI 49008		PC	RAWK BUILDING CAPACITY	10,000
SHERMAN LAKE YMCA6225 N 39TH ST AUGUSTA, MI 49012		PC	TRUSTEE DIRECTED - GENERAL SUPPORT	1,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SLD CENTER 5250 LOVERS LANE SUITE LL100 KALAMAZOO, MI 49002		PC	TRUSTEE DIRECTED - KZOO KIDS IN NEED FINANCIALLY WITH DYSLEXIA	1,000
SLD CENTER 5250 LOVERS LANE SUITE LL100 KALAMAZOO, MI 49002		PC	GENERAL SUPPORT	7,500
SPECIAL OLYMPICS MICHIGAN 4024 STUDENT RECREATION CENTER WMU KALAMAZOO, MI 49008		PC	KALAMAZOO PARTICIPANTS - SPECIAL OLYMPICS AT WMU	5,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE ARC COMMUNITY ADVOCATES 3901 EMERALD DR KALAMAZOO, MI 49001		PC	FIND PROGRAM	7,500
UNITED WAY OF BATTLE CREEK AND KALAMAZOO REGION 409 S WESTNEDGE AVE KALAMAZOO, MI 49007		PC	ANNUAL CAMPAIGN	60,000
VIBRANT KALAMAZOO 1523 RIVERVIEW DRIVE KALAMAZOO, MI 49004		PC	EASTSIDE GATEWAY PROJECT	25,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WELLSPRING CORI TERRY & DANCERS 359 S KALAMAZOO MALL 204 KALAMAZOO, MI 49007		PC	COMMUNITY ENGAGEMENT THROUGH DANCE (CETD)	3,500
WEST MICHIGAN CANCER CENTER 200 N PARK ST KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED - GENERAL SUPPORT	500
WEST MICHIGAN CANCER CENTER 200 N PARK ST KALAMAZOO, MI 49007		PC	PATIENT CARE SERVICES PROGRAM	5,000
Total ▶ 3a				1,637,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WESTERN MICHIGAN UNIVERSITY FOUNDATION 1903 W MICHIGAN AVE SEIBERT ADMIN KALAMAZOO, MI 49008		PC	KALAMAZOO COLLABORATIVE CARE PROJECT	20,000
YWCA352 E MICHIGAN AVENUE KALAMAZOO, MI 49007		PC	SEXUAL ASSAULT AND VIOLENCE PREVENTION PROGRAM	60,000
YWCA352 E MICHIGAN AVENUE KALAMAZOO, MI 49007		PC	WOMEN OF ACHIEVEMENT AWARDS CELEBRATION	520
Total ► 3a				1,637,020

TY 2018 Accounting Fees Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & TAX SERVICES - PLANTE & MORAN, PLLC	6,600	3,300		3,300

TY 2018 Investments Corporate Bonds Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
21ST CENTY FOX AMER INC 4.000% 10/01/23	151,636	153,885
AMGEN INC 3.450% 10/01/20	153,077	150,668
ANHEUSER BUSCH INBEV FIN 2.6250% 01/17/23	122,537	118,973
APPLE INC 2.8500% 05/06/21	151,183	150,183
AT&T INC 2.6250% 12/01/22	98,455	96,065
BOEING CO 1.650% 10/30/20	150,465	146,786
BURLINGTON NORTHN SANTA FE 3.400% 09/01/24	99,096	100,119
CARDINAL HEALTH INC 3.200% 06/15/22	101,714	98,051
CHEVRON CORP 3.1910% 06/24/23	126,942	124,804
COMCAST CORP NEW 1.625% 01/15/22	149,688	143,555
CVS HEALTH CORP 2.125% 06/01/21	147,040	144,730
DISNEY WALT CO MTNS BE 2.450% 03/04/22	99,944	97,890
DR PEPPER SNAPPLE GROUP INC 2.700% 11/15/22	148,267	142,239
DUKE ENERGY CORP NEW 3.050% 08/15/22	99,912	97,998
EOG RES INC 2.450% 04/01/20	151,145	148,546
JP MORGAN CHASE & CO 3.200% 06/15/26	140,625	141,265
OMNICOM GROUP INC 6.2500% 07/15/19	351,027	330,223
ORACLE CORP 2.8000% 07/08/21	101,967	99,515
REPUBLIC SERVICES INC 2.900% 07/01/26	93,393	94,323
SOUTHERN PWR CO 2.375% 06/01/20	148,035	147,944

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UNITED TECHNOLOGIES CORP 3.100% 06/01/22	155,351	146,887
UNITEDHEALTH GROUP INC 3.100% 03/15/26	48,200	48,254
WAL-MART STORES INC 2.550% 04/11/23	125,295	121,867
WASTE MANAGEMENT INC 3.125% 03/01/25	97,250	97,116
WELLS FARGO CO MTN BE 2.550% 12/07/20	151,306	147,886

TY 2018 Investments Corporate Stock Schedule

Name: DOROTHY U DALTON FOUNDATION INC
EIN: 38-2240062

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M COMPANY	69,851	79,074
ALLY FINANCIAL INC	87,272	94,945
ALPHABET INC. CL C	103,878	139,807
AMAZON.COM INC	47,600	52,569
AMBARELLA INC	50,511	29,208
AMERCIAN TOWER CORP	69,887	105,196
APPLE INC	27,569	71,141
BERKSHIRE HATHAWAY CL B	196,647	198,667
BOOKING HOLDINGS INC	117,613	108,512
CANADIAN PACIFIC RAILWAY LTD	126,239	150,089
CELGENE CORPORATION	21,744	46,786
CITIGROUP INC	112,232	104,641
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	73,907	82,524
COLGATE-PALMOLIVE CO	78,177	67,853
DANAHER CORP	68,655	105,182
DOLLAR GENERAL CORP	87,402	136,721
EDWARDS LIFESCIENCES CORP	50,514	98,795
FACEBOOK INC CL A	173,416	119,292
HOLOGIC INC	83,229	79,939
HONEYWELL INTERNATIONAL INC	92,195	128,156
JOHNSON & JOHNSON	37,521	42,586
LABORATORY CORP OF AMERICA HOLDINGS	77,799	63,180
MONDELEZ INTERNATIONAL INC CL A	174,242	155,316
NESTLE SA ADR	87,190	82,568
NIKE INC CL B	76,254	98,977
PAYPAL HOLDINGS INC	49,219	135,805
PEPSICO INC	20,090	82,308
ROPER TECHNOLOGIES, INC.	63,966	99,945
SABRE CORP	87,483	86,344
SALESFORCE.COM INC.	57,596	104,782

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SHERWIN-WILLIAMS COMPANY	59,806	96,398
STARBUCKS CORP	119,217	134,274
STRYKER CORP	14,857	95,617
SYNCHRONY FINANCIAL	83,570	70,615
TJX COMPANIES, INC	103,116	121,693
US BANCORP	71,903	74,491
VISA INC CL A	101,332	180,758

TY 2018 Investments Government Obligations Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062**US Government Securities - End
of Year Book Value:**

2,805,253

**US Government Securities - End
of Year Fair Market Value:**

2,755,334

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2018 Investments - Other Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALPHASIMPLEX MANAGED FUTURES STRATEGY FD CL Y	AT COST	552,560	538,768
AMERICAN BEACON INTERNATIONAL EQUITY FUND	AT COST	1,267,995	1,028,167
DELAWARE EMERGING MARKETS FUND INTERNATIONAL	AT COST	942,750	1,126,960
FIDELITY ADVISOR DIVERSIFIED INTERNATIONAL	AT COST	100,021	82,485
FIDELITY DIVERSIFIED INTERNATIONAL FUND	AT COST	1,068,947	944,784
HARTFORD INTERNATIONAL OPPORTUNITIES Y	AT COST	1,142,350	1,014,188
IQ MERGER ARBITRAGE ETF	AT COST	572,618	589,806
ISHARES CORE S&P SMALLCAP 600 INDEX	AT COST	768,721	788,515
ISHARES CORE S&P MIDCAP 400 INDEX	AT COST	1,272,907	1,219,711
ISHARES INTERMEDIATE GOV/CRED BOND ETF	AT COST	816,350	824,745
MATTHEWS PACIFIC TIGER FUND CL I	AT COST	974,871	1,151,194
ISHARES CORE S&P 500 INDEX	AT COST	8,139,947	8,982,477
TEMPLETON GLOBAL BOND FUND ADVISOR CL	AT COST	352,778	321,434
VANGUARD S/T INFLATION PROTECTED SEC ADMIRAL	AT COST	440,000	430,888

TY 2018 Other Expenses Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	20	0		20
DOMAIN NAME	117	0		117
MEMBERSHIP DUES	2,500	0		2,500
SUBSCRIPTIONS	5,123	0		5,123
ADR FEES	20	20		0

TY 2018 Other Income Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
RECOVERY OF PRIOR YEAR GRANTS	9,400		9,400

TY 2018 Other Professional Fees Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT & MANAGEMENT SERVICES - GREENLEAF TRUST	181,494	157,494		24,000

TY 2018 Taxes Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	14,534	14,534		0