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Form **990-PF**

Department of the Treasury
Internal Revenue Service

C&E 911

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation: BROADCOM FOUNDATION

A Employer identification number: 26-4754581

Number and street (or P O box number if mail is not delivered to street address): 4041 MACARTHUR BLVD., STE 510 — Room/suite:

B Telephone number (see instructions): (949) 464-4138

City or town, state or province, country, and ZIP or foreign postal code: NEWPORT BEACH, CA 92660

C If exemption application is pending, check here ▶ ☐ 6

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04

03/04

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 100,554,601.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	25,259.	25,259.		
4	Dividends and interest from securities	2,830,128.	2,815,042.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	4,258,977.			
b	Gross sales price for all assets on line 6a 59,388,455.				
7	Capital gain net income (from Part IV, line 2)		4,258,977.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH 1	13,386.	1,656.		
12	**Total.** Add lines 1 through 11	7,127,750.	7,100,934.		
13	Compensation of officers, directors, trustees, etc	368,375.			368,375.
14	Other employee salaries and wages	230,796.			230,796.
15	Pension plans, employee benefits	115,131.			115,131.
16a	Legal fees (attach schedule) ATCH 2	200.			200.
b	Accounting fees (attach schedule) ATCH 3	15,300.			15,300.
c	Other professional fees (attach schedule) [ATCH] 4	485,062.	442,729.		42,333.
17	Interest				
18	Taxes (attach schedule) (see instructions) [ATCH] 5	89,856.	2,556.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	13,358.			13,358.
21	Travel, conferences, and meetings	142,515.			142,515.
22	Printing and publications	36,111.			36,111.
23	Other expenses (attach schedule) ATCH 6	154,190.	99.		154,091.
24	**Total operating and administrative expenses.** Add lines 13 through 23	1,650,894.	445,384.		1,118,210.
25	Contributions, gifts, grants paid	4,069,545.			4,067,347.
26	Total expenses and disbursements Add lines 24 and 25	5,720,439.	445,384.	0.	5,185,557.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	1,407,311.			
b	**Net investment income** (if negative, enter -0-)		6,655,550.		
c	**Adjusted net income** (if negative, enter -0-)				

Revenue

Operating and Administrative Expenses

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	4,292,683.	4,097,187.	4,097,187.
	3	Accounts receivable ▶ 5,431.			
		Less allowance for doubtful accounts ▶		5,431.	5,431.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)[7]	14,992,400.	9,864,031.	9,579,155.
	b	Investments - corporate stock (attach schedule) ATCH 8	39,876,256.	58,535,558.	68,012,326.
	c	Investments - corporate bonds (attach schedule) ATCH 9	31,667,747.	19,736,388.	18,860,502.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	2,198.		
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	90,831,284.	92,238,595.	100,554,601.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26, and lines 30 and 31**			
	24	Unrestricted	90,831,284.	92,238,595.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	90,831,284.	92,238,595.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	90,831,284.	92,238,595.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	90,831,284.
2	Enter amount from Part I, line 27a	2	1,407,311.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	92,238,595.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	92,238,595.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	4,258,977.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	4,916,345.	104,165,868.	0.047197
2016	4,777,585.	100,717,221.	0.047436
2015	3,164,284.	102,054,206.	0.031006
2014	4,444,245.	103,243,892.	0.043046
2013	3,920,178.	83,222,901.	0.047105

2	**Total** of line 1, column (d)	2	0.215790
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.043158
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	105,171,400.
5	Multiply line 4 by line 3	5	4,538,987.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	66,556.
7	Add lines 5 and 6	7	4,605,543.
8	Enter qualifying distributions from Part XII, line 4	8	5,185,557.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	66,556.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	66,556.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	66,556.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a: 115,975.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	115,975.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	49,419.
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 49,419. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ____ (2) On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MARIA WRONSKI Telephone no ▶ 949-464-4138

Located at ▶ 4041 MACARTHUR BLVD., SUITE 510 NEWPORT BEACH, CA ZIP+4 ▶ 92660

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . [ ] Yes [X] No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . [ ] Yes [X] No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . [ ] Yes [X] No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions . . . . . [ ] Yes [X] No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . [ ] Yes [X] No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here . . . . . ▶ [ ]			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . [ ] Yes [ ] No If "Yes," attach the statement required by Regulations section 53 4945-5(d) ATCH 10			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . [ ] Yes [X] No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870	6b		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . [ ] Yes [X] No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . . [ ] Yes [X] No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		368,375.	53,344.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		196,160.	32,914.	0.

Total number of other employees paid over $50,000 . . . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 13		511,537.

Total number of others receiving over $50,000 for professional services . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	103,360,711.
b	Average of monthly cash balances	1b	3,412,284.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	106,772,995.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	106,772,995.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	1,601,595.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	105,171,400.
6	**Minimum investment return.** Enter 5% of line 5	6	5,258,570.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	5,258,570.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	66,556.		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	66,556.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	5,192,014.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	5,192,014.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	5,192,014.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	5,185,557.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,185,557.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	66,556.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	5,119,001.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				5,192,014.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			5,097,118.	
b Total for prior years 20 16 ,20 15 ,20 14				
3 Excess distributions carryover, if any, to 2018				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 5,185,557.				
a Applied to 2017, but not more than line 2a			5,097,118.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount				88,439.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				5,103,575.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 14				
Total ▶ **3a**				4,069,545.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	25,259.	
4 Dividends and interest from securities			14	2,830,128.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,258,977.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 15				13,386.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				7,127,750.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 7,127,750.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/14/19 | Title CFO/SECRETARY

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY D HASKELL	JEFFREY D HASKELL	11/07/2019		P01345770

Firm's name ▶ FOUNDATION SOURCE — Firm's EIN ▶ 510398347

Firm's address ▶ ONE HOLLOW LN, STE 212 LAKE SUCCESS, NY 11042 — Phone no 800-839-1754

JSA
8E1493 1 000

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CLASS ACTION LAWSUIT PROCEEDS		1,656.	1,656.
FEDERAL TAX REFUND		11,730.	
	TOTALS	13,386.	1,656.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
GENERAL CONSULTATIONS	200.			200.
TOTALS	200.			200.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AUDIT FEES	15,300.			15,300.
TOTALS	15,300.			15,300.

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT SERVICES	442,729.	442,729.	
EVENT PLANNING SERVICES	1,125.		1,125.
WEBSITE DEVELOPMENT	3,528.		3,528.
COMMUNICATIONS SERVICES	16,270.		16,270.
DESIGN SERVICES	21,410.		21,410.
TOTALS	485,062.	442,729.	42,333.

ATTACHMENT 5

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
990-PF ESTIMATED TAX FOR 2018	87,300.	
FOREIGN TAX PAID	2,556.	2,556.
TOTALS	89,856.	2,556.

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE FEES	96,000.		96,000.
BANK CHARGES	99.	99.	
CELL PHONE EXPENSES	2,677.		2,677.
INDEMNIFICATION INSURANCE	17,121.		17,121.
OFFICE SUPPLIES	11,501.		11,501.
PAYROLL PROCESSING FEES	6,392.		6,392.
POSTAGE/DELIVERY SERVICE	460.		460.
STATE OR LOCAL FILING FEES	160.		160.
TEMPORARY HELP EXPENSES	800.		800.
WEBSITE HOSTING/SUPPORT	16,710.		16,710.
FOUNDATION CREDIT CARD FEE	95.		95.
CLOUD STORAGE FEE	720.		720.
IT SUPPORT EXPENSES	62.		62.
ASIA PACIFIC AWARDS EXPENSE	1,393.		1,393.
TOTALS	154,190.	99.	154,091.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
U.S - 1.375% - 01/31/2021	873,176.	879,363.
US T - 0.000% - 01/31/2019	248,375.	249,540.
US T BILLS - 0.000% - 04/25/20	246,883.	248,113.
US T NOTE - 1.000% - 09/30/201	493,770.	494,080.
US T NTS - 2.000% - 11/15/2026	974,531.	954,890.
US T NTS - 2.250% - 02/15/2027	972,969.	971,020.
US T NTS - 2.250% - 11/15/2024	1,045,781.	982,770.
US T NTS NOTE - 1.125% - 06/30	957,382.	967,852.
US T-NOTE - 2.000% - 07/31/202	487,500.	491,760.
US TIPS - 0.250% - 01/15/2025	1,982,106.	1,840,205.
US TIPS - 0.375% - 01/15/2027	1,073,264.	994,312.
US TURY BILLS - 0.000% - 10/10	487,365.	490,170.
US OBLIGATIONS TOTAL	9,843,102.	9,564,075.
CUYAHOGA ECON TXB G - 5.000% -	20,929.	15,080.
STATE OBLIGATIONS TOTAL	20,929.	15,080.
US AND STATE OBLIGATIONS TOTAL	9,864,031.	9,579,155.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
3M CO	541,510.	762,160.
ACCENTURE PLC	385,514.	846,060.
ALPHABET INC CL A	829,481.	783,720.
AMAZON COM	602,791.	901,182.
AMERICAN WATER WORKS COMPANY I	418,136.	907,700.
AMG MANAGERS CADENCE EMERGING	856,003.	678,357.
AMGEN INC	592,903.	924,683.
ANALOG DEVICES INC	376,105.	797,618.
APPLE INC	560,722.	433,785.
BARON INTERNATIONAL GROWTH FUN	770,562.	602,693.
BECTON DICKINSON & CO	311,519.	788,620.
BERKSHIRE HATHAWAY INC. CLASS	632,303.	1,020,900.
BHP BILLITON LIMITED	784,177.	772,640.
BLACKROCK INC	329,127.	707,076.
BLACKROCK STRATEGIC MUNI OPPOR	711,569.	688,173.
BLACKROCK TECHNOLOGY OPPORTUNI	454,477.	392,241.
BOEING CO	232,999.	967,500.
BRISTOL-MYERS SQUIBB CO	910,679.	883,660.
CHEVRON CORP	671,899.	761,530.
CHUBB LIMITED	380,522.	775,080.
CISCO SYSTEMS INC	703,694.	693,280.
COLUMBIA OVERSEAS VALUE FUND C	464,019.	371,590.
COSTCO WHOLESALE CORPORATION	565,536.	712,985.
CUMMINS INC	589,978.	668,200.
DIAMOND HILL CORPORATE CREDIT	557,428.	537,085.
DOMINION ENERGY INC	585,400.	732,465.
DOWDUPONT INC	558,578.	668,500.
EATON VANCE ATLANTA CAPITAL SM	848,401.	716,856.
EMERSON ELECTRIC CO	450,691.	478,000.

ATTACHMENT 8 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EOG RESOURCES INC	837,238.	610,470.
EXXON MOBIL CORP	777,118.	681,900.
FACEBOOK INC	928,376.	655,450.
FASTENAL COMPANY	507,820.	653,625.
FEDEX CORPORATION	860,350.	564,655.
FIRST REPUBLIC BANK SAN FRANCI	249,500.	226,500.
FLAHERTY&CRUMRINE CLAYMORE P	1,024,802.	1,003,800.
GENUINE PARTS COMPANY	596,911.	624,130.
GOLDMAN SACHS GROUP PFD SER J	260,000.	242,000.
GOLDMAN SACHS INTL SMALL CAP I	455,955.	359,614.
HOME DEPOT INC	331,045.	730,235.
HONEYWELL INTL	554,248.	660,600.
INT'L FLAVORS & FRAGRANCES INC	698,276.	805,620.
INTEL CORP	897,799.	844,740.
INTERCONTINENTAL EXCHANGE, INC	630,641.	640,305.
INVESCO EUROPEAN SMALL	315,796.	255,291.
INVESCO PREFERRED ETF	1,125,215.	1,077,600.
INVESCO VAN KAMPEN HIGH YIELD	245,129.	239,830.
ISHARE SP SMALL CAP 600 INDEX	941,231.	727,860.
ISHARES SHORT MATURITY BOND ET	1,002,721.	997,000.
ISHARES ULTRA SHORT TERM BOND	1,002,202.	1,001,500.
JOHN HANCOCK INTERNATIONAL COR	767,563.	621,949.
JOHNSON & JOHNSON	591,219.	935,613.
JP MORGAN CHASE	485,407.	976,200.
KLA TENCOR CORP	628,589.	536,940.
LOCKHEED MARTIN CORP	537,265.	654,600.
MATTHEWS ASIA-PACIFIC FUND	607,232.	469,718.
MERCK & CO INC	642,655.	1,107,945.
MFS INTERNATIONAL DIVERSIFICAT	616,027.	526,316.

ATTACHMENT 8 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MICROSOFT CORP	306,901.	1,066,485.
MONDELEZ INTERNATIONAL INC	615,305.	660,495.
MORGAN STANLEY PFD SER F - 6.8	202,894.	194,250.
NEW PERSPECTIVE FUND, CLASS F	479,123.	399,598.
NEXTERA ENERGY, INC	285,035.	869,100.
NIKE INC-CL B	251,550.	667,260.
NOVO NORDISK A S	692,933.	760,155.
OPPENHEIMER GLOBAL OPPORTUNITI	483,487.	344,321.
PAYCHEX	528,600.	781,800.
PEPSICO INC	514,506.	718,120.
PIMCO FLOATING INCOME INSTITUT	610,018.	603,610.
PIMCO INCOME FUND	2,083,053.	2,012,839.
PIMCO MORTGAGE OPPORTUNITIES F	308,057.	301,707.
PIMCO UNCONSTRAINED TAX MANAGE	547,562.	544,489.
PNC FINANCIAL SERVICES PFD SER	201,232.	193,050.
PRINCIPAL FDS, INC. MIDCAP FD	494,690.	409,535.
RAYTHEON CO	641,803.	613,400.
ROYAL DUTCH SHELL CL A	859,353.	728,375.
STARBUCKS CORP COM	721,473.	901,600.
STRYKER CORPORATION	261,309.	783,750.
T. ROWE PRICE DIVERSIFIED SMAL	674,552.	565,854.
T. ROWE PRICE EMERGING MARKETS	301,547.	253,605.
TAIWAN SEMICONDUCTOR MFG CO LT	414,255.	867,385.
THOMPSON BOND FUND	704,786.	692,274.
UNILEVER PLC AMER	648,336.	783,750.
UNION PACIFIC	258,847.	622,035.
UNITED PARCEL SERVICE	580,257.	487,650.
UNITEDHEALTH GROUP INC	603,678.	1,245,600.
V F CORP	309,556.	570,720.

ATTACHMENT 8 (CONT'D)

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
VANGRD TEL SVC ETF	563,148.	481,455.
VANGUARD CONSUMER DISCRETIONAR	535,179.	451,560.
VANGUARD INFORMATION TECHNOLOG	1,620,308.	2,502,449.
VANGUARD INTERNATIONAL EXPLORE	636,571.	454,602.
VANGUARD INTL GROWTH FD ADMIRA	625,795.	492,852.
VICTORY SMALL COMPANY OPPORTUN	720,994.	569,663.
VICTORY SYCAMORE ESTABLISHED V	488,043.	401,963.
VISA INC	520,527.	989,550.
WALT DISNEY HOLDINGS CO	493,474.	822,375.
WELLS FARGO & CO	703,518.	576,000.
WELLS FARGO PFD 5.25%	248,250.	220,700.
TOTALS	58,535,558.	68,012,326.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ACTAVIS FUNDING BD - 3.450% -	1,009,270.	984,040.
AMAZON - 3.800% - 12/05/2024	1,821,873.	1,739,932.
AMERICAN EXPRESS CO - 5.200% -	142,950.	147,750.
AMGEN INC - 3.450% - 10/01/202	1,047,330.	1,004,450.
BANK OF AMERICA CORP JR SUBORD	259,375.	253,125.
BANK OF MONTREAL - 2.350% - 09	965,340.	966,000.
BP CAP MKTS PLC BOND - 3.224%	997,860.	979,070.
CAPITAL ONE BND - 2.250% - 02/	999,862.	999,730.
CHEVRON CORP NOTE - 2.566% - 0	1,003,390.	973,880.
CITIGROUP INC - 5.950% - 12/31	253,750.	228,150.
CITIGROUP INC BND - 3.300% - 0	1,247,493.	1,234,558.
CITIGROUP INC BOND - 6.250% -	255,625.	239,425.
CVS HEALTH CORP NOTE - 4.000%	1,677,675.	1,504,290.
GENERAL MTRS FINL COINC BOND P	258,125.	199,375.
GM FINANCIAL BOND - 3.700% - 1	1,086,666.	1,045,758.
GOLDMAN SACHS GROUP - 2.625% -	1,014,480.	999,370.
JPMORGAN CHASE & CO - 3.200% -	1,523,970.	1,478,145.
JPMORGAN CHASE & CO - 5.150% -	252,500.	238,125.
JPMORGAN CHASE NOTE - 4.625% -	300,000.	254,220.
MORGAN STANLEY - 6.000% - 01/2	593,559.	603,750.
PNC FIN - 6.750% - 12/31/2049	166,188.	152,438.
PROGRESS ENERGY INC - 4.875% -	1,126,492.	1,012,650.
SCHWAB CHARLES CORP - 0.000% -	265,000.	258,438.
SCHWAB CHARLES CORP PERPETUAL	100,000.	91,500.
TIME WARNER INC - 4.750% - 03/	1,100,740.	1,025,220.
WELLS FARGO & CO NEW DEPOSITAR	266,875.	247,113.
TOTALS	19,736,388.	18,860,502.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PUBLICLY-TRADED SECURITIES						
59388455.		55129478.					4,258,977.	
TOTAL GAIN(LOSS)							4,258,977.	

ATTACHMENT 10

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME:	UNIVERSITA DEGLI STUDI DI PAVIA
GRANTEE'S ADDRESS:	CORSO STRADA NUOVA 65
CITY, STATE & ZIP:	PAVIA
FOREIGN COUNTRY:	ITALY
GRANT DATE:	11/20/2012
GRANT AMOUNT:	25,000.
GRANT PURPOSE:	TO SUPPORT SCIENTIFIC RESEARCH
AMOUNT EXPENDED:	25,000.
ANY DIVERSION?	NO
DATES OF REPORTS:	1/10/14, 9/12/14, 5/15/15, 9/15/16, 5/12/17, 12/14/18
VERIFICATION DATE:	

RESULTS OF VERIFICATION:
NONE NECESSARY

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAULA GOLDEN 4041 MACARTHUR BLVD., STE 510 NEWPORT BEACH, CA 92660	EXECUTIVE DIR, PRES 40.00	263,125.	24,167.	0.
CARL MCKINZIE 4041 MACARTHUR BLVD., STE 510 NEWPORT BEACH, CA 92660	DIRECTOR 1.00	0.	0.	0.
HENRY SAMUELI 4041 MACARTHUR BLVD., STE 510 NEWPORT BEACH, CA 92660	DIRECTOR 1.00	0.	0.	0.
MARIA WRONSKI 4041 MACARTHUR BLVD., STE 510 NEWPORT BEACH, CA 92660	CFO, SEC 20.00	105,250.	29,177.	0.
	GRAND TOTALS	368,375.	53,344.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS
NICOLAOS ALEXOPOULOS 4041 MACARTHUR BLVD, SUITE 501 NEWPORT BEACH, CA 92660	FOUNDATION PERSON 40.00	105,250.	6,315.
CAROL MCDONALD 4041 MACARTHUR BLVD, SUITE 501 NEWPORT BEACH, CA 92660	EXECUTIVE ADMINISTRA 40.00	90,909.	26,599.
	TOTAL COMPENSATION	196,160.	32,914.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 13

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
COVINGTON CAPITAL MANAGEMENT 601 SOUTH FIGUEROA ST, STE 2000 LOS ANGELES, CA 90017	INVESTMENT MGT	415,537.
FOUNDATION SOURCE 55 WALLS DRIVE FAIRFIELD, CT 06824	ADMINISTRATIVE	96,000.
TOTAL COMPENSATION		511,537.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ALEARN 3777 STEVENS CREEK BLVD STE 330 SANTA CLARA, CA 95051	N/A PC	SUMMER MATH FOR THE UNDERSERVED YOUNG WOMEN PROGRAM	15,000
AMERICAN FRIENDS OF THE TEL AVIV UNIVERSITY INC 39 BROADWAY RM 1510 NEW YORK, NY 10006	N/A PC	EMEA UNIVERSITY RESEARCH WORKSHOP	50,000
AMERICAN FRIENDS OF THE TEL AVIV UNIVERSITY INC 39 BROADWAY RM 1510 NEW YORK, NY 10006	N/A PC	CHARITABLE EVENT	10,000
AMERICAN RED CROSS - ORANGE COUNTY CHAPTER 601 N GOLDEN CIR SANTA ANA, CA 92705	N/A PC	HURRICANE FLORENCE RELIEF EFFORTS FUND	15,000
ARIZONA SCIENCE CENTER 600 E WASHINGTON ST PHOENIX, AZ 85004	N/A PC	REGIONAL SCIENCE FAIR FUND	3,000
AUSTIN SCIENCE EDUCATION FOUNDATION 704 W GIBSON ST AUSTIN, TX 78704	N/A PC	REGIONAL SCIENCE FAIR FUND	3,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BALBOA YACHT CL MARITIME SCIENCES & SEAMANSHIP FDN 1851 E 1ST ST STE 900 SANTA ANA, CA 92705	N/A PC	GENERAL & UNRESTRICTED	2,500
BAY AREA DISCOVERY MUSEUM 557 MCREYNOLDS RD SAUSALITO, CA 94965	N/A PC	MOBILE STEM EDUCATION VAN FOR BAY AREA CHILDREN FUND	10,000
BEIJING TSINGHUA UNIVERSITY NO 1 TSINGHUA YUAN, HAIDIAN DISTRI BEIJING COMOROS	N/A PC	KKT WORKSHOP (2018 PARICIPANT SUPPORT) PROGRAM	20,000
CALIFORNIA ACADEMY OF SCIENCES 55 MUSIC CONCOURSE DR GOLDEN GATE P SAN FRANCISCO, CA 94118	N/A PC	CAS OUTREACH AS WELL AS THE STEM ECOSYSTEM INITIATIVES THROUGHOUT THE NATION	100,000
CALIFORNIA ACADEMY OF SCIENCES 55 MUSIC CONCOURSE DR GOLDEN GATE P SAN FRANCISCO, CA 94118	N/A PC	SOUTH SAN FRANCISCO STEM ECOSYSTEM SUPPORT INITIATIVE	25,000
CALIFORNIANS DEDICATED TO EDUCATION FOUNDATION 260 MAIN ST STE 200 REDWOOD CITY, CA 94063	N/A PC	STEM SOLUTIONS WORKFORCE OF TOMORROW SPONSORSHIP	25,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHILDREN NOW 1404 FRANKLIN ST STE 700 OAKLAND, CA 94612	N/A PC	CALIFORNIA STEM ECOSYSTEMS INITIATIVE	23,000
COMMUNIVERSITY FOUNDATION 1107 LAKEVIEW DR FAIRFIELD, IA 52556	N/A PC	RASPBERRY PI WORKSHOP IN SOUTH AFRICA TOWNSHIP PROGRAM	3,000
COMPUTER HISTORY MUSEUM 1401 N SHORELINE BLVD MOUNTAIN VIEW, CA 94043	N/A PC	BROADCOM PRESENTS DESIGN_CODE_BUILD, A SERIES OF INTERACTIVE STEM EVENTS PROGRAM	350,000
DIRECT RELIEF 6100 WALLACE BECKNELL RD SANTA BARBARA, CA 93117	N/A PC	CALIFORNIA WILDFIRES SUPPORT	15,000
DISCOVERY SCIENCE FOUNDATION 2500 N MAIN ST SANTA ANA, CA 92705	N/A PC	CHARITABLE EVENT	10,000
DISCOVERY SCIENCE FOUNDATION 2500 N MAIN ST SANTA ANA, CA 92705	N/A PC	DISCOVERY SCIENCE CUBE OF ORANGE COUNTY'S STEM EDUCATION OUTREACH/CODING PROGRAMS AND FESA INITIATIVE	100,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	GENERAL & UNRESTRICTED	25,000
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	NATIONAL STEM FUNDERS NETWORK 2018	15,000
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	ESTABLISHING A STEM ECOSYSTEM IN PUERTO RICO PROGRAM	5,000
ENVISION EXCELLENCE IN STEM EDUCATION 2108 LAMBERTON RD CLEVELAND HEIGHTS, OH 44118	N/A PC	(SFN) STEM ECOSYSTEM (TIES) INITIATIVE	50,000
FALLEN FIRE FIGHTER RELIEF FUND 1900 E WARNER AVE STE G SANTA ANA, CA 92705	N/A PC	GENERAL & UNRESTRICTED	7,500
FRIENDS OF THE UNIVERSITY OF HONG KONG LTD 106 W 32ND ST 2ND FL NEW YORK, NY 10001	N/A PC	UNIVERSITY OF HONG KONG, DEPARTMENT OF MECHANICAL ENGINEERING ASIA/PACIFIC UNIVERSITY STUDENT WORKSHOP	50,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GIRL SCOUTS OF ORANGE COUNTY 9500 TOLEDO WAY IRVINE, CA 92618	N/A PC	STEM BADGE AND CODING ACTIVITIES PROGRAM	20,000
GIRLS INCORPORATED OF ORANGE COUNTY 1815 ANAHEIM AVE COSTA MESA, CA 92627	N/A PC	AFTERSCHOOL STEM PROGRAM	10,000
GIVE2ASIA 600 CALIFORNIA ST, 11TH FL SAN FRANCISCO, CA 94108	N/A PC	KEIO UNIVERSITY FUND FOR THE KKT WORKSHOP	20,000
GWINNETT COUNTY PUBLIC SCHOOLS FOUNDATION FUND INC 437 OLD PEACHTREE RD NW SUWANEE, GA 30024	N/A PC	BROADCOM FOUNDATION SUPPORT FOR REGIONAL SCIENCE FAIR	3,000
IMPERIAL COLLEGE FOUNDATION INC PO BOX 80526 ATLANTA, GA 30366	N/A PC	2018 EMEA WORKSHOP HOST ORGANIZATION FUND	75,000
INTERNATIONAL MEDICAL CORPS 12400 WILSHIRE BLVD STE 1500 LOS ANGELES, CA 90025	N/A PC	SULAWESI TSUNAMI RELIEF EFFORTS FUND	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
IRVINE PUBLIC SCHOOLS FOUNDATION 1 POST STE 250 IRVINE, CA 92618	N/A PC	IRVINE PUBLIC SCHOOL DISTRICT SCIENCE FAIR FUND	20,000
IRVINE PUBLIC SCHOOLS FOUNDATION 1 POST STE 250 IRVINE, CA 92618	N/A PC	2020 ISEF FUND	5,000
KAIST U S FOUNDATION INC C/O CKP 3435 WILSHIRE BLVD NO 2240 LOS ANGELES, CA 90010	N/A SO I	KKT WORKSHOP (2018 HOST SUPPORT) PROGRAM	35,000
MASSACHUSETTS SCIENCE & ENGINEERING FAIR INC 955 MASSACHUSETTS AVE #350 CAMBRIDGE, MA 02139	N/A PC	REGIONAL SCIENCE FAIR FUND	3,000
NCTU FOUNDATION PO BOX 2793 CUPERTINO, CA 95015	N/A PC	2019 APW WORKSHOP FUND	100,000
NCTU FOUNDATION PO BOX 2793 CUPERTINO, CA 95015	N/A PC	2018 ASIA PACIFIC WORKSHOP FUND	50,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
OCTANE FOUNDATION FOR INNOVATION 65 ENTERPRISE ALISO VIEJO, CA 92656	N/A SO I	TO DEVELOP AND INITIATE A PROGRAM TO FOSTER ENTREPRENEURIAL INNOVATION AMONG STUDENTS PARTICIPATING IN THE FOUNDATION WORKSHOPS	10,000
ONEOC 1901 E 4TH ST STE 100 SANTA ANA, CA 92705	N/A PC	OC STEM FUNDERS NETWORK FUND	15,000
ORANGE COUNTY COMMUNITY FOUNDATION 4041 MACARTHUR BLVD STE 510 NEWPORT BEACH, CA 92660	N/A PC	HISPANIC EDUCATION ENDOWMENT FUND (HEEF)	5,000
ORANGE COUNTY SCIENCE AND ENGINEERING FAIR INC PO BOX 11151 SANTA ANA, CA 92711	N/A PC	REGIONAL SCIENCE FAIR AND AFTER SCHOOL OUTREACH PROGRAM	35,000
RASPBERRY PI FOUNDATION NORTH AMERICA INC 1111 BROADWAY FL 3 OAKLAND, CA 94607	N/A PC	PI ACADEMY IN THE UNITED STATES	20,000
RASPBERRY PI FOUNDATION NORTH AMERICA INC 1111 BROADWAY FL 3 OAKLAND, CA 94607	N/A PC	COOLEST PROJECTS IN NORTH AMERICA	20,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES 10920 WILSHIRE BLVD STE 620 LOS ANGELES, CA 90024	N/A PC	UCLA BROADCOM FELLOWSHIP PROGRAM	150,000
RENAISSANCE YOUTH CENTER 3251 3RD AVE BRONX, NY 10456	N/A PC	EQUIPMENT FUND AND "CODE THE BRONX" PROGRAM	35,000
SAN MATEO COUNTY OFFICE OF EDUCATION 101 TWIN DOLPHIN DR REDWOOD CITY, CA 94065	N/A GOV	SAN MATEO COUNTRY REGIONAL FAIR FUND	5,000
SAN MATEO COUNTY OFFICE OF EDUCATION 101 TWIN DOLPHIN DR REDWOOD CITY, CA 94065	N/A GOV	SCIENCE FAIR INITIATIVE EXPANSION FUND	10,000
SANTA CLARA VALLEY SCIENCE FAIR ASN PO BOX 307 LOS ALTOS, CA 94023	N/A PC	BROADCOM FOUNDATION SUPPORT FOR REGIONAL SCIENCE F	2,500
SCIENCE BUDDIES 560 VALLEY WAY MILPITAS, CA 95035	N/A PC	REGIONAL SCIENCE FAIR FUND	50,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SILICON VALLEY COMMUNITY FOUNDATION 2440 W EL CAMINO REAL STE 300 MOUNTAIN VIEW, CA 94040	N/A PC	BLACK GIRLS CODE AFTER SCHOOL STEM PROGRAMS	5,000
SILICON VALLEY EDUCATION FOUNDATION 1400 PARKMOOR AVE, STE 200 SAN JOSE, CA 95126	N/A PC	ACHIEVE PROGRAM (SATURDAY MATH PROGRAM)	10,000
SMITHSONIAN INSTITUTION P O BOX 28 647 CONTEES WHARF RD EDGEWATER, MD 21037	N/A PC	TEACHER TRAINING PROJECT FUND	20,000
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	SPECIAL OUTREACH AND EDUCATION FUND	50,000
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	BRCM MASTERS INTERNATIONAL	20,000
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	BROADCOM MASTERS PROGRAM	1,819,959

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	CHARITABLE EVENT	15,000
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	FUNDS FOR THE 2020 ISEF LAC MEMBERS TO OBSERVE THE 2019 ISEF	30,000
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N ST NW WASHINGTON, DC 20036	N/A PC	BROADCOM MASTERS ALUMNI FUND	1,888
THE JOHN HENRY NEWMAN FOUNDATION PO BOX 2313 PALM BEACH, FL 33480	N/A PC	UNIVERSITY COLLEGE, DUBLIN EMEA UNIVERSITY RESEARCH WORKSHOP	50,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 100 THEORY, STE 250 IRVINE, CA 92617	N/A PC	FABCAMP SCHOLARSHIP AND OC OUTREACH FOR UNDERSERVED MIDDLE SCHOOLERS PROGRAM	35,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	TO FUND LEADERSHIP TRAINING OPPORTUNITIES FOR THE DIRECTOR OF ACADEMIC INNOVATION, PARTNERSHIPS AT THE HENRY SAMUELI SCHOOL OF ENGINEERING & DONALD BREN SCHOOL OF INFORMATION AND COMPUTER SCIENCES AND EXECUTIVE DIRECTOR OF OC STEM FUND	15,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	UCI BROADCOM FELLOWSHIP (PROF G P LI) FUND	150,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	ASIA/PACIFIC UNIVERSITY STUDENT WORKSHOP	25,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	UCI K-14 FUTURE INNOVATORS INITIATIVE & ASPIRE/INSPIRE PROGRAM	75,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 5200 ENGINEERING HALL IRVINE, CA 92697	N/A PC	OC STEM ECOSYSTEM INITIATIVE (SOUTHERN CALIFORNIA)	100,000
YOUTH LEADERSHIP INCUBATOR INC 40211 DOLERITA AVE FREMONT, CA 94539	N/A PC	CHARITABLE EVENT	5,000
INDIGENT INDIVIDUALS WHO RECEIVED LESS THAN $1,000 EACH AND ARE NOT DISQUALIFIED PERSONS	NONE I	GENERAL & UNRESTRICTED (SEE ATTACHED IN-KIND GRANT SCHEDULE)	2,198

TOTAL CONTRIBUTIONS PAID	4,069,545
TOTAL PART I, LINE 25, COLUMN (A) AND PART XV, LINE 3A	$ 4,070,938
LESS AMOUNT COUNTED AS A QUALIFYING DISTRIBUTIONS IN 2017	$ 2198
TOTAL PART I, LINE 25, COLUMN (D)	$ 4,068,740

Part XV (Form 990-PF) - Distributions of Property Value at Fair Market Value at Date of Distribution

Method for Determining Value of Raspberry Pi kits: *Purchase Price*

Description of Property	Units	Date of Distribution	Grant Recipient	Fair Market Value of Property	Book Value of Property	Total Amount of Grant
Raspberry Pi Starter kit	25	5/1/2018	Various, at Asia Pacific Workshop	$ 1,616	$ 1,616	$ 1,616
Raspberry Pi Complete kit	6	3/1/2018	Various, at Rasberry Jam	$ 582	$ 582	$ 582
Total				$ 2,198	$ 2,198	$ 2,198

Form 990-PF, Part III, Line 3 - Other Increases: $ -

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
CLASS ACTION LAWSUIT PROCEEDS			01	1,656.	
FEDERAL TAX REFUND			01	11,730.	
TOTALS				13,386.	