

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

| | | | |
|--|--|--|--|
| Name of foundation DBJ FOUNDATION | | A Employer identification number 34-1661482 | |
| Number and street (or P O box number if mail is not delivered to street address) 1301 EAST 9TH STREET | | Room/suite | |
| | | B Telephone number (see instructions) (216) 928-2981 | |
| City or town, state or province, country, and ZIP or foreign postal code CLEVELAND, OH 44114 | | | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>19,880,736</u> | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i> | |
| | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |

| Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 199 | 199 | | |
| | 4 Dividends and interest from securities | 579,131 | 579,131 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 928,735 | | | |
| | b Gross sales price for all assets on line 6a | 12,109,704 | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 928,735 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | 1,508,065 | 1,508,065 | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | 6,612 | 3,306 | | 3,306 |
| | c Other professional fees (attach schedule) | 188,738 | 69,212 | | 119,526 |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 16,911 | 3,385 | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 151,528 | | | 145,414 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 363,789 | 75,903 | | 268,246 |
| | 25 Contributions, gifts, grants paid | 810,000 | | | 810,000 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 1,173,789 | 75,903 | | 1,078,246 | |
| 27 Subtract line 26 from line 12 | | | | | |
| a Excess of revenue over expenses and disbursements | 334,276 | | | | |
| b Net investment income (if negative, enter -0-) | | 1,432,162 | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | |
|--|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 27,764 | 86,739 | 86,739 |
| | 2 Savings and temporary cash investments | 247,540 | 26,074 | 26,074 |
| | 3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | 10,560,478 | 19,055,068 | 17,874,708 |
| | c Investments—corporate bonds (attach schedule) | 9,949,151 | 1,951,328 | 1,893,215 |
| | 11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | | | |
| | 14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 20,784,933 | 21,119,209 | 19,880,736 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule). | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | 20,784,933 | 21,119,209 | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | | |
| 30 Total net assets or fund balances (see instructions) | 20,784,933 | 21,119,209 | | |
| 31 Total liabilities and net assets/fund balances (see instructions) . | 20,784,933 | 21,119,209 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|----------|------------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 20,784,933 |
| 2 Enter amount from Part I, line 27a | 2 | 334,276 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | |
| 4 Add lines 1, 2, and 3 | 4 | 21,119,209 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . | 6 | 21,119,209 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|---|---------------------------------------|-----------------------------------|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|---|--|---|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|---|---|---------|
| 2 Capital gain net income or (net capital loss) | 2 | 928,735 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | -90,571 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2017 | 1,240,867 | 22,223,248 | 0 05584 |
| 2016 | 1,548,431 | 21,771,024 | 0 07112 |
| 2015 | 1,179,374 | 22,926,646 | 0 05144 |
| 2014 | 1,585,930 | 23,572,074 | 0 06728 |
| 2013 | 1,142,043 | 23,129,975 | 0 04938 |

| | | |
|---|---|------------|
| 2 Total of line 1, column (d) | 2 | 0 295055 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 059011 |
| 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 | 22,103,476 |
| 5 Multiply line 4 by line 3 | 5 | 1,304,348 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 14,322 |
| 7 Add lines 5 and 6 | 7 | 1,318,670 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,078,246 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 28,643 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2. | 3 | 28,643 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 28,643 |
| 6 | Credits/Payments | | |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 | 6a | 19,600 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 9,060 |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments Add lines 6a through 6d. | 7 | 28,660 |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶ | 10 | 17 |
| 11 | Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ 17 Refunded ▶ | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|-----------|-----|----|
| 1a | | No |
| b | | No |
| c | | No |
| d | | |
| e | | |
| 2 | | No |
| 3 | | No |
| 4a | | No |
| b | | No |
| 5 | | No |
| 6 | Yes | |
| 7 | Yes | |
| 8a | | |
| b | Yes | |
| 9 | | No |
| 10 | | No |

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of AVERY & GREIG LLP Telephone no (310) 829-7654

Located at 2811 WILSHIRE BLVD 700 SANTA MONICA CA ZIP+4 90403

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Table with Yes/No columns.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with columns for questions and Yes/No responses. Rows include 1a (1-6), 1b, 1c, 2 (a-c), 3a, 3b, 4a, 4b regarding Form 4720 exceptions.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". | | |
|---|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ▶ | | |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| Total. Add lines 1 through 3 ▶ | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities. | 1a | 22,347,954 |
| b | Average of monthly cash balances. | 1b | 92,123 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 22,440,077 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | |
| 3 | Subtract line 2 from line 1d. | 3 | 22,440,077 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 336,601 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 22,103,476 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 1,105,174 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|--|-----------|-----------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 1,105,174 |
| 2a | Tax on investment income for 2018 from Part VI, line 5. | 2a | 28,643 |
| b | Income tax for 2018 (This does not include the tax from Part VI). | 2b | |
| c | Add lines 2a and 2b. | 2c | 28,643 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 1,076,531 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | 1,076,531 |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 1,076,531 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 1,078,246 |
| b | Program-related investments—total from Part IX-B. | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 1,078,246 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 1,078,246 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 1,076,531 |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only. | | | | |
| b Total for prior years 20___, 20___, 20___ | | | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | |
| a From 2013. | 31,304 | | | |
| b From 2014. | 425,440 | | | |
| c From 2015. | 50,398 | | | |
| d From 2016. | 472,748 | | | |
| e From 2017. | 149,262 | | | |
| f Total of lines 3a through e. | 1,129,152 | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>1,078,246</u> | | | | |
| a Applied to 2017, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2018 distributable amount. | | | | 1,076,531 |
| e Remaining amount distributed out of corpus | 1,715 | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 1,130,867 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | | |
| d Subtract line 6c from line 6b Taxable amount—see instructions | | | | |
| e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions | | | | |
| f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). | 31,304 | | | |
| 9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a | 1,099,563 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2014. | 425,440 | | | |
| b Excess from 2015. | 50,398 | | | |
| c Excess from 2016. | 472,748 | | | |
| d Excess from 2017. | 149,262 | | | |
| e Excess from 2018. | 1,715 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2018 | (b) 2017 | (c) 2016 | (d) 2015 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 DAVID H JACOBS JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 DAVID H JACOBS JR
 235 ENTRADA DR
 SANTA MONICA, CA 90402
 (310) 573-1410

b The form in which applications should be submitted and information and materials they should include
 A LETTER THAT INDICATES THE PURPOSE, THE INTENT, AND PROJECTED DATE

c Any submission deadlines
 NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 LIMITED TO RELIGIOUS, COMMUNITY, AND CHARITABLE PROGRAMS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|-------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | | ▶ 3a |
| b <i>Approved for future payment</i> | | | | |
| Total | | | | ▶ 3b |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| 1 198 083 NEW WORLD FUND - A | P | 2017-12-21 | 2018-06-22 |
| 1 9252 75 NEW WORLD FUND - A | P | 2015-01-01 | 2018-06-22 |
| 1112 84 NEW WORLD FUND - A | P | 2015-01-01 | 2018-06-22 |
| 25458 248 SHORT-TERM BOND FUND OF AMERICA-A | P | 2015-01-01 | 2018-12-27 |
| 22116 48 AQR EQUITY MKT NEUTRAL I | P | 2018-01-01 | 2018-08-30 |
| 23828 303 AQR EQUITY MKT NEUTRAL I | P | 2017-07-25 | 2018-08-30 |
| 27355 547 AQR EQUITY MKT NEUTRAL I | P | 2017-07-25 | 2018-09-20 |
| 71271 661 AQR MGD FUTURES STRAT FD CL I | P | 2015-01-01 | 2018-02-12 |
| 59152 293 AQR STYLE PREMIA ALT | P | 2015-01-01 | 2018-02-12 |
| 5878 753 CREDIT SUISSE MULTIALTERNATIVE STRATEGY | P | 2017-12-14 | 2018-02-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 13,103 | | 11,877 | 1,226 |
| 612,069 | | 554,771 | 57,298 |
| 73,614 | | 52,272 | 21,342 |
| 250,000 | | 254,696 | -4,696 |
| 243,495 | | 272,512 | -29,017 |
| 262,342 | | 289,521 | -27,179 |
| 295,151 | | 332,378 | -37,227 |
| 637,154 | | 737,911 | -100,757 |
| 614,577 | | 592,506 | 22,071 |
| 59,315 | | 59,493 | -178 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 1,226 |
| | | | 57,298 |
| | | | 21,342 |
| | | | -4,696 |
| | | | -29,017 |
| | | | -27,179 |
| | | | -37,227 |
| | | | -100,757 |
| | | | 22,071 |
| | | | -178 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|-------------------------------------|
| 49859 602 CREDIT SUISSE MULTIALTERNATIVE STRATEGY | P | 2015-01-01 | 2018-02-12 |
| 1 1679 842 CREDIT SUISSE MULTIALTERNATIVE STRATEGY | P | 2018-03-21 | 2018-09-06 |
| 1067 194 CREDIT SUISSE MULTIALTERNATIVE STRATEGY | P | 2018-03-21 | 2018-10-04 |
| 1910 352 CREDIT SUISSE MULTIALTERNATIVE STRATEGY | P | 2018-03-21 | 2018-11-29 |
| 9753 846 CREDIT SUISSE MULTIALTERNATIVE STRATEGY | P | 2018-03-21 | 2018-12-19 |
| 489 44 DFA EMERGING MARKETS CORE EQUITY PORT | P | 2018-03-21 | 2018-04-26 |
| 1822 917 DFA EMERGING MARKETS CORE EQUITY PORT | P | 2018-01-01 | 2018-07-06 |
| 3079 479 DFA EMERGING MARKETS CORE EQUITY PORT | P | 2018-02-12 | 2018-07-24 |
| 7332 04 DFA EMERGING MARKETS CORE EQUITY PORT | P | 2015-01-01 | 2018-07-24 |
| 7525 595 DFA FIVE YEAR GLOBAL FIXED | P | 2018-01-01 | 2018-03-21 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 503,070 | | 510,472 | -7,402 |
| 16,985 | | 16,985 | |
| 10,785 | | 10,908 | -123 |
| 18,783 | | 18,889 | -106 |
| 95,085 | | 99,694 | -4,609 |
| 11,330 | | 11,730 | -400 |
| 38,490 | | 43,447 | -4,957 |
| 66,298 | | 71,668 | -5,370 |
| 157,852 | | 128,870 | 28,982 |
| 81,191 | | 81,367 | -176 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|--------------------------------------|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -7,402 |
| | | | -123 |
| | | | -106 |
| | | | -4,609 |
| | | | -400 |
| | | | -4,957 |
| | | | -5,370 |
| | | | 28,982 |
| | | | -176 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| 954 008 DFA FIVE YEAR GLOBAL FIXED | P | 2014-11-26 | 2018-04-26 |
| 1 8804 048 DFA FIVE YEAR GLOBAL FIXED | P | 2014-11-26 | 2018-06-14 |
| 9404 121 DFA INTL LARGE CAP GWTH | P | 2013-08-09 | 2018-04-26 |
| 3245 886 DFA INTL LARGE CAP GWTH | P | 2018-03-21 | 2018-07-06 |
| 3628 633 DFA INTL LARGE CAP GWTH | P | 2013-08-09 | 2018-07-06 |
| 1013 422 DFA INTL LARGE CAP GWTH | P | 2013-08-09 | 2018-08-30 |
| 6557 614 DFA INTL LARGE CAP GWTH | P | 2018-02-12 | 2018-11-29 |
| 5274 365 DFA INTL SMALL CAP | P | 2013-08-09 | 2018-03-21 |
| 2818 854 DFA INTL SMALL CAP | P | 2018-02-12 | 2018-07-06 |
| 562 299 DFA INTL SMALL CAP | P | 2018-02-12 | 2018-08-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 10,303 | | 10,590 | -287 |
| 95,690 | | 97,727 | -2,037 |
| 124,218 | | 104,201 | 20,017 |
| 42,159 | | 42,791 | -632 |
| 47,131 | | 40,207 | 6,924 |
| 13,337 | | 11,229 | 2,108 |
| 78,222 | | 84,860 | -6,638 |
| 120,035 | | 97,058 | 22,977 |
| 60,990 | | 63,426 | -2,436 |
| 12,074 | | 12,652 | -578 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -287 |
| | | | -2,037 |
| | | | 20,017 |
| | | | -632 |
| | | | 6,924 |
| | | | 2,108 |
| | | | -6,638 |
| | | | 22,977 |
| | | | -2,436 |
| | | | -578 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|--|---|---------------------------------------|-----------------------------------|
| 2322 021 DFA INTL SMALL CAP | P | 2018-02-12 | 2018-11-29 |
| 1 6547 88 DFA INTL SMALL CAP GWTH | P | 2013-08-09 | 2018-03-21 |
| 1110 458 DFA INTL SMALL CAP GWTH | P | 2018-02-12 | 2018-04-26 |
| 2451 801 DFA INTL SMALL CAP GWTH | P | 2015-01-01 | 2018-04-26 |
| 2702 182 DFA INTL SMALL CAP GWTH | P | 2018-02-12 | 2018-07-06 |
| 346 64 DFA INTL SMALL CAP GWTH | P | 2018-02-12 | 2018-08-30 |
| 2202 453 DFA INTL SMALL CAP GWTH | P | 2018-02-12 | 2018-11-29 |
| 417 172 DFA INVT GRP INC INL | P | 2013-08-09 | 2018-04-26 |
| 6513 667 DFA INVT GRP INC INL | P | 2018-02-12 | 2018-07-06 |
| 801 367 DFA INVT GRP INC INL | P | 2018-02-12 | 2018-08-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 43,946 | | 52,247 | -8,301 |
| 106,328 | | 75,178 | 31,150 |
| 17,853 | | 17,568 | 285 |
| 39,418 | | 28,123 | 11,295 |
| 42,090 | | 42,750 | -660 |
| 5,453 | | 5,484 | -31 |
| 30,472 | | 34,844 | -4,372 |
| 8,676 | | 7,593 | 1,083 |
| 126,290 | | 133,016 | -6,726 |
| 15,464 | | 16,365 | -901 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|--------------------------------------|---|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -8,301 |
| | | | 31,150 |
| | | | 285 |
| | | | 11,295 |
| | | | -660 |
| | | | -31 |
| | | | -4,372 |
| | | | 1,083 |
| | | | -6,726 |
| | | | -901 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--|
| 314 32 DFA INVT GRP INC INL | P | 2013-08-09 | 2018-11-29 |
| 1 6171 36 DFA INVT GRP INC INL | P | 2018-01-01 | 2018-11-29 |
| 5886 611 DFA REAL ESTATE SECURITIES PORT INSTL | P | 2013-08-09 | 2018-02-12 |
| 1741 698 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2017-12-13 | 2018-02-12 |
| 47044 483 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2010-12-30 | 2018-02-12 |
| 9282 017 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2010-12-30 | 2018-03-21 |
| 935 573 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2010-12-30 | 2018-04-26 |
| 8401 869 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2010-12-30 | 2018-06-14 |
| 236 88 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2010-12-30 | 2018-10-04 |
| 371 734 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2018-08-30 | 2018-10-04 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 5,557 | | 5,721 | -164 |
| 109,100 | | 125,854 | -16,754 |
| 187,479 | | 162,601 | 24,878 |
| 18,653 | | 18,855 | -202 |
| 503,837 | | 502,914 | 923 |
| 99,122 | | 99,226 | -104 |
| 10,001 | | 10,001 | |
| 89,890 | | 89,818 | 72 |
| 2,526 | | 2,532 | -6 |
| 3,964 | | 3,991 | -27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|--|--|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -164 |
| | | | -16,754 |
| | | | 24,878 |
| | | | -202 |
| | | | 923 |
| | | | -104 |
| | | | 72 |
| | | | -6 |
| | | | -27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| 2542 894 DFA SHORT TERM EXTENDED QUALITY PORT INSTL | P | 2010-12-30 | 2018-11-29 |
| 1 2243 069 DFA SHORT TERM GOVT PORT | P | 2017-12-13 | 2018-02-12 |
| 47852 533 DFA SHORT TERM GOVT PORT | P | 2015-01-01 | 2018-02-12 |
| 69762 436 DFA SHORT TERM GOVT PORT | P | 2013-08-09 | 2018-03-21 |
| 113 875 DFA US LARGE CAP | P | 2003-12-29 | 2018-03-21 |
| 1266 886 DFA US LARGE CAP | P | 2016-12-16 | 2018-03-21 |
| 354 075 DFA US LARGE CAP | P | 2015-01-01 | 2018-08-30 |
| 741 446 DFA US LARGE CAP | P | 2003-12-29 | 2018-08-30 |
| 343 789 DFA US LARGE CAP | P | 2003-12-29 | 2018-09-06 |
| 130 429 DFA US LARGE CAP | P | 2003-12-29 | 2018-10-04 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 27,174 | | 27,184 | -10 |
| 23,462 | | 23,719 | -257 |
| 500,528 | | 515,822 | -15,294 |
| 729,007 | | 745,080 | -16,073 |
| 4,469 | | 1,950 | 2,519 |
| 49,716 | | 44,898 | 4,818 |
| 14,213 | | 12,935 | 1,278 |
| 29,762 | | 12,696 | 17,066 |
| 13,690 | | 5,887 | 7,803 |
| 5,237 | | 2,233 | 3,004 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -10 |
| | | | -257 |
| | | | -15,294 |
| | | | -16,073 |
| | | | 2,519 |
| | | | 4,818 |
| | | | 1,278 |
| | | | 17,066 |
| | | | 7,803 |
| | | | 3,004 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|--|--|
| 205 559 DFA US LARGE CAP | P | 2017-09-29 | 2018-10-04 |
| 1 37344 525 DFA US LARGE CAP GROWTH | P | 2018-02-12 | 2018-03-21 |
| 61541 303 DFA US LARGE CAP GROWTH | P | 2013-08-09 | 2018-03-21 |
| 7564 251 DFA US MICRO CAP PORT | P | 2015-01-01 | 2018-02-12 |
| 18044 904 DFA US SMALL CAP GROWTH | P | 2015-01-01 | 2018-03-21 |
| 18050 388 DFA US SMALL CAP GROWTH | P | 2018-02-12 | 2018-03-21 |
| 161 621 NATIXIS GATEWAY | P | 2018-09-20 | 2018-11-29 |
| 12108 383 NORTHERN LIGHTS WOA ALL ASSET I | P | 2014-04-25 | 2018-02-12 |
| 942 149 NORTHERN LIGHTS WOA ALL ASSET I | P | 2018-08-30 | 2018-09-06 |
| 8373 995 NORTHERN LIGHTS WOA ALL ASSET I | P | 2018-08-30 | 2018-11-29 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 8,253 | | 7,840 | 413 |
| 742,779 | | 723,000 | 19,779 |
| 1,224,050 | | 734,198 | 489,852 |
| 161,184 | | 135,785 | 25,399 |
| 342,126 | | 228,397 | 113,729 |
| 342,230 | | 326,000 | 16,230 |
| 5,322 | | 5,558 | -236 |
| 142,985 | | 136,828 | 6,157 |
| 11,385 | | 11,495 | -110 |
| 98,631 | | 102,168 | -3,537 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|--|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 413 |
| | | | 19,779 |
| | | | 489,852 |
| | | | 25,399 |
| | | | 113,729 |
| | | | 16,230 |
| | | | -236 |
| | | | 6,157 |
| | | | -110 |
| | | | -3,537 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| 17475 38 NORTHERN LIGHTS WOA ALL ASSET I | P | 2018-01-01 | 2018-12-19 |
| 1 3256 747 VANGUARD GROWTH INDEX ADMIRAL | P | 2018-03-21 | 2018-04-26 |
| 580 721 VANGUARD GROWTH INDEX ADMIRAL | P | 2018-07-25 | 2018-08-30 |
| 1973 078 VANGUARD GROWTH INDEX ADMIRAL | P | 2018-03-21 | 2018-04-26 |
| 430 507 VANGUARD ST INVMT | P | 2018-03-22 | 2018-04-26 |
| 6172 249 VANGUARD ST INVMT | P | 2018-03-22 | 2018-06-14 |
| 1938 616 VANGUARD ST INVMT | P | 2018-03-22 | 2018-08-30 |
| 677 481 VANGUARD ST INVMT | P | 2018-03-22 | 2018-09-06 |
| 50455 599 VANGUARD ST INVMT | P | 2018-03-22 | 2018-11-29 |
| Capital Gain Dividends | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 195,185 | | 213,112 | -17,927 |
| 239,454 | | 246,049 | -6,595 |
| 47,982 | | 47,161 | 821 |
| 91,970 | | 93,585 | -1,615 |
| 4,488 | | 4,516 | -28 |
| 64,485 | | 64,748 | -263 |
| 20,282 | | 20,337 | -55 |
| 7,085 | | 7,107 | -22 |
| 524,219 | | 529,292 | -5,073 |
| | | | 307,384 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|-----------------------------------|--|--|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -17,927 |
| | | | -6,595 |
| | | | 821 |
| | | | -1,615 |
| | | | -28 |
| | | | -263 |
| | | | -55 |
| | | | -22 |
| | | | -5,073 |
| | | | |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| CLEVELAND SIGHT CENTER 1900 EAST 101ST ST PO BOX 1988 CLEVELAND, OH 44106 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 50,000 |
| EITELJORG MUSEUM 500 W WASHINGTON ST INDIANAPOLIS, IN 46204 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 50,000 |
| GLSEN90 BROAD ST NEW YORK, NY 10004 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 250,000 |
| Total | | | | 810,000 |

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| UNITED CEREBRAL PALSY OF GREATER CL 10011 EUCLID AVE CLEVELAND, OH 44106 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 20,000 |
| VOCATIONAL GUIDANCE SERVICES 2239 EAST 55TH ST CLEVELAND, OH 44103 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 10,000 |
| CORNUCOPIA INC 18120 SLOANE AVENUE LAKEWOOD, OH 44107 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 30,000 |
| Total ▶ 3a | | | | 810,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|--|---------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| ENVISION2108 LAMBERTON ROAD CLEVELAND HEIGHTS, OH 44118 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 200,000 |
| INNER EXPLORER INC 430 FRANKLIN VILLAGE DRIVE 325 FRANKLIN, MA 02038 | | | CONTRIBUTION TO TAX-EXEMPT ORG GENERAL FUND | 200,000 |
| Total ▶ 3a | | | | 810,000 |

TY 2018 Accounting Fees Schedule**Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 18007218**Software Version:** 2018v3.1

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| ACCOUNTING FEES | 6,612 | 3,306 | 0 | 3,306 |

TY 2018 Investments Corporate Bonds Schedule**Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 18007218**Software Version:** 2018v3.1**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| AMERICAN FUNDS (SEE ATTACHED) | 424,824 | 417,762 |
| TD AMERITRADE INVESTMENTS (SEE ATTACHED) | 1,526,504 | 1,475,453 |

TY 2018 Investments Corporate Stock Schedule**Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 18007218**Software Version:** 2018v3.1**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|--|-------------------------------|--------------------------------------|
| AMERICAN FUNDS (SEE ATTACHED) | 7,192,851 | 6,731,665 |
| TD AMERITRADE INVESTMENTS (SEE ATTACHED) | 11,862,217 | 11,143,043 |

TY 2018 Other Expenses Schedule**Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 18007218**Software Version:** 2018v3.1**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| BANK CHARGES | 90 | | | |
| GRANT SUPPORT | 147,737 | | | 145,414 |
| OFFICE EXPENSE | 3,076 | | | |
| TELEPHONE | 625 | | | |

TY 2018 Other Professional Fees Schedule**Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 18007218**Software Version:** 2018v3.1

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------------|---------------|----------------------------------|--------------------------------|--|
| ADVISOR FEES | 69,212 | 69,212 | 0 | 0 |
| LEGAL | 6,276 | 0 | 0 | 6,276 |
| TRAINING & COACHING | 113,250 | 0 | 0 | 113,250 |

TY 2018 Taxes Schedule**Name:** DBJ FOUNDATION**EIN:** 34-1661482**Software ID:** 18007218**Software Version:** 2018v3.1

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| FEDERAL | 13,326 | | | |
| FOREIGN | 3,385 | 3,385 | | |
| STATE | 200 | | | |

FORM 990-PF, PART II, LINE 10B
 INVESTMENTS - CORPORATE STOCK
 AMERICAN FUNDS

| QUANTITY | DESCRIPTION | SYMBOL | COST BASIS | CURRENT VALUE |
|---|---------------------------------|---------------|---------------------|----------------------|
| 54,142.990 | CAPITAL INCOME BUILDER-A | CAIBX | 3,326,334.53 | 3,049,874.63 |
| 10,859.430 | CAPITAL WORLD GROWTH & INCOME-A | CWGIX | 528,976.41 | 464,892.20 |
| 115,029.290 | INCOME FUND OF AMERICA-A | AMECX | 2,342,674.20 | 2,373,048.87 |
| 15,487.582 | NEW ECONOMY FUND-A | ANEFX | 753,418.12 | 601,382.81 |
| 6,436.607 | NEW PERSPECTIVE FUND-A | ANWPX | 241,448.08 | 242,466.99 |
| TOTAL CORPORATE STOCK - AMERICAN FUNDS | | | 7,192,851.34 | 6,731,665.50 |

FORM 990-PF, PART II, LINE 10B
 INVESTMENTS - CORPORATE STOCK
 TD AMERITRADE INVESTMENTS

| QUANTITY | DESCRIPTION | SYMBOL | COST BASIS | CURRENT VALUE |
|--|------------------------------|---------------|-----------------------------|-----------------------------|
| 90,991.436 | CREDIT SUISSE MULTIALTERNATI | CSQIX | 926,956.25 | 872,607.87 |
| 29,851.189 | DFA INVT GRP INC INL | DFIVX | 526,452.17 | 475,230.93 |
| 71,655.758 | DFA US LARGE CAP | DFLVX | 2,585,274.44 | 2,303,016.06 |
| 31,535.006 | DFA US SMALL CAP | DFSVX | 1,069,429.53 | 946,050.18 |
| 31,732.296 | DFA INTL LARGE CAP GWTH | DILRX | 363,911.45 | 356,353.68 |
| 12,898.065 | DFA INTL SMALL CAP GWTH | DISMX | 204,054.08 | 160,064.99 |
| 13,244.441 | DFA INTL SMALL CAP | DISVX | 287,774.96 | 220,519.94 |
| 8,428.810 | NATIXIS GATEWAY | GTEYX | 289,881.48 | 266,603.26 |
| 24,555.961 | VANGUARD GROWTH INDEX ADM | VIGAX | 1,859,671.23 | 1,696,571.35 |
| 14,100.854 | VANGUARD INDEX SM CAP | VISGX | 675,363.36 | 597,312.18 |
| 290,063.616 | WOA ALL ASSET I FD CL I | WOAIX | <u>3,073,448.17</u> | <u>3,248,712.50</u> |
| TOTAL CORPORATE STOCK - TD AMERITRADE INVESTMENTS | | | <u>11,862,217.12</u> | <u>11,143,042.94</u> |

FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS
TD AMERITRADE INVESTMENTS

| QUANTITY | DESCRIPTION | SYMBOL | COST BASIS | CURRENT VALUE |
|--|----------------------------|---------------|----------------------------|----------------------------|
| 46,788.518 | DFA SHORT TERM EXTENDED | DFEQX | 502,280.27 | 494,554.64 |
| 92,976.163 | DFA FIVE YEAR GLOBAL FIXED | DFGBX | <u>1,024,223.52</u> | <u>980,898.52</u> |
| TOTAL CORPORATE BONDS - TD AMERITRADE INVESTMENTS | | | <u>1,526,503.79</u> | <u>1,475,453.16</u> |

FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS
AMERICAN FUNDS

| QUANTITY | DESCRIPTION | SYMBOL | COST BASIS | CURRENT VALUE |
|---|-----------------------------------|---------------|--------------------------|--------------------------|
| 42,455.481 | SHORT-TERM BOND FUND OF AMERICA-A | ASBAX | <u>424,823.79</u> | <u>417,761.93</u> |
| TOTAL CORPORATE BONDS - AMERICAN FUNDS | | | <u>424,823.79</u> | <u>417,761.93</u> |