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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
THE KLAUER FOUNDATION TRUST XXXXX5009

A Employer identification number
36-6013118

Number and street (or P O box number if mail is not delivered to street address)
10 S DEARBORN IL1-0111

Room/suite

B Telephone number (see instructions)
(800) 496-2583

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 3,024,784

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	61,081	61,057		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	196,345			
	b	Gross sales price for all assets on line 6a 1,762,532				
	7	Capital gain net income (from Part IV, line 2)		196,345		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	257,426	257,402		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	32,596	19,557		13,038
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	9,823	1,847		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	15			15
	24	**Total operating and administrative expenses.** Add lines 13 through 23	42,434	21,404	0	13,053
	25	Contributions, gifts, grants paid	146,800			146,800
	26	**Total expenses and disbursements.** Add lines 24 and 25	189,234	21,404	0	159,853
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	68,192			
	b	**Net investment income** (if negative, enter -0-)		235,998		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	24,601	17,384	17,384
2	Savings and temporary cash investments	207,197	21,351	21,351
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,959,966	2,183,735	2,397,510
c	Investments—corporate bonds (attach schedule)	318,076	447,169	445,174
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	243,867	152,289	143,365
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,753,707	2,821,928	3,024,784
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	2,753,707	2,821,928	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	2,753,707	2,821,928	
31	**Total liabilities and net assets/fund balances** (see instructions)	2,753,707	2,821,928	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,753,707
2	Enter amount from Part I, line 27a	2	68,192
3	Other increases not included in line 2 (itemize) ▶	3	4,172
4	Add lines 1, 2, and 3	4	2,826,071
5	Decreases not included in line 2 (itemize) ▶	5	4,143
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,821,928

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	196,345
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	159,783	3,220,021	0 049622
2016	158,664	2,956,929	0 053658
2015	161,320	3,158,435	0 051076
2014	145,869	3,265,090	0 044675
2013	131,658	3,099,598	0 042476

2	**Total** of line 1, column (d)	2	0 241507
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 048301
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	3,309,859
5	Multiply line 4 by line 3	5	159,869
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,360
7	Add lines 5 and 6	7	162,229
8	Enter qualifying distributions from Part XII, line 4	8	159,853

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	4,720
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	4,720
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	4,720
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 4,304		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 2,800		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.		7	7,104
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,384
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 2,384 **Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JP MORGAN CHASE BANK NA Telephone no ▶(800) 496-2583

Located at ▶10 S DEARBORN ST MC IL 1-0111 CHICAGO IL ZIP+4 ▶60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JP MORGAN CHASE BANK NA 10 S DEARBORN ST MC IL 1-0111 CHICAGO, IL 60603	TRUSTEE 2	32,596		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,302,937
b	Average of monthly cash balances.	1b	57,326
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	3,360,263
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,360,263
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	50,404
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,309,859
6	**Minimum investment return.** Enter 5% of line 5.	6	165,493

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	165,493
2a	Tax on investment income for 2018 from Part VI, line 5. 2a 4,720		
b	Income tax for 2018 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	4,720
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	160,773
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	160,773
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	160,773

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	159,853
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	159,853
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	159,853

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				160,773
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			128,735	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013. 0				
b From 2014. 0				
c From 2015. 0				
d From 2016. 0				
e From 2017. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 159,853				
a Applied to 2017, but not more than line 2a			128,735	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				31,118
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				129,655
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2014. . . . 0				
b Excess from 2015. . . . 0				
c Excess from 2016. . . . 0				
d Excess from 2017. . . . 0				
e Excess from 2018. . . . 0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JPMORGAN CHASE BANK NA
10 S DEARBORN STREET
CHICAGO, IL 606032300
(312) 732-6385

b The form in which applications should be submitted and information and materials they should include

LETTER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PER TRUST INSTRUMENT

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	61,081	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	196,345	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				257,426	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 257,426

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-11-08	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JACOB ZEHNDER		2019-11-08		P01564049
Firm's name ▶ ERNST & YOUNG US LLP				Firm's EIN ▶34-6565596
Firm's address ▶ 155 N WACKER DRIVE CHICAGO, IL 60606				Phone no (312) 879-2000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 4 BLACKROCK INC		2014-10-29	2018-01-11
1 5 CMS ENERGY CORP		2015-12-02	2018-01-11
10 CMS ENERGY CORP		2015-12-02	2018-01-11
34 THE KRAFT HEINZ CO		2016-11-18	2018-01-11
4 CMS ENERGY CORP		2015-12-02	2018-01-12
1 CMS ENERGY CORP		2015-12-02	2018-01-12
35 CMS ENERGY CORP		2015-12-02	2018-01-12
1871 164 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070		2014-12-22	2018-01-25
14 APPLE COMPUTER INC		2006-04-06	2018-01-26
33 TJX COS INC NEW		2014-05-22	2018-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,141		1,322	819
222		174	48
446		348	98
2,629		2,810	-181
177		139	38
44		35	9
1,545		1,212	333
44,515		34,617	9,898
2,385		139	2,246
2,655		1,844	811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			819
			48
			98
			-181
			38
			9
			333
			9,898
			2,246
			811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 DELPHI TECHNOLOGIES PLC		2017-05-18	2018-01-26
1 1 DELPHI TECHNOLOGIES PLC		2017-05-18	2018-01-26
1 WELLS FARGO & CO NEW		2017-06-30	2018-02-06
14 WELLS FARGO & CO NEW		2017-06-30	2018-02-06
14 WELLS FARGO & CO NEW		2017-06-30	2018-02-06
7731 175 NB HIGH INCOME BOND-R6		2016-02-22	2018-02-07
1737 056 PIMCO SHORT TERM FUND INSTL		2018-01-25	2018-02-07
2517 258 T ROWE PR EMERG MKTS BND-I		2016-07-15	2018-02-07
6 ANADARKO PETE CORP		2016-12-12	2018-02-22
3 ANADARKO PETE CORP		2016-12-12	2018-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
392		302	90
56		43	13
58		55	3
804		777	27
793		765	28
66,720		61,056	5,664
17,179		17,145	34
31,038		31,647	-609
351		429	-78
177		213	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			90
			13
			3
			27
			28
			5,664
			34
			-609
			-78
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ANADARKO PETE CORP		2016-12-12	2018-02-22
1 3 ANADARKO PETE CORP		2016-12-12	2018-02-22
22 ANADARKO PETE CORP		2016-12-09	2018-02-22
1 ANADARKO PETE CORP		2017-01-30	2018-02-22
11 CIGNA CORP		2017-08-21	2018-02-22
24 METLIFE INC		2017-08-24	2018-02-22
5 METLIFE INC		2017-08-22	2018-02-22
16 METLIFE INC		2017-08-21	2018-02-22
1 CONCHO RESOURCES INC		2017-05-15	2018-03-09
1 CONCHO RESOURCES INC		2017-05-15	2018-03-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
117		142	-25
177		212	-35
1,287		1,521	-234
59		69	-10
2,122		1,954	168
1,126		1,145	-19
236		236	
752		751	1
153		134	19
153		134	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-25
			-35
			-234
			-10
			168
			-19
			1
			19
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	5 CONCHO RESOURCES INC		2017-05-15	2018-03-09
1	2 CONCHO RESOURCES INC		2016-07-22	2018-03-09
	1 CONCHO RESOURCES INC		2016-07-22	2018-03-09
	12 INTERNATIONAL BUSINESS MACHS CORP		2017-12-04	2018-03-09
	16 INGERSOLL-RAND PLC		2017-05-15	2018-03-09
	2 INGERSOLL-RAND PLC		2017-05-17	2018-03-09
	1 ABBOTT LABS		2014-07-21	2018-04-06
	40 ABBOTT LABS		2014-07-17	2018-04-06
	19 WALGREENS BOOTS ALLIANCE INC		2017-01-30	2018-04-06
	8 MARTIN MARIETTA MATLS INC		2015-08-14	2018-04-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
762		671	91
305		245	60
153		122	31
1,900		1,878	22
1,427		1,413	14
178		176	2
59		43	16
2,314		1,684	630
1,206		1,543	-337
1,606		1,393	213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			91
			60
			31
			22
			14
			2
			16
			630
			-337
			213

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 MARTIN MARIETTA MATLS INC		2015-08-14	2018-04-10
1 5 COMCAST CORP NEW CL A		2009-12-07	2018-04-13
57 COMCAST CORP NEW CL A		2018-01-11	2018-04-13
2 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-13
8 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-13
8 MOLSON COORS BREWING CO		2015-06-22	2018-04-13
18 MOLSON COORS BREWING CO		2015-06-22	2018-04-13
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-16
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-16
11 MOLSON COORS BREWING CO		2015-06-23	2018-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,228		936	292
165		42	123
1,883		2,411	-528
313		304	9
1,256		1,216	40
585		592	-7
1,320		1,328	-8
158		152	6
158		152	6
809		803	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			292
			123
			-528
			9
			40
			-7
			-8
			6
			6
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-17
1 1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-17
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-17
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-18
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-18
1 INTERNATIONAL BUSINESS MACHS CORP		2017-11-21	2018-04-18
8 AGILENT TECHNOLOGIES INC		2017-05-04	2018-04-26
1 AGILENT TECHNOLOGIES INC		2017-05-04	2018-04-26
12 APPLE COMPUTER INC		2006-04-06	2018-04-26
2 AGILENT TECHNOLOGIES INC		2017-05-04	2018-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
159		152	7
160		152	8
159		152	7
149		152	-3
149		152	-3
149		152	-3
531		453	78
66		57	9
1,972		119	1,853
132		113	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			8
			7
			-3
			-3
			-3
			78
			9
			1,853
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 AGILENT TECHNOLOGIES INC		2017-05-04	2018-04-27
1 14 AGILENT TECHNOLOGIES INC		2017-05-04	2018-04-30
1 ADOBE SYS INC		2012-08-03	2018-05-03
1 ALPHABET INC-CL C		2014-04-17	2018-05-03
3 AMERICAN INTL GROUP INC NEW		2018-02-22	2018-05-03
1 AMERISOURCEBERGEN CORP		2018-04-09	2018-05-03
1 ANALOG DEVICES INC		2016-10-28	2018-05-03
4 APPLE COMPUTER INC		2006-04-06	2018-05-03
15 BANK OF AMERICA CORPORATION		2018-02-06	2018-05-03
2 BANK OF NEW YORK MELLON CORP		2018-02-22	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
398		340	58
925		790	135
225		32	193
1,021		537	484
155		182	-27
85		86	-1
88		64	24
706		40	666
436		458	-22
107		113	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			58
			135
			193
			484
			-27
			-1
			24
			666
			-22
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1 BERKSHIRE HATHAWAY INC DEL CL B		2017-08-14	2018-05-03
1 7 BOSTON SCIENTIFIC CORP		2016-09-15	2018-05-03
4 BRISTOL MYERS SQUIBB CO		2017-02-23	2018-05-03
2 BROADCOM INC		2013-04-25	2018-05-03
1 CAPITAL ONE FINL CORP		2018-01-11	2018-05-03
983 648 CAPITAL GR NON US EQUITY		2013-12-09	2018-05-03
1 CELGENE CORP		2016-10-28	2018-05-03
1 CHARTER COMMUNICATIONS INC-A		2018-04-13	2018-05-03
6 CITIGROUP INC NEW		2017-05-15	2018-05-03
4 COCA-COLA CO		2018-04-16	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
191		177	14
205		164	41
205		223	-18
450		79	371
88		104	-16
13,535		11,509	2,026
85		104	-19
271		306	-35
406		369	37
167		179	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			14
			41
			-18
			371
			-16
			2,026
			-19
			-35
			37
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 COMCAST CORP NEW CL A		2009-12-07	2018-05-03
1 1 CONCHO RESOURCES INC		2016-07-22	2018-05-03
2 DELTA AIR LINES INC DEL NEW		2017-12-13	2018-05-03
1 DIAMONDBACK ENERGY INC		2017-05-15	2018-05-03
3 DISNEY WALT CO		2017-01-30	2018-05-03
264 054 DODGE & INTERNATIONAL STOCK FUND		2014-02-11	2018-05-03
1 DOLLAR TREE INC		2017-10-27	2018-05-03
576 369 DOUBLELINE TTL RTRN BND-I		2012-06-14	2018-05-03
4 73 DOWDUPONT INC		2018-04-09	2018-05-03
1 27 DOWDUPONT INC		2017-03-20	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
93		25	68
152		122	30
103		107	-4
126		104	22
296		331	-35
12,025		11,169	856
95		93	2
6,000		6,461	-461
300		303	-3
81		82	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			68
			30
			-4
			22
			-35
			856
			2
			-461
			-3
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 EOG RESOURCES INC		2016-08-05	2018-05-03
1 1 EASTMAN CHEM CO		2016-07-07	2018-05-03
2 FACEBOOK INC-A		2017-06-30	2018-05-03
2 FIDELITY NATL INFORMATION SVCS INC		2014-12-19	2018-05-03
1 GENERAL DYNAMICS CORP		2017-02-10	2018-05-03
1 GILEAD SCIENCES INC		2015-01-08	2018-05-03
1 GOLDMAN SACHS GROUP INC		2016-02-05	2018-05-03
2 HARTFORD FINL SVCS GROUP INC		2016-09-09	2018-05-03
2 HOME DEPOT INC		2012-03-20	2018-05-03
3 HONEYWELL INTL INC		2012-06-15	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
463		361	102
103		67	36
348		302	46
198		127	71
194		184	10
65		102	-37
233		157	76
103		82	21
365		99	266
429		166	263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			102
			36
			46
			71
			10
			-37
			76
			21
			266
			263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 INTERCONTINENTALEXCHANGE GROUP, INC		2017-02-01	2018-05-03
1 79 991 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070		2014-12-22	2018-05-03
6 KEYCORP NEW		2016-03-08	2018-05-03
3 LILLY ELI & CO		2015-07-02	2018-05-03
2 LOWES COS INC		2011-08-04	2018-05-03
1 MARATHON PETROLEUM CORPORATION		2018-03-09	2018-05-03
4 MASCO CORP		2012-07-30	2018-05-03
3 MASTERCARD INC - CLASS A		2012-10-15	2018-05-03
3 MERCK & CO INC		2017-10-03	2018-05-03
10 MICROSOFT CORP		2006-11-03	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
70		59	11
1,775		1,480	295
116		67	49
234		258	-24
165		41	124
77		71	6
152		47	105
559		143	416
172		193	-21
939		288	651

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			295
			49
			-24
			124
			6
			105
			416
			-21
			651

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 MICROCHIP TECHNOLOGY INC		2017-04-18	2018-05-03
1 5 MONDELEZ INTERNATIONAL-W/I		2016-02-24	2018-05-03
9 MORGAN STANLEY DEAN WITTER & CO NEW		2013-11-12	2018-05-03
2 NIKE INC		2017-02-23	2018-05-03
4 NISOURCE INC		2015-12-03	2018-05-03
1 NORFOLK SOUTHN CORP		2018-03-09	2018-05-03
1 NORTHROP GRUMMAN CORP		2017-10-10	2018-05-03
1 O'REILLY AUTOMOTIVE INC		2016-11-16	2018-05-03
5 OCCIDENTAL PETE CORP		2014-12-03	2018-05-03
4 ORACLE CORP		2018-03-09	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86		74	12
188		197	-9
458		273	185
134		116	18
102		77	25
141		145	-4
315		293	22
258		268	-10
386		413	-27
180		211	-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			-9
			185
			18
			25
			-4
			22
			-10
			-27
			-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 PVH CORP		2018-01-30	2018-05-03
1 1 PARKER HANNIFIN CORP		2018-01-11	2018-05-03
2 PAYPAL HOLDINGS INC		2018-01-26	2018-05-03
2 PEPSICO INC		2016-02-17	2018-05-03
10 PFIZER INC		2015-04-08	2018-05-03
3 PHILIP MORRIS INTERNATIONAL		2017-08-01	2018-05-03
2 PIONEER NAT RES CO		2015-12-10	2018-05-03
1 SALESFORCE COM INC		2018-04-18	2018-05-03
3 SCHWAB CHARLES CORP NEW		2016-06-03	2018-05-03
2 STANLEY BLACK & DECKER,INC		2015-11-10	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
151		157	-6
168		208	-40
147		170	-23
195		199	-4
347		347	
241		350	-109
383		294	89
124		124	
165		87	78
278		212	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-40
			-23
			-4
			-109
			89
			78
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 TEXAS INSTRS INC		2016-03-10	2018-05-03
1 5 TWENTY-FIRST CENTURY FOX-A		2014-04-29	2018-05-03
1 UNION PAC CORP		2018-02-22	2018-05-03
1 UNITED TECHNOLOGIES CORP		2017-12-12	2018-05-03
2 UNITEDHEALTH GROUP INC		2012-01-19	2018-05-03
1 VERTEX PHARMACEUTICALS INC		2011-12-15	2018-05-03
1 VISA INC CLASS A SHARES		2015-10-19	2018-05-03
2 WASTE CONNECTIONS INC		2016-08-10	2018-05-03
6 WELLS FARGO & CO NEW		2017-11-20	2018-05-03
1 WEX INC		2016-05-02	2018-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
207		110	97
184		160	24
132		134	-2
117		124	-7
467		104	363
149		31	118
127		77	50
147		105	42
309		324	-15
167		94	73

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97
			24
			-2
			-7
			363
			118
			50
			42
			-15
			73

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 WORLDPAY INC CL A		2017-09-22	2018-05-03
1 2 ZIMMER HLDGS INC		2018-04-26	2018-05-03
2 ALLEGION PLC		2015-11-19	2018-05-03
2 ALLERGAN PLC		2015-09-28	2018-05-03
2 ACCENTURE PLC		2014-01-15	2018-05-03
1 INGERSOLL-RAND PLC		2017-05-17	2018-05-03
1 MEDTRONIC PLC		2018-04-10	2018-05-03
2 APTIV PLC		2018-04-26	2018-05-03
2 CHUBB LTD		2012-01-09	2018-05-03
2947 166 VANGUARD TOTAL BOND MARKET INDEX FUND-ADM		2016-10-24	2018-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
81		72	9
230		230	
149		133	16
295		531	-236
303		168	135
86		88	-2
79		79	
182		175	7
260		140	120
30,680		31,905	-1,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			16
			-236
			135
			-2
			7
			120
			-1,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ADOBE SYS INC		2012-08-03	2018-05-09
1 3 AGILENT TECHNOLOGIES INC		2016-10-18	2018-05-09
1 AMAZON COM INC		2015-04-08	2018-05-09
4 AMERICAN INTL GROUP INC NEW		2018-02-22	2018-05-09
1 AMERISOURCEBERGEN CORP		2018-04-09	2018-05-09
1 ANALOG DEVICES INC		2016-10-28	2018-05-09
4 APPLE COMPUTER INC		2006-04-06	2018-05-09
16 BANK OF AMERICA CORPORATION		2018-02-06	2018-05-09
3 BANK OF NEW YORK MELLON CORP		2018-02-22	2018-05-09
1 BERKSHIRE HATHAWAY INC DEL CL B		2017-08-14	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
235		32	203
202		136	66
1,601		381	1,220
212		242	-30
85		86	-1
92		64	28
744		40	704
486		487	-1
169		169	
198		177	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			203
			66
			1,220
			-30
			-1
			28
			704
			-1
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 BOSTON SCIENTIFIC CORP		2016-09-15	2018-05-09
1 4 BRISTOL MYERS SQUIBB CO		2017-02-23	2018-05-09
1 BROADCOM INC		2013-04-25	2018-05-09
1 CAPITAL ONE FINL CORP		2018-01-11	2018-05-09
180 375 CAPITAL GR NON US EQUITY		2013-12-09	2018-05-09
1 CELGENE CORP		2016-10-28	2018-05-09
1 CHARTER COMMUNICATIONS INC-A		2018-04-13	2018-05-09
6 CITIGROUP INC NEW		2017-05-15	2018-05-09
4 COCA-COLA CO		2018-04-16	2018-05-09
4 COMCAST CORP NEW CL A		2009-12-07	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
267		210	57
202		223	-21
235		40	195
91		104	-13
2,500		2,110	390
81		104	-23
271		306	-35
427		369	58
168		179	-11
123		34	89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			57
			-21
			195
			-13
			390
			-23
			-35
			58
			-11
			89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 DELTA AIR LINES INC DEL NEW		2017-12-13	2018-05-09
1 1 DIAMONDBACK ENERGY INC		2017-05-15	2018-05-09
4 DISNEY WALT CO		2017-01-30	2018-05-09
4 DISH NETWORK CORP		2016-09-23	2018-05-09
54 301 DODGE & INTERNATIONAL STOCK FUND		2014-02-11	2018-05-09
1 DOLLAR TREE INC		2017-10-27	2018-05-09
7 DOWDUPONT INC		2018-04-09	2018-05-09
3 EOG RESOURCES INC		2016-08-05	2018-05-09
3 EQT CORPORATION		2015-07-27	2018-05-09
2 EASTMAN CHEM CO		2016-07-07	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
156		161	-5
133		104	29
400		441	-41
123		216	-93
2,500		2,297	203
93		93	
456		449	7
351		271	80
157		228	-71
212		134	78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			29
			-41
			-93
			203
			7
			80
			-71
			78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 FACEBOOK INC-A		2017-06-30	2018-05-09
1 2 FIDELITY NATL INFORMATION SVCS INC		2014-12-19	2018-05-09
1 GENERAL DYNAMICS CORP		2017-02-10	2018-05-09
1 GILEAD SCIENCES INC		2015-01-08	2018-05-09
2 HARTFORD FINL SVCS GROUP INC		2016-09-09	2018-05-09
2 HOME DEPOT INC		2012-03-20	2018-05-09
3 HONEYWELL INTL INC		2012-06-15	2018-05-09
1 INTERCONTINENTALEXCHANGE GROUP, INC		2017-02-01	2018-05-09
65 ISHARES MSCI JAPAN ETF		2018-01-25	2018-05-09
7 KEYCORP NEW		2016-03-08	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
361		302	59
206		127	79
200		184	16
65		102	-37
105		82	23
371		99	272
436		166	270
71		59	12
3,919		4,174	-255
140		78	62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			59
			79
			16
			-37
			23
			272
			270
			12
			-255
			62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 LILLY ELI & CO		2015-07-02	2018-05-09
1 4 LOWES COS INC		2011-08-04	2018-05-09
2 MARATHON PETROLEUM CORPORATION		2018-03-09	2018-05-09
4 MASCO CORP		2012-07-30	2018-05-09
3 MASTERCARD INC - CLASS A		2012-10-15	2018-05-09
3 MERCK & CO INC		2017-10-03	2018-05-09
10 MICROSOFT CORP		2006-11-03	2018-05-09
1 MICROCHIP TECHNOLOGY INC		2017-04-18	2018-05-09
6 MONDELEZ INTERNATIONAL-W/I		2016-02-24	2018-05-09
9 MORGAN STANLEY DEAN WITTER & CO NEW		2013-11-12	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
157		171	-14
337		82	255
155		141	14
151		47	104
571		142	429
171		193	-22
958		288	670
90		74	16
231		236	-5
482		268	214

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14
			255
			14
			104
			429
			-22
			670
			16
			-5
			214

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 NEXTERA ENERGY INC		2016-10-18	2018-05-09
1 3 NIKE INC		2017-02-23	2018-05-09
6 NISOURCE INC		2015-12-03	2018-05-09
1 NORFOLK SOUTHN CORP		2018-03-09	2018-05-09
1 NORTHROP GRUMMAN CORP		2017-10-10	2018-05-09
1 NVIDIA CORP		2017-10-03	2018-05-09
1 O'REILLY AUTOMOTIVE INC		2016-11-16	2018-05-09
5 OCCIDENTAL PETE CORP		2011-10-06	2018-05-09
4 ORACLE CORP		2018-03-09	2018-05-09
1 PVH CORP		2018-01-30	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
159		125	34
204		174	30
150		115	35
149		145	4
329		293	36
253		179	74
268		268	
415		406	9
185		211	-26
153		157	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			34
			30
			35
			4
			36
			74
			9
			-26
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 PAYPAL HOLDINGS INC		2018-01-26	2018-05-09
1 2 PEPSICO INC		2016-02-17	2018-05-09
10 PFIZER INC		2015-04-08	2018-05-09
2 PHILIP MORRIS INTERNATIONAL		2017-08-01	2018-05-09
1 PIONEER NAT RES CO		2015-12-10	2018-05-09
1 SVB FINANCIAL GROUP		2015-02-06	2018-05-09
1 SALESFORCE COM INC		2018-04-18	2018-05-09
3 SCHWAB CHARLES CORP NEW		2016-06-03	2018-05-09
1 STANLEY BLACK & DECKER,INC		2015-11-12	2018-05-09
3 TEXAS INSTRS INC		2016-03-10	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
76		85	-9
193		198	-5
349		346	3
164		233	-69
202		146	56
312		126	186
128		124	4
174		87	87
141		106	35
320		166	154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-5
			3
			-69
			56
			186
			4
			87
			35
			154

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 TWENTY-FIRST CENTURY FOX-A		2014-04-29	2018-05-09
1 2 UNION PAC CORP		2018-02-22	2018-05-09
1 UNITED TECHNOLOGIES CORP		2017-12-12	2018-05-09
3 UNITEDHEALTH GROUP INC		2012-01-19	2018-05-09
114 784 VANGUARD TOTAL INTL BND-ADM		2017-04-12	2018-05-09
1 VERTEX PHARMACEUTICALS INC		2011-12-15	2018-05-09
2 VISA INC CLASS A SHARES		2015-10-19	2018-05-09
2 WASTE CONNECTIONS INC		2016-08-10	2018-05-09
3 WELLS FARGO & CO NEW		2017-11-20	2018-05-09
4 WELLS FARGO & CO NEW		2009-12-15	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
190		160	30
278		267	11
122		124	-2
690		156	534
2,500		2,494	6
148		31	117
260		154	106
149		105	44
161		162	-1
215		104	111

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			30
			11
			-2
			534
			6
			117
			106
			44
			-1
			111

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	1 WEX INC		2016-05-02	2018-05-09
1	1 WORKDAY INC-CLASS A		2016-08-10	2018-05-09
	1 WORLDPAY INC CL A		2017-09-22	2018-05-09
	3 ZIMMER HLDGS INC		2018-04-26	2018-05-09
	1 ALLEGION PLC		2015-11-19	2018-05-09
	1 ALLERGAN PLC		2015-09-28	2018-05-09
	1 ACCENTURE PLC		2014-01-16	2018-05-09
	1 INGERSOLL-RAND PLC		2017-05-17	2018-05-09
	2 MEDTRONIC PLC		2018-04-10	2018-05-09
	2 APTIV PLC		2018-04-26	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
171		94	77
135		83	52
84		72	12
348		345	3
75		66	9
145		266	-121
153		84	69
88		88	
165		159	6
188		174	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			77
			52
			12
			3
			9
			-121
			69
			6
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CHUBB LTD		2012-01-09	2018-05-09
1 4 INGERSOLL-RAND PLC		2017-02-10	2018-05-15
3 INGERSOLL-RAND PLC		2017-05-17	2018-05-15
4 INGERSOLL-RAND PLC		2017-02-10	2018-05-15
1 INGERSOLL-RAND PLC		2017-02-10	2018-05-15
13 INGERSOLL-RAND PLC		2017-02-10	2018-05-15
1 CONCHO RESOURCES INC		2016-07-26	2018-05-22
2 CONCHO RESOURCES INC		2016-07-26	2018-05-22
9 CONCHO RESOURCES INC		2016-07-25	2018-05-22
1 PHILIP MORRIS INTERNATIONAL		2017-08-01	2018-05-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
267		140	127
356		327	29
267		263	4
355		327	28
89		82	7
1,155		1,062	93
154		121	33
307		241	66
1,353		1,068	285
80		116	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			127
			29
			4
			28
			7
			93
			33
			66
			285
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 PHILIP MORRIS INTERNATIONAL		2017-08-01	2018-05-22
1 3 PHILIP MORRIS INTERNATIONAL		2017-08-01	2018-05-22
1 PHILIP MORRIS INTERNATIONAL		2017-08-01	2018-05-22
1 PHILIP MORRIS INTERNATIONAL		2017-05-18	2018-05-23
8 PHILIP MORRIS INTERNATIONAL		2017-04-24	2018-05-23
12 LOWES COS INC		2011-08-04	2018-06-12
1 ADOBE SYS INC		2012-08-03	2018-06-13
2 AGILENT TECHNOLOGIES INC		2016-10-18	2018-06-13
1 ALPHABET INC-CL C		2018-05-07	2018-06-13
1 ALPHABET INC/CA-CL A		2016-07-11	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
480		698	-218
240		349	-109
80		116	-36
80		114	-34
650		904	-254
1,208		243	965
256		32	224
132		91	41
1,140		1,052	88
1,151		727	424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-218
			-109
			-36
			-34
			-254
			965
			224
			41
			88
			424

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 AMAZON COM INC		2015-03-12	2018-06-13
1 3 AMERICAN INTL GROUP INC NEW		2018-02-22	2018-06-13
1 ANALOG DEVICES INC		2016-10-28	2018-06-13
5 APPLE COMPUTER INC		2006-04-06	2018-06-13
17 BANK OF AMERICA CORPORATION		2018-02-06	2018-06-13
3 BANK OF NEW YORK MELLON CORP		2018-02-22	2018-06-13
1 BERKSHIRE HATHAWAY INC DEL CL B		2017-08-14	2018-06-13
8 BOSTON SCIENTIFIC CORP		2016-09-15	2018-06-13
4 BRISTOL MYERS SQUIBB CO		2017-02-23	2018-06-13
2 BROADCOM INC		2013-04-25	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,707		374	1,333
165		180	-15
101		64	37
955		49	906
509		515	-6
172		169	3
195		177	18
254		186	68
214		223	-9
527		79	448

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,333
			-15
			37
			906
			-6
			3
			18
			68
			-9
			448

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CHARTER COMMUNICATIONS INC-A		2018-04-13	2018-06-13
1 6 CITIGROUP INC NEW		2017-05-15	2018-06-13
4 COCA-COLA CO		2018-04-16	2018-06-13
4 COMCAST CORP NEW CL A		2009-12-07	2018-06-13
2 DELTA AIR LINES INC DEL NEW		2017-12-13	2018-06-13
1 DIAMONDBACK ENERGY INC		2017-05-15	2018-06-13
4 DISNEY WALT CO		2016-12-08	2018-06-13
2 DOLLAR TREE INC		2017-10-27	2018-06-13
5 098 DOWDUPONT INC		2017-03-17	2018-06-13
1 902 DOWDUPONT INC		2018-04-06	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
285		306	-21
405		369	36
177		179	-2
129		34	95
109		107	2
118		104	14
425		434	-9
176		185	-9
350		325	25
131		121	10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			36
			-2
			95
			2
			14
			-9
			-9
			25
			10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 EOG RESOURCES INC		2016-05-25	2018-06-13
1 2 EASTMAN CHEM CO		2016-02-22	2018-06-13
2 FACEBOOK INC-A		2014-07-25	2018-06-13
2 FIDELITY NATL INFORMATION SVCS INC		2014-12-19	2018-06-13
1 GENERAL DYNAMICS CORP		2017-02-10	2018-06-13
1 GOLDMAN SACHS GROUP INC		2016-02-05	2018-06-13
2 HARTFORD FINL SVCS GROUP INC		2016-09-09	2018-06-13
2 HOME DEPOT INC		2012-03-20	2018-06-13
3 HONEYWELL INTL INC		2012-06-15	2018-06-13
2 INTERCONTINENTALEXCHANGE GROUP, INC		2017-02-01	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
474		352	122
217		129	88
387		151	236
214		127	87
200		184	16
235		157	78
106		82	24
401		99	302
456		166	290
150		117	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			122
			88
			236
			87
			16
			78
			24
			302
			290
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	780 ISHARES MISCI EAFE INDEX FUND		2014-09-24	2018-06-13
1	7 KEYCORP NEW		2016-03-02	2018-06-13
	3 LILLY ELI & CO		2015-06-12	2018-06-13
	3 LOWES COS INC		2011-09-28	2018-06-13
	3 MARATHON PETROLEUM CORPORATION		2018-05-14	2018-06-13
	5 MASCO CORP		2012-07-30	2018-06-13
	3 MASTERCARD INC - CLASS A		2012-10-15	2018-06-13
	3 MERCK & CO INC		2017-10-03	2018-06-13
	11 MICROSOFT CORP		2006-11-03	2018-06-13
	2 MICROCHIP TECHNOLOGY INC		2017-04-18	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55,029		51,020	4,009
145		77	68
257		255	2
299		60	239
225		235	-10
190		59	131
599		142	457
188		193	-5
1,110		316	794
205		147	58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,009
			68
			2
			239
			-10
			131
			457
			-5
			794
			58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 MONDELEZ INTERNATIONAL-W/I		2014-08-13	2018-06-13
1 10 MORGAN STANLEY DEAN WITTER & CO NEW		2013-11-12	2018-06-13
1 NEXTERA ENERGY INC		2016-10-18	2018-06-13
2 NIKE INC		2017-02-23	2018-06-13
5 NISOURCE INC		2015-01-14	2018-06-13
3 NORFOLK SOUTHN CORP		2018-05-15	2018-06-13
1 NVIDIA CORP		2018-06-12	2018-06-13
5 OCCIDENTAL PETE CORP		2011-10-06	2018-06-13
5 ORACLE CORP		2018-03-09	2018-06-13
1 PVH CORP		2018-01-30	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
241		232	9
517		298	219
158		125	33
149		116	33
119		81	38
463		453	10
263		261	2
423		379	44
242		264	-22
162		157	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			219
			33
			33
			38
			10
			2
			44
			-22
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 PARKER HANNIFIN CORP		2018-01-11	2018-06-13
1 4 PEPSICO INC		2018-05-22	2018-06-13
10 PFIZER INC		2015-04-02	2018-06-13
1 PIONEER NAT RES CO		2015-12-09	2018-06-13
1 SVB FINANCIAL GROUP		2015-02-06	2018-06-13
1 SALESFORCE COM INC		2018-04-19	2018-06-13
3 SCHWAB CHARLES CORP NEW		2016-06-03	2018-06-13
2 STANLEY BLACK & DECKER,INC		2015-11-17	2018-06-13
3 TEXAS INSTRS INC		2016-03-10	2018-06-13
6 TWENTY-FIRST CENTURY FOX-A		2014-04-29	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
172		208	-36
421		399	22
363		345	18
193		144	49
321		126	195
137		124	13
173		87	86
286		210	76
343		166	177
260		192	68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-36
			22
			18
			49
			195
			13
			86
			76
			177
			68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
3 UNION PAC CORP		2018-02-22	2018-06-13
1 1 UNITED TECHNOLOGIES CORP		2017-12-12	2018-06-13
3 UNITEDHEALTH GROUP INC		2012-01-19	2018-06-13
1 VERTEX PHARMACEUTICALS INC		2011-12-15	2018-06-13
2 VISA INC CLASS A SHARES		2015-10-19	2018-06-13
2 WASTE CONNECTIONS INC		2016-07-26	2018-06-13
7 WELLS FARGO & CO NEW		2009-12-15	2018-06-13
1 WEX INC		2016-05-02	2018-06-13
1 WORKDAY INC-CLASS A		2016-08-10	2018-06-13
2 ZIMMER HLDGS INC		2018-04-26	2018-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
434		401	33
127		124	3
761		156	605
152		31	121
269		154	115
152		101	51
387		181	206
190		94	96
126		83	43
229		229	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			33
			3
			605
			121
			115
			51
			206
			96
			43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ALLEGION PLC		2015-11-02	2018-06-13
1 2 ALLERGAN PLC		2014-12-03	2018-06-13
2 ACCENTURE PLC		2014-01-16	2018-06-13
2 APTIV PLC		2018-04-26	2018-06-13
3 CHUBB LTD		2012-01-09	2018-06-13
1374 885 CHILTON STRATEGIC EUROPEAN EQUITIES FD		2017-06-30	2018-07-11
1595 745 CRM LNG/SHRT OPPORT-INST		2017-06-30	2018-07-11
418 45 CAPITAL GR NON US EQUITY		2013-12-09	2018-07-11
2713 993 EQUINOX CAMPBELL STRAT-I		2014-01-09	2018-07-11
220 ISHARES MISCI EAFE INDEX FUND		2014-09-24	2018-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
161		132	29
341		531	-190
326		168	158
203		174	29
398		210	188
15,000		14,780	220
17,250		16,356	894
5,670		4,896	774
26,054		28,117	-2,063
14,761		14,331	430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29
			-190
			158
			29
			188
			220
			894
			774
			-2,063
			430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
631 ISHARES MSCI JAPAN ETF		2016-11-17	2018-07-11
1 419 ISHARES MSCI JAPAN ETF		2018-01-25	2018-07-11
6296 786 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070		2013-12-09	2018-07-11
784 141 BOSTON P GL LG/SH EQ-INST		2018-01-24	2018-07-11
1 AMERISOURCEBERGEN CORP		2018-04-09	2018-07-18
1 AMERISOURCEBERGEN CORP		2018-04-09	2018-07-18
8 CAPITAL ONE FINL CORP		2018-01-11	2018-07-18
13 CAPITAL ONE FINL CORP		2018-01-11	2018-07-18
40 DISH NETWORK CORP		2016-04-18	2018-07-18
1 AMERISOURCEBERGEN CORP		2018-04-09	2018-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
35,951		31,354	4,597
23,872		26,908	-3,036
143,000		113,898	29,102
8,900		9,551	-651
86		86	
86		86	
777		832	-55
1,262		1,352	-90
1,248		1,926	-678
85		86	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			4,597
			-3,036
			29,102
			-651
			-55
			-90
			-678
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-19
1 1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-20
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-23
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-24
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-24
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-25
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-25
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-26
2 EQT CORPORATION		2015-07-27	2018-07-26
7 EQT CORPORATION		2015-07-27	2018-07-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
341		342	-1
85		85	
85		85	
85		85	
84		85	-1
85		85	
85		85	
83		85	-2
108		152	-44
380		532	-152

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			-2
			-44
			-152

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 EQT CORPORATION		2015-07-27	2018-07-26
1 16 EQT CORPORATION		2015-07-27	2018-07-26
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-27
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-07-30
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-08-01
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-08-02
1 AMERISOURCEBERGEN CORP		2018-04-06	2018-08-06
20 TWENTY-FIRST CENTURY FOX-A		2014-04-29	2018-08-09
850 ISHARES MISCI EAFE INDEX FUND		2014-11-14	2018-08-14
21 NIKE INC		2017-02-23	2018-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
326		456	-130
867		1,031	-164
83		85	-2
83		85	-2
80		85	-5
79		85	-6
82		85	-3
913		641	272
56,500		55,117	1,383
1,743		1,216	527

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-130
			-164
			-2
			-2
			-5
			-6
			-3
			272
			1,383
			527

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	23 NIKE INC		2017-10-03	2018-08-23
1	869 065 DODGE & COX INCOME FUND		2017-11-20	2018-09-06
	5254 422 DOUBLELINE TTL RTRN BND-I		2012-06-14	2018-09-06
	20 AMERICAN INTL GROUP INC NEW		2016-05-27	2018-09-11
	8 AMERICAN INTL GROUP INC NEW		2018-02-22	2018-09-11
	16 FACEBOOK INC-A		2014-07-25	2018-09-13
	10 MICROCHIP TECHNOLOGY INC		2017-04-13	2018-09-13
	3 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-13
	2 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-13
	1 MICROCHIP TECHNOLOGY INC		2017-04-13	2018-09-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,908		1,185	723
11,715		12,002	-287
54,699		57,989	-3,290
1,044		1,150	-106
418		480	-62
2,599		1,205	1,394
842		729	113
252		217	35
168		145	23
84		72	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			723
			-287
			-3,290
			-106
			-62
			1,394
			113
			35
			23
			12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	3 MICROCHIP TECHNOLOGY INC		2017-04-13	2018-09-14
1	1 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-14
	1 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-17
	1 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-17
	1 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-18
	1 MICROCHIP TECHNOLOGY INC		2017-04-12	2018-09-19
	29 TWENTY-FIRST CENTURY FOX-A		2017-11-20	2018-09-20
	49 TWENTY-FIRST CENTURY FOX-A		2014-04-29	2018-09-20
	425 VANGUARD BD INDEX FD INC		2018-06-13	2018-10-01
	5 GARRETT MOTION INC		2009-12-16	2018-10-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
252		217	35
85		72	13
82		72	10
81		72	9
81		72	9
81		72	9
1,295		890	405
2,188		1,494	694
33,077		33,165	-88
88		27	61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			35
			13
			10
			9
			9
			9
			405
			694
			-88
			61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	41 BANK OF NEW YORK MELLON CORP		2016-11-07	2018-10-08
1	10 BANK OF NEW YORK MELLON CORP		2018-02-22	2018-10-08
	79 ORACLE CORP		2018-02-22	2018-10-08
	5 ALLERGAN PLC		2014-12-03	2018-10-08
	15 HARTFORD FINL SVCS GROUP INC		2013-05-07	2018-10-15
	8 MASCO CORP		2012-07-30	2018-10-15
	16 HARTFORD FINL SVCS GROUP INC		2013-05-07	2018-10-16
	10 HARTFORD FINL SVCS GROUP INC		2013-05-07	2018-10-16
	6 MASCO CORP		2012-07-30	2018-10-16
	8 MASCO CORP		2012-07-30	2018-10-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,158		1,796	362
526		563	-37
3,896		3,993	-97
947		1,300	-353
697		462	235
257		94	163
744		467	277
465		292	173
194		71	123
259		94	165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			362
			-37
			-97
			-353
			235
			163
			277
			173
			123
			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	25 MASCO CORP		2012-07-30	2018-10-16
1	6 MASCO CORP		2012-08-03	2018-10-17
	16 MASCO CORP		2012-08-03	2018-10-17
	3 MASCO CORP		2012-08-03	2018-10-17
	7 MASCO CORP		2012-08-03	2018-10-18
	1 AMERICAN INTL GROUP INC NEW		2016-06-03	2018-10-19
	4 AMERICAN INTL GROUP INC NEW		2016-06-03	2018-10-19
	7 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-19
	1 WORKDAY INC-CLASS A		2016-08-10	2018-10-19
	3 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
806		289	517
192		68	124
512		183	329
96		34	62
219		80	139
48		57	-9
190		228	-38
333		392	-59
128		83	45
139		165	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			517
			124
			329
			62
			139
			-9
			-38
			-59
			45
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-22
1 13 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-22
2 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-22
1 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-22
3 WORKDAY INC-CLASS A		2016-08-10	2018-10-22
1 WORKDAY INC-CLASS A		2016-08-10	2018-10-22
5 WORKDAY INC-CLASS A		2016-08-10	2018-10-22
2 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-23
1 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-23
1 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
141		165	-24
598		716	-118
90		110	-20
45		55	-10
387		248	139
126		82	44
641		412	229
88		110	-22
44		55	-11
44		55	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-24
			-118
			-20
			-10
			139
			44
			229
			-22
			-11
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-23
1 GARRETT MOTION INC			2018-10-23
3 WORKDAY INC-CLASS A		2016-08-10	2018-10-23
1 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-24
5620 632 CAPITAL GR NON US EQUITY		2013-12-09	2018-10-24
1813 161 DODGE & INTERNATIONAL STOCK FUND		2011-02-01	2018-10-24
2658 84 HARTFORD CAPITAL APPREC-F		2010-05-20	2018-10-24
7190 57 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070		2013-04-22	2018-10-24
5291 217 JPM US L/C CORE PL FD - USD - R6 ISIN US48129C6030		2007-03-09	2018-10-24
678 594 MFS INTL INTRINSIC VALUE-R6		2013-03-06	2018-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44		55	-11
14			14
386		247	139
43		55	-12
69,752		65,761	3,991
71,475		70,894	581
98,829		80,499	18,330
153,015		117,444	35,571
156,779		101,309	55,470
27,022		20,352	6,670

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			14
			139
			-12
			3,991
			581
			18,330
			35,571
			55,470
			6,670

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 O'REILLY AUTOMOTIVE INC		2016-11-16	2018-10-24
1 1 O'REILLY AUTOMOTIVE INC		2017-05-08	2018-10-24
1 O'REILLY AUTOMOTIVE INC		2017-05-08	2018-10-24
3388 093 PIMCO SHORT TERM FUND INSTL		2018-01-25	2018-10-24
1 AMERICAN INTL GROUP INC NEW		2016-04-18	2018-10-25
1 O'REILLY AUTOMOTIVE INC		2017-05-05	2018-10-25
20 AGILENT TECHNOLOGIES INC		2016-10-18	2018-10-30
9 AGILENT TECHNOLOGIES INC		2016-10-18	2018-10-30
1 AGILENT TECHNOLOGIES INC		2016-10-18	2018-10-30
9 RESIDEO TECHNOLOGIES INC		2009-12-16	2018-10-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,317		1,072	245
324		254	70
331		254	77
33,508		33,455	53
42		55	-13
322		252	70
1,264		909	355
569		409	160
63		45	18
204		72	132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			245
			70
			77
			53
			-13
			70
			355
			160
			18
			132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	2938 192 AMERICAN BEACON GLG TR-UTL		2017-06-30	2018-11-15
1	3829 787 JOHN HAN II-ABS RET CURR-R6		2018-01-25	2018-11-15
	2880 403 PIMCO UNCONSTRAINED BD FD INSTL CL*		2013-02-07	2018-11-15
	2910 44 BOSTON P GL LG/SH EQ-INST		2018-01-24	2018-11-15
	RESIDEO TECHNOLOGIES INC			2018-11-19
	2581 557 CHILTON STRATEGIC EUROPEAN EQUITIES FD		2016-12-06	2018-11-26
	1 ALLERGAN PLC		2016-08-10	2018-11-27
	12 ALLERGAN PLC		2014-09-09	2018-11-27
	5 ALLERGAN PLC		2014-08-14	2018-11-28
	3 ALLERGAN PLC		2014-08-14	2018-11-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,527		31,850	-323
35,349		36,000	-651
30,964		32,920	-1,956
32,830		35,449	-2,619
20			20
24,215		25,895	-1,680
151		252	-101
1,822		2,867	-1,045
767		1,072	-305
464		640	-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-323
			-651
			-1,956
			-2,619
			20
			-1,680
			-101
			-1,045
			-305
			-176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ALLERGAN PLC		2014-08-14	2018-11-29
1 2944 063 AMER BCN NUM INTEGR ALP-ULT		2016-12-06	2018-11-30
472 765 AMER BCN NUM INTEGR ALP-ULT		2018-07-11	2018-11-30
1565 041 DODGE & COX INCOME FUND		2017-11-20	2018-12-11
943 19 DODGE & COX INCOME FUND		2018-01-25	2018-12-11
1 EASTMAN CHEM CO		2016-02-22	2018-12-11
4 EASTMAN CHEM CO		2016-02-22	2018-12-11
1 EASTMAN CHEM CO		2016-02-23	2018-12-11
16 LAUDER ESTEE COS INC CL A		2018-08-23	2018-12-11
2 EASTMAN CHEM CO		2016-02-23	2018-12-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
311		426	-115
28,587		30,000	-1,413
4,591		4,600	-9
20,846		21,613	-767
12,563		12,950	-387
75		64	11
295		258	37
73		63	10
2,227		2,136	91
146		127	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-115
			-1,413
			-9
			-767
			-387
			11
			37
			10
			91
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 EASTMAN CHEM CO		2016-02-23	2018-12-12
1 5 EASTMAN CHEM CO		2016-02-23	2018-12-12
4 EASTMAN CHEM CO		2016-02-23	2018-12-13
1 EASTMAN CHEM CO		2016-02-23	2018-12-13
4 EASTMAN CHEM CO		2016-02-23	2018-12-14
3 EASTMAN CHEM CO		2016-02-23	2018-12-17
5 PVH CORP		2018-01-29	2018-12-17
1 PVH CORP		2018-01-29	2018-12-17
2 EASTMAN CHEM CO		2016-02-23	2018-12-18
3 EASTMAN CHEM CO		2016-02-23	2018-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
74		63	11
366		316	50
290		253	37
72		63	9
285		253	32
217		190	27
458		780	-322
92		156	-64
144		127	17
215		190	25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			50
			37
			9
			32
			27
			-322
			-64
			17
			25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 PVH CORP		2018-01-29	2018-12-18
1 2 PVH CORP		2018-01-26	2018-12-18
1 PVH CORP		2018-01-26	2018-12-18
1 PVH CORP		2018-01-26	2018-12-19
1 PVH CORP		2018-01-26	2018-12-19
13 GOLDMAN SACHS GROUP INC		2016-02-05	2018-12-21
44 PARSLEY ENERGY INC-CLASS A		2018-05-22	2018-12-21
11 PARSLEY ENERGY INC-CLASS A		2018-05-22	2018-12-21
488 468 CHILTON STRATEGIC EUROPEAN EQUITIES FD		2016-12-06	2018-12-24
190 VANGUARD BD INDEX FD INC		2018-12-11	2018-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
371		623	-252
185		309	-124
93		154	-61
91		154	-63
93		154	-61
2,138		1,916	222
665		1,400	-735
168		349	-181
4,548		4,890	-342
14,887		14,849	38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-252
			-124
			-61
			-63
			-61
			222
			-735
			-181
			-342
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
			4,839

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WARTBURG SEMINARY 333 WARTBURG PLACE Dubuque, IA 52001	NONE	PC	GENERAL	500
ASSOCIATION OF FUNDRAISING PROFESSIONALSP O BOX 1861 DUBUQUE, IA 520041861	NONE	PC	GENERAL	1,000
SISTERS OF ST FRANCIS 3390 WINDSOR AVE DUBUQUE, IA 520011311	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE ARTS COUNCIL 2728 ASBURY ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	500
RED CROSS2400 ASBURY ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
Albrecht Acres 14775 SHERRILL ROAD PO BOX 50 SHERRILL, IA 52023	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE COUNTY HISTORICAL SOCIETY 350 E 3RD STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
LINWOOD CEMETERY ASSOC 2735 WINDSOR DUBUQUE, IA 52001	NONE	NC	GENERAL	500
BOYS AND GIRLS CLUB OF GREATER DUBUQUE1299 LOCUST DUBUQUE, IA 52001	NONE	PC	GENERAL	2,500
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CARNEGIE-STOUT PUBLIC LIBRARY FOUNDATIONPO BOX 27 DUBUQUE, IA 520040027	NONE	PC	GENERAL	1,000
HILLS AND DALES1011 DAVIS STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
FOUR MOUNDS FOUNDATION 4900 PERU ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST MARKS YOUTH ENRICHMENT 1201 LOCUST ST Dubuque, IA 52001	NONE	PC	GENERAL	1,000
DIVINE WORD SEMINARY 107 JACOBY DR SW EPWORTH, IA 52045	NONE	PC	GENERAL	500
WAHLERT HIGH SCHOOL TRAP CLUB 2005 KANE STREET Dubuque, IA 52001	NONE	PC	GENERAL	2,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRI-STATE INDEPENDENT BLIND SOC3333 ASBURY DUBUQUE, IA 52002	NONE	PC	GENERAL	500
JR ACHIEVEMENT TRISTATES INC 900 JACKSON ST MAIL BOX 2F Dubuque, IA 52001	NONE	PC	GENERAL	1,000
UNIVERSITY OF DUBUQUE KLAUER FAMILY SCHOLARSHIP FUND DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE HUMANE SOCIETY 4242 CHAVENELLE ROAD DUBUQUE, IA 52003	NONE	PC	GENERAL	1,500
DUBUQUE MERCY HEALTH FOUNDATION 250 MERCY DRIVE Dubuque, IA 52001	NONE	PC	GENERAL	7,500
MARYS INN MARERNITY HOME P O BOX 3338 Dubuque, IA 520043338	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DUBUQUE RESCUE MISSION 398 MAIN ST Dubuque, IA 52001	NONE	PC	GENERAL	500
STONEHILL CARE CENTER 3485 WINDSOR AVENUE DUBUQUE, IA 52001	NONE	PC	GENERAL	2,000
CATHOLIC CHARITIES OF THE ARCHDIOCESE OF DUBUQUE PO BOX 1300 DUBUQUE, IA 520041300	NONE	PC	GENERAL	1,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST STEPHENS FOOD BANK 3145 CEDAR CREST RIDGE DUBUQUE, IA 52003	NONE	PC	GENERAL	1,000
DUBUQUE MUSEUM OF ART 701 LOCUST STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	2,000
UNITED WAY OF DUBUQUE 215 WEST 6TH STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	26,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COLTS DRUM AND BUGLE CORPS 1101 CENTRAL AVE DUBUQUE, IA 52001	NONE	PC	GENERAL	3,000
FINLEY HEALTH FOUNDATION 350 NORTH GRANDVIEW AVE DUBUQUE, IA 52001	NONE	PC	GENERAL	6,000
ILLINOIS STATE UNIVERSITY CAMPUS BOX 3060 NORMAL, IL 617903060	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LUTHER MANOR3131 HILLCREST RD WAUWATOSA, IA 52001	NONE	PC	GENERAL	1,000
SISTERS OF CHARITYPO BOX 858 DUBUQUE, IA 520040858	NONE	PC	GENERAL	1,000
Greater Dubuque Development Corporation 900 JACKSON ST SUITE 109 Dubuque, IA 52001	NONE	PC	GENERAL	2,400
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST VINCENT DE PAUL SOCIETY 4990 RADFORD CT DUBUQUE, IA 52003	NONE	PC	GENERAL	1,000
GRAND OPERA HOUSE 135 W 8TH STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	3,000
DUBUQUE SYMPHONY ORCHESTRA 2728 ASBURY RD SUITE 900 DUBUQUE, IA 52001	NONE	PC	GENERAL	2,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CLARKE University1550 CLARKE DRIVE DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
LORAS COLLEGE1450 ALTA VISTA ST DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
UNIVERSITY OF NOTRE DAME SCHOLARSHIPS115 MAIN BUILDING NOTRE DAME, IA 465565602	NONE	PC	GENERAL	5,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF IOWA FOUNDATION PO BOX 4550 IOWA CITY, IA 522444550	NONE	PC	GENERAL	500
TERESA SHELTER OPENING DOORS 1111 BLUFF ST DUBUQUE, IA 52001	NONE	PC	GENERAL	500
HOLY FAMILY CATHOLIC SCHOOLS 2005 KANE DUBUQUE, IA 52001	NONE	PC	GENERAL	10,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IOWA COLLEGE FOUNDATION 505-5TH AVE SUITE 1034 Des Moines, IA 50309	NONE	PC	GENERAL	2,500
SALVATION ARMYPO BOX 416 DUBUQUE, IA 520040416	NONE	PC	GENERAL	400
COMMUNITY FOUNDATION OF GREATER DUBUQUE 700 LOCUST ST SUITE 195 DUBUQUE, IA 52001	NONE	PC	GENERAL	11,000
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MARIA HOUSE OPENING DOORS 1561 JACKSON STREET DUBUQUE, IA 52001	NONE	PC	GENERAL	500
DUBUQUE ARBORETUM AND BOTANICAL GARDENS MARSHALL PARK DUBUQUE, IA 52001	NONE	PC	GENERAL	500
SHALOM RETREAT CENTER1001 DAVIS DUBUQUE, IA 52001	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				146,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HILLCREST FAMILY SERVICES 2005 ASBURY ROAD DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
VISITING NURSE ASSOC1454 IOWA ST DUBUQUE, IA 52001	NONE	PC	GENERAL	1,000
VETERANS FREEDOM CENTER 2245 KERPER BLVD SUITE 1 DUBUQUE, IA 52001	NONE	PC	GENERAL	500
Total . . . . ▶ **3a**				146,800

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Expenditure Responsibility Statement

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Linwood Cemetery Association	2735 WINDSOR DUBUQUE, IA 52001	2018-05-09	500	Cemetery beautification			See attached Form 8275-R		

TY 2018 Investments Corporate Bonds Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
258620103 DOUBLELINE TOTL RET		
921937603 VANGUARD TOTAL BOND	103,474	103,376
922031810 VANGUARD INTM TRM IN		
64128K868 NEUBERGER BERMAN HI		
77956H872 T ROWE PR EMERG MKTS		
256210105 DODGE & COX INCOME F		
64128K579 NB HIGH INCOME BOND-		
77956H534 T ROWE PR EMERG MKTS		
92203J308 VANGUARD TOTAL INTL	132,824	132,022
722005816 PIMCO INVESTMENT GRA	67,500	66,230
921937827 VANGUARD SHORT-TERM	47,231	47,535
54401E143 LORD ABBETT SHRT DUR	63,930	63,930
83002G108 SIX CIRCLES ULTRA SH	32,210	32,081

TY 2018 Investments Corporate Stock Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2824100 ABBOTT LABORATORIES		
15351109 ALEXION PHARMACEUTICA		
23135106 AMAZON.COM INC		
26874784 AMERICAN INTERNATIONA		
32511107 ANADARKO PETROLEUM CO		
32654105 ANALOG DEVICES INC		
37833100 APPLE INC		
60505104 BANK OF AMERICA CORP		
64058100 BANK OF NEW YORK MELL		
101137107 BOSTON SCIENTIFIC CO	3,148	5,301
110122108 BRISTOL-MYERS SQUIBB	2,777	3,587
125896100 CMS ENERGY CORP		
143658300 CARNIVAL CORP		
151020104 CELGENE CORP	887	1,602
172967424 CITIGROUP INC	4,125	5,466
254687106 WALT DISNEY CO/THE	6,955	7,895
254709108 DISCOVER FINANCIAL S		
256206103 DODGE & COX INTL STO	58,177	58,430
260543103 DOW CHEMICAL CO/THE		
277432100 EASTMAN CHEMICAL CO		
369550108 GENERAL DYNAMICS COR	3,990	3,930
369604103 GENERAL ELECTRIC CO		
375558103 GILEAD SCIENCES INC	2,019	1,376
416515104 HARTFORD FINANCIAL S		
416649309 HARTFORD CAPITAL APP		
437076102 HOME DEPOT INC	1,232	6,186
438516106 HONEYWELL INTERNATIO	2,379	7,795
444859102 HUMANA INC		
452327109 ILLUMINA INC		
464287465 ISHARES MSCI EAFE IN	190,596	188,096

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464287499 ISHARES RUSSELL MIDC		
493267108 KEYCORP	2,900	3,074
494368103 KIMBERLY-CLARK CORP		
500754106 THE KRAFT HEINZ CO		
512807108 LAM RESEARCH CORP		
526107107 LENNOX INTERNATIONAL		
532457108 ELI LILLY & CO	3,783	5,670
548661107 LOWE'S COS INC	818	3,879
571748102 MARSH & MCLENNAN COS		
573284106 MARTIN MARIETTA MATE		
574599106 MASCO CORP		
594918104 MICROSOFT CORP	4,291	19,095
609207105 MONDELEZ INTERNATION	2,294	4,003
617446448 MORGAN STANLEY	3,603	6,741
666807102 NORTHROP GRUMMAN COR	3,987	3,918
674599105 OCCIDENTAL PETROLEUM	6,592	6,322
693506107 PPG INDUSTRIES INC		
713448108 PEPSICO INC	5,287	6,076
717081103 PFIZER INC	7,084	8,948
723787107 PIONEER NATURAL RESO	4,003	3,946
742718109 PROCTER & GAMBLE CO/		
806857108 SCHLUMBERGER LTD		
808513105 SCHWAB (CHARLES) COR	1,591	2,367
854502101 STANLEY BLACK & DECK	3,062	3,951
872540109 TJX COMPANIES INC		
872590104 T-MOBILE US INC		
882508104 TEXAS INSTRUMENTS IN	2,662	4,820
887317303 TIME WARNER INC		
907818108 UNION PACIFIC CORP	4,496	6,082
910047109 UNITED CONTINENTAL H		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
949746101 WELLS FARGO & CO	2,186	5,668
988498101 YUM! BRANDS INC	1,996	2,022
00206R102 AT&T INC		
00724F101 ADOBE INC.	639	4,525
00817Y108 AETNA INC		
00846U101 AGILENT TECHNOLOGIES		
02079K107 ALPHABET INC/CA-CL C	4,449	12,427
02079K305 ALPHABET INC/CA-CL A	1,870	5,225
09062X103 BIOGEN INC	1,248	2,106
09247X101 BLACKROCK INC		
14042Y601 CAPITAL GR NON US EQ	59,959	62,328
16119P108 CHARTER COMMUNICATIO	4,048	4,844
20030N101 COMCAST CORP-CLASS A	1,340	3,167
20605P101 CONCHO RESOURCES INC		
22160K105 COSTCO WHOLESALE COR		
25470M109 DISH NETWORK CORP-A		
26875P101 EOG RESOURCES INC	4,990	5,494
26884L109 EQT CORP		
30303M102 FACEBOOK INC-A	2,025	3,539
31620M106 FIDELITY NATIONAL IN	2,445	3,999
37045V100 GENERAL MOTORS CO		
38141G104 GOLDMAN SACHS GROUP		
46434G822 ISHARES INC MSCI JAP		
46637K513 JPM GLBL RES ENH IND		
4812A2389 JPM US LARGE CAP COR		
55273E822 MFS INTL VALUE-I		
57636Q104 MASTERCARD INC-CLASS	1,301	9,810
58933Y105 MERCK & CO. INC.	3,723	4,508
59156R108 METLIFE INC		
60871R209 MOLSON COORS BREWING		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
65339F101 NEXTERA ENERGY INC	3,366	3,998
65473P105 NISOURCE INC	1,069	2,358
67103H107 O'REILLY AUTOMOTIVE	1,754	2,410
78462F103 SPDR S&P 500 ETF TRU	433,529	584,813
78486Q101 SVB FINANCIAL GROUP	1,454	2,279
89353D107 TRANSCANADA CORP		
90130A101 TWENTY-FIRST CENTURY		
91324P102 UNITEDHEALTH GROUP I	2,178	12,954
92532F100 VERTEX PHARMACEUTICA	470	2,486
92826C839 VISA INC-CLASS A SHA	2,299	3,958
94106B101 WASTE CONNECTIONS IN	1,780	2,673
96208T104 WEX INC	1,472	2,241
98138H101 WORKDAY INC-CLASS A		
G0176J109 ALLEGION PLC	2,011	2,471
G0177J108 ALLERGAN PLC		
G1151C101 ACCENTURE PLC-CL A	2,332	4,089
G51502105 JOHNSON CONTROLS INT		
H1467J104 CHUBB LTD	2,166	5,038
Y09827109 BROADCOM LTD		
002824100 ABBOTT LABORATORIES		
023135106 AMAZON.COM INC	3,306	13,518
026874784 AMERICAN INTERNATION		
032511107 ANADARKO PETROLEUM C		
032654105 ANALOG DEVICES INC	3,331	3,691
037833100 APPLE INC	791	12,619
060505104 BANK OF AMERICA CORP	3,052	7,417
064058100 BANK OF NEW YORK MEL		
084670702 BERKSHIRE HATHAWAY I	6,458	7,146
125509109 CIGNA CORP		
247361702 DELTA AIR LINES INC	2,408	2,246

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
25278X109 DIAMONDBACK ENERGY I	1,764	1,576
256746108 DOLLAR TREE INC	3,175	3,161
26078J100 DOWDUPONT INC	7,602	6,899
41664T719 HARTFORD CAPITAL APP		
45866F104 INTERCONTINENTAL EXC	1,335	1,733
459200101 INTL BUSINESS MACHIN		
46432F842 ISHARES CORE MSCI EA	153,095	134,750
48129C207 JPMORGAN GL RES ENH		
48129C603 JPMORGAN US L/C CORE		
552746349 MFS INTL VALUE-R6	47,285	61,892
595017104 MICROCHIP TECHNOLOGY		
654106103 NIKE INC -CL B		
655844108 NORFOLK SOUTHERN COR	5,051	5,533
67066G104 NVIDIA CORP	5,421	3,204
718172109 PHILIP MORRIS INTERN	5,257	3,472
913017109 UNITED TECHNOLOGIES	2,436	2,130
92210H105 VANTIV INC - CL A		
931427108 WALGREENS BOOTS ALLI		
98956P102 ZIMMER HOLDINGS INC	5,952	5,497
G2709G107 DELPHI TECHNOLOGIES		
G47791101 INGERSOLL-RAND PLC		
G6095L109 APTIV PLC	2,830	2,278
025816109 AMERICAN EXPRESS CO	1,933	1,811
053015103 AUTOMATIC DATA PROCE	3,470	3,147
191216100 COCA-COLA CO/THE	3,252	3,457
285512109 ELECTRONIC ARTS INC	4,226	3,393
315911750 FIDELITY 500 INDEX F	346,657	326,090
701094104 PARKER HANNIFIN CORP	2,499	1,790
744320102 PRUDENTIAL FINANCIAL	2,232	2,283
778296103 ROSS STORES INC	3,128	3,245

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
981558109 WORLDPAY INC CL A	2,749	2,599
11135F101 BROADCOM INC	1,042	7,628
46641Q688 JPM BETABUILDERS DEV	33,452	30,378
46641Q696 JPMORGAN BETABUILDER	66,133	57,848
46641Q712 JPMORGAN BETABUILDER	130,364	118,272
46641Q720 JPMORGAN BETABUILDER	32,570	28,302
56585A102 MARATHON PETROLEUM C	5,653	4,485
64110L106 NETFLIX INC	2,966	2,141
70450Y103 PAYPAL HOLDINGS INC	2,899	2,859
79466L302 SALESFORCE.COM INC	4,372	4,520
83002G306 SIX CIRCLES U.S. UNC	257,710	245,761
83002G405 SIX CIRCLES INTERNAT	128,855	122,228
G5494J103 LINDE PLC	2,409	2,341
G5960L103 MEDTRONIC PLC	3,778	4,184

TY 2018 Investments - Other Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
24525131 AMER BCN NUM INTEGR A			
00771X435 CHILTON STRATEGIC EU			
12628J881 CRM LONG/SHORT OPPOR	AT COST	30,644	28,509
29446A710 EQUINOX FDS TR IPM S	AT COST	33,730	32,144
29446A819 EQUINOX CAMPBELL STR			
72201M487 PIMCO UNCONSTRAINED			
024525131 AMER BCN NUM INTEGR			
024525172 AMERICAN BEACON GLG			
09257V508 BLACKSTONE ALT MULTI	AT COST	56,065	54,514
25264S833 DIAMOND HILL LONG/SH	AT COST	31,850	28,198

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TY 2018 Other Decreases Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Description	Amount
COST BASIS ADJUSTMENT	35
2018 TRANS POSTED IN 2019	4,071
SALES BASIS ADJUSTMENT	22
ROUNDING	15

TY 2018 Other Expenses Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL FEE	15	0		15

TY 2018 Other Increases Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Description	Amount
2017 TRANS POSTED IN 2018	4,172

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TY 2018 Taxes Schedule

Name: THE KLAUER FOUNDATION TRUST XXXXX5009

EIN: 36-6013118

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	58	58		0
FEDERAL TAX PAYMENT - PRIOR YE	3,672	0		0
FEDERAL ESTIMATES - INCOME	4,304	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,724	1,724		0
FOREIGN TAXES ON NONQUALIFIED	65	65		0