

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation SUSIE & GIDEON YU FOUNDATION		A Employer identification number 26-1118316	
Number and street (or P O box number if mail is not delivered to street address) Room/suite 314 LYTTON AVENUE		B Telephone number (see instructions) (415) 310-5310	
City or town, state or province, country, and ZIP or foreign postal code PALO ALTO, CA 94301		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>22,882,293</u>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,705,181			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	464,092	433,984		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,328,578			
	b Gross sales price for all assets on line 6a	7,374,981			
	7 Capital gain net income (from Part IV, line 2)		2,195,918		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	26,064	164			
12 Total. Add lines 1 through 11	3,523,915	2,630,066			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	90,249	38,512		38,511
	c Other professional fees (attach schedule)	31,108	31,108		0
	17 Interest	14,819	14,819		0
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	16,791	16,606		25
	24 Total operating and administrative expenses. Add lines 13 through 23	152,967	101,045		38,536
	25 Contributions, gifts, grants paid	1,528,217			200,000
26 Total expenses and disbursements. Add lines 24 and 25	1,681,184	101,045		238,536	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,842,731				
b Net investment income (if negative, enter -0-)		2,529,021			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0	267,700	267,700
	2 Savings and temporary cash investments	350,211	452,251	452,251
	3 Accounts receivable ▶ <u>9,891</u>			
	Less allowance for doubtful accounts ▶ _____	0	9,891	9,891
	4 Pledges receivable ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	0	24,000	24,000
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	18,108,345	15,514,568	15,514,568
	c Investments—corporate bonds (attach schedule)	6,198,540	6,561,420	6,561,420
	11 Investments—land, buildings, and equipment basis ▶ _____			
Less accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	22,354	52,463	52,463	
14 Land, buildings, and equipment basis ▶ _____				
Less accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	24,679,450	22,882,293	22,882,293	
Liabilities	17 Accounts payable and accrued expenses		13,387	
	18 Grants payable	565,722	1,893,939	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	209,900	128,000	
	23 Total liabilities (add lines 17 through 22)	775,622	2,035,326	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	23,903,828	20,846,967	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	23,903,828	20,846,967		
31 Total liabilities and net assets/fund balances (see instructions) .	24,679,450	22,882,293		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	23,903,828
2 Enter amount from Part I, line 27a		2	1,842,731
3 Other increases not included in line 2 (itemize) ▶ _____		3	0
4 Add lines 1, 2, and 3		4	25,746,559
5 Decreases not included in line 2 (itemize) ▶ _____		5	4,899,592
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	20,846,967

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICLY TRADED SECURITIES			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,374,981		5,179,063	2,195,918
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			2,195,918
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	2,195,918
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	727,198	23,012,334	0.031600
2016	2,057,801	20,871,911	0.098592
2015	326,624	18,062,664	0.018083
2014	1,003,559	13,988,274	0.071743
2013	478,367	9,910,175	0.048270

2 Total of line 1, column (d)	2	0.268288
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.053658
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	24,353,581
5 Multiply line 4 by line 3	5	1,306,764
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	25,290
7 Add lines 5 and 6	7	1,332,054
8 Enter qualifying distributions from Part XII, line 4	8	238,536

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax based on investment income'. Total amount owed is 0, and amount overpaid is 83,959.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include: 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 for political purposes?', 'Did the foundation file Form 1120-POL?', etc.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 314 LYTTON AVENUE SUITE 200 PALO ALTO CA ZIP+4 94301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
APERCEN PARTNERS LLC 314 LYTTON AVENUE SUITE 200 PALO ALTO, CA 94301	ACCOUNTING & CONSULTING	59,301
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	24,314,411
b	Average of monthly cash balances.	1b	357,574
c	Fair market value of all other assets (see instructions).	1c	52,463
d	Total (add lines 1a, b, and c).	1d	24,724,448
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	24,724,448
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	370,867
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	24,353,581
6	Minimum investment return. Enter 5% of line 5.	6	1,217,679

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,217,679
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	50,580
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	50,580
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,167,099
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,167,099
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,167,099

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	238,536
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	238,536
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	238,536

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,167,099
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.	124,441			
c From 2015.				
d From 2016.	1,093,962			
e From 2017.				
f Total of lines 3a through e.	1,218,403			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 238,536				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				238,536
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	928,563			928,563
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	289,840			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	289,840			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.	289,840			
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a
b <i>Approved for future payment</i> See Additional Data Table				
Total				▶ 3b

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

SUSIE YU

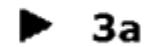
GIDEON YU

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALL IN ALL THE TIME 2820 KEOKIRK LANE VIRGINIA BEACH, VA 23456		PC	GENERAL SUPPORT	10,000
CONSERVATION SOCIETY OF CALIFORNIA PO BOX 5238 OAKLAND, CA 94605		PC	OAKLAND ZOO - CALIFORNIA TRAIL CAMPAIGN	25,000
COUNCIL OF KOREAN AMERICANS 1126 16TH STREET NW SUITE 450 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	50,000
Total ▶ 3a				200,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RESOURCES LEGACY FUND 555 CAPITAL MALL SUITE 1095 SACRAMENTO, CA 95814		PC	NATIONAL PORTRAIT GALLERY - SUPPORT PORTRAIT OF JULIE PACKARD	5,000
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N STREET NW WASHINGTON, DC 20036		PC	PUBLIC ENGAGEMENT IN SCIENTIFIC RESEARCH AND EDUCATION	25,000
THE PHILLIPS BROOKS SCHOOL 2245 AVY AVENUE MENLO PARK, CA 94025		PC	ANNUAL FUND, FUND-A- STUDENT, SPRING BENEFIT SPONSORSHIP	30,000
Total				200,000



3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE PHILLIPS BROOKS SCHOOL 2245 AVY AVENUE MENLO PARK, CA 94025		PC	ANNUAL FUND, FUND-A-STUDENT, SPRING BENEFIT SPONSORSHIP	55,000
Total ▶ 3a				200,000

TY 2018 Accounting Fees Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	90,249	38,512		38,511

TY 2018 Investments Corporate Bonds Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ABB FINANCE USA INC	9,846	9,846
ABBVIE INC	9,635	9,635
ACE INA HOLDINGS	9,781	9,781
AETNA INC	9,593	9,593
ALLSTATE CORP	14,980	14,980
ALTRIA GROUP INC	34,331	34,331
AMERICAN EXPRESS	53,082	53,082
AMERIPRISE FINANCIAL INC	25,580	25,580
ANHEUSER-BUSCH INBEV FIN	28,717	28,717
APPLE INC	56,158	56,158
AVALONBAY COMMUNITIES IN MTN	29,168	29,168
BAKER HUGHES INC	9,884	9,884
BALTIMORE GASH & ELECTRIC	27,461	27,461
BANK OF AMERICA CORP	124,090	124,090
BANK OF AMERICA CORP MTN	27,574	27,574
BANK OF MONTREAL MTN	34,773	34,773
BANK OF NEW YORK MELLON MTN	19,896	19,896
BANK OF NOVA SCOTIA	54,400	54,400
BANK OF NY MELLON CORP MTN	50,210	50,210
BB&T CORPORATION MTN	50,559	50,559

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BERKSHIRE HATHAWAY FIN	19,632	19,632
BLACKROCK INC	10,108	10,108
BNP PARIBAS MTN	35,213	35,213
BOEING CO	9,784	9,784
BP CAPITAL MARKETS PLC	71,466	71,466
BURLINGTN NORTH SANTA FE	19,915	19,915
CANADIAN IMPERIAL BANK	39,605	39,605
CATERPILLAR FINACIAL SE	10,047	10,047
CENTERPOINT ENER HOUSTON	13,367	13,367
CISCO SYSTEMS INC	19,972	19,972
CITIGROUP INC	104,774	104,774
CME GROUP INC	9,741	9,741
COMCAST CORP	69,276	69,276
COMERICA INC	9,955	9,955
CONNECTICUT LIGHT & PWR	9,828	9,828
CONS EDISON CO O	20,509	20,509
DODGE & COX INCOME FD	412,416	412,416
DOMINION GAS HLDGS LLC	9,932	9,932
DOUBLELINE TOTL RET BND-I	493,435	493,435
DUKE ENERGY CAROLINAS	9,660	9,660

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
EDISON INTERNATIONAL	14,205	14,205
ENTERGY ARKANSAS INC	9,021	9,021
ENTERGY LOUISIANA LLC	18,750	18,750
ERP OPERATING LP	23,942	23,942
EXXON MOBIL CORPORATION	9,761	9,761
FIFTH THIRD BANCORP	9,938	9,938
FIRST UNION CORP	11,845	11,845
FLORIDA POWER & LIGHT CO	9,786	9,786
GENERAL ELEC CAP CORP	53,101	53,101
GENERAL ELECTRIC CO	18,557	18,557
GILEAD SCIENCES INC	52,907	52,907
GLAXOSMITHKLINE CAPITAL	34,561	34,561
GOLDMAN SACHS GROUP INC	137,574	137,574
GULF POWER CO	24,259	24,259
HALLIBURTON COMPANY	4,996	4,996
HARBOR HIGH YIELD BOND-INST	145,226	145,226
HOME DEPOT INC	32,423	32,423
HSBC FINANCE CORP	104,465	104,465
IN MI PWR	25,156	25,156
INTEL CORP	52,677	52,677

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INVESCO FINANCE PLC	9,983	9,983
ISHARES BARCLAYS TIPS BOND FUND	157,256	157,256
JOHN DEERE CAPITAL CORP	35,448	35,448
LINCOLN NATIONAL CORP	5,097	5,097
LLOYDS TSB BANK PLC	21,124	21,124
LORD ABBETT SHRT DUR INC-F3	180,338	180,338
LOWE'S COMPANIES INC	24,068	24,068
MANULIFE FINANCIAL CORP	25,262	25,262
MARSH & MCLENNAN COS INC	9,887	9,887
MEAD JOHNSON NUTRITION C	39,875	39,875
MEDTRONIC INC	55,769	55,769
METLIFE INC	52,502	52,502
MFS EMERGING MKTS DEBT FD-I	143,045	143,045
MICROSOFT CORP	44,467	44,467
MITSUBISHI UFJ FIN GRP	28,593	28,593
MORGAN STANLEY	118,200	118,200
MUFG AMERICAS HLDGS CORP	15,350	15,350
NATIONAL RURAL UTIL COOP	9,840	9,840
NOVARTIS SECS INVEST LTD	10,020	10,020
NUCOR CORP	10,115	10,115

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
NUTRIEN LTD	4,858	4,858
OCCIDENTAL PETROLEUM COR	15,122	15,122
ONCOR ELECTRIC DELIVERY	24,857	24,857
ORACLE CORP	68,129	68,129
ORIX CORP	24,137	24,137
PACIFIC GAS & ELECTRIC	17,694	17,694
PEPSICO INC	24,574	24,574
PHILIP MORRIS INTL INC	50,934	50,934
PIMCO SHORT TERM FD INSTL	350,843	350,843
PNC FINANCIAL SERVICES	14,699	14,699
PNC FUNDING CORP	38,263	38,263
PRECISION CASTPARTS CORP	9,827	9,827
PROGRESS ENERGY CAROLINA	12,824	12,824
PROGRESSIVE CORP	1,822	1,822
PROLOGIS LP	10,055	10,055
PRUDENTIAL FINANCIAL INC	5,152	5,152
PUB SVC CO	25,320	25,320
QUALCOMM INC	9,860	9,860
RABOBANK NEDERLAND UTREC	52,572	52,572
REALTY INCOME CORP	28,302	28,302

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SAN DIEGO G & E	4,638	4,638
SANTANDER UK PLC	29,966	29,966
SCHLUMBERGER INVESTMENT	17,080	17,080
SHELL INTERNATIONAL FIN	39,678	39,678
SIERRA PACIFIC POWER CO	19,982	19,982
SIMON PROPERTY GROUP LP	40,615	40,615
STATE STREET CORP	39,678	39,678
STATOIL ASA	19,343	19,343
STRYKER CORP	4,836	4,836
SUMITOMO MITSUI FINL GRP	86,688	86,688
SUNTRUST BK	34,894	34,894
TD AMERITRADE HOLDING CO	9,892	9,892
TEXAS INSTRUMENTS INC	23,714	23,714
TORONTO-DOMINION BANK MTN	30,064	30,064
TOTAL CAPITAL INTL SA	34,532	34,532
TOYOTA MOTOR CREDIT CORP MTN	20,001	20,001
TRANS-CANADA PIPELINES	33,588	33,588
UNITED TECHNOLOGIES CORP	10,145	10,145
UNITEDHEALTH GROUP INC	28,216	28,216
US BANCORP	20,309	20,309

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VANGUARD SHORT-TERM TIPS	165,783	165,783
VANGUARD TOTAL INTL BND-ADM	984,279	984,279
VIRGINIA ELEC & POWER CO	9,992	9,992
VISA INC	9,889	9,889
WALT DISNEY COMPANY/THE	9,839	9,839
WELLS FARGO & COMPANY	91,293	91,293
WESTPAC BANKING CORP	84,900	84,900

TY 2018 Investments Corporate Stock Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BLACKLINE INC	667,731	667,731
CAPITAL GR NON US EQUITY	505,064	505,064
DIAMOND HILL LARGE CAP FD-I	473,681	473,681
DODGE & COX INTL STOCK FD	490,152	490,152
FACEBOOK INC-A	5,211,090	5,211,090
FIDELITY 500 INDEX FD	1,852,437	1,852,437
ISHARES CORE MSCI EAFE ETF	473,715	473,715
ISHARES MSCI DEV WLD IDX-K	681,876	681,876
JPM BETABUILDERS DEVELOPED ASIA EX JAPAN ETF	88,927	88,927
JPMORGAN BETABUILDERS CANADA ETF	135,261	135,261
JPMORGAN BETABUILDERS EUROPE ETF	245,576	245,576
JPMORGAN BETABUILDERS JAPAN ETF	324,108	324,108
PRIME CAP ODYSSEY STOCK FD	484,269	484,269
SPDR S&P 500ETF TRUST	3,330,933	3,330,933
VANGUARD FTSE DEVELOPED MARKETS ETF	549,748	549,748

TY 2018 Investments - Other Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ACCRUED INTEREST	FMV	52,463	52,463

TY 2018 Other Decreases Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Description	Amount
UNREALIZED LOSS	4,899,592

TY 2018 Other Expenses Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOND PREMIUM AMORTIZATION	16,606	16,606		0
LICENSES AND FEES	185	0		25

TY 2018 Other Income Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	164	164	164
EXCISE TAX BENEFIT	25,900		25,900

TY 2018 Other Liabilities Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED TAX LIABILITY	204,500	128,000
EXCISE TAX PAYABLE	5,400	0

TY 2018 Other Professional Fees Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	31,108	31,108		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to www.irs.gov/Form990 for the latest information

OMB No 1545-0047
2018

Name of the organization
SUSIE & GIDEON YU FOUNDATION

Employer identification number
26-1118316

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization SUSIE & GIDEON YU FOUNDATION	Employer identification number 26-1118316
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SUSIE AND GIDEON YU 314 LYTTON AVENUE PALO ALTO, CA 94301	\$ 1,705,181	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Name of organization SUSIE & GIDEON YU FOUNDATION	Employer identification number 26-1118316
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____