

EXTENDED TO NOVEMBER 15, 2019

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning

, and ending

Name of foundation

A Employer identification number

THE MONTGOMERY FAMILY FOUNDATION

26-6620815

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

5784 OLD LAKE SHORE ROAD

B Telephone number

(716) 627-4686

City or town, state or province, country, and ZIP or foreign postal code

LAKE VIEW, NY 14085C If exemption application is pending, check here ☐

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash

Accrual

(from Part II, col. (c), line 16)

Other (specify)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐▶ \$ **3,059,879.**

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	69,130.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	44,078.	44,078.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	170,628.			
b	Gross sales price for all assets on line 6a	1,316,198.			
7	Capital gain net income (from Part IV, line 2)		182,218.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	24,914.	0.	0.	STATEMENT 1
12	Total. Add lines 1 through 11	308,750.	226,296.	0.	
13	Compensation of officers, directors, trustees, etc	16,486.	8,243.	0.	8,243.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 2	9,270.	4,635.	0.	4,635.
c	Other professional fees STMT 3	13,883.	13,883.	0.	0.
17	Interest				
18	Taxes STMT 4	736.	486.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 5	324.	74.	0.	250.
24	Total operating and administrative expenses. Add lines 13 through 23	40,699.	27,321.	0.	13,128.
25	Contributions, gifts, grants paid	524,000.			524,000.
26	Total expenses and disbursements. Add lines 24 and 25	564,699.	27,321.	0.	537,128.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-255,949.			
b	Net investment income (if negative, enter -0-)		198,975.		
c	Adjusted net income (if negative, enter -0-)			0.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		10,864.	39,575.	39,575.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		516,916.	274,390.	272,405.
	b	Investments - corporate stock STMT 8		733,121.	846,897.	1,173,722.
	c	Investments - corporate bonds STMT 9		348,108.	192,390.	187,811.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10		0.	23,685.	26,366.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ ROMARK SCS)		-167,291.	-352,167.	1,360,000.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,441,718.	1,024,770.	3,059,879.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		467,283.	467,283.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		974,435.	557,487.	
30	Total net assets or fund balances		1,441,718.	1,024,770.		
31	Total liabilities and net assets/fund balances		1,441,718.	1,024,770.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,441,718.
2	Enter amount from Part I, line 27a	2	-255,949.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,185,769.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	160,999.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,024,770.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			
b ROMARK - DISTR. IN EXCESS OF BASIS	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 1,304,608.		1,133,980.	170,628.
b 11,590.			11,590.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			170,628.
b			11,590.
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> <div style="margin-left: 10px;"> <div style="border: 1px solid black; width: 40px; height: 20px; margin-bottom: 2px;"></div> <div style="border: 1px solid black; width: 40px; height: 20px;"></div> </div> </div>	2	182,218.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 </div>	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	312,650.	2,372,149.	.131800
2016	360,692.	2,321,502.	.155370
2015	84,394.	2,299,534.	.036700
2014	89,450.	1,921,436.	.046554
2013	92,959.	1,547,966.	.060052

2 Total of line 1, column (d)	2	.430476
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.086095
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	3,334,252.
5 Multiply line 4 by line 3	5	287,062.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,990.
7 Add lines 5 and 6	7	289,052.
8 Enter qualifying distributions from Part XII, line 4	8	537,128.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI. Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,990.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	1,990.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,990.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	1,317.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,500.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	3,817.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	11.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,816.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/> 1,816.	11	0.

Part VII-A. Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		<input checked="" type="checkbox"/>
1c Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input checked="" type="checkbox"/>	
b If "Yes," has it filed a tax return on Form 990-T for this year?	<input checked="" type="checkbox"/>	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	<input checked="" type="checkbox"/>	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<input checked="" type="checkbox"/>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► ROBERT MONTGOMERY Telephone no. ► (716) 627-4686 Located at ► 5784 OLD LAKE SHORE ROAD, LAKE VIEW, NY ZIP+4 ► 14085-9763		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here N/A ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► , , , b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes <input checked="" type="checkbox"/> No	3b	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	4a	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b
Organizations relying on a current notice regarding disaster assistance, check here	N/A <input checked="" type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b
If "Yes" to 6b, file Form 8870.		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes <input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		16,486.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,964,829.
b	Average of monthly cash balances	1b	60,198.
c	Fair market value of all other assets	1c	1,360,000.
d	Total (add lines 1a, b, and c)	1d	3,385,027.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,385,027.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	50,775.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,334,252.
6	Minimum investment return. Enter 5% of line 5	6	166,713.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	166,713.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	1,990.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	1,399.
c	Add lines 2a and 2b	2c	3,389.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	163,324.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	163,324.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	163,324.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	537,128.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	537,128.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,990.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	535,138.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				163,324.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years: 2016, 2015, 2014		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	16,989.			
b From 2014				
c From 2015				
d From 2016	247,753.			
e From 2017	197,561.			
f Total of lines 3a through e	462,303.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$	537,128.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				163,324.
e Remaining amount distributed out of corpus	373,804.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	836,107.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	16,989.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	819,118.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016	247,753.			
d Excess from 2017	197,561.			
e Excess from 2018	373,804.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 13

c Any submission deadlines:

SEE STATEMENT 13

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 13

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BUFFALO PHILHARMONIC ORCHESTRA 499 FRANKLIN STREET BUFFALO, NY 14202	NONE	PC	TO SUPPORT LIVE SYMPHONIC MUSIC	130,000.
CANAAN NATURE CENTER 144 OENOKE RDG NEW CANAAN, CT 06840	NONE	PC	TO SUPPORT ENVIRONMENTAL EDUCATION	7,500.
CENTER FOR RESPONSIBLE TRAVEL 51 STATION AVENUE BASS RIVER, MA 02664	NONE	PC	TO SUPPORT TRAVELERS' PHILANTHROPY	2,500.
COLLEGE CONNECTIONS PROJECT 163 W 125TH ST NEW YORK, NY 10027	NONE	PC	TO SUPPORT COLLEGE APPLICATION ASSISTANCE PROGRAMS	5,000.
CONNECTICUT CONFERENCE OF THE UNITED CHURCH OF CHRIST 125 SHERMAN STREET HARTFORD, CT 06105	NONE	PC	TO SUPPORT YOUTH CHURCH CAMP PROGRAMS	5,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				524,000.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
CRADLE BEACH CAMP, INC. 8038 OLD LAKE SHORE ROAD ANGOLA, NY 14006		NONE	PC	TO SUPPORT CHILDREN WITH SPECIAL NEEDS	5,000.
EXPLORE & MORE A CHILDREN'S MUSEUM 300 GLEED AVENUE EAST AURORA, NY 14052		NONE	PC	TO SUPPORT IN CHILD EDUCATION	100,000.
FREE THE KIDS 5704 W. MARKET STREET, #8947 GREENSBORO, NC 27419		NONE	PC	TO SUPPORT ABANDONED AND VULNERABLE CHILDREN IN HAITI	5,000.
HAMBURG ALUMNI FOUNDATION P.O. BOX 174 HAMBURG, NY 14075		NONE	PC	TO SUPPORT THE HAMBURG CENTRAL SCHOOL DISTRICT	1,000.
HAUPTMAN-WOODWARD MEDICAL RESEARCH, INC. 700 ELLICOTT STREET BUFFALO, NY 14203-1102		NONE	PC	TO SUPPORT MEDICAL RESEARCH	5,000.
KALEIDA HEALTH FOUNDATION 1260 DELAWARE AVENUE BUFFALO, NY 14209		NONE	PC	TO SUPPORT COMMUNITY HEALTH	5,000.
KEVIN GUEST HOUSE 782 ELLICOTT STREET BUFFALO, NY 14203		NONE	PC	TO PROVIDE LODGING FOR FAMILIES OF PATIENTS RECEIVING MEDICAL TREATMENT	7,500.
Total from continuation sheets					374,000.

Part XV Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

Name and address (home or business)	Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROSWELL PARK ALLIANCE FOUNDATION ELM & CARLTON STREETS BUFFALO, NY 14263		NONE	PC	TO SUPPORT CARLY'S CLUB	35,500.
SENECA ST UNITED METHODIST 1218 SENECA STREET BUFFALO, NY 14210		NONE	PC	TO SUPPORT SCHOLARSHIP FUND AND WISH LIST	5,000.
SENECA STREET COMMUNITY DEVELOPMENT CORPORATION 1218 SENECA STREET BUFFALO, NY 14210		NONE	PC	TO SUPPORT COMMUNITY DEVELOPMENT	15,000.
STATE OF NEW YORK UNIVERSITY OF BUFFALO 144 JACOBS MANAGEMENT CENTER BUFFALO, NY 14260-4000		NONE	PC	TO SUPPORT GRADUATE EDUCATION	12,500.
TEACHER'S DESK 22 NORTHAMPTON STREET BUFFALO, NY 14209		NONE	PC	TO SUPPORT TEACHERS AND EDUCATION	5,000.
THE JACKSON LABORATORY P.O. BOX 254 BAR HARBOR, ME 04609-0254		NONE	PC	TO SUPPORT BIOMEDICAL RESEARCH	7,500.
THE PARK SCHOOL 171 GODDARD AVE BROOKLINE, MA 02445		NONE	PC	TO SUPPORT IN CHILD EDUCATION	150,000.

Total from continuation sheets

823641 04-01-18

Part XV Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WILDLIFE IN CRISIS, INC. P.O. BOX 1246 WESTON, CT 06883	NONE	PC	TO SUPPORT CHILDREN WITH SPECIAL NEEDS	5,000.
WINGS FLIGHTS OF HOPE, INC. P.O. BOX 872 ORCHARD PARK, NY 14217	NONE	PC	FOR SUPPORT OF FREE AIR TRANSPORTATION FOR THOSE IN MEDICAL NEED	5,000.
ZOOLOGICAL SOCIETY OF BUFFALO, INC. 300 PARKSIDE AVENUE BUFFALO, NY 14214-1999	NONE	PC	TO SUPPORT WILDLIFE CONSERVATION	5,000.

Total from continuation sheets

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

THE MONTGOMERY FAMILY FOUNDATION

Employer identification number

26-6620815

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE MONTGOMERY FAMILY FOUNDATION	26-6620815

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 9,770.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	CAROLYN MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 59,360.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE MONTGOMERY FAMILY FOUNDATION	26-6620815

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	OTHER INCOME		STATEMENT 1
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROMARK LABORATORIES, LC - ORDINARY INCOME	24,914.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	24,914.	0.	0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ERNST & YOUNG US, LLP	9,270.	4,635.	0.	4,635.
TO FORM 990-PF, PG 1, LN 16B	9,270.	4,635.	0.	4,635.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	13,883.	13,883.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	13,883.	13,883.	0.	0.

FORM 990-PF	TAXES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NYS INCOME TAX PAID	250.	0.	0.	0.
FOREIGN TAXES PAID	486.	486.	0.	0.
TO FORM 990-PF, PG 1, LN 18	736.	486.	0.	0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ROMARK SCS - EXPENSES	74.	74.	0.	0.
NYS FILING FEES	250.	0.	0.	250.
TO FORM 990-PF, PG 1, LN 23	324.	74.	0.	250.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 6
DESCRIPTION		AMOUNT
BOOK ADJUSTMENT - ROMARK SCS		160,982.
BOOK ADJUSTMENT		17.
TOTAL TO FORM 990-PF, PART III, LINE 5		160,999.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT 7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
25,000 FANNIE MAE 2.000%	X		24,691.	24,605.
25,000 FANNIE MAE 2.625%	X		25,020.	24,892.
25,000 FANNIE MAE 1.875%	X		22,927.	23,277.
25,000 US TREASURY NOTES 2.000	X		25,408.	24,770.
50,000 US TREASURY NOTES 1.625	X		50,529.	48,398.
20,000 US TREASURY NOTES 2.375	X		20,792.	19,822.
40,000 US TREASURY NOTES 2.750	X		39,911.	40,406.
45,000 US TREASURY NOTES 3.125	X		45,811.	46,701.
20,000 NEW YORK ST URBAN DEV CORP		X	19,301.	19,534.
TOTAL U.S. GOVERNMENT OBLIGATIONS			255,089.	252,871.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			19,301.	19,534.
TOTAL TO FORM 990-PF, PART II, LINE 10A			274,390.	272,405.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
300 ABBOT LABORATORIES	9,306.	21,699.
250 ACTIVISION BLIZZARD	16,015.	11,643.
85 ALPHABET INC	26,374.	88,027.
300 ALTRIA GROUP INC	19,392.	14,817.
20 AMAZON COM INC	12,930.	30,039.
230 APPLE INC	39,237.	36,280.
1,173 BANK OF AMERICA CORP	15,110.	28,903.
135 BERKSHIRE HATHAWAY	10,895.	27,564.
400 BRISTOL-MYERS SQUIBB	20,616.	20,792.
400 CANOPY GROWTH CORP	10,475.	10,748.
225 CHECK PT SOFTWARE	14,169.	23,096.
95 CHEVRON CORPORATION	7,932.	10,335.
125 CHUBB LTD	6,410.	16,148.
1437 CISCO SYSTEMS INC	29,411.	62,265.

THE MONTGOMERY FAMILY FOUNDATION

26-6620815

625 CORNING INC	7,377.	18,881.
245 DUKE ENERGY CORP	19,110.	21,144.
150 ECOLAB INC	18,320.	22,103.
768 ENBRIDGE INC	27,461.	23,869.
300 EXXON MOBILE CORP	22,747.	20,457.
110 FACEBOOK INC	10,652.	14,420.
225 FLIR SYSTEMS INC	7,756.	9,797.
95 GENERAL DYNAMICS CO	16,610.	14,935.
100 GOLDMAN SACHS GROUP	17,284.	16,705.
375 GRANITE CONSTRUCTION	19,809.	15,105.
100 HOME DEPOT INC	18,312.	17,182.
250 INCYTE CORP	17,096.	15,898.
405 INTEL CORPORATION	6,883.	19,007.
275 JOHNSON & JOHNSON	25,188.	35,489.
123 JPMORGAN CHASE & CO	6,659.	12,007.
85 MASTERCARD INC	1,322.	16,035.
135 MCDONALDS CORP	21,379.	23,972.
850 MICROSOFT CORP	26,983.	86,335.
125 NEXTERA ENERGY INC	20,638.	21,728.
85 NVIDIA CORP	9,193.	11,348.
210 PEPSICO INCORPORATED	11,485.	23,201.
185 PNC FINANCIAL SRVCS	12,310.	21,628.
225 PROCTER & GAMBLE	14,785.	20,682.
50 REGENERON PHARMACEUTICALS	19,337.	18,675.
500 ROCHE HOLDINGS LTD	15,305.	15,540.
350 SCHLUMBERGER LTD	21,045.	12,628.
200 SCHWAB US SMALL CAP ETF	12,728.	12,136.
450 SCHWAB AB CHARLES CP NEW COM	18,730.	18,689.
650 SCHWAB EMERGING MARKETS EQUITY ETF	17,440.	15,295.
100 SERVICE NOW INC	12,676.	17,805.
350 SOUTHWEST AIRLINES	18,316.	16,267.
500 TJX COMPANIES INC	14,989.	22,370.
150 UNITED PARCEL SERVICE	10,578.	14,630.
200 UNIVERSAL DISPLAY CO	20,590.	18,713.
350 VERIZON COMMUNICATION	13,460.	19,676.
225 WALT DISNEY CO	21,445.	24,670.
150 ZIMMER BIOMET HLDGS	5,925.	15,557.
285 VANGUARD MORTGAGE-BACKED	14,556.	14,675.
1,160 VANGUARD S/T INVEST	12,146.	12,112.
TOTAL TO FORM 990-PF, PART II, LINE 10B	846,897.	1,173,722.

FORM 990-PF

CORPORATE BONDS

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
15,000 APPLE INC 2.85%	15,253.	15,003.
15,000 CATERPILLAR INC 3.9%	15,567.	15,265.
20,000 CVS HEALTH CORP 2.75%	15,112.	14,318.
15,000 GENERAL MILLS IN 3.15%	15,306.	14,896.
15,000 JOHN DEERE CAP 2.8%	15,127.	14,657.
10,000 JPMORGAN CHASE & CO 4.35%	10,582.	10,226.
15,000 MICROSOFT CORP 2.375%	15,111.	14,629.
15,000 NORFOLK SOUTHERN 5.9%	15,287.	15,176.
15,000 ORACLE CORPORATION 2.400%	14,373.	14,367.
15,000 U S BANCORP 3.1%	14,982.	14,217.
15,000 DUKE ENERGY CORP. 4.875%	15,270.	15,199.
15,000 APPLE	15,102.	14,905.
15,000 BANK OF AMERICA	15,318.	14,953.
TOTAL TO FORM 990-PF, PART II, LINE 10C	192,390.	187,811.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
450 VINTAS REC REIT	COST	23,685.	26,366.
TOTAL TO FORM 990-PF, PART II, LINE 13		23,685.	26,366.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT L. MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	PRESIDENT/TRUSTEE 2.00	0.	0.	0.
CAROLYN H. MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	TREASURER/TRUSTEE 2.00	0.	0.	0.
LISA M. HILL 132 TURTLEBACK ROAD NEW CANAAN, CT 06231	TRUSTEE 1.00	5,496.	0.	0.
KAREN M. RISLEY 293 WEST MAIN STREET AMSTON, CT 06231	TRUSTEE 1.00	5,495.	0.	0.
KATHRYN M. CAMBI, M.D. 2 ROCCO DRIVE EAST LYME, CT 06333	TRUSTEE 1.00	5,495.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		16,486.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGER

ROBERT L. MONTGOMERY
CAROLYN H. MONTGOMERY

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT L. MONTGOMERY
5784 OLD LAKE SHORE ROAD
LAKE VIEW, NY 14085

TELEPHONE NUMBER

(716) 627-4686

FORM AND CONTENT OF APPLICATIONS

REQUEST SHOULD CONTAIN A DESCRIPTION OF THE ORGANIZATION, ITS MANAGEMENT, ITS REASON FOR BEING, AND SPECIFICALLY THE INTENDED USE OF THE GRANT.

ANY SUBMISSION DEADLINES

N/A

RESTRICTIONS AND LIMITATIONS ON AWARDS

PRIMARILY, BUT NOT EXCLUSIVELY, LIMITED TO THE WESTERN NY AREA, EDUCATIONAL, RELIGIOUS, HEALTH RELATED, CULTURAL AND SCIENTIFIC RESEARCH PROGRAMS, INCLUDING SUCH PROGRAMS WHICH MIGHT BENEFIT CHILDREN AND THE ELDERLY AND SUCH INSTITUTIONS AS SHALL BE DETERMINED BY THE TRUSTEES, IN THEIR SOLE DISCRETION AS ENHANCING THE OVERALL QUALITY OF LIFE IN THE AREAS SERVED.