Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

OMB No 1545-0052 20**18**

Department of the Treasury

Open to Public Inspection Internal Revenue Service ▶ Go to www.irs.gov/Form990PF for instructions and the latest information. 2018, and ending 20 For calendar year 2018 or tax year beginning A Employer identification number Name of foundation THE BALL FOUNDATION 27-4099620 Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) P.O. BOX 9005 303-469-3131 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here BROOMFIELD, CO 80021-0905 G Check all that apply Initial return Initial return of a former public charity D 1. Foreign organizations, check here ▶□ Final return Amended return Foreign organizations meeting the 85% test, ☐ Address change ☐ Name change check here and attach computation ▶□ If private foundation status was terminated under H Check type of organization. Section 501(c)(3) exempt private foundation section 507(b)(1)(A), check here ▶□ ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation J Accounting method 🖫 Cash 🗌 Accrual Fair market value of all assets at If the foundation is in a 60-month termination under section 507(b)(1)(B), check here end of year (from Part II, col (c), Other (specify) ▶ □ line 16) ▶ \$ (Part I, column (d) must be on cash basis) 7,486,239.00 Part I Analysis of Revenue and Expenses (The total of (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net for charitable amounts in columns (b), (c), and (d) may not necessarily equal éxpenses per income ıncome purposes books the amounts in column (a) (see instructions)) (cash basis only) 1 Contributions, gifts, grants, etc., received (attach schedule) 2 Check ► 🗓 if the foundation is not required to attach Sch. B 3 Interest on savings and temporary cash investments Dividends and interest from securities 307,728 307.728 Gross rents 5a Net rental income or (loss) Net gain or (loss) from sale of assets not on line 10 (40,490 Gross sales price for all assets on line 6a 7 Capital gain net income (from Part IV, line 2) 0.00 Net short-term capital gain 9 Income modifications 10a Gross sales less returns and allowances Less. Cost of goods sold 0202 1 © NIW CINNAGE Initiatrative Expenses Gross profit or (loss) (attach schedule) 0.00 Other income (attach schedule) 11 12 Total. Add lines 1 through 11 267,238.00 307,728.00 0.00 13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages . 15 Pension plans, employee benefits 16a Legal fees (attach schedule) RECEIVED Accounting fees (attach schedule) Other professional fees (attach schedule) NOV 2 2 2019 17 18 Taxes (attach schedule) (see instructions) 19 Depreciation (attach schedule) and depletion . OGDFN U 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications Other expenses (attach schedule) See Stmt 1 12,592 12.592 n Total operating and administrative expenses. Add lines 13 through 23. 12,592.00 0.00 12,592.00 0.00 25 Contributions, gifts, grants paid 1,406,201 1,406,201 Total expenses and disbursements. Add lines 24 and 25 26 1,406,201.00 1,418,793.00 0.00 12,592.00 27 Subtract line 26 from line 12 Excess of revenue over expenses and disbursements (1.151.555.00 Net investment income (if negative, enter -0-) 295,136.00 Adjusted net income (if negative, enter -0-) 0.00

For Paperwork Reduction Act Notice, see instructions.

Pa	art II	Balance Sheets Attached schedules and amounts in the description column	Beginning of year	End o	of year
		should be for end-of-year amounts only. (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash—non-interest-bearing	616,577	363,475	363,475
	2	Savings and temporary cash investments	529,110	61,744	61,744
	3	Accounts receivable ▶]-
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
	'	Less allowance for doubtful accounts ▶		<u></u>	
S	8	Inventories for sale or use	· · · · · · · · · · · · · · · · · · ·		
Assets	9	Prepaid expenses and deferred charges			
SS	10a	Investments—U.S and state government obligations (attach schedule)			
	b				
	C	Investments—corporate stock (attach schedule) Investments—corporate bonds (attach schedule) See Stmt 2	7,833,170	7,292,680	7,061,020
	11	Investments—land, buildings, and equipment basis ▶	1,833,170	<u> </u>	1,001,020
	• •	Less accumulated depreciation (attach schedule) ▶	<u> </u>	<u> </u>	*
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land buildings and accomment bears	<u> </u>	[] *******	
	14	Less accumulated depreciation (attach schedule)		lanythia announce announce and i	
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers—see the			
	. •	instructions Also, see page 1, item I)	8,978,857.00	7,717,899.00	7,486,239.00
	17	Accounts payable and accrued expenses .			r
	18	Grants payable	414,776	305,373	
<u>ie.</u>	19	Deferred revenue			1
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
iak	21	Mortgages and other notes payable (attach schedule) .		-	i
	22	Other liabilities (describe ►)			
	23	Total liabilities (add lines 17 through 22)	414,776.00	305,373.00	r =
,,		Foundations that follow SFAS 117, check here . \blacktriangleright			}
ë		and complete lines 24 through 26, and lines 30 and 31.			i
ances	24	Unrestricted			
	25	Temporarily restricted) h
핗	26	Permanently restricted			
Net Assets or Fund Ba		Foundations that do not follow SFAS 117, check here ▶ □			,
띱		and complete lines 27 through 31.	۵		ļ
°	27	Capital stock, trust principal, or current funds .	0	0	<u> </u>
et l	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	<u> </u>
SS	29	Retained earnings, accumulated income, endowment, or other funds	8,564,081	7,412,526	<u> </u>
, t	30	Total net assets or fund balances (see instructions)	8,564,081.00	7,412,526.00	<u> </u>
ž	31	Total liabilities and net assets/fund balances (see			1
	24 MM	Instructions)	8,978,857.00	7,717,899.00	<u> </u>
	rt III	Analysis of Changes in Net Assets or Fund Balances	mp (a) line 20 (mus	t agree with	
7		I net assets or fund balances at beginning of year—Part II, coluit of-year figure reported on prior year's return)	ini (a), inie 30 (ilius	t agree with	8,564,081.00
2		r amount from Part I, line 27a	•	2	(1,151,555.00)
_		a manager and malvided in line 2 (termine)	•	2	(1,131,333.00
3 ⊿		lines 1, 2, and 3		4	7,412,526.00
4		cases not included in line 2 (itemize)		5	7,412,320.00
6	Total	I net assets or fund balances at end of year (line 4 minus line 5)—F	Part II. column (b). III		7,412,526.00
		access of faile balantood at one of your fillio 4 filling and of	(a), 1		Form 990-PF (2018)

Par	Capital Gains an	d Losses for Tax on Investr	nent Income	· · · · · · · · · · · · · · · · · · ·	,	
		ind(s) of property sold (for example, real euse, or common stock, 200 shs MLC Co		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	NUCOR CORP			P	10/30/13	6/01/18
b	CITIGROUP INC			P	8/14/17	2/05/18
С	NATIXIS BRH DISC COML	PAPER		P	6/01/18	9/05/18
d	U.S. TREASURY BILLS			P	9/08/18	10/04/18
е						<u> </u>
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	,,	other basis nse of sale	, , ,	in or (loss) (f) minus (g))
a	250,000			290,093		(40,093.00
b	250,000	<u> </u>		250,397		(397.00
с	278,517			278,517		0.00
d	499,300			499,300		0.00
e						
	Complete only for assets sho	owing gain in column (h) and owned	by the foundation	on 12/31/69		l (h) gain minus
	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69		s of col (i) (j), if any		t less than -0-) or from col (h))
а						
b						
_ c						<u> </u>
d						
е			<u> </u>			
2	Capital gain net income o		also enter in Pai enter -0- in Par		2	(40,490
3	Net short-term capital gai	n or (loss) as defined in sections		·		
•		I, line 8, column (c) See instru-		enter -0- ın l		
	Part I, line 8			. }	3	0
Part	V Qualification Und	er Section 4940(e) for Redu	ced Tax on N	et Investment	Income	
For o	ptional use by domestic pri	vate foundations subject to the	section 4940(a) t	ax on net investi	ment income)	
e. ,	40.40(1)(0)					
seci	ion 4940(d)(2) applies, leav	e this part blank				
Vas t	he foundation liable for the	section 4942 tax on the distribut	table amount of	any year in the b	ase period?	🗌 Yes 🕱 No
f "Ye	s," the foundation doesn't o	ualify under section 4940(e) Do	not complete th	nis part.		
1	Enter the appropriate amo	ount in each column for each yea	ır; see the ınstru	ctions before ma	aking any entries.	
Cale	(a) Base penod years endar year (or tax year beginning in)	(b) Adjusted qualifying distributions	Net value of	(c) noncharitable-use as	sets Dist	(d) ribution ratio divided by col. (c))
	2017	1,567	, 656	9,411,	266	0.1666
	2016	1,082	1	10,067		0.1075
	2015	1,059	730	10,895,		0.0973
	2014	1,526,	114	11,763,		0.1297
	2013	2,401,		13,735,	566	0.1748
	· · · · · · · · · · · · · · · · · · ·					
2	Total of line 1, column (d)				. 2	0.6759
3		for the 5-year base period—divi oundation has been in existence			by 3	.135184
	the name of the years the re	and and the book in existence	,, 1000 1.10.1.0 , 0.			.133104
4	Enter the net value of none	charitable-use assets for 2018 fr	om Part X, line 5	5 .	4	7,991,531
5	Multiply line 4 by line 3				5	1,080,327.13
6	Enter 1% of net investmen	nt income (1% of Part I, line 27b)			6	2,951
		(·—
7	Add lines 5 and 6			•	7	1,083,278.13
8	Enter qualifying distribution	ns from Part XII, line 4 . ter than line 7, check the box in		and complete th	8 nat nart using a 19	1,406,201
	Part VI instructions	to than line 7, theth the DOX III	rait vi, iiiie ID,	and complete ti	iat part using a 1	70 tax rate. Occ the

Part		see ii	nstru	ıctio	ns)
1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
	Date of ruling or determination letter (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	ļ	2	,951	
	here ► x and enter 1% of Part I, line 27b				
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)				,
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			0	
3	Add lines 1 and 2		2	, 951	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	<u> </u>		0	
5 6	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- Credits/Payments 5		2	,951	00
а	2018 estimated tax payments and 2017 overpayment credited to 2018 6a 0				
b	Exempt foreign organizations—tax withheld at source 6b 0				
С	Tax paid with application for extension of time to file (Form 8868) . 6c 0				
d	Backup withholding erroneously withheld 6d 0	<u> </u>			
7	Total credits and payments Add lines 6a through 6d 7	Ī		0	00
8	Enter any penalty for underpayment of estimated tax. Check here from 2220 is attached.				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	/	2	, 951	00
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10			0	00
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ Refunded ▶ 11			0	00
art	VII-A Statements Regarding Activities				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or di	id it		Yes	No
	participate or intervene in any political campaign?	.	1a		х
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See instructions for the definition	the	1b		x
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any mater	nals			
	published or distributed by the foundation in connection with the activities				
С	Did the foundation file Form 1120-POL for this year?	[1c		x
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year				
	(1) On the foundation ▶ \$ o (2) On foundation managers ▶ \$	<u>o</u>			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax impose	ed			
	on foundation managers ▶ \$	l.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	_	2		х
	If "Yes," attach a detailed description of the activities				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles				
_	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	}	3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		X
_b	If "Yes," has it filed a tax return on Form 990-T for this year?	}	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	}	5		<u> </u>
_	If "Yes," attach the statement required by General Instruction T	İ			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
	By language in the governing instrument, or				
	By state legislation that effectively amends the governing instrument so that no mandatory directions to conflict with the state law remain in the governing instrument?	inat	6		
7	conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part	, ₄₄ , }	7	x	
7 8a	Enter the states to which the foundation reports or with which it is registered. See instructions	`^ ` }	•	^	
va	COLORADO				
L	COLORADO If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General Control of the Attorney General Control				
b	(or designate) of each state as required by General Instruction G? If "No," attach explanation	-	8b	х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942()(3				
	4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Ye	2 S,"			
	complete Part XIV	. }	9		х_
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing the	neir	40		
	names and addresses .		10 99		<u> </u>

Pai	t VII-A Statements Regarding Activities (continued)			
		1	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		×
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12	,	x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	х	
	Website address ▶ n/A			
14	The books are in care of ▶ BALL CORPORATION Telephone no ▶ 303-469	-3131		
	Located at ▶ 10 Longs PEAK DRIVE BROOMFIELD, CO ZIP+4 ▶ 80021-2	510		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here		•	▶
4.0	and enter the amount of tax-exempt interest received or accrued during the year		Yes	N/A
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	res	
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of		T	X .
	the foreign country	1		;
Par	VII-B Statements Regarding Activities for Which Form 4720 May Be Required	<u>, +</u> ,	<u>- ,</u>	
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . Yes No		i	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			1
	disqualified person?		1 1	,
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	$[\cdot \cdot]$	1 1	1 4
	(5) Transfer any income or assets to a disqualified person (or make any of either available for	ļ ļ	1	1
	the benefit or use of a disqualified person)?			: 1
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the		!	
	foundation agreed to make a grant to or to employ the official for a period after	i	' '	,
	termination of government service, if terminating within 90 days.)	1	1	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in	<u> </u>	اــــا	
	Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A.	1b	,	r 1
_	Organizations relying on a current notice regarding disaster assistance, check here Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that	1 2 1	.	i Į
С	were not corrected before the first day of the tax year beginning in 2018?	1 _C		ــــا x
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private	F +		<u> </u>
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))		, 'I	1
а	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and			. 1
	6e, Part XIII) for tax year(s) beginning before 2018?		, ,	. 1
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)		,	
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	ا ــــــــــــــــــــــــــــــــــــ	<u> </u>	
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	<u>-</u>	11	<u> </u>
Ū	► 20 , 20 , 20 , 20			
3a	▶ 20 , 20 , 20 , 20 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			' ∤
	at any time during the year?	4 4		- 1
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or	1		
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the	;	·	.]
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of	,		
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess husiness holdings in 2018.)	<u> </u>]{	
40	ioundation had excess business holdings in 2010.)	3b 4a		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its	4 a	<u> انب -</u>	
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	\frac{1}{2}	

Page	E

Par	t VII-B	tatements Regarding Activitie	s for \	Which Forn	า 4720	May Be I	Requir	ed (conti	nued)			
5a	During the y	ear, did the foundation pay or incur	any an	nount to:					•	[Yes	No
		propaganda, or otherwise attempt						☐ Yes	x No	> [4	10 .00
		the outcome of any specific publ		tion (see sec	tion 49	155), or to c	arry or	١,		- 11	,	
	directly o	or indirectly, any voter registration d	rive?	•	•			Yes Yes	X No) }	1	10, C 34
	(3) Provide a	a grant to an individual for travel, st	udy, or	other sımılar	purpos	ses? .		🗌 Yes	x No) <u> </u>		ļ. "Š
		a grant to an organization other tha	in a ch	aritable, etc ,	organı	zation desc	ribed i	n				3 6
		1945(d)(4)(A)? See instructions .		•				🗌 Yes	X No		i	,
		for any purpose other than religious				rary, or edu	cationa	ai		1	ş .	
		s, or for the prevention of cruelty to				•	•	Yes	x No	12 1		ر وسم
b	If any answerin Regulation	er is "Yes" to 5a(1)–(5), did any of t ns section 53 4945 or in a current n	he tran otice re	sactions fail garding disa	to qual ster as:	lify under th sistance? S	ne exce ee insti	ptions des ructions ^N	Scribed /A	5b	-	
		s relying on a current notice regard] [[,]	1	[, [
С		r is "Yes" to question 5a(4), does			n exem	nption from	the tax	K		ļ* ,		
	because it m	iaintained expenditure responsibility	y for the	e grant?				🗌 Yes	☐ No	·		1
		ch the statement required by Regul									'	ː 📢
6a		dation, during the year, receive any	funds,	directly or in	directly	y, to pay pr	emium	s	_		ŧ ►∏	
	•	al benefit contract?			•	•		☐ Yes	x No		1	2
b		dation, during the year, pay premiui	ms, dire	ectly or indire	ctly, or	n a persona	l benef	t contract	? .	6b	<u></u>	_ <u>x</u> _
_		o, file Form 8870						—	С.	11. 1	[ا	
7a	At any time di	uring the tax year, was the foundation	a party	to a prohibite	d tax sh	nelter transac	ction?	☐ Yes			أنست	أندسا
		the foundation receive any proceed) 7.11 7.	7b	r	,
8		ation subject to the section 4960 ta n or excess parachute payment(s) d			more	tnan \$1,000),000 ir			1		
Dort		ormation About Officers, Direct			ounda	tion Man		Yes			<u>' ''</u>	<u>L</u>
Fait		Contractors	tors,	Trustees, F	Ounua	ation wan	ayers,	rigiliy r	alu L	iripioy	ees,	
		ers, directors, trustees, and found	lation	managers at	nd thei	r compens	ation	See instru	ctions			
<u> </u>	List all office	ora, uncotora, trusteca, una roune		le, and average		mpensation	,	Contributions				
	(8	a) Name and address	hou	irs per week ted to position	(If	not paid, nter -0-)		oyee benefit progressive of the compensation o		(e) Exper	llowan	
JOHN A	A. HAYES			DENT & DIRE								
	•	OMFIELD, CO 80021-0905	0	DENIE G DENE		0			0			0
	C. MORRISON		VICE	PRESIDENT &	DIREC	CTOR						
		OMFIELD, CO 80021-0905	0			0	[0			0
	Y A. KNOBEL		SECRE	TARY/TREASU	RER &	DIRECTOR						
		OMFIELD, CO 80021-0905	0	•	l	0	ł	,	0			0
	·		<u> </u>		<u> </u>		<u></u>					
	Compensati "NONE."	on of five highest-paid employe	es (oth	er than tho	se incl	luded on li	ne 1	see instri	uction	s). If no	one, e	enter ———
(;	a) Name and add	ress of each employee paid more than \$50,00	00	(b) Title, and a hours per v devoted to p	veek	(c) Comper	nsation	(d) Contribu employee I plans and d compens	oenefit eferred	(e) Exper other a	se acc llowand	ount, ces
NONE												
											•	
	·	_		1		į			1			
						ļ. <u></u>		ļ				
		***************************************	·									
		· · · · · · · · · · · · · · · · · · ·										
				<u> </u>		L		L				
1 otal n	umber of othe	er employees paid over \$50,000		<u>· · · · · · · · · · · · · · · · · · · </u>	<u>·</u>			· · ·	<u>. ▶ </u>	000	DE	0
									ŀ	orm 990	,-PF(∠∪18)

3	and Contractors (continued) Five highest-paid independent contractors for professional services. See instructions. If none, enter "NON"	IE "
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE	(a) Name and address of each person paid more than \$50,000	(b) compensation
NONE		
		
		<u> </u>
		
	umber of others receiving over \$50,000 for professional services	
Part I		
List th organi	e foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of zations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 AL	L 2018 CHARITABLE ACTIVITIES WERE COMPRISED OF FINANCIAL CONTRIBUTIONS TO QUALIFYING	
CH	ARITIES (SEE PART XV 3A AND SCHEDULE 4).	
		1,406,201
2		
	······································	
3		
J		
4		
	•••••••••••••••••••••••••••••••••••••••	
		,
Part I)	Summary of Program-Related investments (see instructions)	
Descri	be the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 אַ/אַ	<u> </u>	
2		
		ļ
All othe	er program-related investments. See instructions	
3	in program related investments occurring and in the second)
		1
Γotal. A	dd lines 1 through 3	0.00
	·	Form 990-PF (2018)

Par	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ign fou	undations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
а	Average monthly fair market value of securities	1a	7,392,327
b	Average of monthly cash balances	1b	720,903
C	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	8,113,230.00
е	Reduction claimed for blockage or other factors reported on lines 1a and	s j	
	1c (attach detailed explanation) 1e	ا ا ا	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	8,113,230.00
4	Cash deemed held for charitable activities Enter 11/2% of line 3 (for greater amount, see		
	instructions)	4	121,698
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,991,532.00
6	Minimum investment return. Enter 5% of line 5	6	399,576.60
Part	XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating f and certain foreign organizations, check here ► ☐ and do not complete this part.)	ounda	
1	Mınımum investment return from Part X, line 6	1	399,577
2a	Tax on investment income for 2018 from Part VI, line 5 2a 2,951	7	
b	Income tax for 2018 (This does not include the tax from Part VI) . 2b		
С	Add lines 2a and 2b	2c	2,951.00
3	Distributable amount before adjustments Subtract line 2c from line 1	3	396,626.00
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	396,626.00
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	396,626.00
Part	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,406,201
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the	£	
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,406,201.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
	Enter 1% of Part I, line 27b See instructions	5	2,951
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,403,250.00
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating qualifies for the section 4940(e) reduction of tax in those years	wheth	
	qualified for the dection 4940(c) reduction of tax in those years		Form 990-PF (2018)
			(2010)

Part	XIII Undistributed Income (see instruct	ions)	, 		··
		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1	Distributable amount for 2018 from Part XI, line 7	1	# ** ** ** ** ** ** ** ** ** ** ** ** **	4	396,626.0
2	Undistributed income, if any, as of the end of 2018	-	A CONTRACTOR OF THE REAL PROPERTY OF THE PROPE	1	330,020.0
а	Enter amount for 2017 only	, , , , , , , , , , , , , , , , , , ,		0	
b	Total for prior years 20, 20, 20		0		
3	Excess distributions carryover, if any, to 2018				1
а	From 2013	7	, ,	· '	
b	From 2014	7	,	į	ĺ
c d		⊣ ,		ŀ	
e	From 2016	∃i`			•
f	Total of lines 3a through e	4,790,491.00	- 		
4	Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 1,406,201		1		1
а	Applied to 2017, but not more than line 2a .		<u> </u>	0	<u> </u>
b	Applied to undistributed income of prior years (Election required—see instructions)	1	0	· 	* *
С	Treated as distributions out of corpus (Election		,		,
d	required—see instructions) Applied to 2018 distributable amount	0		·	396,626
	Remaining amount distributed out of corpus	1,009,5/5		1 2 2 2 2 2 2 2	390,020
5	Excess distributions carryover applied to 2018		*======================================		
	(If an amount appears in column (d), the same amount must be shown in column (a))	0	are year	and the second s	c
	Enter the net total of each column as indicated below:				
	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	5,800,066.00			
	Prior years' undistributed income Subtract line 4b from line 2b		0.00		,
	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed	† 			
	Subtract line 6c from line 6b Taxable amount—see instructions .	1	0.00		
	Undistributed income for 2017 Subtract line 4a from line 2a. Taxable amount—see instructions		and the second s	0.00	ga dalaman ya sa sang sang sana a sang
	Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019.				0.00
	Amounts treated as distributions out of corpus	·			
	to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0 _	, , , , , , , , , , , , , , , , , , , ,		
	Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	1,714,325		7.1.	
	Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	4,085,741.00		1	
	Analysis of line 9			-	7
	Excess from 2014 . 937,926			į	
	Excess from 2015			· •	
	Excess from 2016	ľ	į.];	
	Excess from 2017		·	ĺ,	
	2.000	<u> </u>	<u> </u>	<u></u>	Form 990-PF (2018)

	90-PF (2018)	tions (occ. matrice	tions and Dart V	II A question C	<u> </u>	Page 1
Part					<u>')</u>	/
ıa	If the foundation has received a ruling foundation, and the ruling is effective fo		·		N/A	
b	Check box to indicate whether the four) or
2a	Enter the lesser of the adjusted net	Tax year		Prior 3 years		(e) Total
	income from Part I or the minimum investment return from Part X for	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) 10tai
	each year listed					0.0
	85% of line 2a	0.00	0.00	0.00	0.00	0.0
С.	Qualifying distributions from Part XII, line 4 for each year listed					0.0
d	Amounts included in line 2c not used directly for active conduct of exempt activities					0.0
е	Qualifying distributions made directly for active conduct of exempt activities					
2	Subtract line 2d from line 2c .	0.00	0.00	0.00	0.00	0.0
3	Complete 3a, b, or c for the alternative test relied upon					
а	"Assets" alternative test—enter (1) Value of all assets					0.0
L	(2) Value of assets qualifying under section 4942(j)(3)(B)(i) "Endowment" alternative test—enter 2/3					0.0
b	of minimum investment return shown in Part X, line 6 for each year listed .					0.0
С	"Support" alternative test—enter				[
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)			1		0.0
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.0
	(3) Largest amount of support from an exempt organization			,		
	(4) Gross investment income					0.0
art				foundation h	ad \$5,000 or mor	
1	Information Regarding Foundation			·		
а	List any managers of the foundation was before the close of any tax year (but or	vho have contribute				the foundation
NE		100/				
b	List any managers of the foundation ownership of a partnership or other en					e portion of the
NE	Information Regarding Contribution	Crant Citt Loon	Sabalarahin ata	Dragrama		
2	Check here ► ☐ if the foundation of unsolicited requests for funds. If the focomplete items 2a, b, c, and d See ins	only makes contribution	utions to preseled	ted charitable o		
а	The name, address, and telephone nur		ss of the person to	o whom applicat	ions should be addr	ressed
т сл	ATEMENT 3				,	
	The form in which applications should	be submitted and ir	formation and ma	terials they shou	ıld include	
E ST	ATEMENT 3					
	Any submission deadlines					
d	ATEMENT 3 Any restrictions or limitations on away	ards, such as by g	jeographical area	s, charitable fiel	ds, kinds of institu	itions, or othe
	factors:					

Part XV Supplementary Information (cont.	inued)	a al 6	ture Dayme - 1	
3 Grants and Contributions Paid During t			ture Payment	
Recipient	If recipient is an individual, show any relationship to any foundation manager		Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
a Paid during the year				
SEE SCHEDULE 4				
				1
				1,406,20
				_
		ĺ		
·				
				}
				ļ
		ļ		
Total				a 1,406,201.00
b Approved for future payment	<u></u>			
NONE				}
		[
		ļ		
	1	-		
	İ			,
	ĺ			
Total			. > 31	0.00

Pa	art XVI-A Analysis of Income-Producing Ac	tivities				
Ent	er gross amounts unless otherwise indicated	Unrelated bu	isiness income	Excluded by sect	ion 512, 513, or 514	1 (<i>c)</i>
		(a)	(b)	(c)	(d)	Related or exemp function income
4	D	Business code	Amount	Exclusion code	Amount	(See instructions.
1	ì					
	a b					
	d					
						
	f	-				
	g Fees and contracts from government agencies					-
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4				14	307,728	
5					SS	7.7.
	a Debt-financed property			١		
_	b Not debt-financed property					
_	Net rental income or-(loss) from personal property					
7						
8	Gain or (loss) from sales of assets other than inventory Net income or (loss) from special events			18	(40,490)	
9 10	Gross profit or (loss) from sales of inventory .					
	Other revenue a					
•	h	-	-			
	b					
	_					
	c					
	С					
12	d		0.00		267,238.00	0.00
13	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e)		0.00		267,238.00 13	0.00 267,238.00
13 See	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations	·	•			
13 See Pa	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations tt XVI-B Relationship of Activities to the Ad	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations tt XVI-B Relationship of Activities to the Ad	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations rt XVI-B Relationship of Activities to the Ad	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations tt XVI-B Relationship of Activities to the Ad	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations tt XVI-B Relationship of Activities to the Ad	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
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13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
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13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00
13 See Pa Lin	c d e Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculations t XVI-B Relationship of Activities to the Add e No. Explain below how each activity for which is accomplishment of the foundation's exempt purp	complishme	ent of Exempt		13	267,238.00

Form **990-PF** (2018)

Par	t XV	Information Organizat		ansfers to and Tra	insactions a	nd Relationsh	ips With	Nonchar	itable Ex	empt
a b	org Tra (1) (2) Oth (1) (2) (3) (4) (5) (6)	the organization section 501(c) (c) anizations? nsfers from the recash Other assets other assets to ransactions Sales of assets to Purchases of ass Rental of facilities Reimbursement at Loans or loan guarent parformance of section 501(c) (c) (c) (c) (c) (c) (c) (c) (c) (c)	directly or indirectly other than section eporting foundation a noncharitable exets from a noncharitable exercise, equipment, or other arrangements arantees arentees ervices or members	itable exempt organi ner assets ship or fundraising so	ations) or in xempt organization	section 527, reation of		political	1a(1) 1a(2) 1b(1) 1b(2) 1b(3) 1b(4) 1b(5) 1b(6)	x x x x x x x
c d	lf th	ne answer to any ne of the goods, o	of the above is "Youther assets, or serv	lists, other assets, or es," complete the fo vices given by the re gement, show in coli	llowing sched porting founda	ule Column <mark>(b)</mark> ation If the foun	dation rece	eived less	than fair r	narket
(a) Line	e no	(b) Amount involved	(c) Name of non-	charitable exempt organiza	ation (d)	Description of transf	ers, transactio	ns, and shari	ng arrangen	nents
2a				affiliated with, or relative 501(a)(2)(a)		or more tax-exe	mpt organi	_		
b			following schedule	ection 501(c)(3)) or in e (b) Type of or	<u></u> _	· 	(c) Descriptio		Yes x	
I/A										
Sign Here	corr	ect, and complete, peola nature of officer or true	aration of proparer (other the	ed this return, including accordant taxpayer) is based on all	information of which	preparer has any kno	wledge	May the IRS with the prep See instruction	discuss this parer shown tons Yes	return pelow?
Paid Prepa Use C			€ name	Preparer's signature		Date	self-el Firm's ElN ▶	r If PT	IN	
	_	Firm's address ▶					Phone no			

The Ball Foundation EIN 27-4099620 Form 990-PF For Calendar Year 2018

Statement 1 - Other Expenses

Description	— (a) Expenses Per Books	(b) Net investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank Fees	1,680	1,680	0	0
Web Fees	10,912	10,912	0	0
To Form 990-PF, Page 1, Line 23	12,592	12,592	0	0

Statement 2 - Corporate Bonds

Statement 2 - Corporate Bonds	_		
	(a)	(b)	(c)
	BOY	EOY	EOY
	Book Value	Book Value	Fair Market Value
Nucor Corp	290,093		
United Technologies Corp	1,150,690	1,150,690	1,013,510
GAP Inc.	947,500	947,500	1,021,100
PNC FDG Corp	999,210	999,210	997,790
Newmont Mining Corp	1,037,500	1,037,500	989,560
Oracle Corp	1,017,530	1,017,530	973,260
Morgan Stanley	1,032,850	1,032,850	1,001,440
Citigroup Inc	250,398		
Verizon Communications	1,107,400	1,107,400	1,064,360
To Fomr 990-PF, Part II, Line 10 C	7,833,170	7,292,680	7,061,020

Statement 3 - Grant Application Submission Information, Part XV, Lines 2a through 2d

Name and Address of Person to Whom Applications Should be Submitted

Applications are accepted online only via HTTPS //www.ball.com/ball-foundation/

Form and Content of Application

Applications are accepted online only via HTTPS://www.ball.com/ball-foundation/

See Schedule 2 - "Information Requested In The Ball Foundation Grant Application"

Submission Deadlines

None

Restrictions and Limitations on Awards

See Schedule 3 - "Ball Foundation - Grants Guidelines"

Information Requested in the Ball Foundation Grant Application

The Ball Foundation will only be accepting grant application online beginning in 2012. A link to our grant application site will be available on ball.com. Organizations interested in applying will have to pass an eligibility quiz before they can create an account and fill out full the online application.

Online	Organization Eligibility Quiz	Yes			
1	Is your organization a Section 501(c)(3) tax-		Go To Question 2		
	exempt organization that is classified as a	No	Go To Question 1a		
	public charity under Section 509(a) (1); (2) or				
	(3)?				
1A	ls your organization a tax-exempt public		Go To Question 2		
	agency classified under Section 170 (c) (1)?	No	Not Permitted Further /Notify at the end		
2	Is your organization located in/serve the	d in/serve the Yes Go to question 3			
	United States?	No	Not permitted further/notify at end		
3	Are you seeking funding for lobbying, political	Yes	Not permitted further/notify at end		
	or fraternal activities?	No	Go to question 4		
4	Are you seeking funding for an individual?	Yes	Not Permitted Further /Notify at the end		
		No	Go to question 5		
5	Are you seeking funding to support travel?		Not permitted further/notify at end		
		No	Go to Question 6		
6	Are you seeking funding for medical research?		Not permitted further/notify at end		
			Go to question 7		
7	Are you seeking funding for programs	Yes	Not permitted further/notify at end		
	targeting people with specific physical,		Go to question 8		
	medical or psychological conditions?	Yes	Co To Overhier 90		
8	Is your organization a religious organization?		Go To Question 8a		
		No	Go To Question 9		
8A	If yes, are you seeking funds for training in or promotion of religious doctrine?	Yes	Not Permitted Further /Notify at the end		
		No	Go To Question 9		
9 .	If funded, will this grant remain under the	Yes	Go To Question 10		
	financial control of the applying organization		Not Permitted Further /Notify at the end		
	and not be regranted in any way to other				
	charitable organizations?	Yes			
10	The Ball Foundation grants program serves the		Go To Question 11		
	Colorado front range and other communities		Not Permitted Further /Notify at the end		
	in which our employees live and work. Does				
ı	your organization serve one or more of these				
	locations?				

11	The Ball Foundation focus areas are education, recycling and community engagement. Does your organization emphasize one of these focus areas?		Go To Question 12		
			Not Permitted Further / Notify at the end		
			,		
12	Is this organization in full compliance with Ball	Yes	Go to Message A		
	Corporation non-discrimination policy?	No	Go to Message B		
Message	Thank you for completing this eligibility		Go to Registration		
Α	assessment. Based on your answers, it				
	appears that your organization qualifies to	į			
	complete the Ball Foundation Grant				
	Application. Please click below to register.		,		
Message	Thank you for your interest in funding support		Go to Homepage		
В	from the Ball Foundation. Since your	ļ			
-	organization does not meet our funding				
	criteria, we regret that we will not be able to	-			
	assist your at this time. We wish you much		·		
	success in your fundraising endeavors.				

The full grant application asks for the following information:

Organization Background Information

- Organization's Mission Statement
- Brief overview of the organization and evidence of its impact
- List/description of the organization's major achievements
- Type of organization
- · Organization's Board of Directors, key staff, and their qualifications
- List of other major donors and funding contributions
- · Non-Discrimination Policy, if it has one

Project Request Information

- Project title
- Request/Support Type (Project Support, Operating Budget, Capital Campaign, Other (describe)
- Requested amount
- Project budget

- Detailed project budget including a description of how the funds will be spent and how the amount was determined
- Project start date
- Project end date
- Nature of request
- Project background
- Other funding contributions
- Evaluation of project
- List non-company name donors and supporters and amounts contributed to project

Project Details

- List and description of the current project objectives
- Problem the project addresses
- How the project objectives be met (strategies and outcomes)
- Description of the use of staff (including volunteers) and their qualifications
- Project implementation timeline and key milestones
- Why this project should be funded and the basis for optimism that this project can address the problem successfully
- Analysis of the project's risks and limitations, including how these factors will be addressed or minimized
- Brief history of the project
- Organization's or program's website
- Description of how the project fits within the guidelines of The Ball Foundation

Documentation

- Total annual organizational operating budget
- Operating Budget File
- Organization's IRS 501(c)(3) or 509(a)(1),(2),(3) tax designation
- Organization's 990 or 990-PF federal tax return
- Organization's current audited financial statement

Schedule 2

Implementation and Evaluation

- If previously funded by Ball Corporation or The Ball Foundation please provide a brief report on the results of the grant (i.e., amount of funding, what was accomplished, challenges encountered, and corrective actions taken)
- Additional information organization deems vital to review of the grant application
- Description of the project deliverables and outcomes
- Explanation of the assessment strategies that will be used to define and measure the program's success
- Description of the involvement of stakeholders (e.g. Board, Target Population, Community Members)
- Sustainability plan what steps will the organization take to ensure the future success of the proposed project beyond completion of Ball Foundation funding (i.e. future financial support, staff requirements, continued community interest)?

Grant Classifications

- Motivation (Ball assigns): Charity, Strategic, Commercial
- Program Type: Education (Higher, K-12, STEM and/or Diversity), Recycling, Social Services, Food Security, Disaster Relief, Other
- Budget Source (Ball assigns): Corporate, Foundation, Other
- Ethnicity Served (optional): All, African American, Hispanic, Minorities in General, Native American, Pacific Asian and Asian Indian/Middle Eastern
- Gender Served (optional): All, Female, Male
- Geography: Enter location
- Target Age: All, Infant, Children, Adolescence, Young Adult, Adult, Elderly

Schedule 3

The Ball Foundation – Grants Guidelines

Mission

The Ball Foundation's mission is to provide financial support to not-for-profit organizations that sustain the communities in which we live and operate by improving and promoting education, recycling and community engagement.

The Ball Foundation's Grants program provides grants to certain eligible, charitable organizations that meet the Foundation's guidelines and are located in the U.S. The Ball Foundation focuses its grant making investments in organizations with measurable plans to improve communities where our associates live and work. To be considered for a grant, applicants must be tax-exempt, nonprofit organizations as defined under section 501(c)(3) of the Internal Revenue Code, or an accredited public or private school or university.

Funding Focus Areas:

Education: We believe education is vital to social and economic development because it gives people in our communities the knowledge and skills they need to achieve employment and economic security in our rapidly changing, global economy. To help keep our communities and our business competitive and prosperous, we support formal educational opportunities for K-12 education, higher education, business-education partnerships, and other local needs. The Foundation focuses its education funding on programs that support science, technology, engineering and math (STEM) education; and support diversity by providing opportunities for educational achievement and diverse thought regardless of racial and ethnic background, gender or economic status.

Recycling: Recycling is critical to the future of our business, society and planet. Its benefits include saving significant amounts of energy and natural resources. The Foundation supports programs that increase packaging recycling rates, improve collection processes and provide education about the benefits of recycling.

Community Engagement: An important part of the cultural values of Ball Corporation and the Ball Foundation is to be a good neighbor, corporate citizen and employer of choice in communities where we have a presence; a healthy business depends upon thriving communities. The needs in our communities are many. As a packaging and aerospace company, we support organizations, programs and civic initiatives that advance sustainable livelihoods for the citizens of our communities by improving self-sufficiency in times of need, primarily through food security and disaster relief initiatives.

The Ball Foundation prefers grant requests for specific projects and programs as opposed to sponsorships. Funding to individual schools will be limited to higher educational institutions, except

when the Foundation matches qualifying contributions made to schools through our manufacturing facilities. We reserve the right to deny funding to organizations at any time.

What We Do Not Fund

The Ball Foundation does not support organizations that discriminate against a person or a group on the basis of age, political affiliation, race, national origin, ethnicity, gender, disability, sexual orientation or religious belief.

In order to maintain its focus and achieve a greater impact through the use of its funds, the Ball Foundation will also generally not support:

- Individuals
- Religious groups for religious purposes
- Programs targeted for people with specific physical, medical or psychological conditions
- Medical research
- Grant requests for production of audio, film or video
- Grant request for capital campaigns or projects
- Grant requests for museum exhibits or similar displays
- Requests to support travel
- Lobbying, political or fraternal activities
- Organizations not exempt under Section 501(c)(3) of the IRS code or unaccredited public/private schools
- Programs or organizations for which the Ball Foundation is asked to serve as the sole funding source

How to Apply

 Interested organizations must apply online. Click the grant application website to create an account or log in and begin the application process. The first step is a mandatory eligibility review.
 Organizations that meet the Foundation's eligibility requirements will be permitted to register and complete the Foundation's grant application.

Response

The Ball Foundation board of directors meets twice a year to evaluate funding requests, and to review current programs and overall strategy. Generally organizations can expect a response 6-8 weeks following the application deadline.

Requirements and Limitations

Schedule 3

All requests for grants and/or charitable funding in the U.S. must be made through the Ball Foundation and should not be directed to Ball Corporation or any of its operating units, including Ball Aerospace.

All agencies or organizations whose applications are approved by the Foundation's board of directors must sign a letter of agreement regarding the terms of the respective grant.

Agencies or organizations that receive Ball Foundation funds will be required to complete follow-up reporting so that the Foundation can evaluate program impact and benefits as well as ensure proper use of Foundation funds.

Organizations that have been denied funding by the Foundation must wait one year before being eligible to reapply for funding.

Organizations that are currently funded by the Foundation that wish to apply for additional funding for a new or different program must submit a separate application for each program.

Organizations that are currently being funded may apply for continuation of the program being funded (if applicable), but must follow all procedures implemented by the Foundation during the course of the program to be eligible to receive continuation funding.

Generally, a particular program or organization will not be funded for more than three consecutive years. After that time the organization must wait 12 months before reapplying.

2018 Form 990-PF	 	Τ .	T	T	•
Part XV, 3a					
The Ball Foundation 27-4099620		ļ		 	
Schedule 4		 		\vdash	
Recipient Name and Address	If recipiants is an individual, show any relationship to any foundation manager or substantial contributor	I Foundation	Purpose of grant or contribution		Amount
University of Colorado Foundation 1800 Grant St. Suite 725 Denver, CO 80203	,	PC	General Purpose	\$	104,000 00
The Recycling Partnership 125 Rowell Ct Falls Church, VA 22046		PC	General Purpose	\$	100,000 00
CAN'd AID Foundation 1800 Pike Rd Longmont, CO 80501		PC	General Purpose	\$	100,000 00
Denver Scholarship Foundation789 Sherman St Suite 610 Denver, CO 80203		PC	General Purpose	\$	50,000 00
Children's Museum of Denver 2121 Children's Museum DriveDenver, CO 80221	· ₇ ,	PC	General Purpose	\$	32,000 00
Colorado Robotics Excelling At Science & Technology 3773 \ Cherry Creek North Dr Denver, CO 80209		PC	General Purpose	\$	25,000 00
Mile High United Way 711 Park Ave West Denver, CO 80205		PC	General Purpose	\$	25,000 00
Regis Univeristy 3333 Regis Blvd- Denver, CO 80221		PC	General Purpose	\$	12,000 00
Colorado Succeeds 1390 Lawrence St , Suite 200 Denver, CO 80204		PC	General Purpose	\$	40,000 00
Green Up Our Schools 921 Walnut Street Boulder, CO 80302		PC	General Purpose	\$_	10,000 00
Road Runner Food Bank 5840 Office Blvd NE Albuquerque, NM 87109		PC	General Purpose	\$	1,922 00
Arlington Food Assistance Center 2708 S Nelson St Arlington, VA22206		PC	General Purpose	\$_	1,527 00
Feeding America Eastern Wisconsin 1700 W Fond du Lac Ave Milwaukee, WI 53205		PC	General Purpose	\$	13,800 00
The Food Bank Inc 56 Armor Place Dayton, OH 45417		PC	General Purpose	\$	1,980 00
Akron-Canton Regional Food Bank 350 Opportunity Parkway Akron, OH 44307		PC	General Purpose	\$	8,500 00
Second Harvest Food Bank 136 Harvest Lane Maryville, TN 37801		PC	General Purpose	\$	10,413 00
Saint Vincent de Paul Society Food Pantry 321 Witmer Rd					
torhsam, PA 19044		PC	General Purpose	\$	5,760 00

2018 Form 990-PF Part XV, 3a The Ball Foundation 27-4099620 Schedule 4 If recipiants is an individual, **Foundation** show any relationship to any Purpose of grant Amount **Recipient Name and Address** status of foundation manager or or contribution recipient substantial contributor Community Sharing P O. Box 1160 Oakdale, CA95361 General Purpose 1,529 00 Peace At Home Family Shelter PO Box 10946 Fayetteville, AR 72703 PC General Purpose 2,923 00 Blue Ridge Area Food Bank PΟ Box 937 96 Laurel Hill Rd Verona, VA General Purpose 3,365 00 244482 PC Montgomery County Food Bank1 Food for Life Way Conroe, TX 77385 РС General Purpose 6,432 00 Mission Solano PO Box 9 Fairfield, CA 94533 General Purpose 2,193 00 PC Chopin Hall 1800 N Blanchard St Findlay, PC General Purpose 30,588 00 OH 45840 City Mission 510 West Main Cross Street Findlay, OH 30,588 00 PC General Purpose 45840 Fort Atkinson Food Pantry ΡQ Box 275 Ft Atkınson, WI 53538 PC General Purpose 21,194 00 Community Food Bank 3000 Galvez Ave 907 00 Ft Worth, TX76111 PC General Purpose Arvada Community Food Bank 8555 W 57th Ave Arvada, CO 80002 PC General Purpose 7,011 00 Hawan Food Bank 2611 Kılıhau St Honolulu, HI 96819 PC General Purpose 5,931 00 Food Finders Food Bank Inc. 50 Olympia Court 4,994 00 Lafayette, IN 47909 PC General Purpose Action Ministries Rome PO Box 107 1 Rome, GA 30162 PC General Purpose 27,510 00 Saratoga County Economic Development Opportunity Council 39 Bath St 7,305 00 Ballston Spa, NY 12020 РС General Purpose Metropolitan Ministries Inc 2002 N Florida Ave Tampla, FL 33602 PC General Purpose 2,042 00 Food Bank of the Hudson Valley 195 Hudson St 3,065 00 Cornwall-on-Hudson, NY 12520 PC General Purpose Feed the Need in Durham 371 Marwood DR Unit A PC 2,305 00 Oshawa, Ontario L1H 7P8 General Purpose

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Part XV, 3a The Ball Foundation 27-4099620				-	
The Ball Foundation 27-4099620			 	┝	
Schedule 4			-		
Recipient Name and Address	If recipiants is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution		Amount
Grove Christian Outreach Center 8800 Pocahontas Trail Williamsburg, VA 23185		PC	General Purpose	\$	12,823 00
Community FoodShare 650 S Taylor Ave Lousiville, CO 80027		PC	General Purpose	\$	100,491 00
COMITE DES OEUVRES CHARITABLES DU CONSEIL MAGOG NO 238395, Merry Nord, Magog (Qc) J1X 2E7Sherbrooke		PC	General Purpose	\$	3,258 00
Northwest Harvest Kent, WA		PC	General Purpose	\$	1,148 00
St Mary's Food Bank AlliancePhoenix, AZ	-	PC	General Purpose	\$	1,137 00
Hope House					
1000 Lincoln Ave					
Oneonta, AL 35121		PC	General Purpose	\$	460 00
Second harvest Food Bank St Paul, MN		PC	General Purpose	\$	100 00
A Precious Child 557 Burbank St , Unit E Broomfield, CO 80020		PC	General Purpose	\$	20,000 00
I Have A Dream Foundation of Boulder County 5390 Manhattan Circle #200 Boulder, CO 80303		PC	General Purpose	\$	10,000 00
Gırls, Inc of Metro Denver 1499 Julian Street Denver, CO 80204		PC	General Purpose	\$	20,000 00
MindSpark Learning 455 S Pierce Street Lakewood, CO 80226		PC	General Purpose	\$	20,000 00
Eco-Cycle PO Box 19006 Boulder, CO 80308		PC	General Purpose	\$	15,000 00
American Red Cross PO Box 37864 Boone, IA 50037-0864		PC	General Purpose	\$	200,000 00
International Federation of Red Cross & Red Crescent SocietiesGenevaSwitzerland		PC	General Purpose	\$	300,000 00
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