Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
THE DOROTHY B FRANCIS CHARITABLE FOUNDATION

A Employer identification number
35-2235189

Number and street (or P O box number if mail is not delivered to street address) 8044 MONTGOMERY ROAD
Room/suite

B Telephone number (see instructions)
(513) 794-1900

City or town, state or province, country, and ZIP or foreign postal code
CINCINNATI, OH 45236

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 5,292,504

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	881	881	881	
	4	Dividends and interest from securities	230,146	230,146	230,146	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	146,145			
	b	Gross sales price for all assets on line 6a 326,792				
	7	Capital gain net income (from Part IV, line 2)		146,145		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,931	1,931	1,931	
	12	**Total.** Add lines 1 through 11	379,103	379,103	232,958	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	25,923			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,183			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	55,563	46,120	46,120	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	83,669	46,120	46,120	0
	25	Contributions, gifts, grants paid	218,500			218,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	302,169	46,120	46,120	218,500
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	76,934			
	b	**Net investment income** (if negative, enter -0-)		332,983		
	c	**Adjusted net income** (if negative, enter -0-)			186,838	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	352	386	385
2	Savings and temporary cash investments	54,260	26,544	26,544
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	3,963,891	3,999,654	5,265,575
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,018,503	4,026,584	5,292,504
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	4,018,503	4,026,584	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	4,018,503	4,026,584	
31	**Total liabilities and net assets/fund balances** (see instructions)	4,018,503	4,026,584	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,018,503
2	Enter amount from Part I, line 27a	2	76,934
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,095,437
5	Decreases not included in line 2 (itemize) ▶	5	68,853
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,026,584

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	146,145
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-5,295

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017			
2016			
2015			
2014			
2013			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	6,660
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2.			3	6,660
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	6,660
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	7,518		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	7,518
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	31
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	827
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 827 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ALEX & COMPANY CPAS Telephone no ▶(513) 794-1900

Located at ▶8044 MONTGOMERY ROAD 420 CINCINNATI OH ZIP+4 ▶45236

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	5,693,494
b	Average of monthly cash balances		1b	77,393
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	5,770,887
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	5,770,887
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	86,563
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	5,684,324
6	**Minimum investment return.** Enter 5% of line 5		6	284,216

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	284,216
2a	Tax on investment income for 2018 from Part VI, line 5	2a 6,660		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	6,660
3	Distributable amount before adjustments Subtract line 2c from line 1		3	277,556
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	277,556
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	277,556

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	218,500
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	218,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	218,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					277,556
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2018					
a From 2013.					
b From 2014.					
c From 2015.	10,207				
d From 2016.	7,710				
e From 2017.	13,015				
f **Total** of lines 3a through e.		30,932			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 218,500					
a Applied to 2017, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					218,500
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*		30,932			30,932
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					28,124
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2014. . . .					
b Excess from 2015. . . .					
c Excess from 2016. . . .					
d Excess from 2017. . . .					
e Excess from 2018. . . .					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

DOROTHY B FRANCIS FOUNDATION
8044 MONTGOMERY ROAD STE 420
CINCINNATI, OH 45236
(513) 794-1900

b The form in which applications should be submitted and information and materials they should include

ANY FORM IS ACCEPTABLE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14		881
4 Dividends and interest from securities. . . .			14		230,146
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					146,145
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Buckeye Partners LP				1,931	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				1,931	377,172

13 Total. Add line 12, columns (b), (d), and (e). 13 379,103

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2019-11-14 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
David J Alex				P00009212
Firm's name ▶ Alex & Company CPAs				Firm's EIN ▶31-1710612
Firm's address ▶ 8044 Montgomery Road Suite 720 Cincinnati, OH 45236				Phone no (513) 794-1900

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 BOEING COMPANY	P	2012-04-30	2018-02-27
1 ALPINE TOTAL DYNAMIC	P	2018-05-01	2018-05-11
APPLE INC	P	2011-02-22	2018-06-12
APPLE INC	P	2011-04-15	2018-06-12
APPLE INC	P	2011-06-16	2018-06-12
BOEING COMPANY	P	2012-04-30	2018-06-12
CSX CORP	P	2009-03-20	2018-06-12
CSX CORP	P	2009-09-16	2018-06-12
CSX CORP	P	2010-03-16	2018-06-12
CSX CORP	P	2010-12-16	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,296		3,814	14,482
		4	-4
6,701		1,703	4,998
6,701		1,650	5,051
1,532		366	1,166
20,010		4,119	15,891
7,103		880	6,223
201		47	154
201		51	150
201		55	146

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14,482
			-4
			4,998
			5,051
			1,166
			15,891
			6,223
			154
			150
			146

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	CSX CORP	P	2011-06-16	2018-06-12
1	CSX CORP	P	2011-06-16	2018-06-12
	CSX CORP	P	2011-09-16	2018-06-12
	CSX CORP	P	2011-09-16	2018-06-12
	CSX CORP	P	2011-12-16	2018-06-12
	CSX CORP	P	2011-12-16	2018-06-12
	CSX CORP	P	2012-03-16	2018-06-12
	CSX CORP	P	2012-03-16	2018-06-12
	CSX CORP	P	2012-04-30	2018-06-12
	EATON VANCE TAX-MANAGED GLOBAL	P	2012-04-12	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
67		57	10
67		24	43
67		23	44
67		21	46
67		21	46
67		20	47
67		21	46
67		22	45
1,809		601	1,208
4,233		1,965	2,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			43
			44
			46
			46
			47
			46
			45
			1,208
			2,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	EATON VANCE TAX-MANAGED GLOBAL	P	2012-07-13	2018-06-12
1	EATON VANCE TAX-MANAGED GLOBAL	P	2012-10-11	2018-06-12
	EATON VANCE TAX-MANAGED GLOBAL	P	2012-10-11	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-02	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-02	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-02	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-01-12	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,660		2,034	2,626
12		6	6
1,067		551	516
17		18	-1
580		637	-57
66		73	-7
17		18	-1
497		557	-60
149		167	-18
1,043		1,338	-295

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,626
			6
			516
			-1
			-57
			-7
			-1
			-60
			-18
			-295

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	BLACKROCK CAP&INC STRAT	P	2007-01-25	2018-06-12
1	BLACKROCK CAP&INC STRAT	P	2007-04-04	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-05-02	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-07-12	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-07-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-10-18	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-10-25	2018-06-12
	EATON VANCE TAX-MANAGED	P	2010-12-10	2018-06-12
	EATON VANCE TAX-MANAGED	P	2010-12-14	2018-06-12
	EATON VANCE TAX-MANAGED	P	2011-01-11	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,259		1,234	25
1,176		1,383	-207
1,159		1,164	-5
1,225		1,433	-208
1,043		1,147	-104
1,126		1,165	-39
662		741	-79
1,498		990	508
212		144	68
2,496		1,649	847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			25
			-207
			-5
			-208
			-104
			-39
			-79
			508
			68
			847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	EATON VANCE TAX-MANAGED	P	2011-01-12	2018-06-12
1	EATON VANCE TAX-MANAGED	P	2011-01-14	2018-06-12
	EATON VANCE TAX-MANAGED	P	2010-12-20	2018-06-12
	EATON VANCE TAX-MANAGED	P	2010-12-23	2018-06-12
	EATON VANCE TAX-MANAGED	P	2011-01-19	2018-06-12
	GENERAL ELECTRIC	P	2006-01-26	2018-06-12
	GENERAL ELECTRIC	P	2008-06-18	2018-06-12
	GENERAL ELECTRIC	P	2008-07-07	2008-06-12
	GENERAL ELECTRIC	P	2008-08-16	2018-06-12
	GENERAL ELECTRIC	P	2011-02-14	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,744		2,457	1,287
2,034		1,335	699
2,570		1,552	1,018
4,777		2,985	1,792
2,684		1,787	897
1,409		3,356	-1,947
662		1,371	-709
747		1,573	-826
28		60	-32
28		43	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,287
			699
			1,018
			1,792
			897
			-1,947
			-709
			-826
			-32
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GENERAL ELECTRIC	P	2011-02-26	2018-06-12
1 GENERAL ELECTRIC	P	2011-03-30	2018-06-12
GENERAL ELECTRIC	P	2011-04-06	2018-06-12
GENERAL ELECTRIC	P	2011-04-26	2018-06-12
GENERAL ELECTRIC	P	2011-07-26	2018-06-12
GENERAL ELECTRIC	P	2011-10-26	2018-06-12
GENERAL ELECTRIC	P	2011-10-26	2018-06-12
GENERAL ELECTRIC	P	2012-01-26	2018-06-12
GENERAL ELECTRIC	P	2012-01-26	2018-06-12
GENERAL ELECTRIC	P	2012-04-26	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,113		1,736	-623
747		1,119	-372
902		1,311	-409
42		60	-18
42		57	-15
14		20	-6
42		49	-7
14		17	-3
42		58	-16
42		58	-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-623
			-372
			-409
			-18
			-15
			-6
			-7
			-3
			-16
			-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	GENERAL ELECTRIC	P	2012-04-30	2018-06-12
1	GENERAL ELECTRIC	P	2012-07-26	2018-06-12
	GENERAL ELECTRIC	P	2012-07-26	2018-06-25
	GENERAL ELECTRIC	P	2016-11-02	2018-06-12
	GENERAL ELECTRIC	P	2017-07-25	2018-06-12
	MONSANTO CO NEW DEL COM	P	2008-01-23	2018-06-07
	MONSANTO CO NEW DEL COM	P	2008-10-06	2018-06-07
	MONSANTO CO NEW DEL COM	P	2010-04-14	2018-06-07
	MONSANTO CO NEW DEL COM	P	2010-05-12	2018-06-07
	MARATHON PETROLEUM CORP	P	2011-08-24	2018-06-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,135		29,245	-8,110
225		325	-100
13		19	-6
12,329		25,109	-12,780
5,523		9,988	-4,465
12,544		9,400	3,144
12,800		7,000	5,800
12,800		6,672	6,128
12,800		5,740	7,060
15,203		3,529	11,674

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8,110
			-100
			-6
			-12,780
			-4,465
			3,144
			5,800
			6,128
			7,060
			11,674

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	TEXAS INSTRUMENTS	P	2012-04-30	2018-06-12
1	VALERO ENERGY CORP NEW	P	2012-04-30	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2006-05-17	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-01-12	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-01-12	2018-06-12
	BLACKROCK CAP&INC STRAT	P	2007-01-12	2018-06-12
	ENERGY TFR OPERATING	P	2017-05-05	2018-10-24
	CSX CORP	P	2012-04-30	2018-11-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,900		4,163	10,737
29,872		5,662	24,210
149		167	-18
17		19	-2
132		148	-16
1,043		1,338	-295
397		510	-113
646		828	-182
7,960		2,494	5,466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,737
			24,210
			-18
			-2
			-16
			-295
			-113
			-182
			5,466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	ELI LILLY & CO	P	2011-03-18	2018-11-28
1	ELI LILLY & CO	P	2011-03-21	2018-11-28
	ELI LILLY & CO	P	2011-04-14	2018-11-28
	MERCK AND CO INC SHS	P	2010-04-14	2018-11-28
	MERCK AND CO INC SHS	P	2010-05-21	2018-11-28
	NEXTERA ENERGY INC	P	2010-12-29	2018-11-28
	ANTHEM INC	P	2010-09-21	2018-11-28
	UNITEDHEALTH GROUP INC	P	2008-06-16	2018-11-28
	BUCKEYE PARTNERS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,395		719	1,676
11,407		3,459	7,948
6,160		1,924	4,236
2,758		1,300	1,458
5,286		2,197	3,089
8,003		2,336	5,667
12,846		2,535	10,311
8,278		904	7,374

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,676
			7,948
			4,236
			1,458
			3,089
			5,667
			10,311
			7,374

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRUCE LAZARUS 8044 MONTGOMERY RD STE 720 CINCINNATI, OH 45236	Director 1 00	0		
CAROLYN McCLINTOCK 8044 MONTGOMERY RD STE 720 CINCINNATI, OH 45236	Executive Dir 20 00	25,923		
DAVID J ALEX 8044 MONTGOMERY RD STE 720 CINCINNATI, OH 45236	President 1 00	0		
DAIN PAIGE 8044 MONTGOMERY RD STE 720 CINCINNATI, OH 45236	Treasurer 1 00	0		
VIRGINIA CLARK 8044 MONTGOMERY RD STE 720 CINCINNATI, OH 45236	Vice President 1 00	0		
TAMMY PATTON 8044 MONTGOMERY RD STE 720 CINCINNATI, OH 45236	Director 1 00	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ONE WAY FARM OF FAIRFIELD INC 6131 RIVER ROAD PO BOX 18637 FAIRFIELD, OH 45018	NONE		GENERAL CHARITABLE	2,500
CARACOLE4138 HAMILTON AVE CINCINNATI, OH 45223	NONE		GENERAL CHARITABLE	10,000
PETS IN NEED OF GREATER CINCINNATI 520 W WYOMING AVE CINCINNATI, OH 45215	NONE		GENERAL CHARITABLE	5,000
Total . . . . ▶ **3a**				218,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IMAGO EARTH CENTER 700 ENRIGHT AVE CINCINNATI, OH 45205	NONE		GENERAL CHARITABLE	7,500
ARC OF APPALACHIA7660 CAVE ROAD BAINBRIDGE, OH 45612	NONE		GENERAL CHARITABLE	12,000
BETHANY HOUSE1841 FAIRMONT AVE CINCINNATI, OH 45214	NONE		GENERAL CHARITABLE	25,000
Total . . . . ▶ **3a**				218,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OHIO VALLEY VOICES 6642 BRANCH HILL - GUINEA PIKE LOVELAND, OH 45140	NONE		GENERAL CHARITABLE	10,000
OYLER MENTORING PROGRAM 2121 HATMAKER STREET CINCINNATI, OH 45204	NONE		GENERAL CHARITABLE	5,000
LANE 8 FUND6381 TIMBERHILL COURT CINCINNATI, OH 45223	NONE		GENERAL CHARITABLE	2,000
Total . . . . ▶ **3a**				218,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GLSEN GREATER CINCINNATI PO BOX 19856 CINCINNATI, OH 45219	NONE		GENERAL CHARITABLE	15,000
CHILDHOOD FOOD SOLUTIONS 2573 ST LEO PLACE CINCINNATI, OH 45225	NONE		GENERAL CHARITABLE	30,000
BRICK GARDENS4721 READING RD CINCINNATI, OH 45237	NONE		GENERAL CHARITABLE	8,500
Total . . . . ▶ **3a**				218,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF COWAN LAKE STATE PARK 1750 OSBORN RD WILMINGTON, OH 45177	NONE		GENERAL CHARTITABLE	5,000
FRIENDS OF METRO PARKS 1069 W MAIN ST WESTERVILLE, OH 43081	NONE		GENERAL CHARITABLE	5,000
EDUCATIONAL THEATRE ASSOCIATION 4805 MONTGOMERY RD CINCINNATI, OH 45212	NONE		GENERAL CHARITABLE	12,000
Total . . . . ▶ **3a**				218,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CLERMONT COUNTY CARE HUMANE SOCIETY 4025 FILAGER RD BATAVIA, OH 45103	NONE		GENERAL CHARITABLE	19,000
CLERMONT COUNTY CHAMBER OF COMMERCE 4355 FERGUSON DR STE 150 CINCINNATI, OH 45245	NONE		GENERAL CHARITABLE	5,000
UCAN2830 COLERAIN AVE CINCINNATI, OH 45225	NONE		GENERAL CHARITABLE	10,000
Total . . . . ▶ **3a**				218,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OAR5619 ORLANDO PL CINCINNATI, OH 45227	NONE		GENERAL CHARITABLE	15,000
GREAT PARKS FOREVER 10245 WINTON ROAD CINCINNATI, OH 45231	NONE		GENERAL CHARITABLE	10,000
HELP1600 MADISON RD CINCINNATI, OH 45206	NONE		GENERAL CHARITABLE	5,000
Total . . . . ▶ **3a**				218,500

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318012309

TY 2018 Investments Corporate Stock Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE FOUNDATION

EIN: 35-2235189

Software ID: 18007218

Software Version: 2018v3.1

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PROCTER & GAMBLE - 808.6067 SHRS	58,540	86,277
CONOCOPHILLIPS-800.2564 SHARES	38,360	49,896
DEERE CO- 500.6257 SHARES	31,324	74,678
INTEL CORP- 1628.6402 SHARES	43,914	76,432
MARATHON OIL CORP- 2,923.8342 SHARES	69,414	41,928
VERIZON COMMUNICATIONS COM- 1530.6474 SH	78,009	98,703
GENERAL ELECTRIC - 2808.9932		
WELLS FARGO & CO NEW DEL - 600.4034 SHS	23,635	27,667
COHEN & STEERS CLOSED END OPPTY FUND INC	114,542	93,389
AT&T INC - 2162.0778 SHARES	75,372	69,554
CATERPILLAR INC - 500.3709 SHARES	28,250	63,582
COHEN & STEERS SELECT INFRASTRUCTURE	67,649	74,614
EATON VANCE TX-AD GL OPP	83,802	74,198
CLOUGH GLBL OPPTYS	114,079	76,662
BLACKROCK CAP&INC	77,181	92,323
MONSANTO CO NEW DEL COM - 398 SHARES		
PFIZER INC- 1,119.6148 SHARES	19,465	48,871
UNITEDHEALTH GROUP INC - 400 SHARES	23,824	92,174
EATON VANCE TAX MAN GLB	78,257	84,445
AFLAC INC - 1,025.0676 SHARES	36,049	93,404
AMERICAN FINL GRP HLDGS - 1,028.8448 SHS	23,405	84,088
BOEING COMPANY - 609.6471 SHARES	23,966	101,474
CSX CORP - 1829.5448 SHARES	30,559	85,276
CHEVRON CORP - 100 SHARES	6,452	10,879
BUCKEYE PARTNERS LP - 1,795.9326 SHARES	75,563	45,251
WAL-MART STORES INC - 1,026.2949 SHARES	64,300	106,777
TCW TOTAL RETURN BOND FD CL I	71,381	71,465
BERKSHIRE HATHAWAYINC - 500 SHARES	36,375	102,090
BRISTOL-MYERS SQUIBB CO - 1599.4407 SHS	38,510	76,901
COCA COLA COM - 800 SHARES	28,443	37,880

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EXXON MOBIL CORP - 600 SHARES	40,666	40,914
JPMORGAN CHASE & CO - 824.2361 SHARES	28,756	70,700
ELI LILLY & CO - 1229.2493 SHARES	34,497	107,070
MERCK AND CO INC SHS - 1,564.5873 SHARES	43,088	98,996
NEXTERA ENERGY INC SHS - 738.9457 SHS	31,428	98,373
PPL CORPORATION - 1,619.3661 SHARES	38,780	45,877
TEXAS INSTRUMENTS - 1303.8837 SHARES	30,292	89,386
ENERGY TRANSFER PTNRS LP - 3500.1047 SH	153,728	83,701
ANTHEM INC - 400 SHARES	19,695	93,234
EATON VANCE TAX-MANAGED DIVER EQTY INCM	77,313	90,301
EATON VANCE TAX-MNGD GLBL DIV EQTY INCM	76,331	83,753
AXIS CAPITAL HOLDINGS LTD - 1565.0894 SH	45,490	73,075
PROLOGIS INC - 800.546 SHARES	23,738	47,008
APPLE INC - 700 SHARES	40,684	98,114
CISCO SYSTEMS INC - 2017.3744 SHARES	38,740	87,413
FORD MOTOR CO - 3201.7772 SHARES	36,438	24,494
JOHNSON AND JOHNSON COM - 422.0312 SHS	35,838	65,045
MARATHON PETROLEUM CORP - 2001.7142 SHS	23,876	82,656
NATIONAL GRID PLC SP ADR - 1,200 SHS	54,505	52,778
VALERO ENERGY CORP NEW - 1566.1165 SHS	23,229	77,228
DOUBLELINE TOTAL RETURN BOND FUND CL I	83,196	78,442
DUKE ENERGY CORP NEW - 1121.1777 SHARES	51,205	96,758
PHILLIPS 66 SHS - 549.0803 SHARES	15,398	47,303
ABBVIE INC SHS - 842.4981 SHARES	20,583	77,670
INTL BUSINESS MACHINES CORP IBM - 365 SH	59,874	41,490
ORACLE CORP - 1,000 SHARES	32,937	45,150
AMN ELEC POWER CO - 800 SHARES	38,332	59,792
BAXTER INTERNATIONAL INC - 500 SHARES	19,548	32,910
KINDER MORGAN INC - 1781 SHARES	73,974	27,392
TCW EMERGING MARKETS INCOME I SHARE	70,974	65,364

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS STRATEGIC INCOME FUND CL I	58,697	50,778
JP MORGAN STRATEGIC INC OPP FUND	83,817	80,176
PRINCIPAL GLOBAL DIV INCOME FUND CL P	78,677	73,835
FIRST ENERGY CORP - 1400 SHARES	48,132	52,570
OCCIDENTAL PETE CORP CAL-700 SHARES	45,591	36,828
TORONTO DOMINION BANK - 1100 SHARES	50,751	54,692
SHIRE PLC-ADR	14,063	12,879
GUGGENHEIMMACRO OPPORTUNITIES FUND INSTL	54,072	53,716
BLACKROCK MULTI ASSET INCOME PORTFOLIO	83,546	79,599
AMER FUNDS INCOME FUND OF AMERICA CL F2	86,288	84,254
AMERICAN CAPITAL INCOME BUILDER FD CL F2	83,068	81,775
CHUBB LTD.	40,181	82,758
ARCHER DANIELS MIDLD	10,477	10,243
KROGER CO.	23,666	27,500
ALLIANZGI NFJ DIVID	81,686	69,452
ALPINE TOTAL DYNAMIC	83,932	70,528
FEDERATED STRATEGIC INCOME FUND CL I	29,039	24,362
JANUS HENDERSON GLOBAL EQUITY INCOME	81,153	63,359
LORD ABBETT SHORT	63,664	61,363
THORNBURG INVESTMENT INCOME BUILDER FUND	65,055	63,053
RMR REAL ESTATE	10,346	8,590

TY 2018 Other Expenses Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE FOUNDATION

EIN: 35-2235189

Software ID: 18007218

Software Version: 2018v3.1

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOARD MEETING EXPENSES	199			
EMPLOYEE BENEFITS	5,728			
INSURANCE EXPENSES	3,516			
INVESTMENT ACCOUNT FEE	46,120	46,120	46,120	

TY 2018 Other Income Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE FOUNDATION

EIN: 35-2235189

Software ID: 18007218

Software Version: 2018v3.1

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Buckeye Partners LP	1,931		

TY 2018 Taxes Schedule

Name: THE DOROTHY B FRANCIS CHARITABLE FOUNDATION

EIN: 35-2235189

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	1,983			
STATE OF OHIO FILING FEE	200			