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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation CASCADE FOUNDATION		A Employer identification number 20-4956488	
Number and street (or P O box number if mail is not delivered to street address) P O BOX 913		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code ROCKPORT, ME 048560913		C If exemption application is pending, check here	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation	
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 18,222,573		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
<input type="checkbox"/> Other taxable private foundation			
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis )			







Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	274	274	
	4 Dividends and interest from securities	423,168	423,168	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	374,250		
	b Gross sales price for all assets on line 6a			
	7 Capital gain net income (from Part IV, line 2)		374,250	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances			
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	16,722	16,722		
12 Total. Add lines 1 through 11	814,414	814,414		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	299,803		299,803
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits	42,681	42,681	
	16a Legal fees (attach schedule)	5,594	5,594	
	b Accounting fees (attach schedule)	11,900	11,900	
	c Other professional fees (attach schedule)	86,494	86,494	
	17 Interest	973	973	
	18 Taxes (attach schedule) (see instructions)	40,146	17,211	22,935
	19 Depreciation (attach schedule) and depletion	4,156	4,156	
	20 Occupancy	3,125	3,125	
	21 Travel, conferences, and meetings	7,232		7,232
	22 Printing and publications			
	23 Other expenses (attach schedule)	9,842	9,842	
	24 Total operating and administrative expenses. Add lines 13 through 23	511,946	181,976	329,970
	25 Contributions, gifts, grants paid	193,130		193,130
26 Total expenses and disbursements. Add lines 24 and 25	705,076	181,976	523,100	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	109,338		
	b Net investment income (if negative, enter -0-)		632,438	
c Adjusted net income (if negative, enter -0-)			0	



For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	51,959	29,173	29,173
	<b>2</b> Savings and temporary cash investments . . . . .	4,391,327	3,605,593	3,605,593
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .	10,530	10,317	10,317
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	5,815,706 	3,841,701	3,841,701
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	5,944,087 	7,306,050	7,306,050
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	2,709,395 	3,099,598	3,099,598
	<b>14</b> Land, buildings, and equipment basis ▶ _____ 353,571 Less accumulated depreciation (attach schedule) ▶ 39,063	318,115 	314,508	314,508
<b>15</b> Other assets (describe ▶ _____)	22,826	15,633 	15,633	
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	19,263,945	18,222,573	18,222,573	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)	4,578 	5,919	
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	4,578	5,919	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .	19,259,367	18,216,654	
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>30</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	19,259,367	18,216,654	
<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	19,263,945	18,222,573		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	19,259,367
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	109,338
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____ 	<b>3</b>	8,767
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	19,377,472
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____ 	<b>5</b>	1,160,818
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	18,216,654

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	374,250
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	-56,738

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	15,010,488	16,035,941	0 936053
2016	17,366,197	17,128,830	1 013858
2015	18,006,568	18,961,761	0 949625
2014	19,145,855	19,611,882	0 976238
2013	20,406,894	19,557,165	1 043448

<b>2</b> Total of line 1, column (d)	<b>2</b>	4 919222
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 983844
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	18,456,687
<b>5</b> Multiply line 4 by line 3	<b>5</b>	18,158,501
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	6,324
<b>7</b> Add lines 5 and 6	<b>7</b>	18,164,825
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	14,770,449

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	12,649
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	12,649
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	12,649
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	28,317
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	28,317
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ▶	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ▶	<b>10</b>	15,668
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> ▶ 15,668 <b>Refunded</b> ▶	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	Yes
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NA	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► DANIEL DIBNER Telephone no ► (203) 903-0762			

Located at ► 688 COMMERCIAL STREET ROCKPORT ME ZIP+4 ► 04856

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	► <b>15</b>		
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . .	<b>1b</b>		<b>No</b>
	Organizations relying on a current notice regarding disaster assistance check here. . . . .			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>


**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)


<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**


(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDMAN SACHS  200 WEST ST 39TH FLOOR NEW YORK, NY 10282	MANAGE FUNDS	86,494

**Total** number of others receiving over \$50,000 for professional services. . . . . 
**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> FARNSWORTH ART MUSEUM	60,000
<b>2</b> MAINE FAMILY PLANNING	25,000
<b>3</b> KNOX COUNTY HEALTH CLINIC	10,000
<b>4</b> FOSTER CARE TO SUCCESS	10,000

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> GOLDMAN SACHS VARIOUS FUNDS STATEMENTS 137 & 138	11,147,751
<b>2</b> OTHER INVESTMENTS STATEMENT 118	3,099,598
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . 	14,247,349

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	14,358,269
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	4,039,026
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	340,458
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	18,737,753
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	18,737,753
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	281,066
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	18,456,687
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	922,834

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	922,834
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	12,649
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	12,649
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	910,185
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	910,185
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	910,185

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	523,100
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	14,247,349
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	14,770,449
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	14,770,449

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				910,185
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .				
<b>b</b> From 2014. . . . .				
<b>c</b> From 2015. . . . .				
<b>d</b> From 2016. . . . .				
<b>e</b> From 2017. . . . .				475,369
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	475,369			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>14,770,449</u>				
<b>a</b> Applied to 2017, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2018 distributable amount. . . . .				910,185
<b>e</b> Remaining amount distributed out of corpus	523,100			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	998,469			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	998,469			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .				
<b>b</b> Excess from 2015. . . . .				
<b>c</b> Excess from 2016. . . . .				
<b>d</b> Excess from 2017. . . . .				
<b>e</b> Excess from 2018. . . . .	88,284			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years			(e) Total	
(a) 2018	(b) 2017	(c) 2016	(d) 2015		
0	148,969	49,942	131,422	330,333	
<b>b</b> 85% of line 2a . . . . .	126,624	42,451	111,709	280,784	
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .	14,770,449	15,010,488	17,366,197	18,006,568	65,153,702
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .	14,770,449	15,010,488	17,366,197	18,006,568	65,153,702
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .	19,222,573	19,263,945	18,856,856	19,336,141	76,679,515
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .	18,852,942	18,923,004	18,496,349	18,991,063	75,263,358
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .	615,223	534,799	571,247	632,059	2,353,328
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income	805,647	843,046	706,264	731,968	3,086,925

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			▶ <b>3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	258,967	
<b>4</b> Dividends and interest from securities. . . .			14	164,475	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	374,250	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue					
<b>a</b> OTHER INVEST GAIN _____			18	7,955	
<b>b</b> 2015 EXCISE TAX REFU _____			18	8,767	
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .				814,414	

<b>13 Total.</b>	Add line 12, columns (b), (d), and (e).	<b>13</b>	814,414
(See worksheet in line 13 instructions to verify calculations )			

[illegible]

## Part XVII

	Yes	No
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<b>1a(1)</b>	<b>No</b>
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<b>1a(2)</b>	<b>No</b>
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<b>1b(1)</b>	<b>No</b>
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<b>1b(2)</b>	<b>No</b>
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<b>1b(3)</b>		<b>No</b>
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<b>1b(4)</b>		<b>No</b>
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<b>1b(5)</b>		<b>No</b>
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<b>1b(6)</b>		<b>No</b>
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1c		No
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value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p><b>Sign Here</b></p> <p>*****</p> <hr/> <p>Signature of officer or trustee</p>	<p>2019-11-11</p> <hr/> <p>Date</p>	<p>*****</p> <hr/> <p>Title</p>
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May the IRS discuss this return with the preparer shown below

(see instr.)? ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	ROBERT G WILKINS EA		2019-11-11		
	Firm's name ▶ PDQ TAX SERVICE INC				Firm's EIN ▶ 03-0306470
	Firm's address ▶ 51 ADAMS DRIVE ST JOHNSBURY, VT 058192541				Phone no (802) 748-3382

May the IRS discuss this return with the preparer shown below






(see instr )? ☒ Yes ☐ No

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 PUBLICALLY TRADED STOCK	P	2011-04-13	2018-04-13
1 PUBLICALLY TRADED STOCK	P	2012-05-17	2018-04-24
PUBLICALLY TRADED STOCK	P	2014-08-04	2018-01-23
PUBLICALLY TRADED STOCK	P	2015-04-06	2018-04-24
PUBLICALLY TRADED STOCK	P	2016-06-14	2018-06-14
PUBLICALLY TRADED STOCK	P	2017-01-18	2018-10-23
PUBLICALLY TRADED STOCK	P	2018-03-20	2018-04-24
CAPITAL GAIN DISTRIBUTIONS	P		

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
75,000		74,739	261
49,951		10,830	39,121
365,070		403,818	-38,748
332,571		333,309	-738
1,614,718		1,468,500	146,218
556,327		486,815	69,512
948,451		1,005,189	-56,738
215,362			215,362

[illegible]

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

<b>(a)</b> Name and address	Title, and average hours per week <b>(b)</b> devoted to position	<b>(c)</b> Compensation <b>(If not paid, enter -0-)</b>	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	Expense account, <b>(e)</b> other allowances
DANIEL DIBNER 	MANAGER 35 00	109,772	0	0
688 COMMERCIAL STREET ROCKPORT, ME 04856				
VICTORIA C DIBNER 	ASST MANAGER 35 00	109,772	0	0
688 COMMERCIAL STREET ROCKPORT, ME 04856				
AURORA C DIBNER 	DIRECTOR 5 00	12,000	0	0
16 MANSEWOOD ROAD OLD LYME, CT 06371				
AVALON D FENNESSEY 	DIRECTOR 5 00	12,000	0	0
47 EAST MEADOW ROAD WILTON, CT 06897				
BERN L DIBNER 	DIRECTOR 35 00	56,259	0	0
688 COMMERCIAL STREET ROCKPORT, ME 04856				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHILDRENS ORGAN TRANSPLANT ASSOC 2501 COTA DRIVE BLOOMINGTON, IN 47403	NONE	PC	MEDICAL RESEARCH	10,000
FARNSWORTH ART MUSEUM 16 MUSEUM STREET ROCKLAND, ME 04841	NONE	PC	SUPPORT FINE ARTS	60,000
FOSTER CARE TO SUCCESS 23811 CHAGRIN BLVD BEACHWOOD, OH 44122	NONE	PC	YOUTH MENTORPROGRAMUNDER PRIVILEGE	10,000
<b>Total . . . . . ▶ 3a</b>				193,130



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FRIENDS OF THE STRAND THEATRE P O BOX 433 ROCKLAND, ME 048410433	NONE	PC	PAID TO UPGRADEDIGITAL SCANNER	10,000
HEARD MUSEUM 2301 NORTH CENTRAL AVENUE PHOENIX, AZ 85004	NONE	PC	PRESERVATION OF INDIANCULTURE OPERATING EXPS	5,000
JACKSON LABORATORY P O BOX 254 BAR HARBOR, ME 046090254	NONE	PC	HEALTH SERVICES	5,000
<b>Total . . . . . ▶ 3a</b>				193,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
KNOX COUNTY HEALTH CLINIC 22 WHITE STREET ROCKLAND, ME 04841	NONE	PC	FREE HEALTHSERVICE FOR THEPOOR OPERATING	10,000
MAINE FAMILY PLANNINGP O BOX 587 AUGUSTA, ME 043320587	NONE	PC	FAMILY PLANNINGSERVICES	25,000
MARITIME AQUARIUM 10 NORTH WATER STREET NORWALK, CT 06854	NONE	PC	CHILD TEACHING CTR COMPLETEFOR POOR CHILDREN	130
<b>Total . . . . . ▶ 3a</b>				193,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MEALS ON WHEELS46 SUMMER STREET ROCKLAND, ME 048412989	NONE	PC	OPERATING EXPS	10,000
MEGUNTICOOK ROWINGP O BOX 855 CAMDEN, ME 048430855	NONE	PC	PUBLIC ROWINGEDUCATION	5,100
MERCER MUSEUM 84 SOUTH PINE STREET DOYLESTOWN, PA 18901	NONE	PC	RESTORE MERCER HOME FOR FURTURE GENERATIONS	5,000
<b>Total . . . . . ▶ 3a</b>				193,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW HOPE FOR WOMENP O BOX A ROCKLAND, ME 04841	NONE	PC	SUPPORTS WOMENSHELTER	10,000
REEF RELIEFP O BOX 430 KEY WEST, FL 33040	NONE	PC	ENVIRONMENTALRESEARCH	10,000
SAVE THE CHILDREN 501 KINGS HIGHWAY EAST STE 500 FAIRFIELD, CT 06825	NONE	PC	CHILD CHARITY	1,500
<b>Total . . . . . ▶ 3a</b>				193,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
STATION MAINE75 MECHANIC STREET ROCKLAND, ME 04841	NONE	PC	MARITIME ANDCHILDEDUCATION PROGRAM	5,000
STEPPING STONES MUSEUM FOR CHILDREN MATHEWS PARK 303 WEST AVENUE NORWALK, CT 06850	NONE	PC	EXPENSES FOR CHILDREN PROJECTS	400
VICTORIA MANSION 109 DANFORTH STREET PORTLAND, ME 04101	NONE	PC	1864 MANSIONRESTORATION	10,000
<b>Total . . . . . ▶ 3a</b>				193,130

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WEATHERVANE THEATRE P O BOX 127 WHITEFIELD, NH 035980127	NONE	PC	THEATRE PRODUCTIONS	1,000
<b>Total . . . . . ▶ 3a</b>				193,130

**TY 2018 Accounting Fees Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
PDQ TAX SERVICE	11,900	11,900	0	0

**TY 2018 Compensation Explanation****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Person Name	Explanation
DANIEL DIBNER	WAGES PAID TO ADMINISTER THE FOUNDATION, CONTROL AND OVERSEE THE ASSETS
VICTORIA C DIBNER	ASSIST THE MANAGER IN MANAGING AND OVERSEEING THE FOUNDATIONS ASSETS
AURORA C DIBNER	MEET WITH THE MANAGERS FOUR TIMES A YEAR TO ASSIST IN GUIDING THE FOUNDATION ASSETS
AVALON D FENNESSEY	MEET WITH THE MANAGERS FOUR TIMES A YEAR TO AID IN GUIDING THE FOUATION ASSETS
BERN L DIBNER	MEET WITH THE MANAGERS FOUR TIMES A YEAR TO AID IN GUIDING THE FOUNDATION ASSETS



**TY 2018 Contractor Compensation Explanation**

**Name:** CASCADE FOUNDATION  
**EIN:** 20-4956488

Contractor	Explanation
GOLDMAN SACHS	FEES DEDUCTED FROM VARIOUS CUSTOMER FUNDS DURING EACH QTR OF 2017

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2018 Depreciation Schedule

**Name:** CASCADE FOUNDATION

**EIN:** 20-4956488

## Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
ACCOUNTING SOFTWARE	2006-04-19	524	524	SL	5	0	0	0	
PRINTER	2007-11-04	617	617	SL	5	0	0	0	
TERA BACKUP UNIT	2008-03-01	420	419	M	5	0	0	0	
VCD MACBO LAPTOP	2008-05-04	2,690	2,690	M	5	0	0	0	
FIREPROOF SAFE	2008-08-25	2,474	2,474	M	7	0	0	0	
RENEW QUICKBOOKS	2008-09-01	1,321	1,321	M	5	0	0	0	
M-TECH LAPTOP	2008-09-12	5,061	4,099	M	5	0	0	0	
MICROSOFT OFFICE	2008-11-06	220	220	M	5	0	0	0	
SIRIUS RADIO	2010-09-05	241	241	SL	5	0	0	0	
GENERATOR	2011-08-29	1,028	937	SL	7	91	0	0	
M-TECH LAPTOP	2011-10-21	5,521	5,521	SL	5	0	0	0	
LAND FOR OFFICE	2012-06-01	284,050	0	NDA		0	0	0	
DELL MONITOR	2013-01-11	833	751	SL	5	82	0	0	
CELL PHONE	2013-04-15	334	301	SL	5	33	0	0	
COMPUTER EQUIP	2013-05-29	600	540	SL	5	60	0	0	
APPLE COMPUTER	2013-07-01	1,981	1,782	SL	5	199	0	0	
3 TABLETS	2014-05-08	4,581	3,206	SL	5	916	0	0	
2 TABLETS	2014-05-09	3,599	2,520	SL	5	720	0	0	
BUSH WACKER	2014-06-17	3,596	1,799	SL	7	514	0	0	
REPLACEMENT TREES	2014-12-05	23,215	0	NDA		0	0	0	

### Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2006-05-01	2,319	2,319		7	0	0	0	
LAPTOP	2015-11-24	4,594	1,953	SL	5	919	0	0	
EXTERNAL HDS 4	2015-11-25	878	374	SL	5	176	0	0	
BURNER BOX	2016-01-22	579	124	SL	7	83	0	0	
APPLE IPAD DIRECTOR	2017-05-11	1,746	175	SL	5	349	0	0	
EPSON PRINTER	2018-11-20	549	0	SL	5	14	0	0	

# TY 2018 Investments Corporate Bonds Schedule

**Name:** CASCADE FOUNDATION

**EIN:** 20-4956488

## Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PRIVATE CORP BONDS	7,306,050	7,306,050

**TY 2018 Investments Corporate Stock Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
GOLDMAN SACHS VARIOUS STOCK FU	3,841,701	3,841,701

**TY 2018 Investments - Other Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
GS TACTICAL TILT PORTFOLIO		1,186,418	1,186,418
GSCAPITAL PARTNERS VI PARALLEL		113,258	113,258
VCFA PRIVATE EQUITY PARTNERS IV		69,321	69,321
GOBAL PRIVATE OPPORTUNITIES PTR OFF		333,295	333,295
WEST STREET CAP PARTNERS VII LP		127,807	127,807
MOUNT KELLETT CAPITAL PTRS		170,606	170,606
OTHER PRIVATE EQUITY		718,079	718,079
GS VINTAGE FUND LP		64,814	64,814
VINTAGE VII LP OFFSHORE		150,624	150,624
GS INVEST PRIVATE OPPORTUNITIES		143,932	143,932
SILVER POINT DIST OPPORTUNITIES		21,444	21,444

**TY 2018 Land, Etc.  
Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER & OFFICE EQUIPMENT	46,306	0	46,306	46,306
LAND	307,265	0	307,265	307,265

**TY 2018 Legal Fees Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
DAY PITNEY LLP	5,594	5,594	0	0



**TY 2018 Other Assets Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DAVINCI HORSE SCULPTURE ANTIQUE	5,800	5,800	5,800
BRONZE ART	3,985	3,985	3,985
DEPOSIT FOR EXCISE TAX	13,041	5,848	5,848

**TY 2018 Other Decreases Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Description	Amount
MEALS 50	762
EXCISE TAX 2017	15,364
PENALTY EXPSNSE	485
UNREALIZED LOSSES	1,144,207

**TY 2018 Other Expenses Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
COMPUTER EXPENSE	1,116	1,116	0	0
MEALS 50	762	762	0	0
MISCELLANEOUS K-1 EXP	3,506	3,506	0	0
OFFICE EXPENSES	725	725	0	0
TELEPHONE	3,673	3,673	0	0
SECURITY FEES	60	60	0	0

**TY 2018 Other Income Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME K-1	7,955	7,955	0
EXCISE TAX REFUND 2014	8,767	8,767	0

**TY 2018 Other Increases Schedule**

**Name:** CASCADE FOUNDATION  
**EIN:** 20-4956488

Description	Amount
EXCISE TAX REFUND 2015	8,767

**TY 2018 Other Liabilities Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL TAXES MAINE WH	4,578	5,919

**TY 2018 Other Professional Fees Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
GOLDMAN MANAGEMENT FEES	86,494	86,494	0	0

**TY 2018 Taxes Schedule****Name:** CASCADE FOUNDATION**EIN:** 20-4956488

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	152	152	0	0
PAYROLL TAXES	22,935	0	0	22,935
PROPERTY TAXES	1,695	1,695	0	0
EXCISE TAX 2017	15,364	15,364	0	0