

996

EXTENDED TO NOVEMBER 15, 2019

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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2018

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning

, and ending

Name of foundation THE HAVEN FOUNDATION		A Employer identification number 20-4356938
Number and street (or P.O. box number if mail is not delivered to street address) C/O MP LLP, 685 THIRD AVE.		B Telephone number 212-503-8822
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 10,583,574.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	505.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	51,799.	51,799.		STATEMENT 1
	4 Dividends and interest from securities	286,700.	286,700.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	299,406.			
	b Gross sales price for all assets on line 6a	2,476,410.			
	7 Capital gain net income (from Part IV, line 2)		299,406.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-2,934.	-2,934.		STATEMENT 3
	12 Total. Add lines 1 through 11	635,476.	634,971.		
	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	8,367.	2,383.		5,984.
	b Accounting fees				
	c Other professional fees STMT 5	68,355.	68,355.		0.
	17 Interest	6,986.	6,986.		0.
	18 Taxes STMT 6	386.	386.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	3,142.	0.		3,142.
	24 Total operating and administrative expenses. Add lines 13 through 23	87,236.	78,110.		9,126.
	25 Contributions, gifts, grants paid	1,423,806.			985,436.
	26 Total expenses and disbursements. Add lines 24 and 25	1,511,042.	78,110.		994,562.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-875,566.			
	b Net investment income (if negative, enter -0-)		556,861.		
	c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	523,694.	246,066.	246,066.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,426.	1,579.	1,579.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	10,764,773.	10,579,792.	10,335,929.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	11,289,893.	10,827,437.	10,583,574.
	17 Accounts payable and accrued expenses			
	18 Grants payable	188,510.	626,880.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	188,510.	626,880.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	11,101,383.	10,200,557.	
	30 Total net assets or fund balances	11,101,383.	10,200,557.	
	31 Total liabilities and net assets/fund balances	11,289,893.	10,827,437.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,101,383.
2 Enter amount from Part I, line 27a	2	-875,566.
3 Other increases not included in line 2 (itemize) ▶ RETURNED GRANT	3	300.
4 Add lines 1, 2, and 3	4	10,226,117.
5 Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	25,560.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,200,557.

Part IV Capital Gains and Losses for Tax on Investment Income**SEE ATTACHED STATEMENTS**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 2,476,410.		2,177,004.	299,406.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			299,406.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	299,406.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	660,906.	11,800,462.	.056007
2016	963,658.	11,848,457.	.081332
2015	678,010.	12,483,542.	.054312
2014	603,775.	12,813,609.	.047120
2013	872,468.	12,646,032.	.068991

2 Total of line 1, column (d)	2	.307762
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 50, or by the number of years the foundation has been in existence if less than 5 years	3	.061552
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	11,168,063.
5 Multiply line 4 by line 3	5	687,417.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,569.
7 Add lines 5 and 6	7	692,986.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	994,562.

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	572 PIMCO ETF TR 0-5 YR HIGH YIELD CORP BD INDEX	P	12/29/16	02/26/18
b	3,793 ALPS/KOTAK INDIA GROWTH FUND CLASS I	P	01/19/17	08/20/18
c	123 SPDR S&P 500 ETF TR TR UNIT	P	03/01/17	07/24/18
d	777 ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ET	P	03/01/17	07/24/18
e	257 PIMCO ETF TR 0-5 YR HIGH YIELD CORP BD INDEX	P	06/06/17	02/26/18
f	1,548.923 VOYA RUSSIA FUND CLASS I	P	07/25/17	04/11/18
g	577 ISHARES INC MSCI MEXICO ETF	P	08/03/17	01/23/18
h	400 ISHARES INC MSCI MEXICO ETF	P	08/03/17	01/23/18
i	PIMCO PREFERRED AND CAPITAL SECURITIES FUND INSTL	P		12/13/18
j	32 BROADRIDGE FINANCIAL SOLUTIO	P	10/16/18	12/27/18
k	27 BROADRIDGE FINANCIAL SOLUTIO	P	10/15/18	12/26/18
l	1 BROADRIDGE FINANCIAL SOLUTIO	P	10/16/18	12/26/18
m	42 CVS HEALTH CORP	P	11/29/18	12/21/18
n	89 EXXON MOBIL CORP	P	12/07/17	12/21/18
o	14 EXXON MOBIL CORP	P	12/12/17	12/21/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 57,370.		56,996.	374.
b 54,405.		44,024.	10,381.
c 34,681.		29,466.	5,215.
d 20,798.		18,799.	1,999.
e 25,777.		26,122.	-345.
f 43,479.		41,025.	2,454.
g 30,106.		32,512.	-2,406.
h 20,871.		22,539.	-1,668.
i 83.			83.
j 3,046.		3,738.	-692.
k 2,534.		3,146.	-612.
l 94.		117.	-23.
m 2,709.		3,371.	-662.
n 6,106.		7,343.	-1,237.
o 961.		1,165.	-204.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			374.
b			10,381.
c			5,215.
d			1,999.
e			-345.
f			2,454.
g			-2,406.
h			-1,668.
i			83.
j			-692.
k			-612.
l			-23.
m			-662.
n			-1,237.
o			-204.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CVS HEALTH CORP	P	12/10/18	12/10/18
b	2 AETNA INC	P	07/14/15	11/29/18
c	13 AETNA INC	P	06/03/16	11/29/18
d	12 AETNA INC	P	11/11/16	11/29/18
e	24 AETNA INC	P	05/24/17	11/29/18
f	12 WALMART INC	P	06/08/17	11/12/18
g	28 EDISON INTERNATIONAL	P	03/01/17	11/08/18
h	25 EDISON INTERNATIONAL	P	05/24/17	11/08/18
i	17 EDISON INTERNATIONAL	P	01/18/17	11/07/18
j	6 EDISON INTERNATIONAL	P	03/01/17	11/07/18
k	RESIDEO TECHNOLOGIES INC	P	11/07/18	11/07/18
l	15 CME GROUP INC	P	04/26/18	11/06/18
m	14 CME GROUP INC	P	04/27/18	11/06/18
n	16 CME GROUP INC	P	05/01/18	11/06/18
o	15 CME GROUP INC	P	05/23/18	11/06/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	58.		58.
b	422.	229.	193.
c	2,744.	1,557.	1,187.
d	2,533.	1,440.	1,093.
e	5,067.	3,457.	1,610.
f	1,254.	945.	309.
g	1,947.	2,228.	-281.
h	1,738.	1,975.	-237.
i	1,179.	1,232.	-53.
j	416.	477.	-61.
k	15.		15.
l	2,799.	2,383.	416.
m	2,612.	2,200.	412.
n	2,986.	2,503.	483.
o	2,799.	2,381.	418.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			58.
b			193.
c			1,187.
d			1,093.
e			1,610.
f			309.
g			-281.
h			-237.
i			-53.
j			-61.
k			15.
l			416.
m			412.
n			483.
o			418.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7 CISCO SYSTEMS INC	P	09/21/16	11/06/18
b	28 CISCO SYSTEMS INC	P	10/04/16	11/06/18
c	5 JPMORGAN CHASE & CO	P	03/15/17	11/06/18
d	24 JPMORGAN CHASE & CO	P	04/24/17	11/06/18
e	12 PROGRESSIVE CORP	P	07/29/16	11/06/18
f	7 PROGRESSIVE CORP	P	08/02/16	11/06/18
g	20 EDISON INTERNATIONAL	P	10/04/16	11/06/18
h	4 EDISON INTERNATIONAL	P	01/18/17	11/06/18
i	2 GARRETT MOTION INC	P	05/16/16	11/02/18
j	5 GARRETT MOTION INC	P	10/18/16	11/02/18
k	1 GARRETT MOTION INC	P	04/07/17	11/02/18
l	2 GARRETT MOTION INC	P	05/25/17	11/02/18
m	1 RESIDEO TECHNOLOGIES INC	P	05/16/16	10/30/18
n	8 RESIDEO TECHNOLOGIES INC	P	10/18/16	10/30/18
o	2 RESIDEO TECHNOLOGIES INC	P	04/07/17	10/30/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 324.		218.	106.
b 1,294.		875.	419.
c 540.		460.	80.
d 2,593.		2,098.	495.
e 837.		391.	446.
f 488.		228.	260.
g 1,369.		1,397.	-28.
h 274.		290.	-16.
i 30.		20.	10.
j 74.		64.	10.
k 15.		19.	-4.
l 30.		33.	-3.
m 22.		18.	4.
n 178.		180.	-2.
o 45.		55.	-10.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			106.
b			419.
c			80.
d			495.
e			446.
f			260.
g			-28.
h			-16.
i			10.
j			10.
k			-4.
l			-3.
m			4.
n			-2.
o			-10.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4 RESIDEO TECHNOLOGIES INC	P	05/25/17	10/30/18
b	490.196 AB GLOBAL BOND FUND- ADV	P	01/27/14	10/29/18
c	119.629 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	10/26/18
d	3 AUTOZONE INC	P	05/03/18	10/24/18
e	5 CONSTELLATION BRANDS INC-A	P	05/11/18	10/24/18
f	1 CONSTELLATION BRANDS INC-A	P	05/14/18	10/24/18
g	45 EXXON MOBIL CORP	P	12/07/17	10/24/18
h	17 CHEVRON CORP	P	01/11/18	10/24/18
i	24 SUN COMMUNITIES INC	P	04/19/18	10/24/18
j	13 EVEREST RE GROUP LTD	P	12/11/17	10/24/18
k	1 EVEREST RE GROUP LTD	P	12/12/17	10/24/18
l	46 VERIZON COMMUNICATIONS INC	P	05/22/18	10/24/18
m	18 FIDELITY NATIONAL INFORMATION	P	07/20/18	10/24/18
n	15 CME GROUP INC	P	04/26/18	10/24/18
o	6 MERCK & CO. INC.	P	01/16/18	10/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 89.		92.	-3.
b 4,000.		4,074.	-74.
c 1,518.		1,627.	-109.
d 2,185.		1,904.	281.
e 1,061.		1,100.	-39.
f 212.		222.	-10.
g 3,493.		3,713.	-220.
h 1,874.		2,254.	-380.
i 2,416.		2,128.	288.
j 2,666.		2,815.	-149.
k 205.		220.	-15.
l 2,641.		2,244.	397.
m 1,813.		1,962.	-149.
n 2,716.		2,383.	333.
o 423.		377.	46.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-3.
b			-74.
c			-109.
d			281.
e			-39.
f			-10.
g			-220.
h			-380.
i			288.
j			-149.
k			-15.
l			397.
m			-149.
n			333.
o			46.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	16 BERKSHIRE HATHAWAY INC-CL B	P	07/19/18	10/24/18
b	74 CUBESMART	P	06/18/18	10/24/18
c	55 CBRE GROUP INC	P	05/11/18	10/24/18
d	23 CITIGROUP INC	P	01/11/18	10/24/18
e	8 ANTHEM INC	P	03/06/18	10/24/18
f	1 BOOKING HOLDINGS INC	P	09/28/18	10/24/18
g	334.343 AB SMALL CAP CORE PORTFOLIO ADV CL	P	06/09/16	10/24/18
h	207.546 AB SMALL CAP CORE PORTFOLIO ADV CL	P	08/05/16	10/24/18
i	21 MARATHON PETROLEUM CORP	P	06/01/17	10/24/18
j	5 ALPHABET INC-CL C	P	12/12/16	10/24/18
k	844.675 AB LIMITED DURATION HIGH INCOME PORT- ADV	P	01/27/14	10/24/18
l	2 FACEBOOK INC-A	P	07/14/15	10/24/18
m	17 FACEBOOK INC-A	P	09/04/15	10/24/18
n	29 ZOETIS INC	P	08/28/17	10/24/18
o	19 T-MOBILE US INC	P	09/15/16	10/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,197.		3,176.	21.
b 2,105.		2,312.	-207.
c 2,120.		2,584.	-464.
d 1,467.		1,735.	-268.
e 2,123.		1,851.	272.
f 1,754.		1,977.	-223.
g 3,751.		3,333.	418.
h 2,329.		2,148.	181.
i 1,429.		1,112.	317.
j 5,254.		3,941.	1,313.
k 8,565.		8,954.	-389.
l 292.		181.	111.
m 2,483.		1,482.	1,001.
n 2,574.		1,787.	787.
o 1,248.		890.	358.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			21.
b			-207.
c			-464.
d			-268.
e			272.
f			-223.
g			418.
h			181.
i			317.
j			1,313.
k			-389.
l			111.
m			1,001.
n			787.
o			358.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 7 T-MOBILE US INC		P	09/16/16	10/24/18
b 23 CDW CORP/DE		P	06/12/17	10/24/18
c 29 FNF GROUP		P	06/27/17	10/24/18
d 39 FNF GROUP		P	07/18/17	10/24/18
e 313.801 AB DISCOVERY GRWTH FUND- ADV		P	03/26/15	10/24/18
f 229.549 AB DISCOVERY GRWTH FUND- ADV		P	05/04/15	10/24/18
g 22 VISA INC - CLASS A SHARES		P	10/02/13	10/24/18
h 4225.43 AB GLOBAL BOND FUND- ADV		P	01/27/14	10/24/18
i 13 MERCK & CO. INC.		P	05/25/17	10/24/18
j 30 WALMART INC		P	06/08/17	10/24/18
k 11 WELLS FARGO & COMPANY		P	07/14/15	10/24/18
l 40 WELLS FARGO & COMPANY		P	09/04/15	10/24/18
m 20 XILINX INC		P	04/15/16	10/24/18
n 4 XILINX INC		P	05/13/16	10/24/18
o 12 COMCAST CORP-CLASS A		P	10/18/12	10/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 460.		328.	132.
b 1,851.		1,396.	455.
c 955.		931.	24.
d 1,285.		1,285.	0.
e 3,728.		3,069.	659.
f 2,727.		2,302.	425.
g 2,954.		1,056.	1,898.
h 34,395.		35,113.	-718.
i 917.		842.	75.
j 2,927.		2,363.	564.
k 552.		627.	-75.
l 2,008.		2,046.	-38.
m 1,381.		924.	457.
n 276.		173.	103.
o 409.		224.	185.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			132.
b			455.
c			24.
d			0.
e			659.
f			425.
g			1,898.
h			-718.
i			75.
j			564.
k			-75.
l			-38.
m			457.
n			103.
o			185.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	74 COMCAST CORP-CLASS A	P	07/17/13	10/24/18
b	23 ALTRIA GROUP INC	P	12/08/16	10/24/18
c	14 ALTRIA GROUP INC	P	12/14/16	10/24/18
d	2918.706 BERNSTEIN INTERMEDIATE DURATION PORTFOLI	P	12/14/10	10/24/18
e	4 BIOGEN INC	P	03/14/13	10/24/18
f	4 BIOGEN INC	P	08/26/13	10/24/18
g	261.658 AB DISCOVERY VALUE FUND- ADV	P	05/04/15	10/24/18
h	25 TJX COMPANIES INC	P	05/24/17	10/24/18
i	42 CISCO SYSTEMS INC	P	09/21/16	10/24/18
j	292.03 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/14/10	10/24/18
k	59.651 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/14/11	10/24/18
l	1184.647 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	10/18/12	10/24/18
m	31.859 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/12/12	10/24/18
n	26.973 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/10/13	10/24/18
o	9.852 BERNSTEIN EMERGING MARKETS PORTFOLIO	P	12/09/14	10/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,525.		1,619.	906.
b 1,433.		1,503.	-70.
c 872.		934.	-62.
d 36,980.		39,694.	-2,714.
e 1,208.		651.	557.
f 1,208.		785.	423.
g 5,555.		5,568.	-13.
h 2,656.		1,856.	800.
i 1,851.		1,309.	542.
j 7,342.		9,672.	-2,330.
k 1,500.		1,426.	74.
l 29,782.		32,500.	-2,718.
m 801.		880.	-79.
n 678.		737.	-59.
o 248.		268.	-20.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			906.
b			-70.
c			-62.
d			-2,714.
e			557.
f			423.
g			-13.
h			800.
i			542.
j			-2,330.
k			74.
l			-2,718.
m			-79.
n			-59.
o			-20.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 BOEING CO/THE	P	08/04/17	10/24/18
b	23 GILEAD SCIENCES INC	P	04/24/14	10/24/18
c	7 GOLDMAN SACHS GROUP INC	P	03/15/17	10/24/18
d	9 HOME DEPOT INC	P	01/09/14	10/24/18
e	7 HOME DEPOT INC	P	01/27/14	10/24/18
f	11 HONEYWELL INTERNATIONAL INC	P	05/16/16	10/24/18
g	16 INTEL CORP	P	10/10/16	10/24/18
h	26 INTEL CORP	P	11/07/16	10/24/18
i	12 JPMORGAN CHASE & CO	P	02/24/17	10/24/18
j	27 JPMORGAN CHASE & CO	P	03/15/17	10/24/18
k	15 MCDONALD'S CORP	P	04/24/17	10/24/18
l	47 MICROSOFT CORP	P	04/30/15	10/24/18
m	28 NIKE INC -CL B	P	06/06/16	10/24/18
n	364 NOKIA CORP-SPON ADR	P	07/28/17	10/24/18
o	29 NOKIA CORP-SPON ADR	P	07/31/17	10/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,773.		1,187.	586.
b 1,564.		1,691.	-127.
c 1,464.		1,744.	-280.
d 1,593.		733.	860.
e 1,239.		552.	687.
f 1,599.		1,243.	356.
g 679.		609.	70.
h 1,103.		897.	206.
i 1,239.		1,080.	159.
j 2,789.		2,484.	305.
k 2,660.		2,016.	644.
l 4,809.		2,308.	2,501.
m 2,019.		1,523.	496.
n 1,915.		2,319.	-404.
o 153.		185.	-32.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			586.
b			-127.
c			-280.
d			860.
e			687.
f			356.
g			70.
h			206.
i			159.
j			305.
k			644.
l			2,501.
m			496.
n			-404.
o			-32.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 NORFOLK SOUTHERN CORP	P	02/27/17	10/24/18
b	7 NORFOLK SOUTHERN CORP	P	03/17/17	10/24/18
c	45 ORACLE CORP	P	07/29/16	10/24/18
d	16 PEPSICO INC	P	06/08/15	10/24/18
e	13 PFIZER INC	P	11/01/12	10/24/18
f	57 PFIZER INC	P	06/03/13	10/24/18
g	29 PROCTER & GAMBLE CO/THE	P	03/17/17	10/24/18
h	31 PROGRESSIVE CORP	P	07/29/16	10/24/18
i	10 RAYTHEON COMPANY	P	07/27/17	10/24/18
j	4 ROSS STORES INC	P	03/20/17	10/24/18
k	16 ROSS STORES INC	P	04/07/17	10/24/18
l	8 COSTCO WHOLESALE CORP	P	06/02/16	10/24/18
m	22 WALT DISNEY CO/THE	P	11/13/14	10/24/18
n	7 EOG RESOURCES INC	P	11/12/15	10/24/18
o	15 EOG RESOURCES INC	P	12/16/15	10/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	801.	603.	198.
b	1,121.	805.	316.
c	2,104.	1,846.	258.
d	1,807.	1,492.	315.
e	552.	319.	233.
f	2,421.	1,578.	843.
g	2,594.	2,660.	-66.
h	2,100.	1,010.	1,090.
i	1,821.	1,681.	140.
j	382.	268.	114.
k	1,527.	1,009.	518.
l	1,790.	1,203.	587.
m	2,455.	1,991.	464.
n	743.	574.	169.
o	1,592.	1,151.	441.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			198.
b			316.
c			258.
d			315.
e			233.
f			843.
g			-66.
h			1,090.
i			140.
j			114.
k			518.
l			587.
m			464.
n			169.
o			441.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	13 EDWARDS LIFESCIENCES	P	05/24/17	10/24/18
b	156 BANK OF AMERICA CORP	P	08/06/15	10/24/18
c	11 ADOBE INC	P	07/19/16	10/24/18
d	1 AETNA INC	P	10/17/14	10/24/18
e	11 AETNA INC	P	07/14/15	10/24/18
f	28 AMERICAN ELECTRIC POWER	P	10/17/14	10/24/18
g	29 AMERICAN ELECTRIC POWER	P	02/17/15	10/24/18
h	2 APPLE INC	P	01/07/15	10/24/18
i	30 APPLE INC	P	03/10/15	10/24/18
j	41 CROWN CASTLE INTL CORP	P	10/23/17	10/15/18
k	26 CROWN CASTLE INTL CORP	P	11/21/17	10/15/18
l	19 CROWN CASTLE INTL CORP	P	03/01/18	10/15/18
m	46 CROWN CASTLE INTL CORP	P	10/04/17	10/15/18
n	50 VERIZON COMMUNICATIONS INC	P	05/22/18	10/12/18
o	39 INTEL CORP	P	10/10/16	10/12/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,825.	1,480.	345.
b	4,086.	2,787.	1,299.
c	2,623.	1,076.	1,547.
d	195.	75.	120.
e	2,148.	1,260.	888.
f	2,116.	1,521.	595.
g	2,191.	1,675.	516.
h	430.	215.	215.
i	6,453.	3,752.	2,701.
j	4,347.	4,260.	87.
k	2,757.	2,898.	-141.
l	2,015.	2,017.	-2.
m	4,877.	4,503.	374.
n	2,668.	2,439.	229.
o	1,756.	1,485.	271.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			345.
b			1,299.
c			1,547.
d			120.
e			888.
f			595.
g			516.
h			215.
i			2,701.
j			87.
k			-141.
l			-2.
m			374.
n			229.
o			271.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 PROGRESSIVE CORP	P	07/21/16	10/12/18
b	17 PROGRESSIVE CORP	P	07/29/16	10/12/18
c	53 BANK OF AMERICA CORP	P	07/14/15	10/12/18
d	64 BANK OF AMERICA CORP	P	08/06/15	10/12/18
e	17 AETNA INC	P	10/17/14	10/12/18
f	GARRETT MOTION INC	P	10/09/18	10/09/18
g	88 EBAY INC	P	08/13/15	10/02/18
h	2 EBAY INC	P	09/29/14	10/01/18
i	57 EBAY INC	P	01/30/15	10/01/18
j	29 EBAY INC	P	07/14/15	10/01/18
k	47 EBAY INC	P	08/13/15	10/01/18
l	11 S&P GLOBAL INC	P	07/06/17	09/28/18
m	8 MARATHON PETROLEUM CORP	P	05/11/17	09/28/18
n	56 MARATHON PETROLEUM CORP	P	05/24/17	09/28/18
o	9 MARATHON PETROLEUM CORP	P	06/01/17	09/28/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,678.		818.	860.
b 1,141.		554.	587.
c 1,526.		904.	622.
d 1,842.		1,143.	699.
e 3,405.		1,270.	2,135.
f 8.			8.
g 2,862.		2,469.	393.
h 65.		44.	21.
i 1,866.		1,287.	579.
j 949.		779.	170.
k 1,539.		1,319.	220.
l 2,171.		1,617.	554.
m 653.		420.	233.
n 4,571.		2,969.	1,602.
o 735.		477.	258.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			860.
b			587.
c			622.
d			699.
e			2,135.
f			8.
g			393.
h			21.
i			579.
j			170.
k			220.
l			554.
m			233.
n			1,602.
o			258.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	23 XILINK INC	P	04/15/16	09/28/18
b	7 CIGNA CORP	P	08/17/16	09/28/18
c	14 CIGNA CORP	P	08/18/16	09/28/18
d	16 NORFOLK SOUTHERN CORP	P	02/27/17	09/28/18
e	22 BANK OF AMERICA CORP	P	02/14/13	09/28/18
f	87 BANK OF AMERICA CORP	P	07/14/15	09/28/18
g	8 ANTHEM INC	P	03/06/18	09/20/18
h	8 BERKSHIRE HATHAWAY INC-CL B	P	07/19/18	09/20/18
i	4 COGNIZANT TECH SOLUTIONS-A	P	04/05/18	09/20/18
j	17 COGNIZANT TECH SOLUTIONS-A	P	04/06/18	09/20/18
k	7 CONSTELLATION BRANDS INC-A	P	05/11/18	09/20/18
l	23 CHEVRON CORP	P	01/11/18	09/20/18
m	28 VERIZON COMMUNICATIONS INC	P	05/22/18	09/20/18
n	16 TOTAL SYSTEM SERVICES INC	P	02/23/18	09/20/18
o	47 CITIGROUP INC	P	01/11/18	09/20/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,844.		1,063.	781.
b 1,442.		925.	517.
c 2,884.		1,867.	1,017.
d 2,892.		1,929.	963.
e 652.		268.	384.
f 2,579.		1,485.	1,094.
g 2,192.		1,851.	341.
h 1,779.		1,588.	191.
i 309.		323.	-14.
j 1,315.		1,353.	-38.
k 1,535.		1,541.	-6.
l 2,746.		3,049.	-303.
m 1,511.		1,366.	145.
n 1,559.		1,414.	145.
o 3,521.		3,545.	-24.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			781.
b			517.
c			1,017.
d			963.
e			384.
f			1,094.
g			341.
h			191.
i			-14.
j			-38.
k			-6.
l			-303.
m			145.
n			145.
o			-24.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	18 CROWN CASTLE INTL CORP	P	10/04/17	09/20/18
b	9 S&P GLOBAL INC	P	07/06/17	09/20/18
c	66 XEROX CORP	P	02/03/14	09/20/18
d	38 MARATHON PETROLEUM CORP	P	05/11/17	09/20/18
e	18 MEDTRONIC PLC	P	05/24/17	09/20/18
f	86 HP INC	P	08/26/13	09/20/18
g	2442.27 AB LIMITED DURATION HIGH INCOME PORT- ADV	P	01/27/14	09/20/18
h	22 FACEBOOK INC-A	P	07/14/15	09/20/18
i	21 SYNCHRONY FINANCIAL	P	02/05/16	09/20/18
j	32 SYNCHRONY FINANCIAL	P	02/24/16	09/20/18
k	365.95 ALLIANCEBERNSTEIN ALL MARKET R CLASS 1	P	01/27/14	09/20/18
l	10 VISA INC - CLASS A SHARES	P	09/17/13	09/20/18
m	17 VISA INC - CLASS A SHARES	P	10/02/13	09/20/18
n	8965.159 AB GLOBAL BOND FUND- ADV	P	01/27/14	09/20/18
o	19 MERCK & CO. INC.	P	06/08/16	09/20/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,022.		1,825.	197.
b 1,919.		1,323.	596.
c 1,884.		1,855.	29.
d 3,131.		1,996.	1,135.
e 1,754.		1,521.	233.
f 2,214.		878.	1,336.
g 24,960.		25,888.	-928.
h 3,640.		1,989.	1,651.
i 708.		547.	161.
j 1,079.		868.	211.
k 3,235.		3,835.	-600.
l 1,491.		474.	1,017.
m 2,535.		816.	1,719.
n 73,335.		74,500.	-1,165.
o 1,344.		1,094.	250.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			197.
b			596.
c			29.
d			1,135.
e			233.
f			1,336.
g			-928.
h			1,651.
i			161.
j			211.
k			-600.
l			1,017.
m			1,719.
n			-1,165.
o			250.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	13 MERCK & CO. INC.	P	05/25/17	09/20/18
b	17 DELTA AIR LINES INC	P	12/24/14	09/20/18
c	10 DELTA AIR LINES INC	P	07/14/15	09/20/18
d	7 UNITEDHEALTH GROUP INC	P	08/26/15	09/20/18
e	30 WALMART INC	P	06/08/17	09/20/18
f	33 WELLS FARGO & COMPANY	P	07/14/15	09/20/18
g	19 XILINX INC	P	04/15/16	09/20/18
h	42 COMCAST CORP-CLASS A	P	10/18/12	09/20/18
i	5045.098 BERNSTEIN INTERMEDIATE DURATION PORTFOLI	P	12/14/10	09/20/18
j	20 TJX COMPANIES INC	P	05/24/17	09/20/18
k	9 TEXAS INSTRUMENTS INC	P	10/10/16	09/20/18
l	8 TEXAS INSTRUMENTS INC	P	05/24/17	09/20/18
m	47 CISCO SYSTEMS INC	P	09/21/16	09/20/18
n	7 GILEAD SCIENCES INC	P	06/18/13	09/20/18
o	17 GILEAD SCIENCES INC	P	04/24/14	09/20/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 920.		842.	78.
b 991.		817.	174.
c 583.		431.	152.
d 1,867.		783.	1,084.
e 2,867.		2,363.	504.
f 1,835.		1,881.	-46.
g 1,499.		878.	621.
h 1,586.		783.	803.
i 64,325.		68,613.	-4,288.
j 2,186.		1,484.	702.
k 975.		632.	343.
l 866.		639.	227.
m 2,237.		1,465.	772.
n 522.		359.	163.
o 1,269.		1,250.	19.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			78.
b			174.
c			152.
d			1,084.
e			504.
f			-46.
g			621.
h			803.
i			-4,288.
j			702.
k			343.
l			227.
m			772.
n			163.
o			19.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 6 GOLDMAN SACHS GROUP INC	P	02/23/17	09/20/18
b 5 GOLDMAN SACHS GROUP INC	P	03/15/17	09/20/18
c 13 HOME DEPOT INC	P	01/09/14	09/20/18
d 3 HONEYWELL INTERNATIONAL INC	P	04/29/16	09/20/18
e 6 HONEYWELL INTERNATIONAL INC	P	05/16/16	09/20/18
f 29 INTEL CORP	P	05/13/16	09/20/18
g 10 INTEL CORP	P	10/10/16	09/20/18
h 8 JPMORGAN CHASE & CO	P	02/15/17	09/20/18
i 15 JPMORGAN CHASE & CO	P	02/24/17	09/20/18
j 34 MAGNA INTERNATIONAL INC	P	08/06/15	09/20/18
k 29 MICROSOFT CORP	P	04/30/15	09/20/18
l 24 NIKE INC -CL B	P	07/14/15	09/20/18
m 11 NIKE INC -CL B	P	06/06/16	09/20/18
n 8 NORFOLK SOUTHERN CORP	P	02/27/17	09/20/18
o 5 NORTHROP GRUMMAN CORP	P	06/10/15	09/20/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,425.		1,504.	-79.
b 1,187.		1,245.	-58.
c 2,752.		1,059.	1,693.
d 499.		341.	158.
e 998.		685.	313.
f 1,369.		871.	498.
g 472.		381.	91.
h 951.		723.	228.
i 1,784.		1,350.	434.
j 1,917.		1,868.	49.
k 3,287.		1,424.	1,863.
l 2,050.		1,348.	702.
m 940.		598.	342.
n 1,482.		965.	517.
o 1,493.		792.	701.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-79.
b			-58.
c			1,693.
d			158.
e			313.
f			498.
g			91.
h			228.
i			434.
j			49.
k			1,863.
l			702.
m			342.
n			517.
o			701.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	33 ORACLE CORP	P	07/27/16	09/20/18
b	1 ORACLE CORP	P	07/29/16	09/20/18
c	17 PEPSICO INC	P	05/11/15	09/20/18
d	8 PEPSICO INC	P	06/08/15	09/20/18
e	48 PFIZER INC	P	11/01/12	09/20/18
f	47 PROGRESSIVE CORP	P	07/21/16	09/20/18
g	13 RAYTHEON COMPANY	P	07/27/17	09/20/18
h	29 ROSS STORES INC	P	03/20/17	09/20/18
i	16 EDISON INTERNATIONAL	P	04/22/16	09/20/18
j	10 EDISON INTERNATIONAL	P	10/04/16	09/20/18
k	361.28 AB SMALL CAP CORE PORTFOLIO ADV CL	P	06/09/16	09/20/18
l	2 BOEING CO/THE	P	07/31/17	09/20/18
m	4 BOEING CO/THE	P	08/04/17	09/20/18
n	9 CIGNA CORP	P	08/17/16	09/20/18
o	4 COSTCO WHOLESALE CORP	P	06/01/16	09/20/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,670.		1,348.	322.
b 51.		41.	10.
c 1,962.		1,645.	317.
d 923.		746.	177.
e 2,101.		1,177.	924.
f 3,312.		1,538.	1,774.
g 2,583.		2,185.	398.
h 2,799.		1,940.	859.
i 1,085.		1,095.	-10.
j 678.		699.	-21.
k 4,740.		3,602.	1,138.
l 732.		489.	243.
m 1,464.		949.	515.
n 1,811.		1,190.	621.
o 935.		610.	325.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			322.
b			10.
c			317.
d			177.
e			924.
f			1,774.
g			398.
h			859.
i			-10.
j			-21.
k			1,138.
l			243.
m			515.
n			621.
o			325.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6 COSTCO WHOLESALE CORP	P	06/02/16	09/20/18
b	3 ALPHABET INC-CL C	P	08/06/15	09/20/18
c	2 ALPHABET INC-CL C	P	12/12/16	09/20/18
d	5 APPLE INC	P	04/28/14	09/20/18
e	13 APPLE INC	P	01/07/15	09/20/18
f	94 BANK OF AMERICA CORP	P	02/14/13	09/20/18
g	159.847 AB DISCOVERY VALUE FUND- ADV	P	03/26/15	09/20/18
h	74.083 AB DISCOVERY VALUE FUND- ADV	P	05/04/15	09/20/18
i	141.453 AB DISCOVERY GRWTH FUND- ADV	P	12/22/14	09/20/18
j	381.496 AB DISCOVERY GRWTH FUND- ADV	P	03/26/15	09/20/18
k	30 SCHLUMBERGER LTD	P	02/16/16	09/10/18
l	43 SCHLUMBERGER LTD	P	06/01/16	09/10/18
m	35 COGNIZANT TECH SOLUTIONS-A	P	04/05/18	09/07/18
n	21 FACEBOOK INC-A	P	02/09/15	09/07/18
o	4 FACEBOOK INC-A	P	07/14/15	09/07/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,403.		902.	501.
b 3,553.		1,914.	1,639.
c 2,368.		1,576.	792.
d 1,101.		423.	678.
e 2,863.		1,397.	1,466.
f 2,942.		1,146.	1,796.
g 3,929.		3,354.	575.
h 1,821.		1,576.	245.
i 2,034.		1,306.	728.
j 5,486.		3,731.	1,755.
k 1,805.		2,162.	-357.
l 2,587.		3,253.	-666.
m 2,652.		2,825.	-173.
n 3,397.		1,565.	1,832.
o 647.		362.	285.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			501.
b			1,639.
c			792.
d			678.
e			1,466.
f			1,796.
g			575.
h			245.
i			728.
j			1,755.
k			-357.
l			-666.
m			-173.
n			1,832.
o			285.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	58 INTEL CORP	P	05/13/16	09/07/18
b	4 NORTHROP GRUMMAN CORP	P	06/02/15	09/07/18
c	8 NORTHROP GRUMMAN CORP	P	06/10/15	09/07/18
d	19 SCHLUMBERGER LTD	P	10/15/14	09/07/18
e	48 SCHLUMBERGER LTD	P	02/16/16	09/07/18
f	16 VISA INC - CLASS A SHARES	P	08/21/13	09/07/18
g	10 VISA INC - CLASS A SHARES	P	09/17/13	09/07/18
h	6 ALPHABET INC-CL C	P	08/06/15	09/07/18
i	18 COSTCO WHOLESALE CORP	P	06/01/16	09/07/18
j	29 EDWARDS LIFESCIENCES	P	03/17/17	08/17/18
k	3 EDWARDS LIFESCIENCES	P	05/24/17	08/17/18
l	13 INTUITIVE SURGICAL INC	P	01/24/17	08/17/18
m	8 S&P GLOBAL INC	P	07/06/17	08/17/18
n	4 ALPHABET INC-CL C	P	07/14/15	08/16/18
o	2 ALPHABET INC-CL C	P	08/06/15	08/16/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,713.		1,742.	971.
b 1,203.		639.	564.
c 2,406.		1,268.	1,138.
d 1,129.		1,650.	-521.
e 2,853.		3,459.	-606.
f 2,296.		697.	1,599.
g 1,435.		475.	960.
h 6,986.		3,828.	3,158.
i 4,357.		2,743.	1,614.
j 4,025.		2,752.	1,273.
k 416.		342.	74.
l 6,786.		2,865.	3,921.
m 1,621.		1,176.	445.
n 4,870.		2,247.	2,623.
o 2,435.		1,276.	1,159.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			971.
b			564.
c			1,138.
d			-521.
e			-606.
f			1,599.
g			960.
h			3,158.
i			1,614.
j			1,273.
k			74.
l			3,921.
m			445.
n			2,623.
o			1,159.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 INTEL CORP	P	02/24/16	08/16/18
b	45 INTEL CORP	P	05/13/16	08/16/18
c	2 ALPHABET INC-CL C	P	10/15/14	08/01/18
d	2 ALPHABET INC-CL C	P	07/14/15	08/01/18
e	39 FACEBOOK INC-A	P	02/06/15	08/01/18
f	26 VISA INC - CLASS A SHARES	P	08/21/13	08/01/18
g	32 XILINX INC	P	02/02/16	08/01/18
h	16 XILINX INC	P	04/15/16	08/01/18
i	49 CARNIVAL CORP	P	04/11/18	07/24/18
j	33 DOWDUPONT INC	P	09/25/17	07/24/18
k	122.611 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/24/18
l	3 DOWDUPONT INC	P	09/25/17	07/23/18
m	12 CARNIVAL CORP	P	04/06/18	07/23/18
n	26 CARNIVAL CORP	P	04/11/18	07/23/18
o	25 DOWDUPONT INC	P	05/25/17	07/23/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 47.		29.	18.
b 2,130.		1,351.	779.
c 2,431.		1,047.	1,384.
d 2,431.		1,123.	1,308.
e 6,705.		2,925.	3,780.
f 3,588.		1,132.	2,456.
g 2,275.		1,557.	718.
h 1,137.		740.	397.
i 2,840.		3,149.	-309.
j 2,198.		2,302.	-104.
k 1,573.		1,668.	-95.
l 197.		209.	-12.
m 698.		779.	-81.
n 1,512.		1,671.	-159.
o 1,642.		1,519.	123.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			18.
b			779.
c			1,384.
d			1,308.
e			3,780.
f			2,456.
g			718.
h			397.
i			-309.
j			-104.
k			-95.
l			-12.
m			-81.
n			-159.
o			123.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 51 DOWDUPONT INC		P	11/10/16	07/20/18
b 2 DOWDUPONT INC		P	05/25/17	07/20/18
c 5 BOEING CO/THE		P	07/31/17	07/19/18
d 24 CITIGROUP INC		P	01/11/18	07/19/18
e 37 CARNIVAL CORP		P	04/06/18	07/19/18
f 26 DOWDUPONT INC		P	10/20/16	07/19/18
g 26 DOWDUPONT INC		P	10/21/16	07/19/18
h 4 DOWDUPONT INC		P	11/10/16	07/19/18
i 19 TEXAS INSTRUMENTS INC		P	10/10/16	07/19/18
j 40 TYSON FOODS INC-CL A		P	10/03/17	07/12/18
k 29 TYSON FOODS INC-CL A		P	05/15/18	07/12/18
l 51 TYSON FOODS INC-CL A		P	06/07/18	07/12/18
m 22 TYSON FOODS INC-CL A		P	05/05/16	07/12/18
n 56 TYSON FOODS INC-CL A		P	06/10/16	07/12/18
o 1308.394 AB GLOBAL BOND FUND- ADV		P	01/27/14	06/25/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,359.		2,752.	607.
b 132.		122.	10.
c 1,789.		1,222.	567.
d 1,659.		1,810.	-151.
e 2,180.		2,401.	-221.
f 1,710.		1,398.	312.
g 1,710.		1,400.	310.
h 263.		216.	47.
i 2,190.		1,334.	856.
j 2,641.		2,863.	-222.
k 1,915.		1,939.	-24.
l 3,368.		3,559.	-191.
m 1,453.		1,465.	-12.
n 3,698.		3,427.	271.
o 10,755.		10,873.	-118.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			607.
b			10.
c			567.
d			-151.
e			-221.
f			312.
g			310.
h			47.
i			856.
j			-222.
k			-24.
l			-191.
m			-12.
n			271.
o			-118.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1109.424 BERNSTEIN INTERMEDIATE DURATION PORTFOLI	P	12/14/10	06/25/18
b	7 BOEING CO/THE	P	07/31/17	06/15/18
c	66 CITIGROUP INC	P	01/11/18	06/15/18
d	16 MCKESSON CORP	P	07/13/17	06/15/18
e	13 S&P GLOBAL INC	P	07/06/17	06/15/18
f	21 ZOETIS INC	P	08/28/17	06/15/18
g	673.282 AB INTERNATIONAL SMALL CAP PORTFOLIO - AD	P	05/23/17	06/15/18
h	772.377 AB INTERNATIONAL STRATEGIC EQUITIES PORTF	P	05/23/17	06/15/18
i	1746.575 AB LIMITED DURATION HIGH INCOME PORT- AD	P	01/27/14	06/15/18
j	1137.989 ALLIANCEBERNSTEIN ALL MARKET R CLASS 1	P	01/27/14	06/15/18
k	4377.129 AB GLOBAL BOND FUND- ADV	P	01/27/14	06/15/18
l	1362.042 BERNSTEIN INTERMEDIATE DURATION PORTFOLI	P	12/14/10	06/15/18
m	324.59 BERNSTEIN INTERNATIONAL PORTFOLIO	P	10/18/12	06/15/18
n	4 TEXAS INSTRUMENTS INC	P	07/21/16	06/15/18
o	15 TEXAS INSTRUMENTS INC	P	10/10/16	06/15/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,245.		15,088.	-843.
b 2,512.		1,711.	801.
c 4,379.		4,978.	-599.
d 2,405.		2,657.	-252.
e 2,699.		1,911.	788.
f 1,854.		1,294.	560.
g 8,820.		7,864.	956.
h 10,010.		9,153.	857.
i 17,850.		18,514.	-664.
j 10,185.		11,926.	-1,741.
k 35,980.		36,374.	-394.
l 17,475.		18,524.	-1,049.
m 5,940.		4,440.	1,500.
n 461.		260.	201.
o 1,728.		1,053.	675.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-843.
b			801.
c			-599.
d			-252.
e			788.
f			560.
g			956.
h			857.
i			-664.
j			-1,741.
k			-394.
l			-1,049.
m			1,500.
n			201.
o			675.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 13 WELLS FARGO & COMPANY		P	06/20/14	06/15/18
b 29 WELLS FARGO & COMPANY		P	07/14/15	06/15/18
c 7 MICROSOFT CORP		P	03/06/15	06/15/18
d 23 MICROSOFT CORP		P	04/30/15	06/15/18
e 12 NORFOLK SOUTHERN CORP		P	02/16/17	06/15/18
f 1 NORFOLK SOUTHERN CORP		P	02/27/17	06/15/18
g 20 ORACLE CORP		P	09/02/15	06/15/18
h 41 ORACLE CORP		P	07/27/16	06/15/18
i 32 PROCTER & GAMBLE CO/THE		P	03/17/17	06/15/18
j 18 DOWDUPONT INC		P	10/19/16	06/15/18
k 13 DOWDUPONT INC		P	10/20/16	06/15/18
l 9 EDISON INTERNATIONAL		P	08/27/15	06/15/18
m 23 EDISON INTERNATIONAL		P	04/22/16	06/15/18
n 14 EOG RESOURCES INC		P	11/12/15	06/15/18
o 9 FACEBOOK INC-A		P	01/30/15	06/15/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 718.		684.	34.
b 1,602.		1,653.	-51.
c 707.		300.	407.
d 2,323.		1,130.	1,193.
e 1,851.		1,471.	380.
f 154.		121.	33.
g 924.		727.	197.
h 1,894.		1,675.	219.
i 2,460.		2,936.	-476.
j 1,218.		973.	245.
k 879.		699.	180.
l 542.		538.	4.
m 1,385.		1,575.	-190.
n 1,604.		1,149.	455.
o 1,767.		693.	1,074.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			34.
b			-51.
c			407.
d			1,193.
e			380.
f			33.
g			197.
h			219.
i			-476.
j			245.
k			180.
l			4.
m			-190.
n			455.
o			1,074.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 FACEBOOK INC-A	P	02/06/15	06/15/18
b	11 HONEYWELL INTERNATIONAL INC	P	04/29/16	06/15/18
c	3 INTUITIVE SURGICAL INC	P	11/01/16	06/15/18
d	2 INTUITIVE SURGICAL INC	P	01/24/17	06/15/18
e	2 HOME DEPOT INC	P	12/12/13	06/15/18
f	8 HOME DEPOT INC	P	01/09/14	06/15/18
g	4 MCKESSON CORP	P	01/08/15	06/15/18
h	7 MCKESSON CORP	P	07/14/15	06/15/18
i	10 MCKESSON CORP	P	10/24/16	06/15/18
j	14 MCKESSON CORP	P	05/24/17	06/15/18
k	5 AETNA INC	P	06/12/14	06/15/18
l	7 AETNA INC	P	10/17/14	06/15/18
m	125.146 AB DISCOVERY VALUE FUND- ADV	P	12/23/14	06/15/18
n	156.168 AB DISCOVERY VALUE FUND- ADV	P	03/26/15	06/15/18
o	54 ALTRIA GROUP INC	P	09/25/15	06/15/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,964.		750.	1,214.
b 1,648.		1,251.	397.
c 1,476.		668.	808.
d 984.		441.	543.
e 401.		158.	243.
f 1,605.		652.	953.
g 601.		865.	-264.
h 1,052.		1,627.	-575.
i 1,503.		1,623.	-120.
j 2,104.		2,255.	-151.
k 945.		404.	541.
l 1,322.		523.	799.
m 3,047.		2,538.	509.
n 3,803.		3,276.	527.
o 3,115.		2,981.	134.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,214.
b			397.
c			808.
d			543.
e			243.
f			953.
g			-264.
h			-575.
i			-120.
j			-151.
k			541.
l			799.
m			509.
n			527.
o			134.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	69 ALTRIA GROUP INC	P	10/09/15	06/15/18
b	25 ALTRIA GROUP INC	P	10/09/15	06/15/18
c	3 ALTRIA GROUP INC	P	12/08/16	06/15/18
d	276.297 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	06/15/18
e	343.035 AB DISCOVERY GRWTH FUND- ADV	P	10/21/14	06/15/18
f	137.984 AB DISCOVERY GRWTH FUND- ADV	P	12/22/14	06/15/18
g	25 AMERICAN INTERNATIONAL GROUP	P	03/07/16	06/15/18
h	47 AMERICAN INTERNATIONAL GROUP	P	05/24/17	06/15/18
i	2 ALPHABET INC-CL C	P	06/09/14	06/15/18
j	3 ALPHABET INC-CL C	P	10/15/14	06/15/18
k	26 APPLE INC	P	04/28/14	06/15/18
l	79 BANK OF AMERICA CORP	P	02/14/13	06/15/18
m	4 BIOGEN INC	P	01/17/13	06/15/18
n	2 BIOGEN INC	P	03/14/13	06/15/18
o	413.811 AB SMALL CAP CORE PORTFOLIO ADV CL	P	05/23/16	06/15/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,981.		3,935.	46.
b 1,442.		1,426.	16.
c 173.		196.	-23.
d 3,871.		2,650.	1,221.
e 4,806.		3,300.	1,506.
f 1,933.		1,274.	659.
g 1,383.		1,312.	71.
h 2,601.		2,943.	-342.
i 2,295.		1,119.	1,176.
j 3,442.		1,570.	1,872.
k 4,922.		2,199.	2,723.
l 2,315.		963.	1,352.
m 1,224.		529.	695.
n 612.		326.	286.
o 5,363.		3,902.	1,461.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			46.
b			16.
c			-23.
d			1,221.
e			1,506.
f			659.
g			71.
h			-342.
i			1,176.
j			1,872.
k			2,723.
l			1,352.
m			695.
n			286.
o			1,461.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	27.547 AB SMALL CAP CORE PORTFOLIO ADV CL	P	06/09/16	06/15/18
b	47 AMERICAN INTERNATIONAL GROUP	P	02/04/16	06/14/18
c	98 AMERICAN INTERNATIONAL GROUP	P	03/07/16	06/14/18
d	55 ORACLE CORP	P	08/17/15	06/14/18
e	63 ORACLE CORP	P	09/02/15	06/14/18
f	17 ALTRIA GROUP INC	P	08/24/15	06/12/18
g	62 ALTRIA GROUP INC	P	09/25/15	06/12/18
h	194.046 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	06/12/18
i	142.285 AB DISCOVERY GRWTH FUND- ADV	P	01/27/14	06/06/18
j	38 AMERICAN INTERNATIONAL GROUP	P	02/04/16	06/06/18
k	24 MCKESSON CORP	P	01/07/15	06/06/18
l	3 MCKESSON CORP	P	01/08/15	06/06/18
m	10 BIOGEN INC	P	01/17/13	06/05/18
n	2 EOG RESOURCES INC	P	10/09/15	06/05/18
o	17 EOG RESOURCES INC	P	11/12/15	06/05/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 357.		275.	82.
b 2,576.		2,553.	23.
c 5,371.		5,143.	228.
d 2,552.		2,180.	372.
e 2,923.		2,289.	634.
f 986.		896.	90.
g 3,595.		3,423.	172.
h 2,705.		1,861.	844.
i 1,955.		1,365.	590.
j 2,049.		2,064.	-15.
k 3,486.		5,113.	-1,627.
l 436.		649.	-213.
m 2,983.		1,324.	1,659.
n 232.		176.	56.
o 1,976.		1,395.	581.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			82.
b			23.
c			228.
d			372.
e			634.
f			90.
g			172.
h			844.
i			590.
j			-15.
k			-1,627.
l			-213.
m			1,659.
n			56.
o			581.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 15 EDWARDS LIFESCIENCES		P	12/16/16	06/05/18
b 12 EDWARDS LIFESCIENCES		P	03/17/17	06/05/18
c 32 MERCK & CO. INC.		P	01/27/14	06/05/18
d 34 MERCK & CO. INC.		P	02/12/15	06/05/18
e 28 MERCK & CO. INC.		P	06/08/16	06/05/18
f 26 CDW CORP/DE		P	06/12/17	05/23/18
g 17 CDW CORP/DE		P	06/08/17	05/22/18
h 6 CDW CORP/DE		P	06/12/17	05/22/18
i 8 JOHNSON & JOHNSON		P	09/28/17	05/22/18
j 14 JOHNSON & JOHNSON		P	10/23/17	05/22/18
k 28 CDW CORP/DE		P	06/08/17	05/21/18
l 18 JOHNSON & JOHNSON		P	09/25/17	05/21/18
m 15 JOHNSON & JOHNSON		P	09/28/17	05/21/18
n 25 PROCTER & GAMBLE CO/THE		P	03/17/17	05/21/18
o 19 JOHNSON & JOHNSON		P	02/14/17	05/21/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,128.		1,372.	756.
b 1,702.		1,139.	563.
c 1,977.		1,704.	273.
d 2,100.		1,999.	101.
e 1,730.		1,612.	118.
f 2,062.		1,578.	484.
g 1,346.		1,062.	284.
h 475.		364.	111.
i 987.		1,040.	-53.
j 1,727.		2,016.	-289.
k 2,229.		1,750.	479.
l 2,238.		2,367.	-129.
m 1,865.		1,949.	-84.
n 1,841.		2,293.	-452.
o 2,362.		2,201.	161.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			756.
b			563.
c			273.
d			101.
e			118.
f			484.
g			284.
h			111.
i			-53.
j			-289.
k			479.
l			-129.
m			-84.
n			-452.
o			161.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 15 CERNER CORP		P	08/30/17	05/16/18
b 42 CERNER CORP		P	09/22/17	05/16/18
c 31 CBS CORP-CLASS B NON VOTING		P	06/22/17	05/14/18
d 15 NORFOLK SOUTHERN CORP		P	02/15/17	05/14/18
e 4 NORFOLK SOUTHERN CORP		P	02/16/17	05/14/18
f 45 CBS CORP-CLASS B NON VOTING		P	06/22/17	05/11/18
g 36 CERNER CORP		P	08/30/17	05/11/18
h 25 TEXAS INSTRUMENTS INC		P	07/21/16	05/11/18
i 17 APPLE INC		P	01/27/14	05/11/18
j 4 APPLE INC		P	04/28/14	05/11/18
k 8 CBS CORP-CLASS B NON VOTING		P	02/28/17	05/11/18
l 1 CBS CORP-CLASS B NON VOTING		P	02/06/17	05/08/18
m 59 CBS CORP-CLASS B NON VOTING		P	02/06/17	05/08/18
n 29 CBS CORP-CLASS B NON VOTING		P	02/28/17	05/08/18
o 3 CBS CORP-CLASS B NON VOTING		P	02/28/17	05/08/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 886.		984.	-98.
b 2,480.		2,906.	-426.
c 1,650.		1,949.	-299.
d 2,271.		1,841.	430.
e 606.		490.	116.
f 2,355.		2,829.	-474.
g 2,187.		2,361.	-174.
h 2,732.		1,625.	1,107.
i 3,201.		1,335.	1,866.
j 753.		338.	415.
k 419.		528.	-109.
l 52.		65.	-13.
m 3,048.		3,816.	-768.
n 1,498.		1,912.	-414.
o 155.		198.	-43.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-98.
b			-426.
c			-299.
d			430.
e			116.
f			-474.
g			-174.
h			1,107.
i			1,866.
j			415.
k			-109.
l			-13.
m			-768.
n			-414.
o			-43.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6 BOEING CO/THE	P	07/31/17	05/02/18
b	105 DEVON ENERGY CORPORATION	P	07/13/17	05/02/18
c	18 APPLE INC	P	01/27/14	05/02/18
d	17 JOHNSON & JOHNSON	P	07/14/15	05/02/18
e	16 JOHNSON & JOHNSON	P	10/28/16	05/02/18
f	12 JOHNSON & JOHNSON	P	02/14/17	05/02/18
g	144.389 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	04/24/18
h	19 APPLE INC	P	01/27/14	04/19/18
i	7 QUEST DIAGNOSTICS INC	P	05/11/17	04/18/18
j	39 QUEST DIAGNOSTICS INC	P	07/27/17	04/18/18
k	43 QUEST DIAGNOSTICS INC	P	12/12/17	04/18/18
l	8 MARATHON PETROLEUM CORP	P	05/08/17	04/18/18
m	19 MARATHON PETROLEUM CORP	P	05/11/17	04/18/18
n	19 NORFOLK SOUTHERN CORP	P	02/15/17	04/18/18
o	35 DOWDUPONT INC	P	10/19/16	04/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,969.		1,467.	502.
b 4,030.		3,264.	766.
c 3,197.		1,414.	1,783.
d 2,106.		1,688.	418.
e 1,982.		1,841.	141.
f 1,487.		1,390.	97.
g 1,854.		1,964.	-110.
h 3,320.		1,492.	1,828.
i 706.		753.	-47.
j 3,934.		4,253.	-319.
k 4,337.		4,191.	146.
l 635.		409.	226.
m 1,508.		998.	510.
n 2,638.		2,331.	307.
o 2,381.		1,891.	490.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			502.
b			766.
c			1,783.
d			418.
e			141.
f			97.
g			-110.
h			1,828.
i			-47.
j			-319.
k			146.
l			226.
m			510.
n			307.
o			490.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	31 MERCK & CO. INC.	P	01/27/14	04/18/18
b	29 QUEST DIAGNOSTICS INC	P	05/09/17	04/17/18
c	19 QUEST DIAGNOSTICS INC	P	05/11/17	04/17/18
d	32 EATON CORP PLC	P	09/23/16	04/10/18
e	24 EATON CORP PLC	P	09/29/16	04/10/18
f	46 EATON CORP PLC	P	10/04/16	04/10/18
g	28 EATON CORP PLC	P	10/10/16	04/10/18
h	21 EATON CORP PLC	P	11/14/16	04/10/18
i	24 EATON CORP PLC	P	09/15/16	04/10/18
j	35 CERNER CORP	P	08/30/17	04/09/18
k	65 CERNER CORP	P	08/30/17	04/06/18
l	9 CIGNA CORP	P	08/16/16	04/05/18
m	10 CIGNA CORP	P	08/17/16	04/05/18
n	17 AETNA INC	P	06/12/14	04/04/18
o	16 CIGNA CORP	P	08/16/16	04/04/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,823.		1,651.	172.
b 2,920.		3,112.	-192.
c 1,913.		2,045.	-132.
d 2,490.		2,028.	462.
e 1,867.		1,553.	314.
f 3,579.		2,853.	726.
g 2,179.		1,811.	368.
h 1,634.		1,418.	216.
i 1,867.		956.	911.
j 1,995.		2,295.	-300.
k 3,717.		4,263.	-546.
l 1,524.		1,188.	336.
m 1,693.		1,322.	371.
n 2,887.		1,374.	1,513.
o 2,691.		2,112.	579.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			172.
b			-192.
c			-132.
d			462.
e			314.
f			726.
g			368.
h			216.
i			911.
j			-300.
k			-546.
l			336.
m			371.
n			1,513.
o			579.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	}	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 16 AETNA INC		P	06/12/14	04/02/18
b 11 APPLE INC		P	01/27/14	04/02/18
c 3 HESS CORP		P	04/29/16	02/28/18
d 42 HESS CORP		P	08/04/16	02/28/18
e 43 HESS CORP		P	08/05/16	02/28/18
f 31 HESS CORP		P	04/18/16	02/27/18
g 29 HESS CORP		P	04/29/16	02/27/18
h 37 DEVON ENERGY CORPORATION		P	04/07/17	02/23/18
i 62 DEVON ENERGY CORPORATION		P	07/13/17	02/23/18
j 58 DEVON ENERGY CORPORATION		P	11/29/16	02/23/18
k 20 HESS CORP		P	03/14/16	02/23/18
l 26 HESS CORP		P	03/22/16	02/23/18
m 4 HESS CORP		P	04/18/16	02/23/18
n 270 HP INC		P	10/23/12	02/23/18
o 29 HP INC		P	08/26/13	02/23/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,689.		1,293.	1,396.
b 1,848.		864.	984.
c 139.		178.	-39.
d 1,953.		2,273.	-320.
e 1,999.		2,330.	-331.
f 1,463.		1,829.	-366.
g 1,368.		1,721.	-353.
h 1,140.		1,584.	-444.
i 1,911.		1,927.	-16.
j 1,787.		2,441.	-654.
k 953.		999.	-46.
l 1,239.		1,407.	-168.
m 191.		236.	-45.
n 6,098.		1,769.	4,329.
o 655.		296.	359.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,396.
b			984.
c			-39.
d			-320.
e			-331.
f			-366.
g			-353.
h			-444.
i			-16.
j			-654.
k			-46.
l			-168.
m			-45.
n			4,329.
o			359.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 17 TEXAS INSTRUMENTS INC		P	07/21/16	02/23/18
b 7 JOHNSON & JOHNSON		P	01/27/14	02/22/18
c 6 JOHNSON & JOHNSON		P	07/14/15	02/22/18
d 9 EDISON INTERNATIONAL		P	06/26/15	02/21/18
e 26 EDISON INTERNATIONAL		P	07/15/15	02/21/18
f 1 EDISON INTERNATIONAL		P	07/16/15	02/21/18
g 39 EDISON INTERNATIONAL		P	08/27/15	02/21/18
h 46 JOHNSON & JOHNSON		P	01/27/14	02/21/18
i 39 JOHNSON & JOHNSON		P	01/27/14	02/20/18
j 2 PRICELINE GROUP INC/THE		P	12/05/14	02/20/18
k 136 INTEL CORP		P	02/24/16	02/16/18
l 3 PRICELINE GROUP INC/THE		P	05/24/13	02/16/18
m 22 ALLSTATE CORP		P	05/24/17	02/05/18
n 7 ALLSTATE CORP		P	05/24/17	02/02/18
o 20 ALLSTATE CORP		P	05/28/15	02/02/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,789.		1,105.	684.
b 912.		632.	280.
c 781.		596.	185.
d 538.		505.	33.
e 1,553.		1,508.	45.
f 60.		59.	1.
g 2,330.		2,333.	-3.
h 6,015.		4,153.	1,862.
i 5,128.		3,521.	1,607.
j 3,800.		2,273.	1,527.
k 6,271.		3,911.	2,360.
l 5,651.		2,405.	3,246.
m 2,158.		1,886.	272.
n 691.		600.	91.
o 1,974.		1,355.	619.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			684.
b			280.
c			185.
d			33.
e			45.
f			1.
g			-3.
h			1,862.
i			1,607.
j			1,527.
k			2,360.
l			3,246.
m			272.
n			91.
o			619.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	39 ALLSTATE CORP	P	08/17/15	02/02/18
b	12 ALLSTATE CORP	P	02/20/15	02/01/18
c	50 ALLSTATE CORP	P	05/28/15	02/01/18
d	111.054 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	01/24/18
e	22 KIMBERLY-CLARK CORP	P	07/10/17	01/18/18
f	54 TYSON FOODS INC-CL A	P	03/10/16	01/18/18
g	23 TYSON FOODS INC-CL A	P	05/05/16	01/18/18
h	1 TYSON FOODS INC-CL A	P	05/05/16	01/18/18
i	8 KIMBERLY-CLARK CORP	P	06/23/17	01/17/18
j	8 KIMBERLY-CLARK CORP	P	07/10/17	01/17/18
k	16 KIMBERLY-CLARK CORP	P	06/23/17	01/16/18
l	17 KIMBERLY-CLARK CORP	P	06/23/17	01/12/18
m	17 US BANCORP	P	02/28/14	01/12/18
n	178 US BANCORP	P	01/08/15	01/12/18
o	54 US BANCORP	P	07/14/15	01/12/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,850.		2,493.	1,357.
b 1,184.		854.	330.
c 4,934.		3,387.	1,547.
d 1,453.		1,510.	-57.
e 2,540.		2,801.	-261.
f 4,248.		3,583.	665.
g 1,810.		1,532.	278.
h 79.		67.	12.
i 933.		1,060.	-127.
j 933.		1,018.	-85.
k 1,826.		2,120.	-294.
l 1,917.		2,253.	-336.
m 968.		702.	266.
n 10,138.		7,831.	2,307.
o 3,076.		2,358.	718.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,357.
b			330.
c			1,547.
d			-57.
e			-261.
f			665.
g			278.
h			12.
i			-127.
j			-85.
k			-294.
l			-336.
m			266.
n			2,307.
o			718.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	14 L3 TECHNOLOGIES INC	P	02/27/17	01/10/18
b	12 L3 TECHNOLOGIES INC	P	05/24/17	01/10/18
c	4 ROSS STORES INC	P	03/20/17	01/10/18
d	40 OSHKOSH CORP	P	09/06/16	01/10/18
e	32 OSHKOSH CORP	P	10/04/16	01/10/18
f	32 OSHKOSH CORP	P	10/11/16	01/10/18
g	27 ROSS STORES INC	P	10/06/15	01/10/18
h	33 FISERV INC	P	09/05/14	01/10/18
i	25 FISERV INC	P	10/01/14	01/10/18
j	25 FISERV INC	P	10/17/14	01/10/18
k	18 FISERV INC	P	07/14/15	01/10/18
l	12 L3 TECHNOLOGIES INC	P	08/25/15	01/10/18
m	AB DISCOVERY GRWTH FUND- AD LONG TERM CAPITAL GAI	P		12/13/18
n	AB INTERNATIONAL STRATEGIC LONG TERM CAPITAL GAIN	P		12/14/18
o	AB INTERNATIONAL SMALL CAP LONG TERM CAPITAL GAIN	P		12/14/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,872.		2,365.	507.
b 2,462.		1,974.	488.
c 322.		268.	54.
d 3,624.		2,208.	1,416.
e 2,900.		1,784.	1,116.
f 2,900.		1,722.	1,178.
g 2,176.		1,302.	874.
h 4,514.		2,162.	2,352.
i 3,420.		1,606.	1,814.
j 3,420.		1,561.	1,859.
k 2,462.		1,573.	889.
l 2,462.		1,280.	1,182.
m 6,472.			6,472.
n 4,747.			4,747.
o 7,340.			7,340.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			507.
b			488.
c			54.
d			1,416.
e			1,116.
f			1,178.
g			874.
h			2,352.
i			1,814.
j			1,859.
k			889.
l			1,182.
m			6,472.
n			4,747.
o			7,340.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AB SMALL CAP CORE PORTFOLIO LONG TERM CAPITAL GAI	P		12/14/18
b	AB SMALL CAP CORE PORTFOLIO LONG TERM CAPITAL GAI	P		12/14/18
c	BERNSTEIN INTERNATIONAL PORT LONG TERM CAPITAL GA	P		12/14/18
d	AB EMERGING MARKETS PORT LONG TERM CAPITAL GAINS	P		12/14/18
e	AB DISCOVERY VALUE-ADV LONG TERM CAPITAL GAINS	P		12/17/18
f	BAIRD MIDCAP FUND INSTL CL SHS	P		12/27/18
g	50,000 APPLE INC SR UNSECD NT DTD 02/23/16 1.300%	P	09/07/17	02/23/18
h	655.133 ARBITRAGE FUND CL I	P	01/26/18	07/18/18
i	1,588.491 ARBITRAGE FUND CL I	P	08/30/16	07/18/18
j	50,000 BERKSHIRE HATHAWAY INC DEL SR UNSECD NT DT	P	06/08/17	02/09/18
k	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND INSTL CL	P		12/20/18
l	50,000 CITIGROUP INC UNSECD SR NT DTD 04/27/15 1.	P	07/24/15	04/27/18
m	3,884.573 CMG ULTRA SHORT TERM BOND FUND	P	02/10/17	01/26/18
n	50,000 EOG RES INC SR UNSECD NT CALL 01/01/25 @10	P	03/25/15	03/20/18
o	NEUBERGER BERMAN LONG SHORT FUND INSTL CL	P		12/24/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,146.			3,146.
b 72.			72.
c 6,452.			6,452.
d 3,201.			3,201.
e 4,230.			4,230.
f 3,019.			3,019.
g 50,000.		50,002.	-2.
h 8,556.		8,615.	-59.
i 20,746.		20,905.	-159.
j 50,000.		50,079.	-79.
k 104.			104.
l 50,000.		50,000.	0.
m 34,961.		35,000.	-39.
n 48,147.		51,433.	-3,286.
o 1,491.			1,491.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,146.
b			72.
c			6,452.
d			3,201.
e			4,230.
f			3,019.
g			-2.
h			-59.
i			-159.
j			-79.
k			104.
l			0.
m			-39.
n			-3,286.
o			1,491.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1,194.076 PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z	P	08/30/16	01/26/18
b	BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL	P		12/13/18
c	450 VANGUARD REIT ETF	P	08/30/16	01/26/18
d	50,000 WAL-MART STORES INC SR UNSECD NT DTD 10/02	P	07/23/15	12/15/18
e	5,194.805 WELLS FARGO ULTRA SHORT TERM INCOME FUN	P	01/26/18	03/20/18
f	4 ACCENTURE PLC CL A COM IRELAND	P	10/10/17	12/18/18
g	30 COCA COLA EUROPEAN PARTNERS PLC COM UNITED KIN	P	08/23/17	08/08/18
h	7 COCA COLA EUROPEAN PARTNERS PLC COM UNITED KING	P	08/23/17	08/07/18
i	23 COCA COLA EUROPEAN PARTNERS PLC COM UNITED KIN	P	08/22/17	08/07/18
j	10 INGERSOLL-RAND CO LTD COM IRELAND	P	07/11/17	12/18/18
k	8 MEDTRONIC PLC COM IRELAND	P	09/04/18	12/18/18
l	1 MEDTRONIC PLC COM IRELAND	P	01/26/15	12/18/18
m	15 CHUBB LTD COM SWITZERLAND	P	06/29/15	12/18/18
n	2 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLAN	P	09/04/18	12/18/18
o	5 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLAN	P	08/07/18	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 29,064.		29,983.	-919.
b 1,297.			1,297.
c 35,698.		39,416.	-3,718.
d 50,000.		50,615.	-615.
e 43,844.		44,000.	-156.
f 610.		550.	60.
g 1,218.		1,308.	-90.
h 285.		305.	-20.
i 935.		1,010.	-75.
j 946.		931.	15.
k 736.		763.	-27.
l 92.		76.	16.
m 1,889.		1,538.	351.
n 165.		222.	-57.
o 413.		569.	-156.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-919.
b			1,297.
c			-3,718.
d			-615.
e			-156.
f			60.
g			-90.
h			-20.
i			-75.
j			15.
k			-27.
l			16.
m			351.
n			-57.
o			-156.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	29 AT&T INC COM	P	07/11/17	12/18/18
b	45.909 AT&T INC COM	P	07/11/17	10/30/18
c	36.091 AT&T INC COM	P	02/07/17	10/30/18
d	0.909 AT&T INC COM	P	02/07/17	08/03/18
e	48 AT&T INC COM	P	02/07/17	06/26/18
f	59 AT&T INC COM	P	01/24/17	06/26/18
g	6 ABBVIE INC COM	P	05/05/16	04/17/18
h	43 ABBVIE INC COM	P	11/12/15	04/17/18
i	29 ABBVIE INC COM	P	05/05/16	02/20/18
j	17 ALTRIA GROUP INC COM	P	04/24/13	12/18/18
k	1 ALTRIA GROUP INC COM	P	01/07/13	12/18/18
l	10 AMEREN CORP COM	P	08/21/18	12/18/18
m	2 AMEREN CORP COM	P	04/18/18	12/18/18
n	10 AMERICAN ELEC PWR INC COM	P	09/05/17	12/18/18
o	12 AMERICAN TOWER CORP COM	P	12/13/17	02/20/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 864.		1,063.	-199.
b 1,395.		1,682.	-287.
c 1,097.		1,479.	-382.
d 29.		37.	-8.
e 1,509.		1,967.	-458.
f 1,855.		2,435.	-580.
g 559.		369.	190.
h 4,005.		2,625.	1,380.
i 3,458.		1,785.	1,673.
j 866.		607.	259.
k 51.		32.	19.
l 675.		641.	34.
m 135.		114.	21.
n 774.		739.	35.
o 1,675.		1,731.	-56.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-199.
b			-287.
c			-382.
d			-8.
e			-458.
f			-580.
g			190.
h			1,380.
i			1,673.
j			259.
k			19.
l			34.
m			21.
n			35.
o			-56.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 19 APPLE INC COM		P	02/06/14	12/18/18
b 7 AUTOMATIC DATA PROCESSING INC COM		P	01/07/13	12/18/18
c 6 AUTOMATIC DATA PROCESSING INC COM		P	01/07/13	08/21/18
d 13 AUTOMATIC DATA PROCESSING INC COM		P	01/07/13	08/07/18
e 6 AUTOMATIC DATA PROCESSING INC COM		P	04/24/13	04/17/18
f 26 BB&T CORP COM		P	08/21/18	12/18/18
g 8 BANK NEW YORK MELLON CORP COM		P	06/27/18	12/18/18
h 9 BANK NEW YORK MELLON CORP COM		P	06/27/18	12/18/18
i 8 BAXTER INTL INC COM		P	12/11/18	12/18/18
j 2 BLACKROCK INC CL A		P	01/07/13	12/18/18
k 1 BLACKROCK INC CL A		P	04/24/13	08/21/18
l 2 BLACKROCK INC CL A		P	01/07/13	08/21/18
m 2 BLACKROCK INC CL A		P	04/24/13	08/07/18
n 4 BOEING CO COM		P	03/21/17	12/18/18
o 4 BOEING CO COM		P	12/13/17	09/04/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,161.		1,392.	1,769.
b 912.		360.	552.
c 858.		309.	549.
d 1,792.		669.	1,123.
e 710.		350.	360.
f 1,150.		1,378.	-228.
g 374.		374.	0.
h 421.		498.	-77.
i 522.		522.	0.
j 768.		435.	333.
k 476.		259.	217.
l 952.		435.	517.
m 977.		517.	460.
n 1,314.		706.	608.
o 1,375.		1,181.	194.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,769.
b			552.
c			549.
d			1,123.
e			360.
f			-228.
g			0.
h			-77.
i			0.
j			333.
k			217.
l			517.
m			460.
n			608.
o			194.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 BRISTOL MYERS SQUIBB CO COM	P	06/29/15	12/18/18
b	3 BROADCOM INC COM	P	01/24/17	12/18/18
c	1 BROADCOM INC COM	P	03/21/17	08/21/18
d	7 BROADCOM INC COM	P	01/24/17	08/21/18
e	2 BROADCOM INC COM	P	10/09/17	07/10/18
f	5 BROADCOM INC COM	P	03/21/17	07/10/18
g	6 CME GROUP INC COM	P	01/07/13	12/18/18
h	10 CME GROUP INC COM	P	01/07/13	08/21/18
i	13 CME GROUP INC COM	P	04/24/13	06/26/18
j	2 CME GROUP INC COM	P	01/07/13	06/26/18
k	6 CME GROUP INC COM	P	04/24/13	04/17/18
l	14 CMS ENERGY CORP COM	P	01/10/17	12/18/18
m	62 CVS HEALTH CORP COM	P	06/24/14	03/07/18
n	79 CA INC COM	P	06/26/18	11/05/18
o	7 CHEVRON CORP COM	P	11/27/18	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 775.		999.	-224.
b 750.		593.	157.
c 211.		218.	-7.
d 1,474.		1,383.	91.
e 502.		492.	10.
f 1,255.		1,088.	167.
g 1,099.		321.	778.
h 1,682.		535.	1,147.
i 2,166.		783.	1,383.
j 333.		107.	226.
k 990.		361.	629.
l 718.		580.	138.
m 4,179.		4,703.	-524.
n 3,516.		2,857.	659.
o 769.		807.	-38.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-224.
b			157.
c			-7.
d			91.
e			10.
f			167.
g			778.
h			1,147.
i			1,383.
j			226.
k			629.
l			138.
m			-524.
n			659.
o			-38.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 CHEVRON CORP COM	P	10/17/17	12/18/18
b	8 CHEVRON CORP COM	P	10/17/17	12/18/18
c	50 CISCO SYS INC COM	P	08/21/18	12/18/18
d	16 CISCO SYS INC COM	P	04/17/18	12/18/18
e	46 COMCAST CORP NEW CL A COM	P	05/05/14	12/18/18
f	11 COMCAST CORP NEW CL A COM	P	11/01/13	12/18/18
g	18 CONOCOPHILLIPS COM	P	07/25/18	12/18/18
h	2 CROWN CASTLE INTL CORP REITS	P	02/20/18	12/18/18
i	3 CROWN CASTLE INTL CORP REITS	P	08/14/15	12/18/18
j	10 CROWN CASTLE INTL CORP REITS	P	02/20/18	04/17/18
k	5 CUMMINS INC COM	P	05/02/17	12/18/18
l	7 DIGITAL RLTY TR INC REITS	P	12/13/16	12/18/18
m	6 DISNEY WALT CO COM DISNEY	P	07/11/17	12/18/18
n	5 DOMINION ENERGY INC COM	P	01/07/13	12/18/18
o	7 DOWDUPONT INC COM	P	08/07/18	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	110.	120.	-10.
b	879.	879.	0.
c	2,206.	2,306.	-100.
d	706.	708.	-2.
e	1,642.	1,200.	442.
f	393.	267.	126.
g	1,115.	1,115.	0.
h	221.	218.	3.
i	332.	257.	75.
j	1,059.	1,092.	-33.
k	657.	801.	-144.
l	763.	674.	89.
m	657.	624.	33.
n	368.	263.	105.
o	366.	479.	-113.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-10.
b			0.
c			-100.
d			-2.
e			442.
f			126.
g			0.
h			3.
i			75.
j			-33.
k			-144.
l			89.
m			33.
n			105.
o			-113.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	9 DOWDUPONT INC COM	P	02/22/17	12/18/18
b	35 DUKE RLTY CORP COM NEW	P	07/25/16	08/21/18
c	25 DUKE RLTY CORP COM NEW	P	07/22/16	08/21/18
d	7 EQUITY LIFESTYLE PPTYS INC REITS	P	08/22/17	07/26/18
e	11 EQUITY LIFESTYLE PPTYS INC REITS	P	08/23/17	07/25/18
f	2 ESSEX PPTY TR INC COM	P	03/26/15	02/21/18
g	7 ESSEX PPTY TR INC COM	P	03/26/15	02/20/18
h	2 EVERSOURCE ENERGY COM	P	08/22/18	12/18/18
i	10 EVERSOURCE ENERGY COM	P	08/21/18	12/18/18
j	22 EXXON MOBIL CORP COM	P	01/07/13	12/18/18
k	43 EXXON MOBIL CORP COM	P	01/07/13	02/20/18
l	0.2 GARRETT MOTION INC COM	P	01/07/13	10/29/18
m	1.3 GARRETT MOTION INC COM	P	04/24/13	10/16/18
n	6.7 GARRETT MOTION INC COM	P	01/07/13	10/16/18
o	7 GENERAL DYNAMICS CORP COM	P	08/17/15	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 471.		573.	-102.
b 988.		964.	24.
c 706.		689.	17.
d 633.		618.	15.
e 998.		980.	18.
f 449.		466.	-17.
g 1,587.		1,632.	-45.
h 134.		126.	8.
i 672.		628.	44.
j 1,587.		1,928.	-341.
k 3,269.		3,768.	-499.
l 3.		1.	2.
m 20.		10.	10.
n 103.		48.	55.
o 1,140.		1,064.	76.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-102.
b			24.
c			17.
d			15.
e			18.
f			-17.
g			-45.
h			8.
i			44.
j			-341.
k			-499.
l			2.
m			10.
n			55.
o			76.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2 GENERAL DYNAMICS CORP COM	P	08/14/15	12/18/18
b	4 GENERAL DYNAMICS CORP COM	P	08/17/15	09/18/18
c	10 GENERAL MLS INC COM	P	06/29/15	03/07/18
d	83 GENERAL MLS INC COM	P	03/25/14	03/07/18
e	16 GENERAL MTRS CO COM	P	04/17/18	12/18/18
f	6 GILEAD SCIENCES INC COM	P	04/17/18	12/18/18
g	4 HERSHEY CO COM	P	10/16/18	12/18/18
h	11 HOME DEPOT INC COM	P	01/07/13	12/18/18
i	9 HOME DEPOT INC COM	P	01/07/13	09/04/18
j	17 HOME DEPOT INC COM	P	01/07/13	04/17/18
k	7 HOME DEPOT INC COM	P	01/07/13	01/23/18
l	13 HONEYWELL INTL INC COM	P	04/24/13	12/18/18
m	2 HONEYWELL INTL INC COM	P	01/07/13	12/18/18
n	29 INTEL CORP COM	P	08/21/18	12/18/18
o	19 INTEL CORP COM	P	04/17/18	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 326.		303.	23.
b 813.		608.	205.
c 510.		565.	-55.
d 4,232.		4,237.	-5.
e 560.		628.	-68.
f 390.		451.	-61.
g 418.		422.	-4.
h 1,875.		692.	1,183.
i 1,830.		566.	1,264.
j 2,970.		1,069.	1,901.
k 1,434.		440.	994.
l 1,759.		931.	828.
m 271.		127.	144.
n 1,384.		1,385.	-1.
o 907.		1,016.	-109.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			23.
b			205.
c			-55.
d			-5.
e			-68.
f			-61.
g			-4.
h			1,183.
i			1,264.
j			1,901.
k			994.
l			828.
m			144.
n			-1.
o			-109.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	9 INTERNATIONAL BUSINESS MACHS COM	P	04/17/18	12/18/18
b	25 INTERNATIONAL PAPER CO COM	P	08/08/17	04/03/18
c	17 J P MORGAN CHASE & CO COM	P	06/26/18	12/18/18
d	4 J P MORGAN CHASE & CO COM	P	01/23/18	12/18/18
e	17 J P MORGAN CHASE & CO COM	P	04/24/13	12/18/18
f	13 JOHNSON & JOHNSON COM	P	09/04/18	12/18/18
g	5 JOHNSON & JOHNSON COM	P	04/17/18	12/18/18
h	17 JOHNSON & JOHNSON COM	P	02/20/18	12/18/18
i	2 KLA-TENCOR CORP COM	P	10/11/17	12/18/18
j	4 KLA-TENCOR CORP COM	P	10/10/17	12/18/18
k	19 KELLOGG CO COM	P	03/07/18	12/18/18
l	6 KIMBERLY CLARK CORP COM	P	01/07/13	12/18/18
m	1 LAM RESEARCH CORP COM	P	11/13/18	12/18/18
n	3 LAM RESEARCH CORP COM	P	11/13/18	12/18/18
o	9 LILLY ELI & CO COM	P	08/08/17	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,051.		1,437.	-386.
b 1,297.		1,352.	-55.
c 1,677.		1,773.	-96.
d 395.		458.	-63.
e 1,677.		831.	846.
f 1,699.		1,737.	-38.
g 654.		647.	7.
h 2,222.		2,235.	-13.
i 180.		209.	-29.
j 360.		360.	0.
k 1,118.		1,317.	-199.
l 681.		487.	194.
m 138.		144.	-6.
n 414.		414.	0.
o 960.		741.	219.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-386.
b			-55.
c			-96.
d			-63.
e			846.
f			-38.
g			7.
h			-13.
i			-29.
j			0.
k			-199.
l			194.
m			-6.
n			0.
o			219.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 4 LOCKHEED MARTIN CORP COM		P	02/07/18	12/18/18
b 2 LOCKHEED MARTIN CORP COM		P	01/10/17	12/18/18
c 1 LOCKHEED MARTIN CORP COM		P	11/20/15	12/18/18
d 5 MARSH & MCLENNAN COS INC COM		P	06/29/15	12/18/18
e 16 MARSH & MCLENNAN COS INC COM		P	04/24/13	12/18/18
f 5 MCDONALDS CORP COM		P	01/07/13	12/18/18
g 6 MCDONALDS CORP COM		P	01/07/13	01/23/18
h 14 MERCK & CO INC NEW COM		P	08/21/18	12/18/18
i 21 MERCK & CO INC NEW COM		P	05/29/13	12/18/18
j 36 MICROSOFT CORP COM		P	01/07/13	12/18/18
k 20 MICROSOFT CORP COM		P	01/07/13	08/21/18
l 19 MICROSOFT CORP COM		P	01/07/13	06/26/18
m 50 MICROSOFT CORP COM		P	04/24/13	04/17/18
n 24 MICROSOFT CORP COM		P	01/07/13	04/17/18
o 5 NEXTERA ENERGY INC COM		P	01/07/13	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,119.		1,392.	-273.
b 560.		517.	43.
c 280.		227.	53.
d 405.		287.	118.
e 1,297.		599.	698.
f 900.		454.	446.
g 1,063.		545.	518.
h 1,041.		972.	69.
i 1,562.		981.	581.
j 3,741.		962.	2,779.
k 2,132.		534.	1,598.
l 1,895.		508.	1,387.
m 4,774.		1,589.	3,185.
n 2,292.		641.	1,651.
o 882.		353.	529.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-273.
b			43.
c			53.
d			118.
e			698.
f			446.
g			518.
h			69.
i			581.
j			2,779.
k			1,598.
l			1,387.
m			3,185.
n			1,651.
o			529.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4 NEXTERA ENERGY INC COM	P	01/07/13	09/18/18
b	3 NORTHERN TR CORP COM	P	11/16/15	04/17/18
c	53 NORTHERN TR CORP COM	P	11/13/15	04/17/18
d	2 PNC FINL SVCS GROUP INC COM	P	06/26/18	12/18/18
e	5 PNC FINL SVCS GROUP INC COM	P	04/24/13	12/18/18
f	4 PNC FINL SVCS GROUP INC COM	P	01/07/13	12/18/18
g	10 PNC FINL SVCS GROUP INC COM	P	06/26/18	08/21/18
h	3 PNC FINL SVCS GROUP INC COM	P	01/23/18	08/21/18
i	3 PACKAGING CORP AMER COM	P	08/07/18	12/18/18
j	4 PARKER HANNIFIN CORP COM	P	09/04/18	12/18/18
k	9 PEPSICO INC COM	P	05/30/17	12/18/18
l	5 PEPSICO INC COM	P	03/26/15	12/18/18
m	43 PFIZER INC COM	P	04/17/18	12/18/18
n	10 PFIZER INC COM	P	01/07/13	12/18/18
o	13 PHILIP MORRIS INTL INC COM	P	01/07/13	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 692.		282.	410.
b 319.		220.	99.
c 5,637.		3,890.	1,747.
d 233.		274.	-41.
e 583.		342.	241.
f 466.		244.	222.
g 1,458.		1,368.	90.
h 437.		469.	-32.
i 261.		336.	-75.
j 595.		699.	-104.
k 1,007.		1,061.	-54.
l 559.		478.	81.
m 1,828.		1,563.	265.
n 425.		260.	165.
o 978.		1,120.	-142.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			410.
b			99.
c			1,747.
d			-41.
e			241.
f			222.
g			90.
h			-32.
i			-75.
j			-104.
k			-54.
l			81.
m			265.
n			165.
o			-142.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	14 PHILIP MORRIS INTL INC COM	P	03/07/18	10/16/18
b	1 PHILIP MORRIS INTL INC COM	P	01/07/13	10/16/18
c	6 PRICE T ROWE GROUP INC COM	P	01/07/13	12/18/18
d	3 PRICE T ROWE GROUP INC COM	P	01/10/17	08/21/18
e	3 PRICE T ROWE GROUP INC COM	P	01/07/13	08/21/18
f	7 PRICE T ROWE GROUP INC COM	P	01/10/17	08/07/18
g	12 PRINCIPAL FINL GROUP INC COM	P	08/21/18	12/18/18
h	6 PROCTER & GAMBLE CO COM	P	03/15/13	12/18/18
i	7 PROCTER & GAMBLE CO COM	P	01/07/13	12/18/18
j	5 PUBLIC STORAGE INC COM (REIT)	P	05/29/13	12/18/18
k	0.667 RESIDEO TECHNOLOGIES INC COM	P	01/07/13	12/27/18
l	2.167 RESIDEO TECHNOLOGIES INC COM	P	04/24/13	11/27/18
m	10.833 RESIDEO TECHNOLOGIES INC COM	P	01/07/13	11/27/18
n	7 SONOCO PRODS CO COM	P	08/21/18	12/18/18
o	3 SONOCO PRODS CO COM	P	07/01/16	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,164.		1,504.	-340.
b 83.		86.	-3.
c 546.		410.	136.
d 350.		221.	129.
e 350.		205.	145.
f 835.		516.	319.
g 525.		679.	-154.
h 554.		462.	92.
i 647.		480.	167.
j 1,021.		786.	235.
k 13.		8.	5.
l 42.		28.	14.
m 211.		124.	87.
n 382.		395.	-13.
o 164.		149.	15.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-340.
b			-3.
c			136.
d			129.
e			145.
f			319.
g			-154.
h			92.
i			167.
j			235.
k			5.
l			14.
m			87.
n			-13.
o			15.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2 SONOCO PRODS CO COM	P	06/30/16	12/18/18
b	8 STANLEY BLACK & DECKER INC COM	P	11/15/16	12/11/18
c	21 STANLEY BLACK & DECKER INC COM	P	10/04/16	12/11/18
d	17 SUNCOR ENERGY INC NEW COM CANADA	P	02/20/18	12/18/18
e	4 SUNCOR ENERGY INC NEW COM CANADA	P	12/13/16	12/18/18
f	33 SUNCOR ENERGY INC NEW COM CANADA	P	02/20/18	08/21/18
g	11 TJX COS INC NEW COM	P	08/14/15	12/18/18
h	2 TJX COS INC NEW COM	P	05/30/17	09/04/18
i	8 TJX COS INC NEW COM	P	08/14/15	09/04/18
j	14 TJX COS INC NEW COM	P	05/30/17	01/23/18
k	5 TEXAS INSTRS INC COM	P	10/09/17	12/18/18
l	15 TEXAS INSTRS INC COM	P	06/25/13	12/18/18
m	5 3M CO COM	P	09/27/16	12/18/18
n	1 3M CO COM	P	09/26/16	12/18/18
o	57 TIME WARNER INC NEW COM	P	03/26/15	06/14/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 109.		98.	11.
b 964.		978.	-14.
c 2,529.		2,584.	-55.
d 492.		592.	-100.
e 116.		133.	-17.
f 1,337.		1,149.	188.
g 486.		388.	98.
h 223.		150.	73.
i 890.		564.	326.
j 1,110.		1,050.	60.
k 473.		457.	16.
l 1,419.		524.	895.
m 974.		882.	92.
n 195.		176.	19.
o 5,729.		4,839.	890.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			11.
b			-14.
c			-55.
d			-100.
e			-17.
f			188.
g			98.
h			73.
i			326.
j			60.
k			16.
l			895.
m			92.
n			19.
o			890.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	20 US BANCORP DEL COM NEW	P	04/17/18	12/18/18
b	8 US BANCORP DEL COM NEW	P	01/23/18	12/18/18
c	13 UNION PAC CORP COM	P	02/07/18	12/18/18
d	3 UNION PAC CORP COM	P	05/16/17	12/18/18
e	25 UNITED TECHNOLOGIES CORP COM	P	07/11/17	02/07/18
f	2 UNITEDHEALTH GROUP INC COM	P	01/29/16	12/18/18
g	5 UNITEDHEALTH GROUP INC COM	P	01/29/16	08/21/18
h	9 UNITEDHEALTH GROUP INC COM	P	01/29/16	04/17/18
i	9 VALERO ENERGY CORP NEW COM	P	11/20/15	12/18/18
j	12 WEC ENERGY GROUP INC COM	P	09/05/17	12/18/18
k	4 WALMART INC COM	P	03/07/18	12/18/18
l	11 WALMART INC COM	P	08/11/14	12/18/18
m	7 WALMART INC COM	P	03/07/18	09/18/18
n	9 WASTE MGMT INC DEL COM	P	06/28/16	12/18/18
o	5 WASTE MGMT INC DEL COM	P	04/24/13	12/18/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 947.		1,033.	-86.
b 379.		459.	-80.
c 1,819.		1,706.	113.
d 420.		333.	87.
e 3,320.		3,087.	233.
f 506.		227.	279.
g 1,309.		567.	742.
h 2,149.		1,020.	1,129.
i 641.		647.	-6.
j 862.		785.	77.
k 364.		352.	12.
l 1,002.		838.	164.
m 665.		616.	49.
n 803.		576.	227.
o 446.		197.	249.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-86.
b			-80.
c			113.
d			87.
e			233.
f			279.
g			742.
h			1,129.
i			-6.
j			77.
k			12.
l			164.
m			49.
n			227.
o			249.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	24 WELLS FARGO & CO NEW COM	P	06/26/18	12/18/18
b	8 WELLS FARGO & CO NEW COM	P	01/23/18	12/18/18
c	12 XCEL ENERGY INC COM	P	08/21/18	12/18/18
d	1 XCEL ENERGY INC COM	P	08/07/18	12/18/18
e	82 IHS MARKIT LTD COM BERMUDA	P	07/12/16	05/30/18
f	7 IHS MARKIT LTD COM BERMUDA	P	07/12/16	05/04/18
g	61 IHS MARKIT LTD COM BERMUDA	P	07/12/16	05/03/18
h	12 MEDTRONIC PLC COM IRELAND	P	12/28/16	06/14/18
i	7 MEDTRONIC PLC COM IRELAND	P	12/28/16	06/07/18
j	10 MEDTRONIC PLC COM IRELAND	P	02/07/15	06/07/18
k	37 MEDTRONIC PLC COM IRELAND	P	01/26/15	06/07/18
l	20 NIELSEN HLDGS PLC COM UNITED KINGDOM	P	05/15/17	04/02/18
m	27 NIELSEN HLDGS PLC COM UNITED KINGDOM	P	05/12/17	04/02/18
n	45 NIELSEN HLDGS PLC COM UNITED KINGDOM	P	05/15/17	03/29/18
o	7 AFFILIATED MANAGERS GROUP COM	P	11/22/16	10/29/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,119.		1,283.	-164.
b 373.		518.	-145.
c 618.		574.	44.
d 52.		47.	5.
e 4,048.		2,696.	1,352.
f 339.		230.	109.
g 2,972.		2,006.	966.
h 1,036.		863.	173.
i 604.		503.	101.
j 863.		744.	119.
k 3,193.		2,800.	393.
l 628.		816.	-188.
m 848.		1,092.	-244.
n 1,436.		1,836.	-400.
o 794.		1,016.	-222.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-164.
b			-145.
c			44.
d			5.
e			1,352.
f			109.
g			966.
h			173.
i			101.
j			119.
k			393.
l			-188.
m			-244.
n			-400.
o			-222.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7 AFFILIATED MANAGERS GROUP COM	P	11/22/16	08/15/18
b	4 AFFILIATED MANAGERS GROUP COM	P	06/01/17	08/03/18
c	6 AFFILIATED MANAGERS GROUP COM	P	12/16/16	08/03/18
d	8 AFFILIATED MANAGERS GROUP COM	P	11/23/16	08/03/18
e	1 AFFILIATED MANAGERS GROUP COM	P	11/22/16	08/03/18
f	10 AFFILIATED MANAGERS GROUP COM	P	06/01/17	06/14/18
g	1 AFFILIATED MANAGERS GROUP COM	P	11/03/17	06/13/18
h	16 AFFILIATED MANAGERS GROUP COM	P	06/01/17	06/13/18
i	1 AMAZON COM INC COM	P	01/21/14	09/13/18
j	1 AMAZON COM INC COM	P	01/21/14	08/08/18
k	2 AMAZON COM INC COM	P	01/21/14	07/18/18
l	2 AMAZON COM INC COM	P	01/21/14	02/26/18
m	2 AMAZON COM INC COM	P	01/21/14	02/02/18
n	9 AMERICAN EXPRESS CO COM	P	01/07/13	09/12/18
o	17 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGI	P	06/30/16	09/19/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,008.		1,016.	-8.
b 606.		641.	-35.
c 909.		915.	-6.
d 1,212.		1,164.	48.
e 152.		145.	7.
f 1,558.		1,603.	-45.
g 157.		185.	-28.
h 2,519.		2,564.	-45.
i 1,994.		405.	1,589.
j 1,889.		405.	1,484.
k 3,686.		811.	2,875.
l 3,032.		811.	2,221.
m 2,925.		811.	2,114.
n 971.		540.	431.
o 1,528.		2,231.	-703.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-8.
b			-35.
c			-6.
d			48.
e			7.
f			-45.
g			-28.
h			-45.
i			1,589.
j			1,484.
k			2,875.
l			2,221.
m			2,114.
n			431.
o			-703.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 6 APPLE INC COM		P	05/10/16	10/23/18
b 35 APPLE INC COM		P	01/21/14	10/23/18
c 8 APPLE INC COM		P	05/10/16	10/18/18
d 11 APPLE INC COM		P	01/11/16	10/03/18
e 1 AUTOZONE INC COM		P	05/30/18	09/17/18
f 6 AUTOZONE INC COM		P	05/30/18	09/14/18
g 27 CARMAX INC COM		P	04/15/14	10/05/18
h 15 CARMAX INC COM		P	04/15/14	10/05/18
i 19 CARMAX INC COM		P	04/15/14	06/28/18
j 19 CHEVRON CORP COM		P	01/07/13	03/29/18
k 24 CITIGROUP INC NEW COM		P	06/30/17	11/09/18
l 57 CITIGROUP INC NEW COM		P	06/29/17	11/09/18
m 3 CITIGROUP INC NEW COM		P	05/12/17	11/09/18
n 122 DANONE SPONSORED ADR FRANCE		P	09/22/15	01/10/18
o 91 EBAY INC COM		P	06/07/18	11/21/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,313.		555.	758.
b 7,660.		2,748.	4,912.
c 1,747.		740.	1,007.
d 2,551.		1,077.	1,474.
e 748.		650.	98.
f 4,499.		3,900.	599.
g 1,928.		1,157.	771.
h 1,064.		643.	421.
i 1,394.		814.	580.
j 2,167.		2,081.	86.
k 1,573.		1,619.	-46.
l 3,736.		3,826.	-90.
m 197.		183.	14.
n 2,010.		1,492.	518.
o 2,589.		3,691.	-1,102.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			758.
b			4,912.
c			1,007.
d			1,474.
e			98.
f			599.
g			771.
h			421.
i			580.
j			86.
k			-46.
l			-90.
m			14.
n			518.
o			-1,102.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 FACTSET RESH SYS INC COM	P	06/28/17	06/06/18
b	2 FACTSET RESH SYS INC COM	P	06/28/17	06/05/18
c	4 FACTSET RESH SYS INC COM	P	06/28/17	05/30/18
d	20 FIRST REP BK SAN FRANCISCO CA NEW COM	P	01/23/18	08/06/18
e	112 GENERAL ELEC CO COM	P	01/16/18	11/01/18
f	32 GENERAL ELEC CO COM	P	11/15/17	11/01/18
g	138 GENERAL ELEC CO COM	P	11/13/17	11/01/18
h	74 GENERAL ELEC CO COM	P	11/03/17	11/01/18
i	82 GENERAL ELEC CO COM	P	10/30/17	11/01/18
j	28 GENERAL ELEC CO COM	P	10/26/17	11/01/18
k	95 GENERAL ELEC CO COM	P	10/26/17	11/01/18
l	106 GENERAL ELEC CO COM	P	10/23/17	11/01/18
m	112 GENERAL ELEC CO COM	P	10/20/17	11/01/18
n	230 GENERAL ELEC CO COM	P	09/18/17	11/01/18
o	49 GENERAL ELEC CO COM	P	08/12/14	11/01/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,026.		849.	177.
b 409.		339.	70.
c 806.		679.	127.
d 2,027.		1,824.	203.
e 1,097.		2,034.	-937.
f 313.		585.	-272.
g 1,352.		2,600.	-1,248.
h 725.		1,478.	-753.
i 803.		1,688.	-885.
j 274.		597.	-323.
k 931.		2,038.	-1,107.
l 1,038.		2,366.	-1,328.
m 1,097.		2,593.	-1,496.
n 2,253.		5,579.	-3,326.
o 480.		1,258.	-778.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			177.
b			70.
c			127.
d			203.
e			-937.
f			-272.
g			-1,248.
h			-753.
i			-885.
j			-323.
k			-1,107.
l			-1,328.
m			-1,496.
n			-3,326.
o			-778.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	131 GENERAL ELEC CO COM	P	01/21/14	11/01/18
b	11 GOLDMAN SACHS GROUP INC COM	P	01/30/18	11/09/18
c	1 GOLDMAN SACHS GROUP INC COM	P	06/30/17	11/09/18
d	9 GOLDMAN SACHS GROUP INC COM	P	06/29/17	11/09/18
e	1 GOLDMAN SACHS GROUP INC COM	P	04/13/17	11/09/18
f	1 GOLDMAN SACHS GROUP INC COM	P	04/10/17	11/09/18
g	23 GOLDMAN SACHS GROUP INC COM	P	01/21/14	11/09/18
h	7 GROUP 1 AUTOMOTIVE INC COM	P	10/25/16	06/28/18
i	7 GROUP 1 AUTOMOTIVE INC COM	P	10/25/16	06/27/18
j	1 GROUP 1 AUTOMOTIVE INC COM	P	10/24/16	06/27/18
k	7 GROUP 1 AUTOMOTIVE INC COM	P	10/24/16	06/26/18
l	15 J P MORGAN CHASE & CO COM	P	06/29/17	01/19/18
m	47 J P MORGAN CHASE & CO COM	P	04/24/13	01/19/18
n	75 J P MORGAN CHASE & CO COM	P	01/07/13	01/19/18
o	19 NIKE INC CL B	P	06/20/17	03/29/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,283.		3,437.	-2,154.
b 2,478.		2,956.	-478.
c 225.		225.	0.
d 2,028.		2,018.	10.
e 225.		227.	-2.
f 225.		229.	-4.
g 5,182.		3,974.	1,208.
h 455.		413.	42.
i 477.		413.	64.
j 68.		60.	8.
k 489.		421.	68.
l 1,696.		1,365.	331.
m 5,314.		2,297.	3,017.
n 8,479.		3,399.	5,080.
o 1,259.		973.	286.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,154.
b			-478.
c			0.
d			10.
e			-2.
f			-4.
g			1,208.
h			42.
i			64.
j			8.
k			68.
l			331.
m			3,017.
n			5,080.
o			286.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 SHIRE PLC ADR JERSEY	P	08/28/17	05/23/18
b	36 SHIRE PLC ADR JERSEY	P	07/21/17	05/23/18
c	22 SODEX0 SPONSORED ADR FRANCE	P	06/05/18	10/04/18
d	135 SODEX0 SPONSORED ADR FRANCE	P	06/06/18	10/03/18
e	56 SODEX0 SPONSORED ADR FRANCE	P	06/05/18	10/03/18
f	21 STARBUCKS CORP COM	P	08/23/17	11/21/18
g	15 STARBUCKS CORP COM	P	08/23/17	07/26/18
h	71 STARBUCKS CORP COM	P	08/22/17	07/26/18
i	10 TEMPUR SEALY INTL INC COM	P	09/29/16	09/20/18
j	15 TEMPUR SEALY INTL INC COM	P	09/29/16	09/19/18
k	12 UNION PAC CORP COM	P	03/20/17	01/17/18
l	5 UNION PAC CORP COM	P	05/27/16	01/17/18
m	14 UNITED PARCEL SVC INC CL B	P	04/24/13	01/09/18
n	3 UNITED TECHNOLOGIES CORP COM	P	07/30/15	09/13/18
o	6 UNITED TECHNOLOGIES CORP COM	P	07/30/15	09/12/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,659.		1,464.	195.
b 5,972.		5,909.	63.
c 448.		434.	14.
d 2,790.		2,686.	104.
e 1,157.		1,104.	53.
f 1,414.		1,132.	282.
g 774.		809.	-35.
h 3,665.		3,880.	-215.
i 534.		575.	-41.
j 809.		862.	-53.
k 1,688.		1,266.	422.
l 703.		415.	288.
m 1,809.		1,173.	636.
n 403.		301.	102.
o 803.		602.	201.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			195.
b			63.
c			14.
d			104.
e			53.
f			282.
g			-35.
h			-215.
i			-41.
j			-53.
k			422.
l			288.
m			636.
n			102.
o			201.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 UNITED TECHNOLOGIES CORP COM	P	07/30/15	08/30/18
b	15 UNITED TECHNOLOGIES CORP COM	P	03/20/17	08/29/18
c	7 UNITED TECHNOLOGIES CORP COM	P	07/30/15	08/29/18
d	24 VISA INC CL A COM	P	01/18/17	06/05/18
e	8 VISA INC CL A COM	P	01/19/16	06/05/18
f	5 VISA INC CL A COM	P	12/08/17	05/30/18
g	41 VISA INC CL A COM	P	12/07/17	05/30/18
h	1 VISA INC CL A COM	P	01/18/17	05/30/18
i	2 WORKDAY INC CL A COM	P	12/26/17	12/06/18
j	15 WORKDAY INC CL A COM	P	12/07/17	12/06/18
k	ADJUSTMENTS	P		
l	7,251.63 MADISON DEBT FUND III LP	P		
m	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
n	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
o	CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 133.		100.	33.
b 2,004.		1,709.	295.
c 935.		702.	233.
d 3,194.		1,959.	1,235.
e 1,065.		578.	487.
f 651.		560.	91.
g 5,341.		4,562.	779.
h 130.		82.	48.
i 334.		206.	128.
j 2,503.		1,554.	949.
k		176.	-176.
l 8,986.		7,729.	1,257.
m 5,526.			5,526.
n		4,218.	-4,218.
o 34.			34.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			33.
b			295.
c			233.
d			1,235.
e			487.
f			91.
g			779.
h			48.
i			128.
j			949.
k			-176.
l			1,257.
m			5,526.
n			-4,218.
o			34.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP	P		
b	MADISON REALTY CAPITAL DEBT FUND III LP	P		
c	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
d	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		156.	-156.
b	1,232.		1,232.
c		1,178.	-1,178.
d		785.	-785.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-156.
b			1,232.
c			-1,178.
d			-785.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	299,406.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	5,569.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	5,569.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,569.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	4,481.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	12,000.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	16,481.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	26.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,886.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax <input checked="" type="checkbox"/> 10,886. Refunded <input type="checkbox"/>	11	0.

Part VII A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
1d		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ NY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.THEHAVENFDN.ORG</u>	X	
14 The books are in care of ► <u>C/O MARK LEVENFUS, MARKS PANETH LLP</u> Telephone no. ► <u>212-503-8822</u> Located at ► <u>685 THIRD AVENUE, NEW YORK, NY</u> ZIP+4 ► <u>10017</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☒ Yes ☐ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No

Yes No

5b

X

6b

X

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,093,071.
b	Average of monthly cash balances	1b	245,064.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,338,135.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,338,135.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	170,072.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,168,063.
6	Minimum investment return. Enter 5% of line 5	6	558,403.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	558,403.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	5,569.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,569.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	552,834.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	552,834.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	552,834.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	994,562.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	994,562.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,569.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	988,993.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				552,834.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	28,506.			
b From 2014				
c From 2015	63,589.			
d From 2016	375,565.			
e From 2017	78,714.			
f Total of lines 3a through e	546,374.			
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$	994,562.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				552,834.
e Remaining amount distributed out of corpus	441,728.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	988,102.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instructions			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	28,506.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	959,596.			
10 Analysis of line 9:				
a Excess from 2014	63,589.			
b Excess from 2015	375,565.			
c Excess from 2016	78,714.			
d Excess from 2017	441,728.			
e Excess from 2018				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JONATHAN VAN DYKE 671 LEONARD ST. APT 3 BROOKLYN, NY 11222	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
TASHI SADE THOMAS 315 E 56TH ST. 1C NEW YORK, NY 10022	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
ADRIANA PANTOJA-VAZQUEZ 190 AVE. HOSTOS, APT. 740 CONDOMINIO MONTE SUR SAN JUAN, PUERTO RICO	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,600.
PAT OLEZSKO 190 DUANE ST. #2 NEW YORK, NY 10013	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
YOLANDA MERCHANT PO BOX 75 NORTHFIELD, MA 01360	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
Total	SEE CONTINUATION SHEET(S)			1,423,806.
b Approved for future payment				
NONE				
Total				0.

Part XV: Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALYSON MEAD 11049 MCCORMICK ST. #317 NORTH HOLLYWOOD, CA 91601	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOHN MARCH 124 BAYLOR DRIVE LONGMONT, CO 80503	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	11,000.
MATTHEW MACKEY 530 HARDING PLACE APT B18 NASHVILLE, TN 37211	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,200.
LUIS IVORRA INES MANSIONES DE CLUDAD JARDIN CALLE LOGRONO 518 CAGUAS, PR 00727	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
NATHAN HORNER 6720 FRANKLIN PLACE #307 HOLLYWOOD, CA 90028	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
CHAD HARDIN 102 FAIR ST. GUILFORD, CT 06437	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
MICHAEL FISHER 17924 W RIVER ROAD BOWLING GREEN, OH 43402	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JAMES DOMBEY 576 SUMMERS ST. NORFOLK, VA 23509	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
TERRI DAVIS 127 EDGECOMBE AVE. #5E NEW YORK, NY 10030	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
VIVIAN BEER 817 N PEMBROKE ROAD PEMBROKE, NH 03725	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				1,389,706.

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KARRIE PAVISH ANDERSON PO BOX 278 GALENA, AK 99741	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
ORLANDO AMADOR 131 MENAHAN ST. 1L BROOKLYN, NY 11221	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
SUZANNE RIVECCA 2271 RUE DE CHAMPLAIN MONTREAL QC, CANADA	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
LILLIANA P REYNOLD 30 SOUTH MAIN STREET ALLENTOWN, NJ 08501	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,252.
PETE HOLMES 1832 1/2 WINONA BLVD #3 LOS ANGELES, CA 90027	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,800.
JANIS WILKINS C/O BEA CORON 392 CENTRAL PARK WEST #T NEW YORK, NY 10025	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
KIM WAYMAN 124 WEST 81ST ST. APT.5 NEW YORK, NY 10024	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
HUI-YING TSAI 100 BLEEKER ST. BROOKLYN, NY 11221	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ADRIAN SUSNEA LITMAN 4284 DEER TRAIL ROAD SANTA ROSA, CA 95404	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MICHAL STORY PO BOX 491027 11908 DARLINGTON AVE. #303 LOS ANGELES, CA 90049	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YONRICO SCOTT 4437 GROVE DRIVE NW ACWORTH, GA 30101	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
KARIA MOTISANTI 257 7TH AVE. #3 NEW YORK, NY 10001	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
WES MODES 348 REDWOOD DR. FELTON, CA 95018	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,400.
ANN P MEREDITH 23838 PACIFIC COAST HWY #264 MALIBU, CA 90265	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
DIRK & GAIL JOHNSON 214 ROLLINGWOOD COURT LAVERGNE, TN 37086	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MARCUS J FREED 1245 SMITHWOOD DRIVE #301 LOS ANGELES, CA 90035	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
JEREMY CHAD DAVIS 13390 OLD HICKORY BLVD. ANTIOCH, TN 37013	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ANITA COCKERHAM 1876 WILSON PIKE FRANKLIN, TN 37067	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
NAOMI AYALA 2415 ONTARIO ROAD NW APT 201 WASHINGTON, DC 20009	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,600.
ROB WILSON 12059 HOFFMAN ST. STUDIO CITY, CA 91604	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total from continuation sheets				

Part XV . Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOBBY TAYLOR 117 TWIN OAK DRIVE MURFREESBORO, TN 37130	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
COLLEEN SMITH 600 COOK ST. DENVER, CO 80206	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	13,500.
DAWN REISS 6351 W MONTROSE AVE. CHICAGO, IL 60634	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CLIFFORD RAINEY 2283 MONTICELLO ROAD NAPA, CA 94558	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MARY JANE POLLACK 218 SOUTH 8TH ST, RIVER FALLS, WI 54022	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
RENWICK SMITH CURRY 409 SOUTHWINDS DR HERMITAGE, TN 37076	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
DAVID FOY O'BRIEN C/O NELLY REIFLER 463 WEST ST. #D505 NEW YORK, NY 10014	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
ALYXX MORGAN 202 E 21ST ST., #3D NEW YORK, NY 10010	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
STACIE KRUPA PO BOX 1824 ISLAMORADA, FL 33036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,250.
SUZANNE GULILLETTE 31-46 45TH ST. APT 2B ASTORIA, NY 11103	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KATE GIORGIO 234 BROOK ST., UNIT 2 WAUKESHA, WI 53188	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,850.
BOBBI DEMPSEY PO BOX 129 DRIFTON, PA 18221	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
PIA CRUZALEGUI 2872 N DRAKE AVE, #3R CHICAGO, IL 60618	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
OSCAR ENRIQUE CORTES COLON 146 N ST RAMEY AGUADILLA, PUERTO RICO	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
DITMAR BUSSE 103 ALLEN STREET, APT 14 NEW YORK, NY 10002	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LINDA BLACK 33 WATER STREET SHELBURNE FALLS, MA 01370	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,500.
DAN BAIRD 2616 W. LINDEN AVE. NASHVILLE, TN 37212	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
DAN ATHERTON 858 BRIAR CREEK COURT CONYERS, GA 30012	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
JULIAN SIMINISKI 11684 VENTURA BLVD #902 STUDIO CITY, CA 91604	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
THOMAS MCANINCH 220 WILDGROVE COURT NASHVILLE, TN 37013	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOHN HERRICK 1802 SE 6TH AVENUE CAPE CORAL, FL 33990	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
JOSEPH SPAMPINATO 4 DRIFTWOOD CIRCLE HARWICH, MA 02645	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
BRITTANY SAVAGE 2647 WOODBURY DRIVE NASHVILLE, TN 37214	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
MAGDALEN PIERRAKOS 674 UNION AVE. APT 3 BRONX, NY 10455	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
KAREN PHILPOT 2828 OLD HICKORY BLVD APT 2402 NASHVILLE, TN 37221	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8.
GLORIA NEWTON 4118 SCENIC VIEW DRIVE PEGRAM, TN 37143	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8.
MATTHEW LOVELL PO BOX 121153 NASHVILLE, TN 37212	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,008.
WILLIAM B LENOX 8020 SUNRISE CIRCLE FRANKLIN, TN 37067	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,008.
RORY HOFFMAN 4487 POST PLACE, UNIT 179 NASHVILLE, TN 37205	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8.
BRYAN W GALENTINE 103 GLENHAVEN COURT LEBANON, TN 37087	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8.
Total from continuation sheets				

Part XV . Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PENNY FRAMSTAD PENNY FRAMSTAD 21717 VINTAGE STREET CHATSWORTH, CA 91311	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,700.
MARY ELLEN CARROLL 465 WEST 23RD ST. APT 21 NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8.
SEKOU BUNCH 22401 PLUMMER ST. CHATSWORTH, CA 91311	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
GLENN BRANCA 237 W 14TH ST. #D1 NEW YORK, NY 10011	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8.
SHIRLEY FERDINAND 614 WILD COTTON RD. ROSENBERG, TX 77471	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
LEIGH DONALDSON 23 FREDERIC ST. 3RD FLOOR PORTLAND, ME 04102	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
LORNA RITZ 1245 SOUTH EAST ST. AMHERST, MA 01002	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
RITA HUBBARD 311 N MOORE ROAD CHATTANOOGA, TN 37411	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
BARBARA HALL 255 IOWA AVE, SE HURON, SD 57350	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	500.
MYRA SAFFIAN 100 EAST PALISADE AVE. APT. D1 ENGLEWOOD, NJ 07631	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MUSICARES 104 W 40TH ST SUITE 400 NEW YORK, NY 10018	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JAZZ FOUNDATION 322 WEST 48TH STREET, 6TH FL. NEW YORK, NY 10036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	87,500.
CERF 535 STONE CUTTERS WAY SUITE 202 MONTPELIER, VT 5602	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JOHN HUG PO BOX 58034 SHERMAN OAKS, CA 91413	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
THE ACTORS FUND 729 SEVENTH AVE 10TH FL NEW YORK, NY 10019	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE ARTIST'S FELLOWSHIP 47 FIFTH AVENUE NEW YORK, NY 10003	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE AUTHORS LEAG 155 WATER STREET #206 BROOKLYN, NY 11201	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CHARLES MILES 1261 TALLEY LOOP BUDA, TX 78610	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,000.
SAG FOUNDATION 5757 WILSHIRE BLVD SUITE PH1 LOS ANGELES, CA 90036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
DAVE BUSCEMA 34-43 CRESCENT ST, APT 18 ASTORIA, NY 11106	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
Total from continuation sheets				

Part XV . Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GARY CORBETT 143 REBECCA DR HENDERSONVILLE, TN 37075	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
RAYMOND JACKSON, JR 307 WILLIAM STREET, #2-C EAST ORANGE, NJ 07017	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	900.
MACIEJ MIERNIK 27-10 30TH AVE, APT 5B ASTORIA, NY 11102	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
ASTRID WALSCHOT-STAPP 201 CATOCTIN AVENUE FREDERICK, MD 21701	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,430.
MUSIC HEALTH ALLIANCE 2337 LAMON DR NASHVILLE, TN 37204	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LOCAL 802 MUSICIANS'ERF 322 W 48TH ST NEW YORK, NY 10036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MARIE GABRIEL 450 WEST 42ND ST. 42F NEW YORK, NY 10036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
KEVIN KREIS 1478 MONTE VISTA DRIVE BULLHEAD CITY, AZ 86442	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
CHERYL MATTHYNESENS 6991 137TH AVE. NW RAMSEY, MN 55303	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
ETTA & BOB BRITT 110 POPLAR STREET DICKSON, TN 37055	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.

Total from continuation sheets

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MICHAEL DUCLOS 75 OCEAN AVE. #5R BROOKLYN, NY 11225	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,850.
PAMELA ENZ 150 JORALEMON ST. BROOKLYN, NY 11201	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
MELANIE NEILSON 6042 PUTNAM AVE.#1 RIDGEWOOD, NY 11385	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,250.
JACK RAINES 1007 HERRON ST. MURFREESBORO, TN 37130	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
WALLY SWIST 1753 A SOUTH EAST STREET AMHERST, MA 01002	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	500.
IVAN JULIAN 264 NORTH 6TH STREET, #3 BROOKLYN, NY 11211	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,034.
EVAN KULHMAN PETEE 4343 BROCKLEY AVENUE SHEFFIELD LAKE, OH 44054	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
KARRIE HIGGINS 930 26TH ST SE CEDAR RAPIDS, IA 52403	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
JORDAN KLEMONS 310 WEST 47TH ST, APT 4D NEW YORK, NY 10036	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	18,750.
PENWRITER'S EMERGENCY FUND 588 BROADWAY SUITE 303 NEW YORK, NY 10012	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JIMMY WEBB 61 LEXINGTON AVE #G NEW YORK, NY 10010	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	18,197.
JAYMI TRIMBLE PO BOX 1551 PROSSER, WA 99350	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
SUE ELLENSTERN 849 ASHLANE AVE ST PAUL, MN 55104	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
DARRAN SMITH 574 THOMPSON LANE PORTLAND, TN 37148	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	21,540.
NICHOLAS SANTA MARIA 4355 VINELAND AVE #202 N HOLLYWOOD, CA 91602	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
ESAI SALAS 1820 TORRANCE BLVD #30 TORRANCE, CA 90501	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,300.
KYLIE SACKLEY 3230 NE 55TH AVE SILVER SPRINGS, FL 34488	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JAMES RUCCOLO 3062 RED MANGROVE LANE SOUTH DANIA BEACH, FL 33312	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,608.
STEPHANIE ROSE 555 STATE STREET HUDSON, NY 12534	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
KAREN WILL ROGERS 8037 SAWYER BROWN ROAD NASHVILLE, TN 37221	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,100.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MICHELLE RADCLIFF 4022 PORTMAN GLEN LANE HOUSTON, TX 77047	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
MICHAEL PHILLIPS 8254 AUTUMN FOREST DR JONESBORO, GA 30236	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JAKE MITCHELL 1018 WEST GROVE NASHVILLE, TN 37203	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,500.
JONATHAN LOPEZ 17014 LAVIDA CT. FONTANA, CA 92337	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	900.
POROCHISTA KHAKPOU 537 N ADAMS ST #108 GLENDALE, CA 91206	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
HOLLY HEISEY 14533 W VERDE LANE GOODYEAR, AZ 85395	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
RANIER HANSHE PO BOX 1326 NEW YORK, NY 10276	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JULIAN FARIAS 4435 PACIFIC COAST HIGHWAY M-107 TORRANCE, CA 90505	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ISMAEL DAVILA 16521 PRAIRIE AVE LAWNDALE, CA 90260	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JEFF CHAMBERS 1000 NAVELLIER ST EL CERRITO, CA 94530	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
Total from continuation sheets				

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DANGO CELLAN 2815 EMERY DRIVE NASHVILLE, TN 37214	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
FERNANDO CARBAJAL 1775 E 111TH PLACE LOS ANGELES, CA 90059	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	21,000.
CHRISTINA BOTHWELL 148 KARNS ROAD STILLWATER, PA 17878	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
EDMUND BAKER 255 E BRAZOSWOOD DT APT 503 CLUTE, TX 77531	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	981.
GABRIEL BALTAZAR 16521 PRAIRIE AVE LAWNDALE, CA 90260	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
POPPY BRITE PO BOX 750151 NEW ORLEANS, LA 70175	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	5,836.	5,836.	
KCPS	4,804.	4,804.	
SANFORD BERNSTEIN	12,398.	12,398.	
US TRUST	230.	230.	
	28,531.	28,531.	
TOTAL TO PART I, LINE 3	51,799.	51,799.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP	3,475.	0.	3,475.	3,475.	
JPMORGAN	922.	0.	922.	922.	
KCPS	567.	0.	567.	567.	
MADISON REALTY CAPITAL DEBT FUND III LP	45,140.	0.	45,140.	45,140.	
SANFORD BERNSTEIN	11,249.	0.	11,249.	11,249.	
US TRUST	198,685.	0.	198,685.	198,685.	
	26,662.	0.	26,662.	26,662.	
TO PART I, LINE 4	286,700.	0.	286,700.	286,700.	

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	157.	157.	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	5,322.	5,322.	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	-2,868.	-2,868.	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	-5,485.	-5,485.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	896.	896.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	768.	768.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	-500.	-500.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	-322.	-322.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	-322.	-322.	
MADISON DEBT FUND III LP	-580.	-580.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-2,934.	-2,934.	

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COHEN TAUBER SPOEVACK & WAGNER	8,367.	2,383.		5,984.
TO FM 990-PF, PG 1, LN 16A	8,367.	2,383.		5,984.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENTS FEES	68,355.	68,355.		0.
TO FORM 990-PF, PG 1, LN 16C	68,355.	68,355.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - FOREIGN TAX WITHHELD	154.	154.		0.
TO FORM 990-PF, PG 1, LN 18	386.	386.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,685.	0.		1,685.
FILING FEE	750.	0.		750.
POSTAGE	653.	0.		653.
OFFICE EXPENSES	54.	0.		54.
TO FORM 990-PF, PG 1, LN 23	3,142.	0.		3,142.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SCHEDULE ATTACHED	10,579,792.	10,335,929.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,579,792.	10,335,929.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREAS/ASST SECR/DIR 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY/ASST TREAS 1.00	0.	0.	0.
FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRESIDENT 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.

THE HAVEN FOUNDATION

20-4356938

CHUCK VERRILL
C/O MARKS PANETH LLP, 685 THIRD
AVE. 5TH FL
NEW YORK, NY 10017

DIRECTOR

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TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL

GENERAL EXPLANATION

STATEMENT 11

IN REFERENCE TO FORM 990-PF, PART VII-B, LINE 1(A), ITEM 4:
MARK LEVENFUS, A DIRECTOR OF THE ORGANIZATION, IS A RETIRED PARTNER OF
MARKS PANETH LLP, WHICH IS PROVIDING ACCOUNTING SERVICES TO THE
FOUNDATION.

FREDRIC M. SANDERS, A DIRECTOR OF THE ORGANIZATION, IS AN ATTORNEY WITH COHEN TAUBER
SPIEVACK & WAGNER P.C., WHICH ARE PROVIDING LEGAL SERVICES TO THE FOUNDATION.

THE HAVEN FOUNDATION
EIN # : 20-4356938
2018 ATTACHMENT - 990PF, pg 2, line 10b

Quantity	SECURITY Description	Fed-Tax Cost	Market Value
2,787 068	SHS BAIRD MIDCAP FUND INSTL CL SHS	50,000	49,387
1,075	SHS ISHARES RUSSELL MID-CAP ETF	48,761	49,966
50,000	SHS AMERICAN INTL GROUP INC SR UNSECD NT DTD 10/02/13 4 125% DUE 02/15/24	54,191	50,205
			779
50,000	SHS ANHEUSER-BUSCH INBEV FIN INC SR UNSECD NT CALL 01/01/21 @100 DTD 01/25/16 2 650% DUE 02/01/21	49,941	49,170
			552
50,000	SHS APPLE INC UNSECD SR NT CALL 12/09/23 @100 DTD 02/09/17 3 000% DUE 02/09/24	49,338	49,379
			592
50,000	SHS BANK NEW YORK INC MELLON UNSECD MEDIUM TERM SR NT C04/15/24 @100 DTD 05/07/14 3 400% DUE 05/15/24	51,770	49,741
			217
50,000	SHS CHEVRON CORP NEW SR UNSECD NT DTD 03/03/15 1 961% DUE 03/03/20	49,504	49,498
			321
50,000	SHS COMCAST CORP NEW CO GTD NT DTD 06/18/09 5 700% DUE 07/01/19	54,047	50,589
			1,425
50,000	SHS COMCAST CORP NEW SR UNSECD BD CALL 02/01/28 @100 DTD 02/08/18 3 550% DUE 05/01/28	49,086	48,263
			296
50,000	SHS GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/08/13 3 100% DUE 01/09/23	51,112	46,656
			741
50,000	SHS GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 01/24/12 5 750% DUE 01/24/22	56,010	52,352
			1,254
50,000	SHS GOLDMAN SACHS GROUP INC UNSECD SR NT CALL 11/25/25 @100 DTD 02/25/16 3 750% DUE 02/25/26	49,196	47,283
			656
50,000	SHS HOME DEPOT INC UNSECD SR NT DTD 06/05/17 1 800% DUE 06/05/20	49,488	49,285
			65
50,000	SHS JOHNSON & JOHNSON SR UNSECD NT CALL 01/01/23 @100 DTD 03/01/16 2 050% DUE 03/01/23	50,792	48,305
			342
50,000	SHS LILLY ELI & CO SR UNSECD NT DTD 02/25/14 1 950% DUE 03/15/19	50,680	49,887
			287
50,000	SHS METLIFE INC UNSECD SR NT DTD 04/10/14 3 600% DUE 04/10/24	53,177	50,345
			405
50,000	SHS MICROSOFT CORP UNSECD SR NT DTD 08/08/16 1 550% DUE 08/08/21	49,784	48,574
			308
50,000	SHS ORACLE CORP SR UNSECD NT CALL 04/08/24 @100 DTD 07/08/14 3 400% DUE 07/08/24	50,831	49,901
			817
50,000	SHS UNITEDHEALTH GROUP INC SR UNSECD NT DTD 07/23/15 3 350% DUE 07/15/22	51,987	50,229
			772
3,238 655	SHS AQR DIVERSIFIED ARBITRAGE FUND CL I	30,397	28,338
2,434 647	SHS AQR MANAGED FUTURES STRATEGY FUND CL I	24,450	20,475
1,941 789	SHS BLACKROCK GLOBAL LONG/SHORT EQUITY FUND INSTL CL	20,774	23,826
2,336 769	SHS BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL	23,578	22,433
			61
1,872 468	SHS LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I	21,889	21,215
1,959 565	SHS NEUBERGER BERMAN LONG SHORT FUND INSTL CL	25,804	25,220
1,661 903	SHS BOSTON PARTNERS LONG/SHORT RESH FUND INSTL CL	26,059	23,981
18,790 605	SHS CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I	90,230	82,491
20	SHS ACCENTURE PLC CL A COM IRELAND	2,746	2,820
43	SHS INGERSOLL-RAND CO LTD COM IRELAND	3,320	3,923
42	SHS MEDTRONIC PLC COM IRELAND	3,178	3,820
			21
62	SHS CHUBB LTD COM SWITZERLAND	5,451	8,009
			45
34	SHS LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	3,047	2,827
134	SHS AT&T INC COM	4,574	3,824

THE HAVEN FOUNDATION
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Quantity	SECURITY Description	Fed Tax Cost	Market Value
103	SHS ALTRIA GROUP INC COM	3,345	5,087
			82
59	SHS AMEREN CORP COM	3,366	3,849
54	SHS AMERICAN ELEC PWR INC COM	2,495	4,036
85	SHS APPLE INC COM	6,228	13,408
35	SHS AUTOMATIC DATA PROCESSING INC COM	1,800	4,589
			28
146	SHS BB&T CORP COM	6,462	6,325
99	SHS BANK NEW YORK MELLON CORP COM	5,421	4,660
36	SHS BAXTER INTL INC COM	2,380	2,370
10	SHS BLACKROCK INC CL A	2,173	3,928
13	SHS BOEING CO COM	2,295	4,193
67	SHS BRISTOL MYERS SQUIBB CO COM	4,087	3,483
16	SHS BROADCOM INC COM	2,919	4,068
30	SHS CME GROUP INC COM	1,606	5,644
			53
66	SHS CMS ENERGY CORP COM	1,708	3,277
86	SHS CHEVRON CORP COM	9,097	9,356
316	SHS CISCO SYS INC COM	7,336	13,692
293	SHS COMCAST CORP NEW CL A COM	7,113	9,977
			56
95	SHS CONOCOPHILLIPS COM	6,722	5,923
23	SHS CROWN CASTLE INTL CORP REITS	1,971	2,498
19	SHS CUMMINS INC COM	3,044	2,539
28	SHS DIGITAL RLTY TR INC REITS	2,695	2,983
			28
33	SHS DISNEY WALT CO COM DISNEY	3,409	3,618
			29
22	SHS DOMINION ENERGY INC COM	1,158	1,572
72	SHS DOWDUPONT INC COM	3,582	3,851
56	SHS EVERSOURCE ENERGY COM	2,778	3,642
131	SHS EXXON MOBIL CORP COM	10,506	8,933
41	SHS GENERAL DYNAMICS CORP COM	6,063	6,446
70	SHS GENERAL MTRS CO COM	2,702	2,342
32	SHS GILEAD SCIENCES INC COM	2,406	2,002
21	SHS HERSHEY CO COM	2,180	2,251
49	SHS HOME DEPOT INC COM	3,082	8,419
67	SHS HONEYWELL INTL INC COM	4,254	8,852
207	SHS INTEL CORP COM	5,868	9,715
39	SHS INTERNATIONAL BUSINESS MACHS COM	5,606	4,433
185	SHS J P MORGAN CHASE & CO COM	8,572	18,060
148	SHS JOHNSON & JOHNSON COM	11,374	19,099
26	SHS KLA-TENCOR CORP COM	2,707	2,327
97	SHS KELLOGG CO COM	6,281	5,530
27	SHS KIMBERLY CLARK CORP COM	2,189	3,076
			27
17	SHS LAM RESEARCH CORP COM	2,444	2,315
46	SHS LILLY ELI & CO COM	3,639	5,323
33	SHS LOCKHEED MARTIN CORP COM	6,267	8,641
90	SHS MARSH & MCLENNAN COS INC COM	3,187	7,178
29	SHS MCDONALDS CORP COM	2,633	5,150
180	SHS MERCK & CO INC NEW COM	7,713	13,754
			99
163	SHS MICROSOFT CORP COM	4,355	16,556
22	SHS NEXTERA ENERGY INC COM	1,553	3,824
56	SHS PNC FINL SVCS GROUP INC COM	3,415	6,547
13	SHS PACKAGING CORP AMER COM	1,456	1,085
			10
22	SHS PARKER HANNIFIN CORP COM	1,939	3,281
72	SHS PEPSICO INC COM	6,888	7,955

THE HAVEN FOUNDATION
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Quantity	SECURITY Description	Fed Tax Cost	Market Value
			67
293	SHS PFIZER INC COM	7,617	12,789
101	SHS PHILIP MORRIS INTL INC COM	8,575	6,743
			115
28	SHS PRICE T ROWE GROUP INC COM	1,914	2,585
54	SHS PRINCIPAL FINL GROUP INC COM	3,041	2,385
53	SHS PROCTER & GAMBLE CO COM	3,631	4,872
17	SHS PUBLIC STORAGE INC COM (REIT)	2,515	3,441
53	SHS SONOCO PRODS CO COM	2,538	2,816
105	SHS SUNCOR ENERGY INC NEW COM CANADA	3,497	2,937
			20
45	SHS TJX COS INC NEW COM	1,587	2,013
79	SHS TEXAS INSTRS INC COM	2,542	7,466
28	SHS 3M CO COM	4,919	5,335
144	SHS US BANCORP DEL COM NEW	5,463	6,581
			53
77	SHS UNION PAC CORP COM	8,481	10,644
11	SHS UNITEDHEALTH GROUP INC COM	1,247	2,740
51	SHS VALERO ENERGY CORP NEW COM	3,667	3,823
61	SHS WEC ENERGY GROUP INC COM	2,800	4,225
69	SHS WALMART INC COM	5,162	6,427
			36
56	SHS WASTE MGMT INC DEL COM	1,941	4,983
156	SHS WELLS FARGO & CO NEW COM	5,962	7,188
63	SHS XCEL ENERGY INC COM	2,971	3,104
			24
85	SHS ALLERGAN PLC COM IRELAND	18,095	11,361
16	SHS ALPHABET INC CL A COM	12,847	16,719
18	SHS AMAZON COM INC COM	9,715	27,035
40	SHS AMERICAN EXPRESS CO COM	2,399	3,813
50	SHS AMERICAN TOWER CORP COM	4,631	7,910
			42
20	SHS AMGEN INC COM	3,950	3,893
22	SHS ANALOG DEVICES INC COM	2,170	1,888
141	SHS ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM	13,135	9,279
91	SHS APPLE INC COM	7,145	14,354
210	SHS BAKER HUGHES CO CL A COM	5,660	4,515
14	SHS BIOGEN IDEC INC COM	4,142	4,213
74	SHS BRISTOL MYERS SQUIBB CO COM	3,953	3,847
10	SHS BROADCOM INC COM	2,322	2,543
180	SHS CARGURUS INC CL A	7,122	6,071
31	SHS CATERPILLAR INC COM	4,328	3,939
128	SHS CHENIERE ENERGY INC NEW COM	6,720	7,576
211	SHS CITIGROUP INC NEW COM	10,322	10,985
118	SHS COMCAST CORP NEW CL A COM	4,367	4,018
			22
38	SHS DANAHER CORP DEL COM	3,549	3,919
			6
532	SHS DANONE SPONSORED ADR FRANCE	7,966	7,437
22	SHS DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	2,895	3,120
232	SHS EBAY INC COM	8,474	6,512
108	SHS FACEBOOK INC CL A COM	14,768	14,158
76	SHS FIRST REP BK SAN FRANCISCO CA NEW COM	6,828	6,604
1,003	SHS GENERAL ELEC CO COM	14,995	7,593
			10
86	SHS GILEAD SCIENCES INC COM	5,629	5,379
43	SHS GOLDMAN SACHS GROUP INC COM	7,430	7,183
57	SHS J P MORGAN CHASE & CO COM	2,583	5,564
109	SHS KINDER MORGAN INC DEL COM	1,890	1,676
411	SHS LENNAR CORP COM	18,875	16,091

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Quantity	SECURITY Description	Fed Tax Cost	Market Value
236	SHS LIBERTY FORMULA ONE SER C COM	9,291	7,245
16	SHS MARRIOTT INTL INC NEW CL A	1,969	1,737
60	SHS MICROSOFT CORP COM	6,562	6,094
316	SHS MORGAN STANLEY COM	12,171	12,529
139	SHS POLARIS INDS INC COM	12,510	10,659
56	SHS PRUDENTIAL FINL INC COM	5,761	4,567
174	SHS SCHLUMBERGER LTD COM CURACAO	12,451	6,278
			64
186	SHS SYNCHRONY FINL COM	5,413	4,364
147	SHS TEMPUR SEALY INTL INC COM	7,325	6,086
134	SHS TWENTY-FIRST CENTY FOX INC CL B COM	3,742	6,403
75	SHS TWITTER INC COM	2,372	2,156
52	SHS UNION PAC CORP COM	4,313	7,188
32	SHS UNITED TECHNOLOGIES CORP COM	3,143	3,407
19	SHS UNITEDHEALTH GROUP INC COM	5,023	4,733
31	SHS VISA INC CL A COM	2,239	4,090
50	SHS WALMART INC COM	4,153	4,658
			26
138	SHS WELLS FARGO & CO NEW COM	7,707	6,359
68	SHS WORKDAY INC CL A COM	6,944	10,858
34	SHS ZIMMER BIOMET HLDGS INC COM	4,025	3,526
			8
14,298 32	SHS BLACKROCK FLOATING RATE INCOME PORTFOLIO FUND INSTITUTIONAL CLASS	146,607	138,408
252,000	SHS UNITED STATES TREAS NTS TRES TIPS 0 125% 04/15/21 B/E DTD 04/15/16	269,923	261,440
12,152 42	SHS PRINCIPAL REAL ESTATE DEBT INCOME FUND CLASS I	118,000	113,990
18,089 33	SHS DOUBLELINE CORE FIXED INCOME FUND CLASS I	197,459	191,928
283	SHS ISHARES TR US AEROSPACE & DEFENSE ETF	54,726	48,919
71,000	SHS WELLS FARGO & CO NEW MEDIUM TERM SR NTS FLTGT RT NTS SER N 2 833% 07/22/20 B/E DTD 07/22/15	71,107	71,115
70,000	SHS GOLDMAN SACHS GROUP INC MEDIUM TERM NTS FXD RATE FLTGT RT NTS SER D 3 717% 11/29/23 B/E DTD 11/29/13	70,863	69,371
70,000	SHS GOLDMAN SACHS GROUP INC FLTGT RT NTS 3 637% 04/23/20 B/E DTD 01/23/15	70,259	70,242
7,767 16	SHS KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	73,157	72,545
1,357	SHS ROBO GLOBAL ROBOTICS AND AUTOMATION INDEX ETF	55,633	44,170
5,340	SHS ALPS ETF TR ALERIAN MLP ETF	55,728	46,618
11,235	SHS PIMCO PREFERRED AND CAPITAL SECURITIES FUND INSTL CL	115,716	106,620
3,301	SHS ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ETF	79,866	78,168
322	SHS PIMCO ETF TR 0-5 YR HIGH YIELD CORP BD INDEX EXCHANGE-TRADED FD	32,729	30,629
1,230	SHS SPDR GOLD TR GOLD SHS	144,296	149,138
10,560 75	SHS STONE HARBOR EMERGING MARKETS DEBT FD INST'L CL	113,025	96,842
780	SHS ETF MANAGERS TR ETFMG PRIME CYBER SEC ETF	27,913	26,286
1,111	SHS SPDR S&P 500 ETF TR TR UNIT	266,156	277,661
865	UNITS CLARITY PRIVATE INCOME PORTFOLIO A	92,089	86,455
91,459	UNITS MADISON DEBT FUND III LP	125,601	119,129
4,909	UNITS CLARITY DIV ALTERNATIVES PORT LP B07/15	477,488	481,228
4,895 398	SHS AB DISCOVERY GRWTH FUND- AD	50,591	45,968
2,546 989	SHS AB DISCOVERY VALUE-ADV	53,334	45,184
2,172 601	SHS AB EMERGING MARKETS PORT ₍₁₎	58,403	50,861
200,729 254	SHS AB GLOBAL BOND FUND- ADV ₍₁₎	1,670,225	1,639,958
			3,617
12,142 55	SHS AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL ₍₁₎	139,752	118,326
37,588 773	SHS AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL ₍₁₎	431,254	396,186
41,103 712	SHS AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS ₍₁₎	431,695	404,049
			1,371
5,584 904	SHS AB SMALL CAP CORE PORTFOLIO ADV CL	60,909	53,057
41	SHS ADOBE INC	6,288	9,276
20,996 118	SHS ALLIANCEBERNSTEIN ALL MARKET R CLASS 1 ₍₁₎	206,997	159,990
38	SHS ALPHABET INC-CL C	38,032	39,353
210	SHS ALTRIA GROUP INC	13,192	10,372
			168

THE HAVEN FOUNDATION
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Quantity	SECURITY Description	Fed Tax Cost	Market Value
258	SHS AMERICAN ELECTRIC POWER	16,788	19,283
58	SHS ANTHEM INC	13,458	15,233
187	SHS APPLE INC	26,571	29,497
15	SHS AUTOZONE INC	10,104	12,575
766	SHS BANK OF AMERICA CORP	12,766	18,874
115	SHS BERKSHIRE HATHAWAY INC-CL B	23,512	23,481
128,983 163	SHS BERNSTEIN INTERMEDIATE DURATION PORTFOLIO ₍₁₎	1,780,978	1,649,695
			1,545
15,321 957	SHS BERNSTEIN INTERNATIONAL PORT ₍₁₎	236,189	219,870
33	SHS BIOGEN INC	9,469	9,930
37	SHS BOEING CO/THE	8,951	11,933
4	SHS BOOKING HOLDINGS INC	7,988	6,890
0	SHS BROADRIDGE FINANCIAL SOLUTIO		29
214	SHS CBRE GROUP INC	10,182	8,569
61	SHS CDW CORP/DE	3,780	4,944
123	SHS CHEVRON CORP	16,306	13,381
257	SHS CISCO SYSTEMS INC	8,820	11,136
169	SHS CITIGROUP INC	13,006	8,798
110	SHS COGNIZANT TECH SOLUTIONS-A	8,852	6,983
606	SHS COMCAST CORP-CLASS A	19,233	20,634
			115
45	SHS CONSTELLATION BRANDS INC-A	10,038	7,237
57	SHS COSTCO WHOLESALE CORP	9,375	11,611
331	SHS CUBESMART	10,146	9,496
			106
153	SHS DELTA AIR LINES INC	6,238	7,635
54	SHS DOLLAR GENERAL CORP	5,454	5,836
47	SHS EDWARDS LIFESCIENCES	5,355	7,199
89	SHS EOG RESOURCES INC	6,352	7,762
63	SHS EVEREST RE GROUP LTD	14,193	13,719
101	SHS EXXON MOBIL CORP	8,313	6,887
136	SHS FACEBOOK INC-A	17,205	17,828
96	SHS FIDELITY NATIONAL INFORMATION	10,357	9,845
297	SHS FNF GROUP	11,062	9,338
190	SHS GILEAD SCIENCES INC	16,158	11,885
55	SHS GOLDMAN SACHS GROUP INC	12,534	9,188
114	SHS HOME DEPOT INC	11,077	19,587
94	SHS HONEYWELL INTERNATIONAL INC	10,504	12,419
341	SHS HP INC	5,238	6,977
			55
191	SHS INTEL CORP	9,032	8,984
246	SHS JPMORGAN CHASE & CO	21,355	24,015
107	SHS LYONDELLBASELL INDU-CL A	11,996	8,898
246	SHS MAGNA INTERNATIONAL INC	12,083	11,181
117	SHS MARATHON PETROLEUM CORP	8,186	6,904
58	SHS MCDONALD'S CORP	8,754	10,299
98	SHS MEDTRONIC PLC	8,328	8,914
			49
176	SHS MERCK & CO INC	11,738	13,448
			97
378	SHS MICROSOFT CORP	23,486	38,393
182	SHS MID-AMERICA APARTMENT COMM	19,105	17,417
205	SHS NATIONAL OILWELL VARCO INC	9,037	5,269
193	SHS NIKE INC -CL B	11,619	14,309
			42
505	SHS NISOURCE INC	12,280	12,802
1,220	SHS NOKIA CORP-SPON ADR	7,618	7,100
54	SHS NORFOLK SOUTHERN CORP	6,200	8,075
38	SHS NORTHROP GRUMMAN CORP	7,395	9,306
322	SHS ORACLE CORP	14,323	14,538

THE HAVEN FOUNDATION
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Quantity	SECURITY/Description	Fed Tax Cost	Market Value
127	SHS PEPSICO INC	12,975	14,031
			118
502	SHS PFIZER INC	18,038	21,912
163	SHS PROCTER & GAMBLE CO/THE	14,202	14,983
171	SHS PROGRESSIVE CORP	6,402	10,316
71	SHS RAYTHEON COMPANY	13,247	10,888
			62
208	SHS REGENCY CENTERS CORP	13,169	12,205
142	SHS ROSS STORES INC	10,027	11,814
55	SHS ROYAL DUTCH SHELL PLC-ADR	3,184	3,297
37	SHS S&P GLOBAL INC	5,592	6,288
95	SHS SUN COMMUNITIES INC	8,697	9,662
			67
298	SHS SYNCHRONY FINANCIAL	8,330	6,991
88	SHS TEXAS INSTRUMENTS INC	7,425	8,316
348	SHS TJX COMPANIES INC	12,959	15,570
111	SHS T-MOBILE US INC	5,853	7,061
105	SHS TOTAL SYSTEM SERVICES INC	9,278	8,535
			14
19	SHS ULTA BEAUTY INC	5,525	4,652
90	SHS UNITEDHEALTH GROUP INC	12,561	22,421
241	SHS US FOODS HOLDING CORP	8,801	7,625
273	SHS VERIZON COMMUNICATIONS INC	13,492	15,348
31	SHS VERTEX PHARMACEUTICALS INC	5,569	5,137
151	SHS VISA INC - CLASS A SHARES	11,468	19,923
201	SHS WALMART INC	17,300	18,723
			105
119	SHS WALT DISNEY CO/THE	12,576	13,048
			105
365	SHS WELLS FARGO & COMPANY	19,189	16,819
301	SHS XEROX CORP	7,986	5,948
			75
104	SHS XILINX INC	4,542	8,858
119	SHS ZOETIS INC	7,560	10,179
	Adjustment		207
		10,579,792	10,335,929