

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning

, and ending

Name of foundation MARGARET AND MARSHALL BARTLETT FAMILY FOUNDATION, INC.		A Employer identification number 22-3512759
Number and street (or P.O. box number if mail is not delivered to street address) 19 CLEVELAND LANE	Room/suite	B Telephone number 201-320-1861
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08540		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,397,413.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		25,363.	25,363.		STATEMENT 1
4 Dividends and interest from securities		97,943.	97,943.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		134,794.			
b Gross sales price for all assets on line 6a		7,936,517.			
7 Capital gain net income (from Part IV, line 2)			134,794.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		258,100.	258,100.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		12,988.	4,329.		8,659.
c Other professional fees					
17 Interest		12.	12.		0.
18 Taxes STMT 4		804.	804.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		62,792.	20,930.		41,862.
24 Total operating and administrative expenses. Add lines 13 through 23		76,596.	26,075.		50,521.
25 Contributions, gifts, grants paid		540,500.			540,500.
26 Total expenses and disbursements. Add lines 24 and 25		617,096.	26,075.		591,021.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-358,996.			
b Net investment income (if negative, enter -0-)			232,025.		
c Adjusted net income (if negative, enter -0-)				N/A	

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FAMILY FOUNDATION, INC.**

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		191,619.	132,494.	132,494.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 6	4,655,491.	2,419,997.	2,714,289.
	c	Investments - corporate bonds	STMT 7	514,181.	533,447.	511,948.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other	STMT 8	19,610.	1,824,497.	2,038,557.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ <u>DUE FROM UBS</u>)		0.	125.	125.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		5,380,901.	4,910,560.	5,397,413.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ <u>STATEMENT 9</u>)		111,345.	0.	
23	Total liabilities (add lines 17 through 22)		111,345.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26, and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		497,883.	497,883.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		4,771,673.	4,412,677.	
30	Total net assets or fund balances		5,269,556.	4,910,560.		
31	Total liabilities and net assets/fund balances		5,380,901.	4,910,560.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,269,556.
2	Enter amount from Part I, line 27a	2	-358,996.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,910,560.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,910,560.

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Part V Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e 7,936,517.		7,801,723.	134,794.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(j) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			134,794.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 134,794.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	499,153.	5,822,765.	.085724
2016	701,838.	5,638,334.	.124476
2015	364,470.	5,060,676.	.072020
2014	342,267.	5,628,798.	.060806
2013	309,333.	4,966,254.	.062287
2 Total of line 1, column (d)			2 .405313
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .081063
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 5,783,275.
5 Multiply line 4 by line 3			5 468,810.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,320.
7 Add lines 5 and 6			7 471,130.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 591,021.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	2,320.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 1% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	2,320.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	2,320.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	16,420.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	16,420.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	14,100.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax 14,100. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ► NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 10

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X

Website address **▶ N/A**

14 The books are in care of **▶ JOHN BARTLETT** Telephone no. **▶ 201-320-4861**
 Located at **▶ 19 CLEVELAND LANE, PRINCETON, NJ** ZIP+4 **▶ 08540**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here **▶** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15** **N/A**

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **▶** ☐
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **▶**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐ N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part III

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,711,805.
b	Average of monthly cash balances	1b	159,540.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,871,345.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,871,345.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	88,070.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,783,275.
6	Minimum investment return. Enter 5% of line 5	6	289,164.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	289,164.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	2,320.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,320.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	286,844.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	286,844.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	286,844.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	591,021.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	591,021.
5	Foundations that qualify under section 4940(c) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,320.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	588,701.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				286,844.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	65,076.			
b From 2014	68,059.			
c From 2015	120,232.			
d From 2016	492,729.			
e From 2017	211,579.			
f Total of lines 3a through e	957,675.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$	591,021.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				286,844.
e Remaining amount distributed out of corpus	304,177.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,261,852.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	65,076.			
9 Excess distributions carryover to 2019 Subtract lines 7 and 8 from line 6a	1,196,776.			
10 Analysis of line 9:				
a Excess from 2014	68,059.			
b Excess from 2015	120,232.			
c Excess from 2016	492,729.			
d Excess from 2017	211,579.			
e Excess from 2018	304,177.			

2018.04030 MARGARET AND MARSHALL BARTL 91032BF1

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**
Part IV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN INDIAN COLLEGE FUND P.O. BOX 172449 DENVER, CO 80217-9673	NONE	PUBLIC	GENERAL	1,000.
AMISTAD MISSION PO BOX 23030 NASHVILLE, TN 37202	NONE	PUBLIC	GENERAL	3,000.
ARTS COUNCIL OF PRINCETON 102 WITHERSPOON ST. PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	1,000.
ASPCA 242 EAST 92ND ST. NEW YORK, NY 10128	NONE	PUBLIC	GENERAL	100.
BOCA GRANDE COMMUNITY CENTER 131 1ST ST. W BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	200.
Total	SEE CONTINUATION SHEET(S)			540,500.
b Approved for future payment				
NONE				
Total				0.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	25,363.	
		14	97,943.	
		18	134,794.	
	0.		258,100.	0

13
258,100

N/A

País: XVII

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--------------|-----|----------|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this return is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: *[Signature]* Date: *10/25/2019* Title: **VICE PRESIDENT**

May the IRS discuss this return with the preparer shown below? See instructions. ☒ Yes ☐ No

Paid Preparer Use Only	Print type preparer's name SUHA UDDIN	Preparer's signature 	Date OCT 22 2019	Check ☐ if self-employed	PTIN P01539587
	Firm's name ▶ CLYNE EAGAN & ASSOCIATES			Firm's EIN ▶ 22-2706394	
	Firm's address ▶ 8 RIDGEDALE AVENUE CEDAR KNOLLS, NJ 07927			Phone no (973) 993-9767	

Form **990-PF** (2018)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THROUGH UBS 41613- SEE ATTACHED STMT	P		
b	THROUGH UBS 41613- SEE ATTACHED STMT	P		
c	THROUGH UBS 41616- SEE ATTACHED STMT	P		
d	THROUGH UBS 41716- SEE ATTACHED STMT	P		
e	THROUGH UBS V8 05528 95- SEE ATTACHED STMT	P		
f	THROUGH UBS 41610- SEE ATTACHED STMT	P		
g	THROUGH UBS 41612- SEE ATTACHED STMT	P		
h	THROUGH UBS 41612- SEE ATTACHED STMT	P		
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,579.		17,050.	-471.
b 87,059.		88,145.	-1,086.
c 98,855.		91,130.	7,725.
d 903,267.		736,314.	166,953.
e 6,080,605.		6,157,172.	-76,567.
f 516,685.		503,011.	13,674.
g 13,733.		13,524.	209.
h 219,734.		195,377.	24,357.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-471.
b			-1,086.
c			7,725.
d			166,953.
e			-76,567.
f			13,674.
g			209.
h			24,357.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	134,794.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOCA GRANDE HEALTH CLINIC FOUNDATION, INC PO BOX 2340 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	250.
BOY SCOUTS: INTERNATIONAL CIRCLE IN THE BALDEN-POWELL FELLOWSHIP PO BOX 152079 IRVING, TX 75015-2079	NONE	PUBLIC	GENERAL	5,000.
BOY SCOUTS OF AMERICA 350 FIFTH AVENUE, SUITE 7820 NEW YORK, NY 10118	NONE	PUBLIC	GENERAL	250.
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GARDEN RENOVATION	58,000.
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	15,000.
CAMBRIDGE IN AMERICA PO BOX 9123 NEW YORK, NY 10087-9123	NONE	PUBLIC	GENERAL	250.
CARE.ORG PO BOX 1871 MERRIFIELD, VA 22116-9753	NONE	PUBLIC	GENERAL	100.
CHURCH OF THE HEAVENLY REST 2 EAST 90TH ST NEW YORK, NY 10128	NONE	PUBLIC	GENERAL	1,000.
COLD SPRING HARBOR FISH HATCHERY 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	NONE	PUBLIC	GENERAL	1,000.
COLLEGE OF ST. ELIZABETH 2 CONVENT ROAD MORRISTOWN, NJ 07960-9766	NONE	PUBLIC	GENERAL	500.
Total from continuation sheets				535,200.

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITY FOODBANK OF NEW JERSEY PO BOX 36486 NEWARK, NJ 07188	NONE	PUBLIC	GENERAL	1,000.
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEW LONDON, CT 06320	NONE	PUBLIC	GENERAL	30,000.
CONVENT OF THE SACRED HEART 1 EAST 91ST STREET NEW YORK, NY 10128-0689	NONE	PUBLIC	GENERAL	10,000.
DOCTORS WITHOUT BORDERS PO BOX 5022 HAGERSTOWN, MD 21741-5023	NONE	PUBLIC	GENERAL	250.
DOE FUND, THE 345 E 102ND ST #305 NEW YORK, NY 10028	NONE	PUBLIC	GENERAL	250.
DREW UNIVERSITY - CERTIFICATE IN CONFLICT RESOLUTION 36 MADISON AVENUE MADISON, NJ 07940	NONE	PUBLIC	GENERAL	10,000.
DREW UNIVERSITY - MARGARET BARTLETT RESEARCH FELLOWSHIP FUND 36 MADISON AVENUE MADISON, NJ 07940	NONE	PUBLIC	GENERAL -	10,000.
DREW UNIVERSITY, CENTER FOR RELIGION, CULTURE, & CONFLICT 36 MADISON AVENUE MADISON, NJ 07940	NONE	PUBLIC	CENTER FOR RELIGION, CULTURE, AND CONFLICT	5,000.
DREW UNIVERSITY, M & M FUND FOR HISTORY & CULTURE 36 MADISON AVENUE MADISON, NJ 07940	NONE	PUBLIC	M & M FUND FOR HISTORY AND CULTURE	10,000.
EAGLEBROOK SCHOOL 271 PINE NOOK ROAD DEERFIELD, MA 01342-0007	NONE	PUBLIC	GENERAL	10,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EAST WOODS SCHOOL 31 YELLOW COTE RD OYSTER BAY, NY 11771-4199	NONE	PUBLIC	GENERAL	250.
EVERYTOWN FOR GUN SAFETY SUPPORT FUND P.O. BOX 3886 NEW YORK, NY 10163	NONE	PUBLIC	GENERAL	1,000.
FAIRLEIGH DICKINSON UNIVERSITY- SILBERMAN COLLEGE 1000 RIVER ROAD H-DH3-17 TEANECK, NJ 07666	NONE	PUBLIC	GENERAL	100,000.
FOUNDATION FOR MORRISTOWN MEDICAL CENTER 475 SOUTH ST., 1ST FLR MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	250.
FOXCROFT SCHOOL-ANNUAL FUND 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	GENERAL	10,000.
FOXCROFT SCHOOL-NEW ENGLISH CLASSROOM 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	ENGLISH CLASSROOM	75,000.
FRIENDS OF INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,750.
GEORGE W. BUSH PRESIDENTIAL CENTER/FOUNDATION PO BOX 560887 DALLAS, TX 75356-9733	NONE	PUBLIC	GENERAL	500.
GREAT SWAMP WATERSHED ASSOCIATION PO BOX 300 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	2,000.
HARDING LAND TRUST PO BOX 576 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARDING TOWNSHIP CIVIC ASSOCIATION PO BOX 72 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	150.
HARDING TOWNSHIP EDUCATION FOUNDATION PO BOX 734 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	200.
HARDING TOWNSHIP HISTORICAL SOCIETY PO BOX 1776 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	100.
HARVARD LAW SCHOOL ANNUAL FUND 1563 MASSACHUSETTS AVE. CAMBRIDGE, MA 02138	NONE	PUBLIC	GENERAL	1,000.
HARVARD MAGAZINE PO BOX 414946 BOSTON, MA 02241-4946	NONE	PUBLIC	GENERAL	100.
HELEN KELLER INTERNATIONAL 352 PARK AVE. SOUTH STE 1200 NEW YORK, NY 10010	NONE	PUBLIC	GENERAL	250.
HT-GV BRIDLE PATH ASSOCIATION, INC. PO BOX 710 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	100.
INTERLOCHEN CENTER FOR ARTS P.O. BOX 199 INTERLOCHEN, MI 49643	NONE	PUBLIC	GENERAL	5,000.
JUDITH G. WHARTON MUSIC CENTER 60 LOCUST AVENUE BERKELEY HEIGHTS, NJ 07922	NONE	PUBLIC	GENERAL	500.
KEMMERER LIBRARY P.O. BOX 517 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	3,000.
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	200.
MEMORIAL SLOAN KETTERING CANCER CENTER PO BOX 5028 HAGERSTOWN, MD 21741-5028	NONE	PUBLIC	GENERAL	200.
MORRIS ARTS 14 MAPLE AVENUE, SUITE 301 MORRISTOWN, NJ 07960-6989	NONE	PUBLIC	GENERAL	200.
MORRIS MUSEUM 6 NORMANDY HEIGHTS ROAD MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	100.
NANTUCKET CONSERVATION FOUNDATION P.O. BOX 13 NANTUCKET, MA 02554	NONE	PUBLIC	GENERAL	2,500.
NEW JERSEY AUDUBON 9 HARDCRABBLE ROAD BERNARDSVILLE, NJ 07924-9906	NONE	PUBLIC	GENERAL	5,000.
NEW VERNON VOLUNTEER FIRE DEPARTMENT FUND PO BOX 143 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	500.
NEW YORK CITY CHILDREN'S CHORUS 921 MADISON AVENUE NEW YORK, NY 10021	NONE	PUBLIC	GENERAL	250.
NEW YORK PUBLIC RADIO P.O. BOX 1550 NEW YORK, NY 10116-1550	NONE	PUBLIC	GENERAL	200.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PG CHAMBERS SCHOOL 15 HALKO DRIVE CEDAR KNOLLS, NJ 07927	NONE	PUBLIC	GENERAL	250.
PHI BETA KAPPA SOCIETY 1606 NEW HAMPSHIRE AVE WASHINGTON, DC 20009	NONE	PUBLIC	GENERAL	100.
POTOMAC VALLEY OPERA COMPANY 2218 N. KENSINGTON STREET ARLINGTON, VA 22205	NONE	PUBLIC	GENERAL	2,500.
PRINCETON DAY SCHOOL 650 GREAT ROAD PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	25,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	2,000.
PRINCETON UNIVERSITY - ANNUAL GIVING P.O. BOX 5357 PRINCETON, NJ 08543	NONE	PUBLIC	GENERAL	2,000.
PRINCETON UNIVERSITY LIBRARY ONE WASHINGTON ROAD PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,500.
PRINCETON UNIVERSITY ART MUSEUM MCCORMICK HALL PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY CHAPEL MURRAY-DODGE HALL PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY CONCERTS WOOLWORTH CENTER ROOM 301 PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RWJ UNIVERSITY HOSPITAL FOUNDATION 10 PLUM ST. SUITE 910 NEW BRUNSWICK, NJ 08901	NONE	PUBLIC	GENERAL	250.
SHEPHERD'S TABLE 8106 GEORGIA AVENUE SILVER SPRING, MD 20910	NONE	PUBLIC	GENERAL	150.
ST. ANDREWS EPISCOPAL CHURCH PO BOX 272 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	1,500.
ST. HUBERT'S ANIMAL WELFARE CENTER 575 WOODLAND AVE., P.O. BOX 159 MADISON, NJ 07940-0159	NONE	PUBLIC	GENERAL	250.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	10,000.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	25,000.
SUMMIT AREA YMCA 490 MORRIS AVENUE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	250.
SUMMIT SPEECH SCHOOL 705 CENTRAL AVENUE NEW PROVIDENCE, NJ 07974	NONE	PUBLIC	GENERAL	250.
THE CAUMSETT FOUNDATION 25 LLOYD HARBOR RD LLOYD HARBOR, NY 11743	NONE	PUBLIC	GENERAL	1,050.
THE FOOTE SCHOOL P.O. BOX 23030 NASHVILLE, TN 37202	NONE	PUBLIC	GENERAL	50,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE PECK SCHOOL 247 SOUTH STREET MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	250.
TRINITY EPISCOPAL CHURCH 33 MERCER STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	750.
THE COLLEGE FOUNDATION OF THE UNIVERSITY OF VIRGINIA P.O. BOX 400801 CHARLOTTESVILLE, VA 22904	NONE	PUBLIC	GENERAL	2,500.
US FUND FOR UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	PUBLIC	GENERAL	250.
WASHINGTON ASSOCIATION OF NJ PO BOX 1473 MORRISTOWN, NJ 07962-1473	NONE	PUBLIC	GENERAL	2,500.
WESTERN COLLEGE ALUMNAE ASSOCIATION 325 SOUTH PATTERSON AVENUE OXFORD, OH 45056	NONE	PUBLIC	GENERAL	10,000.
WNET THIRTEEN PO BOX 1313 NEW YORK, NY 10101-1313	NONE	PUBLIC	GENERAL	250.
THE MILL REEF FUND C/O JP MORGAN CHASE, PO BOX 78000 DETROIT, MI 48278	NONE	PUBLIC	GENERAL	2,500.
KNIGHTS OF YORK CHILDRENS CHARITY 32 GRAMERCY PARK SOUTH, STE 9F NEW YORK, NY 10003	NONE	PUBLIC	GENERAL	500.
THE WATERSHED INSTITUTE 31 TITUS MILL ROAD PENNINGTON, NJ 08534	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

22-3512759

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WEILL CORNELL MEDICINE CHILDREN'S HEALTH COUNCIL 1300 YORK AVE., BOX 314 NEW YORK, NY 10065	NONE	PUBLIC	GENERAL	3,334.
KIND, INC. 1201 L. ST. NW. FLOOR 2 WASHINGTON, DC 20005	NONE	PUBLIC	GENERAL	2,833.
THE YOUNG CENTER FOR IMMIGRANT CHILDREN'S RIGHTS 6020 SOUTH UNIVERSITY AVE. CHICAGO, IL 60637	NONE	PUBLIC	GENERAL	2,833.
HARDING TOWNSHIP CIVIC ASSOCIATION PO BOX 72 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	2,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS 41610	187.	187.	
UBS 41612	68.	68.	
UBS 41613	26,213.	26,213.	
UBS 41613 - ACCRUED INT PD	-1,209.	-1,209.	
UBS 41617	98.	98.	
UBS 41717	6.	6.	
TOTAL TO PART I, LINE 3	25,363.	25,363.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS - 04716	43,516.	0.	43,516.	43,516.	
UBS - 04717	22,485.	0.	22,485.	22,485.	
UBS - 41610	24,973.	0.	24,973.	24,973.	
UBS - 41612	6,969.	0.	6,969.	6,969.	
TO PART I, LINE 4	97,943.	0.	97,943.	97,943.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,988.	4,329.		8,659.
TO FORM 990-PF, PG 1, LN 16B	12,988.	4,329.		8,659.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX	804.	804.		0.	
TO FORM 990-PF, PG 1, LN 18	804.	804.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK FEES	175.	58.		117.	
UBS - INVESTMENT ADVISORY FEES	62,606.	20,868.		41,738.	
MISCELLANEOUS	11.	4.		7.	
TO FORM 990-PF, PG 1, LN 23	62,792.	20,930.		41,862.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
UBS 41612- SEE ATTACHED STMT	562,719.		648,957.	
UBS 41716- SEE ATTACHED STMT	1,857,278.		2,065,332.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,419,997.		2,714,289.	

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
UBS 41613- SEE ATTACHED STMT	533,447.		511,948.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	533,447.		511,948.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS 41610- SEE ATTACHED STMT	COST	971,624.	1,055,838.
UBS 41717- SEE ATTACHED STMT	COST	852,873.	982,719.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,824,497.	2,038,557.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	9
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DUE TO UBS V8 05528	111,345.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	111,345.	0.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	10
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NAME OF CONTRIBUTOR	ADDRESS
MARSHALL BARTLETT	PO BOX 489, YOUNG'S RD NEW VERNON, NJ 07976-0489

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE, PRES., & TREASURER 0.00	0.	0.	0.
MARGARET W. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & VICE-PRESIDENT 0.00	0.	0.	0.
JOHN P. BARTLETT 19 CLEVELAND LANE PRINCETON, NJ 085403049	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
STEPHEN W. BARTLETT 885 PARK AVE., APT. 8C NEW YORK, NY 10075	TRUSTEE & VICE- PRESIDENT 0.00	0.	0.	0.
MARSHALL P. BARTLETT YOUNG'S ROAD, P.O. BOX 489 NEW VERNON, NJ 07976	TRUSTEE & SECRETARY 0.00	0.	0.	0.
DAVID L. SCULL 8401 CONNECTICUT AVENUE # 1202 CHEVY CHASE, MD 208155804	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF PART XV - LINE 1A STATEMENT 12
 LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

MARSHALL P. BARTLETT
 MARGARET W. BARTLETT

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

Account Y1 41612

2018

02/27/2019

Sales transactions are organized into sections according to term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "12 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis is always presented as \$0.00 and the Proceeds is the net of the amount received when the option was written and the cost to close the position. This means you may have a negative Proceeds number.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
COTIVITI HLDGS INC *MERGER: 08/2018* / CUSIP: 22164K101 / Symbol: 08/27/18	128.000	5,728.00	01/30/18	4,465.08	...	1,262.92	Merger
MANHATTAN ASSOCIATES INC / CUSIP: 562750105 / Symbol: MANH 04/03/18	84.000	3,383.14	10/23/17	4,072.35	...	-689.21	Sale
04/04/18	78.000	3,182.68	10/23/17	3,781.47	...	-598.79	Sale
Security total:		6,565.82		7,853.82	...	-1,288.00	
TERADYNE INC COMMON STOCK / CUSIP: 880770102 / Symbol: TER 02/08/18	36.000	1,438.73	08/02/17	1,204.75	...	233.98	Sale
Totals:		13,732.55		13,623.65	...	208.90	

UBS FINANCIAL SERVICES INC. 2018	Proceeds Not Reported to the IRS (continued)
Account	Y141612
	02/27/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC / CUSIP: 045327103 / Symbol: AZPN							
02/08/18	32,000	2,332.59	06/07/16	1,252.92	...	1,079.67	Sale
06/08/18	71,000	6,804.66	06/07/16	2,779.93	...	4,024.73	Sale
Security total:		9,137.25		4,032.85	...	5,104.40	
BANK OZK / CUSIP: 06417N103 / Symbol: OZK							
2 transactions for 10/19/18							
10/19/18	320,000	8,101.43	06/07/16	12,641.15	...	-4,539.72	Sale
	209,000	5,291.25	09/15/17	9,087.01	...	-3,795.76	Sale
	529,000	13,392.68	Various	21,728.16	...	-8,335.48	Total of 2 transactions
CDW CORP / CUSIP: 12514G108 / Symbol: CDW							
02/08/18	42,000	2,927.17	06/07/16	1,792.90	...	1,134.27	Sale
09/05/18	101,000	8,807.06	06/07/16	4,311.51	...	4,495.55	Sale
09/06/18	16,000	1,392.27	06/07/16	683.01	...	709.26	Sale
Security total:		13,126.50		6,787.42	...	6,339.08	
CARLSLE COS INC COMMON STOCK / CUSIP: 142339100 / Symbol: CSL							
09/07/18	177,000	22,435.81	06/07/16	17,878.63	...	4,557.18	Sale
COOPER COMPANIES INC NEW / CUSIP: 21664B402 / Symbol: COO							
02/08/18	14,000	3,176.19	06/07/16	2,303.01	...	873.18	Sale
COTTVITI HLDGS INC *MERGER: 08/2018* / CUSIP: 22164K101 / Symbol:							
2 transactions for 08/27/18							
08/27/18	330,000	14,767.50	03/24/17	13,191.88	...	1,575.62	Merger
	297,000	13,290.75	08/15/17	10,742.79	...	2,547.96	Merger
	627,000	28,058.25	Various	23,934.67	...	4,123.58	Total of 2 transactions

UBS FINANCIAL SERVICES INC.		Account Y1 41612
2018	Proceeds Not Reported to the IRS (continued)	02/27/2019

LONG TERM TRANSACTIONS (Ordinary gains or losses are identified in the Additional information column)
Report on Form 990, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (Gross or Net)	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
DRIL-QUIP INC / CUSIP: 262037104 / Symbol: DRQ							
04/26/18	83,000	4,116.93	10/04/16	5,173.08	...	-1,056.15	Sale
04/30/18	188,000	6,974.06	10/04/16	9,344.91	...	-2,370.85	Sale
	Security total:	11,090.99		14,517.99	...	-3,427.00	
EXPEDITORS INTL WASH INC / CUSIP: 302130109 / Symbol: EXPD							
02/08/18	27,000	1,656.68	06/07/16	1,335.27	...	321.41	Sale
HENRY JACK & ASSOC INC COMMON STOCK / CUSIP: 426281101 / Symbol: JKHY							
02/08/18	11,000	1,291.41	06/07/16	931.67	...	359.74	Sale
LANDSTAR SYSTEMS INC COMMON STOCK / CUSIP: 515098101 / Symbol: LSTR							
10/23/18	56,000	5,702.81	06/07/16	3,793.50	...	1,909.31	Sale
10/24/18	123,000	12,377.99	06/07/16	8,332.14	...	4,045.85	Sale
	Security total:	18,080.80		12,125.64	...	5,955.16	
MANHATTAN ASSOCIATES INC / CUSIP: 562750109 / Symbol: MANH							
03/29/18	105,000	4,405.91	06/07/16	7,103.29	...	-2,697.38	Sale
	2 transactions for 04/02/18						
	40,000	1,618.94	06/07/16	2,706.02	...	-1,087.08	Sale
	92,000	3,723.55	09/26/16	5,283.43	...	-1,559.88	Sale
04/02/18	132,000	5,342.49	Various	7,989.45	...	-2,646.96	Total of 2 transactions
	2 transactions for 04/03/18						
	17,000	684.68	09/26/16	976.29	...	-291.61	Sale
	145,000	5,839.95	11/23/16	7,733.68	...	-1,893.73	Sale
04/03/18	162,000	6,524.63	Various	8,709.97	...	-2,185.34	Total of 2 transactions
	Security total:	16,273.03		23,802.71	...	-7,529.68	

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS		Account Y1 41612
2018		(continued)		02/27/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 990, Part II, with Box F checked.

Description of property

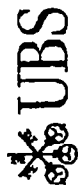
Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
NORDSON CORP COMMON STOCK / CUSIP: 655663102 / Symbol: NDSN							
02/08/18	11,000	1,499.05	06/07/16	974.27	...	524.78	Sale
PRIMERICA INC / CUSIP: 74164M108 / Symbol: PRI							
02/08/18	16,000	1,553.56	06/07/16	926.82	...	626.74	Sale
10/23/18	29,000	3,313.23	06/07/16	1,679.87	...	1,633.36	Sale
10/24/18	54,000	5,942.92	06/07/16	3,128.03	...	2,814.89	Sale
10/31/18	14,000	1,547.26	06/07/16	810.97	...	736.29	Sale
Security total:		12,356.97		6,545.69	...	5,811.28	
RBC BEARINGS INC / CUSIP: 75524B104 / Symbol: ROLL							
01/31/18	15,000	1,892.97	06/07/16	1,130.50	...	762.47	Sale
02/01/18	15,000	1,898.36	06/07/16	1,130.50	...	767.86	Sale
02/02/18	28,000	3,451.60	06/07/16	2,110.27	...	1,341.33	Sale
10/08/18	8,000	1,231.70	06/07/16	602.93	...	628.77	Sale
10/09/18	32,000	4,928.00	06/07/16	2,411.74	...	2,516.26	Sale
10/10/18	19,000	2,823.00	06/07/16	1,431.96	...	1,391.04	Sale
Security total:		16,225.63		8,817.90	...	7,407.73	
WYNN RESORTS LTD / CUSIP: 983134107 / Symbol: WYNN							
01/29/18	76,000	12,498.88	06/07/16	7,578.00	...	4,922.88	Sale
12/17/18	138,000	14,484.65	06/07/16	13,756.42	...	728.23	Sale
Security total:		26,983.53		21,332.42	...	5,651.11	
CORE LABORATORIES N V EUR / CUSIP: N22717107 / Symbol: CLB							
06/08/18	64,000	8,116.59	06/07/16	8,345.72	...	-229.13	Sale
10/23/18	71,000	6,938.57	06/07/16	9,258.54	...	-2,319.97	Sale
2 transactions for 10/24/18							
Security total:		1,036.56	06/07/16	1,434.42	...	-397.86	Sale

Page 7 of 16

UBS FINANCIAL SERVICES INC. 2018	Account Y1 41612 02/27/2019
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LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property									
Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Data acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information		
CORE LABORATORIES N V EUR / CUSIP: N22717107 / Symbol: CLB (cont'd)									
10/24/18	94,000	8,857.89	09/25/17	9,289.66	--	-431.77	Sale		
	105,000	9,894.45	Various	10,724.08	--	-829.63	Total of 2 transactions		
	Security total:	24,949.61		28,328.34	--	-3,378.73			
Totals:		219,734.38		195,376.64	--	24,357.74			



Business Services Account

Account name: M. & M. BARTLETT FAMILY
Friendly account name: UTES/Cash
Account number: Y1 41610 Y6

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Realized gains and losses

Estimated 2018 gains and losses for transactions with trade dates through 12/31/18 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
REAVES UTILITIES ETF	FIFO	5,500,000	Jun 06, 16	Jan 12, 18	170,327.22	169,675.58			651.64
	FIFO	6,200,000	Jun 06, 16	May 08, 18	198,279.27	191,270.65			7,008.62
	FIFO	100,000	Jun 06, 16	May 08, 18	3,104.93	3,085.01			19.92
	FIFO	1,930,000	Jun 06, 16	Jun 06, 18	60,113.89	59,540.70			573.19
	FIFO	2,575,000	Jun 06, 16	Jun 26, 18	84,859.86	79,439.02			5,420.84
Total					\$516,685.17	\$503,010.96			\$13,674.21
Net long-term capital gains or losses									\$13,674.21
Net capital gains/losses:									\$13,674.21

UBS FINANCIAL SERVICES INC.

Account Y1 41613

Proceeds Not Reported to the IRS

2018

02/27/2019

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SHORT TERM TRANSACTIONS (Ordinary gains or losses are identified in the Additional information column)

Report on Form 8949, Part 1, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
STEEL DYNAMICS INC NOTE RATE 5.50 % MATURES 10/01/2024 CALLABLE / CUSIP: 858119BD1 / Symbol: 03/28/18	14,000.000	14,525.00	09/07/17	14,977.85	..	-452.85	Sale Original basis: \$15,050.00
TESORO CORP RATE 5.125 % MATURES 04/01/2024 CALLABLE DATED DATE 03/18/2014 / CUSIP: 881609BA8 / Symbol: 09/05/18	2,000.000	2,054.06	03/13/18	2,072.54	..	-18.48	Sale Original basis: \$2,078.00
Totals:		16,579.06		17,050.39	..	-471.33	

UBS FINANCIAL SERVICES INC.

Account Y1 41613

2018

02/27/2019

Proceeds Not Reported to the IRS
 (continued)

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss d/sallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
ARROW ELECTRONICS INC NOTE RATE 4.50 % MATURES 03/01/2023 CALLABLE / CUSIP: 042735BC3 / Symbol: 04/26/18	15,000.000	15,179.10	08/04/16	15,788.79	...	-609.69	Sale Original basis: \$16,041.15
DISH DBS CORP NOTE RATE 6.75 % MATURES 06/01/2021 / CUSIP: 25470XAE5 / Symbol: 06/27/18	2,000.000	2,005.00	02/09/17	2,129.29	...	-124.29	Sale Original basis: \$2,185.00
DISH DBS CORP NOTE RATE 5.125 % MATURES 05/01/2020 / CUSIP: 25470XAO8 / Symbol: 06/21/18	13,000.000	12,967.50	06/09/16	13,121.77	...	-154.27	Sale Original basis: \$13,243.75
INFOR US INC NOTE RATE 6.50 % MATURES 05/15/2022 CALLABLE DATED DATE 11/15/2015 / CUSIP: 45672NAG6 / Symbol: 06/22/18	14,000.000	14,105.00	09/31/16	14,227.50	..	-122.50	Sale Original basis: \$14,227.50
TESORO CORP RATE 5.125 % MATURES 04/01/2024 CALLABLE DATED DATE 03/18/2014 / CUSIP: 881609BA8 / Symbol: 09/05/18	12,000.000	12,324.36	06/17/16	12,090.00	...	234.36	Sale Original basis: \$12,090.00
VERIZON COMMUNICATIONS INC RATE 5.15 % MATURES 09/15/2023 / CUSIP: 92343VBR4 / Symbol: 08/06/18	2 transactions for 08/06/18 5,000.000	5,382.55	07/28/17	5,482.23	...	-99.68	Sale Original basis: \$5,570.10
	1,000.000	1,076.51	07/31/17	1,095.21	...	-18.70	Sale Original basis: \$1,112.45
	6,000.000	6,459.06	Various	6,577.44	..	-118.38	Total of 2 transactions

UBS FINANCIAL SERVICES INC.

Account Y1 41613

Proceeds Not Reported to the IRS

2018

02/27/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
VERIZON COMMUNICATIONS INC RATE 5.15 % MATURES 09/15/2023 / CUSIP: 92343VBR4 / Symbol: (cont'd)							
2 transactions for 08/07/18							
2,000,000		2,151.10	07/31/17	2,190.32	..	-39.22	Sale Original basis: \$2,224.90
6,000,000		6,453.30	08/01/17	6,606.01	..	-152.71	Sale Original basis: \$6,716.22
8,000,000		8,604.40	Various	8,796.33	..	-191.93	Total of 2 transactions
Security total:							
		15,063.46		15,373.77	..	-310.31	
VIACOM INC RATE 4.25 % MATURES 09/01/2023 CALLABLE DATED DATE 08/19/2013 / CUSIP: 92553PAT9 / Symbol:							
01/31/18		15,000,000	06/13/16	15,413.64	..	1.41	Sale Original basis: \$15,519.38
Totals:		87,059.47		88,144.76	..	-1,085.29	

UBS FINANCIAL SERVICES INC.

Proceeds Not Reported to the IRS

Account Y1 41718

2018

02/28/2019

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SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 990, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G) or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BRITISH AMER TOBACCO PLC GB SPON ADR / CUSIP: 110448107 / Symbol: BTI							
08/01/18	72,000	3,939.14	06/04/18	3,650.38	..	288.78	Sale
10/10/18	524,000	23,796.56	06/04/18	26,566.54	-	-2,769.98	Sale
	Security total:	27,735.70		30,216.90	..	-2,481.20	
CHEVRON CORP / CUSIP: 166764100 / Symbol: CVX							
08/01/18	42,000	5,254.55	06/04/18	5,203.31	..	51.24	Sale
GARRETT MOTION INC / CUSIP: 366505105 / Symbol: GTX							
10/02/18	1,900	32.85	06/04/18	30.59	..	2.26	Sale
JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM							
08/01/18	50,000	5,794.07	06/04/18	5,440.82	..	353.15	Sale
O REILLY AUTOMOTIVE INC / CUSIP: 67103H107 / Symbol: ORLY							
08/01/18	2,000	607.24	06/04/18	552.32	..	54.92	Sale
08/18/18	33,000	11,163.48	06/04/18	9,113.25	..	2,050.23	Sale
	Security total:	11,770.72		9,665.57	..	2,105.15	

UBS FINANCIAL SERVICES INC. 2018	Proceeds Not Reported to the IRS (continued)
Account Y1 41718 02/28/2019	

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 8949, Part I, with Box C checked.

Description of property		Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
Date sold or disposed								
PARKER HANNIFIN CORP COMMON STOCK / CUSIP: 701094104 / Symbol: PH 10/16/18	64,000	10,571.39	06/04/18	11,054.66	..	..	-483.27	Sale
REPUBLIC SERVICES INC / CUSIP: 760759100 / Symbol: RSG 08/01/18	46,000	3,335.68	06/13/18	3,192.86	..	..	142.82	Sale
RESIDEO TECHNOLOGIES INC / CUSIP: 76118Y104 / Symbol: REZI 10/30/18	3,167	66.85	06/04/18	81.94	..	..	-15.09	Sale
STARBUCKS CORP COMMON STOCK / CUSIP: 855244109 / Symbol: SBUX 07/17/18	8,000	409.78	06/04/18	455.76	..	..	-45.98	Sale
SUNTRUST BANKS INC COMMON STOCK / CUSIP: 867914103 / Symbol: STI 09/01/18	49,000	3,561.81	06/04/18	3,373.11	..	..	188.70	Sale
DELPHI TECHNOLOGIES PLC FOREIGN STOCK / CUSIP: G2709G107 / Symbol: DLPH 09/04/18	87,000	4,447.85	06/21/17	3,686.58	..	..	761.27	Sale
APTIV PLC FOREIGN STOCK / CUSIP: G6095L109 / Symbol: APTV 05/04/18	261,000	25,873.48	06/21/17	18,727.32	..	..	7,146.16	Sale
Totals:		98,854.73		91,129.52	..	..	7,725.21	

UBS FINANCIAL SERVICES INC.		Account Y1 41716
2018	Proceeds Not Reported to the IRS (continued)	02/28/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ADOBE INC. (DELAWARE) / CUSIP: 00724F101 / Symbol: ADOBE							
06/04/18	12,000	3,026.49	12/14/15	1,102.75	...	1,923.74	Sale
07/24/18	46,000	11,846.69	12/14/15	4,227.19	...	7,619.50	Sale
	Security total:	14,873.18		5,329.94	...	9,543.24	
ALPHABET INC CL A / CUSIP: 02079K305 / Symbol: GOOGL							
08/01/18	5,000	6,147.28	08/07/16	3,672.55	...	2,474.73	Sale
AMERICAN TOWER CORP REIT SBI / CUSIP: 03027X100 / Symbol: AMT							
06/04/18	23,000	3,203.19	03/15/17	2,639.58	...	563.61	Sale
08/01/18	14,000	2,097.46	03/15/17	1,606.70	...	490.76	Sale
09/18/18	110,000	16,210.60	03/15/17	12,624.07	...	3,586.53	Sale
	Security total:	21,511.25		16,870.35	...	4,640.90	
AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC							
06/04/18	297,000	25,245.87	01/24/17	25,144.02	...	101.85	Sale
AMERIPRISE FINANCIAL INC / CUSIP: 03076C106 / Symbol: AMP							
06/04/18	137,000	19,259.90	06/07/16	13,966.93	...	5,292.97	Sale
BLACKROCK INC / CUSIP: 09247X101 / Symbol: BLK							
07/24/18	23,000	11,711.70	12/14/16	9,040.15	...	2,671.55	Sale
08/01/18	3,000	1,444.78	12/14/16	1,179.15	...	265.63	Sale
	Security total:	13,156.48		10,219.30	...	2,937.18	
CVS HEALTH CORP / CUSIP: 126650100 / Symbol: CVS							
2 transactions for 06/04/18							
	297,000	18,966.65	06/07/16	28,816.96	...	-9,850.31	Sale
	28,000	1,788.10	10/04/16	2,421.41	...	-633.31	Sale
05/04/18	325,000	20,754.75	Various	31,238.37	...	-10,483.62	Total of 2 transactions

UBS FINANCIAL SERVICES INC.

Account Y141716

2018

02/28/2019

Proceeds Not Reported to the IRS

(continued)

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 990, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO							
08/04/18	379.000	16,448.77	06/07/16	17,201.78	..	-753.01	Sale
08/01/18	87.000	4,020.47	06/07/16	3,948.70	..	71.77	Sale
Security total:		20,469.24		21,150.48	..	-681.24	
COLGATE PALMOLIVE CO COMMON STOCK / CUSIP: 194162103 / Symbol: CL							
08/01/18	47.000	3,095.43	06/07/16	3,378.28	282.85 W	0.00	Sale
COMCAST CORP NEW CL A / CUSIP: 20030N101 / Symbol: CMCSA							
08/01/18	44.000	1,555.95	06/07/16	1,398.46	..	157.49	Sale
CRANE CO COMMON STOCK / CUSIP: 224399105 / Symbol: CR							
06/04/18	215.000	18,043.62	06/07/16	12,823.44	..	5,220.18	Sale
08/01/18	34.000	3,015.76	06/07/16	2,027.89	..	987.87	Sale
10/10/18	40.000	3,713.53	06/07/16	2,365.76	..	1,327.77	Sale
Security total:		24,772.91		17,237.09	..	7,535.82	
DANAHER CORP COMMON STOCK / CUSIP: 235851102 / Symbol: DHR							
06/04/18	126.000	12,757.82	06/07/16	9,475.33	..	3,282.49	Sale
DIAGEO PLC NEW GB SPON ADR / CUSIP: 25243Q205 / Symbol: DEO							
06/04/18	101.000	14,893.42	06/07/16	11,124.25	..	3,769.17	Sale
08/01/18	15.000	2,202.59	06/07/16	1,652.12	..	550.47	Sale
Security total:		17,096.01		12,776.37	..	4,319.64	
WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254887106 / Symbol: DIS							
06/04/18	18.000	1,803.06	10/04/16	1,667.70	..	135.36	Sale
ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL							
06/04/18	16.000	2,304.31	09/28/15	1,729.52	..	574.79	Sale
08/01/18	7.000	1,011.99	09/28/15	756.66	..	255.33	Sale
Security total:		3,316.30		2,486.18	..	830.12	

UBS FINANCIAL SERVICES INC. 2018	Proceeds Not Reported to the IRS (continued)
Account Y141716 02/28/2019	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property									
Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information		
FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB									
06/04/18	37,000	7,156.65	06/07/16	4,359.60	...	2,797.05	Sale		
08/01/18	9,000	1,540.16	06/07/16	1,060.44	...	479.72	Sale		
	Security total:	8,696.81		5,420.04	...	3,276.77			
GARRETT MOTION INC / CUSIP: 366505105 / Symbol: GTX									
10/01/18	0.200	3.48	01/31/01	1.03	...	2.43	Cash In lieu		
10/02/18	24,100	416.74	01/31/01	124.11	...	292.63	Sale		
	Security total:	420.20		125.14	...	295.06			
HOME DEPOT INC / CUSIP: 437076102 / Symbol: HD									
06/04/18	21,000	3,988.23	06/07/16	2,730.52	...	1,257.71	Sale		
08/01/18	30,000	5,882.95	06/07/16	3,900.75	...	1,982.20	Sale		
	Security total:	9,871.18		6,631.27	...	3,239.91			
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON									
08/01/18	1,000	166.90	01/31/01	47.80	...	109.10	Sale		
INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC									
08/01/18	133,000	6,489.27	07/07/15	3,965.03	...	2,524.24	Sale		
INTERCONTINENTAL EXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE									
06/04/18	230,000	16,642.81	06/07/16	12,201.27	...	4,441.54	Sale		
JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ									
08/01/18	56,000	7,422.76	06/13/17	7,370.58	...	52.18	Sale		
LOCKHEED MARTIN CORP COMMON STOCK / CUSIP: 539830109 / Symbol: LMT									
06/04/18	76,000	24,113.81	06/07/16	18,273.57	...	5,840.24	Sale		
08/01/18	7,000	2,272.66	06/07/16	1,683.09	...	589.57	Sale		
	Security total:	26,386.47		19,956.66	...	6,429.81			

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS		Account Y1 41716
2018		(continued)		02/28/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
LOWES COMPANIES INC / CUSIP: 548661107 / Symbol: LOW						
06/04/18	108 000	10,536.34	8,578.43	..	1,957.91	Sale
MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol: MMC						
06/04/18	145 000	11,752.72	9,644.97	..	2,107.75	Sale
08/01/18	30 000	2,489.67	1,995.51	..	494.16	Sale
	Security total:	14,242.39	11,640.48	..	2,601.91	
MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD						
06/04/18	83 000	13,311.45	9,671.14	..	3,640.31	Sale
08/01/18	19 000	2,978.21	2,213.87	..	764.34	Sale
	Security total:	16,289.66	11,885.01	..	4,404.65	
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT						
08/01/18	78 000	8,264.85	3,445.87	..	4,818.98	Sale
MONDELEZ INTL INC / CUSIP: 609207105 / Symbol: MDLZ						
08/04/18	360 000	14,309.99	16,239.60	..	-1,929.61	Sale
NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE						
06/04/18	91 000	14,649.08	11,119.62	..	3,529.46	Sale
08/01/18	26 000	4,350.48	3,177.03	..	1,173.45	Sale
	Security total:	18,999.56	14,296.65	..	4,702.91	
NORDSTROM INC COMMON STOCK / CUSIP: 655664100 / Symbol: JWN						
06/04/18	700 000	35,488.64	27,896.28	..	7,592.38	Sale
NOVARTIS AG SPON ADR / CUSIP: 66987V109 / Symbol: NVS						
06/04/18	80 000	6,044.00	6,554.00	..	-510.00	Sale
08/01/18	47 000	3,927.79	3,850.48	..	77.31	Sale
	Security total:	9,971.79	10,404.48	..	-432.69	

UBS FINANCIAL SERVICES INC.		Account Y1 41716
2018	Proceeds Not Reported to the IRS (continued)	02/28/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property		Proceeds & Reported		Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)		Additional Information
Date sold or disposed	Quantity	(G)ross or (N)et							
PEPSICO INC COMMON STOCK / CUSIP: 713448108 / Symbol: PEP									
06/04/18	334,000	33,533.49		06/07/16	34,371.34	...	-837.85	Sale	
PRAXAIR INC *MERGER: 10/2018* / CUSIP: 74005P104 / Symbol:									
06/04/18	98,000	15,506.44		10/04/16	11,876.13	...	3,630.31	Sale	
07/24/18	67,000	10,927.90		10/04/16	8,119.40	...	2,808.50	Sale	
08/01/18	15,000	2,475.89		10/04/16	1,817.77	...	658.12	Sale	
10/31/18	116,000	18,980.78		10/04/16	14,057.46	...	4,903.32	X Sale	
	Security total:	47,871.01			35,870.76	...	12,000.25		
RED HAT INC / CUSIP: 756577102 / Symbol: RHT									
06/04/18	155,000	26,068.09		06/07/16	12,157.21	...	13,910.88	Sale	
RESIDEO TECHNOLOGIES INC / CUSIP: 76118Y104 / Symbol: REZI									
10/29/18	0.667	16.90		01/31/01	5.52	...	11.38	Cash in lieu	
10/30/18	39,833	840.87		01/31/01	329.81	...	511.06	Sale	
	Security total:	857.77			335.33	...	522.44		
ROCKWELL AUTOMATION INC NEW / CUSIP: 773903109 / Symbol: ROK									
06/04/18	178,000	31,864.70		06/07/16	21,384.82	...	10,479.88	Sale	
06/13/18	41,000	7,361.49		06/07/16	4,898.20	...	2,463.29	Sale	
07/24/18	56,000	9,499.78		06/07/16	6,690.23	...	2,809.55	Sale	
08/01/18	18,000	3,313.36		06/07/16	2,150.43	...	1,162.93	Sale	
	Security total:	52,039.33			35,123.68	...	16,915.65		
ROCKWELL COLLINS INC *MERGER: 11/2018* / CUSIP: 774341101 / Symbol:									
	2 transactions for 06/04/18	44,788.41		06/07/16	28,869.75	...	15,918.66	Sale	
	325,000	1,515.92		10/04/16	920.14	...	595.78	Sale	
	11,000	46,304.33		Various	29,789.89	...	16,514.44	Total of 2 transactions	
06/04/18	336,000								

UBS FINANCIAL SERVICES INC.		Account Y1 41716
2018		02/28/2019

Proceeds Not Reported to the IRS
 (continued)

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et acquired	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
STARBUCKS CORP COMMON STOCK / CUSIP: 855244109 / Symbol: SBUX							
2 transactions for 07/17/18							
	519,000	26,584.70	06/07/16	28,907.37	..	-2,322.67	Sale
	4,000	204.90	10/04/16	215.20	..	-10.30	Sale
07/17/18	523,000	26,789.60	Various	29,122.57	..	-2,332.97	Total of 2 transactions
SUNCOR ENERGY INC NEW FOREIGN STOCK CAD / CUSIP: 867224107 / Symbol: SU							
06/04/18	52,000	2,099.21	01/24/17	1,682.26	..	416.95	Sale
07/24/18	248,000	10,088.35	01/24/17	8,023.07	..	2,063.28	Sale
08/01/18	95,000	3,960.50	01/24/17	3,073.35	..	887.15	Sale
	Security total:	16,148.06		12,778.68	..	3,367.38	
TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX							
06/04/18	109,000	10,054.50	06/07/16	8,300.00	..	1,754.50	Sale
08/01/18	5,000	482.34	06/07/16	380.73	..	101.61	Sale
	Security total:	10,536.84		8,680.73	..	1,856.11	
TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN							
06/04/18	163,000	18,830.88	06/07/16	10,045.25	..	8,785.61	Sale
08/01/18	29,000	3,214.61	06/07/16	1,787.19	..	1,427.42	Sale
	Security total:	22,045.47		11,832.44	..	10,213.03	
THERMO FISHER SCIENTIFIC INC / CUSIP: 883556102 / Symbol: TMO							
06/04/18	10,000	2,138.28	06/07/16	1,526.34	..	611.94	Sale
08/01/18	2,000	467.51	06/07/16	305.27	..	162.24	Sale
	Security total:	2,605.79		1,831.61	..	774.18	

UBS FINANCIAL SERVICES INC.		Proceeds Not Reported to the IRS		Account Y1 41716
2018		(continued)		02/28/2019

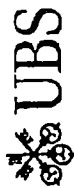
LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 990, Part II, with Box F checked.

Description of property									
Data sold or disposed	Quantity	Proceeds & Reported Data		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)		Gain or loss(-) & Loss not allowed (X) also not reported (Z)		Additional information
		(G)ross or (N)et	Data acquired						
UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP									
06/04/18	108,000	15,702.92	06/07/16	9,462.61	...	...	6,240.31	Sale	
08/01/18	34,000	5,048.62	05/07/16	2,978.97	...	...	2,069.65	Sale	
	Security total:	20,751.54		12,441.58	...	...	8,309.96		
UNTD TECHNOLOGIES CORP COMMON STOCK / CUSIP: 913017109 / Symbol: UTX									
06/04/18	129,000	16,320.59	06/07/16	13,161.77	...	...	3,158.82	Sale	
08/01/18	45,000	6,077.22	06/07/16	4,591.31	...	...	1,485.91	Sale	
	Security total:	22,397.81		17,753.08	...	...	4,644.73		
VF CORP COMMON STOCK / CUSIP: 918204108 / Symbol: VFC									
06/04/18	273,000	22,366.60	06/07/16	17,025.65	...	...	5,340.95	Sale	
06/13/18	86,000	7,248.60	06/07/16	5,363.39	...	...	1,885.21	Sale	
08/01/18	28,000	2,554.41	06/07/16	1,746.22	...	...	808.19	Sale	
	Security total:	32,169.61		24,135.26	...	...	8,034.35		
VISA INC CL A / CUSIP: 92826C839 / Symbol: V									
07/24/18	75,000	10,480.74	10/04/16	6,215.62	...	...	4,265.12	Sale	
10/16/18	91,000	12,872.66	10/04/16	7,541.63	...	...	5,331.03	Sale	
	Security total:	23,353.40		13,757.25	...	...	9,596.15		
ADIANT PLC FOREIGN STOCK / CUSIP: G0084W101 / Symbol: ADNT									
	2 transactions for 06/04/18								
	10,100	543.18	10/31/16	470.51	...	...	72.67	Sale	
	66,900	3,597.91	10/31/16	3,116.53	...	...	481.38	Sale	
06/04/18	77,000	4,141.09	Various	3,587.04	...	...	554.05	Total of 2 transactions	
ACCENTURE PLC IRELAND CL A FOREIGN STOCK / CUSIP: G1151C101 / Symbol: ACN									
08/01/18	24,000	3,820.29	06/07/16	2,866.93	...	...	953.36	Sale	

UBS FINANCIAL SERVICES INC.		Account Y1 41716
2018	Proceeds Not Reported to the IRS (continued)	02/28/2019

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]
 Report on Form 8949, Part II, with Box F checked.

Description of property	Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
INVESTCO LTD FOREIGN STOCK / CUSIP: G491BT108 / Symbol: IVZ								
	06/04/18	832,000	23,074.64	06/07/16	25,183.81	---	-2,109.17	Sale
	06/21/18	535,000	17,187.00	06/07/16	19,251.08	---	-2,064.08	Sale
	08/01/18	88,000	2,280.49	06/07/16	2,663.67	---	-383.18	Sale
	10/10/18	454,000	9,695.87	06/07/16	13,742.13	---	-4,046.26	Sale
		Security total:	52,238.00		60,840.69	---	-8,602.69	
MEDTRONIC PLC FOREIGN STOCK / CUSIP: G5960L103 / Symbol: MDT								
	08/01/18	71,000	8,402.40	06/07/16	5,948.38	---	454.02	Sale
CHUBB LTD CHF / CUSIP: H1487J104 / Symbol: CB								
	06/04/18	87,000	11,459.58	06/07/16	11,018.47	---	441.11	Sale
	08/01/18	23,000	3,187.07	06/07/16	2,912.93	---	274.14	Sale
		Security total:	14,646.65		13,931.40	---	715.25	
MYLAN N V FOREIGN STOCK EUR / CUSIP: N59465109 / Symbol: MYL								
		2 transactions for 06/04/18						
		617,000	23,766.86	06/07/16	28,615.99	---	-4,849.13	Sale
		124,000	4,776.49	10/04/16	4,709.10	---	67.39	Sale
		741,000	28,543.35	Various	33,325.09	---	-4,781.74	Total of 2 transactions
	06/04/18							
		Totals:	903,266.97		736,596.86	282.85 W	166,952.96	



Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor
 MBSR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses

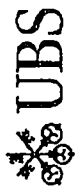
Estimated 2018 gains and losses for transactions with trade dates through 12/31/18 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

60/40 rule gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost base (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 03/09/18 2725 000 DX5358	FIFO	-5 000	Feb 21, 18	Feb 14, 18	11,800 00	17,750 00		-3,570 00 LT	
	FIFO	-5 000	Mar 02, 18	Feb 27, 18	25,600 00	3,400 00		-2,380 00 ST	13,320 00 LT 8,880 00 ST
CALL CBOE S&P 500 INDEX DUE 01/26/18 2675 000	FIFO	-7 000	Jan 26, 18	Jan 02, 18	21,700 00	138,509 00		-70,085 40 LT	
								-46,723 60 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2700 000		5 000	Dec 13, 17	Jan 03, 18	8,350 00	2,100 00			3,750 00 LT 2,500 00 ST
CALL CBOE S&P 500 INDEX DUE 01/12/18 2680 000		5 000	Nov 28, 17	Jan 12, 18	53,120 00	6,250 00			28,122 00 LT 18,748 00 ST
CALL CBOE S&P 500 INDEX DUE 01/26/18 2690 000		7 000	Nov 27, 17	Jan 02, 18	14,280 00	10,535 00			2,247 00 LT 1,498 00 ST
CALL CBOE S&P 500 INDEX DUE 01/26/18 2655 000		-7 000	Jan 02, 18	Nov 27, 17	8,610 00	14,770 00		-3,696 00 LT	
								-2,464 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2640 000		-5 000	Jan 12, 18	Nov 28, 17	7,600 00	59,370 00		-31,062 00 LT	
								-20,708 00 ST	
CALL CBOE S&P 500 INDEX DUE 01/19/18 2700 000		6 000	Dec 12, 17	Jan 03, 18	13,650 00	4,560 00			5,454 00 LT 3,636 00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

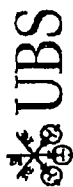
Your Financial Advisor
MBRS/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 02/23/18 2740.000	FIFO	7.000	Jan 11, 18	Jan 18, 18	54,845.00	32,550.00			13,377.00 LT 8,918.00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2730.000	FIFO	-7.000	Jan 18, 18	Jan 11, 18	37,695.00	60,760.00		-13,839.00 LT -9,226.00 ST	
	FIFO	-5.000	Feb 14, 18	Feb 12, 18	4,750.00	5,450.00		-420.00 LT -280.00 ST	
CALL CBOE S&P 500 INDEX DUE 01/12/18 2750.000		-5.000	Jan 03, 18	Dec 26, 17	225.00	485.00		-156.00 LT -104.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/09/18 2720.000		6.000	Dec 14, 17	Jan 03, 18	12,300.00	5,370.00			4,158.00 LT 2,772.00 ST
CALL CBOE S&P 500 INDEX DUE 02/09/18 2685.000		-6.000	Jan 03, 18	Dec 14, 17	14,280.00	26,250.00		-7,182.00 LT -4,788.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/09/18 2805.000	FIFO	7.000	Jan 11, 18	Jan 17, 18	14,140.00	5,880.00			4,956.00 LT 3,304.00 ST
CALL CBOE S&P 500 INDEX DUE 01/31/18 2705.000		7.000	Dec 14, 17	Jan 08, 18	35,525.00	7,350.00			16,905.00 LT 11,270.00 ST
CALL CBOE S&P 500 INDEX DUE 01/31/18 2675.000		-7.000	Jan 08, 18	Dec 14, 17	16,800.00	52,605.00		-21,483.00 LT -14,322.00 ST	
CALL CBOE S&P 500 INDEX DUE 01/19/18 2715.000		-6.000	Jan 03, 18	Dec 14, 17	2,850.00	8,610.00		-3,456.00 LT -2,304.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/09/18 2835.000	FIFO	-14.000	Jan 17, 18	Jan 11, 18	4,200.00	12,040.00		-4,704.00 LT -3,136.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

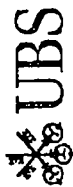
Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor.
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 02/09/18 2850.000	FIFO	7.000	Jan 11, 18	Jan 17, 18	3,500.00	1,225.00			1,365.00 LT 910.00 ST
CALL CBOE S&P 500 INDEX DUE 01/19/18 2720.000		5.000	Dec 27, 17	Jan 03, 18	5,000.00	1,275.00			2,235.00 LT 1,490.00 ST
CALL CBOE S&P 500 INDEX DUE 01/19/18 2705.000		-5.000	Jan 03, 18	Dec 27, 17	4,700.00	11,025.00		-3,795.00 LT -2,530.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/16/18 2670.000	FIFO	-7.000	Feb 09, 18	Feb 06, 18	28,000.00	7,000.00			12,600.00 LT 8,400.00 ST
CALL CBOE S&P 500 INDEX DUE 01/26/18 2710.000	FIFO	7.000	Jan 02, 18	Jan 26, 18	114,009.00	6,930.00			64,247.40 LT 42,831.60 ST
CALL CBOE S&P 500 INDEX DUE 03/16/18 2800.000	FIFO	-8.000	Feb 12, 18	Jan 29, 18	66,400.00	4,800.00			36,960.00 LT 24,640.00 ST
	FIFO	8.000	Feb 12, 18	Feb 16, 18	11,280.00	4,800.00			3,888.00 LT 2,592.00 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2790.000	FIFO	7.000	Jan 16, 18	Mar 01, 18	4,900.00	38,850.00		-20,370.00 LT -13,580.00 ST	
	FIFO	7.000	Mar 14, 18	Mar 20, 18	1,435.00	8,820.00		-4,431.00 LT -2,954.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/29/18 2780.000	FIFO	-7.000	Feb 09, 18	Jan 16, 18	43,120.00	6,300.00			22,092.00 LT 14,728.00 ST
	FIFO	-8.000	Feb 26, 18	Feb 23, 18	12,960.00	31,120.00		-10,896.00 LT -7,264.00 ST	
	FIFO	7.000	Mar 01, 18	Mar 08, 18	10,010.00	6,300.00			2,236.00 LT 1,484.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

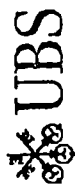
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 02/16/18 2700.000	FIFO	-7.000	Mar 09, 18	Mar 08, 18	10,010.00	17,710.00		-4,620.00 LT -3,080.00 ST	
CALL CBOE S&P 500 INDEX DUE 01/26/18 2730.000	FIFO	7.000	Feb 06, 18	Feb 09, 18	3,500.00	18,900.00		-9,240.00 LT -6,160.00 ST	
CALL CBOE S&P 500 INDEX DUE 01/26/18 2715.000	FIFO	5.000	Jan 03, 18	Jan 08, 18	12,625.00	4,450.00			4,905.00 LT 3,270.00 ST
CALL CBOE S&P 500 INDEX DUE 02/16/18 2870.000	FIFO	-5.000	Jan 08, 18	Jan 03, 18	8,050.00	18,200.00		-6,090.00 LT -4,060.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/16/18 2870.000	FIFO	-14.000	Jan 23, 18	Jan 17, 18	4,970.00	17,080.00		-7,266.00 LT -4,844.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/16/18 2890.000	FIFO	7.000	Jan 17, 18	Jan 23, 18	4,900.00	1,400.00			2,100.00 LT 1,400.00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2750.000	FIFO	-5.000	Feb 08, 18	Feb 01, 18	41,700.00	3,000.00			23,220.00 LT 15,480.00 ST
CALL CBOE S&P 500 INDEX DUE 02/16/18 2780.000	FIFO	7.000	Jan 04, 18	Jan 11, 18	13,405.00	5,635.00			4,662.00 LT 3,108.00 ST
	FIFO	5.000	Jan 22, 18	Feb 12, 18	250.00	26,500.00		-15,750.00 LT -10,500.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/16/18 2820.000	FIFO	-14.000	Jan 11, 18	Jan 04, 18	3,360.00	9,380.00		-3,612.00 LT -2,408.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

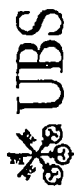
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 03/16/18 2770 000	FIFO	7 000	Jan 18, 18	Jan 23, 18	64,400.00	45,675 00			11,235.00 LT 7,490.00 ST
	FIFO	-7 000	Jan 26, 18	Jan 23, 18	64,400 00	70,000 00		-3,360 00 LT -2,240.00 ST	
	FIFO	-8 000	Feb 16, 18	Feb 12, 18	8,000 00	18,960.00		-6,576 00 LT -4,384 00 ST	
CALL CBOE S&P 500 INDEX DUE 03/16/18 2755.000	FIFO	-7.000	Jan 23, 18	Jan 18, 18	53,200.00	72,870 00		-11,802 00 LT -7,868 00 ST	
CALL CBOE S&P 500 INDEX DUE 02/16/18 2760 000	FIFO	-5 000	Feb 12, 18	Feb 06, 18	8,750.00	750 00			4,800.00 LT 3,200 00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2700 000	FIFO	7.000	Feb 13, 18	Feb 22, 18	17,150 00	9,100 00			4,830.00 LT 3,220 00 ST
CALL CBOE S&P 500 INDEX DUE 02/16/18 2770 000	FIFO	-5 000	Feb 06, 18	Jan 22, 18	30,500 00	7,500.00			13,800.00 LT 9,200.00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2880 000	FIFO	7 000	Jan 23, 18	Feb 26, 18	0 00	8,470 00		-5,082 00 LT -3,388 00 ST	
CALL CBOE S&P 500 INDEX DUE 02/23/18 2905.000	FIFO	-14 000	Feb 26, 18	Jan 23, 18	8,400 00	0.00			5,040.00 LT 3,360.00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2920 000	FIFO	7 000	Jan 23, 18	Feb 26, 18	0 00	2,730.00		-1,638.00 LT -1,092 00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

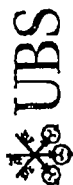
Your Financial Advisor:
 MBSR5A/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 03/16/18 2785.000	FIFO	7 000	Jan 23, 18	Jan 26, 18	61,600.00	56,455.00			3,087.00 LT
	FIFO	5 000	Feb 21, 18	Feb 26, 18	9,500.00	6,050.00			2,058.00 ST
									2,070.00 LT
									1,380.00 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2765.000	FIFO	7 000	Mar 08, 18	Mar 14, 18	16,100.00	14,000.00			1,260.00 LT
	FIFO	-7 000	Mar 20, 18	Mar 14, 18	16,100.00	4,340.00			840.00 ST
	FIFO	7 000	Mar 22, 18	Mar 26, 18	70.00	840.00		-462.00 LT -308.00 ST	7,056.00 LT
									4,704.00 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2795.000	FIFO	7 000	Mar 08, 18	Mar 09, 18	12,950.00	7,035.00			3,549.00 LT
	FIFO	-7 000	Mar 12, 18	Mar 09, 18	12,950.00	18,900.00		-3,570.00 LT -2,380.00 ST	2,366.00 ST
CALL CBOE S&P 500 INDEX DUE 02/16/18 2840.000	FIFO	7 000	Jan 04, 18	Jan 11, 18	2,450.00	1,050.00			840.00 LT
	FIFO	7 000	Jan 17, 18	Jan 23, 18	17,885.00	6,370.00			560.00 ST
									6,909.00 LT
									4,606.00 ST
CALL CBOE S&P 500 INDEX DUE 03/16/18 2740.000	FIFO	7 000	Jan 05, 18	Jan 16, 18	58,800.00	24,290.00			20,706.00 LT
	FIFO	-7 000	Jan 16, 18	Jan 05, 18	28,210.00	64,330.00		-21,672.00 LT -14,448.00 ST	13,804.00 ST
CALL CBOE S&P 500 INDEX DUE 02/09/18 2745.000	FIFO	5 000	Jan 08, 18	Feb 01, 18	40,000.00	11,750.00			16,950.00 LT
									11,300.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

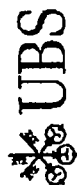
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 02/09/18 2730.000	FIFO	7 000	Jan 08, 18	Feb 06, 18	4,200.00	25,095.00		-12,537.00 LT	
								-8,358.00 ST	
	FIFO	-5 000	Feb 01, 18	Jan 08, 18	16,250.00	47,000.00		-18,450.00 LT	
								-12,300.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/09/18 2700.000	FIFO	-7 000	Feb 06, 18	Jan 08, 18	41,160.00	10,500.00			18,396.00 LT
									12,264.00 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2810.000	FIFO	7 000	Mar 09, 18	Mar 12, 18	14,070.00	9,275.00			2,877.00 LT
									1,918.00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2760.000	FIFO	5.000	Feb 12, 18	Feb 14, 18	2,150.00	2,250.00		-60.00 LT	
								-40.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2730.000	FIFO	-7.000	Feb 23, 18	Jan 26, 18	95,970.00	30,870.00			39,060.00 LT
									26,040.00 ST
CALL CBOE S&P 500 INDEX DUE 03/23/18 2760.000	FIFO	7.000	Jan 26, 18	Feb 23, 18	18,270.00	78,400.00		-36,078.00 LT	
								-24,052.00 ST	
	FIFO	7 000	Mar 15, 18	Mar 26, 18	0.00	11,900.00		-7,140.00 LT	
								-4,760.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/15/18 2810.000	FIFO	8.000	Jan 29, 18	Feb 12, 18	3,680.00	60,640.00		-34,176.00 LT	
								-22,784.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/23/18 2770.000	FIFO	5 000	Feb 01, 18	Feb 08, 18	2,000.00	33,500.00		-18,900.00 LT	
								-12,600.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/28/18 2745.000	FIFO	5.000	Feb 08, 18	Feb 27, 18	11,500.00	4,500.00			4,200.00 LT
									2,800.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

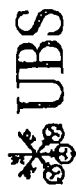
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 02/28/18 2715 000	FIFO	-5 000	Feb 27, 18	Feb 08, 18	7,000.00	24,200.00		-10,320.00 LT -6,880.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2750 000	FIFO	-5 000	Mar 15, 18	Mar 02, 18	5,600.00	11,400.00		-3,480.00 LT -2,320.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/29/18 2740 000	FIFO	-7 000	Mar 01, 18	Feb 09, 18	10,500.00	13,860.00		-2,016.00 LT -1,344.00 ST	
	FIFO	-7 000	Mar 20, 18	Mar 19, 18	8,890.00	9,975.00		-651.00 LT -434.00 ST	
CALL CBOE S&P 500 INDEX DUE 02/23/18 2690 000	FIFO	7.000	Feb 09, 18	Feb 13, 18	11,060.00	10,220.00			504.00 LT 336.00 ST
CALL CBOE S&P 500 INDEX DUE 02/23/18 2660 000	FIFO	-7.000	Feb 22, 18	Feb 09, 18	17,010.00	42,000.00		-14,994.00 LT -9,996.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2770 000	FIFO	5.000	Mar 09, 18	Mar 15, 18	6,200.00	15,950.00		-5,850.00 LT -3,900.00 ST	
	FIFO	2.000	Mar 14, 18	Mar 15, 18	2,480.00	2,700.00		-132.00 LT -88.00 ST	
	FIFO	5.000	Mar 14, 18	Mar 15, 18	6,250.00	6,750.00		-300.00 LT -200.00 ST	
	FIFO	-7.000	Mar 16, 18	Mar 15, 18	8,680.00	7,630.00			630.00 LT 420.00 ST
CALL CBOE S&P 500 INDEX DUE 03/09/18 2760 000	FIFO	5 000	Feb 14, 18	Feb 21, 18	8,400.00	6,300.00			1,260.00 LT 840.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

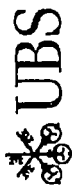
Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 03/23/18 2810 000	FIFO	8 000	Feb 16, 18	Feb 23, 18	5,120.00	12,800.00		-4,608.00 LT -3,072.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2775 000	FIFO	-8 000	Feb 23, 18	Feb 16, 18	21,760.00	11,760.00			6,000.00 LT 4,000.00 ST
CALL CBOE S&P 500 INDEX DUE 03/16/18 2745 000	FIFO	-5 000	Feb 26, 18	Feb 21, 18	14,350.00	20,650.00		-3,780.00 LT -2,520.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/29/18 2820 000	FIFO	8,000	Feb 23, 18	Feb 26, 18	16,240.00	5,520.00			6,432.00 LT 4,288.00 ST
	FIFO	-7 000	Mar 13, 18	Mar 12, 18	11,410.00	9,240.00			1,302.00 LT 868.00 ST
CALL CBOE S&P 500 INDEX DUE 03/23/18 2780 000	FIFO	7 000	Feb 23, 18	Mar 14, 18	7,000.00	12,355.00		-3,213.00 LT -2,142.00 ST	
	FIFO	-7 000	Mar 15, 18	Mar 14, 18	7,000.00	6,335.00			399.00 LT 266.00 ST
CALL CBOE S&P 500 INDEX DUE 03/23/18 2745 000	FIFO	-7,000	Mar 26, 18	Feb 23, 18	24,360.00	0.00			14,616.00 LT 9,744.00 ST
CALL CBOE S&P 500 INDEX DUE 03/23/18 2805.000	FIFO	5 000	Feb 26, 18	Mar 02, 18	1,500.00	8,350.00		-4,110.00 LT -2,740.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2765.000	FIFO	-5 000	Mar 02, 18	Feb 26, 18	17,475.00	4,000.00			8,085.00 LT 5,390.00 ST
CALL CBOE S&P 500 INDEX DUE 03/09/18 2755.000	FIFO	5,000	Feb 27, 18	Mar 05, 18	850.00	15,350.00		-8,700.00 LT -5,800.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

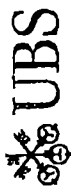
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 03/29/18 2730 000	FIFO	-7.000	Mar 19, 18	Mar 01, 18	17,150.00	12,040.00			3,066.00 LT 2,044.00 ST
CALL CBOE S&P 500 INDEX DUE 03/09/18 2720 000	FIFO	-5.000	Mar 06, 18	Mar 02, 18	4,000.00	10,154.95		-3,692.97 LT -2,461.98 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2790 000	FIFO	5.000	Mar 02, 18	Mar 09, 18	10,550.00	2,100.00			5,070.00 LT 3,380.00 ST
	FIFO	-5.000	Mar 12, 18	Mar 09, 18	10,550.00	11,800.00		-750.00 LT -500.00 ST	
	FIFO	7.000	Mar 14, 18	Mar 16, 18	3,430.00	5,250.00		-1,092.00 LT -728.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/09/18 2745 000	FIFO	5.000	Mar 05, 18	Mar 06, 18	3,995.05	1,300.00			1,617.03 LT 1,078.02 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2745.000	FIFO	7.000	Mar 14, 18	Mar 19, 18	7,560.00	24,150.00		-9,954.00 LT -6,636.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/23/18 2815 000	FIFO	5.000	Mar 09, 18	Mar 12, 18	6,450.00	5,900.00			330.00 LT 220.00 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2840 000	FIFO	7.000	Mar 12, 18	Mar 13, 18	5,460.00	7,280.00		-1,092.00 LT -728.00 ST	
CALL CBOE S&P 500 INDEX DUE 03/29/18 2750 000	FIFO	-7.000	Mar 26, 18	Mar 20, 18	7,210.00	175.00			4,221.00 LT 2,814.00 ST
CALL CBOE S&P 500 INDEX DUE 03/29/18 2755 000	FIFO	7.000	Mar 19, 18	Mar 20, 18	6,090.00	5,320.00			462.00 LT 308.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: VB 05528 95

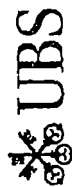
Your Financial Advisor:
MB/SWSA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 03/29/18 2775.000	FIFO	7 000	Mar 20, 18	Mar 22, 18	525 00	2,730 00		-1,323.00 LT -882.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/06/18 2750.000	FIFO	-7 000	Mar 23, 18	Mar 22, 18	4,620.00	770 00			2,310 00 LT 1,540.00 ST
CALL CBOE S&P 500 INDEX DUE 04/06/18 2800 000	FIFO	7 000	Feb 22, 18	Feb 27, 18	22,750.00	11,270 00			6,888 00 LT 4,592.00 ST
CALL CBOE S&P 500 INDEX DUE 04/06/18 2760 000	FIFO	-7 000	Feb 27, 18	Feb 22, 18	21,420.00	38,220 00		-10,080 00 LT -6,720.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/04/18 2825.000	FIFO	7 000	Mar 22, 18	Mar 23, 18	630.00	3,430 00		-1,680 00 LT -1,120 00 ST	
CALL CBOE S&P 500 INDEX DUE 05/04/18 2865 000	FIFO	7 000	Mar 09, 18	Mar 20, 18	3,500 00	10,780 00		-4,368 00 LT -2,912 00 ST	
CALL CBOE S&P 500 INDEX DUE 05/04/18 2825.000	FIFO	-7 000	Mar 20, 18	Mar 09, 18	19,600 00	7,280 00			7,392 00 LT 4,928 00 ST
CALL CBOE S&P 500 INDEX DUE 06/15/18 2775 000	FIFO	7 000	Apr 24, 18	Apr 30, 18	7,560 00	4,900 00			1,596 00 LT 1,064 00 ST
CALL CBOE S&P 500 INDEX DUE 06/15/18 2845 000	FIFO	7 000	May 02, 18	May 09, 18	4,620 00	3,500 00			672 00 LT 448 00 ST
CALL CBOE S&P 500 INDEX DUE 04/06/18 2845 000	FIFO	8 000	Feb 26, 18	Mar 09, 18	6,800 00	12,720 00		-3,552.00 LT -2,368 00 ST	
CALL CBOE S&P 500 INDEX DUE 04/06/18 2805.000	FIFO	-8 000	Mar 09, 18	Feb 26, 18	24,240 00	15,760.00			5,088.00 LT 3,392 00 ST

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Investment Account

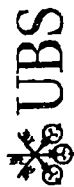
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 04/13/18 2820.000	FIFO	7.000	Feb 27, 18	Mar 05, 18	5,880.00	19,390.00		-8,106.00 LT -5,404.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/20/18 2870.000	FIFO	8.000	Mar 09, 18	Mar 16, 18	2,800.00	7,360.00		-2,736.00 LT -1,824.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/13/18 2780.000	FIFO	-7.000	Mar 05, 18	Feb 27, 18	32,830.00	12,530.00			12,180.00 LT 8,120.00 ST
CALL CBOE S&P 500 INDEX DUE 05/18/18 2720.000	FIFO	-7.000	Apr 16, 18	Apr 12, 18	18,900.00	14,210.00			2,814.00 LT 1,876.00 ST
CALL CBOE S&P 500 INDEX DUE 04/20/18 2830.000	FIFO	7.000	Mar 05, 18	Mar 09, 18	12,600.00	6,720.00			3,528.00 LT 2,352.00 ST
	FIFO	-8.000	Mar 16, 18	Mar 09, 18	15,120.00	6,800.00			4,992.00 LT 3,328.00 ST
CALL CBOE S&P 500 INDEX DUE 04/20/18 2790.000	FIFO	-7.000	Mar 09, 18	Mar 05, 18	13,090.00	24,290.00		-6,720.00 LT -4,480.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2780.000	FIFO	8.000	Apr 18, 18	Apr 25, 18	800.00	8,080.00		-4,368.00 LT -2,912.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2885.000	FIFO	7.000	Mar 15, 18	Mar 27, 18	2,100.00	7,000.00		-2,940.00 LT -1,960.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2840.000	FIFO	-7.000	Mar 27, 18	Mar 15, 18	14,420.00	4,620.00			5,880.00 LT 3,920.00 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2870.000	FIFO	8.000	Mar 16, 18	Mar 22, 18	960.00	4,400.00		-2,064.00 LT -1,376.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: VB 05528 95

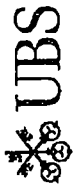
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 04/27/18 2830.000	FIFO	-8.000	Mar 22, 18	Mar 16, 18	9,680.00	2,240.00			4,464.00 LT 2,976.00 ST
CALL CBOE S&P 500 INDEX DUE 05/11/18 2865.000	FIFO	7.000	Mar 20, 18	Mar 29, 18	1,750.00	4,690.00		-1,764.00 LT -1,176.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/11/18 2825.000	FIFO	-7.000	Mar 29, 18	Mar 20, 18	9,170.00	3,360.00			3,486.00 LT 2,324.00 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2755.000	FIFO	8.000	Apr 11, 18	Apr 17, 18	4,400.00	4,640.00		-144.00 LT -96.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/27/18 2840.000	FIFO	8.000	Mar 22, 18	Mar 28, 18	1,200.00	1,720.00		-312.00 LT -208.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/27/18 2835.000	FIFO	-8.000	Mar 28, 18	Mar 22, 18	1,920.00	1,360.00			336.00 LT 224.00 ST
CALL CBOE S&P 500 INDEX DUE 05/18/18 2765.000	FIFO	7.000	Apr 12, 18	Apr 16, 18	5,600.00	9,590.00		-2,394.00 LT -1,596.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/29/18 2800.000	FIFO	7.000	May 09, 18	May 15, 18	5,320.00	4,900.00			252.00 LT 168.00 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2715.000	FIFO	8.000	Apr 12, 18	Apr 13, 18	9,040.00	11,880.00		-1,704.00 LT -1,136.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/20/18 2745.000	FIFO	7.000	Mar 23, 18	Apr 02, 18	1,540.00	3,500.00		-1,176.00 LT -784.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/20/18 2710.000	FIFO	-7.000	Apr 02, 18	Mar 23, 18	5,950.00	3,850.00			1,260.00 LT 840.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:

MB/SR/SA/GC TEAM 844-229-0886

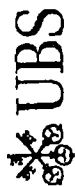
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 06/15/18 2820.000	FIFO	7.000	Mar 26, 18	Apr 13, 18	6,300.00	7,292.53		-595.52 LT -397.01 ST	
CALL CBOE S&P 500 INDEX DUE 06/15/18 2770.000	FIFO	-7.000	Apr 13, 18	Mar 26, 18	13,885.13	13,650.00			141.08 LT 94.05 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2760.000	FIFO	8.000	Mar 28, 18	Apr 06, 18	1,760.00	5,920.00		-2,496.00 LT -1,664.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/27/18 2730.000	FIFO	-8.000	Apr 17, 18	Apr 12, 18	8,360.00	10,400.00		-1,224.00 LT -816.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2855.000	FIFO	7.000	Mar 27, 18	Apr 03, 18	1,260.00	3,500.00		-1,344.00 LT -896.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2845.000	FIFO	-7.000	Apr 03, 18	Mar 27, 18	4,200.00	1,750.00			1,470.00 LT 980.00 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2720.000	FIFO	-8.000	Apr 03, 18	Mar 28, 18	11,520.00	8,400.00			1,872.00 LT 1,248.00 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2725.000	FIFO	8.000	Apr 11, 18	Apr 12, 18	9,400.00	9,440.00		-24.00 LT -16.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/11/18 2840.000	FIFO	7.000	Mar 29, 18	Apr 17, 18	1,155.00	2,625.00		-882.00 LT -588.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/11/18 2830.000	FIFO	-7.000	Apr 17, 18	Mar 29, 18	3,150.00	1,470.00			1,008.00 LT 672.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

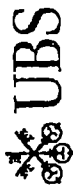
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 04/27/18 2710.000	FIFO	-8.000	Apr 04, 18	Apr 03, 18	10,080.00	8,000.00			1,248.00 LT 832.00 ST
CALL CBOE S&P 500 INDEX DUE 05/04/18 2705.000	FIFO	-8.000	Apr 16, 18	Apr 13, 18	16,080.00	15,360.00			432.00 LT 288.00 ST
CALL CBOE S&P 500 INDEX DUE 05/04/18 2730.000	FIFO	8.000	Apr 13, 18	Apr 16, 18	8,000.00	9,600.00		-960.00 LT -640.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/11/18 2740.000	FIFO	8.000	Apr 16, 18	Apr 18, 18	15,600.00	8,920.00			4,008.00 LT 2,672.00 ST
CALL CBOE S&P 500 INDEX DUE 06/29/18 2835.000	FIFO	7.000	Apr 13, 18	Apr 25, 18	2,800.00	7,140.00		-2,604.00 LT -1,736.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/29/18 2785.000	FIFO	-7.000	Apr 25, 18	Apr 13, 18	14,700.00	6,300.00			5,040.00 LT 3,360.00 ST
CALL CBOE S&P 500 INDEX DUE 05/04/18 2710.000	FIFO	7.000	Apr 02, 18	Apr 06, 18	13,160.00	7,000.00			3,696.00 LT 2,464.00 ST
CALL CBOE S&P 500 INDEX DUE 05/04/18 2675.000	FIFO	-7.000	Apr 06, 18	Apr 02, 18	12,040.00	23,100.00		-6,636.00 LT -4,424.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/25/18 2800.000	FIFO	7.000	Apr 03, 18	Apr 09, 18	5,075.00	4,340.00			441.00 LT 294.00 ST
CALL CBOE S&P 500 INDEX DUE 05/25/18 2750.000	FIFO	-7.000	Apr 09, 18	Apr 03, 18	8,750.00	10,850.00		-1,260.00 LT -840.00 ST	
	FIFO	-7.000	Apr 24, 18	Apr 17, 18	15,680.00	3,500.00			7,308.00 LT 4,872.00 ST

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Investment Account

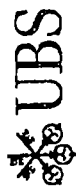
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: VB 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 06/01/18 2730 000	FIFO	-7 000	Apr 19, 18	Apr 16, 18	17,115 00	20,650 00		-2,121 00 LT -1,414 00 ST	
CALL CBOE S&P 500 INDEX DUE 04/27/18 2700 000	FIFO	-8 000	Apr 06, 18	Apr 04, 18	9,520 00	8,080 00			864 00 LT 576 00 ST
CALL CBOE S&P 500 INDEX DUE 05/11/18 2715 000	FIFO	-8 000	Apr 18, 18	Apr 16, 18	15,760 00	25,200 00		-5,664 00 LT -3,776 00 ST	
CALL CBOE S&P 500 INDEX DUE 06/15/18 2815 000	FIFO	7 000	Apr 17, 18	May 01, 18	1,400 00	8,050 00		-3,990 00 LT -2,660 00 ST	
CALL CBOE S&P 500 INDEX DUE 06/01/18 2775 000	FIFO	7 000	Apr 16, 18	Apr 19, 18	9,100 00	8,050 00			630 00 LT 420 00 ST
CALL CBOE S&P 500 INDEX DUE 06/15/18 2765 000	FIFO	-7 000	May 01, 18	Apr 17, 18	17,080 00	4,200 00			7,728 00 LT 5,152 00 ST
CALL CBOE S&P 500 INDEX DUE 05/04/18 2740 000	FIFO	7 000	Apr 06, 18	Apr 12, 18	9,240 00	7,420 00			1,092 00 LT 728 00 ST
CALL CBOE S&P 500 INDEX DUE 05/04/18 2700 000	FIFO	-7 000	Apr 12, 18	Apr 06, 18	15,540 00	18,900 00		-2,016 00 LT -1,344 00 ST	
CALL CBOE S&P 500 INDEX DUE 05/25/18 2785 000	FIFO	7 000	Apr 17, 18	Apr 24, 18	1,575 00	8,120 00		-3,927 00 LT -2,618 00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2745 000	FIFO	-8 000	Apr 25, 18	Apr 18, 18	16,160 00	2,720 00			8,064 00 LT 5,376 00 ST

continued next page



Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor.

MB/SR/SAGC TEAM 844-229-0886

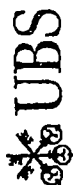
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 06/08/18 2800 000	FIFO	7.000	Apr 19, 18	May 02, 18	1,260.00	7,280.00		-3,612.00 LT -2,408.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/08/18 2755.000	FIFO	-7.000	May 02, 18	Apr 19, 18	15,890.00	3,640.00			7,350.00 LT 4,900.00 ST
CALL CBOE S&P 500 INDEX DUE 04/27/18 2740 000	FIFO	8.000	Apr 06, 18	Apr 11, 18	6,800.00	3,040.00			2,256.00 LT 1,504.00 ST
	FIFO	-8.000	Apr 12, 18	Apr 11, 18	6,800.00	6,560.00			144.00 LT 96.00 ST
CALL CBOE S&P 500 INDEX DUE 06/29/18 2750.000	FIFO	-7.000	May 11, 18	May 01, 18	8,890.00	24,850.00		-9,576.00 LT -6,384.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/29/18 2825 000	FIFO	8.000	May 11, 18	May 21, 18	4,000.00	6,160.00		-1,296.00 LT -864.00 ST	
CALL CBOE S&P 500 INDEX DUE 04/27/18 2690 000	FIFO	-8.000	Apr 13, 18	Apr 06, 18	10,000.00	16,320.00		-3,792.00 LT -2,528.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/15/18 2735 000	FIFO	-7.000	Apr 30, 18	Apr 24, 18	9,940.00	15,680.00		-3,444.00 LT -2,296.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/18/18 2755 000	FIFO	8.000	Apr 25, 18	Apr 26, 18	3,120.00	1,840.00			768.00 LT 512.00 ST
CALL CBOE S&P 500 INDEX DUE 05/18/18 2740.000	FIFO	-8.000	May 02, 18	Apr 25, 18	3,040.00	1,600.00			864.00 LT 576.00 ST
CALL CBOE S&P 500 INDEX DUE 05/18/18 2760 000	FIFO	8.000	Apr 26, 18	May 02, 18	800.00	2,560.00		-1,056.00 LT -704.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

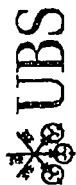
Your Financial Advisor:
 MB/5R/SAVGC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 06/22/18 2805 000	FIFO	7 000	Apr 30, 18	May 04, 18	1,785.00	5,530.00		-2,247.00 LT -1,498.00 ST	
	FIFO	7 000	Jun 13, 18	Jun 21, 18	70.00	6,440.00		-3,822.00 LT -2,548.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/22/18 2760 000	FIFO	-7 000	May 04, 18	Apr 30, 18	12,460.00	4,900.00			4,536.00 LT 3,024.00 ST
	FIFO	-8 000	May 11, 18	May 07, 18	11,000.00	18,440.00		-4,464.00 LT -2,976.00 ST	
CALL CBOE S&P 500 INDEX DUE 05/31/18 2795 000	FIFO	7 000	Apr 09, 18	Apr 17, 18	7,140.00	6,300.00			504.00 LT 336.00 ST
	FIFO	-7 000	Apr 17, 18	Apr 09, 18	12,950.00	17,150.00		-2,520.00 LT -1,680.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/29/18 2790 000	FIFO	7 000	May 01, 18	May 11, 18	12,950.00	4,340.00			5,166.00 LT 3,444.00 ST
	FIFO	8 000	May 02, 18	May 07, 18	4,000.00	2,640.00			816.00 LT 544.00 ST
CALL CBOE S&P 500 INDEX DUE 05/25/18 2725.000	FIFO	-8 000	May 03, 18	May 02, 18	4,640.00	2,960.00			1,008.00 LT 672.00 ST
	FIFO	-8 000	May 07, 18	May 03, 18	3,360.00	8,600.00		-3,144.00 LT -2,096.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/22/18 2800 000	FIFO	8 000	May 07, 18	May 11, 18	8,160.00	4,800.00			2,016.00 LT 1,344.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

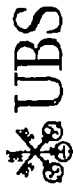
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 06/29/18 2770.000	FIFO	-7.000	May 15, 18	May 09, 18	9,100.00	10,500.00		-840.00 LT -560.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/15/18 2750.000	FIFO	-7.000	May 09, 18	May 02, 18	5,810.00	8,470.00		-1,596.00 LT -1,064.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/29/18 2780.000	FIFO	-8.000	May 21, 18	May 11, 18	14,920.00	12,320.00			1,560.00 LT 1,040.00 ST
CALL CBOE S&P 500 INDEX DUE 06/22/18 2835.000	FIFO	7.000	Jun 13, 18	Jun 21, 18	35.00	1,645.00		-966.00 LT -644.00 ST	
CALL CBOE S&P 500 INDEX DUE 06/22/18 2820.000	FIFO	-14.000	Jun 21, 18	Jun 13, 18	6,580.00	70.00			3,906.00 LT 2,604.00 ST
CALL CBOE S&P 500 INDEX DUE 07/31/18 2775.000	FIFO	-7.000	May 14, 18	May 10, 18	23,870.00	23,100.00			462.00 LT 308.00 ST
CALL CBOE S&P 500 INDEX DUE 07/20/18 2805.000	FIFO	7.000	Apr 25, 18	May 03, 18	3,850.00	7,105.00		-1,953.00 LT -1,302.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/20/18 2755.000	FIFO	-7.000	May 03, 18	Apr 25, 18	14,630.00	8,750.00			3,276.00 LT 2,184.00 ST
CALL CBOE S&P 500 INDEX DUE 07/31/18 2790.000	FIFO	7.000	May 03, 18	May 08, 18	11,550.00	6,440.00			3,528.00 LT 2,352.00 ST
CALL CBOE S&P 500 INDEX DUE 07/31/18 2790.000	FIFO	-7.000	May 23, 18	May 14, 18	18,760.00	13,020.00			3,066.00 LT 2,044.00 ST 3,444.00 LT 2,296.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

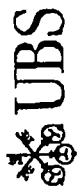
Your Financial Advisor:
MB/SR/SAGC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 07/31/18 2740 000	FIFO	-7 000	May 08, 18	May 03, 18	13,230.00	22,750 00		-5,712 00 LT -3,808.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/06/18 2785.000	FIFO	7 000	May 04, 18	May 09, 18	10,640 00	4,585 00			3,633.00 LT 2,422 00 ST
	FIFO	-7 000	May 22, 18	May 14, 18	14,980.00	10,570 00			2,646.00 LT 1,764.00 ST
CALL CBOE S&P 500 INDEX DUE 07/06/18 2745 000	FIFO	-7 000	May 09, 18	May 04, 18	9,555 00	20,510.00		-6,573 00 LT -4,382.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/31/18 2850 000	FIFO	7 000	Jun 06, 18	Jun 12, 18	8,610 00	4,690 00			2,352.00 LT 1,568 00 ST
CALL CBOE S&P 500 INDEX DUE 07/31/18 2800 000	FIFO	7 000	May 08, 18	May 10, 18	17,430.00	10,185 00			4,347 00 LT 2,898 00 ST
	FIFO	-7 000	Jun 12, 18	Jun 06, 18	14,490 00	23,100 00		-5,166 00 LT -3,444 00 ST	
CALL CBOE S&P 500 INDEX DUE 07/31/18 2750 000	FIFO	-7 000	May 10, 18	May 08, 18	20,020 00	32,200 00		-7,308 00 LT -4,872 00 ST	
CALL CBOE S&P 500 INDEX DUE 07/06/18 2810 000	FIFO	7 000	May 09, 18	May 14, 18	9,520.00	6,685 00			1,701 00 LT 1,134 00 ST
CALL CBOE S&P 500 INDEX DUE 07/06/18 2765.000	FIFO	-7 000	May 14, 18	May 09, 18	14,980 00	21,210 00		-3,738 00 LT -2,492.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:
MBS/SAVC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 07/31/18 2830 000	FIFO	7.000	May 10, 18	May 14, 18	9,800.00	11,200.00		-840.00 LT -560.00 ST	
	FIFO	7.000	May 23, 18	Jun 06, 18	7,560.00	5,810.00			1,050.00 LT 700.00 ST
CALL CBOE S&P 500 INDEX DUE 07/06/18 2825.000	FIFO	7.000	May 11, 18	May 17, 18	4,550.00	7,980.00		-2,058.00 LT -1,372.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/06/18 2780.000	FIFO	-7.000	May 17, 18	May 11, 18	17,430.00	12,950.00			2,688.00 LT 1,792.00 ST
	FIFO	-8.000	Jun 05, 18	May 21, 18	14,240.00	11,200.00			1,824.00 LT 1,216.00 ST
CALL CBOE S&P 500 INDEX DUE 07/06/18 2830 000	FIFO	7.000	May 14, 18	May 22, 18	3,325.00	6,370.00		-1,827.00 LT -1,218.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/31/18 2845 000	FIFO	7.000	May 14, 18	May 23, 18	4,200.00	7,665.00		-2,079.00 LT -1,386.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/20/18 2830 000	FIFO	7.000	May 15, 18	May 24, 18	3,570.00	5,530.00		-1,176.00 LT -784.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/20/18 2790 000	FIFO	-7.000	May 24, 18	May 15, 18	11,760.00	9,660.00			1,260.00 LT 840.00 ST
CALL CBOE S&P 500 INDEX DUE 07/13/18 2825.000	FIFO	7.000	May 17, 18	May 31, 18	2,310.00	5,880.00		-2,142.00 LT -1,428.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/27/18 2855 000	FIFO	7.000	Jun 07, 18	Jun 21, 18	1,960.00	5,670.00		-2,226.00 LT -1,484.00 ST	

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Investment Account

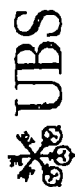
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 07/27/18 2810 000	FIFO	-7.000	Jun 21, 18	Jun 07, 18	15,260.00	7,490.00			4,662.00 LT 3,108.00 ST
CALL CBOE S&P 500 INDEX DUE 07/13/18 2785 000	FIFO	-7.000	May 31, 18	May 17, 18	13,720.00	6,790.00			4,158.00 LT 2,772.00 ST
CALL CBOE S&P 500 INDEX DUE 07/06/18 2820 000	FIFO	8.000	May 21, 18	Jun 05, 18	3,120.00	5,600.00		-1,488.00 LT -992.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/13/18 2830 000	FIFO	7.000	May 22, 18	May 29, 18	1,190.00	4,550.00		-2,016.00 LT -1,344.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/13/18 2790 000	FIFO	-7.000	May 29, 18	May 22, 18	11,410.00	3,850.00			4,536.00 LT 3,024.00 ST
CALL CBOE S&P 500 INDEX DUE 07/11/18 2785 000	FIFO	-7.000	Jun 06, 18	May 23, 18	14,210.00	19,320.00		-3,066.00 LT -2,044.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/27/18 2830 000	FIFO	7.000	May 24, 18	Jun 07, 18	9,940.00	5,040.00			2,940.00 LT 1,960.00 ST
CALL CBOE S&P 500 INDEX DUE 07/27/18 2790 000	FIFO	-7.000	Jun 07, 18	May 24, 18	11,970.00	22,190.00		-6,132.00 LT -4,088.00 ST	
CALL CBOE S&P 500 INDEX DUE 07/20/18 2770.000	FIFO	-7.000	Jun 04, 18	May 29, 18	8,505.00	18,795.00		-6,174.00 LT -4,116.00 ST	
CALL CBOE S&P 500 INDEX DUE 08/03/18 2840.000	FIFO	7.000	May 31, 18	Jun 07, 18	8,400.00	4,340.00			2,436.00 LT 1,624.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

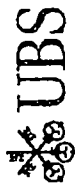
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CALL CBOE S&P 500 INDEX DUE 08/03/18 2795.000	FIFO	-7 000	Jun 07, 18	May 31, 18	10,990 00	20,405 00		-5,649 00 LT -3,766 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/19/18 2375 000		-7 000	Jan 22, 18	Dec 18, 17	875.00	70 01			482.99 LT 322.00 ST
PUT CBOE S&P 500 INDEX DUE 03/16/18 2575 000	FIFO	7 000	Jan 18, 18	Jan 23, 18	3,500.00	5,250 00		-1,050.00 LT -700 00 ST	
PUT CBOE S&P 500 INDEX DUE 02/23/18 2700.000	FIFO	-7,000	Jan 18, 18	Jan 11, 18	9,135.00	6,580 00			1,533 00 LT 1,022.00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2500 000		5 000	Dec 07, 17	Jan 05, 18	200 00	1,275.00		-645 00 LT -430 00 ST	
PUT CBOE S&P 500 INDEX DUE 03/16/18 2600.000	FIFO	7,000	Jan 23, 18	Jan 26, 18	3,780 00	4,200 00		-252 00 LT -168.00 ST	
	FIFO	5 000	Feb 21, 18	Feb 26, 18	2,500 00	5,400.00		-1,740 00 LT -1,160.00 ST	
PUT CBOE S&P 500 INDEX DUE 01/19/18 2445 000		-5 000	Jan 05, 18	Dec 07, 17	2,250 00	1,500 00			450 00 LT 300 00 ST
PUT CBOE S&P 500 INDEX DUE 02/16/18 2600 000	FIFO	7,000	Jan 04, 18	Jan 11, 18	2,940 00	4,550.00		-966 00 LT -644 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2520 000		5 000	Dec 19, 17	Jan 16, 18	0 00	925 00		-555 00 LT -370 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/26/18 2350.000		7 000	Nov 27, 17	Jan 02, 18	630 00	1,225.00		-357.00 LT -238 00 ST	

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Investment Account

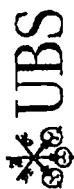
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 01/26/18 2490.000		-7.000	Jan 02, 18	Nov 27, 17	9,170.00	8,155.00			609.00 LT 406.00 ST
PUT CBOE S&P 500 INDEX DUE 02/23/18 2625.000	FIFO	7.000	Jan 11, 18	Jan 18, 18	3,500.00	4,480.00		-588.00 LT -392.00 ST	
	FIFO	5.000	Feb 01, 18	Feb 08, 18	40,000.00	1,750.00			22,950.00 LT 15,300.00 ST
PUT CBOE S&P 500 INDEX DUE 02/16/18 2650.000	FIFO	-7.000	Jan 11, 18	Jan 04, 18	7,700.00	4,655.00			1,827.00 LT 1,218.00 ST
	FIFO	5.000	Jan 22, 18	Feb 12, 18	11,500.00	1,600.00			5,940.00 LT 3,960.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2715.000	FIFO	-7.000	Mar 23, 18	Mar 07, 18	21,700.00	88,718.00		-40,210.80 LT -26,807.20 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2600.000		10.000	Dec 13, 17	Jan 05, 18	250.00	3,750.00		-2,100.00 LT -1,400.00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2590.000		-5.000	Jan 16, 18	Dec 12, 17	3,150.00	1,475.01			1,004.99 LT 670.00 ST
PUT CBOE S&P 500 INDEX DUE 02/09/18 2435.000		6.000	Dec 14, 17	Jan 03, 18	1,200.00	2,460.00		-756.00 LT -504.00 ST	
PUT CBOE S&P 500 INDEX DUE 02/09/18 2570.000		-6.000	Jan 03, 18	Dec 14, 17	7,980.00	4,440.00			2,124.00 LT 1,416.00 ST
PUT CBOE S&P 500 INDEX DUE 01/31/18 2425.000		7.000	Dec 14, 17	Jan 08, 18	385.00	1,995.00		-966.00 LT -644.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

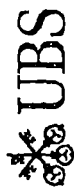
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 01/31/18 2550.000		-7.000	Jan 08, 18	Dec 14, 17	6,370.00	2,555.00			2,289.00 LT 1,526.00 ST
PUT CBOE S&P 500 INDEX DUE 02/09/18 2600.000	RFO	5.000	Jan 08, 18	Jan 26, 18	330.00	2,000.00		-1,002.00 LT -668.00 ST	
PUT CBOE S&P 500 INDEX DUE 01/12/18 2655.000		-5.000	Jan 05, 18	Dec 19, 17	4,550.00	100.00			2,670.00 LT 1,780.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2590.000	RFO	7.000	Jan 16, 18	Feb 09, 18	83,300.00	7,700.00			45,360.00 LT 30,240.00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2580.000		5.000	Dec 27, 17	Jan 03, 18	650.00	2,450.00		-1,080.00 LT -720.00 ST	
PUT CBOE S&P 500 INDEX DUE 01/19/18 2645.000		-5.000	Jan 03, 18	Dec 27, 17	4,700.00	650.00			2,430.00 LT 1,620.00 ST
PUT CBOE S&P 500 INDEX DUE 01/12/18 2545.000		-5.000	Jan 05, 18	Dec 28, 17	950.00	-37.50			592.50 LT 395.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2715.000	FIFO	-7.000	Feb 09, 18	Jan 16, 18	17,430.00	135,800.00		-71,022.00 LT -47,348.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/29/18 2665.000	RFO	-7.000	Mar 14, 18	Mar 01, 18	28,000.00	5,530.00			13,482.00 LT 8,988.00 ST
PUT CBOE S&P 500 INDEX DUE 01/26/18 2535.000	RFO	7.000	Jan 02, 18	Jan 29, 18	0.00	2,030.00		-1,218.00 LT -812.00 ST	
PUT CBOE S&P 500 INDEX DUE 01/26/18 2635.000	RFO	-7.000	Jan 29, 18	Jan 02, 18	5,600.00	0.00			3,360.00 LT 2,240.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

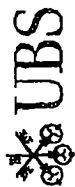
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 01/26/18 2590 000	FIFO	5 000	Jan 03, 18	Jan 08, 18	625 00	1,250.00		-375 00 LT -250 00 ST	
PUT CBOE S&P 500 INDEX DUE 01/26/18 2655 000	FIFO	-5 000	Jan 08, 18	Jan 03, 18	2,850 00	1,150.00			1,020.00 LT 680.00 ST
PUT CBOE S&P 500 INDEX DUE 03/02/18 2550 000	FIFO	-16 000	Mar 05, 18	Feb 12, 18	47,200.00	0 00			28,320.00 LT 18,880.00 ST
PUT CBOE S&P 500 INDEX DUE 02/09/18 2665 000	FIFO	-5 000	Jan 26, 18	Jan 08, 18	3,700.00	555 00			1,887.00 LT 1,258 00 ST
PUT CBOE S&P 500 INDEX DUE 02/09/18 2565 000	FIFO	7 000	Jan 08, 18	Feb 06, 18	16,100 00	1,995.00			8,463.00 LT 5,642.00 ST
PUT CBOE S&P 500 INDEX DUE 02/09/18 2685 000	FIFO	-7 000	Feb 06, 18	Jan 08, 18	6,020.00	39,900 00		-20,328 00 LT -13,552 00 ST	
PUT CBOE S&P 500 INDEX DUE 03/18/18 2700 000	FIFO	-7 000	Jan 23, 18	Jan 18, 18	11,900 00	8,400 00			2,100 00 LT 1,400.00 ST
PUT CBOE S&P 500 INDEX DUE 02/16/18 2560 000	FIFO	7 000	Feb 06, 18	Feb 09, 18	18,200 00	23,100.00		-2,940 00 LT -1,960.00 ST	
PUT CBOE S&P 500 INDEX DUE 02/16/18 2730 000	FIFO	-5,000	Feb 12, 18	Jan 22, 18	3,500 00	37,500 00		-20,400.00 LT -13,600 00 ST	
PUT CBOE S&P 500 INDEX DUE 03/16/18 2725 000	FIFO	-7 000	Jan 26, 18	Jan 23, 18	10,150 00	9,380 00			462 00 LT 308 00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

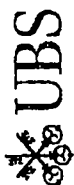
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 02/16/18 2665.000	FIFO	-7.000	Feb 09, 18	Feb 06, 18	42,000.00	52,500.00		-6,300.00 LT -4,200.00 ST	
PUT CBOE S&P 500 INDEX DUE 02/23/18 2730.000	FIFO	-5.000	Feb 14, 18	Feb 12, 18	40,000.00	24,100.00			9,540.00 LT 6,360.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2600.000	FIFO	7.000	Jan 26, 18	Mar 19, 18	3,885.00	4,900.00		-609.00 LT -406.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/16/18 2605.000	FIFO	-7.000	Mar 21, 18	Mar 19, 18	3,885.00	910.00			1,785.00 LT 1,190.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2730.000	FIFO	-7.000	Mar 07, 18	Jan 26, 18	11,760.00	26,040.00		-8,568.00 LT -5,712.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/16/18 2605.000	FIFO	8.000	Jan 29, 18	Feb 16, 18	11,360.00	5,200.00			3,696.00 LT 2,464.00 ST
PUT CBOE S&P 500 INDEX DUE 03/16/18 2730.000	FIFO	-8.000	Feb 16, 18	Jan 29, 18	12,400.00	32,480.00		-12,048.00 LT -8,032.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/29/18 2550.000	FIFO	7.000	Mar 23, 18	Mar 26, 18	5,390.00	7,000.00		-966.00 LT -644.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/23/18 2700.000	FIFO	7.000	Mar 02, 18	Mar 07, 18	18,200.00	36,050.00		-10,710.00 LT -7,140.00 ST	
PUT CBOE S&P 500 INDEX DUE 02/23/18 2725.000	FIFO	-5.000	Feb 08, 18	Feb 01, 18	4,625.00	72,500.00		-40,725.00 LT -27,150.00 ST	

continued next page



Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:

MB5R5A/GC TEAM 844-229-0886

212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 03/23/18 2675.000	FIFO	-7.000	Mar 16, 18	Mar 02, 18	28,840.00	1,890.00			16,170.00 LT 10,780.00 ST
	FIFO	-7.000	Mar 21, 18	Mar 02, 18	28,840.00	3,080.00			15,456.00 LT 10,304.00 ST
PUT CBOE S&P 500 INDEX DUE 03/16/18 2675.000	FIFO	-7.000	Jan 16, 18	Jan 05, 18	17,220.00	9,800.00			4,452.00 LT 2,968.00 ST
PUT CBOE S&P 500 INDEX DUE 01/19/18 2450.000		7.000	Nov 22, 17	Jan 22, 18	0.00	1,295.00		-777.00 LT -518.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/16/18 2550.000	FIFO	7.000	Jan 05, 18	Jan 16, 18	4,200.00	7,210.00		-1,806.00 LT -1,204.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/23/18 2620.000	FIFO	-7.000	Mar 22, 18	Mar 21, 18	1,190.00	1,960.00		-462.00 LT -308.00 ST	
PUT CBOE S&P 500 INDEX DUE 02/28/18 2615.000	FIFO	5.000	Feb 08, 18	Feb 13, 18	13,000.00	40,000.00		-16,200.00 LT -10,800.00 ST	2,460.00 LT 1,640.00 ST
	FIFO	-5.000	Feb 14, 18	Feb 13, 18	13,000.00	8,900.00			
PUT CBOE S&P 500 INDEX DUE 02/28/18 2715.000	FIFO	-5.000	Feb 27, 18	Feb 08, 18	70,000.00	775.00			41,535.00 LT 27,690.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2565.000	FIFO	7.000	Feb 09, 18	Mar 01, 18	14,000.00	75,600.00		-36,960.00 LT -24,640.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/29/18 2690.000	FIFO	-7.000	Mar 01, 18	Feb 09, 18	122,500.00	34,440.00			52,836.00 LT 35,224.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: VB 05528 95

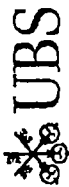
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 02/23/18 2555.000	FIFO	7 000	Feb 09, 18	Feb 13, 18	9,100.00	26,600.00		-10,500.00 LT	
	FIFO	-7.000	Feb 20, 18	Feb 13, 18	9,100.00	700.00		-7,000.00 ST	5,040.00 LT 3,360.00 ST
PUT CBOE S&P 500 INDEX DUE 02/23/18 2660.000	FIFO	-7.000	Feb 22, 18	Feb 09, 18	55,930.00	840.00			33,054.00 LT 22,036.00 ST
PUT CBOE S&P 500 INDEX DUE 03/02/18 2500.000	FIFO	8.000	Feb 12, 18	Mar 05, 18	0.00	17,600.00		-10,560.00 LT	
	FIFO	8.000	Feb 12, 18	Mar 05, 18	0.00	32,800.00		-19,680.00 LT	
	FIFO	5.000	Feb 12, 18	Feb 14, 18	6,850.00	17,000.00		-6,090.00 LT	
	FIFO	7 000	Feb 13, 18	Feb 20, 18	455.00	6,300.00		-3,507.00 LT	
	FIFO	7 000	Feb 13, 18	Feb 22, 18	140.00	13,790.00		-8,190.00 LT	
	FIFO	5.000	Feb 13, 18	Feb 27, 18	75.00	10,500.00		-6,255.00 LT	
	FIFO	5 000	Feb 13, 18	Feb 14, 18	11,750.00	16,475.00		-2,835.00 LT	
	FIFO	-5 000	Feb 23, 18	Feb 14, 18	11,750.00	950.00		-1,890.00 ST	6,480.00 LT 4,320.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

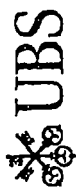
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 03/29/18 2675.000	FIFO	-7.000	Mar 26, 18	Mar 14, 18	6,090.00	40,950.00		-20,916.00 LT -13,944.00 ST	
PUT CBOE S&P 500 INDEX DUE 02/28/18 2665.000	FIFO	5.000	Feb 14, 18	Feb 23, 18	1,700.00	15,800.00		-8,460.00 LT -5,640.00 ST	885.00 LT 590.00 ST
PUT CBOE S&P 500 INDEX DUE 03/09/18 2615.000	FIFO	5.000	Feb 14, 18	Feb 21, 18	4,400.00	10,500.00		-3,660.00 LT -2,440.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/09/18 2715.000	FIFO	5.000	Mar 02, 18	Mar 06, 18	350.00	10,400.00		-6,030.00 LT -4,020.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/09/18 2715.000	FIFO	-5.000	Feb 21, 18	Feb 14, 18	25,850.00	12,550.00		-1,440.00 LT -960.00 ST	7,980.00 LT 5,320.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2580.000	FIFO	8.000	Feb 16, 18	Feb 23, 18	10,000.00	12,400.00		-1,440.00 LT -960.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/23/18 2710.000	FIFO	-8.000	Feb 23, 18	Feb 16, 18	31,040.00	29,360.00		-11,640.00 LT -7,760.00 ST	1,008.00 LT 672.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2640.000	FIFO	5.000	Mar 12, 18	Mar 15, 18	1,500.00	1,000.00			300.00 LT 200.00 ST
	FIFO	7.000	Mar 19, 18	Mar 21, 18	1,750.00	5,880.00		-2,478.00 LT -1,652.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

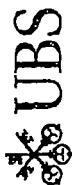
Your Financial Advisor:
 MB/SR/SAGC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 03/16/18 2710.000	FIFO	-5 000	Feb 26, 18	Feb 21, 18	14,250.00	7,500.00			4,050.00 LT 2,700.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2570.000	FIFO	8 000	Feb 23, 18	Feb 26, 18	5,840.00	11,280.00		-3,264.00 LT -2,176.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/29/18 2700.000	FIFO	-8,000	Feb 26, 18	Feb 23, 18	29,520.00	15,040.00			8,688.00 LT 5,792.00 ST
PUT CBOE S&P 500 INDEX DUE 02/28/18 2685.000	FIFO	5 000	Feb 23, 18	Feb 27, 18	250.00	2,850.00		-1,560.00 LT -1,040.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/23/18 2590.000	FIFO	5 000	Feb 26, 18	Mar 02, 18	10,600.00	3,500.00			4,260.00 LT 2,840.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2685.000	FIFO	7 000	Mar 07, 18	Mar 21, 18	3,850.00	15,400.00		-6,930.00 LT -4,620.00 ST	
	FIFO	-7,000	Mar 22, 18	Mar 21, 18	3,850.00	10,395.00		-3,927.00 LT -2,618.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/29/18 2600.000	FIFO	7 000	Mar 13, 18	Mar 22, 18	6,720.00	1,960.00			2,856.00 LT 1,904.00 ST
	FIFO	-7,000	Mar 23, 18	Mar 22, 18	6,720.00	13,475.00		-4,053.00 LT -2,702.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/09/18 2655.000	FIFO	5 000	Feb 27, 18	Mar 01, 18	7,375.00	2,900.00			2,685.00 LT 1,790.00 ST
	FIFO	-5 000	Mar 02, 18	Mar 01, 18	7,375.00	16,500.00		-5,475.00 LT -3,650.00 ST	
	FIFO	5 000	Mar 05, 18	Mar 06, 18	995.05	3,000.00		-1,202.97 LT -801.98 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

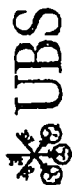
Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 03/09/18 2705.000	FIFO	-5.000	Mar 05, 18	Feb 27, 18	5,650.00	9,250.00		-2,160.00 LT -1,440.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/09/18 2635.000	FIFO	5.000	Mar 01, 18	Mar 02, 18	13,000.00	5,750.00			4,350.00 LT 2,900.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2530.000	FIFO	-5.000	Mar 06, 18	Mar 02, 18	13,000.00	600.00			7,440.00 LT 4,960.00 ST
PUT CBOE S&P 500 INDEX DUE 03/09/18 2675.000	FIFO	5.000	Mar 01, 18	Mar 05, 18	4,700.00	9,675.00		-2,985.00 LT -1,990.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/29/18 2530.000	FIFO	7.000	Mar 01, 18	Mar 13, 18	1,190.00	11,550.00		-6,216.00 LT -4,144.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/23/18 2570.000	FIFO	5.000	Mar 02, 18	Mar 12, 18	550.00	9,300.00		-5,250.00 LT -3,500.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/23/18 2695.000	FIFO	-5.000	Mar 15, 18	Mar 02, 18	25,500.00	3,300.00			13,320.00 LT 8,880.00 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2650.000	FIFO	7.000	Mar 21, 18	Mar 23, 18	74,718.00	4,970.00			41,848.80 LT 27,899.20 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2650.000	FIFO	7.000	Mar 02, 18	Mar 16, 18	1,260.00	23,450.00		-13,314.00 LT -8,876.00 ST	
PUT CBOE S&P 500 INDEX DUE 03/09/18 2690.000	FIFO	-5.000	Mar 06, 18	Mar 05, 18	6,700.00	2,752.48			2,368.51 LT 1,579.01 ST
PUT CBOE S&P 500 INDEX DUE 03/23/18 2560.000	FIFO	7.000	Mar 19, 18	Mar 22, 18	350.00	2,940.00		-1,554.00 LT -1,036.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

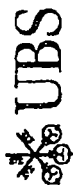
Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 03/23/18 2660.000	FIFO	7.000	Mar 21, 18	Mar 22, 18	4,970.00	2,590.00			1,428.00 LT 952.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2575.000	FIFO	7.000	Mar 22, 18	Mar 23, 18	9,520.00	5,040.00			2,688.00 LT 1,792.00 ST
	FIFO	-7.000	Mar 26, 18	Mar 23, 18	9,520.00	8,330.00			714.00 LT 476.00 ST
PUT CBOE S&P 500 INDEX DUE 03/29/18 2625.000	FIFO	7.000	Mar 22, 18	Mar 26, 18	18,550.00	9,380.00			5,502.00 LT 3,668.00 ST
PUT CBOE S&P 500 INDEX DUE 06/15/18 2475.000	FIFO	-7.000	Apr 13, 18	Mar 26, 18	33,162.57	16,100.00			10,237.54 LT 6,825.03 ST
	FIFO	7.000	Mar 26, 18	Apr 13, 18	7,000.00	18,405.10		-6,843.06 LT -4,562.04 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2450.000	FIFO	7.000	Mar 15, 18	Apr 03, 18	17,850.00	8,750.00			5,460.00 LT 3,640.00 ST
PUT CBOE S&P 500 INDEX DUE 05/18/18 2400.000	FIFO	-8.000	May 03, 18	Apr 26, 18	1,920.00	1,360.00			336.00 LT 224.00 ST
	FIFO	8.000	Apr 26, 18	May 03, 18	2,800.00	3,960.00		-696.00 LT -464.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/06/18 2500.000	FIFO	7.000	Feb 22, 18	Feb 27, 18	4,480.00	9,800.00		-3,192.00 LT -2,128.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/06/18 2610.000	FIFO	-7.000	Feb 27, 18	Feb 22, 18	17,500.00	8,260.00			5,544.00 LT 3,696.00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: VB 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 04/20/18 2690 000	FIFO	-8 000	Mar 16, 18	Mar 09, 18	18,240 00	17,200 00			624 00 LT 416 00 ST
PUT CBOE S&P 500 INDEX DUE 04/06/18 2580 000	FIFO	8 000	Feb 26, 18	Mar 09, 18	4,400 00	7,920 00		-2,112 00 LT -1,408 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/06/18 2710 000	FIFO	-8 000	Mar 09, 18	Feb 26, 18	19,520 00	14,000 00			3,312 00 LT 2,208 00 ST
PUT CBOE S&P 500 INDEX DUE 04/13/18 2510 000	FIFO	7 000	Feb 27, 18	Mar 05, 18	9,590 00	6,300 00			1,974 00 LT 1,316 00 ST
PUT CBOE S&P 500 INDEX DUE 04/13/18 2630 000	FIFO	-7 000	Mar 05, 18	Feb 27, 18	11,550 00	19,530 00		-4,788 00 LT -3,192 00 ST	
PUT CBOE S&P 500 INDEX DUE 05/04/18 2505 000	FIFO	7 000	Mar 09, 18	Mar 20, 18	10,220 00	7,700 00			1,512 00 LT 1,008 00 ST
PUT CBOE S&P 500 INDEX DUE 05/04/18 2630 000	FIFO	-7 000	Mar 20, 18	Mar 09, 18	15,260 00	20,370 00		-3,066 00 LT -2,044 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/20/18 2560 000	FIFO	8 000	Mar 09, 18	Mar 16, 18	6,800 00	7,600 00		-480 00 LT -320 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/20/18 2490 000	FIFO	7 000	Mar 05, 18	Mar 09, 18	4,550 00	10,500 00		-3,570 00 LT -2,380 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/20/18 2615 000	FIFO	-7 000	Mar 09, 18	Mar 05, 18	20,580 00	9,310 00			6,762 00 LT 4,508 00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

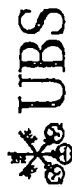
Your Financial Advisor:
MB5SR5A/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 05/18/18 2585.000	FIFO	-7.000	Apr 03, 18	Mar 15, 18	16,450.00	41,860.00		-15,246.00 LT -10,164.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2545.000	FIFO	8.000	Mar 16, 18	Apr 02, 18	28,800.00	8,320.00			12,288.00 LT 8,192.00 ST
PUT CBOE S&P 500 INDEX DUE 04/27/18 2675.000	FIFO	-8.000	Apr 04, 18	Mar 16, 18	18,640.00	66,960.00		-28,992.00 LT -19,328.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2450.000	FIFO	8.000	Apr 02, 18	Apr 12, 18	2,320.00	13,200.00		-6,528.00 LT -4,352.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/11/18 2480.000	FIFO	7.000	Mar 20, 18	Apr 17, 18	2,275.00	10,990.00		-5,229.00 LT -3,486.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/11/18 2610.000	FIFO	-7.000	Apr 17, 18	Mar 20, 18	20,860.00	7,000.00			8,316.00 LT 5,544.00 ST
PUT CBOE S&P 500 INDEX DUE 04/06/18 2600.000	FIFO	7.000	Mar 22, 18	Mar 23, 18	37,450.00	10,710.00			16,044.00 LT 10,696.00 ST
PUT CBOE S&P 500 INDEX DUE 04/06/18 2640.000	FIFO	-7.000	Mar 23, 18	Mar 22, 18	15,400.00	49,910.00		-20,706.00 LT -13,804.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2495.000	FIFO	8.000	Mar 23, 18	Mar 28, 18	20,720.00	15,800.00			2,952.00 LT 1,968.00 ST
PUT CBOE S&P 500 INDEX DUE 04/27/18 2535.000	FIFO	-8.000	Mar 27, 18	Mar 23, 18	19,800.00	15,840.00			2,376.00 LT 1,584.00 ST
	FIFO	-8.000	Mar 28, 18	Mar 23, 18	19,800.00	27,200.00		-4,440.00 LT -2,960.00 ST	

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:

MBSR/SA/GC TEAM 844-229-0886

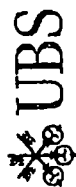
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Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 04/27/18 2575.000	FIFO	8 000	Mar 23, 18	Mar 27, 18	21,200.00	25,440.00		-2,544.00 LT	
	FIFO	8 000	Apr 02, 18	Apr 04, 18	29,200.00	36,960.00		-1,696.00 ST	
								-4,656.00 LT	
								-3,104.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2600.000	FIFO	8 000	Mar 27, 18	Mar 28, 18	42,240.00	25,400.00			10,104.00 LT
	FIFO	8 000	Apr 09, 18	Apr 11, 18	16,400.00	28,360.00		-7,176.00 LT	6,736.00 ST
	FIFO	-8 000	Apr 12, 18	Apr 11, 18	16,400.00	12,680.00		-4,784.00 ST	2,232.00 LT
									1,488.00 ST
PUT CBOE S&P 500 INDEX DUE 05/04/18 2435.000	FIFO	7 000	Apr 11, 18	Apr 12, 18	2,940.00	4,410.00		-882.00 LT	
								-588.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2560.000	FIFO	-8 000	Mar 28, 18	Mar 27, 18	18,640.00	32,160.00		-8,112.00 LT	
	FIFO	-8 000	Apr 13, 18	Apr 06, 18	26,400.00	6,800.00		-5,408.00 ST	11,760.00 LT
									7,840.00 ST
PUT CBOE S&P 500 INDEX DUE 05/04/18 2525.000	FIFO	-7 000	Apr 12, 18	Apr 11, 18	10,010.00	6,650.00			2,016.00 LT
									1,344.00 ST
PUT CBOE S&P 500 INDEX DUE 05/04/18 2400.000	FIFO	7 000	Apr 02, 18	Apr 06, 18	4,270.00	14,980.00		-6,426.00 LT	
								-4,284.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2250.000	FIFO	-7 000	Apr 10, 18	Apr 02, 18	7,700.00	3,045.00			2,793.00 LT
	FIFO	-7 000	Apr 25, 18	Apr 02, 18	7,700.00	1,050.00			1,862.00 ST
									3,990.00 LT
									2,660.00 ST

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Investment Account

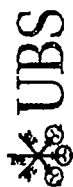
Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor,
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)
 60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 04/27/18 2500 000	FIFO	-8 000	Apr 11, 18	Apr 02, 18	20,000.00	5,960.00			8,424.00 LT 5,616.00 ST
	FIFO	8 000	Apr 12, 18	Apr 13, 18	3,200.00	4,040.00		-504.00 LT -336.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2150 000	FIFO	7 000	Apr 02, 18	Apr 10, 18	1,960.00	4,900.00		-1,764.00 LT -1,176.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2350 000	FIFO	7 000	Apr 02, 18	Apr 25, 18	1,995.00	13,300.00		-6,783.00 LT -4,522.00 ST	552.00 LT 368.00 ST
	FIFO	-8 000	Apr 26, 18	Apr 25, 18	2,280.00	1,360.00			
PUT CBOE S&P 500 INDEX DUE 05/04/18 2475 000	FIFO	-7 000	Apr 06, 18	Apr 02, 18	23,800.00	8,190.00			9,366.00 LT 6,244.00 ST
PUT CBOE S&P 500 INDEX DUE 05/18/18 2410 000	FIFO	7 000	Apr 12, 18	Apr 16, 18	3,150.00	4,900.00		-1,050.00 LT -700.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2535 000	FIFO	-7 000	Apr 16, 18	Apr 12, 18	12,600.00	8,750.00			2,310.00 LT 1,540.00 ST
PUT CBOE S&P 500 INDEX DUE 04/27/18 2585 000	FIFO	-8 000	Apr 16, 18	Apr 12, 18	10,400.00	4,560.00			3,504.00 LT 2,336.00 ST
PUT CBOE S&P 500 INDEX DUE 05/25/18 2415 000	FIFO	7 000	Apr 03, 18	Apr 09, 18	11,550.00	17,500.00		-3,570.00 LT -2,380.00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2525 000	FIFO	-7 000	May 11, 18	May 01, 18	20,720.00	* 5,670.00			9,030.00 LT 6,020.00 ST

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Investment Account

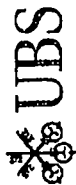
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: V8 05528 95

Your Financial Advisor:
MB/SFSA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 05/25/18 2550 000	FIFO	-7 000	Apr 09, 18	Apr 03, 18	37,100 00	26,950 00			6,090 00 LT 4,060 00 ST
PUT CBOE S&P 500 INDEX DUE 04/27/18 2505 000	FIFO	8 000	Apr 04, 18	Apr 17, 18	1,200 00	15,600 00		-8,640 00 LT -5,760 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2640 000	FIFO	-8 000	Apr 09, 18	Apr 04, 18	50,400 00	41,200 00			5,520 00 LT 3,680 00 ST
PUT CBOE S&P 500 INDEX DUE 06/15/18 2550 000	FIFO	-7 000	May 01, 18	Apr 17, 18	14,560 00	18,900 00		-2,604 00 LT -1,736 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2540 000	FIFO	8 000	Apr 05, 18	Apr 06, 18	21,920 00	9,920 00			7,200 00 LT 4,800 00 ST
	FIFO	8 000	Apr 11, 18	Apr 12, 18	6,000 00	9,360 00		-2,016 00 LT -1,344 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2580 000	FIFO	-8 000	Apr 06, 18	Apr 05, 18	14,640 00	31,520 00		-10,128 00 LT -6,752 00 ST	864 00 LT 576 00 ST
	FIFO	-8 000	Apr 11, 18	Apr 05, 18	14,640 00	13,200 00			
PUT CBOE S&P 500 INDEX DUE 04/27/18 2620 000	FIFO	8 000	Apr 05, 18	Apr 09, 18	34,200 00	21,840 00			7,416 00 LT 4,944 00 ST
	FIFO	-8 000	Apr 11, 18	Apr 09, 18	34,200 00	20,800 00			8,040 00 LT 5,360 00 ST
PUT CBOE S&P 500 INDEX DUE 05/04/18 2560 000	FIFO	-8 000	Apr 16, 18	Apr 13, 18	10,400 00	5,760 00			2,784 00 LT 1,856 00 ST



Investment Account

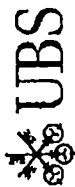
Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)
 60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 05/04/18 2415.000	FIFO	7 000	Apr 06, 18	Apr 11, 18	3,710.00	4,900.00		-714.00 LT -476.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/04/18 2500.000	FIFO	-7 000	Apr 11, 18	Apr 06, 18	10,150.00	7,945.00			1,323.00 LT 882.00 ST
	FIFO	8.000	Apr 13, 18	Apr 16, 18	3,040.00	5,680.00		-1,584.00 LT -1,056.00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2350.000	FIFO	7.000	Apr 13, 18	Apr 25, 18	10,535.00	11,200.00		-399.00 LT -266.00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2500.000	FIFO	-7 000	Apr 25, 18	Apr 13, 18	23,240.00	23,660.00		-252.00 LT -168.00 ST	
PUT CBOE S&P 500 INDEX DUE 06/01/18 2410.000	FIFO	7 000	Apr 16, 18	Apr 19, 18	4,060.00	5,950.00		-1,134.00 LT -756.00 ST	
PUT CBOE S&P 500 INDEX DUE 04/27/18 2520.000	FIFO	8 000	Apr 06, 18	Apr 11, 18	7,440.00	18,400.00		-6,576.00 LT -4,384.00 ST	
	FIFO	-8 000	Apr 12, 18	Apr 11, 18	7,440.00	4,720.00			1,632.00 LT 1,088.00 ST
PUT CBOE S&P 500 INDEX DUE 06/01/18 2535.000	FIFO	-7 000	Apr 19, 18	Apr 16, 18	13,510.00	8,400.00			3,066.00 LT 2,044.00 ST
PUT CBOE S&P 500 INDEX DUE 04/27/18 2565.000	FIFO	-8 000	Apr 17, 18	Apr 16, 18	3,440.00	2,080.00			816.00 LT 544.00 ST
PUT CBOE S&P 500 INDEX DUE 05/11/18 2520.000	FIFO	8.000	Apr 16, 18	Apr 18, 18	3,200.00	5,520.00		-1,392.00 LT -928.00 ST	

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Investment Account

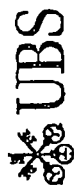
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: VB 05528 95

Your Financial Advisor:
MB/SR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 05/11/18 2580.000	FIFO	-8.000	Apr 18, 18	Apr 16, 18	9,760.00	5,600.00			2,496.00 LT 1,664.00 ST
PUT CBOE S&P 500 INDEX DUE 06/15/18 2410.000	FIFO	7.000	Apr 17, 18	May 01, 18	6,650.00	6,440.00			126.00 LT 84.00 ST
	FIFO	-7.000	Jun 18, 18	May 07, 18	3,220.00	0.00			1,932.00 LT 1,288.00 ST
PUT CBOE S&P 500 INDEX DUE 05/25/18 2480.000	FIFO	7.000	Apr 17, 18	Apr 24, 18	8,400.00	4,305.00			2,457.00 LT 1,638.00 ST
PUT CBOE S&P 500 INDEX DUE 05/25/18 2605.000	FIFO	-7.000	Apr 24, 18	Apr 17, 18	10,850.00	25,200.00		-8,610.00 LT -5,740.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2505.000	FIFO	8.000	Apr 18, 18	May 02, 18	3,000.00	4,080.00		-648.00 LT -432.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/18/18 2605.000	FIFO	-8.000	May 02, 18	Apr 18, 18	9,600.00	13,000.00		-2,040.00 LT -1,360.00 ST	
PUT CBOE S&P 500 INDEX DUE 06/08/18 2415.000	FIFO	7.000	Apr 19, 18	May 02, 18	4,165.00	5,320.00		-693.00 LT -462.00 ST	
PUT CBOE S&P 500 INDEX DUE 06/08/18 2540.000	FIFO	-7.000	May 02, 18	Apr 19, 18	10,780.00	11,900.00		-672.00 LT -448.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/31/18 2390.000	FIFO	7.000	Apr 09, 18	Apr 17, 18	3,500.00	11,200.00		-4,620.00 LT -3,080.00 ST	
PUT CBOE S&P 500 INDEX DUE 05/31/18 2530.000	FIFO	-7.000	Apr 17, 18	Apr 09, 18	25,550.00	8,540.00			10,206.00 LT 6,804.00 ST

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Investment Account

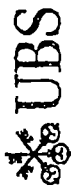
Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MBSR/SAGC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)
 60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 06/15/18 2420 000	FIFO	7.000	Apr 24, 18	Apr 30, 18	5,075 00	10,290 00		-3,129 00 LT -2,086 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/15/18 2560 000	FIFO	-7.000	Apr 30, 18	Apr 24, 18	25,060 00	13,860 00			6,720 00 LT 4,480 00 ST
PUT CBOE S&P 500 INDEX DUE 05/18/18 2425 000	FIFO	8.000	Apr 25, 18	Apr 26, 18	2,400 00	4,400 00		-1,200 00 LT -800 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/22/18 2420 000	FIFO	7.000	Apr 30, 18	May 04, 18	7,840 00	6,580 00			756 00 LT 504 00 ST
PUT CBOE S&P 500 INDEX DUE 06/22/18 2560 000	FIFO	-7.000	May 04, 18	Apr 30, 18	16,450 00	21,700 00		-3,150 00 LT -2,100 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2385 000	FIFO	-8.000	May 11, 18	May 07, 18	14,800 00	6,880 00			4,752 00 LT 3,168 00 ST
PUT CBOE S&P 500 INDEX DUE 05/25/18 2475 000	FIFO	7.000	May 01, 18	May 11, 18	2,520 00	8,680 00		-3,696 00 LT -2,464 00 ST	
PUT CBOE S&P 500 INDEX DUE 05/25/18 2585 000	FIFO	8.000	May 02, 18	May 03, 18	4,800 00	3,600 00			720 00 LT 480 00 ST
PUT CBOE S&P 500 INDEX DUE 06/15/18 2405 000	FIFO	-8.000	May 07, 18	May 02, 18	13,200 00	6,400 00			4,080 00 LT 2,720 00 ST
PUT CBOE S&P 500 INDEX DUE 06/15/18 2530 000	FIFO	7.000	May 02, 18	May 09, 18	2,625 00	5,250 00		-1,575 00 LT -1,050 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/15/18 2530 000	FIFO	-7.000	May 09, 18	May 02, 18	13,440 00	7,000 00			3,864 00 LT 2,576 00 ST

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Investment Account

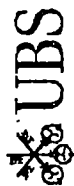
Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: VB 05528 95

Your Financial Advisor:
 MB/SR/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)
 60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 05/25/18 2510 000	FIFO	8 000	May 03, 18	May 07, 18	2,400 00	7,040 00		-2,784.00 LT -1,856 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/20/18 2575 000	FIFO	-7 000	Mar 28, 18	Mar 23, 18	36,750 00	28,175 00			5,145 00 LT 3,430 00 ST
PUT CBOE S&P 500 INDEX DUE 04/20/18 2450 000	FIFO	7 000	Mar 28, 18	Apr 02, 18	14,000 00	10,745 00			1,953 00 LT 1,302 00 ST
PUT CBOE S&P 500 INDEX DUE 04/20/18 2525 000	FIFO	7 000	Mar 23, 18	Mar 28, 18	18,900 00	27,650 00		-5,250.00 LT -3,500 00 ST	
PUT CBOE S&P 500 INDEX DUE 04/20/18 2525 000	FIFO	-7 000	Apr 02, 18	Mar 28, 18	18,900 00	26,180 00		-4,368.00 LT -2,912 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/22/18 2435 000	FIFO	8 000	May 07, 18	May 11, 18	2,960 00	6,000 00		-1,824 00 LT -1,216 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/15/18 2440 000	FIFO	7 000	May 07, 18	Jun 18, 18	0 00	3,990 00		-2,394 00 LT -1,596 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2420 000	FIFO	7 000	May 09, 18	May 15, 18	3,920 00	5,320 00		-840 00 LT -560 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2540 000	FIFO	-7 000	May 15, 18	May 09, 18	11,900 00	8,120 00			2,268 00 LT 1,512 00 ST
PUT CBOE S&P 500 INDEX DUE 06/29/18 2470 000	FIFO	8 000	May 11, 18	May 21, 18	3,520 00	4,880 00		-816 00 LT -544 00 ST	
PUT CBOE S&P 500 INDEX DUE 06/29/18 2590 000	FIFO	-8 000	May 21, 18	May 11, 18	10,800 00	7,520 00			1,968 00 LT 1,312 00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT

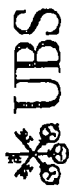
Friendly account name: YES

Account number: V8 05528 95

Your Financial Advisor:
MBSR/SA/GC TEAM 844-229-0886
212-821-7000/800-308-3140Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 07/20/18 2310 000	FIFO	7.000	Apr 25, 18	May 03, 18	10,150.00	11,900.00		-1,050.00 LT -700.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/20/18 2460 000	FIFO	-7.000	May 03, 18	Apr 25, 18	23,800.00	22,575.00			735.00 LT 490.00 ST
PUT CBOE S&P 500 INDEX DUE 07/31/18 2290 000	FIFO	7.000	May 03, 18	May 08, 18	7,175.00	10,990.00		-2,289.00 LT -1,526.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/31/18 2440 000	FIFO	-7.000	May 08, 18	May 03, 18	23,275.00	14,840.00			5,061.00 LT 3,374.00 ST
PUT CBOE S&P 500 INDEX DUE 07/06/18 2390 000	FIFO	7.000	May 04, 18	May 09, 18	4,480.00	9,310.00		-2,898.00 LT -1,932.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/06/18 2530 000	FIFO	-7.000	May 09, 18	May 04, 18	21,700.00	10,570.00			6,678.00 LT 4,452.00 ST
PUT CBOE S&P 500 INDEX DUE 07/31/18 2310 000	FIFO	7.000	May 08, 18	May 10, 18	4,760.00	7,805.00		-1,827.00 LT -1,218.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/31/18 2460 000	FIFO	-7.000	May 10, 18	May 08, 18	16,520.00	9,625.00			4,137.00 LT 2,758.00 ST
PUT CBOE S&P 500 INDEX DUE 07/06/18 2430 000	FIFO	7.000	May 09, 18	May 14, 18	3,325.00	5,600.00		-1,365.00 LT -910.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/06/18 2560 000	FIFO	-7.000	May 14, 18	May 09, 18	12,950.00	7,280.00			3,402.00 LT 2,268.00 ST
PUT CBOE S&P 500 INDEX DUE 07/31/18 2480 000	FIFO	7.000	Jun 06, 18	Jun 12, 18	3,220.00	4,550.00		-798.00 LT -532.00 ST	

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Investment Account

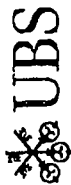
Account name: MARGARET AND MARSHALL BARTLETT
Friendly account name: YES
Account number: VB 05528 95

Your Financial Advisor:
MBUSRA/GC TEAM 844-229-0886
212-821-7000/800-308-3140

Realized gains and losses (continued)
60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 07/31/18 2390 000	FIFO	7 000	May 10, 18	May 14, 18	5,600 00	6,720 00		-672 00 LT -448 00 ST	
PUT CBOE S&P 500 INDEX DUE 07/31/18 2520 000	FIFO	-7 000	May 14, 18	May 10, 18	12,950 00	10,640 00			1,386 00 LT 924 00 ST
PUT CBOE S&P 500 INDEX DUE 07/31/18 2610 000	FIFO	-7,000	Jun 12, 18	Jun 06, 18	9,450 00	6,335 00			1,869 00 LT 1,245 00 ST
PUT CBOE S&P 500 INDEX DUE 07/06/18 2440 000	FIFO	7 000	May 11, 18	May 17, 18	3,500 00	4,200 00		-420 00 LT -280 00 ST	
PUT CBOE S&P 500 INDEX DUE 07/06/18 2570 000	FIFO	-7,000	May 17, 18	May 11, 18	9,100 00	7,700 00			840 00 LT 560 00 ST
PUT CBOE S&P 500 INDEX DUE 07/06/18 2480 000	FIFO	7,000	May 14, 18	May 22, 18	3,640 00	4,480 00		-504 00 LT -336 00 ST	
PUT CBOE S&P 500 INDEX DUE 07/06/18 2600 000	FIFO	-7 000	May 22, 18	May 14, 18	9,730 00	8,050 00			1,008 00 LT 672 00 ST
PUT CBOE S&P 500 INDEX DUE 07/31/18 2550 000	FIFO	-7,000	May 23, 18	May 14, 18	12,320 00	12,495 00		-105 00 LT -70 00 ST	
PUT CBOE S&P 500 INDEX DUE 07/20/18 2415 000	FIFO	7 000	May 15, 18	May 24, 18	4,200 00	6,650 00		-1,470 00 LT -980 00 ST	
PUT CBOE S&P 500 INDEX DUE 07/20/18 2555 000	FIFO	-7,000	May 24, 18	May 15, 18	14,000 00	9,100 00			2,940 00 LT 1,960 00 ST

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Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MBSR/SAJGC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 07/13/18 2460.000	FIFO	7.000	May 17, 18	May 31, 18	4,900.00	4,970.00		-42.00 LT -28.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/13/18 2585.000	FIFO	-7.000	May 31, 18	May 17, 18	10,290.00	10,500.00		-126.00 LT -84.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/27/18 2520.000	FIFO	7.000	Jun 07, 18	Jun 21, 18	4,410.00	4,340.00			42.00 LT 28.00 ST
PUT CBOE S&P 500 INDEX DUE 07/27/18 2650.000	FIFO	-7.000	Jun 21, 18	Jun 07, 18	9,800.00	10,360.00		-336.00 LT -234.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/06/18 2500.000	FIFO	8.000	May 21, 18	Jun 05, 18	2,800.00	5,040.00		-1,344.00 LT -896.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/06/18 2620.000	FIFO	-8.000	Jun 05, 18	May 21, 18	11,120.00	6,560.00			2,736.00 LT 1,824.00 ST
PUT CBOE S&P 500 INDEX DUE 07/13/18 2495.000	FIFO	7.000	May 22, 18	May 29, 18	8,225.00	4,970.00			1,953.00 LT 1,302.00 ST
PUT CBOE S&P 500 INDEX DUE 07/13/18 2615.000	FIFO	-7.000	May 29, 18	May 22, 18	10,710.00	17,850.00		-4,284.00 LT -2,856.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/31/18 2430.000	FIFO	7.000	May 23, 18	Jun 06, 18	3,535.00	6,650.00		-1,869.00 LT -1,246.00 ST	
PUT CBOE S&P 500 INDEX DUE 07/31/18 2560.000	FIFO	-7.000	Jun 06, 18	May 23, 18	13,090.00	6,860.00			3,738.00 LT 2,492.00 ST
PUT CBOE S&P 500 INDEX DUE 07/27/18 2440.000	FIFO	7.000	May 24, 18	Jun 07, 18	3,010.00	5,950.00		-1,764.00 LT -1,176.00 ST	

continued next page



Investment Account

Account name: MARGARET AND MARSHALL BARTLETT
 Friendly account name: YES
 Account number: V8 05528 95

Your Financial Advisor:
 MB/SW/SA/GC TEAM 844-229-0886
 212-821-7000/800-308-3140

Realized gains and losses (continued)

60/40 rule gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 07/27/18 2575 000	FIFO	-7 000	Jun 07, 18	May 24, 18	12,320.00	6,020.00			3,780.00 LT 2,520.00 ST
PUT CBOE S&P 500 INDEX DUE 07/20/18 2450 000	FIFO	7 000	May 29, 18	Jun 04, 18	3,570.00	7,700.00		-2,478.00 LT -1,652.00 ST	5,271.00 LT 3,514.00 ST
PUT CBOE S&P 500 INDEX DUE 07/20/18 2580 000	FIFO	-7 000	Jun 04, 18	May 29, 18	16,135.00	7,350.00			
PUT CBOE S&P 500 INDEX DUE 08/03/18 2430 000	FIFO	7 000	May 31, 18	Jun 07, 18	4,130.00	7,560.00		-2,058.00 LT -1,372.00 ST	
PUT CBOE S&P 500 INDEX DUE 08/03/18 2565 000	FIFO	-7 000	Jun 07, 18	May 31, 18	14,910.00	8,050.00			4,116.00 LT 2,744.00 ST
Total					\$6,074,234.80	\$5,114,204.58		-\$2,420,749.53 -\$39,969.78	\$2,380,779.75
Net 60/40 rule capital gains or losses									
Unclassified gains and losses									

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PUT CBOE S&P 500 INDEX DUE 07/31/18 2420 000	FIFO	7 000	May 14, 18	May 23, 18	6,370.00	6,370.00			
Net capital gains/losses:								-\$39,969.78	

6,080,604.80
 6,120,574.58

Closing of Expired Options

0 36,597.59 - 36,597.59

6,080,604.80 6,157,172.17 - 76,567.37



ACCESS
December 2018

1015-575

Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 Y6

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMN HEALTHCARE SERVICES INC Symbol: AMN Exchange: NYSE	Feb 8, 18 Feb 9, 18 Feb 12, 18	78 000 357 000 16,000	51.662 51.079 52.751	4,029.64 18,235.22 844.03	56.660 56.660 56.660	4,419.48 20,227.62 906.56	389.84 1,992.40 62.53	ST ST ST
Security total		451 000	51.239	23,108.89		25,553.66	2,444.77	
ANYS INC Symbol: ANSS Exchange: OTC	Jun 7, 16	95 000	88.337	8,392.08	142.940	13,579.30	5,187.22	LT
ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC Symbol: AZPN Exchange: OTC	Jun 7, 16	352 000	39.153	13,782.17	82.180	28,927.36	15,145.19	LT
BERKLEY W R CORP Symbol: WRB Exchange: NYSE EAI: \$191 Current yield: 0.81%	Jun 7, 16	318 000	56.182	17,866.13	73.910	23,503.38	5,637.25	LT

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ACCESS
December 2018

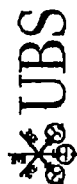
Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 Y6

1015-578
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CDW CORP								
Symbol: CDW Exchange: OTC								
EAI: \$369 Current yield 1.45%	Jun 7, 16	313 000	42.688	13,361.41	81.050	25,368.65	12,007.24	LT
CHARLES RIVER LABORATORIES								
Symbol: CRL Exchange: NYSE	Sep 11, 18	46,000	127.656	5,872.21	113.180	5,206.28	-665.93	ST
	Sep 12, 18	59,000	128.742	7,595.81	113.180	6,677.62	-918.19	ST
	Sep 13, 18	37,000	130.945	4,844.99	113.180	4,187.66	-657.33	ST
	Sep 19, 18	18,000	126.956	2,285.21	113.180	2,037.24	-247.97	ST
Security total		160,000	128.739	20,598.22		18,108.80	-2,489.42	
COOPER COMPANIES INC NEW								
Symbol: COO Exchange: NYSE								
EAI: \$7 Current yield 0.02%	Jun 7, 16	123,000	164.500	20,233.54	254.500	31,303.50	11,069.96	LT
COPART INC								
Symbol: CPRT Exchange: OTC	Jul 5, 17	408,000	31.830	12,986.80	47.780	19,494.24	6,507.44	LT
DOCUSIGN INC								
Symbol: DOCU Exchange: OTC	Oct 23, 18	275,000	41.461	11,401.94	40.080	11,022.00	-379.94	ST
	Oct 24, 18	55,000	40.244	2,213.44	40.080	2,204.40	-9.04	ST
Security total		330,000	41.259	13,615.38		13,226.40	-388.98	
ELANCO ANIMAL HEALTH INC								
Symbol: ELAN Exchange: NYSE	Sep 20, 18	712,000	33.099	23,566.84	31.530	22,449.36	-1,117.48	ST
EXPEDITORS INTL WASH INC								
Symbol: EXPD Exchange: OTC								
EAI: \$364 Current yield 1.32%	Jun 7, 16	404,000	49.454	19,979.66	68.090	27,508.36	7,528.70	LT
FLIR SYSTEMS INC								
Symbol: FLIR Exchange: OTC	Apr 2, 18	263,000	49.275	12,959.43	43.540	11,451.02	-1,508.41	ST
EAI: \$323 Current yield 1.47%	Apr 3, 18	102,000	49.966	5,096.55	43.540	4,441.08	-655.47	ST
	Sep 6, 18	140,000	63.087	8,832.19	43.540	6,095.60	-2,736.59	ST
Security total		505,000	53.244	26,888.17		21,987.70	-4,900.47	
HENRY JACK & ASSOC INC								
Symbol: JKHY Exchange: OTC								
EAI: \$265 Current yield 1.17%	Jun 7, 16	179,000	84.697	15,160.87	126.520	22,647.08	7,486.21	LT

continued next page



ACCESS

December 2018

Account name: M. & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 Y6

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

1015-577

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INTERACTIVE BROKERS GROUP INC								
CL A								
Symbol: IBKR Exchange: NYSE								
EAI \$144 Current yield: 0.73%	Dec 18, 18	71 000	54 961	3,902.27	54 650	3,880.15	-22.12	ST
	Dec 19, 18	98 000	54 784	5,368.91	54 650	5,355.70	-13.21	ST
	Dec 20, 18	122 000	53 925	6,578.94	54 650	6,667.30	88.36	ST
	Dec 21, 18	69 000	53 393	3,684.17	54 650	3,770.85	86.68	ST
Security total		360 000	54 262	19,534.29		19,674.00	139.71	
LENOX INTL INC								
Symbol: LI Exchange: NYSE								
EAI \$348 Current yield: 1.17%	Mar 16, 17	77 000	172.054	13,248.17	218 860	16,852.22	3,604.05	LT
	Aug 31, 17	45 000	165 416	7,443.72	218 860	9,848.70	2,404.98	LT
	Sep 1, 17	14 000	166 108	2,325.52	218 860	3,064.04	738.52	LT
Security total		136 000	169 246	23,017.41		29,764.96	6,747.55	
MSCI INC								
Symbol: MSCI Exchange: NYSE								
EAI \$427 Current yield: 1.57%	Jun 7, 16	184 000	77 243	14,212.82	147 430	27,127.12	12,914.30	LT
NORDSON CORP								
Symbol: NDSN Exchange: OTC								
EAI \$312 Current yield: 1.17%	Jun 7, 16	223 000	88 570	19,751.16	119 350	26,615.05	6,863.89	LT
POOL CORP								
Symbol: POOL Exchange: OTC								
EAI \$281 Current yield: 1.21%	Sep 26, 17	156 000	107 830	16,821.59	148 650	23,189.40	6,367.81	LT
PRIMERICA INC								
Symbol: PRI Exchange: NYSE								
EAI \$183 Current yield: 1.02%	Jun 7, 16	183 000	57 926	10,600.55	97 710	17,880.93	7,280.38	LT
RBC BEARINGS INC								
Symbol: ROLL Exchange: OTC								
EAI \$183 Current yield: 1.02%	Jun 7, 16	205 000	75 366	15,450.18	131.100	26,875.50	11,425.32	LT
SCOTTS MIRACLE-GRO CO CL A								
Symbol: SMG Exchange: NYSE								
EAI \$935 Current yield: 3.58%	Feb 6, 18	161 000	88 758	14,290.13	61 460	9,895.06	-4,395.07	ST
	Apr 25, 18	93 000	85 085	7,912.95	61 460	5,715.78	-2,197.17	ST
	Sep 10, 18	81 000	77 149	6,249.12	61 460	4,978.26	-1,270.86	ST

continued next page



ACCESS
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 Y6

1015-578

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 11, 18	90 000	78 095	7,028 56	61.460	5,531 40	-1,497 16	ST
		425 000	83.484	35,480 76		26,120 50	-9,360 26	
SIGNATURE BANK NEW YORK NY								
Symbol: SBNY Exchange OTC								
EAI \$459 Current yield 2.18%	Jun 7, 16	82 000	133.710	10,964.22	102.810	8,430.42	-2,533.80	LT
	Aug 4, 16	62 000	119.657	7,418.77	102.810	6,374.22	-1,044.55	LT
	Jun 30, 17	61 000	143.537	8,755.80	102.810	6,271.41	-2,484.39	LT
Security total		205 000	132.384	27,138.79		21,076.05	-6,062.74	
SITEONE LANDSCAPE SUPPLY INC								
Symbol: SITE Exchange: NYSE								
	Oct 8, 18	34 000	70.435	2,394.81	55.270	1,879.18	-515.63	ST
	Oct 10, 18	91 000	67.711	6,161.74	55.270	5,029.57	-1,132.17	ST
	Oct 11, 18	87 000	68.917	5,995.84	55.270	4,808.49	-1,187.35	ST
	Nov 29, 18	66 000	59.941	3,956.13	55.270	3,647.82	-308.31	ST
	Nov 30, 18	42 000	60.835	2,555.08	55.270	2,321.34	-233.74	ST
	Dec 3, 18	55 000	62.667	3,446.73	55.270	3,039.85	-406.88	ST
Security total		375 000	65.361	24,510.33		20,726.25	-3,784.08	
SNAP ON INC								
Symbol: SNA Exchange NYSE								
EAI \$680 Current yield 2.61%	Jun 7, 16	127 000	158.941	20,185.52	145.290	18,451.83	-1,733.69	LT
	May 22, 17	52 000	164.339	8,545.67	145.290	7,555.08	-990.59	LT
Security total		179 000	160.509	28,731.19		26,006.91	-2,724.28	
TERADYNE INC								
Symbol: TER Exchange OTC								
EAI \$309 Current yield 1.15%	Aug 2, 17	443 000	33.465	14,825.08	31.380	13,901.34	-923.74	LT
	Jun 8, 18	244 000	38.334	9,353.69	31.380	7,656.72	-1,696.97	ST
	Oct 31, 18	172 000	34.518	5,937.25	31.380	5,397.36	-539.89	ST
Security total		859 000	35.059	30,116.02		26,955.42	-3,160.60	
THOR INDUSTRIES INC								
Symbol: THO Exchange NYSE								
EAI \$441 Current yield 3.00%	Jun 8, 18	147 000	103.375	15,196.24	52.000	7,644.00	-7,552.24	ST
	Oct 24, 18	136 000	73.233	9,959.70	52.000	7,072.00	-2,887.70	ST
Security total		283 000	88.890	25,155.94		14,716.00	-10,439.94	

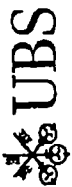
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1015-579

Your Financial Advisor:
 ADAM EPSTEIN
 212-821-7000/800-308-3140

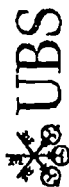
Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 Y6

ACCESS
 December 2018



Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WABCO HOLDINGS INC								
Symbol WBC Exchange NYSE								
	Jun 7, 16	227,000	109.342	24,820.77	107.340	24,366.18	-454.59	LT
	Oct 24, 18	34,000	105.041	3,571.41	107.340	3,649.56	78.15	ST
	Oct 31, 18	33,000	107.470	3,546.54	107.340	3,542.22	-4.32	ST
Security total		294,000	108.635	31,938.72		31,557.96	-380.76	
WINMARK CORP								
Symbol WINA Exchange OTC								
EAI: \$64 Current yield 0.38%								
	Jun 7, 16	63,000	100.999	6,362.94	159.000	10,017.00	3,654.06	LT
	Aug 2, 16	27,000	99.012	2,673.35	159.000	4,293.00	1,619.65	LT
	Aug 2, 16	17,000	99.010	1,683.17	159.000	2,703.00	1,019.83	LT
Security total		107,000	100.182	10,719.46		17,013.00	6,293.54	
Total				\$562,719.37		\$648,956.84	\$86,237.47	



Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

1015-1025

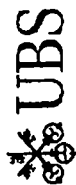
Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange NYSE								
EAI \$1,510 Current yield 2.07%	Jun 7, 16	210,000	119.455	25,085.65	141.010	29,612.10	4,526.45	LT
	Jun 4, 18	307,000	159.754	49,044.54	141.010	43,290.07	-5,754.47	ST
Security total		517,000	143.385	74,130.19		72,902.17	-1,228.02	
ADOBE INC (DELAWARE)								
Symbol: ADBE Exchange OTC								
	Dec 14, 15	196,000	91.895	18,011.52	226.240	44,343.04	26,331.52	LT
	Jun 7, 16	6,000	99.350	596.10	226.240	1,357.44	761.34	LT
	Aug 1, 18	4,000	247.520	990.08	226.240	904.96	-85.12	ST
Security total		206,000	95.134	19,597.70		46,605.44	27,007.74	
ALPHABET INC CL A								
Symbol: GOOGL Exchange: OTC								
	Jun 7, 16	30,000	734.510	22,035.30	1,044.960	31,348.80	9,313.50	LT
	Jun 4, 18	47,000	1,152.571	54,170.88	1,044.960	49,113.12	-5,057.76	ST
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Portfolio Management Program
December 2018

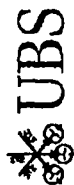
Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

1015-1026
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		77,000	989.691	76,206.18		80,461.92	4,255.74	
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange: NYSE								
EAI: \$589 Current yield: 1.99%	Mar 15, 17	107,000	114.764	12,279.78	158.190	16,926.33	4,646.55	LT
	Jul 24, 18	80,000	140.835	11,266.84	158.190	12,655.20	1,388.36	ST
Security total		187,000	125.918	23,546.62		29,581.53	6,034.91	
AMERIPRISE FINANCIAL INC								
Symbol: AMP Exchange: NYSE								
EAI: \$864 Current yield: 3.45%	Jun 7, 16	141,000	101.948	14,374.72	104.370	14,716.17	341.45	LT
	Jun 21, 18	98,000	146.846	14,390.93	104.370	10,228.26	-4,162.67	ST
	Aug 1, 18	1,000	143.360	143.36	104.370	104.37	-38.99	ST
Security total		240,000	120.454	28,909.01		25,048.80	-3,860.21	
BLACKROCK INC								
Symbol: BLK Exchange: NYSE								
EAI: \$1,653 Current yield: 3.19%	Dec 14, 16	57,000	393.050	22,403.85	392.820	22,390.74	-13.11	LT
	Jun 4, 18	75,000	540.960	40,572.00	392.820	29,461.50	-11,110.50	ST
Security total		132,000	477.090	62,975.85		51,852.24	-11,123.61	
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: \$1,658 Current yield: 4.12%	Jun 4, 18	285,000	123.888	35,308.16	108.790	31,005.15	-4,303.01	ST
	Oct 10, 18	85,000	123.415	10,490.31	108.790	9,247.15	-1,243.16	ST
Security total		370,000	123.780	45,798.47		40,252.30	-5,546.17	
CHUBB LTD CHF								
Symbol: CB Exchange: NYSE								
EAI: \$529 Current yield: 2.26%	Jun 7, 16	178,000	126.649	22,543.54	129.180	22,994.04	450.50	LT
CHF Exchange rate: 0.98580	Oct 4, 16	3,000	123.070	369.21	129.180	387.54	18.33	LT
Security total		181,000	126.590	22,912.75		23,381.58	468.83	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$1,016 Current yield: 3.30%	Jun 7, 16	336,000	45.387	15,250.13	47.350	15,909.60	659.47	LT
	Oct 4, 16	53,000	41.969	2,224.40	47.350	2,509.55	285.15	LT
	Jul 24, 18	262,000	45.017	11,794.64	47.350	12,405.70	611.06	ST
Security total		651,000	44.960	29,269.17		30,824.85	1,555.68	

continued next page



Portfolio Management Program
December 2018

Account name: M. & M. BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

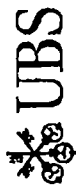
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

1015-1027

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
COLGATE PALMOLIVE CO								
Symbol: CL Exchange: NYSE								
EAI \$1,759 Current yield: 2.82%	Jun 7, 16	817,000	71.878	58,724.49	59.520	48,627.84	-10,096.65	LT
	Jun 4, 18	40,000	62.890	2,515.60	59.520	2,380.80	-134.80	ST
	Jul 24, 18	190,000	65.196	12,670.26 ¹	59.520	11,308.80	-1,361.46	
Security total		1,047,000	70.593	73,910.35		62,317.44	-11,592.91	
COMCAST CORP NEW CL A								
Symbol: CMCSA Exchange: OTC								
EAI \$1,240 Current yield: 2.23%	Jun 7, 16	808,000	31.783	25,680.79	34.050	27,512.40	1,831.61	LT
	Jun 4, 18	823,000	31.425	25,862.78	34.050	28,023.15	2,160.37	ST
Security total		1,631,000	31.602	51,543.57		55,535.55	3,991.98	
CRANE CO								
Symbol: CR Exchange: NYSE								
EAI \$400 Current yield: 1.94%	Jun 7, 16	286,000	59.643	17,058.16	72.180	20,643.48	3,585.32	LT
DANAHER CORP								
Symbol: DHR Exchange: NYSE								
EAI \$223 Current yield: 0.62%	Jun 7, 16	160,000	75.201	12,032.17	103.120	16,499.20	4,467.03	LT
	Oct 4, 16	75,000	78.029	5,852.18	103.120	7,734.00	1,881.82	LT
	Jul 24, 18	113,000	103.367	11,680.56	103.120	11,652.56	-28.00	ST
Security total		348,000	84.957	29,564.91		35,885.76	6,320.85	
DIAGEO PLC NEW GB SPON ADR								
Symbol: DEO Exchange: NYSE								
EAI \$665 Current yield: 2.46%	Jun 7, 16	191,000	110.141	21,036.95	141.800	27,083.80	6,046.85	LT
ECOLAB INC								
Symbol: ECL Exchange: NYSE								
EAI \$388 Current yield: 1.25%	Sep 28, 15	188,000	108.094	20,321.83	147.350	27,701.80	7,379.97	LT
	Jun 7, 16	23,000	120.350	2,768.05	147.350	3,389.05	621.00	LT
Security total		211,000	109.431	23,089.88		31,090.85	8,000.97	
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC								
	Jun 7, 16	173,000	117.826	20,384.03	131.090	22,678.57	2,294.54	LT
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI \$1,805 Current yield: 2.40%	Jun 7, 16	438,000	130.025	56,950.95	171.820	75,257.16	18,306.21	LT

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Portfolio Management Program
December 2018

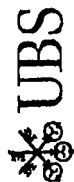
Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

1015-1028
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HONEYWELL INTL INC								
Symbol: HON Exchange NYSE	Jan 31, 01	243,000	45.910	11,156.26	132.120	32,105.16	20,948.90	LT
EAI: \$859 Current yield: 2.48%	Jun 4, 18	19,000	143.486	2,726.24	132.120	2,510.28	-215.96	ST
Security total		262,000	52.987	13,882.50		34,615.44	20,732.94	
INTEL CORP								
Symbol: INTC Exchange OTC	Jul 7, 15	389,000	29.812	11,596.98	46.930	18,255.77	6,658.79	LT
EAI: \$1,380 Current yield: 2.56%	Sep 28, 15	430,000	29.151	12,535.02	46.930	20,179.90	7,644.88	LT
	Jun 4, 18	63,000	57.165	3,601.44	46.930	2,956.59	-644.85	ST
	Oct 10, 18	268,000	45.500	12,194.00	46.930	12,577.24	383.24	ST
Security total		1,150,000	34.720	39,927.44		53,969.50	14,042.06	
INTERCONTINENTAL EXCHANGE GROUP								
Symbol: ICE Exchange NYSE	Jun 7, 16	292,000	53.049	15,490.31	75.330	21,996.36	6,506.05	LT
EAI: \$282 Current yield: 1.27%	Aug 1, 18	2,000	73.900	147.80	75.330	150.66	2.86	ST
Security total		294,000	53.191	15,638.11		22,147.02	6,508.91	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange NYSE	Jun 13, 17	190,000	131.617	25,007.31	129.050	24,519.50	-487.81	LT
EAI: \$1,505 Current yield: 2.79%	Jun 4, 18	142,000	121.922	17,312.95	129.050	18,325.10	1,012.15	ST
	Jul 24, 18	86,000	129.020	11,095.77	129.050	11,098.30	2.53	ST
Security total		418,000	127.790	53,416.03		53,942.90	526.87	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange NYSE	Jun 4, 18	363,000	108.818	39,501.04	97.620	35,436.06	-4,064.98	ST
EAI: \$1,459 Current yield: 3.28%	Oct 10, 18	93,000	112.539	10,466.13	97.620	9,078.66	-1,387.47	ST
Security total		456,000	109.577	49,967.17		44,514.72	-5,452.45	
UNDE PLC EUR								
Symbol: UN Exchange NYSE	Oct 4, 16	116,000	163.455	18,960.78	156.040	18,100.64	-860.14	LT
EAI: \$383 Current yield: 2.12 %								
EUR Exchange rate: 0.87477								

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Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

Your Financial Advisor:
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212-821-7000/800-308-3140

1015-1029

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LOCKHEED MARTIN CORP								
Symbol LMT Exchange: NYSE								
EAI \$598 Current yield: 3.36%	Jun 7, 16	68,000	240.441	16,350.03	261.840	17,805.12	1,455.09	LT
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE								
EAI \$541 Current yield: 2.08%	Jun 7, 16	254,000	79.429	20,175.19	92.360	23,459.44	3,284.25	LT
	Oct 4, 16	24,000	72.686	1,744.47	92.360	2,216.64	472.17	LT
	Aug 1, 18	4,000	97.950	391.80	92.360	369.44	-22.36	ST
Security total		282,000	79.119	22,311.46		26,045.52	3,734.06	
MARSH & MCLENNAN COS INC								
Symbol MMC Exchange: NYSE								
EAI \$606 Current yield: 2.08%	Jun 7, 16	365,000	66.517	24,278.71	79.750	29,108.75	4,830.04	LT
MCDONALDS CORP								
Symbol MCD Exchange: NYSE								
EAI \$979 Current yield: 2.61%	Dec 14, 15	81,000	116.519	9,438.09	177.570	14,383.17	4,945.08	LT
	Jun 7, 16	114,000	122.786	13,997.70	177.570	20,242.98	6,245.28	LT
	Oct 4, 16	16,000	114.528	1,832.46	177.570	2,841.12	1,008.66	LT
Security total		211,000	119.755	25,268.25		37,467.27	12,199.02	
MEDTRONIC PLC								
Symbol MDT Exchange: NYSE								
EAI \$1,510 Current yield: 2.20%	Jun 7, 16	660,000	83.780	55,294.80	90.960	60,033.60	4,738.80	LT
	Jun 4, 18	95,000	87.709	8,332.36	90.960	8,641.20	308.84	ST
Security total		755,000	84.274	63,627.16		68,674.80	5,047.64	
MICROSOFT CORP								
Symbol MSFT Exchange: OTC								
EAI \$2,628 Current yield: 1.81%	Jul 7, 15	273,000	44.177	12,060.53	101.570	27,728.61	15,668.08	LT
	Sep 28, 15	275,000	43.668	12,008.73	101.570	27,931.75	15,923.02	LT
	Jun 4, 18	591,000	101.535	60,007.19	101.570	60,027.87	20.68	ST
	Jul 17, 18	135,000	106.319	14,353.19	101.570	13,711.95	-641.24	ST
	Sep 18, 18	154,000	113.297	17,447.86	101.570	15,641.78	-1,806.08	ST
Security total		1,428,000	81.147	115,877.50		145,041.96	29,164.46	

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Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

1015-1030
Your Financial Advisor:
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212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONDELEZ INTL INC								
Symbol: MDLZ Exchange: OTC								
EAI \$780 Current yield: 2.60%	May 15, 17	454,000	45.110	20,931.04	40.030	18,573.92	-2,357.12	LT
	Jul 17, 18	270,000	42.798	11,555.60	40.030	10,808.10	-747.50	ST
	Aug 1, 18	16,000	42.806	684.91	40.030	640.48	-44.43	ST
Security total		750,000	44.229	33,171.55		30,022.50	-3,149.05	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAI \$808 Current yield: 2.55%	Jun 7, 16	182,000	122.193	22,239.24	173.820	31,635.24	9,396.00	LT
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI \$814 Current yield: 2.90%	Jun 7, 16	319,000	81.924	26,134.07	85.810	27,373.39	1,239.32	LT
	Oct 4, 16	8,000	79.198	633.59	85.810	686.48	52.89	LT
Security total		327,000	81.858	26,767.66		28,059.87	1,292.21	
O'REILLY AUTOMOTIVE INC								
Symbol: ORLY Exchange: OTC								
	Jun 4, 18	80,000	276.159	22,092.72	344.330	27,546.40	5,453.68	ST
PARKER HANNIFIN CORP								
Symbol: PH Exchange: NYSE								
EAI \$410 Current yield: 2.04%	Jun 4, 18	125,000	172.728	21,591.12	149.140	18,642.50	-2,948.62	ST
	Aug 1, 18	10,000	165.949	1,659.49	149.140	1,491.40	-168.09	ST
Security total		135,000	172.227	23,250.61		20,133.90	-3,116.71	
RED HAT INC								
Symbol: RHT Exchange: NYSE								
	Jun 7, 16	197,000	78.433	15,451.42	175.640	34,601.08	19,149.66	LT
	Aug 1, 18	8,000	141.990	1,135.92	175.640	1,405.12	269.20	ST
	Oct 16, 18	183,000	125.119	22,896.78	175.640	32,142.12	9,245.34	ST
Security total		388,000	101.763	39,484.12		68,148.32	28,664.20	
REPUBLIC SERVICES INC								
Symbol: RSG Exchange: NYSE								
EAI \$495 Current yield: 2.08%	Jun 13, 18	161,000	69.409	11,174.99	72.090	11,606.49	431.50	ST
	Jul 24, 18	169,000	68.117	11,511.90	72.090	12,183.21	671.31	ST
Security total		330,000	68.748	22,686.89		23,789.70	1,102.81	
ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange: NYSE								
EAI \$900 Current yield: 2.58%	Jun 7, 16	232,000	119.468	27,716.64	150.480	34,911.36	7,194.72	LT

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Portfolio Management Program
December 2018

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Account number: Y1 41716 Y6

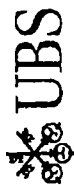
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1015-1031

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol 5LB Exchange NYSE	Jun 4, 18	610 000	68 969	42,071 09	36.080	22,008 80	-20,062 29	ST
EAI \$1,280 Current yield 5.54%	Aug 1, 18	30 000	66 400	1,992 00	36.080	1,082 40	-909 60	ST
Security total		640,000	68,849	44,063 09		23,091 20	-20,971 89	
SUNCOR ENERGY INC NEW CAD								
Symbol SU Exchange NYSE	Jan 24, 17	786 000	32 351	25,427 97	27 970	21,984 42	-3,443 55	LT
EAI \$831 Current yield 3.78%								
CAD Exchange rate 1.36580								
SUNTRUST BANKS INC								
Symbol STI Exchange NYSE	Jun 4, 18	361 000	68 839	24,850 88	50 440	18,208 84	-6,642 04	ST
EAI \$986 Current yield 3.97%	Oct 10, 18	132 000	66 169	8,734 31	50 440	6,658 08	-2,076 23	ST
Security total		493,000	68 124	33,585 19		24,866 92	-8,718 27	
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC	Jun 7, 16	323 000	61 627	19,905 62	94 500	30,523 50	10,617 88	LT
EAI \$995 Current yield 3.26%								
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE	Jun 7, 16	165 000	152 634	25,184 75	223 790	36,925 35	11,740 60	LT
EAI \$112 Current yield 0.30%								
TIX COS INC NEW								
Symbol TIX Exchange NYSE	Jun 7, 16	526 000	38 073	20,026 61	44 740	23,533 24	3,506 63	LT
EAI \$410 Current yield 1.74%								
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE	Jun 7, 16	227 000	87 616	19,889 02	138 230	31,378 21	11,489 19	LT
EAI \$726 Current yield 2.31%								
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE	Jun 4, 18	219 000	244 960	53,646 26	249 120	54,557 28	911 02	ST
EAI \$821 Current yield 1.45%	Aug 1, 18	9 000	253 240	2,279 16	249 120	2,242 08	-37 08	ST
Security total		228 000	245 287	55,925 42		56,799 36	873 94	

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Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 Y6

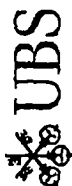
1015-1032
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNITED TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$1,308 Current yield 2.76%	Jun 7, 16	445,000	102.029	45,403.00	106.480	47,383.60	1,980.60	LT
VF CORP								
Symbol VFC Exchange NYSE								
EAI \$510 Current yield 2.86%	Jun 7, 16	197,000	62.364	12,285.90	71.340	14,053.98	1,768.08	LT
	Oct 4, 16	53,000	56.329	2,985.48	71.340	3,781.02	795.54	LT
Security total		250,000	61.086	15,271.38		17,835.00	2,563.62	
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$438 Current yield 0.76%	Oct 4, 16	267,000	82.874	22,127.62	131.940	35,227.98	13,100.36	LT
	Jun 4, 18	166,000	133.287	22,125.66	131.940	21,902.04	-223.62	ST
	Aug 1, 18	5,000	137.970	689.85	131.940	659.70	-30.15	ST
Security total		438,000	102.610	44,943.13		57,789.72	12,846.59	
WALT DISNEY CO (HOLDING CO)								
Symbol DIS Exchange NYSE								
EAI \$704 Current yield 1.61%	Oct 4, 16	286,000	92.649	26,497.87	109.650	31,359.90	4,862.03	LT
	Aug 1, 18	7,000	112.780	789.46	109.650	767.55	-21.91	ST
	Sep 18, 18	107,000	109.437	11,709.82	109.650	11,732.55	22.73	ST
Security total		400,000	97.493	38,997.15		43,860.00	4,862.85	
Total				\$1,742,421.64		\$1,963,054.89	\$220,633.25	

Total estimated annual income: \$40,357

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction



Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
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1015-1033

Your assets • Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The *unrealized (tax) gain or loss* may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol: IVO									
Trade date: Aug 1, 18	921,000	124.707	114,855.94	114,855.94	111.050	102,277.05	-12,578.89	-12,578.89	ST
EAI: \$2,775 Current yield: 2.71%									

Your total assets

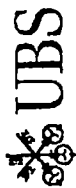
	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities					
Common stock	1,963,054.89		1,742,421.64	40,357.00	220,633.25
Closed end funds & Exchange traded products	102,277.05		114,855.94	2,775.00	-12,578.89
Total equities	2,065,331.94	98.38%	1,857,277.58	43,132.00	208,054.36

1015-809

Your Financial Advisor
 ADAM EPSTEIN
 212-821-7000/800-308-3140

Account name: M & M BARTLETT FAMILY
 Friendly account name: Dolan Credit
 Account number: Y1 41613 Y6

Managed Accounts Consulting
 December 2018



Fixed income

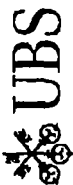
Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DUN & BRADSTREET CORP NTS B/E RATE 04 250% MATURES 06/15/20 ACCRUED INTEREST \$28.33 CUSIP 26483EAH3 S&P BB+								
EAL \$638 Current yield 4.21% Original cost basis \$15,551.10	Jun 14, 16	15,000,000	101,385	15,208.04	100,938	15,140.70	-67.34	LT

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Managed Accounts Consulting
December 2018

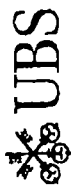
Account name: M. & M. BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

1015-810
Your Financial Advisor:
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212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
EXPEDIA INC NTS B/E CALL@MW+50BP RATE 05 950% MATURES 08/15/20 ACCURED INTEREST \$314.68 CUSIP 30212PAH8 Moody: Ba1 S&P BBB EAI: \$833 Current yield: 5.76% Original cost basis: \$15,667.75	Jun 13, 16	14,000,000	104,799	14,671.95	103,319	14,464.66	-207.29	LT
MASCO CORP NTS B/E CALL@MW+358P RATE 03 500% MATURES 04/01/21 CALLABLE ACCURED INTEREST \$131.25 CUSIP 574599BK1 Moody: Baa3 S&P BBB EAI: \$525 Current yield: 3.52% Original cost basis: \$13,240.50 Original cost basis: \$2,055.00 Security total	Jun 10, 16 Oct 14, 16	13,000,000 2,000,000 15,000,000	100,899 101,429	13,116.88 2,028.58 15,145.46	99,533 99,533	12,939.29 1,990.66 14,929.95	-177.59 -37.92 -215.51	LT LT LT
GAP INC CALL@MW T-40BP RATE 05 950% MATURES 04/12/21 ACCURED INTEREST \$182.79 CUSIP 364760AK4 Moody: Baa2 S&P BB+ EAI: \$833 Current yield: 5.83% Original cost basis: \$14,525.00	Jun 08, 16	14,000,000	101,877	14,262.79	102,110	14,295.40	32.61	LT
HOST HOTELS & RESORTS CALL@MW+50BP RATE 06 000% MATURES 10/01/21 ACCURED INTEREST \$105.00 CUSIP 44107TAQ9 Moody: Baa2 S&P BBB- EAI: \$420 Current yield: 5.72% Original cost basis: \$7,423.71	Jun 21, 18	7,000,000	105,126	7,358.88	104,955	7,346.85	-12.03	ST

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Managed Accounts Consulting
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

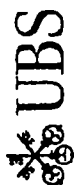
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

1015-811

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
HOST HOTELS & RESORTS								
CALL@MMW+50BP								
RATE 05 250% MATURES 03/15/22								
CALLABLE								
ACCRUED INTEREST \$30.91								
CUSIP 441077TAS5								
Moody: Baa2 S&P: BBB-								
EAL \$105 Current yield: 5.07%								
Original cost basis: \$2,083.90								
	Jul 17, 18	2,000,000	103.703	2,074.07	103.466	2,069.32	-4.75	ST
TRANSDIGM INC NTS B/E								
CALL@MMW+50BP								
RATE 06 000% MATURES 07/15/22								
ACCRUED INTEREST \$387.33								
CUSIP 893647AW7								
Moody: B3 S&P: B-								
EAL: \$840 Current yield: 6.09%								
Original cost basis: \$14,224.00								
	Jun 13, 16	14,000,000	101.554	14,217.64	98.500	13,790.00	-427.64	LT
VERISK ANALYTICS INC NTS								
CALL@MMW +40BP								
RATE 04 125% MATURES 09/12/22								
ACCRUED INTEREST \$187.34								
CUSIP 92345YAC0								
Moody: Baa2 S&P: BBB-								
EAL: \$619 Current yield: 4.07%								
Original cost basis: \$15,887.40								
	Jun 13, 16	15,000,000	103.635	15,545.29	101.300	15,195.00	-350.29	LT
AMERICAN TOWER CORP NTS								
CALL@MMW+25BP								
RATE 03 500% MATURES 01/31/23								
ACCRUED INTEREST \$218.74								
CUSIP 03027XAB6								
Moody: Baa3 S&P: BBB-								
EAL: \$525 Current yield: 3.56%								
Original cost basis: \$15,531.00								
	Jun 27, 16	15,000,000	102.269	15,340.46	98.277	14,741.55	-598.91	LT

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December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

1015-812
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KOHLS CORP B/E								
CALL@MW+25BP								
RATE 03 250% MATURES 02/01/23								
CALLABLE								
ACCRUED INTEREST \$27.08								
CUSIP 500255AS3								
Moody Baa2 S&P BBB-								
EAL \$65 Current yield 3.32%	Oct 25, 17	2,000,000	98,384	1,957.68	97,813	1,956.26	-11.42	LT
HOST HOTELS & RESORTS								
CALL@MW+50BP								
RATE 04.750% MATURES 03/01/23								
ACCRUED INTEREST \$94.99								
CUSIP 44107TAT3								
Moody Baa2 S&P BBB-								
EAL \$285 Current yield 4.65%	May 22, 18	6,000,000	101,954	6,117.24	102,170	6,130.20	12.96	ST
Original cost basis \$6,132.78								
CAMPBELL SOUP CO NTS B/E								
CALL@MW+20BP								
RATE 03 650% MATURES 03/15/23								
CALLABLE								
ACCRUED INTEREST \$161.20								
CUSIP 1344298E8								
Moody Baa2 S&P BBB-								
EAL \$548 Current yield 3.74%	Aug 07, 18	15,000,000	98,424	14,763.60	97,554	14,633.10	-130.50	ST
TRINITY ACQUISITION PLC								
CALL@MW+35BP								
RATE 04.625% MATURES 08/15/23								
ACCRUED INTEREST \$244.61								
CUSIP 89641UAA9								
Moody Baa3 S&P BBB								
EAL \$648 Current yield 4.50%	Jun 08, 16	14,000,000	104,143	14,580.09	102,847	14,398.58	-181.51	LT
Original cost basis \$14,862.75								

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Managed Accounts Consulting
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

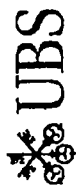
Your Financial Advisor:
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212-821-7000/800-308-3140

1015-813

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CDW LLC / CDW FIN CORP								
CALL@MW+50BP								
RATE 05 000% MATURES 09/01/23								
ACCRUED INTEREST \$250 00								
CUSIP 12513GB84								
Moody: Baa2 S&P: BB-								
EAI: \$750 Current yield: 5.09%	Jun 09, 16	15,000 000	100 875	15,131 25	98 250	14,737 50	-393 75	LT
REYNOLDS AMERN INC NTS								
CALL@MW+30BP								
RATE 04 850% MATURES 09/15/23								
ACCRUED INTEREST \$42 84								
CUSIP 761713AY2								
Moody: Baa2 S&P: BBB+								
EAI: \$146 Current yield: 4.79%								
Original cost basis: \$3 038 58	Nov 26, 18	3,000 000	101 262	3,037 87	101 197	3,035 91	-1 96	ST
FORTUNE BRNDS HOME & SEC								
CALL@MW+20BP								
RATE 04 000% MATURES 09/21/23								
INTEREST EARNED FROM 09/21/18								
1ST INTEREST PAYMENT 03/21/19								
CALLABLE								
ACCRUED INTEREST \$55 55								
CUSIP 34964CAC0								
Moody: Baa3 S&P: BBB+	Sep 19, 18	3,000 000	99 969	2,999 07	98 940	2,968 20	-30 87	ST
EAI: \$200 Current yield: 4.04%	Sep 25, 18	2,000 000	100 102	2,002 04	98 940	1,978 80	-23 24	ST
Original cost basis: \$2,002 14		5,000 000		5,001 11		4,947 00	-54 11	
Security total								
GANNETT CO INC NTS B/E								
CALL@MW+50BP								
RATE 06 375% MATURES 10/15/23								
ACCRUED INTEREST \$188 41								
CUSIP 364725BEO								
Moody: Baa2 S&P: BB								
EAI: \$893 Current yield: 6.36%	Jun 07, 16	14,000 000	105 055	14,707 72	100 250	14,035 00	-672 72	LT
Original cost basis: \$15,022 00								

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Managed Accounts Consulting
December 2018

Account name: M. & M. BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

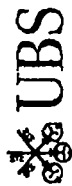
Your Financial Advisor:
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212-821-7000/800-308-3140

1015-814

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
L BRANDS INC NTS B/E								
CALL@MW+50BP								
RATE 05 625% MATURES 10/15/23								
ACCURED INTEREST \$166.25								
CUSIP 501797AJ3								
Moody Ba1 S&P BB								
EAI \$788 Current yield: 5.73%								
Original cost basis: \$14,770.00								
	May 02, 17	14,000,000	104,231	14,592.47	98,125	13,737.50	-854.97	LT
CBS OUTDOOR AMERICAS								
CALL@MW+50BP								
RATE 05 625% MATURES 02/15/24								
ACCURED INTEREST \$107.81								
CUSIP 12505FAD3								
Moody B1 S&P BB-								
EAI \$844 Current yield: 5.71%								
Original cost basis: \$15,487.50								
	Mar 16, 17	15,000,000	102,842	15,426.34	98,500	14,775.00	-651.34	LT
DISCOVERY COMM INC NTS								
CALL@MW+25BP								
RATE 03.800% MATURES 03/13/24								
CALLABLE								
ACCURED INTEREST \$22.80								
CUSIP 25470DAM1								
Moody Baa3 S&P BBB-								
EAI \$76 Current yield: 3.90%								
	Sep 13, 18	2,000,000	98,374	1,967.48	97,553	1,951.06	-16.42	ST
CENTURYLINK INC NTS B/E								
CALL@MW+50BP								
RATE 07 500% MATURES 04/01/24								
CALLABLE								
ACCURED INTEREST \$262.50								
CUSIP 156700BA3								
Moody B2 S&P B+								
EAI \$1,050 Current yield: 7.77%								
Original cost basis: \$14,630.00								
	Aug 22, 17	14,000,000	103,724	14,521.48	96,500	13,510.00	-1,011.48	LT

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December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

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1015-815

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
QVC INC NTS B/E								
CALL@MW+35BP								
RATE 04 850% MATURES 04/01/24								
ACCURED INTEREST \$48.50								
CUSIP 747262ASZ								
Moody: Baa2 S&P: BBB-								
EAI \$194 Current yield 5.02%								
Original cost basis \$4,053.52								
Nov 09, 16		4,000.000	100.995	4,039.80	95.634	3,865.36	-174.44	LT
WILLIS NA INC NTS B/E								
CALL@MW+20BP								
RATE 03 600% MATURES 05/15/24								
CALLABLE								
ACCURED INTEREST \$9.20								
CUSIP 970648AP8								
Moody: Baa3 S&P: BBB								
EAI \$72 Current yield 3.69%								
Sep 11, 18		2,000.000	97.503	1,950.06	97.636	1,952.72	2.66	ST
SIGNET UK FIN PLC B/E								
CALL@MW+35BP								
RATE 04.700% MATURES 06/15/24								
CALLABLE								
ACCURED INTEREST \$33.42								
CUSIP 82671AAA1								
Moody: Ba1 S&P: BB+								
EAI \$752 Current yield 5.31%								
Oct 30, 17		16,000.000	99.000	15,840.00	88.500	14,160.00	-1,680.00	LT
WESCO DISTR INC NTS B/E								
CALL@MW+50BP								
RATE 05.375% MATURES 06/15/24								
CALLABLE								
ACCURED INTEREST \$35.83								
CUSIP 95081QAM6								
Moody: B1 S&P: BB								
EAI \$806 Current yield 5.70%								
Feb 10, 17		9,000.000	102.750	9,247.50	94.250	8,482.50	-765.00	LT
Jun 21, 18		6,000.000	99.375	5,962.50	94.250	5,655.00	-307.50	ST
		15,000.000		15,210.00		14,137.50	-1,072.50	
Security total								continued next page



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December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

1015-816

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Your assets > Fixed income > Corporate bonds and notes (continued)

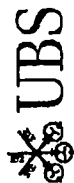
Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DAVITA HEALTHCARE PARTNE CALL@MMW+50BP RATE 05 125% MATURES 07/15/24 CALLABLE ACCURED INTEREST \$354.47 CUSIP 23918KAQ1								
Moody: Baa3 S&P: B+	Nov 16, 16	15,000,000	98,250	14,737.50	93,750	14,062.50	-675.00	LT
MOTOROLA SOLUTIONS INC CALL@MMW+30BP RATE 04.000% MATURES 09/01/24 ACCURED INTEREST \$199.99 CUSIP 620076BF5								
Moody: Baa3 S&P: BBB-	Sep 14, 16	15,000,000	99,823	14,973.45	97,314	14,597.10	-376.35	LT
EAI: \$600 Current yield: 4.11%								
SBA COMM CORP NTS B/E RATE 04 875% MATURES 09/01/24 CALLABLE ACCURED INTEREST \$243.75 CUSIP 78388JAY8								
Moody: B2 S&P: BB-	Jun 19, 18	2,000,000	96,625	1,932.50	94,000	1,880.00	-52.50	ST
EAI: \$731 Current yield 5.19%	Jun 27, 18	13,000,000	96,125	12,496.25	94,000	12,220.00	-276.25	ST
Security total		15,000,000		14,428.75		14,100.00	-328.75	
TRINITY INDUSTRIES INC CALL@MMW+30BP RATE 04 550% MATURES 10/01/24 CALLABLE ACCURED INTEREST \$182.00 CUSIP 89652ZAHZ								
Moody: Baa2 S&P: BBB-	Jun 27, 16	16,000,000	92,462	14,794.00	86,157	13,785.12	-1,008.88	LT
EAI: \$728 Current yield 5.28%								continued next page

1015-817

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Account name: M & M BARTLETT FAMILY
 Friendly account name: Dolan Credit
 Account number: Y1 41613 Y6

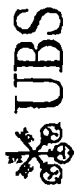
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 December 2018



Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KLA-TENCOR CORP NTS B/E								
CALL@MMW+37 5BP								
RATE 04 650% MATURES 11/01/24								
CALLABLE								
ACCURED INTEREST \$108.50								
CUSIP 482480AEO								
Moody: Baa1 S&P: BBB								
EAI: \$651 Current yield: 4.54%								
Original cost basis: \$15,064.28								
	Jun 07, 16	14,000,000	105,514	14,771.98	102,482	14,347.48	-424.50	LT
UNITED RENTALS NORTH AM								
CALL@MMW+50BP								
RATE 05 750% MATURES 11/15/24								
CALLABLE								
ACCURED INTEREST \$102.86								
CUSIP 911365889								
Moody: Baa3 S&P: BB								
EAI: \$805 Current yield: 5.97%								
	Jun 07, 16	14,000,000	102,100	14,294.00	96,250	13,475.00	-819.00	LT
CARLSLE COS INC NTS B/E								
CALL@MMW+20BP								
RATE 03.500% MATURES 12/01/24								
CALLABLE								
ACCURED INTEREST \$46.66								
CUSIP 142339AG5								
Moody: Baa2 S&P: BBB								
EAI: \$560 Current yield: 3.66%								
Original cost basis: \$5,011.00								
	Nov 13, 17	5,000,000	100,188	5,009.42	95,753	4,787.65	-221.77	LT
	May 02, 18	11,000,000	96,631	10,629.41	95,753	10,532.83	-96.58	ST
		16,000,000		15,638.83		15,320.48	-318.35	
Security total								
PENSKE AUTO GROUP INC								
CALL@MMW+50BP								
RATE 05 375% MATURES 12/01/24								
CALLABLE								
ACCURED INTEREST \$71.66								
CUSIP 70959WAF0								
Moody: Baa3 S&P: B+								
EAI: \$860 Current yield: 5.74%								
	May 17, 17	10,000,000	100,375	10,037.50	93,625	9,362.50	-675.00	LT

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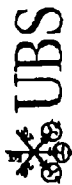
Managed Accounts Consulting
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

1015-818
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 02, 17	6,000,000	100,500	6,030,00	93,625	5,617,50	-412,50	LT
AT&T INC NTS B/E		16,000,000		16,067,50		14,980,00	-1,087,50	
CALL@MW+30BP								
RATE 03 950% MATURES 07/15/25								
CALLABLE								
ACCURED INTEREST \$273.20								
CUSIP 00206RDD1								
Moody: Baa2 S&P BBB								
EAI \$593 Current yield 4.04%								
Original cost basis \$15,733.05								
QVC INC B/E	Jun 13, 16	15,000,000	103,575	15,536,38	97,785	14,667,90	-868,48	LT
CALL@MW+30BP								
RATE 04.450% MATURES 02/15/25								
ACCURED INTEREST \$235.35								
CUSIP 747262AU7								
Moody: Baa2 S&P BBB								
EAL \$623 Current yield 4.83%	Sep 22, 16	14,000,000	98,388	13,774,32	92,156	12,901,84	-872,48	LT
JUNIPER NETWORKS INC								
CALL@MW+37 SBP								
RATE 04.350% MATURES 06/15/25								
CALLABLE								
ACCURED INTEREST \$5.79								
CUSIP 48203RAJ3								
Moody: Baa2 S&P BBB								
EAL \$131 Current yield 4.36%	Oct 18, 18	3,000,000	99,243	2,977,29	99,750	2,992,50	15,21	ST
KRAFT HEINZ FOODS CO NTS								
CALL@MW+25BP								
RATE 03 950% MATURES 07/15/25								
CALLABLE								
ACCURED INTEREST \$291.42								
CUSIP 50077LAK2								
Moody: Baa3 S&P BBB								
EAL \$632 Current yield 4.08%	Dec 05, 17	13,000,000	102,956	13,384,36	96,776	12,580,88	-803,48	LT
Original cost basis \$13,439.66	Jan 24, 18	3,000,000	101,512	3,045,37	96,776	2,903,28	-142,09	ST
Original cost basis \$3,051.03								continued next page



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December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

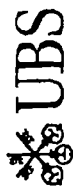
Your Financial Advisor:
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212-821-7000/800-308-3140

1015-819

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		16,000,000		16,429.73		15,484.16	-945.57	
KOHLS CORP B/E								
CALL@MMW+30BP								
RATE 04 250% MATURES 07/17/25								
CALLABLE								
ACCURED INTEREST \$271.05								
CUSIP 500255AU8								
Moody: Baa2 S&P: BB-								
EAI: \$595 Current yield: 4.31%								
	May 15, 17	7,000,000	97.915	6,854.05	98.678	6,907.46	53.41	LT
	May 30, 17	7,000,000	99.371	6,955.97	98.678	6,907.46	-48.51	LT
Security total		14,000,000		13,810.02		13,814.92	4.90	
SALLY HOLDINGS/SALLY CAP								
CALL@MMW+50BP								
RATE 05 625% MATURES 12/01/25								
CALLABLE								
ACCURED INTEREST \$75.00								
CUSIP 79546VAL0								
Moody: Baa3 S&P: BB-								
EAI: \$900 Current yield: 6.11%								
	Jun 13, 17	2,000,000	102.250	2,045.00	92.000	1,840.00	-205.00	LT
	Aug 02, 17	2,000,000	102.375	2,047.50	92.000	1,840.00	-207.50	LT
	Aug 11, 17	2,000,000	101.250	2,025.00	92.000	1,840.00	-185.00	LT
	Nov 27, 17	2,000,000	100.000	2,000.00	92.000	1,840.00	-160.00	LT
	Dec 21, 17	8,000,000	99.500	7,960.00	92.000	7,360.00	-600.00	LT
Security total		16,000,000		16,077.50		14,720.00	-1,357.50	
WESTERN DIGITAL CORP NTS								
CALL@MMW+50BP								
RATE 04.750% MATURES 02/15/26								
CALLABLE								
ACCURED INTEREST \$322.99								
CUSIP 95810ZAM7								
Moody: Baa3 S&P: BB+								
EAI: \$855 Current yield: 5.48%								
Original cost basis: \$16,180.00								
	Feb 02, 18	16,000,000	101.019	16,163.17	86.750	13,880.00	-2,283.17	ST
	Dec 20, 18	2,000,000	85.750	1,715.00	86.750	1,735.00	20.00	ST
Security total		18,000,000		17,878.17		15,615.00	-2,263.17	

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December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 Y6

1015-820

Your Financial Advisor:
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212-821-7000/800-308-3140

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DISCOVERY COMM INC B/E								
CALL@MMW+50BP								
RATE 04 900% MATURES 03/11/26								
CALLABLE								
ACCRUED INTEREST \$209.61								
CUSIP 25470DAL3								
Moody Baa3 S&P BBB-								
EAI \$686 Current yield 4.86%								
Original cost basis \$14,927.50	Jun 07, 16	14,000.000	105.125	14,717.61	100.737	14,103.18	-614.43	LT
OMNICOM GROUP INC B/E								
CALL@MMW+30BP								
RATE 03 600% MATURES 04/15/26								
CALLABLE								
ACCRUED INTEREST \$129.20								
CUSIP 68217FAAO								
Moody Baa1 S&P BBB+								
EAI \$612 Current yield 3.76%	Apr 23, 18	17,000.000	95.754	16,278.18	95.707	16,270.19	-7.99	ST
TEMPUR SEALY INTL INC								
CALL@MMW+50BP								
RATE 05 500% MATURES 06/15/26								
CALLABLE								
ACCRUED INTEREST \$34.22								
CUSIP 88023UAG6								
Moody B1 S&P BB-								
EAI \$770 Current yield 6.03%	Apr 04, 18	2,000.000	96.125	1,922.50	91.250	1,825.00	-97.50	ST
	Jul 10, 18	3,000.000	96.750	2,902.50	91.250	2,737.50	-165.00	ST
	Sep 06, 18	9,000.000	97.312	8,758.12	91.250	8,212.50	-545.62	ST
Security total		14,000.000		13,583.12		12,775.00	-808.12	
Total		\$529,000.000		\$533,447.10		\$511,948.49	-\$21,498.61	

1015-431

Your Financial Advisor:
 ADAM EPSTEIN
 212-821-7000/800-308-3140

Account name: M & M BARTLETT FAMILY
 Friendly account name: UTES/Cash
 Account number: Y1 41610 Y6

Business Services Account
 December 2018



Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
REAVES UTILITIES ETF									
Symbol: UTES									
Trade date: Jun 6, 16	31,495,000	30.850	971,624.06	971,624.06	33.524	1,055,838.38	84,214.32	84,214.32	LT
EAI \$22.834 Current yield 2.16%									



Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: PMP EP ETF
Account number: Y1 41717 Y6

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

1015-1139

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol: IWD									
Trade date: Jun 7, 16	1,292,000	103.668	133,939.44	133,939.44	111.050	143,476.60	9,537.16		LT
Trade date: Jun 8, 16	353,000	103.668	36,594.91	36,594.91	111.050	39,200.65	2,605.74		LT
EAI \$4.956 Current yield 2.71%									
Security total	1,645,000	103.668	170,534.35	170,534.35		182,677.25	12,142.90	12,142.90	
ISHARES CORE HIGH DIVID ETF									

continued next page



Portfolio Management Program
December 2018

Account name: M & M BARTLETT FAMILY
Friendly account name: PMP EP ETF
Account number: Y1 41717 Y6

1015-1140

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Symbol	HDV	Trade date	Jun 8, 16	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES EDGE MSCI MIN VOL USA ETF	Symbol: USMV		Trade date: Jun 8, 16		3,797,000	44.918	170,553.65	170,553.65	52.400	198,962.80	28,409.15	28,409.15	LT
EAI: \$4,215 Current yield 2.12%													
ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF	Symbol: MTUM		Trade date: Jun 8, 16		2,268,000	75.239	170,643.19	170,643.19	100.230	227,321.64	56,678.45	56,678.45	LT
EAI: \$2,892 Current yield 1.27%													
ISHARES EDGE MSCI USA QUALITY FACTOR ETF	Symbol: QUAL		Trade date: Jun 8, 16		2,564,000	66.607	170,781.63	170,781.63	76.760	196,812.64	26,031.01	26,031.01	LT
EAI: \$3,554 Current yield 1.81%													
Total							\$852,872.89	\$852,872.89		\$982,719.19	\$129,846.30	\$129,846.30	
Total estimated annual income: \$22,107													
YOUR TOTAL ASSETS													
Equities													
Closed end funds & Exchange traded products							982,719.19		100.00%	852,872.89	22,107.00	129,846.30	