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EXTENDED TO NOVEMBER 15, 2019

Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

| | |
|---|---|
| Name of foundation<br>THE JOSHUA MAILMAN FOUNDATION | A Employer identification number<br>20-5520413 |
| Number and street (or P O box number if mail is not delivered to street address): CITRIN-529 FIFTH AVENUE — Room/suite: 9TH FL | B Telephone number<br>212-697-3509 |
| City or town, state or province, country, and ZIP or foreign postal code<br>NEW YORK, NY 10017 | C If exemption application is pending, check here ▶ ☐ |
| G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change | D 1. Foreign organizations, check here ▶ ☐<br>2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐ |
| H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation | E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐ |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 14,773,709. — J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐ |

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| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|
| **Revenue** 1 Contributions, gifts, grants, etc., received | | | N/A | |
| 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| 3 Interest on savings and temporary cash investments | 454. | 454. | | STATEMENT 1 |
| 4 Dividends and interest from securities | 55,101. | 55,101. | | STATEMENT 2 |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | 618,094. | | | |
| b Gross sales price for all assets on line 6a 2,257,251. | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 618,094. | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) | | | | |
| 11 Other income | -76,019. | -4,743. | | STATEMENT 3 |
| 12 **Total.** Add lines 1 through 11 | 597,630. | 668,906. | | |
| **Operating and Administrative Expenses** 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees | | | | |
| b Accounting fees STMT 4 | 39,973. | 19,986. | | 19,987. |
| c Other professional fees | | | | |
| 17 Interest | | | | |
| 18 Taxes STMT 5 | 189. | 179. | | 10. |
| 19 Depreciation and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses STMT 6 | 63,521. | 62,060. | | 1,461. |
| 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 103,683. | 82,225. | | 21,458. |
| 25 Contributions, gifts, grants paid | 1,203,930. | | | 1,203,930. |
| 26 **Total expenses and disbursements.** Add lines 24 and 25 | 1,307,613. | 82,225. | | 1,225,388. |
| 27 Subtract line 26 from line 12: | | | | |
| a Excess of revenue over expenses and disbursements | -709,983. | | | |
| b **Net investment income** (if negative, enter -0-) | | 586,681. | | |
| c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 590,995. | 583,041. | 583,041. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 | Other notes and loans receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U.S. and state government obligations | | | |
| | b | Investments - corporate stock STMT 7 | 3,706,847. | 3,326,181. | 3,679,688. |
| | c | Investments - corporate bonds | | | |
| | 11 | Investments - land, buildings and equipment basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 8 | 2,751,616. | 2,430,693. | 10,510,980. |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 7,049,458. | 6,339,915. | 14,773,709. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26, and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | 25,874,098. | 25,874,098. | |
| | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | -18,824,640. | -19,534,183. | |
| | 30 | **Total net assets or fund balances** | 7,049,458. | 6,339,915. | |
| | 31 | **Total liabilities and net assets/fund balances** | 7,049,458. | 6,339,915. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,049,458. |
| 2 | Enter amount from Part I, line 27a | 2 | -709,983. |
| 3 | Other increases not included in line 2 (itemize) ▶ PRIOR YEAR ADJUSTMENT | 3 | 440. |
| 4 | Add lines 1, 2, and 3 | 4 | 6,339,915. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 6,339,915. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE ATTACHED SCHEDULE #5 | P | | |
| b | SEE ATTACHED SCHEDULE #5 | P | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | 338,264. | | 343,471. | -5,207. |
| b | 1,918,987. | | 1,295,686. | 623,301. |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | -5,207. |
| b | | | | 623,301. |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 618,094.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2017 | 642,293. | 13,761,330. | .046674 |
| 2016 | 548,438. | 14,661,830. | .037406 |
| 2015 | 762,927. | 16,142,254. | .047263 |
| 2014 | 625,028. | 15,011,164. | .041638 |
| 2013 | 834,816. | 16,882,643. | .049448 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .222429 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | .044486 |
| 4 | Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 | 15,218,211. |
| 5 | Multiply line 4 by line 3 | 5 | 676,997. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 5,867. |
| 7 | Add lines 5 and 6 | 7 | 682,864. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 1,225,388. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ______ (attach copy of letter if necessary-see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b | 1 | 5,867. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | 0. |
| 3 | Add lines 1 and 2 | 3 | 5,867. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 5,867. |
| 6 | Credits/Payments: | | |
| a | 2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a: 6,614. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b: 0. | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 14,000. | | |
| d | Backup withholding erroneously withheld — 6d: 0. | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 20,614. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | 0. |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 14,747. |
| 11 | Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 14,747. **Refunded** ▶ | 11 | 0. |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ CA,DE,NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

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## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ C/O CITRIN COOPERMAN &CO LLP Telephone no. ▶ 212-697-1000

Located at ▶ 529 5TH AVENUE 9TH FL, NEW YORK, NY ZIP+4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year, did the foundation (either directly or indirectly): | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance, check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☒ Yes ☐ No | | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) | | 3b | | X |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a During the year, did the foundation pay or incur any amount to: | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance, check here | ▶ ☐ | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| If "Yes" to 6b, file Form 8870. | | | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? | ☐ Yes ☒ No | | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| JOSHUA MAILMAN<br>529 FIFTH AVENUE 9TH FL<br>NEW YORK, NY 10017 | PRESIDENT<br>7.00 | 0. | 0. | 0. |
| PHYLLIS MAILMAN<br>529 FIFTH AVENUE 9TH FL<br>NEW YORK, NY 10017 | VP/ASSISTANT SECRETARY<br>7.00 | 0. | 0. | 0. |
| JOSEPH V. HASTINGS<br>529 FIFTH AVENUE 9TH FL<br>NEW YORK, NY 10017 | SECY/TREAS<br>1.50 | 0. | 0. | 0. |
| MONICA WINSOR<br>529 FIFTH AVENUE 9TH FL<br>NEW YORK, NY 10017 | VP/ASSISTANT TREASURER<br>1.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 4,398,729. |
| b | Average of monthly cash balances | | | 1b | 540,252. |
| c | Fair market value of all other assets | | | 1c | 10,510,979. |
| d | Total (add lines 1a, b, and c) | | | 1d | 15,449,960. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | | 3 | 15,449,960. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | | 4 | 231,749. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 15,218,211. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | | 6 | 760,911. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 760,911. |
| 2a | Tax on investment income for 2018 from Part VI, line 5 | 2a | 5,867. | | |
| b | Income tax for 2018. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 5,867. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 755,044. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 755,044. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 755,044. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,225,388. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 1,225,388. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 5,867. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,219,521. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|---|---|---|---|---|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 755,044. |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only | | | 0. | |
| b Total for prior years: ____, ____, ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2018: | | | | |
| a From 2013 | | | | |
| b From 2014 | | | | |
| c From 2015 230,268. | | | | |
| d From 2016 | | | | |
| e From 2017 | | | | |
| f Total of lines 3a through e | 230,268. | | | |
| 4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 1,225,388. | | | | |
| a Applied to 2017, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2018 distributable amount | | | | 755,044. |
| e Remaining amount distributed out of corpus | 470,344. | | | |
| 5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 700,612. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2013 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a | 700,612. | | | |
| 10 Analysis of line 9. | | | | |
| a Excess from 2014 | | | | |
| b Excess from 2015 230,268. | | | | |
| c Excess from 2016 | | | | |
| d Excess from 2017 | | | | |
| e Excess from 2018 470,344. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2018 | (b) 2017 | (c) 2016 | (d) 2015 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE SCHEDULE # 3<br>529 FIFTH AVENUE<br>NEW YORK, NY 10017 | NONE | PUBLIC CHARITY | UNRESTRICTED<br>CHARITIBLE PURPOSE<br>UNLESS OTHERWISE NOTED | 1,203,930. |
| **Total** | | | ▶ 3a | 1,203,930. |
| **b** *Approved for future payment* | | | | |
| THE THRESHOLD FOUNDATION<br>529 FIFTH AVENUE<br>NEW YORK, NY 10017 | NO RELATION | PUBLIC CHARITY | UNRESTRICTED<br>CHARITIBLE PURPOSE | 60,000. |
| **Total** | | | ▶ 3b | 60,000. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | Related or exempt function income |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 454. | |
| 4 Dividends and interest from securities | | | 14 | 55,101. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 618,094. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a SEE STATEMENT 9 | | -71,276. | | -4,743. | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | -71,276. | | 668,906. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 597,630.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/12/19 Title: ▶ PRESIDENT

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| STEVEN STERN | [signature] | 11/11/19 | | P00180118 |
| Firm's name ▶ CITRIN COOPERMAN & CO., LLP | | | Firm's EIN ▶ 22-2428965 | |
| Firm's address ▶ 529 FIFTH AVENUE NEW YORK, NY 10017-4683 | | | Phone no. 212-697-1000 | |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| JPMC FUNDS | 7. | 7. | |
| SCHWAB- MONEY MARKET | 424. | 424. | |
| WELLS FARGO TEMP CASH | 23. | 23. | |
| TOTAL TO PART I, LINE 3 | 454. | 454. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| DIVIDENDS - NEUBERGER STOCKS | 41,239. | 0. | 41,239. | 41,239. | |
| DIVIDENDS - SCHWAB STOCKS | 13,862. | 0. | 13,862. | 13,862. | |
| TO PART I, LINE 4 | 55,101. | 0. | 55,101. | 55,101. | |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| DEBT FINANCED INCOME THRU MOUNTAINVIEW GARDENS | 589. | 0. | |
| OTHER INVESTMENT UBI INCOME/<LOSS> THRU CASCADE SETT | -71,865. | 0. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU RFS MEZZANINE FUND | -552. | -552. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU MOUNTAINVIEW GARDENS | 324. | 324. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU SOCIAL IMPACT FINANCE LLC | -1,082. | -1,082. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU UV PARTNERS IV LP | -1,517. | -1,517. | |
| THRU UTAH VENTURES III | -1,916. | -1,916. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | -76,019. | -4,743. | |

| FORM 990-PF | ACCOUNTING FEES | | STATEMENT 4 | |
|---|---|---|---|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| PREPARATION OF FORM 990-PF AND STATE RETURNS | 19,987. | 0. | | 19,987. |
| BOOKKEEPING SERVICES | 19,986. | 19,986. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 39,973. | 19,986. | | 19,987. |

| FORM 990-PF | TAXES | | STATEMENT 5 | |
|---|---|---|---|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| FOREIGN TAX ON DIVIDENDS | 179. | 179. | | 0. |
| CA TAX | 10. | 0. | | 10. |
| TO FORM 990-PF, PG 1, LN 18 | 189. | 179. | | 10. |

| FORM 990-PF | OTHER EXPENSES | | STATEMENT 6 | |
|---|---|---|---|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| NEW YORK DEPT. OF LAW FEE | 750. | 0. | | 750. |
| NY PUBLIC INSPECTION AD | 160. | 0. | | 160. |
| CORPORATE REPRESENTATION FEES | 452. | 0. | | 452. |
| OTHER MISC EXP | 99. | 0. | | 99. |
| -INVESTMENT FEES - NEUBERGER | 34,195. | 34,195. | | 0. |
| -INVESTMENT FEES - SELECT EQUITY SCHWAB | 26,605. | 26,605. | | 0. |
| -CUSTODY FEES-WELLS FARGO | 1,260. | 1,260. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 63,521. | 62,060. | | 1,461. |

FORM 990-PF CORPORATE STOCK STATEMENT 7

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE SCHEDULE # 6 | 3,326,181. | 3,679,688. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 3,326,181. | 3,679,688. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| MOUNTAINVIEW GARDENS | COST | 22,509. | 22,509. |
| UV PARTNERS IV, LP | COST | 319,446. | 2,901,169. |
| DELCARA INC, CONV NOTE | COST | 40,000. | 40,000. |
| SMALL POTATOES URBAN DELIVER | COST | 149,782. | 1,500,000. |
| CASCADE SETTLEMENT FD I LLC | COST | 645,723. | 2,000,000. |
| COLUMBIA PIPELINE PARTNERS | COST | 661. | 0. |
| RELIANTHEART | COST | 400,000. | 923,000. |
| RENEWAL FUNDING PFD STOCK | COST | 262,429. | 1,000,000. |
| SWEETGREEN INC. PFD STOCK | COST | 50,000. | 1,100,000. |
| CATCHAFIRE INC PFD/CONV NOTE | COST | 101,125. | 600,000. |
| GRASSROTTS BUSINESS INV FD | COST | 100,000. | 81,413. |
| TARGET RESORCES GIFTED STOCK | COST | 1. | 0. |
| WARBURG-WPG HELD BY NOMINEE | COST | 142,889. | 142,889. |
| SOCIAL IMPACT FINANCE LLC | COST | 196,128. | 200,000. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 2,430,693. | 10,510,980. |

THE JOSHUA MAILMAN FOUNDATION 20-5520413

| FORM 990-PF | OTHER REVENUE | STATEMENT 9 |
|---|---|---|

| DESCRIPTION | BUS CODE | UNRELATED BUSINESS INC | EXCL CODE | EXCLUDED AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| DEBT FINANCED INCOME THRU MOUNTAINVIEW GARDENS | 531390 | 589. | | | |
| OTHER INVESTMENT UBI INCOME/<LOSS> THRU CASCADE SETT | 525990 | -71,865. | | | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU RFS MEZZANINE FUND | | | 01 | -552. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU MOUNTAINVIEW GARDENS | | | 01 | 324. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU SOCIAL IMPACT FINANCE LLC | | | 01 | -1,082. | |
| PORTFOLIO - NON-UBIT INCOME(LOSS) THRU UV PARTNERS IV LP | | | 01 | -1,517. | |
| THRU UTAH VENTURES III | | | 01 | -1,916. | |
| TOTAL TO FORM 990-PF, PG 12, LN 11 | | -71,276. | | -4,743. | |

# 2018 Informational Tax Reporting Statement

THE JOSHUA MAILMAN FOUNDATION　Account No NBJ-038179　Customer Service 212-476-9000
Recipient ID No. **-***0413　Payer's Fed ID Number 04-3523567

**Note: This information is not reported to the IRS. It may assist you in tax return preparation.**

## 2018 Proceeds from Broker and Barter Exchange Transactions*

**Short-term transactions**

(IRS Form 1099-B box numbers are shown below in **bold type**)

| 1a Description of property, Stock or Other Symbol, CUSIP | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Action | Quantity | **1b** Date Acquired | **1c** Date Sold or Disposed | **1d** Proceeds | **1e** Cost or Other Basis (a) | **1f** Accrued Market Discount | **1g** Wash Sale Loss Disallowed | Gain/Loss (-) | **4** Federal Income Tax Withheld | **14** State **16** State Tax Withheld |
| AETNA INC NEW COM, 00817Y108 | | | | | | | | | | |
| Sale | 100 000 | 09/14/17 | 06/06/18 | 17,843 43 | 16,273 91 | | | 1,569 52 | | |
| ANALOG DEVICES INC COM, ADI, 032654105 | | | | | | | | | | |
| Sale | 600 000 | 06/23/17 | 04/03/18 | 53,192.13 | 49,061 04 | | | 4 131 09 | | |
| CVS HEALTH CORP COM, CVS, 126650100 | | | | | | | | | | |
| Cash In Lieu | 0 120 | 11/30/18 | 11/30/18 | 9 48 | 9 63 | | 0 15 | -0 15 | | |
| DOCUSIGN INC COM, DOCU, 256163106 | | | | | | | | | | |
| Sale | 1,000 000 | 04/27/18 | 04/27/18 | 37,999 12 | 29,000 00 | | | 8,999.12 | | |
| FGL HOLDINGS COM USD0.0001, FG, G3402M102 | | | | | | | | | | |
| Sale | 3,250.000 | 11/01/17 | 04/27/18 | 31,020.20 | 35,728 55 | | | -4,708 35 | | |
| T MOBILE US INC COM, TMUS, 872590104 | | | | | | | | | | |
| Sale | 650.000 | 04/30/18 | 06/06/18 | 37 349 49 | 39,678 86 | | | -2,329 37 | | |
| WHIRLPOOL CORP, WHR, 963320106 | | | | | | | | | | |
| Sale | 500 000 | 11/16/17 | 04/03/18 | 75,447 86 | 81,714 90 | | | -6,267 04 | | |
| **TOTALS** | | | | **252,861 71** | **251,466 89** | **0.00** | **0 15** | | **0 00** | |
| | | **Short-Term Realized Gain** | | | | | | **14,699.73** | | |
| | | **Short-Term Realized Loss** | | | | | | **-13,304 91** | | |

000479 FIEX2X01 002485

*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.



SCHEDULE #1

# 2018 Informational Tax Reporting Statement

THE JOSHUA MAILMAN FOUNDATION Account No NBJ-038178 Customer Service 212-476-9000
Recipient ID No **-***0413 Payer's Fed ID Number 04-3523567

**Note This information is not reported to the IRS. It may assist you in tax return preparation.**

## 2018 Proceeds from Broker and Barter Exchange Transactions*

### Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

| 1a Description of property, Stock or Other Symbol, CUSIP | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Action | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (a) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State 16 State Tax Withheld |
| AETNA INC NEW COM *EXCHANGED FOR 0 8378 00817Y108 | | | | | | | | | | |
| Merger | 400 000 | 09/14/17 | 11/29/18 | 84,900 08 | 65,095 64(f) | | | 19,804 44 | | |
| AIRBUS SE UNSPON ADREA REPR 0 25 ORD EUR, EADSY, 009279100 | | | | | | | | | | |
| Sale | 550 000 | 05/11/16 | 06/06/18 | 16,248 78 | 8,696 88 | | | 7,549 90 | | |
| AMERICAN AIRLINES GROUP INC COM USD1, AAL, 02376R102 | | | | | | | | | | |
| Sale | 650 000 | 01/15/14 | 06/06/18 | 28,707.85 | 18,905 19 | | | 9,802 66 | | |
| ANTHEM INC COM, ANTM, 036752103 | | | | | | | | | | |
| Sale | 100 000 | 06/11/12 | 06/06/18 | 22,775.62 | 6,915 29 | | | 15,860 33 | | |
| AON PLC COM USD0 01 CL A, AON, G0408V102 | | | | | | | | | | |
| Sale | 300 000 | 02/04/13 | 06/06/18 | 42,400 00 | 16 594 20 | | | 25,805 80 | | |
| ATHENE HOLDING LTD COM USD0.001 CL A, ATH, G0684D107 | | | | | | | | | | |
| Sale | 300 000 | 12/09/16 | 06/06/18 | 13 685 73 | 12,000 00 | | | 1,685 73 | | |
| CABOT OIL & GAS CP COM, COG, 127097103 | | | | | | | | | | |
| Sale | 2,800 000 | 10/05/16 | 06/06/18 | 64,348 48 | 71,962.24 | | | -7 613 76 | | |
| CHUBB LIMITED COM NPV ISIN #CH0044328745, CB, H1467J104 | | | | | | | | | | |
| Sale | 200 000 | 11/04/16 | 06/06/18 | 26,247 81 | 24,695 86 | | | 1,551 95 | | |
| CISCO SYS INC COM, CSCO, 17275R102 | | | | | | | | | | |
| Sale | 550 000 | 09/14/15 | 06/06/18 | 24 350 38 | 14,181 20 | | | 10,169 18 | | |
| CITIGROUP INC COM NEW, C, 172967424 | | | | | | | | | | |
| Sale | 450 000 | 03/09/17 | 06/06/18 | 30 696 35 | 27 839 52 | | | 2,856.83 | | |

*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions.



SCHEDULE #1

# 2018 Informational Tax Reporting Statement

THE JOSHUA MAILMAN FOUNDATION Account No NBJ-039179 Customer Service 212-478-9000
Recipient ID No **-***0413 Payer's Fed ID Number 04-3523567

**Note: This information is not reported to the IRS. It may assist you in tax return preparation**

## 2018 Proceeds from Broker and Barter Exchange Transactions*

**Long-term transactions**

(IRS Form 1099-B box numbers are shown below in **bold type**)

| Action | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (a) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State 16 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| **1a Description of property, Stock or Other Symbol, CUSIP** | | | | | | | | | | |
| D R HORTON INC COM, DHI, 23331A109 | | | | | | | | | | |
| Sale | 1,000 000 | 01/13/14 | 06/06/18 | 42,227 85 | 21,585 30 | | | 20,642.55 | | |
| Sale | 1,300 000 | 01/13/14 | 10/24/18 | 45,147.76 | 28 060.89 | | | 17,086 87 | | |
| **Subtotals** | | | | **87,375 61** | **49,646 19** | | | | | |
| DELTA AIR LINES INC DEL COM NEW, DAL, 247361702 | | | | | | | | | | |
| Sale | 700 000 | 12/11/14 | 06/06/18 | 37,908 21 | 33,699 96 | | | 4,208 25 | | |
| EOG RESOURCES INC, EOG 26875P101 | | | | | | | | | | |
| Sale | 400 000 | 10/19/16 | 06/06/18 | 46,358 83 | 38,257 24 | | | 8,101 59 | | |
| FEDEX CORP COM, FDX, 31428X106 | | | | | | | | | | |
| Sale | 200 000 | 12/17/14 | 06/06/18 | 51,289 01 | 33,542.68 | | | 17,746 33 | | |
| Sale | 50 000 | 12/17/14 | 12/19/18 | 8,362 29 | 8,385 67 | | | -23 38 | | |
| **Subtotals** | | | | **59,651.30** | **41,928 35** | | | | | |
| GOLDMAN SACHS GROUP INC, GS, 38141G104 | | | | | | | | | | |
| Sale | 50 000 | 12/17/14 | 06/06/18 | 11,600 54 | 9,267 70 | | | 2,332 84 | | |
| JPMORGAN CHASE & CO, JPM, 46625H100 | | | | | | | | | | |
| Sale | 450 000 | 12/26/14 | 06/06/18 | 49,587 96 | 28 213 69 | | | 21,374 27 | | |
| LENNAR CORP CL A, LEN, 526057104 | | | | | | | | | | |
| Sale | 650 000 | 07/25/13 | 06/06/18 | 33,936 31 | 20,789 89 | | | 13,146 42 | | |
| Sale | 500 000 | 07/25/13 | 10/24/18 | 19,707 14 | 15,992 23 | | | 3,714 91 | | |
| **Subtotals** | | | | **53,643.45** | **36,782.12** | | | | | |

000479 FIEX2X01 002486

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SCHEDULE #1

# 2018 Informational Tax Reporting Statement

THE JOSHUA MAILMAN FOUNDATION Account No NBJ-038179 Customer Service. 212-476-9000
Recipient ID No **-***0413 Payer's Fed ID Number 04-3523567

**Note: This information is not reported to the IRS. It may assist you in tax return preparation.**

## 2018 Proceeds from Broker and Barter Exchange Transactions*

**Long-term transactions**

(IRS Form 1099-B box numbers are shown below in **bold type**)

| 1a Description of property, Stock or Other Symbol, CUSIP<br>Action | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (a) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State 18 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| LENNAR CORP CL B, LENB 526057302 | | | | | | | | | | |
| Sale | 60 000 | 07/25/13 | 06/06/18 | 2,508 57 | 1,824 35 | | | 884 22 | | |
| LINCOLN NATIONAL CORP IND, LNC, 534187109 | | | | | | | | | | |
| Sale | 600 000 | 04/21/15 | 06/06/18 | 41 044 86 | 34,519 86 | | | 6,525 00 | | |
| LYONDELLBASELL INDUSTRIES N V COM USD0 0, LYB, N53745100 | | | | | | | | | | |
| Sale | 250,000 | 03/07/16 | 06/06/18 | 29,503 81 | 20,605 95 | | | 8,897.86 | | |
| MICROSOFT CORP, MSFT, 594918104 | | | | | | | | | | |
| Sale | 125 000 | 06/28/12 | 04/12/18 | 11,710 61 | 3,704 20 | | | 8,006 41 | | |
| Sale | 500 000 | 06/28/12 | 06/06/18 | 51,197 33 | 14,816.79 | | | 36,380 54 | | |
| **Subtotals** | | | | **62,907.94** | **18,520.99** | | | | | |
| ORACLE CORP COM, ORCL, 68389X105 | | | | | | | | | | |
| Sale | 650 000 | 12/18/09 | 06/06/18 | 31 024 29 | 15 703 94 | | | 15,320 35 | | |
| Sale | 750 000 | 12/18/09 | 11/05/18 | 36,570.50 | 18,119 92 | | | 18,450 58 | | |
| **Subtotals** | | | | **67,594 79** | **33,823.86** | | | | | |
| TRAVELERS COMPANIES INC COM STK NPV, TRV 89417E109 | | | | | | | | | | |
| Sale | 50 000 | 09/16/09 | 06/06/18 | 6,420 01 | 2,440 91 | | | 3,979 10 | | |
| WHIRLPOOL CORP, WHR, 963320106 | | | | | | | | | | |
| Sale | 400 000 | 10/05/16 | 04/03/18 | 60,358 28 | 65 753 08 | | | -5,394 80 | | |
| **TOTALS** | | | | **970,823 24** | **681,970 27** | **0 00** | **0.00** | | **0 00** | |
| | | **Long-Term Realized Gain** | | | | | | **301,884.91** | | |
| | | **Long-Term Realized Loss** | | | | | | **-13,031 94** | | |

***Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions.**



SCHEDULE #1

Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
8548-6013

Report Period
January 1 - December 31, 2018

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss)

Accounting Method First In First Out (FIFO)

| Short-Term | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| BLACKBAUD INC BLKB | 98 0000 | 01/18/18 | 12/11/18 | $6,693.10 | $9,290 68 | ($2,597 58) |
| **Security Subtotal** | | | | **$6,693.10** | **$9,290 68** | **($2,597 58)** |
| CATALENT INC. CTLT | 241 0000 | 09/26/18 | 12/26/18 | $7,364.79 | $10,619 54 | ($3,254 75) |
| CATALENT INC. CTLT | 103 0000 | 10/08/18 | 12/26/18 | $3,147 61 | $4,529 61 | ($1,382 00) |
| **Security Subtotal** | | | | **$10,512.40** | **$15,149.15** | **($4,636.75)** |
| GO DADDY GROUP INC CLASS A GDDY | 132.0000 | 06/28/17 | 05/07/18 | $8,767 85 | $5,575 59 | $3,192 26 |
| GO DADDY GROUP INC CLASS A GDDY | 78 0000 | 06/28/17 | 06/15/18 | $5,713 09 | $3,294 66 | $2,418 43 |
| GO DADDY GROUP INC CLASS A GDDY | 23 0000 | 07/24/17 | 06/15/18 | $1,684 63 | $1,000 62 | $684 01 |
| **Security Subtotal** | | | | **$16,165.57** | **$9,870.87** | **$6,294.70** |
| IDEXX LABS INC IDXX | 10 0000 | 01/31/18 | 05/16/18 | $1,997 70 | $1,868 14 | $129 56 |
| IDEXX LABS INC IDXX | 23 0000 | 01/31/18 | 05/16/18 | $4,665 52 | $4,296 72 | $368 80 |
| **Security Subtotal** | | | | **$6,663 22** | **$6,164 86** | **$498.36** |





Schedule #2

charles SCHWAB

Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
8548-6013

Report Period
January 1 - December 31, 2018

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

| Short-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| MOHAWK INDUSTRIES: MHK | 40 0000 | 05/02/18 | 10/26/18 | $4,774 00 | $8,608 86 | ($3,834 86) |
| MOHAWK INDUSTRIES MHK | 39 0000 | 05/31/18 | 10/26/18 | $4,654 66 | $7,961.72 | ($3,307 06) |
| **Security Subtotal** | | | | **$9,428.66** | **$16,570 58** | **($7,141.92)** |
| SCHEIN HENRY INC. HSIC | 83 0000 | 10/26/17 | 10/26/18 | $6,683 42 | $6,930 92 | ($247 50) |
| **Security Subtotal** | | | | **$6,683.42** | **$6,930.92** | **($247.50)** |
| SIGNET JEWLERS LTD F SIG | 54 0000 | 05/31/17 | 03/16/18 | $2,079 65 | $2,574 88 | ($495 23) |
| SIGNET JEWLERS LTD F SIG | 63 0000 | 06/05/17 | 03/16/18 | $2,426 25 | $3,333.41 | ($907.16) |
| **Security Subtotal** | | | | **$4,505.90** | **$5,908 29** | **($1,402 39)** |
| WABTEC WAB | 90 0000 | 07/25/17 | 01/05/18 | $7,360.38 | $7,062 62 | $297 76 |
| **Security Subtotal** | | | | **$7,360.38** | **$7,062 62** | **$297.76** |
| WEST PHARM SRVC INC WST | 57 0000 | 07/27/17 | 07/18/18 | $5,560.02 | $5,127 98 | $432 04 |
| **Security Subtotal** | | | | **$5,560 02** | **$5,127.98** | **$432.04** |
| WORLDPAY I N C WP | 5 0000 | 11/02/17 | 08/09/18 | $448 76 | $346 99 | $101 77 |
| WORLDPAY I N C WP | 40 0000 | 11/02/17 | 08/09/18 | $3,572 74 | $2,775 90 | $796 84 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

| Short-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| WORLDPAY I N C WP | 87 0000 | 01/16/18 | 08/09/18 | $7,808 36 | $6,805 51 | $1,002 85 |
| ***Security Subtotal*** | | | | **$11,829 86** | **$9,928.40** | **$1,901.46** |
| **Total Short-Term** | | | | **$85,402.53** | **$92,004.35** | **($6,601.82)** |

| Long-Term | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| ANSYS INC ANSS | 5 0000 | 02/05/15 | 02/16/18 | $822 39 | $415.10 | $407 29 |
| ANSYS INC ANSS | 64 0000 | 06/22/15 | 02/16/18 | $10,526 58 | $5,872.36 | $4,654 22 |
| ANSYS INC. ANSS | 14.0000 | 06/22/15 | 04/27/18 | $2,279 09 | $1,284 58 | $994 51 |
| ANSYS INC ANSS | 23.0000 | 03/16/17 | 04/27/18 | $3,744 22 | $2,461 35 | $1,282 87 |
| **Security Subtotal** | | | | **$17,372.28** | **$10,033 39** | **$7,338.89** |
| BIO-TECHNE CORP. TECH | 34 0000 | 10/30/15 | 01/22/18 | $4,786 39 | $2,991 07 | $1,795 32 |
| BIO-TECHNE CORP TECH | 15.0000 | 11/03/15 | 01/22/18 | $2,111 64 | $1,342.49 | $769 15 |
| BIO-TECHNE CORP· TECH | 19 0000 | 11/03/15 | 03/05/18 | $2,690 83 | $1,700 48 | $990 35 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method: First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| BIO-TECHNE CORP· TECH | 33 0000 | 11/03/15 | 03/05/18 | $4,673 55 | $2,961 64 | $1,711 91 |
| BIO-TECHNE CORP· TECH | 22.0000 | 11/13/15 | 03/05/18 | $3,115 70 | $1,910 49 | $1,205 21 |
| BIO-TECHNE CORP TECH | 4 0000 | 11/13/15 | 03/12/18 | $586 98 | $347.36 | $239 62 |
| BIO-TECHNE CORP· TECH | 34.0000 | 12/02/15 | 03/12/18 | $4,989 37 | $3,128.22 | $1,861 15 |
| BIO-TECHNE CORP. TECH | 5 0000 | 12/02/15 | 04/20/18 | $766.21 | $460.03 | $306.18 |
| BIO-TECHNE CORP. TECH | 36 0000 | 12/30/15 | 04/20/18 | $5,516 71 | $3,280 85 | $2,235 86 |
| BIO-TECHNE CORP· TECH | 11 0000 | 12/30/15 | 05/17/18 | $1,668 99 | $1,002 48 | $666 51 |
| BIO-TECHNE CORP. TECH | 38 0000 | 01/04/16 | 05/17/18 | $5,765 60 | $3,343 52 | $2,422 08 |
| BIO-TECHNE CORP TECH | 11 0000 | 01/07/16 | 05/17/18 | $1,668 99 | $959.33 | $709 66 |
| BIO-TECHNE CORP TECH | 18 0000 | 01/07/16 | 08/17/18 | $3,254 87 | $1,569 82 | $1,685 05 |
| BIO-TECHNE CORP. TECH | 11 0000 | 01/22/16 | 08/17/18 | $1,989.09 | $926 97 | $1,062 12 |
| BIO-TECHNE CORP. TECH | 34 0000 | 02/04/16 | 08/17/18 | $6,148 10 | $2,896 26 | $3,251 84 |
| **Security Subtotal** | | | | **$49,733.02** | **$28,821.01** | **$20,912.01** |





Schedule #2

charles SCHWAB

Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
8548-6013

Report Period
January 1 - December 31, 2018

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds. | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| BLACKBAUD INC: BLKB | 64 0000 | 02/09/17 | 04/30/18 | $6,793 95 | $4,349 50 | $2,444 45 |
| BLACKBAUD INC BLKB | 5 0000 | 02/10/17 | 04/30/18 | $530 78 | $344 82 | $185 96 |
| BLACKBAUD INC· BLKB | 48 0000 | 02/10/17 | 07/27/18 | $5,573 94 | $3,310 32 | $2,263 62 |
| BLACKBAUD INC BLKB | 42.0000 | 02/14/17 | 07/27/18 | $4,877 19 | $3,023.22 | $1,853 97 |
| BLACKBAUD INC· BLKB | 12 0000 | 02/14/17 | 10/19/18 | $856 33 | $863.78 | ($7 45) |
| BLACKBAUD INC BLKB | 6 0000 | 04/03/17 | 10/19/18 | $428 16 | $455.53 | ($27 37) |
| BLACKBAUD INC· BLKB | 65 0000 | 04/03/17 | 10/26/18 | $4,571 51 | $4,934 87 | ($363 36) |
| BLACKBAUD INC BLKB | 31 0000 | 04/03/17 | 10/29/18 | $2,144.16 | $2,353 55 | ($209 39) |
| BLACKBAUD INC BLKB | 35 0000 | 05/09/17 | 10/29/18 | $2,420 82 | $2,772 31 | ($351.49) |
| BLACKBAUD INC BLKB | 44 0000 | 05/09/17 | 12/11/18 | $3,005 07 | $3,485 19 | ($480 12) |
| BLACKBAUD INC BLKB | 36 0000 | 05/10/17 | 12/11/18 | $2,458 69 | $2,850 18 | ($391 49) |
| BLACKBAUD INC BLKB | 20 0000 | 06/02/17 | 12/11/18 | $1,365 94 | $1,731 35 | ($365 41) |
| **Security Subtotal** | | | | **$35,026.54** | **$30,474 62** | **$4,551.92** |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| BRIGHT HORIZON FAM· BFAM | 22 0000 | 11/03/16 | 08/01/18 | $2,355 98 | $1,382 70 | $973 28 |
| BRIGHT HORIZON FAM. BFAM | 49.0000 | 11/03/16 | 08/15/18 | $5,576 80 | $3,079 65 | $2,497 15 |
| BRIGHT HORIZON FAM· BFAM | 44 0000 | 11/09/16 | 08/15/18 | $5,007 73 | $2,774.45 | $2,233 28 |
| BRIGHT HORIZON FAM BFAM | 28.0000 | 11/09/16 | 08/29/18 | $3,357 72 | $1,765 56 | $1,592 16 |
| BRIGHT HORIZON FAM BFAM | 48 0000 | 11/10/16 | 08/29/18 | $5,756 08 | $3,337.06 | $2,419 02 |
| BRIGHT HORIZON FAM BFAM | 35 0000 | 11/10/16 | 11/07/18 | $4,084 27 | $2,433.28 | $1,650 99 |
| BRIGHT HORIZON FAM· BFAM | 24 0000 | 11/21/16 | 11/07/18 | $2,800.65 | $1,711 20 | $1,089 45 |
| BRIGHT HORIZON FAM. BFAM | 77 0000 | 11/21/16 | 11/29/18 | $9,122 27 | $5,490.10 | $3,632 17 |
| BRIGHT HORIZON FAM· BFAM | 12 0000 | 12/05/16 | 11/29/18 | $1,421.65 | $824 68 | $596 97 |
| **Security Subtotal** | | | | **$39,483.15** | **$22,798.68** | **$16,684.47** |
| BROOKFIELD ASSET MGM FCLASS A BAM | 128 5000 | 09/15/11 | 06/29/18 | $5,205 95 | $2,488.87 | $2,717.08 |
| BROOKFIELD ASSET MGM FCLASS A BAM | 73 5000 | 09/23/11 | 06/29/18 | $2,977 73 | $1,291 09 | $1,686 64 |





Schedule #2

charles SCHWAB

Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
8548-6013

Report Period
January 1 - December 31, 2018

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| BROOKFIELD ASSET MGM FCLASS A: BAM | 24 0000 | 09/23/11 | 08/03/18 | $1,005 76 | $421.58 | $584 18 |
| BROOKFIELD ASSET MGM FCLASS A. BAM | 213 0000 | 01/06/17 | 08/03/18 | $8,926 15 | $7,099 82 | $1,826 33 |
| **Security Subtotal** | | | | **$18,115.59** | **$11,301.36** | **$6,814.23** |
| DENTSPLY SIRONA INC· XRAY | 68 0000 | 02/14/13 | 01/02/18 | $4,532.77 | $2,908 64 | $1,624 13 |
| DENTSPLY SIRONA INC XRAY | 85 0000 | 02/14/13 | 01/04/18 | $5,695 07 | $3,635 79 | $2,059 28 |
| DENTSPLY SIRONA INC XRAY | 21 0000 | 02/14/13 | 01/16/18 | $1,381.48 | $898 26 | $483.22 |
| DENTSPLY SIRONA INC XRAY | 90 0000 | 05/09/13 | 01/16/18 | $5,920 62 | $3,802 85 | $2,117 77 |
| DENTSPLY SIRONA INC XRAY | 70 0000 | 05/14/13 | 01/16/18 | $4,604.92 | $2,969 19 | $1,635.73 |
| DENTSPLY SIRONA INC. XRAY | 20 0000 | 05/16/13 | 01/16/18 | $1,315 69 | $841 93 | $473.76 |
| DENTSPLY SIRONA INC XRAY | 32.0000 | 05/20/13 | 01/16/18 | $2,105.11 | $1,347 84 | $757 27 |
| DENTSPLY SIRONA INC· XRAY | 33 0000 | 05/20/13 | 01/24/18 | $2,052.25 | $1,389 96 | $662.29 |
| DENTSPLY SIRONA INC XRAY | 100 0000 | 05/13/15 | 01/24/18 | $6,218 95 | $5,082 00 | $1,136 95 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| DENTSPLY SIRONA INC XRAY | 40 0000 | 05/13/15 | 02/26/18 | $2,278 75 | $2,032 80 | $245 95 |
| DENTSPLY SIRONA INC XRAY | 99 0000 | 05/21/15 | 02/26/18 | $5,639.89 | $5,165.38 | $474 51 |
| DENTSPLY SIRONA INC: XRAY | 17 0000 | 05/21/15 | 05/08/18 | $764 73 | $886 98 | ($122 25) |
| DENTSPLY SIRONA INC XRAY | 74 0000 | 06/22/15 | 05/08/18 | $3,328.81 | $3,948 92 | ($620 11) |
| DENTSPLY SIRONA INC XRAY | 46 0000 | 02/17/16 | 05/08/18 | $2,069.26 | $2,570.92 | ($501 66) |
| DENTSPLY SIRONA INC· XRAY | 50 0000 | 02/17/16 | 05/11/18 | $2,349 47 | $2,794.47 | ($445 00) |
| DENTSPLY SIRONA INC XRAY | 147 0000 | 08/22/16 | 05/11/18 | $6,907 44 | $8,857.29 | ($1,949 85) |
| **Security Subtotal** | | | | **$57,165.21** | **$49,133.22** | **$8,031.99** |
| GARTNER INC IT | 32 0000 | 03/16/16 | 07/25/18 | $4,458.58 | $2,704.96 | $1,753 62 |
| GARTNER INC IT | 11 0000 | 03/16/16 | 10/02/18 | $1,752 10 | $929 83 | $822.27 |
| GARTNER INC IT | 15 0000 | 03/16/16 | 10/02/18 | $2,389.23 | $1,260 56 | $1,128 67 |
| GARTNER INC IT | 30 0000 | 03/16/16 | 11/27/18 | $4,414 49 | $2,521.11 | $1,893 38 |
| GARTNER INC· IT | 1 0000 | 11/04/16 | 11/27/18 | $147 15 | $92 92 | $54.23 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| GARTNER INC IT | 33 0000 | 11/04/16 | 11/29/18 | $5,066 92 | $3,066 31 | $2,000 61 |
| **Security Subtotal** | | | | **$18,228.47** | **$10,575.69** | **$7,652.78** |
| GO DADDY GROUP INC CLASS A. GDDY | 58 0000 | 07/24/17 | 07/25/18 | $4,585.44 | $2,523 29 | $2,062.15 |
| GO DADDY GROUP INC CLASS A GDDY | 57 0000 | 07/24/17 | 07/26/18 | $4,456.45 | $2,479 78 | $1,976 67 |
| **Security Subtotal** | | | | **$9,041.89** | **$5,003.07** | **$4,038 82** |
| HEICO CORP HEI | 0 5000 | 08/01/12 | 01/18/18 | $42 01 | $8.83 | $33.18 |
| HEICO CORP HEI | 5 0000 | 08/01/12 | 05/16/18 | $453 26 | $88 32 | $364 94 |
| HEICO CORP HEI | 193 0000 | 08/01/12 | 05/29/18 | $17,839 31 | $3,409 03 | $14,430 28 |
| HEICO CORP HEI | 15 0000 | 08/01/12 | 10/25/18 | $1,235 51 | $211.96 | $1,023.55 |
| HEICO CORP HEI | 29 0000 | 08/01/12 | 12/04/18 | $2,468.58 | $409 79 | $2,058 79 |
| **Security Subtotal** | | | | **$22,038.67** | **$4,127.93** | **$17,910.74** |
| IDEXX LABS INC IDXX | 38 0000 | 05/01/15 | 04/27/18 | $7,500 40 | $2,426 78 | $5,073 62 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| IDEXX LABS INC IDXX | 14 0000 | 05/01/15 | 05/16/18 | $2,796.77 | $894.07 | $1,902 70 |
| **Security Subtotal** | | | | **$10,297.17** | **$3,320.85** | **$6,976.32** |
| METTLER TOLEDO INTL MTD | 3 0000 | 07/09/15 | 11/27/18 | $1,808 92 | $986.64 | $822.28 |
| METTLER TOLEDO INTL MTD | 5.0000 | 08/20/15 | 11/27/18 | $3,014 86 | $1,571.78 | $1,443 08 |
| METTLER TOLEDO INTL MTD | 5 0000 | 08/24/15 | 11/27/18 | $3,014 86 | $1,456 63 | $1,558 23 |
| **Security Subtotal** | | | | **$7,838 64** | **$4,015.05** | **$3,823.59** |
| MOHAWK INDUSTRIES MHK | 9 0000 | 02/20/13 | 07/30/18 | $1,668 40 | $970 33 | $698.07 |
| MOHAWK INDUSTRIES MHK | 19 0000 | 02/20/13 | 07/30/18 | $3,522 17 | $1,977.02 | $1,545 15 |
| MOHAWK INDUSTRIES MHK | 16 0000 | 02/20/13 | 08/06/18 | $2,989 94 | $1,664 85 | $1,325 09 |
| MOHAWK INDUSTRIES MHK | 45 0000 | 02/20/13 | 08/06/18 | $8,409 20 | $4,776 37 | $3,632.83 |
| MOHAWK INDUSTRIES MHK | 25 0000 | 03/21/13 | 08/06/18 | $4,671.78 | $2,817.40 | $1,854 38 |
| MOHAWK INDUSTRIES MHK | 4 0000 | 05/03/13 | 08/06/18 | $747 47 | $460 97 | $286 50 |
| MOHAWK INDUSTRIES MHK | 24 0000 | 05/03/13 | 08/09/18 | $4,475 34 | $2,765 85 | $1,709 49 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| MOHAWK INDUSTRIES MHK | 19 0000 | 05/14/13 | 08/09/18 | $3,542 97 | $2,289 15 | $1,253.82 |
| MOHAWK INDUSTRIES MHK | 6.0000 | 05/14/13 | 09/12/18 | $1,120.30 | $722.89 | $397.41 |
| MOHAWK INDUSTRIES. MHK | 40 0000 | 05/29/13 | 09/12/18 | $7,468 66 | $4,529 08 | $2,939 58 |
| MOHAWK INDUSTRIES MHK | 1 0000 | 04/01/14 | 09/12/18 | $186 72 | $139 39 | $47 33 |
| MOHAWK INDUSTRIES MHK | 44 0000 | 04/01/14 | 10/26/18 | $5,251 41 | $6,133.24 | ($881 83) |
| MOHAWK INDUSTRIES MHK | 25 0000 | 05/07/14 | 10/26/18 | $2,983.75 | $3,418 53 | ($434 78) |
| MOHAWK INDUSTRIES MHK | 19 0000 | 02/03/16 | 10/26/18 | $2,267 65 | $3,002 95 | ($735 30) |
| **Security Subtotal** | | | | **$49,305.76** | **$35,668.02** | **$13,637.74** |
| PAYCHEX INC PAYX | 70 0000 | 10/04/11 | 06/22/18 | $4,888 19 | $1,798 94 | $3,089 25 |
| PAYCHEX INC PAYX | 30.0000 | 05/15/12 | 07/16/18 | $2,110 47 | $900 86 | $1,209 61 |
| PAYCHEX INC PAYX | 80 0000 | 05/23/12 | 07/16/18 | $5,627.91 | $2,389 62 | $3,238 29 |
| PAYCHEX INC PAYX | 1 0000 | 07/18/12 | 07/16/18 | $70 35 | $33 23 | $37.12 |
| PAYCHEX INC PAYX | 63 0000 | 07/18/12 | 11/26/18 | $4,299 82 | $2,093 64 | $2,206.18 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| PAYCHEX INC PAYX | 70.0000 | 07/18/12 | 11/30/18 | $4,917 73 | $2,326.27 | $2,591 46 |
| PAYCHEX INC PAYX | 81.0000 | 07/18/12 | 12/03/18 | $5,752 72 | $2,691.83 | $3,060 89 |
| PAYCHEX INC PAYX | 16 0000 | 07/01/15 | 12/03/18 | $1,136 34 | $748.89 | $387 45 |
| **Security Subtotal** | | | | **$28,803 53** | **$12,983.28** | **$15,820.25** |
| PERKINELMER INC PKI | 65 0000 | 07/29/10 | 08/03/18 | $5,683 20 | $1,250 60 | $4,432.60 |
| PERKINELMER INC PKI | 102 0000 | 07/29/10 | 08/03/18 | $8,918 24 | $1,964 01 | $6,954 23 |
| PERKINELMER INC PKI | 13 0000 | 07/29/10 | 12/03/18 | $1,172 78 | $250 32 | $922 46 |
| PERKINELMER INC. PKI | 65 0000 | 08/02/10 | 12/03/18 | $5,863 91 | $1,300 00 | $4,563 91 |
| PERKINELMER INC PKI | 23 0000 | 08/13/12 | 12/03/18 | $2,074 92 | $639 39 | $1,435 53 |
| **Security Subtotal** | | | | **$23,713 05** | **$5,404.32** | **$18,308 73** |
| ROLLINS INC ROL | 2 5000 | 01/28/14 | 05/03/18 | $121 65 | $48 35 | $73 30 |
| ROLLINS INC. ROL | 15 0000 | 04/10/14 | 05/03/18 | $729 88 | $297 03 | $432 85 |
| ROLLINS INC ROL | 81.5000 | 05/15/14 | 05/03/18 | $3,965 66 | $1,618 61 | $2,347 05 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| ROLLINS INC ROL | 68 5000 | 05/15/14 | 05/30/18 | $3,506 72 | $1,360 42 | $2,146 30 |
| ROLLINS INC. ROL | 26 5000 | 02/11/15 | 05/30/18 | $1,356 61 | $591.63 | $764 98 |
| ROLLINS INC: ROL | 100 0000 | 02/11/15 | 08/22/18 | $5,784.96 | $2,232.59 | $3,552 37 |
| ROLLINS INC ROL | 16 0000 | 02/11/15 | 09/18/18 | $1,016 42 | $357.21 | $659 21 |
| ROLLINS INC: ROL | 73 0000 | 01/11/16 | 09/18/18 | $4,637 44 | $1,755 13 | $2,882 31 |
| **Security Subtotal** | | | | **$21,119.34** | **$8,260.97** | **$12,858.37** |
| SCHEIN HENRY INC HSIC | 57.0000 | 09/22/17 | 10/17/18 | $4,937.23 | $4,493 66 | $443 57 |
| SCHEIN HENRY INC. HSIC | 30 0000 | 10/09/17 | 10/17/18 | $2,598 54 | $2,411 01 | $187 53 |
| SCHEIN HENRY INC HSIC | 24 0000 | 10/09/17 | 10/26/18 | $1,932 56 | $1,928 80 | $3 76 |
| **Security Subtotal** | | | | **$9,468.33** | **$8,833.47** | **$634 86** |
| SIGNET JEWLERS LTD F SIG | 34 0000 | 08/19/11 | 02/14/18 | $1,738.47 | $1,112 14 | $626 33 |
| SIGNET JEWLERS LTD F SIG | 36 0000 | 06/01/12 | 02/14/18 | $1,840.74 | $1,548.63 | $292 11 |
| SIGNET JEWLERS LTD F. SIG | 29.0000 | 06/01/12 | 02/23/18 | $1,511.06 | $1,247 50 | $263 56 |





Schedule #2

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Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
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## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| SIGNET JEWLERS LTD F· SIG | 50 0000 | 06/04/12 | 02/23/18 | $2,605 28 | $2,123 06 | $482 22 |
| SIGNET JEWLERS LTD F· SIG | 60.0000 | 09/03/14 | 02/23/18 | $3,126.33 | $7,022 40 | ($3,896 07) |
| SIGNET JEWLERS LTD F· SIG | 40 0000 | 10/15/14 | 02/23/18 | $2,084.22 | $4,134 22 | ($2,050 00) |
| SIGNET JEWLERS LTD F SIG | 13 0000 | 10/23/14 | 02/23/18 | $677 38 | $1,453 34 | ($775 96) |
| SIGNET JEWLERS LTD F. SIG | 67 0000 | 10/23/14 | 03/07/18 | $3,310 50 | $7,490 26 | ($4,179 76) |
| SIGNET JEWLERS LTD F· SIG | 66 0000 | 02/20/15 | 03/07/18 | $3,261.09 | $7,883 70 | ($4,622.61) |
| SIGNET JEWLERS LTD F SIG | 38 0000 | 03/03/15 | 03/07/18 | $1,877 60 | $4,593 11 | ($2,715 51) |
| SIGNET JEWLERS LTD F SIG | 37.0000 | 03/10/15 | 03/07/18 | $1,828 19 | $4,337 24 | ($2,509 05) |
| SIGNET JEWLERS LTD F SIG | 22 0000 | 07/08/15 | 03/07/18 | $1,087 03 | $2,689 09 | ($1,602 06) |
| SIGNET JEWLERS LTD F SIG | 29 0000 | 07/09/15 | 03/07/18 | $1,432.90 | $3,503 05 | ($2,070 15) |
| SIGNET JEWLERS LTD F SIG | 13 0000 | 07/28/15 | 03/07/18 | $642 34 | $1,570 88 | ($928.54) |
| SIGNET JEWLERS LTD F SIG | 16 0000 | 08/24/15 | 03/07/18 | $790 56 | $1,910 92 | ($1,120.36) |
| SIGNET JEWLERS LTD F SIG | 32 0000 | 08/24/15 | 03/12/18 | $1,538 54 | $3,821.83 | ($2 283 29) |





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## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| Long-Term (continued) | | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|---|
| SIGNET JEWLERS LTD | F· SIG | 49 0000 | 09/18/15 | 03/12/18 | $2,355 89 | $6,739.54 | ($4,383 65) |
| SIGNET JEWLERS LTD | F SIG | 12 0000 | 09/18/15 | 03/15/18 | $469 24 | $1,650 50 | ($1,181 26) |
| SIGNET JEWLERS LTD | F SIG | 62 0000 | 11/13/15 | 03/15/18 | $2,424.40 | $8,382.01 | ($5,957 61) |
| SIGNET JEWLERS LTD | F· SIG | 24 0000 | 11/20/15 | 03/15/18 | $938 48 | $3,329 98 | ($2,391.50) |
| SIGNET JEWLERS LTD | F. SIG | 51 0000 | 11/24/15 | 03/15/18 | $1,994.27 | $6,818.97 | ($4,824.70) |
| SIGNET JEWLERS LTD | F SIG | 51 0000 | 11/24/15 | 03/15/18 | $1,994 27 | $6,845 33 | ($4,851 06) |
| SIGNET JEWLERS LTD | F SIG | 33 0000 | 12/04/15 | 03/15/18 | $1,290 40 | $4,269 44 | ($2,979 04) |
| SIGNET JEWLERS LTD | F. SIG | 17 0000 | 12/04/15 | 03/16/18 | $654 70 | $2,199 41 | ($1,544.71) |
| SIGNET JEWLERS LTD | F· SIG | 36 0000 | 12/15/15 | 03/16/18 | $1,386 43 | $4,177 25 | ($2,790.82) |
| SIGNET JEWLERS LTD | F. SIG | 36 0000 | 02/03/16 | 03/16/18 | $1,386 43 | $3,969 79 | ($2,583.36) |
| SIGNET JEWLERS LTD | F SIG | 55 0000 | 02/11/16 | 03/16/18 | $2,118.16 | $5,192 20 | ($3,074 04) |
| SIGNET JEWLERS LTD | F SIG | 64 0000 | 02/28/17 | 03/16/18 | $2,464 77 | $4,061 92 | ($1,597 15) |
| SIGNET JEWLERS LTD | F. SIG | 88 0000 | 02/28/17 | 03/16/18 | $3,389 06 | $5,856 49 | ($2,467 43) |





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## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| SIGNET JEWLERS LTD F SIG | 39 0000 | 03/01/17 | 03/16/18 | $1,501 97 | $2,528 76 | ($1,026.79) |
| **Security Subtotal** | | | | **$53,720.70** | **$122,462 96** | **($68,742.26)** |
| SMITH A O. AOS | 63.0000 | 04/27/17 | 10/26/18 | $2,758 90 | $3,429 16 | ($670 26) |
| SMITH A O· AOS | 63 0000 | 04/28/17 | 10/26/18 | $2,758 90 | $3,403 15 | ($644.25) |
| SMITH A O AOS | 31 0000 | 05/01/17 | 10/26/18 | $1,357 55 | $1,667 50 | ($309 95) |
| SMITH A O: AOS | 44 0000 | 06/05/17 | 10/26/18 | $1,926.85 | $2,478.73 | ($551 88) |
| SMITH A O: AOS | 8 0000 | 06/27/17 | 10/26/18 | $350 33 | $451.49 | ($101 16) |
| SMITH A O AOS | 161 0000 | 06/27/17 | 10/30/18 | $7,295 89 | $9,086 16 | ($1,790.27) |
| SMITH A O AOS | 43 0000 | 07/11/17 | 10/30/18 | $1,948 59 | $2,458 15 | ($509 56) |
| **Security Subtotal** | | | | **$18,397.01** | **$22,974.34** | **($4,577.33)** |
| SS&C TECHNOLOGS HLDG SSNC | 71 0000 | 06/24/16 | 01/10/18 | $3,340 30 | $1,988 42 | $1,351 88 |
| SS&C TECHNOLOGS HLDG SSNC | 135 0000 | 06/27/16 | 01/10/18 | $6,351 27 | $3,590 96 | $2,760 31 |
| SS&C TECHNOLOGS HLDG SSNC | 135.0000 | 07/06/16 | 01/10/18 | $6,351 28 | $3,921.75 | $2,429 53 |





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## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| SS&C TECHNOLOGS HLDG SSNC | 107.0000 | 07/12/16 | 01/12/18 | $5,291.21 | $3,258 58 | $2,032 63 |
| SS&C TECHNOLOGS HLDG: SSNC | 32.0000 | 07/12/16 | 01/18/18 | $1,595 64 | $974.53 | $621 11 |
| SS&C TECHNOLOGS HLDG SSNC | 104 0000 | 07/12/16 | 01/18/18 | $5,168 95 | $3,167 21 | $2,001 74 |
| SS&C TECHNOLOGS HLDG SSNC | 76 0000 | 08/08/16 | 01/18/18 | $3,789 63 | $2,423 94 | $1,365 69 |
| SS&C TECHNOLOGS HLDG SSNC | 136 0000 | 08/08/16 | 01/31/18 | $6 852 20 | $4 337.59 | $2,514 61 |
| SS&C TECHNOLOGS HLDG SSNC | 46 0000 | 09/13/16 | 01/31/18 | $2,317 65 | $1,490.86 | $826 79 |
| SS&C TECHNOLOGS HLDG SSNC | 114 0000 | 09/13/16 | 06/21/18 | $6,203 93 | $3,694 74 | $2,509.19 |
| SS&C TECHNOLOGS HLDG. SSNC | 19 0000 | 09/13/16 | 10/04/18 | $1,044 98 | $615.79 | $429 19 |
| SS&C TECHNOLOGS HLDG. SSNC | 80 0000 | 11/15/16 | 10/04/18 | $4,399 93 | $2,542 63 | $1,857 30 |
| SS&C TECHNOLOGS HLDG SSNC | 30 0000 | 12/02/16 | 10/04/18 | $1,649 98 | $865 61 | $784 37 |
| **Security Subtotal** | | | | **$54,356 95** | **$32,872.61** | **$21,484.34** |
| TELEFLEX INCORPORATE TFX | 34 0000 | 06/08/15 | 10/30/18 | $8,234 94 | $4,445 16 | $3,789 78 |
| TELEFLEX INCORPORATE TFX | 22 0000 | 06/08/15 | 11/07/18 | $5,798 42 | $2,876 28 | $2,922 14 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method. First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| TELEFLEX INCORPORATE TFX | 41 0000 | 06/18/15 | 11/07/18 | $10,806.14 | $5,442 29 | $5,363.85 |
| TELEFLEX INCORPORATE· TFX | 26.0000 | 06/18/15 | 11/16/18 | $6,865 69 | $3,451 21 | $3,414 48 |
| TELEFLEX INCORPORATE· TFX | 2 0000 | 06/26/15 | 11/16/18 | $528 13 | $272 87 | $255 26 |
| **Security Subtotal** | | | | **$32,233.32** | **$16,487.81** | **$15,745.51** |
| TRANSDIGM GROUP INC· TDG | 17 0000 | 11/17/11 | 08/03/18 | $6,266 88 | $1,674 52 | $4,592 36 |
| **Security Subtotal** | | | | **$6,266.88** | **$1,674.52** | **$4,592.36** |
| WABTEC· WAB | 3 0000 | 12/16/15 | 01/05/18 | $245 35 | $211 13 | $34 22 |
| WABTEC. WAB | 23.0000 | 01/04/16 | 01/05/18 | $1,880.99 | $1,608 81 | $272 18 |
| **Security Subtotal** | | | | **$2,126 34** | **$1,819.94** | **$306.40** |
| WEST PHARM SRVC INC WST | 16 0000 | 03/17/16 | 04/18/18 | $1,471 48 | $1,036 23 | $435 25 |
| WEST PHARM SRVC INC WST | 41 0000 | 03/17/16 | 05/15/18 | $3,662 08 | $2,655 33 | $1,006 75 |
| WEST PHARM SRVC INC WST | 87 0000 | 09/21/16 | 05/15/18 | $7,770 76 | $6,513.98 | $1,256 78 |
| WEST PHARM SRVC INC WST | 18 0000 | 11/03/16 | 05/15/18 | $1,607 75 | $1,343 97 | $263 78 |
| WEST PHARM SRVC INC WST | 30 0000 | 11/03/16 | 06/05/18 | $2,857 47 | $2,239 95 | $617 52 |





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## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| WEST PHARM SRVC INC WST | 14 0000 | 04/10/17 | 06/05/18 | $1,333 48 | $1,103.08 | $230 40 |
| WEST PHARM SRVC INC WST | 44 0000 | 04/10/17 | 07/18/18 | $4,291 95 | $3,466 82 | $825 13 |
| **Security Subtotal** | | | | **$22,994.97** | **$18,359.36** | **$4,635.61** |
| WILLIAMS SONOMA WSM | 8 0000 | 12/28/12 | 03/13/18 | $424 54 | $343.08 | $81 46 |
| WILLIAMS SONOMA WSM | 70 0000 | 01/04/13 | 03/13/18 | $3,714 73 | $3,254 34 | $460 39 |
| WILLIAMS SONOMA WSM | 82 0000 | 01/17/13 | 03/13/18 | $4,351.54 | $3,650 94 | $700 60 |
| WILLIAMS SONOMA WSM | 88 0000 | 01/17/13 | 03/14/18 | $4,678 94 | $3,918 09 | $760 85 |
| WILLIAMS SONOMA WSM | 37 0000 | 08/27/15 | 03/14/18 | $1,967 28 | $2,817.39 | ($850 11) |
| WILLIAMS SONOMA WSM | 32 0000 | 09/01/15 | 03/14/18 | $1,701.43 | $2,401 59 | ($700 16) |
| WILLIAMS SONOMA WSM | 22 0000 | 09/01/15 | 06/12/18 | $1,365 86 | $1,651 10 | ($285 24) |
| WILLIAMS SONOMA WSM | 29 0000 | 09/03/15 | 06/12/18 | $1,800 45 | $2,213 12 | ($412 67) |
| WILLIAMS SONOMA WSM | 55 0000 | 11/13/15 | 06/12/18 | $3,414 63 | $3,736 52 | ($321 89) |
| WILLIAMS SONOMA WSM | 55 0000 | 11/13/15 | 08/09/18 | $3,272 14 | $3,736 52 | ($464 38) |





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## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| WILLIAMS SONOMA WSM | 75 0000 | 11/18/15 | 08/09/18 | $4,462 00 | $4,923 90 | ($461 90) |
| WILLIAMS SONOMA. WSM | 14 0000 | 11/18/15 | 10/16/18 | $872.23 | $919 13 | ($46 90) |
| WILLIAMS SONOMA. WSM | 36 0000 | 11/30/15 | 10/16/18 | $2,242 86 | $2,293 62 | ($50 76) |
| WILLIAMS SONOMA. WSM | 42 0000 | 11/30/15 | 10/24/18 | $2,465.06 | $2,675 88 | ($210.82) |
| WILLIAMS SONOMA WSM | 28 0000 | 12/02/15 | 10/24/18 | $1,643 37 | $1,791 69 | ($148 32) |
| WILLIAMS SONOMA. WSM | 63 0000 | 12/15/15 | 10/24/18 | $3,697 60 | $3,749.76 | ($52 16) |
| WILLIAMS SONOMA. WSM | 17 0000 | 12/15/15 | 11/09/18 | $1,089 93 | $1,011 84 | $78.09 |
| WILLIAMS SONOMA· WSM | 26 0000 | 01/07/16 | 11/09/18 | $1,666 95 | $1,476 76 | $190 19 |
| WILLIAMS SONOMA: WSM | 63 0000 | 01/12/16 | 11/09/18 | $4,039 14 | $3,366 38 | $672 76 |
| **Security Subtotal** | | | | **$48,870 68** | **$49,931.65** | **($1,060 97)** |
| WORLDPAY I N C. WP | 79.0000 | 02/09/17 | 05/04/18 | $6,564 33 | $5,051 08 | $1,513.25 |
| WORLDPAY I N C· WP | 68 0000 | 02/09/17 | 05/09/18 | $5,727 62 | $4,347 76 | $1,379 86 |
| WORLDPAY I N C· WP | 3 0000 | 02/09/17 | 06/13/18 | $252 38 | $191 81 | $60 57 |





Schedule #2

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| WORLDPAY I N C WP | 15 0000 | 02/09/17 | 06/13/18 | $1,272.17 | $959 07 | $313 10 |
| WORLDPAY I N C WP | 51 0000 | 02/09/17 | 06/13/18 | $4,290 40 | $3,264 37 | $1,026 03 |
| WORLDPAY I N C WP | 46 0000 | 02/09/17 | 06/20/18 | $3,917 29 | $2,944 33 | $972 96 |
| WORLDPAY I N C. WP | 82 0000 | 02/13/17 | 06/20/18 | $6,983 00 | $5,235.95 | $1,747 05 |
| WORLDPAY I N C: WP | 15 0000 | 02/13/17 | 07/10/18 | $1,277 54 | $957 79 | $319 75 |
| WORLDPAY I N C WP | 51 0000 | 03/15/17 | 07/10/18 | $4,343 62 | $3,252 53 | $1,091 09 |
| WORLDPAY I N C WP | 29 0000 | 03/15/17 | 07/17/18 | $2,512 20 | $1,849 47 | $662 73 |
| WORLDPAY I N C WP | 31 0000 | 06/26/17 | 07/17/18 | $2,685 46 | $1,936 99 | $748.47 |
| WORLDPAY I N C. WP | 63 0000 | 06/26/17 | 08/09/18 | $5,634.47 | $3,936 45 | $1,698 02 |
| WORLDPAY I N C WP | 31 0000 | 07/05/17 | 08/09/18 | $2,772.52 | $1 880 87 | $891 65 |





Schedule #2

charles SCHWAB

Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
8548-6013

Report Period
January 1 - December 31, 2018

## 2018 Year-End Schwab Gain/Loss Report

### Realized Gain or (Loss) (continued)

Accounting Method First In First Out (FIFO)

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| WORLDPAY I N C WP | 51 0000 | 07/05/17 | 08/09/18 | $4 555 24 | $3,094 33 | $1,460 91 |
| **Security Subtotal** | | | | **$52,788 24** | **$38,902.80** | **$13,885 44** |
| **Total Long-Term** | | | | **$708,505 73** | **$556,240.92** | **$152,264.81** |
| **Total Realized Gain or (Loss)** | | | | **$793,908.26** | **$648,245.27** | **$145,662.99** |

*Schwab has provided realized gain/loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings See Terms and Conditions*
*Option Customers Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options*





Schedule #2

**JOSHUA MAILMAN FOUNDATION**
**LIST OF GRANT PAID**
**January through December 2018**

| Name | Date | Amount | Memo |
|---|---|---|---|
| Weill Cornell Medical – Dermatology | 01/03/2018 | 1,000 00 | |
| The Threshold Foundation-Don't Use | 01/11/2018 | 2,562 00 | |
| Confluence Philanthropy | 01/26/2018 | 1,400 00 | |
| Fractured Atlas | 01/26/2018 | 3,000.00 | |
| Lincoln Center | 01/26/2018 | 17,500 00 | Great Performers Circle |
| The Threshold Foundation-Don't Use | 02/05/2018 | 12,000 00 | |
| Lincoln Center Theater | 02/14/2018 | 23,000 00 | |
| Student Sponsor Partners | 03/02/2018 | 5,000 00 | |
| International Women's Health Coalition | 03/06/2018 | 5,000 00 | |
| Melting Pot Foundation USA Inc | 03/09/2018 | 2,500 00 | |
| MCE Social Capital | 03/26/2018 | 14,555 00 | |
| Thirteen/WNET | 03/27/2018 | 15,000 00 | |
| The Threshold Foundation-Don't Use | 04/02/2018 | 60,000 00 | |
| Echoing Green Foundation | 04/02/2018 | 60,000 00 | |
| Davis-Putter Scholarship Fund | 04/02/2018 | 20,000 00 | |
| Southern Investigative Reporting Foundati | 04/02/2018 | 10,000 00 | |
| Afropop Worldwide | 04/02/2018 | 5,000 00 | |
| *Middle Collegiate Church* | *04/04/2018* | *3,100 00* | |
| Columbia University Medical Center | 04/10/2018 | 25,000.00 | The Henry S. Lodge MD Primary Care |
| Friends Seminary | 05/25/2018 | 15,000 00 | |
| Columbia University Medical Center | 06/30/2018 | 759,313.00 | |
| New Media Studio Inc | 07/25/2018 | 4,000 00 | Cost of Silence film |
| Women's Voices Now | 08/02/2018 | 5,000 00 | |
| Real News Project Inc | 09/12/2018 | 5,000 00 | |
| Batonga Foundation-Old Address | 09/12/2018 | 5,000 00 | |
| Batonga Foundation-Old Address. | 10/01/2018 | 1,500 00 | |
| Citymeals-on-Wheels | 10/01/2018 | 25,000 00 | |
| Confluence Philanthropy | 10/09/2018 | 3,000 00 | |
| Slow Money Institute | 10/12/2018 | 5,000 00 | |
| Afropop Worldwide | 10/23/2018 | 10,000 00 | |
| IMEU | 10/23/2018 | 5,000 00 | |
| Witness | 10/23/2018 | 2,500 00 | |
| Fund for Global Human Rights-don't use | 10/23/2018 | 25,000 00 | |
| Teaching Firms of America Professional | 10/23/2018 | 3,000 00 | Ember Charter School |
| The New 42nd Street, Inc | 11/28/2018 | 10,000.00 | |
| Film Makers Collaborative Incorporated | 12/11/2018 | 10,000 00 | Always in Season |
| Lincoln Center Theater | 12/11/2018 | 25,000 00 | |
| **TOTAL** | | **1,203,930.00** | |

JOSHUA MAILMAN FOUNDATION
ID # 20-5520413
SCHEDULE OF CAPITAL GAINS
12/31/2018

| | SALES PRICE | COST | GAIN/ (LOSS) |
|---|---|---|---|
| SHORT TERM | | | |
| SEE SCHEDULE 1 | 252,862 | 251,467 | 1,395 |
| SEE SCHEDULE 2 | 85,402 | 92,004 | -6,602 |
| | - | - | - |
| TOTAL SHORT TERM | 338,264 | 343,471 | -5,207 |
| LONG TERM | | | |
| SEE SCHEDULE 1 | 970,823 | 681,970 | 288,853 |
| SEE SCHEDULE 2 | 708,506 | 556,241 | 152,265 |
| THRU UTAH VENTURES III LP | 109,891 | 0 | 109,891 |
| UV PARTNERS IV LP | | 23,712 | -23,712 |
| RSF MEZZANINE FUND LP | 0 | 24,752 | -24,752 |
| UTAH VENTURES III LP SALE | 128,996 | | 128,996 |
| RSF MEZZANINE FUND LP SALE | | 9,011 | -9,011 |
| OTHER CAPITAL GAIN(LOSSES) | 771 | | 771 |
| TOTAL LONG TERM CAPITAL GAINS | 1,918,987 | 1,295,686 | 623,301 |
| FORM CAPITAL GAIN/LOSS | 2,257,251 | 1,639,157 | 618,094 |

SCHEDULE # 5

JOSHUA MAILMAN FOUNDATION
CORPORATE STOCK
20-5520413
From 01-01-18 Through 12-31-18
FORM 990-PF, PART II, LINE 10 (b)

| | Security | Total Cost | Market Value |
|---|---|---|---|
| **STOCK** | | | |
| | See attached schedule 6/A | 1,488,986 | 1,924,227 |
| | See attached schedule 6/B | 1,579,882 | 1,751,064 |
| | See attached schedule 6/C | 257,314 | 4,397 |
| | Total | 3,326,181 | 3,679,688 |

Statement for the Period December 1, 2018 to December 31, 2018

THE JOSHUA MAILMAN FOUNDATION - Unincorporated Assn
Account Number: NBJ-036179 NB Account Number: 550 03352

NEUBERGER | BERMAN

# Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes. Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

For additional information regarding your holdings please refer to the footnotes at the end of the statement

CASH AND CASH EQUIVALENTS - 0 64% of Total Account Value

| Description | Symbol/Cusip Account Type | Quantity | Price on 12/31/18 | Current Market Value | Estimated Annual Income |
|---|---|---|---|---|---|
| **Money Markets** | | | | | |
| DREYFUS TREASURY SEC CASH MGMT ADMIN | DARXX CASH | 12,373 77 | $1 00 | $12,373.77 | |
| **Total Cash and Cash Equivalents** | | | | $12,373 77 | |

HOLDINGS > EQUITIES - 99.36% of Total Account Value

| Description | Symbol/Cusip Account Type | Date Acquired | Quantity | Price on 12/31/18 | Current Market Value | Estimated Annual Income | Original/Adjusted Cost Basis | Unrealized Gain (Loss) |
|---|---|---|---|---|---|---|---|---|
| **Equity** | | | | | | | | |
| AIRBUS SE UNSPON ADR EA REPR 0.25 ORD EUR1<br>Estimated Yield 1 44%<br>Average Unit Cost $15 81 | EADSY CASH | 05/11/16 | 1,550 | $23 995 | $37,192.25 | $536 99 | $24,509 37 T | $12,682.88 |
| ALPHABET INC CAP STK CL C<br>Average Unit Cost $926.69 | GOOG CASH | 07/10/17 | 100 | $1,035 61 | $103,561 00 | | $92,669 46 | $10 891 54 |
| AMERICAN AIRLINES GROUP INC COM USD1<br>Estimated Yield 1 24%<br>Average Unit Cost $29 03 | AAL CASH | 01/15/14 | 2,150 | $32 11 | $69,036.50 | $860 00 | $62,532.53 T | $6 503.97 |
| ANALOG DEVICES INC COM<br>Estimated Yield 2 23%<br>Average Unit Cost $81 77 | ADI CASH | 06/23/17 | 400 | $85.83 | $34,332.00 | $768.00 | $32,707 36 | $1,624 64 |
| ANTHEM INC COM | ANTM | 06/11/12 | 300 | $262.63 | $78 789 00 | $900.00 | $20 745 87 T | $58,043 13 |



Schedule #6/A

## HOLDINGS > EQUITIES *continued*

| Description | Symbol/Cusip Account Type | Date Acquired | Quantity | Price on 12/31/18 | Current Market Value | Estimated Annual Income | Original/Adjusted Cost Basis | Unrealized Gain (Loss) |
|---|---|---|---|---|---|---|---|---|
| ANTHEM INC COM<br>Estimated Yield 1.14%<br>Average Unit Cost | ANTM<br>CASH<br>$69 15 | *continued* | | | | | | |
| AON PLC COM USD0.01 CL A<br>Estimated Yield 1 10%<br>Average Unit Cost | AON<br>CASH<br>$55.31 | 02/04/13 | 950 | $145 36 | $138,092.00 | $1,520 00 | $52,548.32 T | $85,543.68 |
| APPLE INC<br>Estimated Yield 1 85%<br>Average Unit Cost | AAPL<br>CASH<br>$201 16 | 11/05/18 | 250 | $157 74 | $39 435.00 | $730 00 | $50,290.23 | ($10,855.23) |
| ATHENE HOLDING LTD COM USD0.001 CL A<br>Average Unit Cost $40 00 | ATH<br>CASH | 12/09/16 | 900 | $39 83 | $35,847.00 | | $36,000.00 | ($153 00) |
| CHUBB LIMITED COM NPV ISIN #CH0044328745<br>Estimated Yield 2.26%<br>Average Unit Cost | CB<br>CASH<br>$123 48 | 11/04/16 | 575 | $129.18 | $74,278.50 | $1,679.00 | $71,000 60 | $3,277 90 |
| CIGNA CORP NEW COM<br>Average Unit Cost $222 49 | CI<br>CASH | 11/30/18 | 100 | $189 92 | $18,992.00 | | $22,248 60 | ($3,256 60) |
| CISCO SYS INC COM<br>Estimated Yield 3 04%<br>Next Dividend Payable: 01/23/19<br>Average Unit Cost | CSCO<br>CASH<br><br>$25.78 | 09/14/15 | 1 650 | $43.33 | $71,494 50 | $2,178 00 | $42,543 60 T | $28,950 90 |
| CITIGROUP INC COM NEW<br>Estimated Yield 3 45%<br>Average Unit Cost | C<br>CASH<br>$81 87 | 03/09/17 | 1,350 | $52 06 | $70,281 00 | $2,430 00 | $83,518.56 | ($13,237.56) |
| CVS HEALTH CORP COM<br>Estimated Yield 3.05%<br>Average Unit Cost | CVS<br>CASH<br>$79 78 | | 835 | $65.52 | $54,709.20 | $1,670 00 | $66 613 62 | ($11,904 42) |
| | | 11/29/18<br>Unit Cost $80 00 | 335 | $65.52 | $21,949 20 | | $26,800.00 | ($4,850.80) ST |
| | | 11/30/18<br>Unit Cost $79 63 | 500 | $65 52 | $32,760.00 | | $39,813.62 | ($7,053 62) ST |
| D R HORTON INC COM<br>Estimated Yield 1 73%<br>Average Unit Cost | DHI<br>CASH<br>$21 59 | 01/13/14 | 1,850 | $34 66 | $64,121 00 | $1,110 00 | $39,932 80 T | $24,188 20 |
| DELTA AIR LINES INC DEL COM NEW | DAL | 12/11/14 | 2,250 | $49 90 | $112,275 00 | $3,150 00 | $108,321 30 T | $3,953 70 |

005119 FIESQZ01 029934



Schedule #6/A

## HOLDINGS > EQUITIES *continued*

| Description | Symbol/Cusip Account Type | Date Acquired | Quantity | Price on 12/31/18 | Current Market Value | Estimated Annual Income | Original/Adjusted Cost Basis | Unrealized Gain (Loss) |
|---|---|---|---|---|---|---|---|---|
| DELTA AIR LINES INC DEL COM NEW | DAL | *continued* | | | | | | |
| Estimated Yield 2.80% | CASH | | | | | | | |
| Average Unit Cost | $48.14 | | | | | | | |
| EOG RESOURCES INC | EOG | | 1,200 | $87 21 | $104 652.00 | $1,056 00 | $109,836 68 | ($5,184 68) |
| Estimated Yield 1 00% | CASH | | | | | | | |
| Next Dividend Payable 01/31/19 | | 08/12/16 | 1,000 | $87.21 | $87,210 00 | | $91,108 70 | ($3,898 70) LT |
| Average Unit Cost | $91 53 | Unit Cost $91 11 | | | | | | |
| | | 04/20/17 | 200 | $87 21 | $17,442.00 | | $18,727 98 | ($1,285.98) LT |
| | | Unit Cost $93.64 | | | | | | |
| FEDEX CORP COM | FDX | | 550 | $161 33 | $88,731 50 | $1,430 00 | $70,193 90 T | $18 537 60 |
| Estimated Yield 1 61% | CASH | | | | | | | |
| Next Dividend Payable 01/02/19 | | 05/20/11 | 300 | $161.33 | $48,399 00 | | $28,265 55 T | $20,133 45 LT |
| Average Unit Cost | $127.63 | Unit Cost $94.22 | | | | | | |
| | | 12/17/14 | 250 | $161.33 | $40,332 50 | | $41,928.35 T | ($1,595.85) LT |
| | | Unit Cost $167 71 | | | | | | |
| GOLDMAN SACHS GROUP INC | GS | 12/17/14 | 130 | $167.05 | $21,716 50 | $416.00 | $24,096.02 T | ($2,379.52) |
| Estimated Yield 1 91% | CASH | | | | | | | |
| Average Unit Cost | $185.35 | | | | | | | |
| JPMORGAN CHASE & CO | JPM | | 1 500 | $97 62 | $146,430 00 | $4,800 00 | $89 993 68 T | $56 436 32 |
| Estimated Yield 3.27% | CASH | | | | | | | |
| Next Dividend Payable 01/31/19 | | 12/26/14 | 25 | $97.62 | $2,440.50 | | $1,567 43 T | $873 07 LT |
| Average Unit Cost | $60.00 | Unit Cost $62 70 | | | | | | |
| | | 03/31/15 | 1 475 | $97 62 | $143,989.50 | | $88 426 25 T | $55,563 25 LT |
| | | Unit Cost $59 95 | | | | | | |
| LENNAR CORP CL A | LEN | 07/25/13 | 1,600 | $39 15 | $62,640 00 | $256 00 | $51 175.12 T | $11,464 88 |
| Estimated Yield 0 40% | CASH | | | | | | | |
| Average Unit Cost | $31.98 | | | | | | | |
| LINCOLN NATIONAL CORP IND | LNC | 01/08/15 | 1,800 | $51.31 | $92,358.00 | $2,664 00 | $100 614 60 T | ($8,256 60) |
| Estimated Yield 2.88% | CASH | | | | | | | |
| Next Dividend Payable 02/01/19 | | | | | | | | |
| Average Unit Cost | $55.90 | | | | | | | |
| LYONDELLBASELL INDUSTRIES N V COM USD0 01 CLASS A | LYB | 03/07/16 | 850 | $83 16 | $70 686 00 | $3,400 00 | $70 060 23 T | $625.77 |
| Estimated Yield 4 81% | CASH | | | | | | | |
| Average Unit Cost | $82 42 | | | | | | | |



Schedule #6/A

**Statement for the Period December 1, 2018 to December 31, 2018**

THE JOSHUA MAILMAN FOUNDATION - Unincorporated Assn
Account Number NBJ-036179 NB Account Number 550-03352

NEUBERGER | BERMAN

## HOLDINGS > EQUITIES *continued*

| Description | Symbol/Cusip Account Type | Date Acquired | Quantity | Price on 12/31/18 | Current Market Value | Estimated Annual Income | Original/Adjusted Cost Basis | Unrealized Gain (Loss) |
|---|---|---|---|---|---|---|---|---|
| MICROSOFT CORP<br>Estimated Yield 1 81%<br>Next Dividend Payable. 03/14/19<br>Average Unit Cost | MSFT<br>CASH<br><br>$29.63 | 06/28/12 | 1,675 | $101.57 | $170,129 75 | $3,082 00 | $49,636 24 T | $120,493 51 |
| ORACLE CORP COM<br>Estimated Yield 1 68%<br>Next Dividend Payable: 01/30/19<br>Average Unit Cost | ORCL<br>CASH<br><br>$24 16 | 12/18/09 | 1,800 | $45.15 | $81,270 00 | $1,368.00 | $43,487 82 T | $37,782.18 |
| TRAVELERS COMPANIES INC COM STK NPV<br>Estimated Yield 2 57%<br>Average Unit Cost | TRV<br>CASH<br>$48 82 | 09/16/09 | 250 | $119 75 | $29,937.50 | $770 00 | $12,204 52 T | $17,732.98 |
| UNITED TECHNOLOGIES CORP COM USD1<br>Estimated Yield 2.76%<br>Average Unit Cost | UTX<br>CASH<br>$123 01 | 10/24/18 | 500 | $106 48 | $53,240.00 | $1,470 00 | $61,504 60 | ($8,264 60) |
| **Total Equity** | | | | | $1,924,227 20 | $38,243 99 | $1,488,985.63 | $435,241 57 |
| **Total Equities** | | | | | $1,924,227.20 | $38,243.99 | $1,488,985.63 | $435,241 57 |
| **Total Securities** | | | | | $1,924,227.20 | $38,243 99 | $1,488,985.63 | $435,241 57 |
| **TOTAL ACCOUNT VALUE** | | | | | $1,936,600.97 | $38,243.99 | $1,488,985.63 | $435,241.57 |

**TOTAL PORTFOLIO VALUE (EXCLUDES ACCRUALS)** $1,936,600.97

005119 FIES0Z01 029935



Schedule #6/A

## Investment Detail - Cash

| Cash | Starting Balance | Ending Balance | % of Account Assets |
|---|---|---|---|
| Cash | 131,667.56 | 56,889 27 | 3% |
| Total Cash | 131,667.56 | 56,889.27 | 3% |
| Total Cash | | 56,889.27 | 3% |

## Investment Detail - Equities

| Equities | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| AMETEK INC | 327.0000 | 67.70000 | 22,137.90 | 1% | 2,910.49 | 0.82% | 183.12 |
| SYMBOL AME | 108 0000 | 53.3222 | 5,758 80 | 04/13/17 | 1,552.80 | 627 | Long-Term |
| | 219 0000 | 61.5005 | 13,468 61 | 06/07/17 | 1,357.69 | 572 | Long-Term |
| *Cost Basis* | | | *19,227.41* | | | | |
| AMPHENOL CORP | 462.0000 | 81.02000 | 37,431 24 | 2% | 23,295.44 | 1.13% | 425.04 |
| CLASS A | 180 0000 | 29 7404 | 5,353 28 | 03/27/12 | 9,230 32 | 2470 | Long-Term |
| SYMBOL: APH | 92.0000 | 25 7950 | 2,373 14 | 06/01/12 | 5,080 70 | 2404 | Long-Term |
| | 190.0000 | 33.7335 | 6,409 38 | 01/29/13 | 8,984.42 | 2162 | Long-Term |
| *Cost Basis* | | | *14,135 80* | | | | *Accrued Dividend: 106.26* |
| ANSYS INC | 361.0000 | 142.94000 | 51,601 34 | 3% | (2,973.21) | N/A | N/A |
| SYMBOL· ANSS | 49 0000 | 107 0151 | 5,243.74 | 03/16/17 | 1,760 32 | 655 | Long-Term |
| | 31.0000 | 124 4045 | 3,856 54 | 07/17/17 | 574 60 | 532 | Long-Term |
| | 120 0000 | 180 8526 | 21,702 32 | 08/27/18 | (4,549 52) | 126 | Short-Term |
| | 17 0000 | 154 7447 | 2,630.66 | 10/18/18 | (200 68) | 74 | Short-Term |
| | 65 0000 | 148 5550 | 9,656 08 | 10/23/18 | (364 98) | 69 | Short-Term |
| | 28 0000 | 145.1807 | 4,065 06 | 10/24/18 | (62 74) | 68 | Short-Term |



Schedule #6/B

charles SCHWAB

Schwab One® Account of
JOSHUA MAILMAN FOUNDATION

Account Number
8548-6013

Statement Period
December 1-31, 2018

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity<br>Units Purchased | Market Price<br>Cost Per Share | Market Value<br>Cost Basis | % of Account Assets<br>Acquired | Unrealized Gain or (Loss) | Estimated Yield<br>Holding Days | Estimated Annual Income<br>Holding Period |
|---|---|---|---|---|---|---|---|
| ANSYS INC | 20.0000 | 144 4300 | 2,888.60 | 10/26/18 | (29.80) | 66 | Short-Term |
| | 31.0000 | 146.1790 | 4,531 55 | 12/17/18 | (100 41) | 14 | Short-Term |
| *Cost Basis* | | | *54,574.55* | | | | |
| BRIGHT HORIZON FAM | 324.0000 | 111.45000 | 36,109.80 | 2% | 13,204.44 | N/A | N/A |
| SYMBOL. BFAM | 66.0000 | 68 7231 | 4,535 73 | 12/05/16 | 2,819.97 | 756 | Long-Term |
| | 15.0000 | 70.8906 | 1,063 36 | 12/09/16 | 608 39 | 752 | Long-Term |
| | 35.0000 | 70.5014 | 2,467.55 | 01/18/17 | 1,433 20 | 712 | Long-Term |
| | 52.0000 | 69.6473 | 3,621.66 | 01/23/17 | 2,173 74 | 707 | Long-Term |
| | 97.0000 | 68 7864 | 6,672 29 | 02/16/17 | 4,138.36 | 683 | Long-Term |
| | 59.0000 | 77 0300 | 4,544.77 | 06/06/17 | 2,030.78 | 573 | Long-Term |
| *Cost Basis* | | | *22,905 36* | | | | |
| BROWN & BROWN INC | 1,964.0000 | 27.56000 | 54,127.84 | 3% | 2,621.95 | 1.16% | 628.48 |
| SYMBOL. BRO | 188 0000 | 22.0200 | 4,139.76 | 06/01/17 | 1,041 52 | 578 | Long-Term |
| | 332 0000 | 21.8450 | 7,252.57 | 06/21/17 | 1,897.35 | 558 | Long-Term |
| | 412.0000 | 26 4959 | 10,916 33 | 01/24/18 | 438 39 | 341 | Short-Term |
| | 520.0000 | 27.3994 | 14,247 69 | 05/14/18 | 83 51 | 231 | Short-Term |
| | 104 0000 | 29.0860 | 3,024 95 | 07/25/18 | (158.71) | 159 | Short-Term |
| | 148 0000 | 30 2033 | 4,470.09 | 08/21/18 | (391.21) | 132 | Short-Term |
| | 136.0000 | 29.3158 | 3,986.95 | 10/22/18 | (238 79) | 70 | Short-Term |
| | 124.0000 | 27.9641 | 3,467 55 | 10/23/18 | (50.11) | 69 | Short-Term |
| *Cost Basis* | | | *51,505 89* | | | | |

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement





Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| CARLISLE CO | 320 0000 | 100.52000 | 32,166.40 | 2% | (3,826.54) | 1.59% | 512.00 |
| SYMBOL CSL | 44 0000 | 110 4188 | 4,858.43 | 06/06/18 | (435.55) | 208 | Short-Term |
| | 90 0000 | 110 3775 | 9,933 98 | 06/12/18 | (887.18) | 202 | Short-Term |
| | 41 0000 | 106 9741 | 4,385.94 | 06/13/18 | (264.62) | 201 | Short-Term |
| | 5.0000 | 107.1620 | 535.81 | 06/15/18 | (33 21) | 199 | Short-Term |
| | 52.0000 | 107.1978 | 5,574.29 | 06/21/18 | (347 25) | 193 | Short-Term |
| | 30 0000 | 113 8063 | 3,414 19 | 07/11/18 | (398.59) | 173 | Short-Term |
| | 58.0000 | 125.6948 | 7,290 30 | 08/20/18 | (1,460.14) | 133 | Short-Term |
| *Cost Basis* | | | *35,992 94* | | | | |
| CDW CORP | 822.0000 | 81.05000 | 66,623.10 | 4% | (1,628.82) | 1.45% | 969.96 |
| SYMBOL. CDW | 61.0000 | 82.7280 | 5,046.41 | 10/10/18 | (102 36) | 82 | Short-Term |
| | 118.0000 | 81 3167 | 9,595 38 | 10/11/18 | (31 48) | 81 | Short-Term |
| | 39 0000 | 82 0353 | 3,199 38 | 10/12/18 | (38.43) | 80 | Short-Term |
| | 117 0000 | 81 0994 | 9,488.64 | 10/19/18 | (5.79) | 73 | Short-Term |
| | 38 0000 | 80 8055 | 3,070.61 | 10/24/18 | 9.29 | 68 | Short-Term |
| | 40.0000 | 79.9045 | 3,196 18 | 10/26/18 | 45.82 | 66 | Short-Term |
| | 106.0000 | 88.8988 | 9,423 28 | 11/27/18 | (831 98) | 34 | Short-Term |
| | 103 0000 | 90 2133 | 9,291.98 | 12/13/18 | (943.83) | 18 | Short-Term |
| | 32 0000 | 85 5712 | 2,738.28 | 12/17/18 | (144 68) | 14 | Short-Term |
| | 49 0000 | 81.4434 | 3,990 73 | 12/20/18 | (19 28) | 11 | Short-Term |
| | 53.0000 | 77 5822 | 4,111 86 | 12/21/18 | 183 79 | 10 | Short-Term |
| | 66 0000 | 77.2604 | 5,099.19 | 12/27/18 | 250 11 | 4 | Short-Term |
| *Cost Basis* | | | *68,251.92* | | | | |



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| CERIDIAN H C M HOLDING I | 949 0000 | 34.49000 | 32,731.01 | 2% | (3,818.34) | N/A | N/A |
| SYMBOL CDAY | 253 0000 | 41.4479 | 10,486.34 | 09/28/18 | (1,760 37) | 94 | Short-Term |
| | 113.0000 | 41 9605 | 4,741 54 | 10/04/18 | (844 17) | 88 | Short-Term |
| | 137.0000 | 40 2161 | 5,509 61 | 10/05/18 | (784.48) | 87 | Short-Term |
| | 110 0000 | 35.7021 | 3,927.24 | 10/10/18 | (133 34) | 82 | Short-Term |
| | 188.0000 | 35.9777 | 6,763.81 | 10/25/18 | (279.69) | 67 | Short-Term |
| | 148.0000 | 34.6000 | 5,120.81 | 12/18/18 | (16.29) | 13 | Short-Term |
| *Cost Basis* | | | *36,549.35* | | | | |
| CINCINNATI FINL | 1,123.0000 | 77.42000 | 86,942.66 | 5% | 4,669.01 | 2.73% | 2,380.76 |
| SYMBOL· CINF | 99 0000 | 70 3940 | 6,969 01 | 05/08/17 | 695.57 | 571 | Long-Term |
| | 78.0000 | 72.9835 | 5,692 72 | 06/14/17 | 346.04 | 565 | Long-Term |
| | 83.0000 | 72.4306 | 6,011.74 | 06/29/17 | 414.12 | 550 | Long-Term |
| | 102 0000 | 72.0846 | 7,352.63 | 07/13/17 | 544 21 | 536 | Long-Term |
| | 25 0000 | 76 8976 | 1,922.44 | 10/11/17 | 13 06 | 446 | Long-Term |
| | 15 0000 | 76.7086 | 1,150 63 | 10/16/17 | 10.67 | 441 | Long-Term |
| | 81.0000 | 76.0575 | 6,160.66 | 10/24/17 | 110.36 | 433 | Long-Term |
| | 82.0000 | 73.4547 | 6,023 29 | 11/17/17 | 325.15 | 409 | Long-Term |
| | 69.0000 | 72.5557 | 5,006 35 | 11/24/17 | 335 63 | 402 | Long-Term |
| | 64.0000 | 73.8281 | 4,725.00 | 12/22/17 | 229.88 | 374 | Long-Term |
| | 83 0000 | 76.5610 | 6,354 57 | 01/30/18 | 71.29 | 335 | Short-Term |
| | 91.0000 | 70.4918 | 6,414 76 | 02/09/18 | 630.46 | 325 | Short-Term |
| | 33.0000 | 74.5442 | 2,459.96 | 03/15/18 | 94 90 | 291 | Short-Term |
| | 109 0000 | 71.2602 | 7,767.37 | 04/26/18 | 671.41 | 249 | Short-Term |
| | 36.0000 | 75 0000 | 2,700.00 | 08/02/18 | 87.12 | 151 | Short-Term |
| | 73.0000 | 76.1989 | 5,562.52 | 08/20/18 | 89 14 | 133 | Short-Term |
| *Cost Basis* | | | *82,273.65* | | | | ***Accrued Dividend: 595.19*** |



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| **COSTAR GROUP INC** | **65.0000** | **337.34000** | **21,927.10** | **1%** | **(1,632.52)** | **N/A** | **N/A** |
| SYMBOL CSGP | 14 0000 | 356 5671 | 4,991.94 | 11/20/18 | (269 18) | 41 | Short-Term |
| | 12.0000 | 373.6550 | 4,483.86 | 11/26/18 | (435 78) | 35 | Short-Term |
| | 14 0000 | 366 8871 | 5,136 42 | 12/04/18 | (413 66) | 27 | Short-Term |
| | 12 0000 | 372 2725 | 4,467.27 | 12/12/18 | (419.19) | 19 | Short-Term |
| | 13.0000 | 344 6253 | 4,480.13 | 12/17/18 | (94.71) | 14 | Short-Term |
| *Cost Basis* | | | *23,559 62* | | | | |
| **DENTSPLY SIRONA INC** | **341.0000** | **37.21000** | **12,688.61** | **<1%** | **574.04** | **0.94%** | **119.35** |
| SYMBOL: XRAY | 149.0000 | 35.5999 | 5,304.39 | 12/21/18 | 239 90 | 10 | Short-Term |
| | 192 0000 | 35.4696 | 6,810.18 | 12/26/18 | 334.14 | 5 | Short-Term |
| *Cost Basis* | | | *12,114.57* | | | | *Accrued Dividend: 29.84* |
| **EXPEDITORS INTL WASH** | **812.0000** | **68.09000** | **55,289.08** | **3%** | **8,573.70** | **1.32%** | **730.80** |
| SYMBOL EXPD | 25.0000 | 49.4616 | 1,236 54 | 09/03/15 | 465.71 | 1215 | Long-Term |
| | 99.0000 | 43 8221 | 4,338.39 | 01/04/16 | 2,402 52 | 1092 | Long-Term |
| | 26.0000 | 42.1492 | 1,095 88 | 01/07/16 | 674 46 | 1089 | Long-Term |
| | 166.0000 | 55 5650 | 9,223 79 | 06/20/17 | 2,079.15 | 559 | Long-Term |
| | 145 0000 | 59 0397 | 8,560 77 | 07/24/17 | 1,312 28 | 525 | Long-Term |
| | 132.0000 | 58 7425 | 7,754.01 | 07/27/17 | 1,233 87 | 522 | Long-Term |
| | 135.0000 | 66.0562 | 8,917 60 | 01/22/18 | 274 55 | 343 | Short-Term |
| | 84.0000 | 66.5285 | 5,588 40 | 10/18/18 | 131.16 | 74 | Short-Term |
| *Cost Basis* | | | *46,715.38* | | | | |
| **FIRST REPUBLIC BANK** | **721.0000** | **86.90000** | **62,654 90** | **3%** | **15,366.69** | **0.82%** | **519.12** |
| SYMBOL FRC | 89 0000 | 24 3611 | 2,168 14 | 08/19/11 | 5,565 96 | 2691 | Long-Term |
| | 95.0000 | 23.5700 | 2,239.15 | 09/23/11 | 6,016 35 | 2656 | Long-Term |
| | 100 0000 | 48 7417 | 4,874 17 | 07/17/14 | 3,815.83 | 1628 | Long-Term |
| | 65 0000 | 46 7700 | 3,040.05 | 07/28/14 | 2,608.45 | 1617 | Long-Term |
| | 51 0000 | 98 5213 | 5,024.59 | 07/30/18 | (592 69) | 154 | Short-Term |
| | 49.0000 | 98 9357 | 4,847.85 | 07/31/18 | (589 75) | 153 | Short-Term |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| FIRST REPUBLIC BANK | 143.0000 | 100 9941 | 14,442.17 | 08/07/18 | (2,015 47) | 146 | Short-Term |
| | 53 0000 | 84 9711 | 4,503.47 | 10/24/18 | 102.23 | 68 | Short-Term |
| | 76.0000 | 80.9028 | 6,148 62 | 12/21/18 | 455.78 | 10 | Short-Term |
| *Cost Basis* | | | *47,288 21* | | | | |
| **GARTNER INC** | **752.0000** | **127 84000** | **96,135.68** | **5%** | **14,057.61** | **N/A** | **N/A** |
| SYMBOL: IT | 51.0000 | 92 9182 | 4,738.83 | 11/04/16 | 1,781.01 | 787 | Long-Term |
| | 58.0000 | 104 0365 | 6,034.12 | 11/17/16 | 1,380.60 | 774 | Long-Term |
| | 71.0000 | 94.2536 | 6,692.01 | 01/05/17 | 2,384.63 | 725 | Long-Term |
| | 40.0000 | 99.9900 | 3,999 60 | 01/17/17 | 1,114.00 | 713 | Long-Term |
| | 53.0000 | 101 6407 | 5,386 96 | 01/17/17 | 1,388 56 | 713 | Long-Term |
| | 42.0000 | 99.0157 | 4,158.66 | 02/02/17 | 1,210.62 | 697 | Long-Term |
| | 74 0000 | 102 5800 | 7,590 92 | 02/15/17 | 1,869.24 | 684 | Long-Term |
| | 53.0000 | 110 9869 | 5,882.31 | 03/15/17 | 893.21 | 656 | Long-Term |
| | 73 0000 | 121.1439 | 8,843.51 | 08/08/17 | 488.81 | 510 | Long-Term |
| | 28.0000 | 121.0975 | 3,390 73 | 11/02/17 | 188.79 | 424 | Long-Term |
| | 23.0000 | 117.3573 | 2,699 22 | 11/10/17 | 241.10 | 416 | Long-Term |
| | 84.0000 | 119 5925 | 10,045.77 | 12/08/17 | 692 79 | 388 | Long-Term |
| | 39 0000 | 138.9635 | 5,419.58 | 01/23/18 | (433.82) | 342 | Short-Term |
| | 38.0000 | 114.4310 | 4,348.38 | 02/09/18 | 509 54 | 325 | Short-Term |
| | 25 0000 | 113.8988 | 2,847.47 | 03/01/18 | 348 53 | 305 | Short-Term |
| *Cost Basis* | | | *82,078 07* | | | | |

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E1/9 921000

Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| **GO DADDY GROUP INC** | **937.0000** | **65.62000** | **61,485 94** | **3%** | **15,857.50** | **N/A** | **N/A** |
| CLASS A | 60 0000 | 43.5050 | 2,610 30 | 07/24/17 | 1,326 90 | 525 | Long-Term |
| SYMBOL GDDY | 200.0000 | 44.1900 | 8,838 00 | 08/09/17 | 4,286 00 | 509 | Long-Term |
| | 111 0000 | 41 7466 | 4,633.88 | 08/10/17 | 2,649.94 | 508 | Long-Term |
| | 98 0000 | 43 9389 | 4,306.02 | 09/14/17 | 2,124.74 | 473 | Long-Term |
| | 112 0000 | 45.4275 | 5,087.88 | 10/26/17 | 2,261 56 | 431 | Long-Term |
| | 187.0000 | 50.2250 | 9,392 08 | 01/16/18 | 2,878 86 | 349 | Short-Term |
| | 169 0000 | 63 6702 | 10,760 28 | 11/13/18 | 329 50 | 48 | Short-Term |
| *Cost Basis* | | | *45,628 44* | | | | |
| **HEICO CORP** | **486.0000** | **77.48000** | **37,655 28** | **2%** | **21,547.33** | **0.15%** | **58.32** |
| SYMBOL HEI | 25 4531 | 14.1306 | 359 67 | 08/01/12 | 1,612 44 | 2343 | Long-Term |
| | 19.5312 | 29.6391 | 578.89 | 04/10/14 | 934 39 | 1726 | Long-Term |
| | 107.4218 | 29 4575 | 3,164.39 | 04/15/14 | 5,158 66 | 1721 | Long-Term |
| | 224 6093 | 25.1938 | 5,658 77 | 07/24/14 | 11,743.96 | 1621 | Long-Term |
| | 13 6718 | 33 6230 | 459 69 | 07/07/16 | 599.61 | 907 | Long-Term |
| | 95.3125 | 61.7604 | 5,886 54 | 01/16/18 | 1,498 27 | 349 | Short-Term |
| *Cost Basis* | | | *16,107 95* | | | | |
| **HYATT HOTELS** | **756.0000** | **67.60000** | **51,105.60** | **3%** | **(4,653.62)** | **0.88%** | **453.60** |
| CLASS A | 166 0000 | 80.5030 | 13,363 50 | 09/27/18 | (2,141 90) | 95 | Short-Term |
| SYMBOL: H | 156 0000 | 78 8869 | 12,306.36 | 10/02/18 | (1,760 76) | 90 | Short-Term |
| | 60 0000 | 73 0038 | 4,380.23 | 10/10/18 | (324 23) | 82 | Short-Term |
| | 58.0000 | 70 3136 | 4,078.19 | 10/11/18 | (157 39) | 81 | Short-Term |
| | 104.0000 | 71.1590 | 7,400 54 | 10/12/18 | (370 14) | 80 | Short-Term |
| | 32 0000 | 65 6971 | 2,102 31 | 10/24/18 | 60 89 | 68 | Short-Term |
| | 126 0000 | 68 7357 | 8,660 71 | 11/12/18 | (143 11) | 49 | Short-Term |
| | 54.0000 | 64 2107 | 3,467 38 | 12/24/18 | 183 02 | 7 | Short-Term |
| *Cost Basis* | | | *55,759 22* | | | | |

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| IHS MARKIT LTD F | 1,410.0000 | 47.97000 | 67,637.70 | 4% | 15,864.60 | N/A | N/A |
| SYMBOL: INFO | 362 0000 | 32.8800 | 11,902 56 | 07/13/16 | 5,462 58 | 901 | Long-Term |
| | 113 0000 | 37.6368 | 4,252 96 | 10/19/16 | 1,167 65 | 803 | Long-Term |
| | 192 0000 | 35 6093 | 6,836.99 | 11/10/16 | 2,373 25 | 781 | Long-Term |
| | 169.0000 | 34.8140 | 5,883 57 | 11/15/16 | 2,223 36 | 776 | Long-Term |
| | 87.0000 | 35.6051 | 3,097 65 | 11/21/16 | 1,075.74 | 770 | Long-Term |
| | 97 0000 | 35.7332 | 3,466 13 | 12/09/16 | 1,186 96 | 752 | Long-Term |
| | 170 0000 | 35.4278 | 6,022 74 | 12/13/16 | 2,132 16 | 748 | Long-Term |
| | 29.0000 | 38 1351 | 1,105.92 | 01/17/17 | 285 21 | 713 | Long-Term |
| | 191.0000 | 48.1915 | 9,204.58 | 01/16/18 | (42.31) | 349 | Short-Term |
| *Cost Basis* | | | *51,773.10* | | | | |
| JACOBS ENGINEERING | 564.0000 | 58.46000 | 32,971.44 | 2% | (2,936.01) | 1.02% | 338.40 |
| SYMBOL: JEC | 87 0000 | 65 4097 | 5,690 65 | 11/20/18 | (604 63) | 41 | Short-Term |
| | 216.0000 | 67.1343 | 14,501 03 | 12/03/18 | (1,873.67) | 28 | Short-Term |
| | 93.0000 | 62.8068 | 5,841.04 | 12/04/18 | (404.26) | 27 | Short-Term |
| | 81.0000 | 62.0149 | 5,023.21 | 12/12/18 | (287.95) | 19 | Short-Term |
| | 87.0000 | 55.7645 | 4,851.52 | 12/24/18 | 234.50 | 7 | Short-Term |
| *Cost Basis* | | | *35,907.45* | | | | |
| LIVE NATION ENTRTMNT | 1,359.0000 | 49.25000 | 66,930.75 | 4% | 3,071.05 | N/A | N/A |
| SYMBOL. LYV | 206 0000 | 45.1905 | 9,309 26 | 05/04/18 | 836 24 | 241 | Short-Term |
| | 212 0000 | 44 9485 | 9,529.10 | 05/07/18 | 911.90 | 238 | Short-Term |
| | 238.0000 | 44.0095 | 10,474.28 | 05/16/18 | 1,247.22 | 229 | Short-Term |
| | 141 0000 | 50 2943 | 7,091 51 | 07/27/18 | (147 26) | 157 | Short-Term |
| | 133 0000 | 49 0987 | 6,530.13 | 07/30/18 | 20 12 | 154 | Short-Term |
| | 277.0000 | 49.0484 | 13,586.43 | 08/03/18 | 55 82 | 150 | Short-Term |
| | 152 0000 | 48 2828 | 7,338.99 | 08/20/18 | 147 01 | 133 | Short-Term |
| *Cost Basis* | | | *63,859 70* | | | | |

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity<br>Units Purchased | Market Price<br>Cost Per Share | Market Value<br>Cost Basis | % of Account Assets<br>Acquired | Unrealized Gain or (Loss) | Estimated Yield<br>Holding Days | Estimated Annual Income<br>Holding Period |
|---|---|---|---|---|---|---|---|
| **MARTIN MARIETTA MATR** | **574.0000** | **171.87000** | **98,653.38** | **5%** | **(13,335.86)** | **1.11%** | **1,102.08** |
| SYMBOL: MLM | 26 0000 | 198.8384 | 5,169.80 | 08/21/17 | (701.18) | 497 | Long-Term |
| | 17.0000 | 203 3794 | 3,457 45 | 08/23/17 | (535 66) | 495 | Long-Term |
| | 76 0000 | 195.8082 | 14,881.43 | 08/25/17 | (1,819 31) | 493 | Long-Term |
| | 21.0000 | 198 2352 | 4,162.94 | 08/28/17 | (553.67) | 490 | Long-Term |
| | 83 0000 | 201 0485 | 16,687.03 | 09/14/17 | (2,421.82) | 473 | Long-Term |
| | 32.0000 | 215.5075 | 6,896 24 | 10/26/17 | (1,396 40) | 431 | Long-Term |
| | 30.0000 | 203.4443 | 6,103 33 | 12/20/17 | (947 23) | 376 | Long-Term |
| | 12.0000 | 208.4891 | 2,501 87 | 03/07/18 | (439 43) | 299 | Short-Term |
| | 14.0000 | 204 7835 | 2,866 97 | 03/15/18 | (460 79) | 291 | Short-Term |
| | 27.0000 | 199 9188 | 5,397.81 | 04/02/18 | (757 32) | 273 | Short-Term |
| | 24.0000 | 209.0212 | 5,016.51 | 07/26/18 | (891.63) | 158 | Short-Term |
| | 24.0000 | 206 5550 | 4,957.32 | 07/27/18 | (832 44) | 157 | Short-Term |
| | 28.0000 | 202 8139 | 5,678.79 | 07/30/18 | (866.43) | 154 | Short-Term |
| | 27.0000 | 205 5770 | 5,550 58 | 08/02/18 | (910.09) | 151 | Short-Term |
| | 30.0000 | 188 4303 | 5,652 91 | 09/27/18 | (495.81) | 95 | Short-Term |
| | 39.0000 | 175.8697 | 6,858 92 | 10/10/18 | (155 99) | 82 | Short-Term |
| | 9 0000 | 169.6222 | 1,526.60 | 10/12/18 | 20.23 | 80 | Short-Term |
| | 41 0000 | 157 5573 | 6,459 85 | 10/23/18 | 586 82 | 69 | Short-Term |
| | 14 0000 | 154.4921 | 2,162.89 | 10/29/18 | 243 29 | 63 | Short-Term |
| *Cost Basis* | | | *111,989.24* | | | | |
| **METTLER TOLEDO INTL** | **16.0000** | **565.58000** | **9,049.28** | **<1%** | **4,406.16** | **N/A** | **N/A** |
| SYMBOL MTD | 5.0000 | 291 3240 | 1,456 62 | 08/24/15 | 1,371.28 | 1225 | Long-Term |
| | 11 0000 | 289 6818 | 3,186 50 | 08/25/15 | 3,034.88 | 1224 | Long-Term |
| *Cost Basis* | | | *4,643 12* | | | | |



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| **NTNL VISION HOLDINGS 005** | **694.0000** | **28.17000** | **19,549.98** | **1%** | **(8,106.98)** | **N/A** | **N/A** |
| SYMBOL EYE | 501.0000 | 39.7500 | 19,914.75 | 07/26/18 | (5,801 58) | 158 | Short-Term |
| | 193 0000 | 40 1150 | 7,742 21 | 07/30/18 | (2,305.40) | 154 | Short-Term |
| *Cost Basis* | | | *27,656.96* | | | | |
| **PAYCHEX INC** | **1,124.0000** | **65.15000** | **73,228.60** | **4%** | **15,046.78** | **3.43%** | **2,517.76** |
| SYMBOL: PAYX | 74.0000 | 46.8052 | 3,463.59 | 07/01/15 | 1,357 51 | 1279 | Long-Term |
| | 52 0000 | 46.6044 | 2,423 43 | 07/29/15 | 964.37 | 1251 | Long-Term |
| | 215.0000 | 44.0460 | 9,469.91 | 08/24/15 | 4,537 34 | 1225 | Long-Term |
| | 53.0000 | 44.1852 | 2,341 82 | 08/25/15 | 1,111.13 | 1224 | Long-Term |
| | 67 0000 | 43.6040 | 2,921 47 | 09/01/15 | 1,443 58 | 1217 | Long-Term |
| | 111.0000 | 46.5137 | 5,163.03 | 09/30/15 | 2,068.62 | 1188 | Long-Term |
| | 91.0000 | 49 0961 | 4,467.75 | 01/07/16 | 1,460 90 | 1089 | Long-Term |
| | 27.0000 | 47.1407 | 1,272.80 | 01/13/16 | 486.25 | 1083 | Long-Term |
| | 89.0000 | 47 6831 | 4,243.80 | 02/03/16 | 1,554.55 | 1062 | Long-Term |
| | 59.0000 | 56.9408 | 3,359 51 | 11/21/16 | 484 34 | 770 | Long-Term |
| | 102.0000 | 70.4841 | 7,189.38 | 01/23/18 | (544.08) | 342 | Short-Term |
| | 60.0000 | 63 5218 | 3,811.31 | 02/06/18 | 97 69 | 328 | Short-Term |
| | 41 0000 | 62 2224 | 2,551.12 | 02/09/18 | 120 03 | 325 | Short-Term |
| | 83.0000 | 66 3000 | 5,502.90 | 03/16/18 | (95 45) | 290 | Short-Term |
| *Cost Basis* | | | *58,181 82* | | | | |
| **PERKINELMER INC** | **1,580.0000** | **78.55000** | **124,109.00** | **7%** | **17,525.41** | **0.35%** | **442.40** |
| SYMBOL PKI | 27.0000 | 27 7992 | 750.58 | 08/13/12 | 1,370 27 | 2331 | Long-Term |
| | 175.0000 | 50.4861 | 8,835 07 | 11/21/16 | 4,911 18 | 770 | Long-Term |
| | 62 0000 | 53 4204 | 3,312 07 | 12/12/16 | 1,558 03 | 749 | Long-Term |
| | 147.0000 | 53 2348 | 7,825.52 | 02/08/17 | 3,721 33 | 691 | Long-Term |
| | 63.0000 | 54 9730 | 3,463 30 | 03/02/17 | 1,485.35 | 669 | Long-Term |
| | 162 0000 | 68.6424 | 11,120 07 | 07/03/17 | 1,605 03 | 546 | Long-Term |
| | 112.0000 | 69.4251 | 7,775.62 | 07/18/17 | 1,021.98 | 531 | Long-Term |
| | 73 0000 | 63 9878 | 4,671.11 | 08/08/17 | 1,063 04 | 510 | Long-Term |

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement



61/8 521000

Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| PERKINELMER INC | 82.0000 | 67.9845 | 5,574 73 | 09/22/17 | 866 37 | 465 | Long-Term |
| | 60 0000 | 81.5301 | 4,891 81 | 01/24/18 | (178 81) | 341 | Short-Term |
| | 115 0000 | 74.3824 | 8,553 98 | 02/06/18 | 479 27 | 328 | Short-Term |
| | 52 0000 | 70 9675 | 3,690 31 | 02/09/18 | 394.29 | 325 | Short-Term |
| | 40 0000 | 77.6000 | 3,104 00 | 03/15/18 | 38 00 | 291 | Short-Term |
| | 86.0000 | 72.4739 | 6,232.76 | 05/02/18 | 522.54 | 243 | Short-Term |
| | 24.0000 | 74.2704 | 1,782.49 | 05/31/18 | 102 71 | 214 | Short-Term |
| | 128 0000 | 81 8300 | 10,474.24 | 11/01/18 | (419.84) | 60 | Short-Term |
| | 172 0000 | 84 4530 | 14,525 93 | 12/11/18 | (1,015.33) | 20 | Short-Term |
| *Cost Basis* | | | *106,583 59* | | | | |
| PTC INC | 483.0000 | 82.90000 | 40,040.70 | 2% | (2,509.51) | N/A | N/A |
| SYMBOL· PTC | 143.0000 | 95.1802 | 13,610 78 | 10/15/18 | (1,756.08) | 77 | Short-Term |
| | 57 0000 | 97 4257 | 5,553.27 | 10/16/18 | (827 97) | 76 | Short-Term |
| | 20 0000 | 86 0295 | 1,720 59 | 10/24/18 | (62 59) | 68 | Short-Term |
| | 127 0000 | 82 2800 | 10,449.56 | 10/25/18 | 78 74 | 67 | Short-Term |
| | 39.0000 | 79 5023 | 3,100 59 | 10/26/18 | 132 51 | 66 | Short-Term |
| | 60 0000 | 82 8701 | 4,972.21 | 11/19/18 | 1 79 | 42 | Short-Term |
| | 37.0000 | 84 9516 | 3,143 21 | 11/21/18 | (75 91) | 40 | Short-Term |
| *Cost Basis* | | | *42,550 21* | | | | |
| SCHEIN HENRY INC | 755.0000 | 78.52000 | 59,282 60 | 3% | 6,548.47 | N/A | N/A |
| SYMBOL· HSIC | 5 0000 | 83.5040 | 417 52 | 10/26/17 | (24.92) | 431 | Long-Term |
| | 59.0000 | 77 7767 | 4,588 83 | 10/27/17 | 43 85 | 430 | Long-Term |
| | 73.0000 | 69 9832 | 5,108 78 | 11/06/17 | 623 18 | 420 | Long-Term |
| | 130.0000 | 70 8050 | 9,204 65 | 11/06/17 | 1,002.95 | 420 | Long-Term |
| | 130 0000 | 71 0850 | 9,241.05 | 11/06/17 | 966.55 | 420 | Long-Term |
| | 53.0000 | 66 5866 | 3,529 09 | 02/13/18 | 632 47 | 321 | Short-Term |
| | 145 0000 | 68 0700 | 9,870 15 | 03/16/18 | 1,515 25 | 290 | Short-Term |
| | 160 0000 | 67 3378 | 10,774 06 | 03/19/18 | 1,789.14 | 287 | Short-Term |
| *Cost Basis* | | | *52,734 13* | | | | |



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| SERVICE CORP INTL | 1,909.0000 | 40.26000 | 76,856.34 | 4% | 10,387.41 | 1.68% | 1,298.12 |
| SYMBOL: SCI | 131 0000 | 34 2251 | 4,483 50 | 07/14/17 | 790 56 | 535 | Long-Term |
| | 125.0000 | 34.1246 | 4,265.58 | 07/17/17 | 766 92 | 532 | Long-Term |
| | 129.0000 | 34.0362 | 4,390.68 | 07/18/17 | 802.86 | 531 | Long-Term |
| | 126.0000 | 34.1850 | 4,307.31 | 07/19/17 | 765.45 | 530 | Long-Term |
| | 127.0000 | 34.1603 | 4,338.37 | 07/19/17 | 774.65 | 530 | Long-Term |
| | 397 0000 | 34 5413 | 13,712.90 | 08/02/17 | 2,270.32 | 516 | Long-Term |
| | 105.0000 | 35 3668 | 3,713.52 | 09/13/17 | 513.78 | 474 | Long-Term |
| | 188.0000 | 34.2425 | 6,437.59 | 10/18/17 | 1,131 29 | 439 | Long-Term |
| | 155.0000 | 33.9874 | 5,268.06 | 10/24/17 | 972.24 | 433 | Long-Term |
| | 91.0000 | 35.3150 | 3,213.67 | 10/27/17 | 449.99 | 430 | Long-Term |
| | 137.0000 | 34.7373 | 4,759.02 | 11/14/17 | 756 60 | 412 | Long-Term |
| | 198.0000 | 38 2764 | 7,578.73 | 02/05/18 | 392.75 | 329 | Short-Term |
| *Cost Basis* | | | *66,468 93* | | | | |
| SERVICEMASTER GLBL | 500.0000 | 36.74000 | 18,370.00 | 1% | (993.64) | N/A | N/A |
| SYMBOL. SERV | 117 0000 | 41.1023 | 4,808 98 | 12/11/18 | (510.40) | 20 | Short-Term |
| | 119.0000 | 40.3945 | 4,806.95 | 12/12/18 | (434 89) | 19 | Short-Term |
| | 139.0000 | 37.8327 | 5,258 75 | 12/17/18 | (151.89) | 14 | Short-Term |
| | 125.0000 | 35 9116 | 4,488 96 | 12/20/18 | 103 54 | 11 | Short-Term |
| *Cost Basis* | | | *19,363.64* | | | | |
| SMITH A O | 317.0000 | 42.70000 | 13,535.90 | <1% | (5,069.52) | 2.06% | 278.96 |
| SYMBOL AOS | 178 0000 | 57.1664 | 10,175.62 | 07/11/17 | (2,575 02) | 538 | Long-Term |
| | 59.0000 | 59.5074 | 3,510 94 | 10/26/17 | (991 64) | 431 | Long-Term |
| | 80.0000 | 61.4857 | 4,918.86 | 02/06/18 | (1,502.86) | 328 | Short-Term |
| *Cost Basis* | | | *18,605.42* | | | | |



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| **SS&C TECHNOLOGS HLDG** | **745.0000** | **45.11000** | **33,606.95** | **2%** | **10,665.50** | **0.70%** | **238.40** |
| SYMBOL· SSNC | 137 0000 | 28.8537 | 3,952.97 | 12/02/16 | 2,227.10 | 759 | Long-Term |
| | 103 0000 | 29.3435 | 3,022.39 | 12/16/16 | 1,623.94 | 745 | Long-Term |
| | 109.0000 | 29.9650 | 3,266.19 | 01/04/17 | 1,650 80 | 726 | Long-Term |
| | 136 0000 | 29.2197 | 3,973 89 | 01/04/17 | 2,161.07 | 726 | Long-Term |
| | 145 0000 | 31 1477 | 4,516.42 | 01/19/17 | 2,024 53 | 711 | Long-Term |
| | 115.0000 | 36.6051 | 4,209 59 | 05/03/17 | 978.06 | 607 | Long-Term |
| *Cost Basis* | | | *22,941.45* | | | | |
| **TELEFLEX INCORPORATE** | **187.0000** | **258.48000** | **48,335.76** | **3%** | **22,388.11** | **0.52%** | **254 32** |
| SYMBOL· TFX | 19 0000 | 136 4342 | 2,592 25 | 06/26/15 | 2,318.87 | 1284 | Long-Term |
| | 20.0000 | 128 5195 | 2,570 39 | 01/08/16 | 2,599.21 | 1088 | Long-Term |
| | 21.0000 | 129.7766 | 2,725 31 | 01/13/16 | 2,702 77 | 1083 | Long-Term |
| | 80.0000 | 139.0690 | 11,125.52 | 02/25/16 | 9,552.88 | 1040 | Long-Term |
| | 34 0000 | 142 0085 | 4,828 29 | 10/28/16 | 3,960.03 | 794 | Long-Term |
| | 13.0000 | 161.9915 | 2,105 89 | 01/05/17 | 1,254 35 | 725 | Long-Term |
| *Cost Basis* | | | *25,947 65* | | | | |
| **TORO CO** | **555.0000** | **55.88000** | **31,013.40** | **2%** | **(2,681.80)** | **1.43%** | **444.00** |
| SYMBOL· TTC | 77.0000 | 63.6863 | 4,903 85 | 06/11/18 | (601.09) | 203 | Short-Term |
| | 79 0000 | 62 7565 | 4,957.77 | 06/11/18 | (543.25) | 203 | Short-Term |
| | 78.0000 | 63 7629 | 4,973.51 | 06/12/18 | (614 87) | 202 | Short-Term |
| | 97 0000 | 60 2031 | 5,839.71 | 06/19/18 | (419 35) | 195 | Short-Term |
| | 103.0000 | 60 5148 | 6,233.03 | 09/27/18 | (477 39) | 95 | Short-Term |
| | 61 0000 | 56 8339 | 3,466 87 | 10/09/18 | (58.19) | 83 | Short-Term |
| | 60 0000 | 55 3410 | 3,320 46 | 10/12/18 | 32.34 | 80 | Short-Term |
| *Cost Basis* | | | *33,695 20* | | | | *Accrued Dividend: 124.88* |

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| **TRANSDIGM GROUP INC** | **81.0000** | **340.06000** | **27,544.86** | **2%** | **15,060.81** | **N/A** | **N/A** |
| SYMBOL: TDG | 2.0000 | 98.5000 | 197.00 | 11/17/11 | 483.12 | 2601 | Long-Term |
| | 10 0000 | 123.2010 | 1,232.01 | 05/17/12 | 2,168 59 | 2419 | Long-Term |
| | 52 0000 | 129.4940 | 6,733.69 | 08/07/12 | 10,949.43 | 2337 | Long-Term |
| | 17 0000 | 254.1970 | 4,321 35 | 03/01/17 | 1,459.67 | 670 | Long-Term |
| *Cost Basis* | | | *12,484.05* | | | | |
| **TRIMBLE INC** | **1,149.0000** | **32.91000** | **37,813.59** | **2%** | **2,455.45** | **N/A** | **N/A** |
| SYMBOL. TRMB | 218 0000 | 26.3506 | 5,744.45 | 02/17/15 | 1,429.93 | 1413 | Long-Term |
| | 109.0000 | 18 3700 | 2,002 33 | 08/25/15 | 1,584.86 | 1224 | Long-Term |
| | 144.0000 | 18.7400 | 2,698.56 | 09/03/15 | 2,040 48 | 1215 | Long-Term |
| | 93.0000 | 35.3330 | 3,285.97 | 07/27/18 | (225.34) | 157 | Short-Term |
| | 53 0000 | 40.0100 | 2,120.53 | 08/02/18 | (376 30) | 151 | Short-Term |
| | 250.0000 | 39.6283 | 9,907.08 | 08/20/18 | (1,679.58) | 133 | Short-Term |
| | 138 0000 | 37.2978 | 5,147.10 | 10/24/18 | (605.52) | 68 | Short-Term |
| | 144 0000 | 30.9175 | 4,452.12 | 12/21/18 | 286 92 | 10 | Short-Term |
| *Cost Basis* | | | *35,358 14* | | | | |
| **WELBILT INC** | **2,524.0000** | **11.11000** | **28,041.64** | **2%** | **(20,653.27)** | **N/A** | **N/A** |
| SYMBOL: WBT | 235 0000 | 18.9100 | 4,443.85 | 06/30/17 | (1,833.00) | 549 | Long-Term |
| | 227 0000 | 19.0763 | 4,330 34 | 07/06/17 | (1,808 37) | 543 | Long-Term |
| | 218.0000 | 19 4150 | 4,232 47 | 07/11/17 | (1,810 49) | 538 | Long-Term |
| | 383.0000 | 19 5500 | 7,487.65 | 08/11/17 | (3,232.52) | 507 | Long-Term |
| | 647 0000 | 21.0004 | 13,587.26 | 11/08/17 | (6,399.09) | 418 | Long-Term |
| | 198 0000 | 22.8748 | 4,529 23 | 01/26/18 | (2,329.45) | 339 | Short-Term |
| | 248 0000 | 19.7500 | 4,898.00 | 05/23/18 | (2,142 72) | 222 | Short-Term |
| | 368 0000 | 14 0926 | 5,186.11 | 11/05/18 | (1,097.63) | 56 | Short-Term |
| *Cost Basis* | | | *48,694.91* | | | | |



Schedule #6/B

## Investment Detail - Equities (continued)

| Equities (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Estimated Yield / Holding Days | Estimated Annual Income / Holding Period |
|---|---|---|---|---|---|---|---|
| WILLIAMS SONOMA | 509.0000 | 50.45000 | 25,679.05 | 1% | (95.45) | 3.40% | 875.48 |
| SYMBOL WSM | 24.0000 | 53 4345 | 1,282 43 | 01/12/16 | (71 63) | 1084 | Long-Term |
| | 104 0000 | 48.6749 | 5,062 19 | 01/20/16 | 184.61 | 1076 | Long-Term |
| | 33 0000 | 52 7342 | 1,740 23 | 05/26/16 | (75 38) | 949 | Long-Term |
| | 111 0000 | 50.3923 | 5,593 55 | 01/04/17 | 6 40 | 726 | Long-Term |
| | 33 0000 | 54.2451 | 1,790 09 | 04/04/17 | (125 24) | 636 | Long-Term |
| | 80 0000 | 46 2200 | 3,697 60 | 11/20/17 | 338.40 | 406 | Long-Term |
| | 124.0000 | 53.2936 | 6,608 41 | 11/16/18 | (352.61) | 45 | Short-Term |
| *Cost Basis* | | | *25,774.50* | | | | |
| **Total Equities** | **28,557.0000** | | **1,751,064.40** | **97%** | **171,182.86** | | **14,770.47** |
| | | ***Total Cost Basis:*** | ***1,579,881.54*** | | | | |

***Total Accrued Dividend for Equities: 856.17***

*Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate*

| | |
|---|---|
| **Total Investment Detail** | **1,807,953.67** |
| **Total Account Value** | **1,807,953.67** |
| ***Total Cost Basis*** | ***1,579,881.54*** |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schedule #6/B

JOSHUA MAILMAN FOUNDATION

DECEMBER 1, 2018 - DECEMBER 31, 2018
ACCOUNT NUMBER 8440-9000

# Portfolio detail

## Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program(s) or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep Program(s) reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep Program(s). In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Standard Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A and (if amounts exceed $250,000) at one Wells Fargo affiliated bank
Expanded Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more Wells Fargo affiliated or nonaffiliated banks
Brokered Liquid Deposit - Consists of monies held at one or more nonaffiliated and Wells Fargo affiliated banks
Assets in the Bank Deposit Sweep Program and Brokered Liquid Deposit are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information, please contact Your Financial Advisor.

| DESCRIPTION | % OF ACCOUNT | ANNUAL PERCENTAGE YIELD EARNED* | CURRENT MARKET VALUE | ESTIMATED ANNUAL INCOME |
|---|---|---|---|---|
| STANDARD BANK DEPOSIT | 69 72 | 0 25 | 10,124.06 | 25 00 |
| Interest Period 12/01/18 - 12/31/18 | | | | |
| **Total Cash and Sweep Balances** | **69.72** | | **$10,124.06** | **$25.00** |

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

## Stocks, options & ETFs

### Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings

| DESCRIPTION | % OF ACCOUNT | QUANTITY | ADJ PRICE/ ORIG PRICE | ADJ COST/ ORIG COST | CURRENT PRICE | CURRENT MARKET VALUE | UNREALIZED GAIN/LOSS | ESTIMATED ANNUAL INCOME | ESTIMATED ANNUAL YIELD (%) |
|---|---|---|---|---|---|---|---|---|---|
| MISSION NEWENERGY LTD MNELF | | | | | | | | | |
| Acquired 12/09/09 L nc | | 2,800 | 16.12 | 45,153 00 | | 392 00 | -44,761.00 | | |
| Acquired 12/14/09 L nc | | 5,000 | 22 40 | 112,005 00 | | 700.00 | -111,305 00 | | |
| Acquired 12/14/09 L nc | | 200 | 22 25 | 4,450 00 | | 28 00 | -4,422 00 | | |
| **Total** | **7 71** | **8,000** | **$20 20** | **$161,608 00** | **0.1400** | **$1,120 00** | **-$160,488 00** | **N/A** | **N/A** |



Schedule #6/C

ADVISORS

JOSHUA MAILMAN FOUNDATION

DECEMBER 1, 2018 - DECEMBER 31, 2018
ACCOUNT NUMBER 8440-9000

## Stocks, options & ETFs

### Stocks and ETFs continued

| DESCRIPTION | % OF ACCOUNT | QUANTITY | ADJ PRICE/ ORIG PRICE | ADJ COST/ ORIG COST | CURRENT PRICE | CURRENT MARKET VALUE | UNREALIZED GAIN/LOSS | ESTIMATED ANNUAL INCOME | ESTIMATED ANNUAL YIELD (%) |
|---|---|---|---|---|---|---|---|---|---|
| ORION ENERGY SYSTEMS INC OESX | | | | | | | | | |
| Acquired 08/01/06 L nc | | 341 | 2 75 | 937 75 | | 194 71 | -743 04 | | |
| Acquired 08/01/06 L nc | | 2,699 | 4 49 | 12,118 51 | | 1,541 13 | -10,577 38 | | |
| Acquired 08/01/06 L nc | | 2,699 | 2 75 | 7,422 25 | | 1,541 12 | -5,881 13 | | |
| **Total** | 22.57 | 5,739 | $3.57 | $20,478.51 | 0.5710 | $3,276.96 | -$17,201 55 | N/A | N/A |
| TARGET RESOURCES PLC | | | | | | | | | |
| Acquired 10/02/08 L nc | | 10,000 | 0 07 | 717 50 | • | N/A | 0 00 | | |
| Acquired 10/03/08 L nc | | 50,000 | 0.08 | 4,030 00 | | N/A | 0 00 | | |
| Acquired 10/03/08 L nc | | 20,000 | 0.08 | 1,627 50 | | N/A | 0.00 | | |
| Acquired 10/03/08 L nc | | 20,000 | 0.08 | 1,620 00 | | N/A | 0 00 | | |
| Acquired 10/06/08 L nc | | 50,000 | 0.05 | 2,537 50 | | N/A | 0 00 | | |
| Acquired 10/06/08 L nc | | 50,000 | 0 06 | 3,030 00 | | N/A | 0 00 | | |
| Acquired 10/07/08 L nc | | 100,000 | 0 05 | 5,307 50 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,057.50 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,050 00 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,050 00 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,050 00 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,050 00 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,050 00 | | N/A | 0 00 | | |
| Acquired 10/09/08 L nc | | 100,000 | 0 08 | 8,050 00 | | N/A | 0 00 | | |
| **Total** | 0.00 | 1,000,000 | $0.08 | $75,227.50 | N/A | $0.00 | $0.00 | N/A | N/A |
| **Total Stocks and ETFs** | 30.28 | | | $257,314.01 | | $4,396 96 | -$177,689.55 | | |
| **Total Stocks, options & ETFs** | 30 28 | | | $257,314.01 | | $4,396.96 | -$177,689.55 | | |

* This unpriced security is not reflected in your total portfolio value
nc Cost Information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

001 F8 F801

GKSP3SDFAJ 000468 N2NNNNNNNN NNN NNN 019 020 001 017579 21021820 2

Schedule #6/C