

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning

, 2018, and ending

, 20

Name of foundation

HAWK ROCK FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

A Employer identification number

26-1518305

B Telephone number (see instructions)

() -

22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD

City or town, state or province, country, and ZIP or foreign postal code

WANCHAI HONG KONG

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 32,594,647.

J Accounting method

☐ Cash☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here

D 1. Foreign organizations, check here

2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

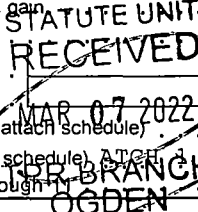
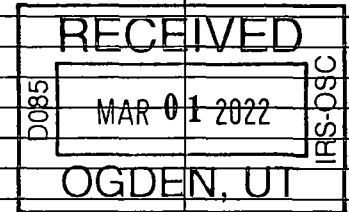
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	61,562.			
2	Check ☐ if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments.				
4	Dividends and interest from securities	944,302.	944,302.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	82,252.			
b	Gross sales price for all assets on line 6a	8,371,518.			
7	Capital gain net income (from Part IV, line 2)		82,252.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	24.			
12	Total. Add lines 1 through 11	1,088,140.	1,026,554.		
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	10,097.			
c	Other professional fees (attach schedule)	212,228.	212,228.		
17	Interest				
18	Taxes (attach schedule) (see instructions)	105,759.	44,197.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23.	328,084.	256,425.		
25	Contributions, gifts, grants paid	2,171,500.			2,171,500.
26	Total expenses and disbursements. Add lines 24 and 25	2,499,584.	256,425.	0.	2,171,500.
27	Subtract line 26 from line 12	-1,411,444.			
a	Excess of revenue over expenses and disbursements		770,129.		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	935,086.	1,214,296.	1,214,296.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable.			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 5	31,779,801.	29,984,122.	31,380,351.
	c	Investments - corporate bonds (attach schedule)			
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	32,714,887.	31,198,418.	32,594,647.
	17	Accounts payable and accrued expenses	5,000.	8,000.	
	18	Grants payable.			
Net Assets or Fund Balances	19	Deferred revenue.			
	20	Loans from officers, directors, trustees, and other disqualified persons.			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	5,000.	8,000.	
		Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26, and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	32,709,887.	31,190,418.	
	30	Total net assets or fund balances (see instructions)	32,709,887.	31,190,418.	
	31	Total liabilities and net assets/fund balances (see instructions)	32,714,887.	31,198,418.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,709,887.
2	Enter amount from Part I, line 27a	2	-1,411,444.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	31,298,443.
5	Decreases not included in line 2 (itemize) ▶ ATCH 6	5	108,025.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	31,190,418.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	82,252.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	2,170,000.	36,148,465.	0.060030
2016	1,817,412.	25,588,110.	0.071026
2015	1,468,833.	16,609,292.	0.088434
2014	880,000.	12,912,084.	0.068153
2013	740,000.	12,302,658.	0.060150
2 Total of line 1, column (d)			2 0.347793
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.069559
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 35,009,893.
5 Multiply line 4 by line 3.			5 2,435,253.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 7,701.
7 Add lines 5 and 6.			7 2,442,954.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 2,171,500.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	15,403.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	15,403.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	15,403.
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	32,800.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	32,800.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	17,397.
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 17,397. Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions ☐ DE,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ☐ ATCH. 7	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ EAST ASIA SENTINEL TAX SVC LTD Telephone no ▶ 852-2810101 Located at ▶ ATTACHMENT 8 ZIP+4 ▶ 00000		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		
Organizations relying on a current notice regarding disaster assistance, check here	☐	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		☒
If "Yes" to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services.** See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 TO OPERATE EXCLUSIVELY AND TO RECEIVE AND ADMINISTER FUNDS FOR CHARITABLE AND EDUCATIONAL PURPOSES WITHIN THE MEANING OF SECTION 501(C)(3) AND RELATED REGULATIONS AND TO	
2 DISTRIBUTE FUNDS TO SUCH CHARITIES AT SUCH TIMES AND IN SUCH AMOUNTS AS MAY BE DETERMINED BY THE FOUNDATION CONSISTENT WITH THE CODE	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Form **990-PF** (2018)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	34,687,049.
b	Average of monthly cash balances	1b	855,990.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	35,543,039.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	35,543,039.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	533,146.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,009,893.
6	Minimum investment return. Enter 5% of line 5	6	1,750,495.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,750,495.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	15,403.
b	Income tax for 2018. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b.	2c	15,403.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,735,092.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	1,735,092.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,735,092.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	2,171,500.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,171,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,171,500.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,735,092.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.				
b Total for prior years 20 <u>16</u> , 20 <u>15</u> , 20 <u>14</u>				
3 Excess distributions carryover, if any, to 2018				
a From 2013 141,834.				
b From 2014 246,962.				
c From 2015 640,702.				
d From 2016 543,182.				
e From 2017 395,339.				
f Total of lines 3a through e	1,968,019.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>2,171,500.</u>				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount.				1,735,092.
e Remaining amount distributed out of corpus.	436,408.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,404,427.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	141,834.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	2,262,593.			
10 Analysis of line 9				
a Excess from 2014 246,962.				
b Excess from 2015 640,702.				
c Excess from 2016 543,182.				
d Excess from 2017 395,339.				
e Excess from 2018 436,408.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

STEVEN AND BARBARA WATSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 10				
Total ▶ 3a				2,171,500.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments .					
4	Dividends and interest from securities					944,302.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					82,252.
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a <u>OTHER INCOME</u>					24.
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e)					1,026,578.
13	Total Add line 12, columns (b), (d), and (e)					1,026,578.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee: STEVEN WATSON Date: 9/20/2019 Title: DIRECTOR

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name NILAR T CHAN	Preparer's signature 	Date 9/20/2019	Check ☐ if self-employed	PTIN P00382081
Firm's name ► EAST ASIA SENTINEL TAX SVC LTD			Firm's EIN ► 98-0577907	
Firm's address ► 22/F, TAI YAU BLDG, 181 JOHNSTON RD WANCHAI, HK 00000			Phone no 852 2810101	
Form 990-PF (2018)				

Form **990-PF** (2018)

Schedule of Contributors

OMB No 1545-0047

2018

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization
HAWK ROCK FOUNDATION

Employer identification number
26-1518305

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **HAWK ROCK FOUNDATION**Employer identification number
26-1518305**Part I** Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI, HONG KONG HONG KONG	\$ 61,562.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization **HAWK ROCK FOUNDATION**Employer identification number
26-1518305**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization **HAWK ROCK FOUNDATION**

Employer identification number

26-1518305

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					11,372.	
999,089.		CAPITAL GROUP 14900 - SEE ATTACHMENT A 1,200,943.					VARIOUS -201,854.	VARIOUS
2,787,293.		CAPITAL GROUP 14900 - SEE ATTACHMENT C 2,448,622.					VARIOUS 338,671.	VARIOUS
1,306,386.		CAPITAL GROUP 14901 - SEE ATTACHMENT B 1,502,551.					VARIOUS -196,165.	VARIOUS
3,267,378.		CAPITAL GROUP 14901 - SEE ATTACHMENT D 3,137,150.					VARIOUS 130,228.	VARIOUS
TOTAL GAIN (LOSS)							<u>82,252.</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
OTHER INCOME	24.
TOTALS	<u>24.</u>

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES	10,097.			
TOTALS	<u>10,097.</u>			

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEE	212,228.	212,228.
TOTALS	<u>212,228.</u>	<u>212,228.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES ON DIVIDENDS	44,197.	44,197.
EXCISE TAX	61,562.	
TOTALS	<u>105,759.</u>	<u>44,197.</u>

ATTACHMENT 5FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CAPITAL GUARDIAN 70-614900	22,569,515.	23,679,367.
CAPITAL GUARDIAN 70-614901	7,414,607.	7,700,984.
TOTALS	<u>29,984,122.</u>	<u>31,380,351.</u>

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

ADJUSTMENT TO COST BASES FOR PRIOR
NON-TAXABLE DISTRIBUTION

108,025.

TOTAL

108,025.

FORM 990PF, PART VII-A, LINE 10 - SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 7

NAME AND ADDRESS

STEVEN & BARBARA WATSON
C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD.
WANCHAI, HONG KONG
HONG KONG

FORM 990PF, PART VII-A, LINE 14 - LOCATION OF BOOKS

22/F, TAI YAU BLDG, 181 JOHNSTON RD WANCHAI
HONG KONG

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
BARBARA WATSON 22/F, TAI YAU BLDG, 181 JOHNSTON RD WANCHAI HONG KONG	DIRECTOR 2.00			
KATHARINE G WATSON 100 BRENTWOOD STREET PORTLAND, ME 04103	DIRECTOR .50			
WILLIAM W WATSON 2365 GARFIELD ROAD HYDEPARK, VT 05655-9241	DIRECTOR .50			
CHARLES P WATSON 1121 40TH STREET, APT 3101 EMERYVILLE, CA 94608	VICE PRESIDENT .50			
STEVEN T. WATSON 22/F, TAI YAU BLDG, 181 JOHNSTON RD WANCHAI HONG KONG	DIRECTOR 2.00			

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOSEPH WATSON 100 BRENTWOOD STREET PORTLAND, ME 04103	DIRECTOR .50	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FOLK ARTS RAJASTHAN 314 E 84TH ST #11 NEW YORK, NY 10028	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	50,000
LEARNING ALLY 20 ROSZEL ROAD PRINCETON, NJ 08540	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
HALF THE SKY FOUNDATION 715 HEARST AVENUE, SUITE 200 BERKELEY, CA 94710	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
ACCION INTERNATIONAL 10 FAWCETT ST, SUITE 204 CAMBRIDGE, MA 02138	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
THE BROWN LEDGE FOUNDATION, INC 25 WILSON STREET BURLINGTON, VT 05401-3352	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	50,000
FREE GEEK COMMUNITY TECHNOLOGY CENTER 1731 SE 10TH AVE PORTLAND, OR 97214	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	60,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW ENGLAND HISTORICAL GENEALOGICAL SOCIETY 101 NEWBURY ST BOSTON, MA 02116	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	40,000
NEW YORK GENEALOGICAL & BIOGRAPHICAL SOCIETY 36 W 44TH ST , 7TH FLOOR NEW YORK, NY 10036-8105	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	40,000
HEALS ON WHEELS OF LAMOILLE COUNTY PO BOX 1427, 24 UPPER MAIN STREET MORRISVILLE, VT 05661	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	60,000.
THE GLOBAL FUND FOR CHILDREN 1101 FOURTEENTH STREET, NW SUITE 420 WASHINGTON, DC 20005	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
THE HARLEM CHILDREN'S ZONE 35 EAST 125TH ST NEW YORK, NY 10035	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
VERMONT FOOD BANK 33 PARKER ROAD, WILSON INDUSTRIAL PARK BARRE, VT 05641	UNRELATED 501(C) (3)	FURTHER THE CHARITY ACTIVITY	150,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ACTION AGAINST HUNGER ONE WHITEHALL STREET, 2ND FLOOR NEW YORK, NY 10004	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000
THE NATURE CONSERVANCY 74 WALL ST SEATTLE, WA 98121	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000.
RAISE THE CHILDREN INTERNATIONAL 112 HIGH ST HAMPTON, NH 03842	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	50,000
NEPAL YOUTH FOUNDATION 3030 BRIDGEWAY, SUITE 202 SAUSALITO, CA 94965	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000.
CROSSROADS GLOBAL VILLAGE U S 1290 ORANGE STREET WILMINGTON, DE 19801	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	151,600.
HEALTHY KIDS BRIGHTER FUTURE P O BOX 382 BRUNSWICK, ME 04011	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	80,000

FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PREBLE STREET 38 PREBLE STREET PORTLAND, ME 04101	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	49,950
KARUNA SHECHEN USA 237 WEST 35TH ST , SUITE 1101 NEW YORK, NY 10001	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	49,950
GORKHA FOUNDATION 13711 MONET CT CHANTILLY, VA 20151	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	50,000
COPLY HEALTH SYSTEMS 528 WASHINGTON HIGHWAY MORRISVILLE, VT 05661	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	40,000
APOPO 712 H ST NE WASHINGTON, DC 20005	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	50,000
TOTAL CONTRIBUTIONS PAID			<u>2,171,500</u>

2018 Tax Information Statement

Page 8 of 58

Account Number: 70-614900
 Recipient's Tax ID Number: XX-XXX8305
 Recipient's Name and Address:
 HAWK ROCK FOUNDATION
 2365 GARFIELD RD
 HYDE PARK, VT 05655

CAPITAL BANK & TRUST
 C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
 IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2018 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
100.0	AGIOS PHARMACEUTICALS INC									
00847X104	12/07/2018	01/11/2018	5,325.26	7,255.70			-1,930.44		0.00	0.00
120.0	AGIOS PHARMACEUTICALS INC									
00847X104	12/10/2018	01/16/2018	6,290.56	8,480.66			-2,190.10		0.00	0.00
100.0	AMERICA MOVIL S.A.B DE C.V.									
02364W105	11/23/2018	01/16/2018	1,319.28	1,742.38			-423.10		0.00	0.00
810.0	AMERICA MOVIL S.A.B DE C.V.									
02364W105	11/27/2018	Various	9,944.97	14,063.51			-4,118.54		0.00	0.00
200.0	BANK OF NEW YORK MELLON CORP									
064058100	10/25/2018	Various	9,244.67	10,308.74			-1,064.07		0.00	0.00
120.0	BANK OF NEW YORK MELLON CORP									
064058100	10/26/2018	11/10/2017	5,492.36	6,107.69			-615.33		0.00	0.00
115.0	BLUEBIRD BIO INC COM									
09609G100	10/01/2018	Various	16,590.04	22,822.19			-6,232.15		0.00	0.00
65.0	BLUEBIRD BIO INC COM									
09609G100	10/18/2018	02/06/2018	7,951.46	12,487.72			-4,536.26		0.00	0.00
62.0	BRENNTAG AG									
B4YVF5909	12/11/2018	02/22/2018	2,674.08	3,962.75			-1,288.67		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT A-1

Recipient's Name and Address:

HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number:

70-614900

Recipient's Tax ID Number:

XX-XXX8305

☐ Corrected

☐ FATCA

☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
68.0	BRENNITAG AG									
84YVF5909	12/11/2018	02/22/2018	2,939.50	4,346.24			-1,406.74		0.00	0.00
305.0	BRITISH AMERICAN TOBACCO PLC									
028758902	10/18/2018	Various	13,117.28	15,692.08			-2,574.80		0.00	0.00
3754.04	CAPITAL GROUP CORE BOND FUND									
14042Y304	06/22/2018	07/03/2017	37,165.00	38,028.43			-863.43		0.00	0.00
6632.19	CAPITAL GROUP CORE BOND FUND									
14042Y304	09/05/2018	12/12/2017	65,725.00	66,985.12			-1,260.12		0.00	0.00
100.0	CERNER CORP									
156782104	02/02/2018	06/02/2017	6,666.06	6,697.93			-31.87		0.00	0.00
45.0	CERNER CORP									
156782104	02/05/2018	06/02/2017	2,943.43	3,014.07			-70.64		0.00	0.00
105.0	CERNER CORP									
156782104	02/06/2018	03/29/2017	6,666.23	6,216.74			449.49		0.00	0.00
80.0	CERNER CORP									
156782104	02/22/2018	03/29/2017	5,119.13	4,736.56			382.57		0.00	0.00
70.0	DEERE & CO									
244199105	12/03/2018	01/10/2018	11,355.75	11,687.88			-332.13		0.00	0.00

This is important tax information and is being furnished to you.

2017 Tax Information Statement

Page 10 of 58

Account Number: 70-614900
 Recipient's Tax ID Number: XX-XXX8305
 Recipient's Name and Address:
 HAWK ROCK FOUNDATION
 2365 GARFIELD RD
 HYDE PARK, VT 05655

Capital Bank & Trust
 C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
 IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
20 0	DOLLAR GENERAL CORP									
256677105	04/06/2018	04/12/2017	1,927.29	1,380.01			547.28		0.00	0.00
15.0	DOLLAR GENERAL CORP									
256677105	04/09/2018	04/12/2017	1,442.61	1,035.01			407.60		0.00	0.00
95.0	DOWDUPONT INC									
26078J100	01/18/2018	03/01/2017	7,183.28	6,009.59			1,173.69		0.00	0.00
300 0	DOWDUPONT INC									
26078J100	01/18/2018	Various	22,779.79	18,890.55			3,889.24		0.00	0.00
300 0	ENBRIDGE INC C\$									
29250N956	04/19/2018	Various	9,579.58	11,772.35			-2,192.77		0.00	0.00
100.0	ENBRIDGE INC C\$									
29250N956	04/20/2018	Various	3,133.56	3,924.11			-790.55		0.00	0.00
200.0	ENBRIDGE INC C\$									
29250N956	04/25/2018	Various	5,870.79	7,930.76			-2,059.97		0.00	0.00
100.0	ENBRIDGE INC C\$									
29250N956	04/26/2018	Various	2,944.46	3,972.66			-1,028.20		0.00	0.00
700.0	ENBRIDGE INC C\$									
29250N956	04/26/2018	Various	20,590.07	27,020.72			-6,430.65		0.00	0.00

This is important tax information and is being furnished to you.

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
1195.0	ENBRIDGE INC C\$									
29250N956	04/27/2018	Various	35,354.40	45,777.79			-10,423.39		0.00	0.00
160.0	FACEBOOK INC-A									
30303M102	11/21/2018	Various	21,747.07	32,300.13			-10,553.06		0.00	0.00
55.0	FANUC CO						2,154.94		0.00	0.00
635693005	03/02/2018	03/30/2017	13,674.17	11,519.23					0.00	0.00
55.0	FANUC CO									
635693005	05/09/2018	06/02/2017	11,778.33	10,751.82			1,026.51		0.00	0.00
45.0	FANUC CO									
635693005	05/11/2018	06/02/2017	9,627.73	8,796.95			830.78		0.00	0.00
40.0	GENMAB AS									
459573903	06/21/2018	Various	6,216.83	7,436.16			-1,219.33		0.00	0.00
51.0	GENMAB AS									
459573903	10/18/2018	07/23/2018	7,187.56	9,062.69			-1,875.13		0.00	0.00
20.0	HEXCEL CORP									
428291108	01/04/2018	06/02/2017	1,260.75	1,042.27			218.48		0.00	0.00
100.0	HYUNDAI MTR CO SP ADR									
449187509	10/18/2018	Various	3,202.52	4,993.05			-1,790.53		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 12 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property			Date Sold or Disposed or Acquired		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Cusip Number												
100.0	HYUNDAI MTR CO SP ADR											
449187509			10/19/2018	Various	3,144.61	4,956.98			-1,812.37		0.00	0.00
100.0	HYUNDAI MTR CO SP ADR											
449187509			10/22/2018	Various	3,149.95	4,917.64			-1,767.69		0.00	0.00
200.0	HYUNDAI MTR CO SP ADR											
449187509			10/23/2018	Various	6,214.71	9,736.26			-3,521.55		0.00	0.00
200.0	HYUNDAI MTR CO SP ADR											
449187509			10/24/2018	Various	6,229.54	9,462.13			-3,232.59		0.00	0.00
100.0	HYUNDAI MTR CO SP ADR											
449187509			10/25/2018	Various	3,006.31	4,561.43			-1,555.12		0.00	0.00
100.0	HYUNDAI MTR CO SP ADR											
449187509			11/01/2018	Various	3,090.81	4,109.83			-1,019.02		0.00	0.00
100.0	HYUNDAI MTR CO SP ADR											
449187509			11/02/2018	Various	3,124.92	4,073.08			-948.16		0.00	0.00
100.0	HYUNDAI MTR CO SP ADR											
449187509			11/06/2018	Various	3,084.47	3,995.32			-910.85		0.00	0.00
85.0	HYUNDAI MTR CO SP ADR											
449187509			11/07/2018	Various	2,635.09	3,333.41			-698.32		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT A-5

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Accol Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax		State Tax Withheld
										Withheld	Ordinary	
4093.0	IBERDROLA SA RTS EXP 01/25/2018	BFNQ2C906	01/18/2018	Various	720.66	0.00			720.66	0.00		0.00
2439.0	IBERDROLA SA RTS EXP 07/23/2018	AC113RQG7	07/18/2018	Various	541.08	0.00			541.08	0.00		0.00
1.0	IBERDROLA SA RTS EXP 07/23/2018	AC113RQG7	07/18/2018	12/05/2017	0.21	0.00			0.21	0.00		0.00
460.0	IMPERIAL BRANDS PLC	045449907	03/16/2018	Various	15,931.89	22,024.95			-6,093.06	0.00		0.00
45.0	INCYTE CORP	45337C102	10/16/2018	11/27/2017	2,919.75	4,412.80			-1,493.05	0.00		0.00
285.0	INTEL CORPORATION COMMON STOCK	458140100	03/27/2018	Various	15,014.24	12,686.60			2,327.64	0.00		0.00
120.0	JPMORGAN CHASE & CO	46625H100	12/06/2018	01/09/2018	12,483.39	13,120.98			-637.59	0.00		0.00
33.0	KBC GROEP NV	449774009	06/06/2018	01/10/2018	2,567.14	2,955.98			-388.84	0.00		0.00
41.0	KBC GROEP NV	449774009	06/07/2018	Various	3,248.49	3,513.05			-264.56	0.00		0.00

This is important tax information and is being furnished to you.

2023 Tax Information Statement

Page 14 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
45.0	KBC GROEP NV									
449774009	06/08/2018	Various	3,513.82	3,783.34			-269.52		0.00	0.00
36 0	KBC GROEP NV									
449774009	06/11/2018	Various	2,851.53	3,026.65			-175.12		0.00	0.00
98 0	KBC GROEP NV									
449774009	06/11/2018	Various	7,746.99	8,137.62			-390.63		0.00	0.00
165.0	KBC GROEP NV									
449774009	06/14/2018	Various	12,854.81	13,483.88			-629.07		0.00	0.00
59 0	KBC GROEP NV									
449774009	06/15/2018	Various	4,517.23	4,775.61			-258.38		0.00	0.00
28.0	KBC GROEP NV									
449774009	06/19/2018	11/16/2017	2,146.34	2,263.86			-117.52		0.00	0.00
640.0	MICRO FOCUS INTL ORD									
BD8YVM906	04/03/2018	Various	8,945.51	21,777.24			-12,831.73		0.00	0.00
600.0	MICRO FOCUS INTL ORD									
BD8YVM906	08/17/2018	Various	9,446.88	18,636.46			-9,189.58		0.00	0.00
400.0	MICRO FOCUS INTL ORD									
BD8YVM906	08/20/2018	Various	6,374.52	11,297.39			-4,922.87		0.00	0.00

This is important tax information and is being furnished to you.

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
200.0	MICRO FOCUS INTL ORD									
BD8YWM906	08/21/2018	Various	3,235.80	3,441.93			-206.13		0.00	0.00
300.0	MICRO FOCUS INTL ORD									
BD8YWM906	08/23/2018	05/09/2018	4,860.91	5,154.15			-293.24		0.00	0.00
200.0	MICRO FOCUS INTL ORD									
BD8YWM906	08/28/2018	05/09/2018	3,366.79	3,436.10			-69.31		0.00	0.00
255.0	MICRO FOCUS INTL ORD									
BD8YWM906	08/29/2018	Various	4,368.26	4,379.50			-11.24		0.00	0.00
45.0	MONSANTO CO NEW COM									
61166W101	02/08/2018	06/02/2017	5,385.93	5,303.61			82.32		0.00	0.00
130.0	MONSANTO CO NEW COM									
61166W101	02/13/2018	Various	15,638.36	15,152.03			486.33		0.00	0.00
100.0	NEWELL RUBBERMAID INC COMMON STOCK									
651229106	08/08/2018	01/31/2018	2,086.67	2,633.87			-547.20		0.00	0.00
400.0	NEWELL RUBBERMAID INC COMMON STOCK									
651229106	08/09/2018	Various	8,457.36	10,307.30			-1,849.94		0.00	0.00
100.0	NEWELL RUBBERMAID INC COMMON STOCK									
651229106	08/09/2018	01/30/2018	2,098.56	2,552.38			-453.82		0.00	0.00

This is important tax information and is being furnished to you.

2. 8 Tax Information Statement

Page 16 of 58

Account Number: 70-614900
 Recipient's Tax ID Number: XX-XXX8305
 Recipient's Name and Address:
 HAWK ROCK FOUNDATION
 2365 GARFIELD RD
 HYDE PARK, VT 05655

CAPITAL BANK & TRUST
 C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
 IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property											
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld	
200 0	NEWELL RUBBERMAID INC COMMON STOCK										
651229106	08/13/2018	Various	4,083.48	5,104.62			-1,021.14		0.00	0.00	
20 0	NEWELL RUBBERMAID INC COMMON STOCK										
651229106	08/14/2018	01/30/2018	411.87	510.44			-98.57		0.00	0.00	
105 0	NORTHROP GRUMMAN CORP										
666807102	12/10/2018	Various	28,282.03	32,544.59			-4,262.56		0.00	0.00	
600 0	OCCIDENTAL PETROLEUM CORP										
674599105	01/18/2018	Various	45,390.63	38,840.45			6,550.18		0.00	0.00	
55 0	OCCIDENTAL PETROLEUM CORP										
674599105	01/19/2018	09/26/2017	4,147.43	3,534.43			613.00		0.00	0.00	
410 0	QUALCOMM INC										
747525103	04/26/2018	Various	20,527.28	25,815.82			-5,288.54		0.00	0.00	
40 0	RHEINMETALL AG										
533458907	10/18/2018	Various	3,675.01	5,316.88			-1,641.89		0.00	0.00	
43 0	RHEINMETALL AG										
533458907	10/18/2018	Various	3,924.05	5,704.57			-1,780.52		0.00	0.00	
62 0	RHEINMETALL AG										
533458907	10/26/2018	03/01/2018	4,876.92	8,123.75			-3,246.83		0.00	0.00	

This is important tax information and is being furnished to you.

ATTACHMENT A-9

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number:
Recipient's Tax ID Number:

70-614900
XX-XXX8305

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
82.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/30/2018	Various	20,248.37	22,444.60			-2,196.23		0.00	0.00
31.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/30/2018	Various	7,669.39	7,970.53			-301.14		0.00	0.00
28.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/30/2018	Various	6,944.98	7,199.19			-254.21		0.00	0.00
3.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/30/2018	03/02/2017	743.24	771.34			-28.10		0.00	0.00
165.0	RYANAIR HOLDINGS PLC SP ADR									
783513203	10/16/2018	Various	13,320.12	17,904.24			-4,584.12		0.00	0.00
400.0	SHIN ETSU CHEM CO LTD									
680458007	10/18/2018	Various	32,028.87	44,521.11			-12,492.25		0.00	0.00
500.0	SHIN ETSU CHEM CO LTD									
680458007	10/19/2018	Various	39,478.08	50,284.98			-10,806.90		0.00	0.00
100.0	STATE STREET CORP									
857477103	10/17/2018	01/29/2018	8,236.26	11,231.97			-2,995.71		0.00	0.00
100.0	STATE STREET CORP									
857477103	10/18/2018	01/29/2018	8,115.06	11,186.58			-3,071.52		0.00	0.00

This is important tax information and is being furnished to you.

2018 Tax Information Statement

Page 18 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
200.0	STATE STREET CORP									
857477103	10/18/2018	Various	16,061.61	21,751.17			-5,689.56		0.00	0.00
195.0	STATE STREET CORP									
857477103	12/07/2018	Various	12,723.67	20,050.24			-7,326.57		0.00	0.00
205.0	STEEL DYNAMICS INC									
858119100	12/07/2018	Various	6,650.36	9,820.12			-3,169.76		0.00	0.00
841.0	SVENSKA HANDELSBANKEN AB CL A SHS									
BXDZ9Q900	12/11/2018	Various	8,991.67	9,567.53			-575.86		0.00	0.00
434.0	SVENSKA HANDELSBANKEN AB CL A SHS									
BXDZ9Q900	12/11/2018	Various	4,624.03	4,841.09			-217.06		0.00	0.00
50.0	TEMPUR SEALY INTERNATIONAL INC									
88023U101	03/01/2018	06/02/2017	2,454.22	2,387.70			66.52		0.00	0.00
165.0	TEMPUR SEALY INTERNATIONAL INC									
88023U101	03/05/2018	06/02/2017	8,129.53	7,879.39			250.14		0.00	0.00
300.0	TENCENT HOLDINGS LTD COM									
BMV2K903	10/18/2018	Various	10,681.46	16,590.07			-5,908.61		0.00	0.00
795.0	VIACOM INC CLASS B									
92553P201	05/17/2018	Various	22,490.83	20,829.88			1,660.95		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT A-11

Recipient's Name and Address:

HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

70-614900

XX-XXX8305

Account Number:

Recipient's Tax ID Number:

☐ 2nd TIN notice

☐ Corrected ☐ FATCA

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
100.0	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP(DBA WABTEC CORP)									
929740108	12/06/2018	Various	8,794.76	11,363.36			-2,568.60		0.00	0.00
100.0	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP(DBA WABTEC CORP)									
929740108	12/07/2018	09/06/2018	861.83	1,127.67			-265.84		0.00	0.00
100.0	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP(DBA WABTEC CORP)									
929740108	12/10/2018	Various	8,251.42	11,182.77			-2,931.35		0.00	0.00
100.0	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP(DBA WABTEC CORP)									
929740108	12/12/2018	Various	7,918.69	11,027.55			-3,108.86		0.00	0.00
170.0	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP(DBA WABTEC CORP)									
929740108	12/26/2018	Various	11,681.95	18,649.75			-6,967.80		0.00	0.00
100.0	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP(DBA WABTEC CORP)									
929740108	12/27/2018	08/17/2018	6,994.82	10,904.00			-3,909.18		0.00	0.00
3200.0	WYNN MACAU LTD									
B4JSTL903	11/22/2018	07/23/2018	6,764.82	9,858.20			-3,093.38		0.00	0.00
2800.0	WYNN MACAU LTD									
B4JSTL903	11/23/2018	07/20/2018	5,875.91	8,446.77			-2,570.86		0.00	0.00
Total Short Term Sales			999,089.03	1,200,942.95	0.00	0.00	-201,853.95		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 8 of 36

Account Number: 70-614901
 Recipient's Tax ID Number: XX-XXX8305
 Recipient's Name and Address:
 HAWK ROCK FOUNDATION
 2365 GARFIELD RD
 HYDE PARK, VT 05655

CAPITAL BANK & TRUST
 C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
 IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2018 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
100.0	AES CORP COM									
00130H105	11/02/2018	05/03/2018	1,464.76	1,223.05			241.71		0.00	0.00
4300.0	AES CORP COM									
00130H105	11/02/2018	Various	62,956.77	52,557.66			10,399.11		0.00	0.00
2000.0	AES CORP COM									
00130H105	11/05/2018	05/02/2018	29,626.41	24,424.60			5,201.81		0.00	0.00
0.944	AMERICAN TOWER CORP									
03027X100	02/15/2018	07/03/2017	130.22	132.48			-2.26		0.00	0.00
2200.0	ASAHI KASEI CORP									
605460005	11/02/2018	02/27/2018	25,686.37	28,641.71			-2,955.34		0.00	0.00
118.0	BNP PARIBAS									
730968906	02/14/2018	06/02/2017	9,271.43	8,512.53			758.90		0.00	0.00
485.0	BOUYGUES									
400212908	11/02/2018	Various	18,262.86	25,263.83			-7,000.97		0.00	0.00
1670.0	BRITISH AMERICAN TOBACCO PLC									
028758902	12/03/2018	Various	59,291.18	91,107.35			-31,816.17		0.00	0.00
73.0	BROADCOM LTD									
Y09827109	03/21/2018	Various	17,731.30	16,618.83			1,112.47		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT B-1

2018 tax information statement

Page 9 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
17 0	CROWN CASTLE INTL CORP CV PFD SER A7 0%									
22822V309	11/02/2018	11/02/2017	17,685.52	18,649.70			-964.18		0.00	0.00
1265.0	DELTA ELECTRONICS INC									
247629306	11/02/2018	11/02/2017	26,172.90	29,783.19			-3,610.29		0.00	0.00
550.0	DIAGEO PLC									
023740905	11/02/2018	12/19/2017	18,882.08	19,718.44			-836.36		0.00	0.00
1500.0	ENBRIDGE INC C\$									
29250N956	04/26/2018	Various	44,121.60	58,920.76			-14,799.16		0.00	0.00
100.0	ENBRIDGE INC C\$									
29250N956	04/26/2018	12/05/2017	2,956.34	3,833.94			-877.60		0.00	0.00
1800.0	ENBRIDGE INC C\$									
29250N956	04/27/2018	Various	53,253.51	68,505.38			-15,251.87		0.00	0.00
5800.0	GREENE KING PLC									
B0HZP1905	10/19/2018	Various	37,387.09	45,505.90			-8,118.82		0.00	0.00
1000.0	GREENE KING PLC									
B0HZP1905	10/22/2018	01/10/2018	6,367.31	7,734.47			-1,367.16		0.00	0.00
1600.0	GREENE KING PLC									
B0HZP1905	10/23/2018	Various	9,986.79	12,371.33			-2,384.54		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 10 of 36

Account Number: 70-614901
 Recipient's Tax ID Number: XX-XXX8305
 Recipient's Name and Address:
 HAWK ROCK FOUNDATION
 2365 GARFIELD RD
 HYDE PARK, VT 05655

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
 C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
 IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
2700 0	GREENE KING PLC									
B0HZP1905	10/24/2018	Various	16,880.53	20,206.94			-3,326.41		0.00	0.00
500.0	GREENE KING PLC									
B0HZP1905	10/24/2018	Various	3,121.16	3,538.34			-417.18		0.00	0.00
1500.0	GREENE KING PLC									
B0HZP1905	10/25/2018	Various	9,314.12	10,486.71			-1,172.59		0.00	0.00
5520.0	GREENE KING PLC									
B0HZP1905	10/26/2018	Various	34,492.06	38,574.34			-4,082.28		0.00	0.00
3440 0	HSBC HOLDINGS PLC UKP									
054052907	06/19/2018	Various	32,792.84	34,999.25			-2,206.41		0.00	0.00
1300.0	INMARSAT PLC									
B09LSH907	04/20/2018	Various	6,789.78	13,088.29			-6,298.51		0.00	0.00
500.0	INMARSAT PLC									
B09LSH907	04/26/2018	Various	2,592.88	4,979.03			-2,386.15		0.00	0.00
600.0	INMARSAT PLC									
B09LSH907	05/02/2018	Various	3,211.72	5,893.66			-2,681.94		0.00	0.00
900.0	INMARSAT PLC									
B09LSH907	05/03/2018	Various	4,591.60	8,664.28			-4,072.68		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT B-3

2018 Tax Information Statement

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
4900.0	INMARSAT PLC									
B09LSH907	11/22/2018	Various	25,973.75	39,204.09			-13,230.34		0.00	0.00
800.0	INMARSAT PLC									
B09LSH907	11/23/2018	Various	4,243.58	6,289.13			-2,045.55		0.00	0.00
140.0	INMARSAT PLC									
B09LSH907	11/26/2018	08/26/2018	741.16	1,087.17			-346.01		0.00	0.00
290 0	INTEL CORPORATION COMMON STOCK									
458140100	03/27/2018	10/04/2017	15,282.00	11,369.36			3,912.64		0.00	0.00
0.6	INTESA SANPAOLO SPA									
407683002	08/07/2018	09/29/2017	1.44	1.92			-0.48		0.00	0.00
745.0	INVERSCO LTD									
G491BT108	11/02/2018	05/16/2018	16,135.67	21,345.74			-5,210.07		0.00	0.00
700 0	JAPAN TOBACCO INC									
647453901	02/27/2018	Various	19,937.02	24,156.05			-4,219.03		0.00	0.00
110.0	KUEHNE & NAGEL INTERNATIONAL AG									
B142S6906	11/02/2018	Various	15,157.91	19,316.71			-4,158.80		0.00	0.00
210.0	LILLY ELI & COMPANY COMMON STOCK									
532457108	02/26/2018	Various	16,808.07	17,536.02			-727.95		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 12 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
500 0	LINK REIT (REIT)									
B0PB4M906	01/12/2018	06/02/2017	4,520.31	3,954.47			565.84		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	01/15/2018	06/02/2017	4,506.98	3,954.47			552.51		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	01/16/2018	06/02/2017	4,496.79	3,954.46			542.33		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	01/17/2018	07/03/2017	4,499.98	3,814.34			685.64		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	01/19/2018	07/03/2017	4,506.25	3,814.35			691.90		0.00	0.00
1000 0	LINK REIT (REIT)									
B0PB4M906	01/23/2018	07/03/2017	9,106.16	7,628.69			1,477.47		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	01/25/2018	07/03/2017	4,505.25	3,814.34			690.91		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	01/26/2018	05/02/2017	4,501.68	3,593.49			908.19		0.00	0.00
500 0	LINK REIT (REIT)									
B0PB4M906	02/01/2018	05/02/2017	4,412.72	3,593.49			819.23		0.00	0.00

This is important tax information and is being furnished to you.



2018 Tax Information Statement

Page 13 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
3100 0	NATIONAL GRID PLC									
BDR05C901	04/19/2018	Various	35,772.53	41,268.85			-5,496.32		0.00	0.00
2500 0	NATIONAL GRID PLC									
BDR05C901	04/19/2018	09/01/2017	28,772.14	31,671.56			-2,899.42		0.00	0.00
446 6667	NATIONAL GRID PLC									
BDR05C901	04/20/2018	09/01/2017	5,083.87	5,658.65			-574.78		0.00	0.00
0.668	OCBC BANK ORD									
B0F9V2906	10/29/2018	10/08/2018	4.88	4.90			-0.02		0.00	0.00
88.0	OCBC BANK ORD									
B0F9V2906	11/05/2018	10/08/2018	727.89	645.17			82.72		0.00	0.00
645.0	PG&E CORP									
69331C108	07/24/2018	Various	26,433.56	36,640.11			-10,206.55		0.00	0.00
1400.0	PG&E CORP									
69331C108	07/24/2018	Various	57,145.17	68,006.32			-10,861.15		0.00	0.00
200.0	PG&E CORP									
69331C108	07/24/2018	01/10/2018	8,198.49	8,913.56			-715.07		0.00	0.00
1595.0	PRUDENTIAL PLC									
070995006	02/27/2018	Various	40,802.99	36,128.61			4,674.38		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 14 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
470.0	PRUDENTIAL PLC									
070995006	12/03/2018	11/02/2018	9,586.58	9,958.65			-372.07		0.00	0.00
440.0	ROYAL DUTCH SHELL CL B ADR									
780259107	11/02/2018	Various	28,291.64	30,220.08			-1,928.44		0.00	0.00
405.0	SAMPO OYJ A SHS									
522603901	11/02/2018	Various	18,709.66	20,743.78			-2,034.12		0.00	0.00
3600.0	SANDS CHINA LTD									
B5B23W909	11/02/2018	08/30/2018	15,602.67	17,758.90			-2,156.23		0.00	0.00
850.0	SCHLUMBERGER LTD									
806857108	12/04/2018	Various	38,534.24	50,875.03			-12,340.79		0.00	0.00
3676.0	SES FDR CL A (PARIS)									
B00Z0Q907	02/22/2018	Various	53,416.54	78,241.64			-24,825.10		0.00	0.00
170.0	SOCIETE GENERALE									
596651901	11/02/2018	02/14/2018	6,467.16	9,615.66			-3,148.50		0.00	0.00
1821.0	SYDNEY AIRPORT STAPLED SECURITY									
B70DWB907	01/04/2018	01/30/2017	10,233.70	8,193.76			2,039.94		0.00	0.00
2184.0	SYDNEY AIRPORT STAPLED SECURITY									
B70DWB907	01/04/2018	01/30/2017	12,122.84	9,827.11			2,295.73		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT B-7

2018 Tax Information Statement

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
4026.0	SYDNEY AIRPORT STAPLED SECURITY									
B70DWB907	01/05/2018	01/30/2017	22,412.48	18,115.35			4,297.13		0.00	0.00
1304.0	SYDNEY AIRPORT STAPLED SECURITY									
B70DWB907	01/08/2018	01/30/2017	7,250.78	5,867.47			1,383.31		0.00	0.00
6811.0	SYDNEY AIRPORT STAPLED SECURITY									
B70DWB907	01/09/2018	01/30/2017	37,773.40	30,646.71			7,126.69		0.00	0.00
1657.0	SYDNEY AIRPORT STAPLED SECURITY									
B70DWB907	01/10/2018	01/30/2017	9,073.74	7,455.82			1,617.92		0.00	0.00
660.0	VINCI SA									
B1XH02900	11/02/2018	Various	59,043.07	66,064.52			-7,021.45		0.00	0.00
10500.0	VODAFONE GROUP PLC									
ACI07KLY6	12/03/2018	12/19/2017	22,551.41	32,746.56			-10,195.15		0.00	0.00
1200.0	VTECH HOLDINGS LTD									
692856909	02/27/2018	Various	16,395.45	18,923.57			-2,528.12		0.00	0.00
395.0	WELLS FARGO & CO									
949746101	12/03/2018	Various	21,621.18	25,994.21			-4,373.03		0.00	0.00
Total Short Term Sales			1,306,385.91	1,502,550.83	0.00	0.00	-196,164.93		0.00	0.00

This is important tax information and is being furnished to you.

2018 Tax Information Statement

Page 20 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-514900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
500.0	AES CORP COM									
00130H105	11/27/2018	10/18/2016	7,664.30	5,898.40			1,765.90		0.00	0.00
2410 0	AES CORP COM									
00130H105	11/28/2018	Various	37,157.60	28,358.12			8,799.48		0.00	0.00
1840 0	AIA GROUP LTD									
B4TX8S909	03/07/2018	Various	14,875.87	11,683.19			3,192.68		0.00	0.00
165.0	AIRBUS GROUP SE									
401225909	09/06/2018	06/02/2017	20,105.34	14,008.57			6,096.77		0.00	0.00
37.0	AMADEUS IT GROUP SA									
B3MSM2900	03/01/2018	04/07/2016	2,706.75	1,600.08			1,106.67		0.00	0.00
58.0	AMADEUS IT GROUP SA									
B3MSM2900	03/01/2018	04/07/2016	4,165.39	2,508.23			1,657.16		0.00	0.00
58.0	AMADEUS IT GROUP SA									
B3MSM2900	03/05/2018	03/02/2017	4,100.48	2,734.62			1,365.86		0.00	0.00
117.0	AMADEUS IT GROUP SA									
B3MSM2900	03/05/2018	03/02/2017	8,259.69	5,516.38			2,743.31		0.00	0.00
145.0	AMADEUS IT GROUP SA									
B3MSM2900	03/07/2018	Various	10,531.81	6,446.21			4,085.60		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT C-1

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Recipients Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipients Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
51.0	AMADEUS IT GROUP SA									
B3MSM2900	03/22/2018	Various	3,799.44	2,188.40			1,611.04		0.00	0.00
37.0	AMADEUS IT GROUP SA									
B3MSM2900	03/23/2018	03/09/2016	2,703.07	1,536.77			1,166.30		0.00	0.00
80.0	AMADEUS IT GROUP SA									
B3MSM2900	03/23/2018	03/09/2016	5,858.37	3,322.76			2,535.61		0.00	0.00
35.0	AMADEUS IT GROUP SA									
B3MSM2900	03/26/2018	03/09/2016	2,585.80	1,453.70			1,132.10		0.00	0.00
7.0	AMADEUS IT GROUP SA									
B3MSM2900	03/27/2018	03/09/2016	517.30	290.74			226.56		0.00	0.00
305.0	AMADEUS IT GROUP SA									
B3MSM2900	04/17/2018	Various	22,919.55	12,469.89			10,449.66		0.00	0.00
50.0	AMADEUS IT GROUP SA									
B3MSM2900	04/17/2018	02/02/2016	3,768.79	2,022.21			1,746.58		0.00	0.00
25.0	AMAZON.COM INC									
023135106	03/06/2018	Various	38,260.62	20,553.04			17,707.58		0.00	0.00
13.0	AMAZON.COM INC									
023135106	09/05/2018	08/25/2016	26,098.98	9,863.04			16,235.94		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 22 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
120 0	ANALOG DEVICES INC									
032654105	01/23/2018	Various	11,547.43	6,961.53			4,585.90		0.00	0.00
145.0	APPLE INC									
037833100	09/05/2018	Various	33,116.11	21,598.73			11,517.38		0.00	0.00
101.0	ASML HOLDING NV									
ACI02GT09	08/14/2018	06/02/2017	20,714.29	13,592.20			7,122.09		0.00	0.00
16.0	ASML HOLDING NV									
ACI02GT09	08/15/2018	06/02/2017	3,252.48	2,153.22			1,099.26		0.00	0.00
19.0	ASML HOLDING NV									
ACI02GT09	08/20/2018	06/02/2017	3,785.68	2,556.95			1,228.73		0.00	0.00
19.0	ASML HOLDING NV									
ACI02GT09	08/22/2018	06/02/2017	3,801.13	2,556.95			1,244.18		0.00	0.00
100.0	ASML HOLDING NV									
ACI02GT09	09/06/2018	Various	19,130.71	12,786.89			6,343.82		0.00	0.00
60 0	ASML HOLDING NV									
ACI02GT09	10/03/2018	03/02/2017	11,439.04	7,342.86			4,096.18		0.00	0.00
74.0	ASSA ABLOY AB CL B									
ACI0CQY00	08/08/2018	Various	1,451.94	1,268.86			183.08		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT C-3

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date		Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax		State Tax Withheld	
Cusip Number	Date Sold or Disposed	Date Acquired	Withheld							Withheld		
1111.0	ASSA ABLOY AB CL B											
ACIOCCYQ00	08/08/2018	Various	21,798.81	9,712.56				12,086.25	0.00		0.00	
355.0	ASSA ABLOY AB CL B											
ACIOCCYQ00	08/09/2018	10/28/2011	6,944.10	2,976.55				3,967.55	0.00		0.00	
200.0	ASTRAZENECA PLC (GBP)											
098952906	09/06/2018	Various	14,665.11	13,651.77				1,013.34	0.00		0.00	
180.0	BANK OF NEW YORK MELLON CORP											
064058100	10/26/2018	08/09/2017	8,238.55	9,603.00				-1,364.45	0.00		0.00	
200.0	BANK OF NEW YORK MELLON CORP											
064058100	10/26/2018	08/09/2017	9,167.58	10,670.00				-1,502.42	0.00		0.00	
135.0	BANK OF NEW YORK MELLON CORP											
064058100	10/26/2018	Various	6,153.35	7,034.71				-881.36	0.00		0.00	
343.0	BNP PARIBAS											
730968906	04/25/2018	Various	26,449.15	21,320.17				5,128.98	0.00		0.00	
68.0	BNP PARIBAS											
730968906	04/25/2018	03/02/2017	5,254.33	4,144.38				1,109.95	0.00		0.00	
48.0	BNP PARIBAS											
730968906	04/26/2018	Various	3,709.37	2,898.32				811.03	0.00		0.00	

This is important tax information and is being furnished to you.

2017 Tax Information Statement

Page 24 of 58

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305
Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
71.0	BNP PARIBAS									
730968906	04/27/2018	Various	5,457.36	3,685.29			1,772.07		0.00	0.00
105.0	BNP PARIBAS									
730968906	04/30/2018	Various	8,092.40	5,150.09			2,942.31		0.00	0.00
280.0	BNP PARIBAS									
730968906	11/20/2018	Various	14,221.23	13,727.15			494.08		0.00	0.00
45.0	BOEING CO									
097023105	03/06/2018	03/08/2016	15,582.24	5,487.51			10,094.73		0.00	0.00
56.0	BRENNTAG AG									
B4YVF5909	12/11/2018	08/17/2016	2,420.77	3,063.08			-642.31		0.00	0.00
99.0	BRENNTAG AG									
B4YVF5909	12/11/2018	Various	4,283.51	5,417.05			-1,133.54		0.00	0.00
1090.0	BRITISH AMERICAN TOBACCO PLC									
028758902	10/18/2018	Various	46,878.14	65,464.17			-18,586.03		0.00	0.00
70.0	BROADCOM LTD									
Y09827109	01/04/2018	06/09/2016	18,992.83	11,490.50			7,502.33		0.00	0.00
100.0	C H ROBINSON WORLDWIDE INC									
12541W209	07/06/2018	03/01/2017	8,434.94	8,115.81			319.13		0.00	0.00



This is important tax information and is being furnished to you.

Recipient's Name and Address
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number:
70-614900
Recipient's Tax ID Number:
XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax		State Tax Withheld
Cusip Number	Date Sold or Disposed	Date Acquired								Withheld		
85.0	C H ROBINSON WORLDWIDE INC											
12541W209	07/09/2018	03/01/2017		7,237.88	6,898.44			339.44		0.00		0.00
30875 632	CAPITAL GROUP CORE BOND FUND											
14042Y304	12/14/2018	07/10/2012		305,360.00	326,355.43			-20,995.43		0.00		0.00
5585.989	CAPITAL WORLD BOND FUND CL F3											
140541111	03/06/2018	07/12/2012		113,228.00	117,259.57			-4,031.57		0.00		0.00
9263.103	CAPITAL WORLD BOND FUND CL F3											
140541111	12/14/2018	07/12/2012		176,740.00	191,997.33			-15,257.33		0.00		0.00
100.0	CERNER CORP											
156782104	01/23/2018	03/08/2016		6,926.19	5,338.80			1,587.39		0.00		0.00
100.0	CERNER CORP											
156782104	01/29/2018	03/08/2016		6,877.14	5,338.80			1,538.34		0.00		0.00
40.0	CERNER CORP											
156782104	01/31/2018	Various		2,785.25	1,154.68			1,630.57		0.00		0.00
355.0	CERNER CORP											
156782104	02/05/2018	Various		23,220.40	8,709.28			14,511.12		0.00		0.00
100.0	CERNER CORP											
156782104	02/05/2018	01/08/2009		6,575.56	880.45			5,695.11		0.00		0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 26 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
295.0	CERNER CORP									
156782104	02/06/2018	01/08/2009	18,728.94	2,597.33			16,131.61		0.00	0.00
170.0	CHEVRON CORP									
166764100	03/06/2018	Various	19,280.95	19,155.10			125.85		0.00	0.00
59.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/12/2018	03/30/2017	4,908.71	4,649.24			259.47		0.00	0.00
84.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/12/2018	Various	6,977.72	5,989.01			988.71		0.00	0.00
84.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/13/2018	06/10/2016	7,043.62	5,226.06			1,817.56		0.00	0.00
3.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/13/2018	10/10/2011	251.56	150.63			100.93		0.00	0.00
91.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/13/2018	Various	7,630.71	5,550.15			2,080.56		0.00	0.00
52.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/16/2018	Various	4,374.18	1,304.21			3,069.97		0.00	0.00
22.0	CIE FINANCIERE RICHEMON REG									
ACI06R7K8	07/17/2018	08/04/2009	1,831.68	551.78			1,279.90		0.00	0.00



This is important tax information and is being furnished to you.

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property		Date Sold or Disposed		Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
60.0	CME GROUP INC											
12572Q105		03/06/2018		03/01/2017	10,097.76	7,646.63			2,451.13		0.00	0.00
100.0	COCA-COLA CO											
191216100		04/20/2018		03/29/2017	4,363.14	4,245.21			117.93		0.00	0.00
70.0	COCA-COLA CO											
191216100		04/20/2018		03/29/2017	3,060.47	2,971.64			88.83		0.00	0.00
230.0	CONOCOPHILLIPS											
20825C104		02/21/2018		Various	12,469.02	10,339.70			2,129.32		0.00	0.00
100.0	CROWN CASTLE INTERNATIONAL CORP											
22822V101		09/05/2018		Various	11,350.85	10,211.65			1,139.20		0.00	0.00
140.0	DANAHER CORP											
235851102		09/05/2018		Various	14,515.01	12,165.36			2,349.65		0.00	0.00
0.753	DANONE											
B1Y9TB906		08/16/2018		07/27/2017	59.16	57.83			1.33		0.00	0.00
80.0	DEERE & CO											
244199105		12/03/2018		10/24/2017	12,978.01	10,481.68			2,496.33		0.00	0.00
100.0	DOLLAR GENERAL CORP											
256677105		03/29/2018		Various	9,364.02	6,909.02			2,455.00		0.00	0.00

This is important tax information and is being furnished to you.

2018 Tax Information Statement

Page 28 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
80.0	DOLLAR GENERAL CORP									
256677105	04/06/2018	10/06/2016	7,709.17	5,512.26			2,196.91		0.00	0.00
295.0	DOLLAR GENERAL CORP									
256677105	04/13/2018	Various	28,450.53	20,350.51			8,100.02		0.00	0.00
100 0	DOLLAR GENERAL CORP									
256677105	04/13/2018	04/12/2017	9,721.74	6,898.03			2,823.71		0.00	0.00
80.0	DOWDUPONT INC									
26078J100	01/18/2018	06/09/2016	6,049.08	4,293.20			1,755.88		0.00	0.00
100 0	EATON CORP PLC									
G29183103	07/26/2018	06/09/2016	7,999.39	5,916.25			2,083.14		0.00	0.00
55 0	EATON CORP PLC									
G29183103	07/26/2018	Various	4,399.67	3,114.36			1,285.31		0.00	0.00
200.0	EATON CORP PLC									
G29183103	07/26/2018	03/08/2016	16,005.01	10,902.02			5,102.99		0.00	0.00
100 0	EATON CORP PLC									
G29183103	08/14/2018	03/08/2016	8,021.65	5,385.01			2,636.64		0.00	0.00
100 0	EATON CORP PLC									
G29183103	08/15/2018	03/08/2016	7,938.97	5,385.02			2,553.95		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT C-9

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property			Date Sold or Disposed		Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Cusip Number													
25 0	EATON CORP PLC												
G29183103		08/16/2018	03/08/2016			2,013.92	1,346.25			667.67		0.00	0.00
765 586	EMERGING MARKETS GROWTH FUND INC												
290886100		06/22/2018	06/01/2017			6,140.00	5,588.78			551.22		0.00	0.00
5 0	ENBRIDGE INC C\$												
29250N956		04/27/2018	03/01/2017			147.93	209.27			-61.34		0.00	0.00
1300 0	ENBRIDGE INC C\$												
29250N956		04/30/2018	Various			39,228.39	53,701.86			-14,473.47		0.00	0.00
535 0	ENBRIDGE INC C\$												
29250N956		05/01/2018	Various			16,399.00	21,415.36			-5,016.36		0.00	0.00
135.0	EOG RESOURCES INC												
26875P101		03/06/2018	Various			13,666.41	10,725.52			2,940.89		0.00	0.00
95.0	EXPRESS SCRIPTS HOLDING CO												
30219G108		12/21/2018	11/29/2011			8,725.53	4,094.23			4,631.30		0.00	0.00
45.0	EXPRESS SCRIPTS HOLDING CO												
30219G108		12/21/2018	11/29/2011			4,133.17	1,939.37			2,193.80		0.00	0.00
45.0	EXPRESS SCRIPTS HOLDING CO												
30219G108		12/21/2018	04/28/2017			4,189.27	2,758.59			1,430.68		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 30 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
95 0	EXPRESS SCRIPTS HOLDING CO									
30219G108	12/21/2018	04/28/2017	8,843.96	5,823.69			3,020.27		0.00	0.00
55 0	EXPRESS SCRIPTS HOLDING CO									
30219G108	12/21/2018	11/29/2011	5,051.65	2,370.35			2,681.30		0.00	0.00
255.0	EXXON MOBIL CORP									
30231G102	01/30/2018	Various	22,173.18	17,918.21			4,254.97		0.00	0.00
100.0	FANUC CO									
635693005	01/22/2018	Various	28,692.45	15,893.87			12,798.58		0.00	0.00
20 0	FANUC CO									
635693005	03/02/2018	06/10/2016	4,972.42	3,142.24			1,830.18		0.00	0.00
45 0	FANUC CO									
635693005	05/09/2018	03/30/2017	9,636.82	9,424.83			211.99		0.00	0.00
245 0	HAMAMATSU PHOTONIC KK									
640587002	03/07/2018	06/10/2016	9,602.05	6,708.09			2,893.96		0.00	0.00
200.0	HEXCEL CORP									
428291108	01/03/2018	03/08/2016	12,506.57	8,531.34			3,975.23		0.00	0.00
95.0	HEXCEL CORP									
428291108	01/04/2018	Various	5,988.55	4,018.91			1,969.64		0.00	0.00

This is important tax information and is being furnished to you.



Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Accol Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
140.0	HOSHIZAKI CORP									
B3FF8W906	12/18/2018	Various	8,114.44	12,266.80			-4,152.36		0.00	0.00
35.0	HUMANA INC									
444859102	08/15/2018	03/09/2017	11,418.82	7,615.60			3,803.22		0.00	0.00
1653 0	IBERDROLA SA RTS EXP 07/23/2018									
AC113RQ7	07/18/2018	Various	366.70	0.00			366.70		0.00	0.00
40.0	IMPERIAL BRANDS PLC ^s									
045449907	03/16/2018	03/09/2016	1,385.38	2,110.54			-725.16		0.00	0.00
480.0	IMPERIAL BRANDS PLC									
045449907	03/16/2018	Various	16,581.74	23,516.27			-6,934.53		0.00	0.00
55.0	INCYTE CORP									
45337C102	10/16/2018	03/29/2017	3,568.58	7,651.99			-4,083.41		0.00	0.00
440 0	INCYTE CORP									
45337C102	10/17/2018	Various	28,632.37	51,009.85			-22,377.48		0.00	0.00
300.0	INCYTE CORP									
45337C102	10/17/2018	03/08/2016	19,523.74	21,612.39			-2,088.65		0.00	0.00
105.0	JACK HENRY & ASSOC INC									
426281101	09/05/2018	Various	16,600.63	9,721.45			6,879.18		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 32 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax	
Cusip Number	Date Sold or Disposed	Date Acquired								Withheld	State Tax Withheld
225 0	JPMORGAN CHASE & CO										
46625H100	09/05/2018	Various		25,783.92	19,816.13			5,967.79		0.00	0.00
105 0	JPMORGAN CHASE & CO										
46625H100	10/02/2018	06/21/2017		11,867.16	9,146.33			2,720.83		0.00	0.00
295 0	JPMORGAN CHASE & CO										
46625H100	12/06/2018	Various		30,688.33	25,637.40			5,050.93		0.00	0.00
12 0	KBC GROEP NV										
449774009	06/19/2018	05/31/2017		919.86	904.19			15.67		0.00	0.00
33.0	KBC GROEP NV										
449774009	06/19/2018	05/31/2017		2,528.85	2,486.51			42.34		0.00	0.00
49.0	KBC GROEP NV										
449774009	06/27/2018	Various		3,736.82	3,691.91			44.91		0.00	0.00
33.0	KBC GROEP NV										
449774009	06/28/2018	Various		2,501.33	2,442.59			58.74		0.00	0.00
37.0	KBC GROEP NV										
449774009	06/28/2018	Various		2,805.14	2,738.67			66.47		0.00	0.00
36.0	KBC GROEP NV										
449774009	06/29/2018	Various		2,777.66	2,689.87			87.79		0.00	0.00

This is important tax information and is being furnished to you.

Recipient's Name and Address:

HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number:

70-614900

Recipient's Tax ID Number:

XX-XXX8305

☐ Corrected☐ FATCA☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
79.0	KBC GROEP NV									
449774009	10/10/2018	Various	6,057.72	5,470.13			587.59		0.00	0.00
57.0	KBC GROEP NV									
449774009	10/12/2018	Various	4,245.26	3,846.73			398.53		0.00	0.00
39.0	KBC GROEP NV									
449774009	10/16/2018	Various	2,923.34	2,550.40			372.94		0.00	0.00
47.0	KBC GROEP NV									
449774009	10/17/2018	Various	3,535.31	2,963.09			572.22		0.00	0.00
28.0	KBC GROEP NV									
449774009	11/15/2018	Various	1,964.33	1,764.92			199.41		0.00	0.00
55.0	KEYENCE CORP									
649099009	03/07/2018	03/09/2016	32,716.29	14,042.37			18,673.92		0.00	0.00
3518.0	KONINKLIJKE KPN NV									
595607904	10/18/2018	Various	9,617.11	12,786.43			-3,169.32		0.00	0.00
1401.0	KONINKLIJKE KPN NV									
595607904	10/19/2018	Various	3,849.72	4,952.42			-1,102.70		0.00	0.00
28910.0	LLOYDS TSB GROUP PLC									
087061008	11/07/2018	Various	22,158.20	34,704.66			-12,546.46		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 34 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
100.0	MONSANTO CO NEW COM									
61166W101	01/19/2018	09/12/2016	11,917.72	10,702.00			1,215.72		0.00	0.00
175.0	MONSANTO CO NEW COM									
61166W101	01/19/2018	Various	21,033.57	15,763.45			5,270.12		0.00	0.00
55.0	MONSANTO CO NEW COM									
61166W101	02/08/2018	03/08/2016	6,582.81	4,897.75			1,685.06		0.00	0.00
65.0	MOODYS CORP									
615369105	09/05/2018	06/02/2017	11,473.00	7,737.45			3,735.55		0.00	0.00
145.0	MURATA MANUFACTURING CO LTD									
661040006	09/06/2018	Various	24,512.68	20,359.29			4,153.39		0.00	0.00
380.0	NASPERS LTD SPON ADR									
631512209	03/06/2018	Various	22,018.59	12,564.45			9,454.14		0.00	0.00
865.0	NEWELL RUBBERMAID INC COMMON STOCK									
651229106	08/14/2018	Various	17,813.40	17,827.55			-14.15		0.00	0.00
60.0	NIELSEN HOLDINGS PLC									
G6518L108	01/16/2018	02/11/2011	2,243.18	1,516.52			726.66		0.00	0.00
40.0	NIELSEN HOLDINGS PLC									
G6518L108	01/16/2018	02/10/2011	1,495.46	1,014.45			481.01		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT C-15

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ FATCA

☐ 2nd TIN notice

Recipient's Name and Address:

HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

70-614900

XX-XXX8305

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
40.0	NIELSEN HOLDINGS PLC									
G6518L108	01/17/2018	02/11/2011	1,456.25	1,011.01			445.24		0.00	0.00
300.0	NIELSEN HOLDINGS PLC									
G6518L108	01/17/2018	Various	10,921.83	7,572.13			3,349.70		0.00	0.00
100.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	06/22/2018	06/02/2017	5,200.43	5,137.53			62.90		0.00	0.00
100.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	07/18/2018	06/02/2017	5,054.68	5,137.53			-82.85		0.00	0.00
100.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	07/23/2018	Various	5,001.68	5,086.68			-85.00		0.00	0.00
100.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	07/24/2018	Various	5,005.68	4,809.54			196.14		0.00	0.00
100.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	07/25/2018	Various	5,010.91	3,731.37			1,279.54		0.00	0.00
100.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	07/31/2018	10/17/2016	5,015.93	3,730.75			1,285.18		0.00	0.00
10.0	NORWEGIAN CRUISE LINE HOLDINGS LTD									
G66721104	08/09/2018	10/17/2016	509.84	373.07			136.77		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 36 of 58

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305
Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated

Description of property

Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
540 0	NOVO NORDISK A/S CL B									
AC107GG13	01/31/2018	Various	30,217.38	16,640.12			13,577.26		0.00	0.00
100 0	ORACLE CORP JAPAN									
614168904	02/19/2018	Various	7,914.14	4,698.92			3,215.22		0.00	0.00
100.0	ORACLE CORP JAPAN									
614168904	03/01/2018	Various	7,725.54	4,452.99			3,272.55		0.00	0.00
20.0	ORACLE CORP JAPAN									
614168904	03/07/2018	04/09/2014	1,555.42	889.39			666.03		0.00	0.00
75 0	PERNOD RICARD SA									
468232004	03/07/2018	Various	12,154.64	8,742.58			3,412.06		0.00	0.00
100 0	PRAXAIR INC									
74005P104	11/01/2018	12/18/2014	16,345.50	13,022.00			3,323.50		0.00	0.00
135.0	PROCTER & GAMBLE COMPANY COMMON STOCK									
742718109	08/15/2018	06/09/2016	11,061.51	11,219.97			-158.46		0.00	0.00
1140.0	QUALCOMM INC									
747525103	08/15/2018	Various	74,695.90	63,218.93			11,476.97		0.00	0.00
67.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/30/2018	Various	16,598.98	18,983.20			-2,384.22		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT C-17

Recipiant's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

70-614900
XX-XXX8305

Accou Number:
Recipiant's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property			Federal Income Tax		State Tax					
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Withheld	Withheld
13 0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/31/2018	08/22/2014	3,227.13	3,775.71			-548.58		0.00	0.00
116.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	01/31/2018	Various	28,732.49	29,010.12			-277.63		0.00	0.00
11.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	02/02/2018	09/12/2016	2,650.74	2,685.04			-34.30		0.00	0.00
19.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	02/02/2018	Various	4,566.30	4,637.79			-71.49		0.00	0.00
50.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	02/02/2018	Various	12,110.19	11,477.80			632.39		0.00	0.00
31.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	06/21/2018	Various	6,821.71	5,270.03			1,551.68		0.00	0.00
39.0	ROCHE HOLDING AG GENUSSSCHEIN									
711038901	06/21/2018	Various	8,582.15	7,719.11			863.04		0.00	0.00
100 0	ROYAL CARIBBEAN CRUISES									
V7780T103	01/03/2018	03/08/2016	12,371.16	7,160.29			5,210.87		0.00	0.00
65.0	ROYAL CARIBBEAN CRUISES									
V7780T103	01/04/2018	03/08/2016	7,990.45	4,654.19			3,336.26		0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 38 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
215.0	ROYAL CARIBBEAN CRUISES									
V7780T103	04/05/2018	Various	25,362.16	17,480.73			7,881.43		0.00	0.00
130.0	ROYAL CARIBBEAN CRUISES									
V7780T103	05/15/2018	09/12/2016	13,839.88	8,537.35			5,302.53		0.00	0.00
100.0	ROYAL CARIBBEAN CRUISES									
V7780T103	06/20/2018	09/12/2016	11,309.16	6,567.19			4,741.97		0.00	0.00
45.0	ROYAL CARIBBEAN CRUISES									
V7780T103	06/21/2018	09/12/2016	4,982.10	2,955.23			2,026.87		0.00	0.00
135.0	RYANAIR HOLDINGS PLC SP ADR									
783513203	10/16/2018	02/01/2017	10,898.28	11,496.16			-597.88		0.00	0.00
100.0	RYANAIR HOLDINGS PLC SP ADR									
783513203	10/17/2018	Various	8,082.85	8,362.10			-279.25		0.00	0.00
115.0	RYANAIR HOLDINGS PLC SP ADR									
783513203	10/17/2018	Various	9,249.09	8,679.85			569.24		0.00	0.00
100.0	RYANAIR HOLDINGS PLC SP ADR									
783513203	10/17/2018	10/21/2016	8,044.19	7,336.59			707.60		0.00	0.00
60.0	SMC CORP									
676396005	03/07/2018	Various	24,505.00	15,078.66			9,426.34		0.00	0.00

This is important tax information and is being furnished to you

ATTACHMENT C-19

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property				Federal Income Tax		State Tax Withheld				
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Withheld	Withheld
215.0	SOFTBANK GROUP CORP									
677062903	09/06/2018	03/02/2017	18,800.85	16,358.49			2,442.36		0.00	0.00
600.0	SOFTBANK GROUP CORP									
677062903	11/28/2018	Various	48,679.29	41,377.05			7,302.24		0.00	0.00
270.0	STARBUCKS CORP									
855244109	07/03/2018	Various	13,238.70	15,304.14			-2,065.44		0.00	0.00
50.0	STARBUCKS CORP									
855244109	07/09/2018	06/09/2016	2,489.76	2,777.15			-287.39		0.00	0.00
340.0	STARBUCKS CORP									
855244109	08/16/2018	Various	18,083.99	18,597.03			-513.04		0.00	0.00
335.0	TAIWAN SEMICONDUCTOR SPONS ADR									
874039100	03/06/2018	03/01/2017	14,573.84	10,575.11			3,998.73		0.00	0.00
250.0	TEMPUR SEALY INTERNATIONAL INC									
88023U101	03/01/2018	06/03/2016	12,271.08	15,129.55			-2,858.47		0.00	0.00
325.0	UBS GROUP AG									
ACI09N1W4	06/21/2018	06/02/2017	4,959.41	5,211.98			-252.57		0.00	0.00
1182.0	UBS GROUP AG									
ACI09N1W4	06/21/2018	Various	18,023.69	17,723.70			299.99		0.00	0.00

This is important tax information and is being furnished to you.

2() Tax Information Statement

Page 40 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property									
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld
105.0	VERISIGN INC								
92343E102	01/11/2018	Various	11,786.28	4,715.79			7,070.49		0.00
110.0	VERIZON COMMUNICATIONS COMMON STOCK								
92343V104	02/21/2018	08/26/2014	5,350.53	5,407.82			-57.29		0.00
100.0	VISA INC CL A								
92826C839	09/05/2018	06/02/2017	14,366.31	9,556.27			4,810.04		0.00
7600.0	VODAFONE GROUP PLC								
ACI07KLY6	08/16/2018	Various	16,999.14	24,798.49			-7,799.35		0.00
3200.0	VODAFONE GROUP PLC								
ACI07KLY6	08/16/2018	Various	7,196.16	8,362.81			-1,166.65		0.00
1200.0	VODAFONE GROUP PLC								
ACI07KLY6	08/16/2018	Various	2,677.21	3,117.95			-440.74		0.00
1600.0	VODAFONE GROUP PLC								
ACI07KLY6	08/17/2018	Various	3,598.73	5,393.78			-1,795.05		0.00
2300.0	VODAFONE GROUP PLC								
ACI07KLY6	08/17/2018	Various	5,146.70	7,714.73			-2,568.03		0.00
955.0	VODAFONE GROUP PLC								
ACI07KLY6	08/17/2018	06/30/2014	2,138.35	3,210.58			-1,072.23		0.00

This is important tax information and is being furnished to you.



Recipient's Name and Address:

HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number:

70-614900
XX-XXX8305

Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
800.0	VTECH HOLDINGS LTD									
692856909	11/22/2018	Various	7,963.23	9,828.62			-1,865.39		0.00	0.00
300.0	VTECH HOLDINGS LTD									
692856909	11/26/2018	Various	2,868.60	3,648.47			-779.87		0.00	0.00
500.0	VTECH HOLDINGS LTD									
692856909	11/28/2018	Various	4,739.01	5,966.26			-1,227.25		0.00	0.00
600.0	VTECH HOLDINGS LTD									
692856909	11/30/2018	Various	5,669.26	7,014.88			-1,345.62		0.00	0.00
400.0	VTECH HOLDINGS LTD									
692856909	12/05/2018	03/02/2017	3,712.55	4,537.97			-825.42		0.00	0.00
600.0	VTECH HOLDINGS LTD									
692856909	12/21/2018	03/02/2017	5,065.09	6,806.97			-1,741.88		0.00	0.00
300.0	VTECH HOLDINGS LTD									
692856909	12/24/2018	Various	2,504.16	3,378.47			-874.31		0.00	0.00
300.0	VTECH HOLDINGS LTD									
692856909	12/27/2018	03/09/2016	2,507.81	3,365.98			-858.17		0.00	0.00
100.0	WASTE MANAGEMENT INC									
94106L109	02/21/2018	06/09/2016	8,514.84	6,253.50			2,261.34		0.00	0.00

This is important tax information and is being furnished to you.

2018 Tax Information Statement

Page 42 of 58

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614900
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusp Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
65.0	WASTE MANAGEMENT INC									
94106L109	02/21/2018	Various	5,533.19	3,975.20			1,557.99		0.00	0.00
100.0	XILINX INC									
983919101	03/27/2018	06/09/2016	7,369.18	4,704.87			2,664.31		0.00	0.00
70.0	XILINX INC									
983919101	04/10/2018	06/09/2016	4,767.91	3,293.41			1,474.50		0.00	0.00
345.0	YUM CHINA HOLDINGS INC									
98850P109	06/01/2018	03/01/2017	13,645.30	9,181.11			4,464.19		0.00	0.00
300.0	YUM CHINA HOLDINGS INC									
98850P109	07/10/2018	Various	11,052.54	7,354.72			3,697.83		0.00	0.00
345.0	YUM CHINA HOLDINGS INC									
98850P109	07/11/2018	Various	12,566.04	7,727.99			4,838.05		0.00	0.00
Total Long Term Sales			2,787,293.30	2,448,622.12	0.00	0.00	338,671.17		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT C-23

20 Tax Information Statement

Page 16 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
2930.0	ABN AMRO GROUP NV CVA GDR									
BYQP13905	11/02/2018	Various	74,133.93	70,541.57			3,592.36		0.00	0.00
195.0	AMERICAN TOWER CORP									
03027X100	11/02/2018	Various	29,371.50	26,990.45			2,381.05		0.00	0.00
2800.0	ASAHI KASEI CORP									
605460005	11/02/2018	Various	32,691.74	28,506.74			4,185.00		0.00	0.00
1390.0	ASTRAZENECA PLC ADR									
045353108	11/02/2018	Various	52,854.33	45,006.32			7,848.01		0.00	0.00
409.0	BNP PARIBAS									
730968906	02/14/2018	10/17/2016	32,135.70	21,924.94			10,210.76		0.00	0.00
63.0	BNP PARIBAS									
730968906	02/14/2018	10/17/2016	4,943.40	3,377.19			1,566.21		0.00	0.00
145.0	BNP PARIBAS									
730968906	11/02/2018	Various	7,753.52	9,419.45			-1,665.93		0.00	0.00
210.0	BOEING CO									
097023105	11/02/2018	Various	77,541.34	29,366.30			48,175.04		0.00	0.00
128.0	BROADCOM LTD									
Y09827109	03/21/2018	Various	31,090.49	5,798.19			25,292.30		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT D-1

2010 Tax Information Statement

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property									
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld
1540 0	CME GROUP INC								
12572Q105	11/02/2018	Various	283,816.14	185,913.64			97,902.50		0.00
0.892	DANONE								
B1Y9TB906	08/16/2018	06/08/2017	70.08	67.15			2.93		0.00
2165 0	DIAGEO PLC								
023740905	11/02/2018	Various	74,326.74	63,295.38			11,031.36		0.00
170.0	DOWDUPONT INC								
26078J100	11/02/2018	Various	9,837.53	10,119.08			-281.55		0.00
500.0	ENBRIDGE INC C\$								
29250N956	04/27/2018	Various	14,792.64	21,911.77			-7,119.13		0.00
2700.0	ENBRIDGE INC C\$								
29250N956	04/30/2018	Various	81,474.34	116,164.36			-34,690.02		0.00
1035 0	ENBRIDGE INC C\$								
29250N956	05/01/2018	Various	31,725.16	45,060.09			-13,334.93		0.00
1515.0	ENEL SPA								
714456902	10/18/2018	09/04/2017	7,683.67	9,244.38			-1,560.71		0.00
2331.0	ENEL SPA								
714456902	10/18/2018	09/04/2017	11,818.18	14,223.53			-2,405.35		0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 18 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
2247.0	ENEL SPA									
714456902	10/18/2018	09/04/2017	11,242.41	13,710.97			-2,468.56		0.00	0.00
9922.0	ENEL SPA									
714456902	10/18/2018	Various	50,271.92	55,919.24			-5,647.32		0.00	0.00
358.0	FREENET AG									
B1SK05902	10/18/2018	Various	8,239.68	11,115.54			-2,875.86		0.00	0.00
2372.0	FREENET AG									
B1SK05902	10/18/2018	Various	54,779.22	71,454.23			-16,675.01		0.00	0.00
520.0	GILEAD SCIENCES INC									
375558103	11/02/2018	Various	36,238.42	43,074.91			-6,836.49		0.00	0.00
650.0	HELMERICH & PAYNE									
423452101	11/02/2018	Various	39,985.34	45,564.87			-5,579.53		0.00	0.00
8400.0	HSBC HOLDINGS PLC UKP									
054052907	06/19/2018	Various	80,075.54	72,084.96			7,990.58		0.00	0.00
2420.0	IMPERIAL BRANDS PLC									
045449907	10/18/2018	Various	81,276.47	116,509.94			-35,233.47		0.00	0.00
9141.0	INTESA SANPAOLO SPA									
407683002	11/02/2018	09/29/2017	20,981.99	29,210.43			-8,228.44		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT D-3

2018 Tax Information Statement

Page 19 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
485 0	INVESCO LTD									
G491BT108	11/02/2018	Various	10,504.43	14,779.67			-4,275.24		0.00	0.00
300.0	INVESCO LTD									
G491BT108	11/13/2018	06/09/2016	6,018.10	8,736.99			-2,718.89		0.00	0.00
212 0	INVESCO LTD									
G491BT108	11/14/2018	Various	4,185.50	5,808.71			-1,623.21		0.00	0.00
470.0	IRON MOUNTAIN INCORPORATED									
48284V101	11/02/2018	01/27/2017	14,590.07	16,935.94			-2,345.87		0.00	0.00
900.0	JAPAN TOBACCO INC									
647453901	02/27/2018	Various	25,633.32	30,400.18			-4,766.86		0.00	0.00
1070.0	JAPAN TOBACCO INC									
647453901	07/02/2018	01/30/2017	29,359.90	34,744.91			-5,385.01		0.00	0.00
285 0	KONINKLIJKE KPN NV									
595607904	11/02/2018	08/02/2017	762.27	1,048.91			-286.64		0.00	0.00
1140.0	LAS VEGAS SANDS CORP									
517834107	11/02/2018	Various	64,068.87	67,763.69			-3,694.82		0.00	0.00
100.0	LILLY ELI & COMPANY COMMON STOCK									
532457108	02/26/2018	Various	8,003.85	8,670.70			-666.85		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 20 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
500.0	LINK REIT (REIT)									
B0PB4M906	02/02/2018	02/01/2017	4,347.37	3,513.22			834.15		0.00	0.00
2500 0	LINK REIT (REIT)									
B0PB4M906	06/21/2018	Various	22,137.58	17,726.65			4,410.93		0.00	0.00
5000 0	LINK REIT (REIT)									
B0PB4M906	11/02/2018	02/01/2017	45,735.92	35,132.20			10,603.72		0.00	0.00
25500.0	LONGFOR PROPERTIES CO LTD									
B56KLY901	02/02/2018	02/01/2017	83,901.64	36,922.73			46,978.91		0.00	0.00
11955 0	LONGFOR PROPERTIES CO LTD									
B56KLY901	06/21/2018	Various	35,355.83	21,800.78			13,555.05		0.00	0.00
180.0	MICROSOFT CORPORATION COMMON STOCK									
594918104	02/26/2018	01/27/2017	17,151.17	11,795.40			5,355.77		0.00	0.00
745.0	MICROSOFT CORPORATION COMMON STOCK									
594918104	11/02/2018	Various	79,086.75	49,776.83			29,309.92		0.00	0.00
7828.3333	NATIONAL GRID PLC									
BDF05C901	04/20/2018	Various	89,100.44	103,616.67			-14,516.23		0.00	0.00
560.0	NUCOR CORP									
670346105	11/02/2018	Various	33,719.79	33,987.66			-267.87		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT D-5

2018 Tax Information Statement

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
4500.0	OCBC BANK ORD									
B0F9V2906	11/05/2018	Various	37,221.56	33,178.06			4,043.50		0.00	0.00
325.0	PFIZER INC COMMON STOCK									
717081103	11/02/2018	Various	13,877.96	11,197.52			2,680.44		0.00	0.00
30.0	PRUDENTIAL PLC									
070995006	12/03/2018	05/02/2017	611.91	672.93			-61.02		0.00	0.00
800.0	PRUDENTIAL PLC									
070995006	12/05/2018	05/02/2017	15,429.60	17,944.82			-2,515.22		0.00	0.00
900.0	PRUDENTIAL PLC									
070995006	12/06/2018	05/02/2017	16,424.02	20,187.92			-3,763.90		0.00	0.00
200.0	PRUDENTIAL PLC									
070995006	12/07/2018	Various	3,654.43	4,486.21			-831.78		0.00	0.00
175.0	PRUDENTIAL PLC									
070995006	12/07/2018	05/02/2017	3,215.14	3,925.43			-710.29		0.00	0.00
1863.0	QUALCOMM INC									
747525103	08/31/2018	Various	125,752.50	107,050.81			18,701.69		0.00	0.00
600.0	RECKITT BENCKISER GROUP PLC									
B24CGK904	11/02/2018	Various	49,044.90	56,096.82			-7,051.92		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 22 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
410.0	RIO TINTO PLC REG									
071887004	02/27/2018	01/30/2017	23,181.70	18,166.25			5,015.45		0.00	0.00
820.0	RIO TINTO PLC REG									
071887004	11/02/2018	Various	41,755.89	33,092.86			8,663.03		0.00	0.00
593.0	ROCHE HOLDING AG GENUSSCHEIN									
711038901	11/02/2018	Various	143,460.30	150,828.40			-7,368.10		0.00	0.00
735.0	ROYAL DUTCH SHELL CL B ADR									
780259107	11/02/2018	Various	47,259.88	44,908.73			2,351.15		0.00	0.00
100.0	ROYAL DUTCH SHELL CL B ADR									
780259107	11/02/2018	Various	6,466.12	5,614.95			851.17		0.00	0.00
700.0	SAMPO OYJ A SHS									
522603901	02/27/2018	01/30/2017	39,747.16	32,340.11			7,407.05		0.00	0.00
1440.0	SCOTTISH & SOUTHERN ENERGY									
079087003	11/02/2018	Various	21,514.54	27,667.14			-6,152.60		0.00	0.00
5149.0	SES FDR CL A (PARIS)									
800ZQ0907	02/22/2018	Various	74,820.93	104,961.35			-30,140.42		0.00	0.00
384.0	SIEMENS AG NAMEN									
572797900	11/02/2018	Various	45,320.20	49,919.37			-4,599.17		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT D-7

2018 Tax Information Statement

Page 23 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
42800.0	SINGAPORE TELECOMM									
991EDJ907	10/18/2018	Various	97,250.53	120,842.22			-23,591.69		0.00	0.00
1465.0	SUNCOR ENERGY INC CS									
867224958	11/02/2018	05/04/2017	50,016.82	44,019.24			5,997.58		0.00	0.00
3105.0	TAG IMMOBILIEN AG									
573563905	11/02/2018	Various	71,773.16	44,653.10			27,120.06		0.00	0.00
1755.0	TAIWAN SEMICONDUCTOR SPONS ADR									
874039100	11/02/2018	Various	67,942.35	63,345.88			4,596.47		0.00	0.00
9800.0	TATE & LYLE PLC									
087541009	11/02/2018	01/30/2017	85,122.26	82,453.95			2,668.31		0.00	0.00
1890.0	UNILEVER PLC									
B10RZP905	11/02/2018	Various	99,720.48	79,441.25			20,279.23		0.00	0.00
65325.0	VODAFONE GROUP PLC									
ACI07KLY6	12/03/2018	Various	140,302.00	167,417.50			-27,115.50		0.00	0.00
900.0	VTECH HOLDINGS LTD									
692856909	02/27/2018	02/01/2017	12,296.59	11,127.09			1,169.50		0.00	0.00
300.0	VTECH HOLDINGS LTD									
692856909	12/05/2018	02/01/2017	2,784.48	3,709.03			-924.55		0.00	0.00

This is important tax information and is being furnished to you.

20 Tax Information Statement

Page 24 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
1000 0	VTECH HOLDINGS LTD									
692856909	12/10/2018	02/01/2017	8,781.47	12,363.44			-3,581.97		0.00	0.00
1600.0	VTECH HOLDINGS LTD									
692856909	12/11/2018	02/01/2017	14,041.48	19,781.50			-5,740.02		0.00	0.00
1500.0	VTECH HOLDINGS LTD									
692856909	12/11/2018	02/01/2017	13,159.51	18,545.16			-5,385.65		0.00	0.00
20000.0	WEATHERFORD INTERNATIONAL PLC CVSR UNSEC 5.875% 07-01-21									
947075AHO	11/02/2018	Various	15,350.00	22,170.00			-6,820.00		0.00	0.00
100.0	XILINX INC									
983919101	04/06/2018	01/27/2017	6,777.74	5,838.69			939.05		0.00	0.00
100.0	XILINX INC									
983919101	04/06/2018	01/27/2017	6,634.38	5,838.69			795.69		0.00	0.00
100.0	XILINX INC									
983919101	04/10/2018	01/27/2017	6,812.61	5,838.69			973.92		0.00	0.00
200 0	XILINX INC									
983919101	04/11/2018	01/27/2017	13,642.60	11,677.38			1,965.22		0.00	0.00
65 0	XILINX INC									
983919101	04/12/2018	01/27/2017	4,520.63	3,795.15			725.48		0.00	0.00

This is important tax information and is being furnished to you.

ATTACHMENT D-9

2018 Tax Information Statement

Page 25 of 36

Recipient's Name and Address:
HAWK ROCK FOUNDATION
2365 GARFIELD RD
HYDE PARK, VT 05655

Account Number: 70-614901
Recipient's Tax ID Number: XX-XXX8305

CAPITAL BANK & TRUST
C/O PCS TAX, 6455 IRVINE CENTER DR, C-2E
IRVINE, CA 92618

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
200.0	XILINX INC									
983919101	04/17/2018	01/27/2017	13,933.65	11,677.38			2,256.27		0.00	0.00
200.0	XILINX INC									
983919101	04/24/2018	Various	12,942.70	11,637.79			1,304.91		0.00	0.00
Total Long Term Sales			3,267,378.40	3,137,150.27	0.00	0.00	130,228.13		0.00	0.00

This is important tax information and is being furnished to you.

**SCHEDULE D
(Form 1041)**Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**

- ▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Go to www.irs.gov/F1041 for instructions and the latest information

OMB No 1545-0092

2018

Name of estate or trust

HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Note: Form 5227 filers need to complete *only* Parts I and II.**Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less (see instructions)**

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	2,305,475.	2,703,494.		-398,019.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2017 Capital Loss Carryover Worksheet.				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶				7 -398,019.

Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	6,054,671.	5,585,772.		468,899.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13 11,372.
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2017 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶				16 480,271.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2018

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
17	Net short-term gain or (loss).	17		-398,019.
18	Net long-term gain or (loss):			
a	Total for year	18a		480,271.
b	Unrecaptured section 1250 gain (see line 18 of the worksheet).	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a.	19		82,252.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and don't complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 19, column (3) or b \$3,000
20	()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 38), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if	
• Either line 18b, col (2) or line 18c, col (2) is more than zero, or	
• Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero	
Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 38, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.	

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 38).	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T).	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	25		
26	Subtract line 25 from line 24. If zero or less, enter -0-	26		
27	Subtract line 26 from line 21. If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,600	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0%.	30		
31	Enter the smaller of line 21 or line 26.	31		
32	Subtract line 30 from line 26.	32		
33	Enter the smaller of line 21 or \$12,700.	33		
34	Add lines 27 and 30.	34		
35	Subtract line 34 from line 33. If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35.	36		
37	Multiply line 36 by 15% (0.15).	37		
38	Enter the amount from line 31.	38		
39	Add lines 30 and 36.	39		
40	Subtract line 39 from line 38. If zero or less, enter -0-	40		
41	Multiply line 40 by 20% (0.20).	41		
42	Figure the tax on the amount on line 27. Use the 2018 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	42		
43	Add lines 37, 41, and 42.	43		
44	Figure the tax on the amount on line 21. Use the 2018 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 40).	45		

Schedule D (Form 1041) 2018

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2018Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service▶ Go to www.irs.gov/Form8949 for instructions and the latest information.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

HAWK ROCK FOUNDATION

Social security number or taxpayer identification number

26-1518305

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a, you aren't required to report these transactions on Form 8949 (see instructions).

You **must** check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed of (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	CAPITAL GROUP 14900 - SEE ATTACHMENT A	VARIOUS	VARIOUS	999,089.	1,200,943.			-201,854.
	CAPITAL GROUP 14901 - SEE ATTACHMENT B	VARIOUS	VARIOUS	1,306,386.	1,502,551.			-196,165.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶				2,305,475.	2,703,494.			-398,019.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2018)

JSA

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

HAWK ROCK FOUNDATION

Social security number or taxpayer identification number

26-1518305

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part II Long-Term. Transactions involving capital assets you held more than 1 year are generally long-term (see instructions). For short-term transactions, see page 1.

Note: You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a, you aren't required to report these transactions on Form 8949 (see instructions).

You **must** check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	CAPITAL GROUP 14900 - SEE ATTACHMENT C	VARIOUS	VARIOUS	2,787,293.	2,448,622.			338,671.
	CAPITAL GROUP 14901 - SEE ATTACHMENT D	VARIOUS	VARIOUS	3,267,378.	3,137,150.			130,228.
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				6,054,671.	5585772.			468,899.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.