

Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

**2018**

Open to Public Inspection

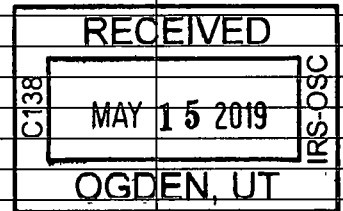
Department of the Treasury  
Internal Revenue Service

- or Section 4947(a)(1) Trust Treated as Private Foundation
- ▶ Do not enter social security numbers on this form as it may be made public
- ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation <b>THE PERMATA CHARITABLE FOUNDATION</b>		A Employer identification number <b>20-7034733</b>
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) <b>609-274-6834</b>
P.O. BOX 1501, NJ2-130-03-31		
City or town, state or province, country, and ZIP or foreign postal code <b>PENNINGTON, NJ 08534-1501</b>		C If exemption application is pending, check here . . . . . <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/>	D 1 Foreign organizations, check here . . . . . <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <b>04</b>		E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>3,559,098.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B . . . . .				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities . . . . .	59,229.	56,583.		STMT 1
5a	Gross rents . . . . .				
b	Net rental income or (loss) . . . . .				
6a	Net gain or (loss) from sale of assets not on line 10 . . . . .	618,431.			
b	Gross sales price for all assets on line 6a . . . . .	2,244,406.			
7	Capital gain net income (from Part IV, line 2) . . . . .		618,431.		
8	Net short-term capital gain . . . . .				
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances . . . . .				
b	Less Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . . .				
11	Other income (attach schedule) . . . . .	1,253.	10,397.		STMT 2
12	Total Add lines 1 through 11 . . . . .	678,913.	685,411.		
13	Compensation of officers, directors, trustees, etc . . . . .				
14	Other employee salaries and wages . . . . .		NONE	NONE	
15	Pension plans, employee benefits . . . . .		NONE	NONE	
16a	Legal fees (attach schedule) . . . . .				
b	Accounting fees (attach schedule) STMT 3 . . . . .	2,500.	NONE	NONE	2,500.
c	Other professional fees (attach schedule) STMT 4 . . . . .	24,519.	14,711.		9,808.
17	Interest . . . . .				
18	Taxes (attach schedule) (see instructions) STMT 5 . . . . .	9,760.	571.		
19	Depreciation (attach schedule) and depletion . . . . .				
20	Occupancy . . . . .				
21	Travel, conferences, and meetings . . . . .		NONE	NONE	
22	Printing and publications . . . . .		NONE	NONE	
23	Other expenses (attach schedule) STMT 6 . . . . .	124.	802.		30.
24	Total operating and administrative expenses Add lines 13 through 23 . . . . .	36,903.	16,084.	NONE	12,338.
25	Contributions, gifts, grants paid . . . . .	163,700.			163,700.
26	Total expenses and disbursements Add lines 24 and 25 . . . . .	200,603.	16,084.	NONE	176,038.
27	Subtract line 26 from line 12 . . . . .				
a	Excess of revenue over expenses and disbursements . . . . .	478,310.			
b	Net investment income (if negative, enter -0-) . . . . .		669,327.		
c	Adjusted net income (if negative, enter -0-) . . . . .				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	185,470.	484.	484.
	2	Savings and temporary cash investments . . . . .	44,334.	105,103.	105,103.
	3	Accounts receivable ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . .			
	4	Pledges receivable ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . .			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less allowance for doubtful accounts ▶ . . . . . NONE			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . STMT 7 . . . . .	2,335,305.	2,937,548.	3,413,274.
	c	Investments - corporate bonds (attach schedule) . . . . .			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶ . . . . .		
		Less accumulated depreciation (attach schedule) ▶ . . . . .			
12		Investments - mortgage loans . . . . .			
13		Investments - other (attach schedule) . . . . . STMT 12 . . . . .	33,496.	43,561.	40,237.
14		Land, buildings, and equipment basis ▶ . . . . .			
		Less accumulated depreciation (attach schedule) ▶ . . . . .			
15		Other assets (describe ▶ BEG BALANCES ) . . . . .			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	2,598,605.	3,086,696.	3,559,098.
17		Accounts payable and accrued expenses . . . . .			
18		Grants payable . . . . .			
Net Assets or Fund Balances	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ . . . . .)			
23	Total liabilities (add lines 17 through 22) . . . . .		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . . . . . <input type="checkbox"/>				
	and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31				
	27	Capital stock, trust principal, or current funds . . . . .	2,598,605.	3,086,696.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
30	Total net assets or fund balances (see instructions) . . . . .	2,598,605.	3,086,696.		
31	Total liabilities and net assets/fund balances (see instructions) . . . . .	2,598,605.	3,086,696.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,598,605.
2	Enter amount from Part I, line 27a . . . . .	2	478,310.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13 . . . . .	3	14,766.
4	Add lines 1, 2, and 3 . . . . .	4	3,091,681.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 14 . . . . .	5	4,985.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	3,086,696.

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**Part IV Capital Gains and Losses for Tax on Investment Income**(a) List and describe the kind(s) of property sold (for example, real estate,  
2-story brick warehouse, or common stock, 200 shs MLC Co )(b) How  
acquired  
P - Purchase  
D - Donation(c) Date acquired  
(mo, day, yr)(d) Date sold  
(mo, day, yr)**1 a PUBLICLY TRADED SECURITIES**

<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 2,244,406.		1,625,975.	618,431.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			618,431.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	618,431.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8 . . . . . }	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

**1 Enter the appropriate amount in each column for each year, see the instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	150,202.	3,582,294.	0.041929
2016	156,443.	2,927,016.	0.053448
2015	150,108.	3,222,999.	0.046574
2014	138,276.	3,162,742.	0.043720
2013	132,736.	2,821,087.	0.047051

<b>2</b> Total of line 1, column (d) . . . . .	<b>2</b>	0.232722
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . .	<b>3</b>	0.046544
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 . . . . .	<b>4</b>	3,911,762.
<b>5</b> Multiply line 4 by line 3. . . . .	<b>5</b>	182,069.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	<b>6</b>	6,693.
<b>7</b> Add lines 5 and 6 . . . . .	<b>7</b>	188,762.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	<b>8</b>	176,038.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	13,387.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2 . . . . .		3	13,387.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	13,387.
6 Credits/Payments			
a 2018 estimated tax payments and 2017 overpayment credited to 2018 . . . . .	6a	5,000.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	11,875.	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	16,875.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	3,488.	
11 Enter the amount of line 10 to be Credited to 2019 estimated tax <input type="checkbox"/> 3,488. Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	X	
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . .		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions . . . . .		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>BANK OF AMERICA</u> Telephone no <u>(609) 274-6834</u> Located at <u>1300 AMERICAN BOULEVARD, PENNINGTON, NJ</u> ZIP+4 <u>08534</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .		15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country <u></u>		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance, check here . . . . .		1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . If "Yes," list the years <u></u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) . . . . .		3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b

		Yes	No
5a	During the year, did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

1 List all officers, directors, trustees, and foundation managers and their compensation See instructions.				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GAYLENE R NASS	CO-TRUSTEE			
130 N LINCOLN AVE, LOMBARD, IL 60148	5	-0-	-0-	-0-
2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE
Total number of other employees paid over \$50,000				NONE

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,857,069.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	114,263.
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,971,332.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	3,971,332.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	59,570.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,911,762.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	195,588.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	195,588.
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5	<b>2a</b>	13,387.
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	13,387.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	182,201.
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4.	<b>5</b>	182,201.
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	182,201.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	<b>1a</b>	176,038.
<b>b</b>	Program-related investments - total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	176,038.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	176,038.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7 . . . . .				182,201.
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			162,616.	
<b>b</b> Total for prior years 20____, 20____, 20____		NONE		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013 . . . . .	NONE			
<b>b</b> From 2014 . . . . .	NONE			
<b>c</b> From 2015 . . . . .	NONE			
<b>d</b> From 2016 . . . . .	NONE			
<b>e</b> From 2017 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>176,038.</u>				
<b>a</b> Applied to 2017, but not more than line 2a . . . . .			162,616.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
<b>d</b> Applied to 2018 distributable amount . . . . .				13,422.
<b>e</b> Remaining amount distributed out of corpus . . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a)) . . . . .	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	NONE			
<b>b</b> Prior years' undistributed income Subtract line 4h from line 2b. . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				168,779.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a . . . . .	NONE			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014 . . . . .	NONE			
<b>b</b> Excess from 2015 . . . . .	NONE			
<b>c</b> Excess from 2016 . . . . .	NONE			
<b>d</b> Excess from 2017 . . . . .	NONE			
<b>e</b> Excess from 2018 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or

4942(1)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. . . . .

**b** 85% of line 2a . . . . .

**C** Qualifying distributions from Part  
XII, line 4 for each year listed .

**d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

**e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .

**3** Complete 3a, b, or c for the alternative test relied upon

**a "Assets" alternative test - enter**

**(1) Value of all assets.** . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . .

**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .

**C "Support" alternative test - enter**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

**Part XV**    **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b. The form in which applications should be submitted and information and materials they should include**

**c** Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE STATEMENT 23				163,700.
<b>Total</b> . . . . .			▶ <b>3a</b>	163,700.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments . . . . .						
<b>4</b> Dividends and interest from securities . . . . .			14	59,229.		
<b>5</b> Net rental income or (loss) from real estate						
a Debt-financed property . . . . .						
b Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income . . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	618,431.		
<b>9</b> Net income or (loss) from special events . . . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .						
<b>11</b> Other revenue a _____						
b <u>FEDERAL TAX REFUND</u>			1	1,253.		
c _____						
d _____						
e _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .				678,913.		
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .					13	678,913.

(See worksheet in line 13 instructions to verify calculations )

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash . . . . .	<b>1a(1)</b>			X
(2) Other assets . . . . .	<b>1a(2)</b>			X
<b>b</b> Other transactions:				
(1) Sales of assets to a noncharitable exempt organization . . . . .	<b>1b(1)</b>			X
(2) Purchases of assets from a noncharitable exempt organization . . . . .	<b>1b(2)</b>			X
(3) Rental of facilities, equipment, or other assets . . . . .	<b>1b(3)</b>			X
(4) Reimbursement arrangements . . . . .	<b>1b(4)</b>			X
(5) Loans or loan guarantees . . . . .	<b>1b(5)</b>			X
(6) Performance of services or membership or fundraising solicitations . . . . .	<b>1b(6)</b>			X
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	<b>1c</b>			X
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

► Daylene R. Nass

05/07/2019  
Date

► TRUSTEE  
Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

KAREN J KISER

Preparer's signature

Kiser / Kiser

Date \_\_\_\_\_

05/07/2019

Check ☐ self-employed

PTIN
------

P00146417

Firm's name ► BANK OF AMERICA

Firm's address ► P O BOX 1802

PROVIDENCE, RI

02901-1802

Phone no 888-866-3275

Form **990-PF** (2018)

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	433.	433.
FOREIGN DIVIDENDS	6,617.	6,617.
NONDIVIDEND DISTRIBUTIONS	2,646.	
DOMESTIC DIVIDENDS	35,982.	35,982.
OTHER INTEREST	175.	175.
NONQUALIFIED DOMESTIC DIVIDENDS	457.	457.
SECTION 199A DIVIDENDS	12,919.	12,919.
	-----	-----
TOTAL	59,229.	56,583.
	=====	=====

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL TAX REFUND	1,253.	10,397.
PARTNERSHIP INCOME		
	-----	-----
TOTALS	1,253.	10,397.
	=====	=====

THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEES	2,500.			2,500.
TOTALS	2,500.	NONE	NONE	2,500.
	=====	=====	=====	=====



THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART I - OTHER PROFESSIONAL FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
INVESTMENT MANAGEMENT FEES	24,519.	14,711.	9,808.
TOTALS	24,519.	14,711.	9,808.
	=====	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	571.	571.
EXCISE TAX - PRIOR YEAR	4,189.	
EXCISE TAX ESTIMATES	5,000.	
	-----	-----
TOTALS	9,760.	571.
	=====	=====

THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
STATE FILING FEE	30.		30.
OTHER EXPENSE (NON-DEDUCTIBLE PARTNERSHIP EXPENSES	94.	94. 708.	
TOTALS	----- 124. =====	----- 802. =====	----- 30. =====

THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
BEG BALANCES	2,335,305.		
43300A203 HILTON WORLDWIDE		44,258.	49,183.
913017109 UNITED TECHNOLOGIES		54,414.	45,573.
G16252101 BROOKFIELD INFRASTUC		3,972.	3,384.
30231G102 EXXON MOBIL CORP		7,765.	6,751.
G25839104 COCA-COLA EUROPEAN		5,564.	6,006.
136385101 CANADIAN NAT RES LTD		3,645.	2,703.
636274409 NATIONAL GRID PLC SH		4,427.	3,790.
91912E105 VALE SA		3,114.	3,588.
14040H105 CAPITAL ONE FINL COR		20,490.	16,101.
79466L302 SALESFORCE COM INC		24,070.	28,079.
92826C839 VISA INC CL A SHRS		12,553.	33,249.
12572Q105 CME GROUP INC		19,653.	51,733.
156700106 CENTURYLINK INC		31,956.	22,255.
17275R102 CISCO SYS INC		11,529.	18,112.
21870Q105 CORESITE REALTY CORP		23,336.	18,057.
38141G104 GOLDMAN SACHS GROUP		15,028.	10,858.
000375204 ABB LTD		2,865.	2,243.
059460303 BANCO BRADESCO S A		3,015.	3,956.
606822104 MITSUBISHI UFJ FINL		4,940.	3,945.
036752103 ANTHEM INC		16,762.	21,536.
444859102 HUMANA INC COM		14,957.	18,048.
78486Q101 SVB FINL GROUP		17,610.	13,294.
848637104 SPLUNK INC		29,647.	31,140.
22822V101 CROWN CASTLE REIT IN		31,669.	34,762.
293792107 ENTERPRISE PRODS PAR		37,827.	37,475.
66987V109 NOVARTIS AG SPNSRD A		3,894.	3,776.
684060106 ORANGE SA		3,648.	3,384.
775109200 ROGERS COMMUNICATION		3,918.	3,845.

## THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
803054204 SAP AKTIENGESELLSCHA	3,188.		2,887.
891160509 TORONTO-DOMINION BAN	3,859.		3,480.
580135101 MC DONALDS CORPORATI	29,741.		28,234.
594918104 MICROSOFT CORP COM	69,201.		111,321.
867914103 SUNTRUST BANKS INC	12,784.		10,592.
559080106 MAGELLAN MIDSTREAM P	8,152.		6,562.
717081103 PFIZER INC COM	16,964.		34,353.
92276F100 VENTAS INC	34,506.		41,306.
088606108 BHP BILLITON LIMITED	3,067.		3,429.
456837103 ING GROEP N V SPONSO	3,577.		2,228.
500472303 KONINKLIJKE PHILIPS	3,565.		3,055.
023135106 AMAZON COM INC	36,307.		60,079.
09257W100 BLACKSTONE MORTGAGE	24,625.		34,600.
253868103 DIGITAL RLTY TR INC	3,999.		3,516.
N07059210 ASML HLDG NV NY REG	2,701.		2,490.
16941R108 CHINA PETE & CHEM CO	2,452.		2,259.
404280406 HSBC HLDGS PLC	2,792.		2,631.
647581107 NEW ORIENTAL EDUCATI	3,920.		2,412.
254687106 DISNEY (WALT) COMPAN	22,730.		23,684.
539830109 LOCKHEED MARTIN CORP	37,087.		59,438.
871829107 SYSCO CORP	19,687.		23,623.
883556102 THERMO ELECTRON CORP	15,579.		27,750.
037612306 APOLLO GLOBAL MGMT L	8,811.		19,755.
14309L102 CARLYLE GROUP LP	20,750.		15,671.
25746U109 DOMINION RES INC VA	15,452.		14,649.
29273V100 ENERGY TRANSFER EQUI	16,925.		15,007.
485170302 KANSAS CITY SOUTHN I	6,809.		5,727.
78573L106 SABRA HEALTH CARE RE	7,543.		5,422.
G47567105 IHS MARKIT LTD SHS	2,618.		2,446.

THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
H1467J104 CHUBB LTD	21,697.		25,707.
P31076105 COPA HOLDINGS S A CL	4,496.		2,676.
931142103 WAL MART STORES INC	25,771.		29,063.
037833100 APPLE COMPUTER INC C	63,953.		83,287.
11135F101 BROADCOM LTD	41,335.		43,736.
64828T201 NEW RESIDENTIAL INVT	27,884.		23,077.
01609W102 ALIBABA GROUP HOLDIN	5,016.		3,701.
29082A107 EMBRAER S A SPONSRD	2,459.		2,744.
36197T103 GW PHARMACEUTICALS P	1,953.		1,753.
78440P108 SK TELECOM LTD SPON	2,988.		3,323.
80105N105 SANOFI-SYNTHELABO	5,502.		4,862.
904784709 UNILEVER NV NY SHARE	5,091.		4,950.
053015103 AUTOMATIC DATA PROCE	14,031.		20,061.
74435K204 PRUDENTIAL PLC ADR	4,235.		3,148.
780259107 ROYAL DUTCH SHELL PLC	4,187.		3,776.
835699307 SONY CORP ADR AMERN	3,654.		4,442.
874039100 TAIWAN SEMICONDUCTOR	3,667.		3,211.
02079K305 ALPHABET INC SHS	60,018.		70,012.
03027X100 AMERICAN TOWER REIT	15,951.		22,305.
235851102 DANAHER CORP COMMON	10,266.		13,096.
49271V100 KEURIG DR PEPPER INC	20,411.		20,102.
98138H101 WORKDAY INC CL A	29,051.		36,726.
26884U109 EPR PPTYS	24,091.		26,572.
517834107 LAS VEGAS SANDS CORP	23,704.		22,902.
25243Q205 DIAGEO PLC SPON ADR	4,783.		4,963.
G5960L103 MEDTRONIC PLC SHS	12,616.		13,098.
30212P303 EXPEDIA INC	30,385.		27,036.
31428X106 FEDEX CORPORATION	14,060.		16,940.
438516106 HONEYWELL INTL INC	10,562.		12,287.

THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
550021109 LULULEMON ATHLETICA		16,968.	13,864.
70450Y103 PAYPAL HOLDINGS INC		30,418.	30,693.
74340W103 PROLOGIS INC		9,853.	9,102.
01881G106 ALLIANCEBERNSTEIN HL		29,676.	39,751.
02209S103 ALTRIA GROUP INC		72,535.	57,638.
09253U108 BLACKSTONE GROUP LP		19,910.	19,645.
49456B101 KINDER MORGAN INC. D		21,487.	21,486.
85571B105 STARWOOD PPTY TR INC		25,307.	28,678.
G0176J109 ALLEGION PLC SHS		3,243.	3,188.
225401108 CREDIT SUISSE GROUP		3,091.	2,281.
86562M209 SUMITOMO MITSUI-UNSP		2,641.	2,187.
09247X101 BLACKROCK INC CL A		8,869.	10,213.
46625H100 J P MORGAN CHASE & C		41,824.	43,050.
22160K105 COSTCO WHSL CORP NEW		15,416.	25,056.
AMAZON		155,370.	339,445.
APPLE INC		91,077.	173,514.
BROAD BANDCOM LTD		51,588.	53,399.
ELECTRONIC ARTS INC		51,471.	36,693.
EXPEDIA		20,117.	16,334.
INTL BKT STEPUP ISS BOFA		134,710.	121,913.
LULULEMON ANTHLETICA INC		115,341.	97,288.
MCDONALDS CORP		23,085.	42,617.
PAYPAL HOLDINGS		51,160.	53,397.
SALESFORCE COM INC		131,447.	155,461.
SPSIRBK ARN ISSUER		158,280.	112,949.
SP 500 ARN ISSUER BOFA		66,500.	60,582.
SP 500 ARNS ISSUER BNS		48,070.	43,455.
UNION PACIFIC CORP		16,677.	20,735.
WORKDAY INC		131,683.	163,672.

THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
TOTALS	2,335,305. =====	2,937,548. =====	3,413,274. =====



THE FERMATA CHARITABLE FOUNDATION

20-7034733

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION -----	COST/ FMV C OR F -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ----
BEG BALANCES	C	33,496.		
78462F103 SPDR S&P 500 ETF TRU	C		43,561.	40,237.
		-----	-----	-----
TOTALS		33,496.	43,561.	40,237.
		=====	=====	=====

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION -----	AMOUNT -----
PARTNERSHIP INCOME ADJ	14,764.
ROUNDING	2.
	-----
TOTAL	14,766.
	=====

## FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION -----	AMOUNT -----
TAX COST ADJ	2,483.
TYE INCOME ADJ	638.
PRIOR YEAR DISTRIBUTION ADJ	850.
WASH SALE ADJ	674.
PARTNERSHIP SALES ADJ	340.
	-----
TOTAL	4,985.
	=====

## RECIPIENT NAME:

UNITED AFRICAN ORGANIZATION

## ADDRESS:

4910 S. KING DRIVE

CHICAGO, IL 60615

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

PLANNED PARENTHOOD

FEDERATION

## ADDRESS:

123 WILLIAM STREET

NEW YORK, NY 10038

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,500.

## RECIPIENT NAME:

DOCTORS WITHOUT BORDERS

## ADDRESS:

PO BOX 5030

HAGERSTOWN, MD 21741

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

PRO PUBLICA

## ADDRESS:

155 AVENUE OF THE AMERICAS

NEW YORK, NY 10013

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

JDRF

## ADDRESS:

26 BROADWAY

NEW YORK, NY 10004

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 6,000.

## RECIPIENT NAME:

COMMUNITY COUNSELING CENTER  
OF CHICAGO

## ADDRESS:

4740 NORTH CLARK STREET

CHICAGO, IL 60640

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,000.

=====

## RECIPIENT NAME:

HEARTLAND ALIANCE

## ADDRESS:

208 S. LASALLE STREET

CHICAGO, IL 60604

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 8,000.

## RECIPIENT NAME:

BLACKSTONE BICYCLE WORKS

## ADDRESS:

6100 S BLACKSTONE AVE

CHICAGO, IL 60637

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,500.

## RECIPIENT NAME:

AUGUSTANA COLLEGE

## ADDRESS:

639 38TH STREET

ROCK ISLAND, IL 61201

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

=====

## RECIPIENT NAME:

ST. PAUL LUTHERAN CHURCH

## ADDRESS:

545 S. ARDMORE AVENUE

VILA PARK, IL 60181

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 44,200.

## RECIPIENT NAME:

ROGER BALDWIN FOUNDATION

## ADDRESS:

150 N MICHIGAN AVE

CHICAGO, IL 60601

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,500.

## RECIPIENT NAME:

FAMILY SHELTER SERVICES

## ADDRESS:

605 E ROOSEVELT RD

WHEATON, IL 60187

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,500.

RECIPIENT NAME:

NORTHERN ILLINOIS FOOD BANK

ADDRESS:

273 DEARBORN COURT

GENEVA, IL 60134

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 20,000.

RECIPIENT NAME:

COMMUNITY HOUSING ADVOCACY

AND DEVELOPMENT

ADDRESS:

531 E. ROOSEVELT ROAD STE 200

WHEATON, IL 60187

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:

LOVE CHRISTIAN CLEARING HOUSE

ADDRESS:

PO BOX 50

CLARENDON HILLS, IL 60514

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 2,500.



RECIPIENT NAME:  
NAMI OF DUPAGE COUNTY  
ADDRESS:  
115N COUNTY FARM RD  
WHEATON, IL 60187  
RELATIONSHIP:  
N/A  
PURPOSE OF GRANT:  
UNRESTRICTED GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:  
DUPAGE PADS INC  
ADDRESS:  
601 W. LIBERTY DRIVE  
WHEATON, IL 60187  
RELATIONSHIP:  
N/A  
PURPOSE OF GRANT:  
UNRESTRICTED GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... , 10,000.

RECIPIENT NAME:  
CHICAGO PUBLIC MEDIA  
ADDRESS:  
848 E. GRAND AVE  
CHICAGO, IL 60611  
RELATIONSHIP:  
N/A  
PURPOSE OF GRANT:  
UNRESTRICTED GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
BRIDGE COMMUNITIES  
ADDRESS:  
505 CRESCENT BLVD  
GLEN ELLYN, IL 60137  
RELATIONSHIP:  
N/A  
PURPOSE OF GRANT:  
UNRESTRICTED GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 8,500.

RECIPIENT NAME:  
SCARCE  
ADDRESS:  
799 ROOSEVELT RD  
GLEN ELLYN, IL 60137  
RELATIONSHIP:  
N/A  
PURPOSE OF GRANT:  
UNRESTRICTED GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:  
CHICAGO SCHOLARS FOUNDATION  
ADDRESS:  
55 E JACKSON BLVD ST 1010  
CHICAGO, IL 60604  
RELATIONSHIP:  
N /A  
PURPOSE OF GRANT:  
UNRESTRICTED GENERAL SUPPORT  
FOUNDATION STATUS OF RECIPIENT:  
PC  
AMOUNT OF GRANT PAID ..... 5,000.

=====

## RECIPIENT NAME:

THE CATHERINE MCAULEY  
CENTER

## ADDRESS:

866 4TH AVE SE  
CEDAR RAPIDS, IA 52403

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

GARDENWORKS PROJECT

## ADDRESS:

PO BOX 615  
WEST CHICAGO, IL 60186

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 3,500.

## RECIPIENT NAME:

JOHN DAU FOUNDATION

## ADDRESS:

136 EVERETT RD  
ALBANY, NY 12205

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,500.

=====

## RECIPIENT NAME:

HELEN PLUM MEMORIAL LIBRARY

## ADDRESS:

110 W. MAPLE STREET

LOMBARD, IL 60148

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

CHICAGO DIABETES PROJECT

## ADDRESS:

1658 MILWAUKEE AVE #100-7497

CHICAGO, IL 60647

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 4,000.

## RECIPIENT NAME:

INTERNATIONAL REFUGEE PROJECT

## ADDRESS:

URBAN JUSTICE CENTER

NEW YORK, NY 10066

## RELATIONSHIP:

N/A

## PURPOSE OF GRANT:

UNRESTRICTED GENERAL SUPPORT

## FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID ..... 500.

TOTAL GRANTS PAID:

163,700.

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## FEDERAL FOOTNOTES

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THE TAXPAYER IS A TRANSFEREE PARTNER IN MAGELLAN MIDSTREAM PARTNERS (FEIN: 73-1599053), WHO HAS A SPECIAL BASIS ADJUSTMENT UNDER INTERNAL REVENUE CODE (IRC) SECTION 743(B) PURSUANT TO AN IRC SECTION 754 ELECTION MADE BY MAGELLAN MIDSTREAM PARTNERS. THE SPECIAL BASIS ~~ADJUSTMENT WAS MADE IN ACCORDANCE WITH THE RULES PROVIDED IN IRC~~ SECTION 743 AND 755 AND THE REGULATIONS THEREUNDER. DETAILED INFORMATION IS AVAILABLE IN THE OFFICES OF THE GENERAL PARTNER UPON REQUEST.