








For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation Harris & Frances Block Foundation Inc		A Employer identification number 31-1784246	
Number and street (or P O box number if mail is not delivered to street address) c/o Betsy Chodorkoff 491 Ennis Hill		B Telephone number (see instructions) (802) 426-3806	
City or town, state or province, country, and ZIP or foreign postal code Marshfield, VT 05658		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 12,352,897		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	150,000			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	322,489	322,489		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	1,378,850			
	b Gross sales price for all assets on line 6a 4,091,832				
	7 Capital gain net income (from Part IV, line 2) . . .		1,378,850		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .	0			
	11 Other income (attach schedule) . . . . .	 -8,534	-8,534		
	12 Total. Add lines 1 through 11 . . . . .	1,842,805	1,692,805		
	13 Compensation of officers, directors, trustees, etc	59,000	6,450		52,550
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .	3,825	425		3,400
	16a Legal fees (attach schedule) . . . . .	0			
	b Accounting fees (attach schedule) . . . . .	 6,519	4,814		1,705
	c Other professional fees (attach schedule) . . . . .	 75,349	68,327		7,022
	17 Interest . . . . .	149	149		0
	18 Taxes (attach schedule) (see instructions) . . .	 46,104	14,412		0
	19 Depreciation (attach schedule) and depletion . . .	 0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .	4,949	0		4,949
	22 Printing and publications . . . . .	7	0		7
	23 Other expenses (attach schedule) . . . . .	 17,713	14,817		2,896
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	213,615	109,394		72,529
	25 Contributions, gifts, grants paid . . . . .	511,808			511,808
	26 Total expenses and disbursements. Add lines 24 and 25	725,423	109,394		584,337
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,117,382			
	b Net investment income (if negative, enter -0-)		1,583,411		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing . . . . .	0	0	0		
	2	Savings and temporary cash investments . . . . .	1,086,691	1,517,997	1,517,997		
	3	Accounts receivable ▶ <u>3,441</u>					
		Less allowance for doubtful accounts ▶ <u>0</u>	2,681	3,441	3,441		
	4	Pledges receivable ▶ _____					
		Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .		0			
	7	Other notes and loans receivable (attach schedule) ▶ _____					
		Less allowance for doubtful accounts ▶ _____		0			
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .	0	57	57		
	10a	Investments—U S and state government obligations (attach schedule)	2,269,983	2,393,446	2,393,446		
	b	Investments—corporate stock (attach schedule) . . . . .	7,433,826	5,841,063	5,841,063		
	c	Investments—corporate bonds (attach schedule) . . . . .	504,033	944,851	944,851		
	11	Investments—land, buildings, and equipment basis ▶ _____					
	Less accumulated depreciation (attach schedule) ▶ _____		0				
12	Investments—mortgage loans . . . . .						
13	Investments—other (attach schedule) . . . . .	1,339,229	1,652,042	1,652,042			
14	Land, buildings, and equipment basis ▶ <u>7,355</u>						
	Less accumulated depreciation (attach schedule) ▶ <u>7,355</u>	0	0	0			
15	Other assets (describe ▶ _____)	264	0	0			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	12,636,707	12,352,897	12,352,897			
Liabilities	17	Accounts payable and accrued expenses . . . . .	13,332	4,442			
	18	Grants payable . . . . .					
	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons		0			
	21	Mortgages and other notes payable (attach schedule). . . . .		0			
	22	Other liabilities (describe ▶ _____)	0	0			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	13,332	4,442			
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>						
	24	Unrestricted . . . . .	12,623,375	12,348,455			
	25	Temporarily restricted . . . . .	0	0			
	26	Permanently restricted . . . . .	0	0			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>						
	27	Capital stock, trust principal, or current funds . . . . .					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	12,623,375	12,348,455			
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	12,636,707	12,352,897				

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,623,375
2	Enter amount from Part I, line 27a . . . . .	2	1,117,382
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	13,740,757
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,392,302
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	12,348,455

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> Publicly Traded Securities	P	2017-12-31	2018-12-31
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 4,091,832	0	2,712,982	1,378,850
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> 0	0	0	1,378,850
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	1,378,850
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	485,890	11,652,209	0 041699
2016	645,857	10,288,070	0 062777
2015	583,823	10,150,852	0 057515
2014	484,928	9,477,624	0 051166
2013	450,802	7,728,420	0 058330

<b>2</b> Total of line 1, column (d)	2	0 271487
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 054297
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	12,500,816
<b>5</b> Multiply line 4 by line 3	5	678,757
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	15,834
<b>7</b> Add lines 5 and 6	7	694,591
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	584,337

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	31,668
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	31,668
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	31,668
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	27,250
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	12,500
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	39,750
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	391
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	7,691
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <b>Refunded</b> <b>7,691</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <b>\$</b> 0 <b>(2)</b> On foundation managers <b>\$</b> 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>VT</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.blockfound.org</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <u>Tapia &amp; Huckabay PC</u> Telephone no <u>(802) 870-7086</u>			

Located at 11 Main Street Suite B211 Vergennes VT ZIP+4 05491

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <u>15</u>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <u></u>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B** **Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	<b>5b</b>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	<b>6b</b>	
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>	
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Nancy M Sluys c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491	President 1 00	4,500	0	0
Betsy M Chodorkoff c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491	Ex Director & Treasurer 30 00	50,000	0	0
Diane Maurer Schatz c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491	Secretary 1 00	4,500	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000. ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	11,957,470
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	733,714
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	12,691,184
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	12,691,184
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	190,368
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	12,500,816
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	625,041

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	625,041
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	31,668
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	0
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	31,668
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	593,373
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	593,373
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	593,373

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	584,337
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	584,337
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	584,337

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				593,373
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .	70,981			
<b>b</b> From 2014. . . . .	24,441			
<b>c</b> From 2015. . . . .	93,182			
<b>d</b> From 2016. . . . .	159,451			
<b>e</b> From 2017. . . . .	0			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	348,055			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 584,337				
<b>a</b> Applied to 2017, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .	0			
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				584,337
<b>d</b> Applied to 2018 distributable amount. . . . .	0			
<b>e</b> Remaining amount distributed out of corpus	9,036			9,036
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	339,019			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . .	61,945			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	277,074			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .	24,441			
<b>b</b> Excess from 2015. . . . .	93,182			
<b>c</b> Excess from 2016. . . . .	159,451			
<b>d</b> Excess from 2017. . . . .	0			
<b>e</b> Excess from 2018. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) None	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest None	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

**Part XVI-B Relationship of Activities to the Acco**

<b>Line No.</b> ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
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Form **990-PF** (2018)

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash.	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets.	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization.	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets.	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements.	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees.	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations.	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2019-10-28	*****	May the IRS discuss this return with the preparer shown below? (see instr )? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	William S Huckabay CPA				P00154308
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no (802) 870-7086			
	PO Box 38				
	Vergennes, VT 05491				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
350 Colorado2861 Ellison Place Boulder, CO 80304		PC	SupportMovement BuildingCampaign	15,000
350 Vermont 255 South Champlain Street Suite 11 Burlington, VT 05401		PC	Support "YouthLobby" andCapacity Building	13,000
Alleghany JAM450 North Main Street Sparta, NC 28675		PC	SupportMusicEducation	10,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AsylumConnectPO Box 5473 Richmond, CA 94805		PC	SupportResource ManualDevelopment	10,000
Barre Historical SocietyPO Box 496 Barre, VT 05647		PC	For theLabor HallBakery	5,000
Blue Ridge Discovery Center 12627 Wilson Highway Mouth of Wilson, VA 24363		PC	Program Support	20,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Capstone Community Action 20 Gable Place Barre, VT 05641		PC	For theCommunity Kitchen Academy	10,000
Center for an Agricultural Economy PO Box 422 Hardwick, VT 05843		PC	SupportCommunity FoodAccess & Education	14,600
Chestnut Creek School of the Arts 100 North Main Street Galax, VA 24333		PC	Support YoungAppalachianMusicians	5,000
<b>Total . . . . . ▶ 3a</b>				511,808



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Children's Literacy Foundation 1536 Loomis Hill Road Waterbury Center, VT 05677		PC	Support theSummer ReadingProgram	3,000
Citizens Awareness NetworkPO Box 83 Shelburne Falls, MA 01370		PC	SupportNuclear WatchdogGroup	7,000
Clean Water for North Carolina 29 1/2 Page Avenue Asheville, NC 28801		PC	Well WaterJustice forNorth Carolina	8,500
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Composting Association of Vermont PO Box 112 Troy, VT 05868		PC	Improving FoodAccess andWater Quality	11,000
Cutler Memorial LibraryPO Box 186 Plainfield, VT 05667		PC	Support ConservationCommissionLecture Series	350
Dogwood AlliancePO Box 7645 Asheville, NC 28802		PC	Support the"Forests Aren'tFuel Campaign"	15,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
EarthWalkPO Box 21 Plainfield, VT 05667		PC	Teen CommunityEngagementProgram	15,000
Elm Hill IncPO Box 248 Plainfield, VT 05667		PC	For MaplehillCommunity FarmProgramming	8,520
Global Greengrants Fund 2840 Wilderness Place Suite A Boulder, CO 80301		PC	For the NigerDelta and Operating Reserve	15,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Global Justice Ecology Project 148 Elmwood Avenue Buffalo, NY 14201		PC	For the "MakeAction ArtsCollective"	2,000
Governors Institute of Vermont 4049 Williston Road Suite 4 South Burlington, VT 05403		PC	Current Issues &Youth ActivismInstitute	5,000
Greystone Foundation21 Park Avenue Yonkers, NY 10703		PC	Yonkers CommunityGardens andEnvir-Earth Club	8,400
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Insight ProductionsPO Box 9 Marlboro, VT 05344		PC	For Outreachand PublicEngagement	10,000
Institute for Social EcologyPO Box 93 Plainfield, VT 05667		PC	For ClimateJustice andCapacity Building	20,000
Junior Appalachian Musicians Inc PO Box 44 Independence, VA 24348		PC	Support for the"ROOTS"Program	15,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Migrant Justice 294 North Winooski Avenue Burlington, VT 05401		PC	For the"Milk With Dignity"Program	20,000
Minglewood Farm and Nature Preserve 238 Minglewood Road Westfield, NC 27053		PC	GeneralSupport	12,688
Mountain Roots Food Project 107 East Georgia Ave Suite 3B Gunnison, CO 81230		PC	ForFood SecurityProjects	5,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Northshire Grows 147 Green Peak Orchard North East Dorset, VT 05253		PC	To SupportFarm to School Programs	10,000
Peace and Justice Center 60 Lake Street Suite 1C Burlington, VT 05401		PC	Working forPeace andNonviolence	7,500
Peace and Justice Center 60 Lake Street Suite 1c Burlington, VT 05401		PC	To Support theBurlington Chapterof WILPF	1,500
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Planned Parenthood Health Systems 1765 Dobbins Drive Chapel Hill, NC 27514		PC	To SupportTeen SexEducation	10,000
Planned Parenthood of NNE 784 Hercules Drive Suite 110 Colchester, VT 05446		PC	ToSupport MaineCanvassing	20,000
Planned Parenthood of NNE 784 Hercules Drive Suite 110 Colchester, VT 05446		PC	To Supportthe VermontChapter	20,000
<b>Total . . . . . ▶ 3a</b>				511,808



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Planned Parenthood South Atlantic 1704 Battleground Avenue Greensboro, NC 27408		PC	To SupportTeen ResponsibleSex Education	15,000
Red Wiggler Community Farm PO Box 968 Clarksburg, MD 20871		PC	To Supportthe GrowerProgram	15,000
Refugee and Immigrant Fund 32-13 37th Street Astoria, NY 11103		PC	To Supportthe "RIF Asylum"Program	15,000
<b>Total . . . . .</b> ► <b>3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Rural Vermont46 East State Street Montpelier, VT 05602		PC	Funding for the"DialogueSeries"	10,000
Sierra Club Foundation 85 Second Street Suite 750 San Francisco, CA 94105		PC	New Power forOld Dominion Campaign,Virginia Chapter	10,000
Sustainable Montpelier Coalition 15 State Street Montpelier, VT 05602		PC	GeneralSupport	10,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Toxic Action Center 141 Main Street Suite 6 Montpelier, VT 05602		PC	CommunityAssistance	15,000
Vermont Community Foundation 3 Court Street Middlebury, VT 05753		PC	To Support theVermont FoodFunders Network	2,000
Vermont Natural Resources Council 9 Bailey Avenue Montpelier, VT 05602		PC	CommunityEnergy & ClimateAction Project	20,000
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Vermont Parks ForeverPO Box 815 Montpelier, VT 05601		PC	Support forthe MuckcrossDay Camp	1,750
Vermont Works for Women 32 A Malletts Bay Avenue Winooski, VT 05404		PC	Support for the"Step Into Work"Program	7,500
Vital Communities195 North Main Street White River Junction, VT 05001		PC	Upper ValleyGrassrootsEnergy Initiative	7,500
<b>Total . . . . . ▶ 3a</b>				511,808

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Voter Participation Center 1707 L Street NW Suite 300 Washington, DC 20036		PC	MobilizationEfforts inFlorida	20,000
Western Colorado CongressPO Box 1931 Grand Junction, CO 81502		PC	Support for theGasfield JusticeCampaign	10,000
<b>Total . . . . . ▶ 3a</b>				511,808

**TY 2018 Accounting Fees Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
VT CPA Firm Accounting/Tax	6,031	4,760		1,271
Payroll Processor Payroll Services	488	54		434

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Laptop computer	2009-12-04	2,440	2,440	SL	5 000000000000	0			
Laptop computer	2010-09-29	962	962	SL	3 000000000000	0			
Laptop computer	2012-02-04	2,373	2,373	SL	3 000000000000	0			
Mac computer	2014-07-18	1,580	1,580	SL	3 000000000000	0			

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2018 Gain/Loss from Sale of Other Assets Schedule**

**Name:** Harris & Frances Block Foundation Inc

**EIN:** 31-1784246

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly traded securities					4,091,832	2,712,982			1,378,850	



**TY 2018 Investments Corporate Bonds Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
International Finance Corporation	494,618	494,618
John Deere	245,419	245,419
SUN Initiative Financing, LLC II	25,000	25,000
The Skinny Pancake	50,000	50,000
Vermont Community Loan Fund	70,000	70,000
Vermont Natural Coatings, Inc.	59,814	59,814

**TY 2018 Investments Corporate Stock Schedule**

**Name:** Harris & Frances Block Foundation Inc  
**EIN:** 31-1784246

**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Amgen Inc.	253,071	253,071
Apple Inc.	123,826	123,826
Automatic Data Processing Inc.	196,680	196,680
Bar Harbor Bankshares, Inc.	207,253	207,253
Biogen Inc.	167,011	167,011
Century Bancorp	259,406	259,406
Cisco Systems, Inc.	173,320	173,320
Cooperative Regions of Organic Producer Pools CI E	100,000	100,000
Colgate-Palmolive Company	119,040	119,040
CVS Health Corporation	196,560	196,560
Dentsply Sirona Inc.	183,817	183,817
Edgewell Personal Care Company	145,665	145,665
Federal Agri 5.75% PFD	147,540	147,540
General Mills Inc.	212,223	212,223
Genuine Parts Company	49,450	49,450
Gilead Sciences, Inc.	154,499	154,499
Hain Celestial Group	121,567	121,567
Hannon Armstrong Sustainable Infrastructure Capital	177,165	177,165
High Mowing Seed Co. PFD	78,793	78,793
IBM Corporation	96,620	96,620
Koninklijke Ahold N.V.	246,951	246,951
McCormick & Company Inc.	208,860	208,860
Natus Medical Inc.	142,926	142,926
Novo-Nordisk	115,175	115,175
NTT Docomo Inc.	231,845	231,845
Quest Diagnostic Inc.	116,578	116,578
Sanofi	254,383	254,383
Solar Communities Inc.	303,750	303,750
Symantec Corporation	123,762	123,762
Telus Corporation	152,444	152,444

## Investments Corporation Stock Schedule

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
Unilever	367,992	367,992
Vermont Smoke & Cure	25,970	25,970
Vestas Wind Systems	213,401	213,401
Vodafone Group	173,520	173,520

**TY 2018 Investments Government Obligations Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**US Government Securities - End  
of Year Book Value:**

2,393,446

**US Government Securities - End  
of Year Fair Market Value:**

2,393,446

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2018 Investments - Other Schedule****Name:** Harns & Frances Block Foundation Inc**EIN:** 31-1784246**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
American Campus Community REIT		206,950	206,950
Columbia Property Trust REIT		265,482	265,482
HCP Inc. REIT		215,061	215,061
Income & Impact Fund, LLC		100,002	100,002
Iroquois Valley Farmland REIT		103,290	103,290
Mid-America Apartment REIT		207,669	207,669
Northeast Kingdom Tasting Center, LLC		41,171	41,171
Patient Capital Collaborative '11, LP		12,960	12,960
Physicians Realty REIT		176,330	176,330
Safer Made LP		23,474	23,474
Sunwealth Note Pool 2 LLC		74,953	74,953
The Lyme Forest Fund III TE LP		34,588	34,588
The Lyme Forest Fund IV TE LP		91,352	91,352
The Lyme Forest Fund V FB LP		50,663	50,663
VSJF Flexible Capital Fund, L3C		48,097	48,097

**TY 2018 Land, Etc.  
Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Computer Equipment	7,355	7,355	0	

## TY 2018 Other Assets Schedule

**Name:** Harris & Frances Block Foundation Inc

**EIN:** 31-1784246

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Interest	264	0	0

**TY 2018 Other Decreases Schedule**

**Name:** Harris & Frances Block Foundation Inc  
**EIN:** 31-1784246

Description	Amount
Unrealized Loss on Investments	1,392,302



**TY 2018 Other Expenses Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Other Investment Expenses	12,676	12,676		0
Office Expenses	2,428	1,830		598
Technology Expenses	1,988	0		1,988
Miscellaneous Expenses	621	311		310

**TY 2018 Other Income Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary Loss from Partnerships	-7,858	-7,858	
Other Partnership Gains	1,411	1,411	
Partnership Rental Losses	-2,087	-2,087	

**TY 2018 Other Professional Fees Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
VT Investment Managers Investment Management	68,327	68,327		0
VT Consultants Board Retreat Facilitation	6,897	0		6,897
VT IT Consultants Website Maintenance	125	0		125

**TY 2018 Taxes Schedule****Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Taxes	31,692	0		0
Foreign Taxes on Investments	14,412	14,412		0

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for the latest information	OMB No 1545-0047  <b>2018</b>
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<b>Name of the organization</b> Harris & Frances Block Foundation Inc	<b>Employer identification number</b> 31-1784246
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**Organization type** (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> Harris & Frances Block Foundation Inc	<b>Employer identification number</b> 31-1784246
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The Estate of Carol Maurer 5578 Alkire Street Arvada, CO 80002	\$ 150,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
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		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Employer identification number

31-1784246

Part II	Noncash Property
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Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

<b>Name of organization</b> Harris & Frances Block Foundation Inc	<b>Employer identification number</b> 31-1784246
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<b>Part III</b>	<b>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____</b> Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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