Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
THE HAROLD WETTERBERG FOUNDATION

A Employer identification number
22-6042915

Number and street (or P O box number if mail is not delivered to street address) 89 HEADQUARTERS PLAZA | Room/suite

B Telephone number (see instructions)
(973) 993-1743

City or town, state or province, country, and ZIP or foreign postal code
MORRISTOWN, NJ 07960

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 1,609,289

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	140	140		
	4	Dividends and interest from securities	11,326	11,326		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	257,453			
	b	Gross sales price for all assets on line 6a 861,389				
	7	Capital gain net income (from Part IV, line 2)		257,453		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	268,919	268,919		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	15,000	0		15,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	4,023	603		3,420
	b	Accounting fees (attach schedule)	13,600	10,880		2,720
	c	Other professional fees (attach schedule)	15,395	15,395		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	27	27		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	478	0		478
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,727	0		1,727
	24	**Total operating and administrative expenses.** Add lines 13 through 23	50,250	26,905		23,345
	25	Contributions, gifts, grants paid	189,500			189,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	239,750	26,905		212,845
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	29,169			
	b	**Net investment income** (if negative, enter -0-)		242,014		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	70,496	83,327	83,327
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,780,215	1,525,962	1,525,962
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,850,711	1,609,289	1,609,289
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,850,711	1,609,289	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,850,711	1,609,289	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,850,711	1,609,289	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,850,711
2	Enter amount from Part I, line 27a	2	29,169
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,879,880
5	Decreases not included in line 2 (itemize) ▶	5	270,591
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,609,289

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	257,453
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	214,775	1,816,705	0 118222
2016	204,305	1,773,560	0 115195
2015	205,376	1,992,757	0 103061
2014	238,336	2,090,301	0 114020
2013	259,780	2,012,448	0 129087

2	**Total** of line 1, column (d)	2	0 579585
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 115917
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,857,987
5	Multiply line 4 by line 3	5	215,372
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,420
7	Add lines 5 and 6	7	217,792
8	Enter qualifying distributions from Part XII, line 4	8	212,845

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	4,840
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	4,840
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,840
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	1,869		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments Add lines 6a through 6d.			7	1,869
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,971
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MURPHY HOFFER PA Telephone no ▶(609) 452-9555

Located at ▶15 ROSZEL ROAD PRINCETON NJ ZIP+4 ▶08540

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Answer	Line	Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ALBERT G BESSER 3679 STAGECOACH ROAD MORRISVILLE, VT 05661	PRES/TRUSTEE 1 00	5,000	0	0
GENE R KORF 89 HEADQUARTERS PLAZA NO TOWER 14TH FL MORRISTOWN, NJ 07960	SEC/TRUSTEE 2 00	5,000	0	0
SAMUEL R HOFFER 15 ROSZEL ROAD STE 10 PRINCETON, NJ 08540	TREAS/TRUSTEE 1 00	5,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			1a	1,783,544
b	Average of monthly cash balances.			1b	102,737
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	1,886,281
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	1,886,281
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	28,294
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	1,857,987
6	**Minimum investment return.** Enter 5% of line 5.			6	92,899

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	92,899
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	4,840		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	4,840
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	88,059
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	88,059
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	88,059

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	212,845
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	212,845
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	212,845

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					88,059
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2018					
a From 2013.	163,308				
b From 2014.	137,420				
c From 2015.	108,979				
d From 2016.	117,623				
e From 2017.	126,602				
f **Total** of lines 3a through e.		653,932			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 212,845					
a Applied to 2017, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					88,059
e Remaining amount distributed out of corpus		124,786			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		778,718			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		163,308			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		615,410			
10 Analysis of line 9					
a Excess from 2014. . . .	137,420				
b Excess from 2015. . . .	108,979				
c Excess from 2016. . . .	117,623				
d Excess from 2017. . . .	126,602				
e Excess from 2018. . . .	124,786				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

See Additional Data Table

b The form in which applications should be submitted and information and materials they should include

See Additional Data Table

c Any submission deadlines

See Additional Data Table

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Additional Data Table

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	140	
4 Dividends and interest from securities. . . .			14	11,326	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	257,453	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		268,919	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 268,919

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2019-05-02 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
SAMUEL R HOFFER				P01310511
Firm's name ▶ MURPHY & HOFFER PA				Firm's EIN ▶22-1970961
Firm's address ▶ 15 ROSZEL ROAD SUITE 10 PRINCETON, NJ 08540				Phone no (609) 452-9555

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ACTIVISION BLIZZARD	P	2017-03-13	2018-01-02
1 ALIGN TECH INC	P	2017-05-30	2018-01-30
COGNEX CORP	P	2017-08-01	2018-01-19
PAYPAL HOLDINGS INC	P	2017-05-01	2018-01-02
TJX COS INC	P	2017-05-17	2018-01-02
APPLIED MATERIALS INC	P	2016-05-27	2018-01-02
CELGENE CORP	P	2013-04-15	2018-01-02
EDWARDS LIFESCIENCE CORP	P	2015-09-08	2018-01-16
EDWARDS LIFESCIENCE CORP	P	2015-09-28	2018-01-16
FACEBOOK INC	P	2013-08-21	2018-01-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,351		4,251	1,100
7,863		4,371	3,492
5,083		4,164	919
5,832		3,843	1,989
8,357		8,331	26
5,949		2,803	3,146
6,266		3,696	2,570
5,017		2,877	2,140
1,553		870	683
7,151		1,564	5,587

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,100
			3,492
			919
			1,989
			26
			3,146
			2,570
			2,140
			683
			5,587

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	FACEBOOK INC	P	2013-10-10	2018-01-02
1	RED HAT INC	P	2016-11-16	2018-01-02
	STARBUCKS CORP	P	2012-08-01	2018-01-22
	STARBUCKS CORP	P	2012-12-18	2018-01-22
	STARBUCKS CORP	P	2012-12-18	2018-01-29
	TJX COS INC	P	2017-05-17	2018-02-06
	TJX COS INC	P	2017-06-12	2018-02-06
	TJX COS INC	P	2017-06-26	2018-02-06
	APPLIED MATERIALS INC	P	2016-05-27	2018-02-06
	APPLIED MATERIALS INC	P	2016-08-31	2018-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,576		971	2,605
13,063		8,749	4,314
3,487		1,309	2,178
3,126		1,447	1,679
3,646		1,809	1,837
11,826		12,117	-291
7,391		7,519	-128
4,065		3,906	159
12,673		6,386	6,287
9,577		5,977	3,600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,605
			4,314
			2,178
			1,679
			1,837
			-291
			-128
			159
			6,287
			3,600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	ACTIVISION BLIZZARD	P	2017-03-13	2018-03-09
1	ALIGN TECH INC	P	2017-05-30	2018-03-16
	ARISTA NETWORKS INC	P	2017-09-20	2018-03-16
	FIRST REPUBLIC BANK	P	2017-07-06	2018-03-28
	TAL EDUCATION GROUP ADR	P	2017-10-19	2018-03-15
	AMAZON COM	P	2011-10-18	2018-03-28
	CELGENE CORP	P	2013-04-15	2018-03-05
	CELGENE CORP	P	2014-03-05	2018-03-05
	CELGENE CORP	P	2016-11-14	2018-03-05
	COGNEX CORP	P	2017-08-01	2018-04-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,877		6,502	3,375
6,671		3,643	3,028
4,935		3,213	1,722
6,759		7,795	-1,036
3,811		3,435	376
9,834		1,709	8,125
2,182		1,540	642
11,345		10,719	626
6,545		9,202	-2,657
6,697		8,049	-1,352

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,375
			3,028
			1,722
			-1,036
			376
			8,125
			642
			626
			-2,657
			-1,352

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	COGNEX CORP	P	2017-08-28	2018-04-27
1	COGNEX CORP	P	2017-10-10	2018-04-27
	INCYTE CORP	P	2017-04-17	2018-04-10
	INCYTE CORP	P	2017-07-06	2018-04-10
	INCYTE CORP	P	2017-10-10	2018-04-10
	INCYTE CORP	P	2018-03-05	2018-04-10
	CSX CORP	P	2017-01-25	2018-04-19
	GOLDMAN SACHS GROUP INC	P	2016-12-16	2018-04-19
	GOLDMAN SACHS GROUP INC	P	2017-01-31	2018-04-19
	GOLDMAN SACHS GROUP INC	P	2017-04-10	2018-04-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,698		11,219	-1,521
5,542		7,091	-1,549
8,265		15,837	-7,572
3,306		6,494	-3,188
3,637		6,423	-2,786
4,628		6,279	-1,651
6,879		5,512	1,367
10,101		9,715	386
8,839		8,138	701
7,576		6,977	599

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,521
			-1,549
			-7,572
			-3,188
			-2,786
			-1,651
			1,367
			386
			701
			599

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	MONSTER BEVERAGE CORP	P	2014-02-03	2018-04-02
1	RED HAT INC	P	2016-11-16	2018-04-02
	STARBUCKS CORP	P	2012-12-18	2018-04-19
	STARBUCKS CORP	P	2014-01-31	2018-04-19
	STARBUCKS CORP	P	2016-11-14	2018-04-19
	STARBUCKS CORP	P	2017-04-10	2018-04-19
	ALIGN TECH INC	P	2017-05-30	2018-05-31
	SHERWIN WILLIAMS CO	P	2015-02-03	2018-05-10
	SHERWIN WILLIAMS CO	P	2015-03-09	2018-05-10
	SHERWIN WILLIAMS CO	P	2015-03-09	2018-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,754		2,359	3,395
5,793		3,181	2,612
1,935		918	1,017
11,730		7,255	4,475
6,862		6,452	410
6,745		6,775	-30
7,348		3,206	4,142
10,996		7,912	3,084
379		287	92
9,258		6,887	2,371

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,395
			2,612
			1,017
			4,475
			410
			-30
			4,142
			3,084
			92
			2,371

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	SHERWIN WILLIAMS CO	P	2015-05-28	2018-05-29
1	SHERWIN WILLIAMS CO	P	2016-06-08	2018-05-29
	ULTA BEAUTY INC	P	2015-05-05	2018-05-14
	ABIOMED INC	P	2018-02-07	2018-06-08
	ABIOMED INC	P	2018-02-07	2018-06-14
	ALIBABA GRP HOLDING ADR	P	2018-01-02	2018-06-14
	ARISTA NETWORKS INC	P	2017-09-20	2018-06-14
	BURLINGTON STORES INC	P	2018-04-02	2018-06-14
	SERVICENOW INC	P	2017-07-06	2018-06-08
	SERVICENOW INC	P	2017-07-06	2018-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,029		7,539	2,490
7,715		5,881	1,834
4,902		3,075	1,827
4,041		2,389	1,652
4,362		2,389	1,973
5,192		4,613	579
4,988		3,402	1,586
4,258		3,785	473
3,307		2,026	1,281
3,845		2,240	1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,490
			1,834
			1,827
			1,652
			1,973
			579
			1,586
			473
			1,281
			1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	FIRST REPUBLIC BANK	P	2017-07-06	2018-06-14
1	FLOOR & DECOR HOLDINGS	P	2018-01-19	2018-06-14
	ILLUMINA INC	P	2018-05-29	2018-06-14
	MARKETAXESS HOLDINGS INC	P	2018-01-02	2018-06-14
	NVDIA CORP	P	2017-08-11	2018-06-14
	TAL EDUCATION GROUP ADR	P	2017-10-19	2018-06-08
	TAL EDUCATION GROUP ADR	P	2017-10-19	2018-06-13
	TAL EDUCATION GROUP ADR	P	2017-10-26	2018-06-13
	TAL EDUCATION GROUP ADR	P	2018-02-06	2018-06-13
	UNITEDHEALTH GROUP	P	2018-04-19	2018-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,943		3,118	-175
4,341		4,110	231
2,262		2,121	141
4,262		4,047	215
5,285		3,120	2,165
3,060		2,301	759
7,666		6,286	1,380
10,473		7,259	3,214
8,378		6,332	2,046
5,536		5,193	343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-175
			231
			141
			215
			2,165
			759
			1,380
			3,214
			2,046
			343

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	XPO LOGISTICS INC	P	2018-04-19	2018-06-14
1	ACTIVISION BLIZZARD	P	2017-03-13	2018-06-14
	ADOBE SYSTEMS INC	P	2014-01-17	2018-06-14
	ALEXION PHARMACEUTICALS	P	2011-03-08	2018-06-14
	ALIGN TECH INC	P	2017-05-30	2018-06-08
	ALEXION PHARMACEUTICALS	P	2017-05-30	2018-06-14
	ALPHABET INC CL C	P	2013-04-26	2018-06-14
	ALPHABET INC CL C	P	2013-06-27	2018-06-14
	AMAZON COM	P	2011-10-18	2018-06-14
	AMAZON COM	P	2012-02-02	2018-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,857		3,745	112
4,212		2,751	1,461
5,101		1,240	3,861
4,114		1,764	2,350
4,734		2,040	2,694
5,064		2,040	3,024
3,426		1,207	2,219
4,568		1,769	2,799
1,707		244	1,463
6,829		728	6,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			112
			1,461
			3,861
			2,350
			2,694
			3,024
			2,219
			2,799
			1,463
			6,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BOOKINGS HLDGS INC	P	2014-04-14	2018-06-14
1 BOOKINGS HLDGS INC	P	2015-07-24	2018-06-14
CSX CORP	P	2017-01-25	2018-06-14
EDWARDS LIFESCIENCE CORP	P	2015-09-28	2018-06-14
FACEBOOK INC	P	2013-10-10	2018-06-14
MONSTER BEVERAGE CORP	P	2014-02-03	2018-06-14
PAYPAL HOLDINGS INC	P	2017-05-01	2018-06-14
RED HAT INC	P	2016-11-16	2018-06-08
RED HAT INC	P	2016-12-05	2018-06-08
RED HAT INC	P	2016-12-05	2018-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,206		2,397	1,809
2,103		1,193	910
4,611		3,433	1,178
4,471		2,009	2,462
6,824		1,699	5,125
4,868		1,977	2,891
5,509		3,122	2,387
842		398	444
4,210		1,971	2,239
4,328		1,971	2,357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,809
			910
			1,178
			2,462
			5,125
			2,891
			2,387
			444
			2,239
			2,357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	SALESFORCE COM	P	2015-09-22	2018-06-14
1	SCHWAB CHARLES CORP	P	2015-09-28	2018-06-14
	ULTA BEAUTY INC	P	2015-05-05	2018-06-14
	ULTA BEAUTY INC	P	2015-06-04	2018-06-14
	VISA INC	P	2012-02-02	2018-06-14
	ZOETIS INC	P	2017-02-16	2018-06-14
	ABIOMED INC	P	2018-02-07	2018-07-10
	ALIBABA GRP HOLDING ADR	P	2018-01-02	2018-07-10
	ARISTA NETWORKS INC	P	2017-09-20	2018-07-10
	NVDIA CORP	P	2017-08-11	2018-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,812		2,497	2,315
2,771		1,366	1,405
483		307	176
3,140		2,044	1,096
8,718		1,734	6,984
5,219		3,238	1,981
3,630		2,150	1,480
3,224		3,137	87
2,624		1,890	734
5,470		3,432	2,038

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,315
			1,405
			176
			1,096
			6,984
			1,981
			1,480
			87
			734
			2,038

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	ALEXION PHARMACEUTICALS	P	2017-05-30	2018-07-10
1	SERVICENOW INC	P	2017-07-06	2018-07-10
	ADOBE SYSTEMS INC	P	2014-01-17	2018-08-01
	ADOBE SYSTEMS INC	P	2014-01-17	2018-08-24
	ADOBE SYSTEMS INC	P	2016-12-05	2018-08-24
	ARISTA NETWORKS INC	P	2018-02-16	2018-09-21
	ARISTA NETWORKS INC	P	2017-09-20	2018-09-21
	FLOOR & DECOR HOLDINGS	P	2018-01-19	2018-10-02
	FLOOR & DECOR HOLDINGS	P	2018-01-29	2018-10-02
	FLOOR & DECOR HOLDINGS	P	2018-03-05	2018-10-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,741		1,166	1,575
3,620		2,133	1,487
6,860		1,736	5,124
14,634		3,472	11,162
12,021		4,781	7,240
8,096		7,625	471
21,588		15,118	6,470
5,150		9,247	-4,097
4,005		7,245	-3,240
5,007		8,119	-3,112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,575
			1,487
			5,124
			11,162
			7,240
			471
			6,470
			-4,097
			-3,240
			-3,112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	FLOOR & DECOR HOLDINGS	P	2018-05-09	2018-10-02
1	MONSTER BEVERAGE CORP	P	2014-02-03	2018-10-16
	MONSTER BEVERAGE CORP	P	2014-04-04	2018-10-16
	BURLINGTON STORES INC	P	2018-04-02	2018-11-27
	MARKETAXESS HOLDINGS INC	P	2018-01-02	2018-11-27
	ALEXION PHARMACEUTICALS	P	2011-03-08	2018-11-01
	ALEXION PHARMACEUTICALS	P	2012-11-27	2018-11-01
	CSX CORP	P	2017-01-25	2018-11-01
	CSX CORP	P	2017-03-08	2018-11-01
	RED HAT INC	P	2016-12-05	2018-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,292		7,127	-2,835
1,157		494	663
6,571		2,867	3,704
6,654		5,273	1,381
5,957		5,868	89
239		101	138
4,415		3,508	907
3,754		2,659	1,095
1,229		879	350
5,137		2,366	2,771

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,835
			663
			3,704
			1,381
			89
			138
			907
			1,095
			350
			2,771

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
RED HAT INC	P	2016-12-16	2018-11-01
1 RED HAT INC	P	2017-02-21	2018-11-01
RED HAT INC	P	2017-04-10	2018-11-01
ULTA BEAUTY INC	P	2015-06-04	2018-11-01
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND	P	2017-12-26	2018-06-05
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND	P	2015-06-04	2018-01-23
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND	P	2016-12-23	2018-06-05
SPDR S&P REGNL BNKG ETF	P	2016-10-06	2018-06-05
SELECT SECTOR SPDR ETF HEALTH CARE	P	2016-10-06	2018-06-05
SELECT SECTOR SPDR ETF FINANCIAL	P	2016-10-06	2018-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,843		6,081	6,762
11,987		5,943	6,044
5,137		2,618	2,519
5,396		3,145	2,251
1,205		1,209	-4
10,000		10,308	-308
12,526		12,986	-460
7,456		5,018	2,438
11,702		10,044	1,658
7,036		5,014	2,022

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,762
			6,044
			2,519
			2,251
			-4
			-308
			-460
			2,438
			1,658
			2,022

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	VANGUARD INDEX FDS S&P 500 ETF	P	2012-06-28	2018-06-05
1	VANGUARD MIDCAP ETF	P	2012-05-30	2018-06-05
	JP MORGAN TR US LARGE CAP CORE	P	2010-06-24	2018-06-21
	DENTSPLY SIRONA INC	P	2018-01-24	2018-09-27
	PRAXAIR INC	P	2018-02-05	2018-10-31
	ADOBE SYSTEMS INC	P	2015-08-25	2018-12-06
	AKAMAI TECH INC	P	2012-05-17	2018-05-03
	APPLE INC	P	2013-01-30	2018-12-03
	BIOVERTIV INC	P	2016-12-08	2018-01-22
	CVS HEALTH CORP	P	2012-12-04	2018-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,218		9,672	10,546
20,060		9,532	10,528
6,000		3,398	2,602
266		435	-169
1,798		1,697	101
737		230	507
71		29	42
731		264	467
694		304	390
267		184	83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,546
			10,528
			2,602
			-169
			101
			507
			42
			467
			390
			83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	CVS HEALTH CORP	P	2012-12-04	2018-05-10
1	CHIPOTLE MEXICAN GRILL CL A	P	2016-12-06	2018-06-14
	CHIPOTLE MEXICAN GRILL CL A	P	2016-12-12	2018-08-23
	CHIPOTLE MEXICAN GRILL CL A	P	2016-12-30	2018-08-23
	DENTSPLY SIRONA INC	P	2017-02-17	2018-09-27
	EBAY INC	P	2013-10-24	2018-06-28
	GARRETT MOTION INC	P	2017-09-15	2018-10-01
	GRAINGER WW INC	P	2013-12-12	2018-09-06
	MCCORMICK & CO INC	P	2017-08-09	2018-12-03
	MONSANTO CO	P	2016-11-16	2018-02-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,004		736	268
458		369	89
518		380	138
518		379	139
1,100		1,738	-638
180		103	77
31		25	6
1,058		756	302
603		384	219
962		838	124

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			268
			89
			138
			139
			-638
			77
			6
			302
			219
			124

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	RED HAT INC	P	2014-04-07	2018-12-06
1	RESIDEO TECHNOLOGIES	P	2017-09-15	2018-11-01
	ROCKWELL COLLINS	P	2016-10-26	2018-02-23
	TWITTER INC	P	2015-12-08	2018-02-28
	UNITED PARCEL SERVICE	P	2011-03-16	2018-09-04
	VMWARE INC CL A	P	2015-02-10	2018-03-15
	ZOETIS INC CL A	P	2013-10-30	2018-12-04
	NVENT ELECTRIC PLC	P	2012-12-04	2018-09-28
	AKAMAI TECH INC	P	2010-08-06	2018-05-03
	AMAZON COM	P	2006-08-01	2018-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,990		596	1,394
67		66	1
2,446		1,617	829
1,453		1,142	311
372		215	157
501		314	187
829		275	554
190		114	76
356		196	160
3,043		52	2,991

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,394
			1
			829
			311
			157
			187
			554
			76
			160
			2,991

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	BIOVERTIV INC	P	2006-05-19	2018-01-22
1	CVS HEALTH CORP	P	2008-11-06	2018-03-15
	CVS HEALTH CORP	P	2008-11-06	2018-04-16
	EBAY INC	P	2007-03-13	2018-05-24
	EBAY INC	P	2007-03-13	2018-06-27
	EBAY INC	P	2007-03-13	2018-06-28
	HOME DEPOT INC	P	2008-05-27	2018-02-05
	MONSANTO CO NEW	P	2010-10-04	2018-02-12
	UNITEDHEALTH GROUP	P	2008-05-01	2018-12-04
	NVENT ELECTRIC PLC	P	2006-05-19	2018-09-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
446		30	416
199		90	109
334		150	184
834		265	569
550		181	369
360		121	239
571		81	490
601		239	362
1,127		132	995
135		54	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			416
			109
			184
			569
			369
			239
			490
			362
			995
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,997			11,997

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,997

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

AMERICAN VETERINARY MEDICAL FOUNDAT
1931 N MEACHAM SUITE 100
SCHAUMBURG, IL 60173
(800) 248-2862

b The form in which applications should be submitted and information and materials they should include

COMPLETE APPLICATION AND SUBMIT TO THE AMERICAN VETERINARY MEDICAL FOUNDATION VISIT AVMF ORG FOR DETAILS

c Any submission deadlines

APPLICATION MUST BE SUBMITTED TO THE AMERICAN VETERINARY MEDICAL FOUNDATION BY MARCH 31

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

APPLICANTS MUST ESTABLISH A CONNECTION TO THE STATE OF NJ IN ONE OR MORE WAYS AS FOLLOWS (1) CURRENT OR FORMER RESIDENT (2) GRADUATION FROM NJ HIGH SCHOOL (3) GRADUATION OR ATTENDANCE AT A NJ COLLEGE (NOT ELIGIBLE IF THIS IS THE SOLE CONNECTION TO NJ) GPA MUST BE 3 0 OR HIGHER PREFERENCE IS GIVEN TO STUDENTS WHO HAVE RECEIVED A VETERINARY MEDICAL DEGREE AND ARE CURRENTLY ENROLLED IN A POST-GRADUATE PROGRAM IN VETERINARY MEDICINE, INCLUDING CLINICAL RESIDENCY PROGRAMS, AT AN AAVMC MEMBER INSTITUTION PREFERENCE WILL ALSO BE GIVEN TO VETERINARY MEDICAL STUDENTS WHO ARE ENROLLED IN THE SECOND OR LATER YEAR OF A DUAL DEGREE PROGRAM DURING THE ACADEMIC YEAR DUAL DEGREE PROGRAMS INCLUDE DVM/PHD, DVM/MS, AND DVM/MPH OR EQUIVALENT DEGREE CONSIDERATION WILL BE GIVEN TO STUDENTS WHO ARE CURRENTLY ENROLLED IN A SECOND OR THIRD YEAR OF A PROFESSIONAL DVM CURRICULUM DURING THE ACADEMIC YEAR, STUDENTS CURRENTLY ENROLLED IN FIRST YEAR ARE NOT ELIGIBLE

Form 990PF Part XV Line 2a - 2d - Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

a The name, address, and telephone number of the person to whom applications should be addressed

GENE KORF ESQ KORF ROSENBLATT
89 HEADQUARTERS PLAZA NORTH TOWER
14TH FL
MORRISTOWN, NJ 07960
(973) 993-1743

b The form in which applications should be submitted and information and materials they should include

SUBMIT LETTER AND PROPOSAL

c Any submission deadlines

NO DEADLINE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALGONQUIN ARTS THEATRE 173 MAIN STREET MANASQUAN, NJ 08736		PC	GENERAL	3,000
ALZHEIMER'S ASSOCIATION 225 NO MICHIGAN AVE CHICAGO, IL 60601		PC	GENERAL	1,000
ALZHEIMER'S ASSOCIATION-GREATER NJ CHAPTER 400 MORRIS AVENUE STE 251 DENVILLE, NJ 07834		PC	GENERAL	1,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN VETERINARY MEDICAL FOUNDATION 1931 N MEACHAM ROAD SCHAUMBURG, IL 60173		PC	GENERAL	19,500
ASBURY PARK LITTLE LEAGUE INC 508 7TH AVE APT 1 ASBURY PARK, NJ 07712		PC	GENERAL	2,000
ASBURY PARK TOY DRIVEPO BOX 1469 ASBURY PARK, NJ 07712		PC	GENERAL	2,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATLANTIC THEATER COMPANY 336 W 20TH STREET NEW YORK, NY 10011		PC	GENERAL	5,000
AUTISM FAMILY SERVICES OF NJ 1 AAA DRIVE STE 203 TRENTON, NJ 086911811		PC	GENERAL	500
BOYS AND GIRLS CLUB OF MONMOUTH COUNTY 1201 MONROE AVENUE ASBURY PARK, NJ 07712		PC	GENERAL	1,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BRAIN & BEHAVIOR FOUNDATION 90 PARK AVE 16TH FL NEW YORK, NY 10016		PC	GENERAL	500
BROOKE MULFORD FOUNDATION PO BOX 545 SALISBURY, MD 21803		PC	GENERAL	1,000
BUCKS COUNTY PLAYHOUSE 12 W MECHANIC ST STE 2A NEW HOPE, PA 18938		PC	GENERAL	1,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S HOSPITAL OF PHILADELPHIA 34TH STREET AND CIVIC CENTER BLVD PHILADELPHIA, PA 191044399		PC	GENERAL	2,000
COLORADO STATE UNIVERSITY (CUNNINGHAM) 1065 CAMPUS DELIVERY FORT COLLINS, CO 805231065		PC	SCHOLARSHIP	5,000
CONGREGATION BETH SHALOM 3750 E THIRD STREET BLOOMINGTON, IN 47401		PC	GENERAL	1,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE 2ND FL NEW YORK, NY 10001		PC	GENERAL	1,000
DREW O'DONOGHUE FUND INC 9 MILLSTONE LANE WHITEHOUSE STATION, NJ 088893260		PC	GENERAL	2,000
ENCOURAGE KIDS FOUNDATION 1560 BROADWAY SUITE 600 NEW YORK, NY 10036		PC	GENERAL	5,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HILTON HEAD HUMANE ASSOCIATION PO BOX 21790 HILTON HEAD, SC 29925		PC	GENERAL	1,000
INTERFAITH CAREGIVERS TRENTON 229 LAWRENCE ROAD LAWRENCEVILLE, NJ 08648		PC	GENERAL	1,000
JEWISH COMMUNITY CENTER OF GREATER STOWE PO BOX 253 STOWE, VT 056720253		PC	GENERAL	3,810
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MARY'S PLACE BY THE SEAPO BOX 86 OCEAN GROVE, NJ 07756		PC	GENERAL	500
METROPOLITAN OPERA ASSOCIATION LINCOLN CENTER NEW YORK, NY 10023		PC	GENERAL	7,500
NORTH CAROLINA STATE UNIVERSITY (HUSELTON) BOX 7302 RALEIGH, NC 27695		PC	SCHOLARSHIP	8,500
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OCEAN GROVE FIRE DEPARTMENT OLIN ST CENTRAL AVE OCEAN GROVE, NJ 07756		PC	GENERAL	500
OHEB SHALOM CEMETERY ASSOCIATION 4 CHATHAM ROAD SUMMIT, NJ 07901		PC	GENERAL	1,000
PLAY WITH JACK FOUNDATION 254 24TH STREET AVALON, NJ 08202		PC	GENERAL	1,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
POLICE UNITY TOUR INC CHAPTER 10 PO BOX 707 POINT PLEASANT, NJ 08742		PC	GENERAL	1,000
PRINCETON AREA COMMUNITY FOUNDATION 15 PRINCESS ROAD LAWRENCEVILLE, NJ 08648		PC	GENERAL	1,000
PRINCETON JUNCTION VOLUNTEER FIRE CO 245 CLARKSVILLE ROAD PRINCETON JUNCTION, NJ 08550		PC	GENERAL	500
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROUNDABOUT THEATRE COMPANY 231 W 39TH ST NEW YORK, NY 10018		PC	GENERAL	10,000
SPECIAL OLYMPICS 1 EUNICE KENNEDY SHRIVER WAY LAWRENCEVILLE, NJ 08648		PC	GENERAL	500
TRENTON AREA SOUP KITCHEN PO BOX 872 TRENTON, NJ 08605		PC	GENERAL	1,500
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TUFTS UNIVERSITY (GRODKIEWICZ) 200 WESTBORO ROAD NORTH GRAFTON, MA 01536		PC	SCHOLARSHIP	5,000
UNIVERSITY OF PENNSYLVANIA (BURNS) 3800 SPRUCE STREET SUITE 106 PHILADELPHIA, PA 19104		PC	SCHOLARSHIP	8,500
VERMONT COMMUNITY FOUNDATION PO BOX 30 MIDDLEBURY, VT 05753		PC	GENERAL	14,190
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY, MA 024818203		PC	GENERAL	2,500
WOMANSPACE INC 1212 STUYVESANT AVE TRENTON, NJ 08618		PC	GENERAL	1,000
COLORADO STATE UNIVERSITY (NEALON) 1065 CAMUS DELIVERY FORT COLLINS, CO 805231065		PC	SCHOLARSHIP	10,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF PENNSYLVANIA (ROSENBLUM) 3800 SPRUCE STREET SUITE 106 PHILADELPHIA, PA 19104		PC	SCHOLARSHIP	10,000
OHIO STATE UNIVERSITY (REITMEYER) PO BOX 183248 COLUMBUS, OH 43218		PC	SCHOLARSHIP	10,000
NORTH CAROLINA STATE UNIVERSITY (CHIAPPETTA) BOX 7302 RALEIGH, NC 27695		PC	SCHOLARSHIP	10,000
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IOWA STATE UNIVERSITY (GENTILE) 1220 BEARDSHEAR HALL 515 MORRILL RD AMES, IA 50011		PC	SCHOLARSHIP	7,500
MIDWESTERN UNIVERSITY (PANNELL) 19555 N 59TH AVE GLENDALE, AZ 85308		PC	SCHOLARSHIP	5,500
DISABILITY RIGHTS NEW JERSEY 210 SOUTH BROAD ST 3RD FL TRENTON, NJ 08608		PC	GENERAL	1,500
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JOHN F KENNEDY LIBRARY FOUNDATION COLUMBIA POINT BOSTON, MA 02125		PC	GENERAL	5,000
LAWRENCE TOWNSHIP EDUCATION FOUNDATION PO BOX 6531 LAWRENCEVILLE, NJ 086480531		PC	GENERAL	500
MERCY CENTER CORPORATION 1106 MAIN STREET ASBURY PARK, NJ 07712		PC	GENERAL	500
Total . . . . ▶ **3a**				189,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SAINT BARNABAS MEDICAL CENTER FOUNDATION 95 OLD SHORT HILLS ROAD WEST ORANGE, NJ 07052		PC	GENERAL	5,000
Total . . . . ▶ **3a**				189,500

TY 2018 Accounting Fees Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	13,600	10,880		2,720

TY 2018 Investments Corporate Stock Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AKAMAI TECH INC.	2,993	2,993
ALEXION PHARMACEUTICALS	27,846	27,846
ALPS SECTOR DIVIDEND ETF	17,100	17,100
AMAZON.COM INC.	6,008	6,008
AMAZON.COM INC.	84,110	84,110
AMC NETWORKS INC.	550	550
ANADARKO PETROLEUM CORP.	3,595	3,595
ANHEUSER BUSCH INBEV ADR	1,645	1,645
APPLE INC.	1,735	1,735
AUTODESK INC.	4,373	4,373
BIOGEN IDEC INC.	6,921	6,921
BLACKROCK INC.	2,357	2,357
BROADCOM CORP	4,068	4,068
CELGENE CORP.	2,051	2,051
CITRIX SYSTEMS INC.	4,098	4,098
COCA COLA CO.	1,184	1,184
COMCAST CORP.	7,831	7,831
CREE INC.	1,668	1,668
DISNEY WALT CO.	2,741	2,741
DOLBY LABORATORIES INC.	989	989
FACEBOOK INC.	3,802	3,802
FACEBOOK INC.	51,518	51,518
FLUOR CORP.	2,286	2,286
FREEPORT-MCMORAN INC.	1,155	1,155
GRAINGER WW INC.	3,106	3,106
HOME DEPOT INC.	2,921	2,921
IMMUNOGEN INC.	221	221
ISHARES MSCI ACWI EX ETF	16,113	16,113
JOHNSON & JOHNSON	2,323	2,323
JP MRGN US L/C CORE PLS	80,981	80,981

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
L-3 TECHNOLOGIES INC.	3,300	3,300
LIBERTY BROADBAND SER C	216	216
LIBERTY BROADBAND SER A	72	72
LIBERTY MEDIA CORP. CL A	30	30
LIBERTY MEDIA CORP. CL C	92	92
MICROSOFT CORP.	6,602	6,602
MONSTER BEVERAGE CORP.	28,695	28,695
NATIONAL OILWELL VARCO	411	411
NOW INC.	279	279
NUANCE COMMUNICATIONS	1,111	1,111
NUCOR CORP.	1,399	1,399
PENTAIR PLC	453	453
RED HAT INC.	2,283	2,283
REGENERON PHARM INC.	1,494	1,494
SCHLUMBERGER LTD	1,479	1,479
SCHWAB CHARLES CORP.	20,765	20,765
SCHWAB CHARLES CORP.	1,993	1,993
SEAGATE TECHNOLOGY PLC	3,049	3,049
SPDR S&P DIVIDEND ETF	22,649	22,649
TE CONNECTIVITY LTD.	3,252	3,252
TEXAS INSTRUMENTS INC.	2,174	2,174
THERMO FISHER SCI INC.	3,357	3,357
UNITED PARCEL SERVICE	1,756	1,756
UNITEDHEALTH GROUP	12,456	12,456
VANGUARD INDEX FDS S&P 500 ETF	67,794	67,794
VANGUARD MID-CAP ETF	59,556	59,556
VERTEX PHARM INC.	3,480	3,480
VISA INC.	4,882	4,882
VISA INC.	63,991	63,991
VMWARE INC.	1,920	1,920

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WEATHERFORD INTL PLC	247	247
ZOETIS INC.	2,566	2,566
ALPHABET INC. CL A	3,135	3,135
ALPHABET INC. CL C	56,959	56,959
ALPHABET INC. CL C	4,142	4,142
EDWARDS LIFESCIENCE CORP.	35,995	35,995
SALESFORCE.COM	40,680	40,680
ULTA SALON COSMETICS & FRAGRANCE	31,829	31,829
ALLERGAN PLC	3,876	3,876
AMERICAN EXPRESS CO.	2,288	2,288
DISCOVERY COMMUNICATIONS INC.	841	841
ECOLAB INC.	2,505	2,505
IONIS PHARMACEUTICALS	2,595	2,595
MEDTRONIC PLC	1,455	1,455
PAYPAL HOLDINGS INC.	3,616	3,616
TWITTER INC.	3,075	3,075
ADOBE SYSTEMS INC.	3,620	3,620
ALEXION PHARMACEUTICALS	1,558	1,558
PALO ALTO NETWORKS	2,637	2,637
CHIPOTLE	1,727	1,727
JOHNSON CONTROLS INTL	2,757	2,757
LIBERTY MEDIA CORP. SIRIUSXM C	518	518
LIBERTY MEDIA CORP. SIRIUSXM A	258	258
LIONS GATE ENTERTAINMENT CORP.	89	89
WESTERN DIGITAL CORP.	1,405	1,405
YUM CHINA HOLDINGS INC.	2,012	2,012
CSX CORP.	31,500	31,500
FIRST REPUBLIC BANK OF SF	21,725	21,725
NVIDIA CORP.	23,763	23,763
PAYPAL HOLDINGS INC.	36,159	36,159

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SERVICENOW INC.	35,076	35,076
ZOETIS INC.	44,481	44,481
COSTCO WHSL CORP.	1,833	1,833
HONEYWELL INTL INC.	2,378	2,378
LOGMEIN INC.	489	489
MCCORMICK & CO. INC.	1,532	1,532
ORACLE CORP.	2,438	2,438
PIONEER NATURAL RESOURCE CO.	1,578	1,578
SPLUNK INC.	2,726	2,726
ACTIVISION BLIZZARD INC.	22,819	22,819
ALIGN TECH INC.	26,179	26,179
BOOKING HOLDINGS INC.	37,893	37,893
BURLINGTON STORES INC.	33,510	33,510
GRUBHUB INC.	24,733	24,733
ILLUMINA INC.	29,993	29,993
KEYSIGHT TECHS INC.	25,453	25,453
MARKETAXESS HOLDINGS INC.	23,455	23,455
PTC INC.	27,357	27,357
UNITEDHEALTH GROUP	62,280	62,280
XPO LOGISTICS	22,074	22,074
ISHARES CORE MSCI ETF INTL STK	7,880	7,880
SCHWAB STRATEGIC TR ETF SMALL CAP	6,068	6,068
ALIBABA GRP HOLDING ADR	1,234	1,234
BIOMARIN PHARMACEUTICAL	1,107	1,107
CATERPILLAR INC.	1,525	1,525
EQUINIX INC.	2,115	2,115
GCI LIBERTY INC. CL A	123	123
IHS MARKIT LTD	1,439	1,439
LINDE PLC	1,716	1,716
NUTANIX INC.	1,081	1,081

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NVIDIA CORP.	935	935
QUALCOMM INC.	2,333	2,333
QURATE RETAIL INC.	800	800
ABIOMED INC.	31,204	31,204
ALIBABA GRP HOLDING ADR	43,314	43,314

TY 2018 Legal Fees Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL ADVICE	4,023	603		3,420

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TY 2018 Other Decreases Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Description	Amount
UNRECOGNIZED UNREALIZED GAIN (LOSS) ON INVESTMENTS	270,591

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491130018109

TY 2018 Other Expenses Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DIRECTOR'S INSURANCE	1,702	0		1,702
ANNUAL REPORT	25	0		25

TY 2018 Other Professional Fees Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVICE/MANAGEMENT	15,395	15,395		0

TY 2018 Taxes Schedule

Name: THE HAROLD WETTERBERG FOUNDATION

EIN: 22-6042915

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	27	27		0