Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
THE JANE AND DANIEL OCH FAMILY FOUNDATION

A Employer identification number
26-3791338

Number and street (or P O box number if mail is not delivered to street address)
C/O UE LLC 325 COLUMBIA TPKSTE 202

Room/suite

B Telephone number (see instructions)
(973) 408-6700

City or town, state or province, country, and ZIP or foreign postal code
FLORHAM PARK, NJ 07932

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 302,878,237

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	30,938,892			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	50	50		
	4	Dividends and interest from securities	2,319,375	2,319,375		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-1,269,072			
	b	Gross sales price for all assets on line 6a 48,440,656				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	2,464,915	2,688,679		
	12	**Total.** Add lines 1 through 11	34,454,160	5,008,104		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	97,367	0		97,367
	b	Accounting fees (attach schedule)	109,500	54,750		43,800
	c	Other professional fees (attach schedule)	1,684,980	1,679,586		5,394
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	240,183	8,740		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	16,581	14,702		130
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,148,611	1,757,778		146,691
	25	Contributions, gifts, grants paid	23,559,888			23,559,888
	26	**Total expenses and disbursements.** Add lines 24 and 25	25,708,499	1,757,778		23,706,579
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	8,745,661			
	b	**Net investment income** (if negative, enter -0-)		3,250,326		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		-1	-1
	2 Savings and temporary cash investments	16,691,865	17,545,157	17,545,157
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶	2,000,000	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	12,628,971	1,419,567	1,419,567
	c Investments—corporate bonds (attach schedule)	30,883,790	29,365,962	29,365,962
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	192,880,947	216,115,259	254,547,552
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	255,085,573	264,445,944	302,878,237
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	255,085,573	255,085,573	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	9,360,371	
	30 **Total net assets or fund balances** (see instructions)	255,085,573	264,445,944	
	31 **Total liabilities and net assets/fund balances** (see instructions)	255,085,573	264,445,944	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	255,085,573
2	Enter amount from Part I, line 27a	2	8,745,661
3	Other increases not included in line 2 (itemize) ▶	3	2,278,342
4	Add lines 1, 2, and 3	4	266,109,576
5	Decreases not included in line 2 (itemize) ▶	5	1,663,632
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	264,445,944

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-1,269,072
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	20,229,817	295,733,440	0 068406
2016	22,136,329	274,036,915	0 080779
2015	9,038,110	268,177,618	0 033702
2014	19,129,787	212,806,615	0 089893
2013	15,858,264	161,837,952	0 097989

2 Total of line 1, column (d)	2	0 370769
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 074154
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	306,798,315
5 Multiply line 4 by line 3	5	22,750,322
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	32,503
7 Add lines 5 and 6	7	22,782,825
8 Enter qualifying distributions from Part XII, line 4	8	23,706,579

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	32,503
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	32,503
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	32,503
6	Credits/Payments			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 192,502		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 170,000		
d	Backup withholding erroneously withheld	6d 0		
7	Total credits and payments Add lines 6a through 6d		7	362,502
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached		8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	329,999
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ 329,999	Refunded ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶UNTRACHT EARLY LLC Telephone no ▶(973) 408-6700

Located at ▶325 COLUMBIA TURNPIKE STE 202 FLORHAM PARK NJ ZIP+4 ▶07932

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DANIEL S OCH C/O UE LLC 325 COLUMBIA TPKE 202 FLORHAM PARK, NJ 07932	TRUSTEE/PART-TIME 0 00	0	0	0
JANE C OCH C/O UE LLC 325 COLUMBIA TPKE 202 FLORHAM PARK, NJ 07932	TRUSTEE/PART-TIME 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	47,315,374
b	Average of monthly cash balances.		1b	7,798,967
c	Fair market value of all other assets (see instructions).		1c	256,356,030
d	**Total** (add lines 1a, b, and c).		1d	311,470,371
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	311,470,371
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	4,672,056
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	306,798,315
6	**Minimum investment return.** Enter 5% of line 5.		6	15,339,916

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	15,339,916
2a	Tax on investment income for 2018 from Part VI, line 5.	2a 32,503		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	32,503
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	15,307,413
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	15,307,413
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	15,307,413

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	23,706,579
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	23,706,579
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	32,503
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	23,674,076

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					15,307,413
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2018					
a From 2013.	7,844,158				
b From 2014.	8,581,167				
c From 2015.					
d From 2016.	8,558,865				
e From 2017.	5,668,508				
f **Total** of lines 3a through e.		30,652,698			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 23,706,579					
a Applied to 2017, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					15,307,413
e Remaining amount distributed out of corpus		8,399,166			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		39,051,864			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		7,844,158			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		31,207,706			
10 Analysis of line 9					
a Excess from 2014. . . .	8,581,167				
b Excess from 2015. . . .					
c Excess from 2016. . . .	8,558,865				
d Excess from 2017. . . .	5,668,508				
e Excess from 2018. . . .	8,399,166				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

DANIEL S OCH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	50	
4 Dividends and interest from securities. . . .			14	2,319,375	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	2,464,915	
8 Gain or (loss) from sales of assets other than inventory			18	-1,269,072	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		3,515,268	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 3,515,268

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-11-14	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
DAVID S UNTRACHT		2019-11-12		P00161568
Firm's name ▶ UNTRACHT EARLY LLC				Firm's EIN ▶22-3754856
Firm's address ▶ 325 COLUMBIA TURNPIKE SUITE 202 FLORHAM PARK, NJ 07932				Phone no (973) 408-6700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 5,000,000 US TREASURY BILL DUE 06/14/2018 OFF THE RUN	P	2017-12-14	2018-04-19
1 5,000,000 US TREASURY BILL DUE 12/06/2018 OFF THE RUN	P	2017-12-14	2018-12-06
450,000 AMERICAN TOWER CORPORATION 3 6%	P	2017-12-05	2018-04-06
800,000 NEXTERA ENERGY CAPITAL 3 55%	P	2017-04-25	2018-04-06
500,000 PNC BANK, NATIONAL ASSOCIATION 3 1%	P	2017-10-18	2018-04-06
550,000 SIMON PROPERTY GROUP, LP 3 375%	P	2017-11-30	2018-04-06
150,000 WASTE MANAGEMENT, INC 3 15%	P	2017-10-30	2018-04-06
725,000 HEWLETT-PACKARD CO 4 65%	P	2014-01-09	2018-03-26
775,000 WALGREENS BOOT ALLIANCE, INC 3 45%	P	2016-05-26	2018-04-06
750,000 MARRIOTT INTERNATIONAL, INC 6 75%	P	2016-03-21	2018-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,987,405		4,964,036	23,369
4,919,496		4,919,496	0
425,853		447,642	-21,789
775,760		797,728	-21,968
477,460		499,830	-22,370
526,449		545,677	-19,228
142,787		149,973	-7,186
767,195		758,633	8,562
736,111		773,047	-36,936
750,000		799,628	-49,628

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			23,369
			0
			-21,789
			-21,968
			-22,370
			-19,228
			-7,186
			8,562
			-36,936
			-49,628

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
148,000 EASTMAN CHEMICAL COMPANY 2 7%	P	2014-11-12	2018-11-29
1 400,000 EASTMAN CHEMICAL COMPANY 2 7%	P	2014-11-10	2018-11-29
100,000 ORACLE CORPORATION 5 75%	P	2010-01-22	2018-04-15
500,000 ORACLE CORPORATION 5 75%	P	2009-06-25	2018-04-15
500,000 PHILIP MORRIS INTERNATIONAL IN 5 65%	P	2009-06-18	2018-05-16
500,000 PEPSICO, INC 5 0%	P	2009-06-18	2018-05-16
250,000 TIME WARNER INC	P	2009-07-30	2018-06-15
1,205 GOTHAM ENHANCED RETURN FUND CLASS INST	P	2017-12-14	2018-09-18
122,418 GOTHAM ENHANCED RETURN FUND CLASS INST	P		2018-09-18
639,624 GOTHAM ABSOLUTE RETURN INSTITUTIONAL	P		2018-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
148,000		147,981	19
400,000		399,164	836
100,000		109,870	-9,870
500,000		534,480	-34,480
500,000		516,650	-16,650
500,000		506,835	-6,835
250,000		271,940	-21,940
18,509		17,460	1,049
1,880,339		1,524,713	355,626
9,466,441		8,256,245	1,210,196

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19
			836
			-9,870
			-34,480
			-16,650
			-6,835
			-21,940
			1,049
			355,626
			1,210,196

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	HIGHFIELDS CAPITAL LTD	P		2018-12-31
1	ETON PARK OVERSEAS FUND, LTD	P		2018-12-31
	DISPOSITION OF COMVEST CAPITAL III INTERNATIONAL (CAYMAN), L P	P		2018-12-31
	DISPOSITION OF STRATEGIC PARTNERS OFFSHORE REAL ESTATE FUND VI, LP	P		2018-12-31
	DISPOSITION OF SPECIAL CREDIT OPPORTUNITIES (OFFSHORE), LP	P		2018-12-31
	DISPOSITION OF ARCLIGHT VI FEEDER	P		2018-12-31
	NET CAPITAL GAIN FROM PASSTHROUGH ENTITIES	P		2018-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
150,810		146,643	4,167
709,807		1,005,715	-295,908
4,543,351		6,634,664	-2,091,313
6,875,628		6,906,594	-30,966
2,836,702		4,589,861	-1,753,159
3,148,158		3,485,223	-337,065
1,904,395			1,904,395

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,167
			-295,908
			-2,091,313
			-30,966
			-1,753,159
			-337,065
			1,904,395

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN JEWISH COMMITTEE 225 MILLBURN AVE 305 MILLBURN, NJ 07041	NONE	PUBLIC	GENERAL UNRESTRICTED	250,000
ASPEN VALLEY HOSPITAL FOUNDATION 401 CASTLE CREEK ROAD ASPEN, CO 81611	NONE	PUBLIC	GENERAL UNRESTRICTED	200,000
ATLANTIC GOLF CLUB FOUNDATION 1040 SCUTTLE HOLE RD PO BOX 1890 BRIDGEHAMPTON, NY 11932	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
Total . . . . ▶ **3a**				23,559,888

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BIRTHRIGHT ISRAEL FOUNDATION PO BOX 5892 HICKSVILLE, NY 11802	NONE	PUBLIC	GENERAL UNRESTRICTED	1,500,000
FRIENDS OF SCHOOLS IN THE SQUARE 120 WADSWORTH AVENUE NEW YORK, NY 10033	NONE	PUBLIC	GENERAL UNRESTRICTED	250,000
LINCOLN CENTER 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000,000
Total . . . . ▶ **3a**				23,559,888

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MOUNT SINAI MEDICAL CENTER FOUNDATION 4300 ALTON ROAD SUITE 100 MIAMI BEACH, FL 33140	NONE	PUBLIC	GENERAL UNRESTRICTED	100,000
NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY 101 SOUTH INDEPENDENCE MALL E PHILADELPHIA, PA 19106	NONE	PUBLIC	GENERAL UNRESTRICTED	50,000
NEW YORK PRESBYTERIAN FUND INC 525 E 68TH ST BOX 156 NEW YORK, NY 10065	NONE	PUBLIC	GENERAL UNRESTRICTED	4,166,666
Total . . . . ▶ **3a**				23,559,888

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE JEWISH THEOLOGICAL SEMINARY 3080 BROADWAY NEW YORK, NY 10027	NONE	PUBLIC	GENERAL UNRESTRICTED	200,000
THE MUSEUM OF MODERN ART 11 W 53RD STREET NEW YORK, NY 10019	NONE	PUBLIC	GENERAL UNRESTRICTED	3,225,000
THE UNIVERSITY OF MICHIGAN 500 S STATE STREET ANN ARBOR, MI 48109	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000,004
Total . . . . ▶ **3a**				23,559,888

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UJA FEDERATION OF NY 130 E 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC	GENERAL UNRESTRICTED	5,283,218
UNITED STATES HOLOCAUST MUSEUM 100 RAOUL WALLENBERG PL SW WASHINGTON, DC 20024	NONE	PUBLIC	GENERAL UNRESTRICTED	200,000
UNIVERSITY OF PENNSYLVANIA 3730 WALNUT STREET PHILADELPHIA, PA 19104	NONE	PUBLIC	GENERAL UNRESTRICTED	100,000
Total . . . . ▶ **3a**				23,559,888

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JESPY HOUSE INC 102 PROSPECT STREET SOUTH ORANGE, NJ 07079	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000,000
HARLEM VILLAGE ACADEMIES 35 WEST 124TH STREET NEW YORK, NY 10027	NONE	PUBLIC	GENERAL UNRESTRICTED	250,000
UNIVERSITY OF NEW HAMPSHIRE FOUNDATION 105 MAIN STREET DURHAM, NH 03824	NONE	PUBLIC	GENERAL UNRESTRICTED	1,500,000
Total . . . . ▶ **3a**				23,559,888

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROBIN HOOD 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003	NONE	PUBLIC	GENERAL UNRESTRICTED	2,250,000
ICAHN SCHOOL OF MEDICINE 1 GUSTAVE L LEVY PLACE NEW YORK, NY 10029	NONE	PUBLIC	GENERAL UNRESTRICTED	25,000
JEWISH COMMUNAL FUND 575 MADISON AVENUE SUITE 703 NEW YORK, NY 10022	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000,000
Total . . . . ▶ **3a**				23,559,888

TY 2018 Accounting Fees Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UNTRACHT EARLY LLC	109,500	54,750		43,800

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319033859

TY 2018 Investments Corporate Bonds Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
NOVARTIS SECURITIES INVESTMENT 5.125% 02/10/2019	510,970	510,970
CISCO SYSTEMS, INC. 4.95% 02/15/2019	612,492	612,492
FIFTH THIRD BANCORP 2.3% 03/01/2019	754,945	754,945
WELLS FARGO & COMPANY 2.125% 04/22/2019	1,001,123	1,001,123
COMCAST CORPORATION 5.7% 07/01/2019	1,222,329	1,222,329
EBAY INC 2.2% 08/01/2019	350,884	350,884
FORD MOTOR CREDIT COMPANY LLC 2.597% 11/04/2019	546,190	546,190
EASTMAN CHEMICAL COMPANY 2.7% 01/15/2020	253,280	253,280
PEPSICO, INC. 4.5% 01/15/2020	779,183	779,183
LOWE'S COMPANIES, INC. 4.625% 04/15/2020	1,223,201	1,223,201
CIGNA CORPORATION 5.125% 06/15/2020	719,507	719,507
S&P GLOBAL INC 3.3% 08/14/2020	710,170	710,170
MEDCO HEALTH SOLUTIONS, INC. 4.125% 09/15/2020	869,487	869,487
ENERGY TRANSFER, LP 4.15% 10/01/2020	355,745	355,745
UNITEDHEALTH GROUP INC 3.875% 10/15/2020	842,763	842,763
THE BOEING COMPANY 1.65% 10/30/2020	245,330	245,330
XILINX, INC. 3.0% 03/15/2021	904,773	904,773
GENERAL MOTORS FINANCIAL COMPA FRN 04/09/2021	590,851	590,851
KLA-TENCOR CORPORATION 4.125% 11/01/2021	767,254	767,254
VERIZON COMMUNICATIONS INC. 3.5% 11/01/2021	1,016,543	1,016,543

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMPHENOL CORPORATION 4.0% 02/01/2022	312,353	312,353
SOUTHERN CAL EDISON 2.4% 02/01/2022	974,560	974,560
BURLINGTON NORTHERN SANTA FE 3.05% 03/15/2022	705,439	705,439
AUTOZONE, INC. 3.7% 04/15/2022	964,812	964,812
MERCK & CO., INC. 2.4% 09/15/2022	1,279,781	1,279,781
AGILENT TECHNOLOGIES, INC. 3.2% 10/01/2022	697,144	697,144
INTERPUBLIC GROUP COS 4.2% 04/15/2024	478,960	478,960
JPMORGAN CHASE & CO FRN 04/23/2024	1,263,986	1,263,986
DOMINION RESOURCES, INC. 3.9% 10/01/2025	1,006,050	1,006,050
WAL-MART STORES, INC. 3.625% 07/08/2020	796,305	796,305
FORD MOTOR CREDIT COMPANY LLC 3.2% 01/15/2021	443,032	443,032
STANLEY BLACK & DECKER INC 2.9% 11/01/2022	888,231	888,231
CROWN CASTLE INTL CORP 5.25% 01/15/2023	584,446	584,446
INTERPUBLIC GROUP COS 3.75% 02/15/2023	298,052	298,052
THOMSON REUTERS CORP 3.35% 05/15/2026	489,369	489,369
REPUBLIC SERVICES INC. 2.9% 07/01/2026	143,660	143,660
APPLE INC 2.45% 08/04/2026	910,878	910,878
THERMO FISCHER SCIENTIFIC INC 2.95% 09/19/2026	558,725	558,725
ILLINOIS TOOL WORKS INC 2.65% 11/15/2026	373,042	373,042
ABBVIE INC 3.2% 11/06/2022	494,979	494,979

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ROPER INDUSTRIES, INC. 3.125% 11/15/2022	295,144	295,144
PNC BANK, NATIONAL ASSOCIATION 3.1% 10/25/2027	479,787	479,787
GS FINANCIAL SQUARE TREASURY INSTRUMENTS FUND	650,207	650,207

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TY 2018 Investments Corporate Stock Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOTHAM NEUTRAL FUND CLASS I (THRU UBS #1994)	1,419,567	1,419,567

TY 2018 Legal Fees Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - MORGAN LEWIS & BOCKIUS LLP	97,367	0		97,367

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TY 2018 Other Assets Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PEG SECONDARY PVT EQTY INVESTORS OFFSHORE SPEC LP	2,407,953	1,968,349	1,968,349
PEG SECONDARY PVT EQTY INVESTORS II OFFSHORE SPEC LP	1,560,597	1,350,812	1,350,812
PEG DIGITAL GROWTH FUND OFFSHORE LP	1,226,156	1,222,844	113,373
PEG DIGITAL GROWTH FUND II OFFSHORE, LP	2,613,484	2,669,261	2,568,938
ETON PARK OVERSEAS FUND LTD	1,405,747	358,991	34,145
ANCHORAGE CAPITAL PARTNERS	15,000,000	15,000,000	26,931,320
CANYON BALANCED FUND (CAYMAN)	5,000,000	5,000,000	9,460,860
CANYON VALUE REALIZATION FUND	5,000,000	5,000,000	9,076,409
KENSICO OFFSHORE FUND II	10,000,000	10,000,000	14,807,505
HIGHFIELDS CAPITAL LTD	401,028	72,199	65,620
OZ CREDIT OPPS OVERSEAS FD LTD	15,000,000	15,000,000	23,828,422
OZ ENHANCED OVERSEAS FUND LTD	15,000,000	15,000,000	19,996,936
SPECIAL CREDIT OPPORT FUND (BLACKROCK)	4,093,343	0	0
SEQUOIA - SCHF USTE, LP	28,107,013	30,718,005	29,949,923
C-III RECOVERY FUND II LP	14,748,189	14,330,055	20,703,444
NORTHWOOD RE PTRS TE LP	2,614,407	3,108,711	3,108,711
NORTHWOOD RE PTRS TE AIV 7 LP	77,696	126,484	126,484
NORTHWOOD RE PTRS TE AIV 8 LP	303,891	311,667	311,667
NORTHWOOD RE PTRS TE AIV 9 LP	79,244	58,387	58,387
NORTHWOOD RE PTRS TE AIV 2 LP	142,264	118,031	118,031
NORTHWOOD RE PTRS TE AIV 4 LP	843	1,008	1,008
NORTHWOOD RE PTRS TE FM AIV LP	4	0	0
NORTHWOOD RE PTRS TE HOTEL AIV	110	-4,305	-4,305
NORTHWOOD RE PTRS TE PALACE AIV	177	173	173
NORTHWOOD RE PTRS TE AIV 5 LP	2,153	2,523	2,523
NORTHWOOD RE PTRS TE AIV 1 LP	-8,169	-20,270	-20,270
ACCEL GROWTH FUND III LP	651,568	844,481	844,481
ACCEL XII STRATEGIC PTRS LP	325,508	386,179	386,179
COMVEST CAPITAL III	7,326,488	0	0
NORTHWOOD EUROPE (NO 1) LP	5,638,230	4,441,943	4,441,943

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARCLIGHT ENERGY PARTNERS (AEP) FEEDER FUND VI, INC	3,510,164	0	0
OZ INSTITUTIONAL INCOME (OZIID)	11,667,462	0	0
DST OPPORTUNITIES ACCESS OFFSHORE (GS #3269)	2,286,306	3,247,653	3,247,653
BDT CAPITAL PARTNERS FUND II	8,753,787	9,698,596	9,866,144
BDT FUND II-A SPIRTIS LP	0	167,548	167,548
QUADRO PTRS (CADRE SERIES B)	999,996	999,996	999,996
STRATEGIC PARTNERS OFFSHORE REAL ESTATE FUND VI LP	2,814,290	0	0
OCH-ZIFF REAL ESTATE CREDIT PARALLEL FUND A, L P	738,869	1,231,832	1,231,832
CADRE - CRESTLEIGH INVESTORS PARALLEL LP	788,825	772,077	772,077
CADRE - BROADWAY INVESTORS LP	976,257	1,091,312	1,091,312
CADRE - SKYRIDGE INVESTORS PARALLEL LP	402,614	395,898	395,898
CADRE - WEST PUTNAM INVESTORS PARALLEL LP	759,184	697,423	697,423
CADRE - CHATHAM HILL HOLDINGS, LLC	2,096,986	2,042,736	2,042,733
ARCLIGHT LIMETREE AIV LP	160,693	0	0
LEGACY VENTURE VIII LLC	799,024	2,183,028	2,568,715
NORTHWOOD R E PTRS (AIV ME)	5,312	9,615	9,615
NORTHWOOD R E PTRS (AIV KNE)	38,437	43,040	43,040
NORTHWOOD R E PTRS (AIV 2016)	11,924	12,030	12,030
NORTHWOOD R E PTRS (AIV PM)	33,375	31,120	31,120
NORTHWOOD R E PTRS (AIV PWF)	17,433	30,076	30,076
NORTHWOOD R E PTRS (AIV PWP)	9,160	8,364	8,364
DISTRIBUTIONS RECEIVABLE	43,925	2,178,913	2,178,913
CEH FEEDER I	690	574,946	502,588
DST INVESTMENTS XVI LP	2,986,815	2,935,460	2,935,460
TRIVE CAPITAL III	14,752	394,550	394,550
GREENOAKS CAPITAL MS/OSLER	661,842	655,108	655,108
GREENOAKS CAPITAL MS/BLACKWELL	938,217	924,837	924,837
GREENOAKS CAPITAL MS/CATHEDRAL SPIRE	0	1,944,281	1,944,281
GREENOAKS CAPITAL MS/VINCEFINKEL	0	4,963,390	4,963,390
GREENOAKS CAPITAL MS/MOONEY BRIDGE	0	3,992,225	3,992,225

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
GREENOAKS CAPITAL OPPORTUNITIES FUND II	0	367,045	367,045
ACCEL LEADERS FUND LP	97,720	245,003	245,003
ACCELL GROWTH FUND IV LP	350,130	683,930	683,930
FUNDERACLUB 3DJ LLC	2,003,373	2,002,858	2,002,858
NORTHWOOD R E PTRS (AIV SRP)	8,614	7,828	7,828
NORTHWOOD R E PTRS (AIV SRP II)	0	61,190	61,190
NORTHWOOD R E PTRS (AIV BCP)	53,942	22,571	22,571
TRIDENT VII L P	971,242	6,569,512	7,180,290
TRAILS TX INVESTORS	299,900	311,921	311,921
ORANGE CENTER TOWER INVESTORS	745,162	717,319	717,319
STEADVIEW CAPITAL FUND	6,000,000	9,000,000	8,695,890
SHADOWLAKE INVESTORS I LP	755,012	805,224	805,224
SCHAUMBURG CC INVESTORS	570,911	740,425	740,425
SUGARLOAF INVESTORS PARALLEL	281,987	331,660	331,660
MURRAY TOD INVESTORS	508,691	504,568	504,568
DST GLOBAL VI LP	0	1,543,845	1,543,845
SVP HOLDINGS LLC	0	1,400,000	158,995
COINBASE GLOBAL INC	0	4,999,946	4,999,946
TIGER GLOBAL LONG OPP	0	6,000,000	1,683,182
D1 CAPITAL PARTNERS OFFSHORE	0	1,748,344	1,785,378
D1 CAPITAL PARTNERS (PI INVEST)	0	3,251,656	3,251,656
MELVIN CAPITAL OFFSHORE	0	5,000,000	5,000,000
STONECREST CA INVESTORS I LP	0	740,633	740,633
LINCOLN CA INVESTORS LP	0	266,989	266,989
FRANKFORD TX INVESTORS LP	0	501,210	501,210

TY 2018 Other Decreases Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Description	Amount
UNREALIZED GAINS THROUGH INVESTMENT ACCOUNTS	1,663,632

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TY 2018 Other Expenses Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES - ADDEPAR, INC (IT)	14,587	14,587		0
MISC ADMINISTRATIVE EXPENSES	130	0		130
PENALTIES & INTEREST RELATED TO TAXES	1,749	0		0
BANK CHARGES	115	115		0

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TY 2018 Other Income Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ACCEL GROWTH FUND III STRATEGIC PARTNERS LP	-18,445	-18,445	-18,445
ACCEL XII STRATEGIC PARTNERS LP	-8,820	-8,820	-8,820
ARCHLIGHT LIMETREE AIV LP	-2,292	-2,292	-2,292
BDT CAPITAL PARTNERS FUND II (TE) LP	-6,818	-6,818	-6,818
C-III RECOVERY FUND II LP	-23,241	-23,241	-23,241
LEGACY VENTURE VIII, LLC	-117,180	-117,180	-117,180
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 1) FEEDER LP	-1,024	-1,024	-1,024
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 2) FEEDER LP	-35	-35	-35
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 2016) FEEDER LP	-854	-854	-854
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 4) FEEDER LP	-5	-5	-5
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 5) FEEDER LP	-16	-16	-16
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 7) FEEDER LP	-775	-775	-775
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 8) FEEDER LP	-8,664	-8,664	-8,664
NORTHWOOD REAL ESTATE PARTNERS TE (AIV 9) FEEDER LP	-17,395	-17,395	-17,395
NORTHWOOD REAL ESTATE PARTNERS TE (AIV KNE) FEEDER LP	-267	-267	-267
NORTHWOOD REAL ESTATE PARTNERS TE (AIV ME) FEEDER LP	918	918	918
NORTHWOOD REAL ESTATE PARTNERS TE (AIV PM) FEEDER LP	-5,379	-5,379	-5,379
NORTHWOOD REAL ESTATE PARTNERS TE (AIV PWF) FEEDER LP	-94	-94	-94
NORTHWOOD REAL ESTATE PARTNERS TE (AIV PWP) FEEDER LP	-751	-751	-751
NORTHWOOD REAL ESTATE PARTNERS TE (FM AIV) FEEDER LP	-5	-5	-5

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NORTHWOOD REAL ESTATE PARTNERS TE (HOTEL AIV) FEEDER LP	-669	-669	-669
NORTHWOOD REAL ESTATE PARTNERS TE (PALACE AIV) FEEDER LP	-19	-19	-19
NORTHWOOD REAL ESTATE PARTNERS TE LP	-66,444	-66,444	-66,444
NORTHWOOD REAL ESTATE PARTNERS TE (AIV SRP II) LP	-2,701	-2,701	-2,701
OZ INSTITUTIONAL INCOME DOMESTIC PARTNERS	357,875	357,875	357,875
OCH-ZIFF REAL ESTATE CREDIT PARALLEL FUND A	72,664	72,664	72,664
SCHF USTE, LP (SEQUOIA)	585,853	585,853	585,853
UBTI - FROM K-1S	-223,253	0	-223,253
THRU COMVEST III	563,960	563,960	563,960
THRU PEG SECONDARY PRIVATE EQUITY INVESTORS OFFSHORE	64,545	64,545	64,545
THRU PEG SECONDARY PRIVATE EQUITY INVESTORS OFFSHORE II	275,618	275,618	275,618
THRU STRATEGIC PARTNERS OFFSHORE REAL ESTATE FUND VI, LP	286,212	286,212	286,212
GREENOAKS CAPITAL MS LP - BLACKWELL SERIES	-12,750	-12,750	-12,750
NORTHWOOD REAL ESTATE PARTNERS TE AIV BCP HOTEL LP	414	414	414
NORTHWOOD REAL ESTATE PARTNERS TE (AIV SRP) LP	-801	-801	-801
ACCEL LEADERS FUND LP	-53	-53	-53
ACCEL GROWTH FUND IV LP	-19,902	-19,902	-19,902
FUNDERSCLUB 3DJ LLC	-485	-485	-485
GREENOAKS CAPITAL MS LP - OSLER SERIES	-7,418	-7,418	-7,418
GREENOAKS CAPITAL MS LP - VINCEFINKEL SERIES	-3,227	-3,227	-3,227

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GREENOAKS CAPITAL MS LP - MOONEY BRIDGE SERIES	-3,078	-3,078	-3,078
GREENOAKS CAPITAL OPPORTUNITIES FUND II LP	-41,566	-41,566	-41,566
GREENOAKS CAPITAL MS LP - CATHEDRAL SPIRE SERIES	-588	-588	-588
TRIDENT VII, L P	-139,431	-139,431	-139,431
DST INVESTMENTS XVI LP	-48,097	-48,097	-48,097
DST GLOBAL VI LP	-40,478	-40,478	-40,478
CEH FEEDER I	-76,753	-76,753	-76,753
JPMORGAN #14008 - FX EXCHANGE RATE GAIN	-1,370	-859	-1,370
INCOME FROM PFIC - NW EUROPE	1,031,443	1,031,443	1,031,443
INCOME FROM PFIC - CEGEREAL	66,818	66,818	66,818
INCOME FROM PFIC - NW CGR SCS	63,604	63,604	63,604
FUND II-A SPIRITS	-3,866	-3,866	-3,866

TY 2018 Other Increases Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Description	Amount
BOOK-TAX DIFFERENCES THROUGH PARTNERSHIP INVESTMENTS	2,221,004
UNREALIZED GAIN ON DONATED SHARES	57,337
ROUNDING DIFFERENCES	1

TY 2018 Other Professional Fees Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES - GOLDMAN SACHS	54,204	54,204		0
INVESTMENT MGMT FEES WILLOUGHBY CAPITAL MGMT LLC	1,545,393	1,545,393		0
INVESTMENT MGMT FEES JP MORGAN (OFFSHORE FUNDS)	79,989	79,989		0
OTHER PROFESSIONAL FEES	5,394	0		5,394

TY 2018 Taxes Schedule

Name: THE JANE AND DANIEL OCH FAMILY FOUNDATION

EIN: 26-3791338

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX WITHHELD/PAID THRU JP MORGAN (OFFSHORE FUNDS)	8,740	8,740		0
FED TAX PAID (NET OF REFUNDS) (990-PF)	165,000	0		0
NYS CORP TAX PAID - 2017 BALANCE DUE (CT-13)	16,000	0		0
STATE TAXES	6,981	0		0
FRANCHISE TAX	43,462	0		0

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**
▶ **Go to** *www irs gov/Form990* **for the latest information**

OMB No 1545-0047

2018

Name of the organization	**Employer identification number**
THE JANE AND DANIEL OCH FAMILY FOUNDATION	26-3791338

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE JANE AND DANIEL OCH FAMILY FOUNDATION

Employer identification number
26-3791338

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DANIEL S OCH C/O UE LLC 325 COLUMBIA TPK STE 202 FLORHAM PARK, NJ 07932	$ 30,413,011	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	DANIEL S OCH C/O UE LLC 325 COLUMBIA TPK STE 202 FLORHAM PARK, NJ 07932	$ 525,881	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE JANE AND DANIEL OCH FAMILY FOUNDATION	26-3791338

Part II Noncash Property

(See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	14,388 SHARES OF TRUPANION, INC	$ 525 881	2018-06-13

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE JANE AND DANIEL OCH FAMILY FOUNDATION	26-3791338

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee