

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation ROCK ISLAND REFINING FOUNDATION NORMA L WINKLER TRUSTEE		A Employer identification number 35-6264479	
Number and street (or P.O. box number if mail is not delivered to street address) 945 N PASADENA UNIT 23		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code MESA, AZ 85201		B Telephone number (see instructions) (480) 682-7109	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶\$ 1,798,225		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	11,098	11,098	11,098	
	4 Dividends and interest from securities . . . . .	33,429	33,429	33,429	
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	43,311			
	b Gross sales price for all assets on line 6a _____ 646,613				
	7 Capital gain net income (from Part IV, line 2) . . . . .		43,311		
	8 Net short-term capital gain . . . . .			1,049	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	87,838	87,838	45,576	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	4,250			
	c Other professional fees (attach schedule) . . . . .	6,000			
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	520	520		
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	8,003	8,003		
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	18,773	8,523		0
	25 Contributions, gifts, grants paid . . . . .	98,000			98,000
	26 Total expenses and disbursements. Add lines 24 and 25	116,773	8,523		98,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-28,935			
	b Net investment income (if negative, enter -0-)		79,315		
	c Adjusted net income (if negative, enter -0-) . . . . .			45,576	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .	83,740	17,368	17,368
	2	Savings and temporary cash investments . . . . .	61,810	41,019	41,019
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	383,170	412,578	414,598
	b	Investments—corporate stock (attach schedule) . . . . .	440,306	465,569	548,809
	c	Investments—corporate bonds (attach schedule) . . . . .	127,583	127,260	125,869
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	755,316	693,781	650,562
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,851,925	1,757,575	1,798,225	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .	1,724,741	1,659,326	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	127,184	98,249	
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,851,925	1,757,575		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	1,851,925	1,757,575		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,851,925
2	Enter amount from Part I, line 27a . . . . .	2	-28,935
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,822,990
5	Decreases not included in line 2 (itemize) ▶ _____	5	65,415
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,757,575

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day , yr )	(d) Date sold (mo , day , yr )
<b>1 a</b> SEE ATTACHED - FIDELITY - 1773	P	2018-05-29	2018-12-21
<b>b</b> SEE ATTACHED - FIDELITY - 1773	P	2016-02-21	2018-05-04
<b>c</b> SEE ATTACHED - FIDELITY - 1773	P	2013-12-10	2018-10-15
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 123,162		122,113	1,049
<b>b</b> 337,236		304,794	32,442
<b>c</b> 183,227		176,395	6,832
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(j) F M V as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col (j) over col (k), if any	
<b>a</b>			1,049
<b>b</b>			32,442
<b>c</b>			6,832
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	43,311
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	1,049

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	105,000	2,032,368	0 051664
2016	348,136	2,039,057	0 170734
2015	107,823	2,241,398	0 048105
2014	103,051	2,193,048	0 046990
2013	92,139	2,053,717	0 044865

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0 362358
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 072472
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	1,879,073
<b>5</b> Multiply line 4 by line 3	<b>5</b>	136,180
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	793
<b>7</b> Add lines 5 and 6	<b>7</b>	136,973
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	98,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	1,586
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	1,586
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,586
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	3,036
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	3,036
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>	<b>10</b>	1,450
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <b>▶</b> 1,450 <b>Refunded</b> <b>▶</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>▶</b> \$ _____ (2) On foundation managers <b>▶</b> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>▶</b> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> IN _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>►</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>►</b> ROSE STEWART Telephone no <b>►</b> (480) 682-7109			

Located at **►** 945 N PASADENA UNIT 23 MESA AZ ZIP+4 **►** 85201

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>►</b> <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>►</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>►</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NORMA WINKLER 945 N PASADENA UNIT 23 MESA, AZ 85201	ADMIN DIRECT 000 00	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
<b>(a)</b> Name and address of each person paid more than \$50,000	<b>(b)</b> Type of service	<b>(c)</b> Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,836,687
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	71,001
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	1,907,688
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	1,907,688
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	28,615
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	1,879,073
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	93,954

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	93,954
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	1,586
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,586
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	92,368
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	92,368
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	92,368

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	98,000
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	98,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	98,000

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				92,368
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .				
<b>b</b> From 2014. . . . .				
<b>c</b> From 2015. . . . .				
<b>d</b> From 2016. . . . . 146,040				
<b>e</b> From 2017. . . . . 7,427				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	153,467			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 98,000				
<b>a</b> Applied to 2017, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2018 distributable amount. . . . .				92,368
<b>e</b> Remaining amount distributed out of corpus	5,632			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	159,099			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	159,099			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .				
<b>b</b> Excess from 2015. . . . .				
<b>c</b> Excess from 2016. . . . . 146,040				
<b>d</b> Excess from 2017. . . . . 7,427				
<b>e</b> Excess from 2018. . . . . 5,632				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			▶ <b>3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					11,098
<b>4</b> Dividends and interest from securities. . . .			14	33,429	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	43,311	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .				76,740	11,098
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>		<b>87,838</b>

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

		Yes	No
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<b>1a(1)</b>	<b>No</b>
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1a(2)	No
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<b>1b(1)</b>	<b>No</b>
--------------	-----------

<b>1b(2)</b>	<b>No</b>
--------------	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>	<b>No</b>
--------------	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

1c		No
----	--	----

value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p><b>Sign Here</b></p> <p>*****</p> <hr/> <p>Signature of officer or trustee</p>	<p>2019-05-06</p> <hr/> <p>Date</p>	<p>*****</p> <hr/> <p>Title</p>
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May the IRS discuss this return with the preparer shown below

(see instr.)? ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	SARA E SMITH		2019-05-06		
	Firm's name ► MGA				Firm's EIN ► 27-4082364
	Firm's address ► 3901 W 86TH ST STE 330 INDIANAPOLIS, IN 462681797				Phone no (317) 614-7299

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
500 FESTIVAL21 VIRGINIA AVENUE INDIANAPOLIS, IN 46204		PC	TO SUPPORT THE 500 FESTIVAL	1,000
CENTRAL INDIANA POLICE FOUNDATION 1525 SOUTH SHELBY STREET INDIANAPOLIS, IN 46203		PC	TO SUPPORT INDIANA POLICEMAN	2,500
COBURN PLACE SAFE HAVEN 604 E 38TH ST INDIANAPOLIS, IN 46205		PC	HELPING THOSE IMPACTED BY DOMESTIC	2,500
<b>Total . . . . . ▶ 3a</b>				98,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COLLEGE MENTORS FOR KIDS 212 WEST 10TH STREET INDIANAPOLIS, IN 46202		PC	TO HELP KIDS RECEIVE MENTORS	1,000
CRISTO REY HIGH SCHOOL 75 NORTH BELLEVUE ROAD INDIANAPOLIS, IN 46222		PC	TO SUPPORT THE HIGH SCHOOL	2,500
FAIRBANKS8102 CLEARVISTA PARKWAY INDIANAPOLIS, IN 46256		PC	TO PROVIDE HOPE & RECOVERY	2,500
<b>Total . . . . . ▶ 3a</b>				98,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GLEANERS FOOD BANK OF INDIANA INC 3737 WALDEMERE AVE INDIANAPOLIS, IN 46241		PC	TO HELP PROVIDE FOOD FOR PEOPLE	2,500
HEARTLAND TRULY MOVING PICTURES INC (A/K/A HEARTLAND FILM INC) 1043 VIRGINIA AVE NO 2 INDIANAPOLIS, IN 46203		PC	TO SUPPORT INDIANA FILMMAKERS	5,000
HVAF OF INDIANA INC 964 N PENNSYLVANIA ST INDIANAPOLIS, IN 46204		PC	TO ASSIST HOMELESS	5,000
Total . . . . . ▶ 3a				98,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INDIANA HISTORICAL SOCIETY 450 WEST OHIO STREET INDIANAPOLIS, IN 46202		PC	TO PRESERVE HISTORY	1,000
INDIANAPOLIS LEGAL AID 615 N ALABAMA ST 122 INDIANAPOLIS, IN 46204		PC	LOW INCOME LEGAL SERVICES	2,500
INDIANAPOLIS SYMPHONY ORCHESTRA INC 32 E WASHINGTON ST STE 600 INDIANAPOLIS, IN 462042919		SO III FI	TO SUPPORT THE INDIANA SYMPHONY	5,000
<b>Total . . . . . ▶ 3a</b>				98,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
IVY TECH FOUNDATION 50 W FALL CREEK PKWY N DR INDIANAPOLIS, IN 462085752		PC	TO SUPPORT THE COLLEGE	2,500
LITTLE SISTERS OF THE POOR OF INDIANA INC 2345 W 86TH ST INDIANAPOLIS, IN 462601981		PC	TO SUPPORT ST AUGUSTINE'S HOME	5,000
MARIAN UNIVERSITY 3200 COLD SPRINGS ROAD INDIANAPOLIS, IN 462221997		PC	TO INVEST IN STUDENTS	10,000
<b>Total . . . . . ▶ 3a</b>				98,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MIRACLE PLACE COMMUNITY CENTER 940 N TEMPLE AVE INDIANAPOLIS, IN 46201		PC	TO FUND COMMUNITY CENTER WITH CHILDR	5,000
MORNING DOVE THERAPEUTIC RIDING PO BOX 721 ZIONSVILLE, IN 46077		PC	TO SUPPORT THERAPEUTIC RIDING	2,500
NORTH VALLEY COMMUNITY FOUNDATION 240 MAIN STREET SUITE 260 CHICO, CA 95928		PC	TO AID THOSE AFFECTED BY CAMP FIRE	5,000
<b>Total . . . . . ▶ 3a</b>				98,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RILEY CHILDREN'S FOUNDATION 30 S MERIDIAN STREET SUITE 200 INDIANAPOLIS, IN 46204		PC	TO PROVIDE WORLD-CLASS CARE AND PEDI	1,000
SCHOOL ON WHEELS 2605 EAST 62ND STREET INDIANAPOLIS, IN 46220		PC	HELPING TRANSFORM LIVES	2,000
ST JOSEPH INSTITUTE FOR THE DEAF 1809 CLARKSON CHESTERFIELD, MO 63017		PC	TO SUPPORT LANGUAGE SERVICES	5,000
<b>Total . . . . .</b> ► <b>3a</b>				98,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THOMAS MORE SOCIETY 309 W WASHINGTON STREET SUITE 1250 CHICAGO, IL 60606		PC	TO SUPPORT ANTI-ABORTION	5,000
UNITED WAY OF CENTRAL INDIANA INC PO BOX 88409 INDIANAPOLIS, IN 46208		PC	TO HELP PEOPLE LEARN MORE ABOUT SAFE	10,000
WHITE WATER VALLEY RAILROAD 455 MARKET STREET CONNEERSVILLE, IN 47331		PC	TO SUPPORT THE RAILROAD	12,000
<b>Total . . . . . ▶ 3a</b>				98,000

**TY 2018 Accounting Fees Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPERATION FEES	2,000			
KATZ SAPPER & MILLER	2,250			

**TY 2018 Investments Corporate Bonds Schedule****Name:** ROCK ISLAND REFINING FOUNDATION

NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
DUKE ENERGY CAROLINAS LLC BOND	25,890	25,336
ORACLE CORP NOTE	25,676	25,379
PHILLIP MORRIS INTL INC BOND	25,003	24,989
WELLS FARGO CO MTN BE		
IBM NOTE	25,117	24,421
MICROSOFT CORP NOTE CALL MAKE WHOLE	25,574	25,744

TY 2018 Investments Corporate Stock Schedule

**Name:** ROCK ISLAND REFINING FOUNDATION  
 NORMA L WINKLER TRUSTEE  
**EIN:** 35-6264479

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AETNA INC NEW COM		
AFFILIATED MANAGERS GROUP		
ALLERGAN	18,466	11,361
AMERICAN EXPRESS	10,575	13,821
AMERICAN INTL GROUP INC COM NEW	13,591	8,670
ANHEUSER-BUSCH INBEV SA/NV ADR EAH	6,485	5,594
APPLE INC COM	1,058	13,408
AT&T INC COM	14,122	14,984
BRISTOL MYERS SQUIBB	6,338	6,238
CABOT OIL & GAS CP COM	12,327	13,969
CHART INDS INC COM PAR .01	3,363	6,178
CHECKPOINT	10,853	12,831
CHEVRON CORP NEW COM	2,700	14,034
CITIZENS FINL GROUP INC COM	7,294	10,851
COGNIZANT TECH SOLUTIONS CORP	10,555	10,157
COMPASS MINERALS INTL INC	13,443	8,338
CONOCOPHILLIPS	4,097	5,923
CVS HEALTH CORP COM (CVS)	3,889	11,073
DIAMONDBACK ENERGY INC COM	6,750	5,099
DICKS SPORTING GOODS INC COM		
DISCOVERY INC COM SER C	7,467	10,271
DOMINION ENERGY INC COM	12,754	13,220
DOWDUPONT INC COM	13,265	12,033
ENBRIDGE INC COM	13,175	12,743
EXXON MOBIL CORP COM	10,184	10,910
FIRST SOLAR INC COM	6,051	5,944
GALLAGHER ARTHUR J	8,042	14,003
GARRETT MOTION INC COM	27	111
GENERAL ELECTRIC		
GILEAD SCIENCES INC		



## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HAIN CELESTIAL		
HANESBRANDS INC COM	8,710	9,272
HARTFORD FINL SVCS GROUP INC	9,882	12,668
HONEYWELL INTL INC COM	2,503	12,551
INGREDION INC COM	12,254	11,425
INTL BUSINESS MACHINES	15,934	13,072
IQVIA HLDGS INC COM	8,656	11,036
JOHNSON CONTROLS INTERNATIONAL PLC	14,527	10,378
KEYCORP NEW	10,461	12,489
KROGER CO COM	11,822	14,162
MCKESSON CORP	6,239	5,524
MERCK & CO INC NEW COM	10,609	18,720
MEREDITH CORP	17,565	17,140
MGIC INVST CORP WIS COM	14,529	12,814
NCR CORP	12,948	9,463
NUTRIEN LTD COM NPV	13,145	11,515
ORACLE CORP COM	5,730	14,448
PNC FINL SVCS GROUP INC COM	6,557	12,276
PRINCIPAL FINL GROUP INC COM	8,133	12,147
PULTEGROUP INC		
QUALCOMM INC	11,837	12,520
RESIDIO TECHNOLOGIES INC COM	71	308
STERICYCLE		
SYNAPTICS INC	6,920	6,140
TOPBUILD CORP	5,690	7,875
UNITED TECHNOLOGIES CORP COM	5,719	12,778
VERIZON COMMUNICATIONS	6,608	7,590
WALMART STORES	4,695	6,521
WESTERN DIGITAL CORP		
WOLVERINE WORLD WIDE INC	4,396	9,248

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
ZIMMER BIOMET HLDGS INC COM	12,558	12,965
BOEING		

**TY 2018 Investments Government Obligations Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479

**US Government Securities - End  
of Year Book Value:**

327,137

**US Government Securities - End  
of Year Fair Market Value:**

329,960

**State & Local Government  
Securities - End of Year Book  
Value:**

85,441

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

84,638

**TY 2018 Investments - Other Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE  
**EIN:** 35-6264479

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CALAMOS MARKET NEUTRAL INCOME CL		20,000	19,110
CARILLON REAMS UNCONSTRAINED BOND I		40,018	39,256
DBX ETF TR XTRACK MSCI EAFE		22,905	19,809
DIAMOND HILL LONG/SHRT FUND CL I		30,018	27,032
FIDELITY ADVISOR REAL ESTATE INCOME		20,000	18,268
GABELLI ABC FUND - ADVISOR CLASS		30,018	29,205
ISHARES CORE S&P MID CAP		50,118	45,666
ISHARES CORE S&P SMALL-CAP		49,971	47,484
ISHARES S&P MID CAP 400 GROWTH		50,204	45,895
ISHARES TIPS BOND ETF		34,552	33,401
ISHARES TR MSCI ACWI EX US		77,805	65,877
JP MORGAN - BACKED SECURITIES FUND		55,882	52,920
OMEGA HEALTHCARE INVS INC COM		8,144	16,802
PNC INTERNATIONAL EQUITY FD INSTL		50,684	43,690
SIMON PPTY GRP INC		12,808	13,439
SPDR SERIES TRUST BLMBRG BRC CNVRT		10,056	9,124
STONE RIDGE HIGH YLD REINSRNCE RSK		20,518	20,190
VANGUARD GNMA FUND		25,835	23,927
VANGUARD HI YIELD CORPORATE ADMIRAL			
VANGUARD INDEX FDS VANGUARD REIT			
VIRTUS SEIX FLOATING RATE HIGH INCOM			
WELLS FARGO ADVTG SHORT-TERM HIGH		35,644	34,310
MAINSTAY MACKAY CONVERTIBLE CL I		19,600	18,819
ISHARES S&P 500 ETF		19,076	17,613
VANGUARD REAL ESTATE ETF		9,925	8,725

**TY 2018 Other Decreases Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479

Description	Amount
NET CHANGE IN COST BASIS	65,415

**TY 2018 Other Expenses Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	8,003	8,003		

**TY 2018 Other Professional Fees Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING FEES	6,000			

**TY 2018 Taxes Schedule**

**Name:** ROCK ISLAND REFINING FOUNDATION  
NORMA L WINKLER TRUSTEE

**EIN:** 35-6264479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	520	520		