

Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018Open to Public
Inspection**A** For the 2018 calendar year, or tax year beginning and ending**B** Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization**RIGHTS AND RESOURCES INSTITUTE, INC.**Doing business as **RIGHTS AND RESOURCES GROUP**

Number and street (or P.O. box if mail is not delivered to street address)

2715 M STREET NW

Room/suite

300

City or town, state or province, country, and ZIP or foreign postal code

WASHINGTON, DC 20007**F** Name and address of principal officer **ANDY WHITE****SAME AS C ABOVE****D** Employer identification number**20-3690821****E** Telephone number**202-470-3900****G** Gross receipts \$**10,380,862.****H(a)** Is this a group return

for subordinates?

☐ Yes☒ No**H(b)** Are all subordinates included?☐ Yes☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶**I** Tax-exempt status ☒ 501(c)(3) ☐ 501(c)() (insert no) ☐ 4947(a)(1) or ☒ 527**J** Website: ▶ **WWW.RIGHTSANDRESOURCES.ORG****K** Form of organization. ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **2005** **M** State of legal domicile: **DC****Part I Summary**

Activities & Governance	1 Briefly describe the organization's mission or most significant activities RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3 Number of voting members of the governing body (Part VI, line 1a)	10	
	4 Number of independent voting members of the governing body (Part VI, line 1b)	9	
	5 Total number of individuals employed in calendar year 2018 (Part V, line 2a)	46	
	6 Total number of volunteers (estimate if necessary)	0	
	7a Total unrelated business revenue from Part VIII, column (C), line 12	0.	
7b Net unrelated business taxable income from Form 990-T, line 38	13,954.		
Revenue	8 Contributions and grants (Part VIII, line 1h)	15,338,113.	10,344,961.
	9 Program service revenue (Part VIII, line 2g)	0.	0.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,386.	30,021.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,509.	5,880.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	15,341,008.	10,380,862.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	4,138,035.	3,565,657.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	3,489,193.	3,688,014.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 193,809.		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	5,105,324.	4,139,426.
	18 Total expenses - Add lines 13-17 (must equal Part IX, column (A), line 25)	12,732,552.	11,393,097.
	19 Revenue less expenses - Subtract line 18 from line 12	2,608,456.	-1,012,235.
	20 Total assets (Part X, line 16)	11,099,669.	11,945,866.
	21 Total liabilities (Part X, line 26)	6,588,427.	8,525,723.
22 Net assets or fund balances - Subtract line 21 from line 20	4,511,242.	3,420,143.	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer *Alan Landis* **Date** **11/2/19**
ALAN LANDIS, CHIEF OPERATING OFFICER
 Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
Firm's name ▶	Firm's EIN ▶		Phone no.	
Firm's address ▶				

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X**1** Briefly describe the organization's mission

RRI'S MISSION IS TO SUPPORT LOCAL COMMUNITIES' AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO OWN, CONTROL AND BENEFIT FROM NATURAL

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**4a** (Code) (Expenses \$ 1,559,384. including grants of \$ 848,166.) (Revenue \$)

THE WORK OF THE RRI COALITION IN PRIORITY COUNTRIES AND REGIONS SUPPORTS THE EFFORTS AND VISION OF THE INDIGENOUS AND COMMUNITY MEN AND WOMEN WHO HAVE BEEN WORKING TO ADVANCE THEIR RIGHTS FOR DECADES BY SUPPORTING THEIR EFFORTS TO CREATE THE CONDITIONS NECESSARY FOR TENURE REFORM. THIS WORK IS LONG TERM, LEVERAGES RRI'S GLOBAL-LEVEL CONNECTIONS TO PRESSURE GOVERNMENTS AND KEY PRIVATE SECTOR ACTORS, AND PAVES THE WAY FOR SUCCESSFUL IMPLEMENTATION OF LAND RIGHTS. THE PROGRESS DISCUSSED BELOW IS THE RESULT OF YEARS OF ADVOCACY EFFORTS.

SETTING THE STAGE FOR TENURE REFORM WITH THE PASSAGE OF THE PROGRESSIVE LAND RIGHTS BILL IN

4b (Code) (Expenses \$ 3,916,475. including grants of \$ 1,865,070.) (Revenue \$)

SINCE ITS FORMAL ESTABLISHMENT IN SWEDEN IN 2017, THE RRI COALITION HAS PROVIDED BACKSTOPPING SUPPORT TO THE TENURE FACILITY AS IT TRANSITIONS TOWARD OPERATIONAL INDEPENDENCE. IN 2018, RRI SUPPORTED THE REFINEMENT OF THE TENURE FACILITY'S RESULTS FRAMEWORK AND MONITORING AND EVALUATION SYSTEM; HELPED PREPARE A BASELINE ANALYSIS FOR MEASURING PROGRESS; PROVIDED COMMUNICATIONS AND LEARNING SUPPORT; AND CONNECTED THE TENURE FACILITY TO OTHER TENURE INSTRUMENTS AND INITIATIVES. IN THE FIELD, RRI CONTRIBUTED TO THE IDENTIFICATION OF PROJECTS, PROPONENTS, AND DELIVERY PARTNERS, AND CONDUCTED ESSENTIAL CONTEXT ANALYSES TO IDENTIFY THE MOST PROMISING OPPORTUNITIES FOR ADVANCING RIGHTS RECOGNITION ON THE GROUND FOR INDIGENOUS PEOPLES AND LOCAL

4c (Code) (Expenses \$ 3,300,090. including grants of \$ 852,421.) (Revenue \$)

STRATEGIC ANALYSIS AND GLOBAL ENGAGEMENT:

AS THE GLOBAL CLIMATE CRISIS ACCELERATES, THE NEED TO RECOGNIZE AND SECURE THE RIGHTS OF INDIGENOUS PEOPLES, LOCAL COMMUNITIES, AND RURAL WOMEN HAS NEVER BEEN MORE URGENT. BUILDING ON THIS RECOGNITION, THE GLOBAL CLIMATE ACTION SUMMIT IN SEPTEMBER WAS IDENTIFIED BY THE RRI COALITION AS A KEY MOMENT TO ADVANCE THESE ASKS WITH GOVERNORS, CLIMATE FINANCIERS, AND OTHER CHANGEMAKERS. AT THE REQUEST OF RRI'S AFFILIATED NETWORKS, RRI LAUNCHED NEW ANALYSES IN ADVANCE OF THE SUMMIT TO PROVIDE THE SCIENTIFIC EVIDENCE UNDERPINNING THIS ADVOCACY EFFORT.

4d Other program services (Describe in Schedule O)

(Expenses \$ 1,419,224. including grants of \$) (Revenue \$)

4e Total program service expenses 10,195,173.

Form 990 (2018)

BADOFRJ

Part IV Checklist of Required Schedules

- 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?
If "Yes," complete Schedule A
- 2 Is the organization required to complete *Schedule B, Schedule of Contributors*?
- 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*
- 4 **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*
- 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*
- 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*
- 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*
- 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*
- 9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*
- 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*
- 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable
 - a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*
 - b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*
 - c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*
 - d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*
 - e Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*
 - f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*
- 12a Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*
- b Was the organization included in consolidated, independent audited financial statements for the tax year?
If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional
- 13 Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*
- 14a Did the organization maintain an office, employees, or agents outside of the United States?
- b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*
- 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*
- 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*
- 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*
- 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*
- 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*
- 20a Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*
- b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?
- 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*

	Yes	No
1	X	
2	X	
3		X
4		X
5		X
6		X
7		X
8		X
9		X
10		X
11a	X	
11b		X
11c		X
11d		X
11e	X	
11f	X	
12a		X
12b		X
13		X
14a	X	
14b	X	
15	X	
16		X
17		X
18		X
19		X
20a		X
20b		
21		X

Part IV Checklist of Required Schedules (continued)

	Yes	No
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
28a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
28b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
28c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	X	
35b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

	Yes	No
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	46	
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	X	
Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)		
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
b If "Yes," enter the name of the foreign country		
See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)		
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
d If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		
9 Sponsoring organizations maintaining donor advised funds.		
a Did the sponsoring organization make any taxable distributions under section 4966?		
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		
10 Section 501(c)(7) organizations. Enter		
a Initiation fees and capital contributions included on Part VIII, line 12	10a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11 Section 501(c)(12) organizations. Enter		
a Gross income from members or shareholders	11a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state?		
Note. See the instructions for additional information the organization must report on Schedule O		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c Enter the amount of reserves on hand	13c	
14a Did the organization receive any payments for indoor tanning services during the tax year?		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		X
If "Yes," see instructions and file Form 4720, Schedule N		
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income?		X
If "Yes," complete Form 4720, Schedule O		

Form 990 (2018)

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒**Section A. Governing Body and Management**

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	10	
b Enter the number of voting members included in line 1a, above, who are independent	9	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6 Did the organization have members or stockholders?		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization	X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **NONE**

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☐ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, address, and telephone number of the person who possesses the organization's books and records **THE ORGANIZATION - 202-470-3900**
2715 M STREET NW #300, WASHINGTON, DC 20007

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) SAMUEL NGUIFFO DIRECTOR	1.00	X						0.	0.	0.
(2) SASKIA OZINGA SECRETARY	1.00	X						0.	0.	0.
(3) ARUN AGRAWAL DIRECTOR	1.00	X						0.	0.	0.
(4) NIGHISTY GHEZAE DIRECTOR	1.00	X						0.	0.	0.
(5) MICHAEL JENKINS DIRECTOR	1.00	X						0.	0.	0.
(6) MARGARET SMITH DIRECTOR	1.00	X						0.	0.	0.
(7) THOMAS A WHITE PRESIDENT	40.00			X				189,552.	0.	61,624.
(8) ALAN LANDIS CHIEF OPERATING OFFICER	40.00			X				144,068.	0.	46,167.
(9) MATTHEW ZIMMERMANN CHIEF OPERATING OFFICER	40.00			X				57,130.	0.	17,094.
(10) TAPANI OKSANEN CHAIR	5.00			X				0.	0.	0.
(11) CHITRA SUBRAMANIAM TREASURER	5.00			X				0.	0.	0.
(12) ARVIND KHARE DIRECTOR, TF SUPPORT GROUP	40.00				X			165,429.	0.	23,907.
(13) CAROLE CARLSON DIRECTOR, FINANCE & ADMI	40.00					X		135,150.	0.	49,408.
(14) ALAIN FRECHETTE DIRECTOR, STRATEGIC ANALYS	40.00					X		173,414.	0.	19,860.
(15) SOLANGE BANDIAKY DIRECTOR, AFRICA PROGRAM	40.00					X		128,821.	0.	41,232.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

8

		Yes	No
3	Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
THE MUNDEN PROJECT, COMMUNICATIONS HOUSE/26 YORK STREET, LONDON, UNITED	CONSULTANCY ON LAND GOVERNANCE	547,463.
BMDODO STRATEGIC DESIGN, 348 BAGOT STREET STE 103, KINGSTON, ONTARIO, CANADA	LOGO AND BRAND DEVELOPMENT	121,466.
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 2		

Form **990** (2018)

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	9,634,786.			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	710,175.			
	g	Noncash contributions included in lines 1a-1f \$					
	h	Total. Add lines 1a-1f		10,344,961.			
Program Service Revenue			Business Code				
	2 a						
	b						
	c						
	d						
	e						
	f	All other program service revenue	900099				
g	Total. Add lines 2a-2f						
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		30,021.			30,021.
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross rents	(i) Real (ii) Personal				
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other				
	b	Less cost or other basis and sales expenses					
	c	Gain or (loss)					
	d	Net gain or (loss)					
	8 a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from fundraising events					
	9 a	Gross income from gaming activities See Part IV, line 19	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from gaming activities					
10 a	Gross sales of inventory, less returns and allowances	a					
b	Less cost of goods sold	b					
c	Net income or (loss) from sales of inventory						
Miscellaneous Revenue			Business Code				
11 a	OTHER REVENUE	900099	5,880.			5,880.	
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d		5,880.				
12	Total revenue. See instructions		10,380,862.	0.	0.	35,901.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

☒ X

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	3,565,657.	3,565,657.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,598,526.	1,226,065.	357,059.	15,402.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	1,477,352.	1,359,708.	33,320.	84,324.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	99,943.	83,340.	13,550.	3,053.
9 Other employee benefits	227,457.	189,669.	30,839.	6,949.
10 Payroll taxes	284,736.	216,538.	65,259.	2,939.
11 Fees for services (non-employees)				
a Management	83,949.		12,776.	71,173.
b Legal	401.		401.	
c Accounting	61,199.		61,199.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	2,159,136.	2,100,674.	57,458.	1,004.
12 Advertising and promotion				
13 Office expenses	122,261.	36,997.	85,264.	
14 Information technology				
15 Royalties				
16 Occupancy	221,360.	198,126.	19,468.	3,766.
17 Travel	631,184.	619,565.	8,774.	2,845.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	254,594.	251,262.	3,332.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	130,163.	116,501.	11,447.	2,215.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a CONSULTANT EXPENSES	178,723.	167,462.	11,261.	
b MISCELLANEOUS	163,439.	9,558.	153,841.	40.
c LOSS ON FOREIGN EXCHANGE	78,864.		78,864.	
d PUBLICATIONS AND OTHER	54,153.	54,051.	3.	99.
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	11,393,097.	10,195,173.	1,004,115.	193,809.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing		1	6,000.
	2 Savings and temporary cash investments	5,417,729.	2	8,404,225.
	3 Pledges and grants receivable, net	4,797,264.	3	2,528,270.
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L.		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	98,400.	9	101,334.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a 859,087.		
	b Less: accumulated depreciation	10b 386,045.	10c	473,042.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11.		12	
	13 Investments - program-related. See Part IV, line 11.		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11.	187,333.	15	432,995.
16 Total assets. Add lines 1 through 15 (must equal line 34).	11,099,669.	16	11,945,866.	
Liabilities	17 Accounts payable and accrued expenses	1,329,245.	17	1,332,733.
	18 Grants payable	1,490,943.	18	1,490,943.
	19 Deferred revenue	3,359,077.	19	5,206,884.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D.		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties	123.	24	100,243.
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.	409,039.	25	394,920.
	26 Total liabilities. Add lines 17 through 25.	6,588,427.	26	8,525,723.
	Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.		
27 Unrestricted net assets		1,151,733.	27	1,306,049.
28 Temporarily restricted net assets		3,359,509.	28	2,114,094.
29 Permanently restricted net assets			29	
Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
30 Capital stock or trust principal, or current funds			30	
31 Paid-in or capital surplus, or land, building, or equipment fund			31	
32 Retained earnings, endowment, accumulated income, or other funds			32	
33 Total net assets or fund balances		4,511,242.	33	3,420,143.
34 Total liabilities and net assets/fund balances		11,099,669.	34	11,945,866.

Form 990 (2018)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	10,380,862.
2	Total expenses (must equal Part IX, column (A), line 25)	2	11,393,097.
3	Revenue less expenses Subtract line 2 from line 1	3	-1,012,235.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	4,511,242.
5	Net unrealized gains (losses) on investments	5	-78,864.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	3,420,143.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
3b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2018)

Department of the Treasury
Internal Revenue Service

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2018

• Open to Public
• Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number
20-3690821

Part I	Reason for Public Charity Status (All organizations must complete this part) See instructions
---------------	-------------------------------------------------------------------------------------------------------

The organization is not a private foundation because it is (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ))
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions) Enter the name, city, and state of the college or university _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f Enter the number of supported organizations _____
- g Provide the following information about the supported organization(s).

g Provide the following information about the supported organization(s).						
(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	11,070,560.	16,500,618.	12,176,973.	15,338,113.	9,661,992.	64,748,256.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	11,070,560.	16,500,618.	12,176,973.	15,338,113.	9,661,992.	64,748,256.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						64,748,256.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
7 Amounts from line 4	11,070,560.	16,500,618.	12,176,973.	15,338,113.	9,661,992.	64,748,256.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	6,847.	3,633.	1,437.	1,386.	30,021.	43,324.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	4,576.	9,962.	2,268.	1,509.	5,880.	24,195.
11 Total support. Add lines 7 through 10						64,815,775.
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2018 (line 6, column (f) divided by line 11, column (f))	14	99.90	%
15 Public support percentage from 2017 Schedule A, Part II, line 14	15	99.93	%
16a 33 1/3% support test - 2018. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>			
b 33 1/3% support test - 2017. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>			
17a 10% -facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>			
b 10% -facts-and-circumstances test - 2017. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>			
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>			

Schedule A (Form 990 or 990-EZ) 2018

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) 2018	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2018 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2017 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2018 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2017 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2018. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐**b 33 1/3% support tests - 2017.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

Part IV Supporting Organizations (continued)

- 11 Has the organization accepted a gift or contribution from any of the following persons?
- a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?
- b A family member of a person described in (a) above?
- c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.

	Yes	No
11a		
11b		
11c		

Section B. Type I Supporting Organizations

- 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.
- 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

	Yes	No
1		
2		

Section C. Type II Supporting Organizations

- 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

	Yes	No
1		

Section D. All Type III Supporting Organizations

- 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).
- 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.

	Yes	No
1		
2		
3		

Section E. Type III Functionally Integrated Supporting Organizations

- 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
- a ☐ The organization satisfied the Activities Test. Complete line 2 below.
- b ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.
- c ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.

- a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
- b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.
- 3 Parent of Supported Organizations. Answer (a) and (b) below.
- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.
- b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.

	Yes	No
2a		
2b		
3a		
3b		

Part V. Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI)		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions)		

Schedule A (Form 990 or 990-EZ) 2018

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2018 from Section C, line 6	
10 Line 8 amount divided by line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2018	(iii) Distributable Amount for 2018
1 Distributable amount for 2018 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2018 (reasonable cause required- explain in Part VI) See instructions			
3 Excess distributions carryover, if any, to 2018			
a From 2013			
b From 2014			
c From 2015			
d From 2016			
e From 2017			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2018 distributable amount			
i Carryover from 2013 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2018 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2018 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2018, if any Subtract lines 3g and 4a from line 2 For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2018 Subtract lines 3h and 4b from line 1 For result greater than zero, explain in Part VI See instructions			
7 Excess distributions carryover to 2019. Add lines 3j and 4c			
8 Breakdown of line 7			
a Excess from 2014			
b Excess from 2015			
c Excess from 2016			
d Excess from 2017			
e Excess from 2018			

Schedule A (Form 990 or 990-EZ) 2018

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b, Part V, line 1, Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
(See instructions)

//

SCHEDULE D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018Open to Public
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
(ii) Assets included in Form 990, Part X	▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenue included on Form 990, Part VIII, line 1	▶ \$ _____
b Assets included in Form 990, Part X	▶ \$ _____

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2018

832051 10-29-18

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply)

a ☐ Public exhibitiond ☐ Loan or exchange programsb ☐ Scholarly researche ☐ Other _____c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☐ No**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes☐ Nob If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10

1a Beginning of year balance

b Contributions

c Net investment earnings, gains, and losses

d Grants or scholarships

e Other expenditures for facilities and programs

f Administrative expenses

g End of year balance

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a					
1b					
1c					
1d					
1e					
1f					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ☐ %b Permanent endowment ☐ %c Temporarily restricted endowment ☐ %

The percentages on lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
1b Buildings				
1c Leasehold improvements		384,381.	249,360.	135,021.
1d Equipment		297,973.	42,390.	255,583.
1e Other		176,733.	94,295.	82,438.
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10c)				473,042.

Schedule D (Form 990) 2018

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED RENT	394,920.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2018

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25.			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1.			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)		5	

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2018 THERE ARE NO ACCRUALS FOR UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER 31, 2015 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL AND STATE AUTHORITIES.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

Part XIII Supplemental Information (continued)

LOSS ON FOREIGN EXCHANGE

PART XII, LINE 2D - OTHER ADJUSTMENTS:

LOSS ON FOREIGN EXCHANGE

PART X, LINE 2

RRG EVALUATES UNCERTAINTY IN INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE
TAKEN ON A TAX RETURN BASED ON THE MORE-LIKELY-THAN-NOT RECOGNITION
STANDARD. IF THAT THRESHOLD IS MET, THE TAX POSITION IS THEN MEASURED AT
THE LARGEST AMOUNT THAT IS GREATER THAN 50% LIKELY OF BEING REALIZED UPON
ULTIMATE SETTLEMENT. AS OF DECEMBER 31, 2018, THERE ARE NO ACCRUALS FOR
UNCERTAIN TAX POSITIONS. IF APPLICABLE, RRG RECORDS INTEREST AND
PENALTIES AS A COMPONENT OF INCOME TAX EXPENSE. TAX YEARS FROM DECEMBER
31, 2015 THROUGH THE CURRENT YEAR REMAIN OPEN FOR EXAMINATION BY FEDERAL
AND STATE AUTHORITIES.

SCHEDULE F
(Form 990)Department of the Treasury
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018Open to Public
Inspection

Name of the organization

Employer identification number

RIGHTS AND RESOURCES INSTITUTE, INC.

20-3690821

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on
Form 990, Part IV, line 14b

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
CENTRAL AMERICA AND CARIBBEAN		1	GRANTS TO RECIPIENTS		29,890.
SOUTH AMERICA			GRANTS TO RECIPIENTS		273,831.
EAST ASIA AND THE PACIFIC		1	GRANTS TO RECIPIENTS		845,961.
EUROPE			GRANTS TO RECIPIENTS		1,013,089.
SOUTH ASIA			GRANTS TO RECIPIENTS		537,494.
SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,			GRANTS TO RECIPIENTS		865,392.
NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES			GRANTS TO RECIPIENTS		0.
3 a Subtotal	0	2			3,565,657.
b Total from continuation sheets to Part I	0	0			0.
c Totals (add lines 3a and 3b)	0	2			3,565,657.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2018

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			EUROPE	GENERAL SUPPORT	1,013,089.		0.		
			SUB-SAHARAN AFRICA	COMMUNICATIONS SUPPORT FOR THE TENURE FACILITY	1,326.		0.		
				EXPOSURE VIST OF NEPALI PARLIAMENT MEMBERS, POLICYMAKERS, AND CIVIL SOCIETY	44,446.		0.		
			SOUTH ASIA						
			EAST ASIA AND THE PACIFIC	RIGHTS-BASED APPROACHES TO CONVERSATION IN INDIA	3,920.		0.		
				HOLDING THE GOVERNMENT ACCOUNTABLE FOR FULFILLING ITS NDC	28,560.		0.		
			SOUTH AMERICA	INDIGENOUS ECONOMY PLAN OF "PIDA PLENA / BUEN VIVIR" AS PUBLIC POLICY	14,000.		0.		
			SOUTH AMERICA	SAFEGUARDING INDIGENOUS PEOPLES RIGHTS IN THE PROPOSED NATIONAL SEIZING OPPORTUNITIES FOR ADVANCING THE RIGHTS OF GUINEAN COMMUNITIES AFFECTED	49,575.		0.		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SUB-SAHARAN AFRICA	SUPPORT FOR A PROVINCIAL LEGAL FRAMEWORK TO RECOGNIZE AND SECURE STUDY AND DATA ANALYSIS OF FOREST PLANTATIONS UNDER CAMPA	14,934.		0.		
			EAST ASIA AND THE PACIFIC	SUPPORT TO JVAM FOR ENHANCING FOREST ENTITLEMENTS IN JHARKHAND THROUGH	16,745.		0.		
			EAST ASIA AND THE PACIFIC	STRATEGIC SUPPORT TO KENDENG COMMUNITIES	44,948.		0.		
			SOUTH ASIA	THE GLOBAL CALL TO ACTION ON INDIGENOUS AND COMMUNITY LAND RIGHTS COORDINATION	3,157.		0.		
			SOUTH ASIA	RRI FACILITATION IN SOUTHEAST ASIA	18,657.		0.		
			SUB-SAHARAN AFRICA	SCALING UP INVESTOR AND CORPORATE ACTION TO SECURE COMMUNITY LAND RIGHTS AND	60,738.		0.		
			EAST ASIA AND THE PACIFIC	SCALING UP COMMUNITIES FOREST RIGHTS IN INDIA CAMPAIGN TO	15,583.		0.		
			EAST ASIA AND THE PACIFIC	SAFEGUARDS TRIBAL AND FOREST DWELLERS RIGHTS IN THE	14,082.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	SAFEGUARDING PROTECTED FORESTS THROUGH MAPPING AND NTFP HARVESTING AND	4,505.		0.		
		EAST ASIA AND THE PACIFIC	STRENGTHENING WOMEN FARMERS' FOREST RIGHTS IN INDIA	5,776.		0.		
		SUB-SAHARAN AFRICA	UPDATE OF FOREST AREA DATA FOR FIVE COUNTRIES	4,235.		0.		
		SOUTH ASIA	STRENGTHENING THE CAPACITY OF COMMUNITY FOREST COOPERATIVE "CAHAYA PANCA	54,387.		0.		
		SOUTH ASIA	SUPPORT FOR THE IMPLEMENTATION OF BUSINESS AND HUMAN RIGHTS PRINCIPLES IN	24,634.		0.		
		SUB-SAHARAN AFRICA	INCORPORATING LOCAL AND INDIGENOUS WOMENS LAND RIGHTS IN THE DRAFTING OF THE NEW CONGOLESE	31,910.		0.		
		SUB-SAHARAN AFRICA	ENVIRONMENTAL CIVIL SOCIETY'S ADVOCACY AROUND THE MAJOR	28,000.		0.		
		SUB-SAHARAN AFRICA	SECURING INDIGENOUS PEOPLES', WOMEN'S, AND LOCAL COMMUNITIES' RIGHTS SUPPORTING	32,292.		0.		
		SUB-SAHARAN AFRICA	COMMUNITIES TO REALIZE TREE TENURE RIGHTS	25,755.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SOUTH AMERICA	CONSOLIDATION OF A PROPOSAL OF INDIGENOUS ECONOMY FROM THE ANDEAN AND REGIONAL EXCHANGE OF LESSONS LEARNED ON SUCCESSFUL COMMUNITY STRATEGIES FOR LAND	37,500.		0.		
			SOUTH AMERICA		52,049.		0.		
			SUB-SAHARAN AFRICA	GLOBAL BASELINE OF CARBON STORAGE IN COLLECTIVE LANDS	5,000.		0.		
			SUB-SAHARAN AFRICA	ADVOCATING FOR COMMUNITY TENURE RIGHTS IN THE IMPLEMENTATION OF THE	0.		0.		
			SOUTH ASIA	POLICY ADVOCACY FOR FOREST RIGHT LAW, MOBILIZE FORESTRY WATCHDOG GROUP, AND ENGAGING WITH AND CAPACITY BUILDING OF LOCAL GOVERNMENTS IN NEPAL TO SUPPORT	40,000.		0.		
			SOUTH ASIA		48,811.		0.		
			SOUTH ASIA	NEPAL COUNTRY PLANNING MEETING FOR 2019 ACTIVITIES	2,772.		0.		
			SUB-SAHARAN AFRICA	SECURING FOREST PEOPLES CUSTOMARY TENURE IN KENYA A STRATEGIC RESPONSE TO COMMUNITY FORUM	64,919.		0.		
			SUB-SAHARAN AFRICA	FOCUSING ON LIMITING THREATS TO COMMUNITY LAND SECURITY IN	32,454.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SOUTH ASIA	SOUTH EAST ASIAN REGIONAL CONFERENCE ON HUMAN RIGHTS AND BUSINESS.	19,957.		0.		
			SOUTH ASIA	TO ORGANIZE EXPERT GROUP MEETINGS AND SHARING THE DOCUMENTS OF THE BEST MODEL OF	40,000.		0.		
			SUB-SAHARAN AFRICA	ADVISING CIVIL SOCIETY ON INVESTMENT CHAIN IN LIBERIAN OIL PALM SECTOR AND	32,500.		0.		
				FOSTERING GOVERNMENTAL COMMITMENTS TOWARDS THE COLLECTIVE	44,896.		0.		
			SOUTH AMERICA	SUPPORT TO COORDINATE TENURE ORGANIZATIONS ADVOCACY AROUND MINERAL EXTRA CTIVE	70,134.		0.		
				SUPPORTING AND MONITORING TITLING OF CUSTOMARY ADAT FOREST RIGHTS	20,492.		0.		
			SOUTH ASIA	STUDY AND DATA ANALYSIS OF FOREST PLANTATIONS UNDER CAMPA	27,966.		0.		
			GLOBAL	UPSCALLING COMMUNITY FOREST RESOURCE IN INDIA	706,678.		0.		
			EAST ASIA AND THE PACIFIC	PROVIDE A BROAD FRAMEWORK BETWEEN THE PARTIES TOWARDS ORGANISING THE 5TH	34,366.		0.		
			SUB-SAHARAN AFRICA						

Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			EAST ASIA AND THE PACIFIC	RESPONDING TO LEGAL AND POLICY CHALLENGES UNDER THE FOREST RIGHTS ACT IN INDIA	16,964.		0.		
			SOUTH ASIA	STRENGTHENING COAST AND SMALL-SMALL-ISLAND CUSTOMARY COMMUNITIES	20,468.		0.		
			SOUTH ASIA	ESTABLISHING QUICK AND EFFECTIVE RESPONSE ON EMERGENCY SITUATION THROUGH DATA REPORT ON ONGOING LAND CONFLICTS IN INDIA UNDER THE RIGHTS AND	11,548.		0.		
			EAST ASIA AND THE PACIFIC	ENSURE COMMUNITY AND HOUSEHOLD ACCESS, CONTROL AND MANAGEMENT RIGHTS	13,127.		0.		
			EAST ASIA AND THE PACIFIC	ENHANCING COORDINATION AND COMMUNICATION AMONGST RRI COLLABORATORS	12,819.		0.		
			SUB-SAHARAN AFRICA	ADVOCACY STRATEGY TO SECURE THE RESPECT OF INDIGENOUS AND GENDER SAFEGUARDS IN THE	9,718.		0.		
			SOUTH AMERICA	ALLIANCES FOR THE DEFENSE OF ETHNIC TERRITORIAL RIGHTS IN THE IMPLEMENTATION OF COMMUNITY FOREST ENTERPRISE (CFE) DEVELOPMENT WITH THE PRIVATE SECTOR IN	37,500.		0.		
			SOUTH AMERICA		74,780.		0.		
			SOUTH ASIA		27,333.		0.		

Part II	Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)								
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SOUTH ASIA	SUPPORTING FOREST-BASED ECONOMIC EMPOWERMENT WITH THE CUSTOMARY FOREST	21,696.		0.		
			SOUTH ASIA	USING LEGAL ACTION AS ALTERNATIVE AGAINST ILLEGAL CONCESSIONS IN	9,609.		0.		
			SUB-SAHARAN AFRICA	LOGISTICAL SUPPORT FOR RRI-GIZ SCOPING MISSION	0.		0.		
			EAST ASIA AND THE PACIFIC	SECURING COMMUNITY FOREST RESOURCE RIGHTS OF PASTORALISTS IN	4,667.		0.		
			SOUTH ASIA	SECURING ACCESS AND LIVELIHOODS FOR FISHER COMMUNITIES IN COASTAL AREAS IN	19,803.		0.		
			SOUTH ASIA	WORK TO BE PROVIDED FOR THE TENURE FACILITY AND FOR THE RRI SOUTHEAST ASIA	75,115.		0.		
			SUB-SAHARAN AFRICA	BUILDING A NATIONAL EFFORT TO PROMOTE THE PASSAGE OF A PRO-COMMUNITY LAND RIGHTS	34,957.		0.		
			SUB-SAHARAN AFRICA	CSO ACCESS TO ACCOUNTABILITY MECHANISMS WITHIN OIL PALM INVESTMENTS IN	34,940.		0.		
			SUB-SAHARAN AFRICA	CSO MONITORING OF RSPO COMPLAINTS PANEL DECISION ON GVLS COMPLAINTS	49,473.		0.		

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)									
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			SUB-SAHARAN AFRICA	EXPERT SEMINAR ON CRIMINALIZATION AND INDIGENOUS PEOPLES	48,300.		0.		
			SUB-SAHARAN AFRICA	THE PROTECTION OF CUSTOMARY COLLECTIVE COMMUNITY LAND RIGHTS IN LIBERIA PHASE 2	0.		0.		
			SOUTH AMERICA	CONSOLIDATING AFRO-DESCENDANT COMMUNITY COLLECTIVE TENURE RIGHTS IN	12,739.		0.		
			EAST ASIA AND THE PACIFIC	ASSESSING THE PROCESS AND IMPACT OF TENURE SECURITY IN THE RECOGNITION OF FOREST	26,615.		0.		
			EAST ASIA AND THE PACIFIC	LEGAL SUPPORT AND CAPACITY BUILDING FOR ADVANCING FOREST RIGHTS INITIATIVES IN	10,306.		0.		
			EAST ASIA AND THE PACIFIC	RESPONDING TO NEW AND EMERGING LEGAL AND POLICY CHALLENGES TO FOREST RIGHTS ACT	560.		0.		
			SUB-SAHARAN AFRICA	SUPPATTING CSOS INPUT TA THE NOTIONOLLOND LOW REVISION IN LOO PDR	27,411.		0.		
			SUB-SAHARAN AFRICA	A GLOBAL BASELINE OF CARBON STORAGE IN COLLECTIVE LANDS	49,988.		0.		
			SUB-SAHARAN AFRICA	A GLOBAL BASELINE OF CARBON STORAGE IN COLLECTIVE LANDS	16,634.		0.		

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, don't file with Form 990) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U S Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, don't file with Form 990) ☐ Yes ☒ No

Schedule F (Form 990) 2018

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART II, COLUMN (D):

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: EXPOSURE VIST OF NEPALI PARLIAMENT MEMBERS,
POLICYMAKES, AND CIVIL SOCIETY LEADERS TO MEXICO

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: HOLDING THE GOVERNMENT ACCOUNTABLE FOR FULFILLING
ITS NDC COMMITMENTS AND INCLUDING INDIGENOUS PROPOSALS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: SAFEGUARDING INDIGENOUS PEOPLES RIGHTS IN THE
PROPOSED NATIONAL PROTECTED AREA (NPA) YAGUAS, PERU

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SEIZING OPPORTUNITIES FOR ADVANCING THE RIGHTS OF
GUINEAN COMMUNITIES AFFECTED BY ANGLOGOLD ASHANTI

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SUPPORTFOR A PROVINCIAL LEGAL FRAMEWORK TO
RECOGNIZE AND SECURE LOCAL COMMUNITIES'AND INDIGENOUS PEOPLES' LAND RIGH
IN SOUTH KIVU PROVINCE

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: SUPPORT TO JVAM FOR ENHANCING FOREST ENTITLEMENTS
IN JHARKHAND THROUGH PROPER IMPLEMENTATION OF FRA 2006

REGION: SUB-SAHARAN AFRICA

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

(D) PURPOSE OF GRANT: SCALING UP INVESTOR AND CORPORATE ACTION TO SECURE
COMMUNITY LAND RIGHTS AND PROMOTE RESPONSIBLE INVESTMENT

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: CAMPAIGN TO SAFEGUARDS TRIBAL AND FOREST DWELLERS
RIGHTS IN THE COMPENSATORY AFFORESTATION FUND RULES

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: SAFEGUARDING PROTECTED FORESTS THROUGH MAPPING AND
NTFP HARVESTING AND COMMUNITY FOREST ENTERPRISES

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: STRENGTHENING THE CAPACITY OF COMMUNITY FOREST
COOPERATIVE "CAHAYA PANCA SEJAHTERA" IN DEVELOPING FOREST COFFEE BUSINESS

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: SUPPORT FOR THE IMPLEMENTATION OF BUSINESS AND
HUMAN RIGHTS PRINCIPLES IN LIGHT OF TENURIAL ISSUES IN INDONESIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: INCORPORATING LOCAL AND INDIGENOUS WOMENS LAND
RIGHTS IN THE DRAFTING OF THE NEW LAND POLICY IN THE DRC

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CONGOLESE ENVIRONMENTAL CIVIL SOCIETY'S ADVOCACY
AROUND THE MAJOR ONGOING REFORMS IN THE FOREST SECTOR: DRAFTING OF THE
NEW FOREST POLICY, THE REVISION OF THE FOREST CODE, AND THE LIFTING OF

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

THE MORATORIUM ON THE ISSUANCE OF NEW LOGGING CONCESSIONSREGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SECURING INDIGENOUS PEOPLES', WOMEN'S, AND LOCAL COMMUNITIES' RIGHTS UNDER A NEW LEGAL LAND FRAMEWORK IN THE CENTRAL AFRICAN REPUBLIC (CAR), AND SUPPORT FOR THE ESTABLISHMENT OF REPAR-CAR TO MONITOR THE LAND REFORM PROCESS

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: CONSOLIDATION OF A PROPOSAL OF INDIGENOUS ECONOMY FROM THE ANDEAN AND AMAZONIAN PERSPECTIVES

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: REGIONAL EXCHANGE OF LESSONS LEARNED ON SUCCESSFUL COMMUNITY STRATEGIES FOR LAND AND RESOURCE MANAGEMENT SYSTEMS AND THEIR EFFECTIVENESS AS ALTERNATIVES FOR CLIMATE CHANGE AND DEVELOPMENT INITIATIVES

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVOCATING FOR COMMUNITY TENURE RIGHTS IN THE IMPLEMENTATION OF THE REDD+ IN LIBERIA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: POLICY ADVOCACY FOR FOREST RIGHT LAW, MOBILIZE FORESTRY WATCHDOG GROUP, AND DEVELOP GENDER STRATEGY

REGION: SOUTH ASIA

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

(D) PURPOSE OF GRANT: ENGAGING WITH AND CAPACITY BUILDING OF LOCAL GOVERNMENTS IN NEPAL TO SUPPORT COMMUNITY FORESTRY

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: SECURING FOREST PEOPLES CUSTOMARY TENURE IN KENYA
A STRATEGIC RESPONSE TO URGENT THREATS AND EMERGING OPPORTUNITIES

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: COMMUNITY FORUM FOCUSING ON LIMITING THREATS TO
COMMUNITY LAND SECURITY IN KENYA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: TO ORGANIZE EXPERT GROUP MEETINGS AND SHARING THE
DOCUMENTS OF THE BEST MODEL OF SUSTAINABLE FOREST MANAGEMENT AND
COMMUNITY FOREST ENTERPRISES

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ADVISING CIVIL SOCIETY ON INVESTMENT CHAIN IN
LIBERIAN OIL PALM SECTOR AND COMPANY-SPECIFIC ADVOCACY STRATEGIES THAT
COULD BE EMPLOYED

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: FOSTERING GOVERNMENTAL COMMITMENTS TOWARDS THE
COLLECTIVE TITLING OF QUILOMBOLA AND AFRO-DESCENDANT COMMUNITY LANDS IN
BRAZIL AND COLOMBIA

REGION: SUB-SAHARAN AFRICA

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

(D) PURPOSE OF GRANT: SUPPORT TO COORDINATE TENURE ORGANIZATIONS

ADVOCACY AROUND MINERAL EXTRA CTIVE BENEFITS IN THE SAHEL

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: PROVIDE A BROAD FRAMEWORK BETWEEN THE PARTIES

TOWARDS ORGANISING THE 5TH TENURE FACILITY LEARNING EXCHANGE

INTERNATIONAL PROGRAM

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: STRENGTHENING COAST AND SMALL-SMALL- ISLAND

CUSTOMARY COMMUNITIES ADAPTATION STRATEGIES IN THE FACE OF CLIMATE CHANGE
IN INDONESIA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: ESTABLISHING QUICK AND EFFECIVE RESPONSE ON

EMERGENCY SITUATION THROUGH EMERGENCY SYSTEM AND CAMPAIGN OF

ACTI-CRIMINALIZATION LAND RIGHTS DEFENDERS IN INDONESIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: DATA REPORT ON ONGOING LAND CONFLICTS IN INDIA

UNDER THE RIGHTS AND RESOURCES INITIATIVE IN INDIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: ENSURE COMMUNITY AND HOUSEHOLD ACCESS, CONTROL AND

MANAGEMENT RIGHTS OVER FOREST LAND AND RESOURCES THROUGH THE RIGHTFUL

IMPLEMENTATIONS OF THE FRA IN ADIVASI DOMINANT VILLAGES IN INDIA

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: ENHANCING COORDINATION AND COMMUNICATION AMONGST
RRI COLLABORATORS WORKING ON TENURE RIGHTS AND FOREST GOVERNANCE ISSUES
IN LIBERIA

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ADVOCACY STRATEGY TO SECURE THE RESPECT OF
INDIGENOUS AND GENDER SAFEGUARDS IN THE IMPLEMENTATION OF TITLING
PROJECTS IN PERU

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: ALLIANCES FOR THE DEFENSE OF ETHNIC TERRITORIAL
RIGHTS IN THE IMPLEMENTATION OF PEACE AGREEMENT IN COLOMBIA.

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: COMMUNITY FOREST ENTERPRISE (CFE) DEVELOPMENT WITH
THE PRIVATE SECTOR IN MYANMAR

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: SUPPORTING FOREST-BASED ECONOMIC EMPOWERMENT WITH
THE CUSTOMARY FOREST FORESTS OF KASEPUHAN KARANG IN INDONESIA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: USING LEGAL ACTION AS ALTERNATIVE AGAINST
ILLLEGAL CONCESSIONS IN INDONESIA

REGION: EAST ASIA AND THE PACIFIC

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

(D) PURPOSE OF GRANT: SECURING COMMUNITY FOREST RESOURCE RIGHTS OF
PASTORALISTS IN KUTCH, GUJARAT INDIA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: SECURING ACCESS AND LIVELIHOODS FOR FISHER
COMMUNITIES IN COASTAL AREAS IN INDONESIA

REGION: SOUTH ASIA

(D) PURPOSE OF GRANT: WORK TO BE PROVIDED FOR THE TENURE FACILITY AND
FOR THE RRI SOUTHEAST ASIA PROGRAM

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: BUILDING A NATIONAL EFFORT TO PROMOTE THE PASSAGE
OF A PRO- COMMUNITY LAND RIGHTS AND ACT IN LIBERIA

REGION: SUB-SAHARAN AFRICA

(D) PURPOSE OF GRANT: CSO ACCESS TO ACCOUNTABILITY MECHANISMS WITHIN OIL
PALM INVESTMENTS IN LIBERIA

REGION: SOUTH AMERICA

(D) PURPOSE OF GRANT: CONSOLIDATING AFRO-DESCENDANT COMMUNITY COLLECTIVE
TENURE RIGHTS IN COLOMBIA

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: ASSESSING THE PROCESS AND IMPACT OF TENURE
SECURITY IN THE RECOGNITION OF FOREST RIGHTS ACT 2006

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds), Part I, line 3, column (f) (accounting method, amounts of investments vs expenditures per region), Part II, line 1 (accounting method), Part III (accounting method), and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

REGION: EAST ASIA AND THE PACIFIC

(D) PURPOSE OF GRANT: LEGAL SUPPORT AND CAPACITY BUILDING FOR ADVANCING
FOREST RIGHTS INITIATIVES IN INDIA

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No 1545-0047

2018

Open to Public
Inspection

Name of the organization

RIGHTS AND RESOURCES INSTITUTE, INC.

Employer identification number

20-3690821

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--------------------------------------------------------------------|----------------------------------------------------------------------------|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| <input type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a		X
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2018

Part III	Supplemental Information
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Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

[illegible]

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

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FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND MARGINALIZATION BY PROMOTING GREATER GLOBAL COMMITMENT AND ACTION
TOWARDS POLICY, MARKET, AND LEGAL REFORMS THAT SECURE THEIR RIGHTS TO
OWN, CONTROL AND BENEFIT FROM NATURAL RESOURCES, ESPECIALLY LAND AND
FORESTS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

RESOURCES, ESPECIALLY LAND AND FORESTS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

LIBERIA

AFTER A DECADE OF ADVOCACY BY LIBERIAN CIVIL SOCIETY SUPPORTED BY THE
RRI COALITION, LIBERIA ADOPTED A PRO- COMMUNITY LAND RIGHTS BILL
(FORMERLY KNOWN AS THE LAND RIGHTS ACT). BY RECOGNIZING COMMUNITY
LAND RIGHTS WITHOUT REQUIRING A FORMAL DEED AND TITLE, THE BILL
PROVIDES A FRAMEWORK FOR A MORE PROSPEROUS AND PEACEFUL LIBERIA AND
SETS AN IMPORTANT PRECEDENT FOR ALL OF WEST AFRICA TO FOLLOW.

TO ACHIEVE THIS RESULT, THE CSO WORKING GROUP ON LAND RAISED PUBLIC
AWARENESS ABOUT THE IMPORTANCE OF COMMUNITY LAND RIGHTS AND REGULARLY
CONVENED A DIVERSE GROUP OF STAKEHOLDERS TO COORDINATE AND STRATEGIZE
ON ADVOCACY IN AN EVER-CHANGING, POLITICALLY CHALLENGING CONTEXT. THIS
WORK TOOK MANY FORMS, INCLUDING TECHNICAL SUPPORT TO BUILD THE
CAPACITIES OF COMMUNITIES AND CSOS; SUPPORT FOR OPENING POLITICAL

SPACES OF ENGAGEMENT WITH DECISION-MAKERS; HELP RALLYING THE SUPPORT

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2018)

832211 10-10-18

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OF VARIOUS STAKEHOLDERS; AND MEDIA ENGAGEMENT. DEMAND FOR MORE ROBUST INTERNATIONAL SUPPORT CULMINATED IN A LAND RIGHTS NOW CAMPAIGN IN 2018, TARGETING PRESIDENT GEORGE WEAH THROUGH DIGITAL, SOCIAL, AND TRADITIONAL MEDIA OUTREACH AND REQUESTING THAT HE SIGN INTO LAW A PROGRESSIVE LAND RIGHTS BILL. THIS EXEMPLIFIED THE BENEFITS OF CLOSE COORDINATION BETWEEN THE STRATEGIC INITIATIVES AND THE COALITION. (SEE PRIORITY OBJECTIVE 4 FOR MORE.)

BUILDING ON THEIR COLLECTIVE EFFORTS TO PREVENT THE PASSAGE OF A WATERED-DOWN VERSION OF THE LAW IN 2017, THE WORKING GROUP HELPED USHER IN A FINAL VERSION THAT MET NEARLY ALL THE DEMANDS OF COMMUNITIES AND THEIR ADVOCATES, INCLUDING SPECIFIC PROVISIONS ON THE RIGHTS OF WOMEN. THE WORK OF THE COALITION IS FAR FROM COMPLETE, HOWEVER. AS ATTENTION SHIFTS TOWARD IMPLEMENTATION, ENSURING PROPER REGULATIONS, RAISING AWARENESS AMONG LOCAL COMMUNITIES TO EQUIP THEM TO ENGAGE IN THE IMPLEMENTATION PROCESS, AND BUILDING SUPPORT AMONG KEY STAKEHOLDERS WILL REMAIN VITAL.

SECURING GREATER REPRESENTATION IN NATIONAL LAND TITLING, ECONOMIC DEVELOPMENT, AND PEACEBUILDING PROCESSES IN LATIN AMERICA

IN COLOMBIA, RRI COLLABORATORS ONIC, CONPA, AND THE TRADITIONAL AUTHORITIES OF COLOMBIA AND GOBIERNO MAYOR SECURED GREATER INVOLVEMENT IN IMPLEMENTATION OF THE PEACE AGREEMENT. THESE ORGANIZATIONS ARE ALL MEMBERS OF THE ETHNIC COMMISSION FOR PEACE, THE BODY CREATED TO REPRESENT INDIGENOUS AND AFRO-DESCENDANT COMMUNITIES IN PEACE NEGOTIATIONS AND MONITOR THE IMPLEMENTATION OF THE "ETHNIC CHAPTER" OF THE PEACE AGREEMENT, WHICH SAFEGUARDS INDIGENOUS AND

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AFRO-DESCENDANT COLLECTIVE RIGHTS. IN 2018, RRI SUPPORTED THE COMMISSION IN LAUNCHING A REPORT ON THE STATUS OF IMPLEMENTATION OF THE ETHNIC CHAPTER, WHICH FOUND THAT 54 PERCENT OF THE ETHNIC CHAPTER PROVISIONS HAVE NOT BEEN IMPLEMENTED. THIS WORK LED TO INDIGENOUS AND AFRO-DESCENDANT ORGANIZATIONS ESTABLISHING AN AGREEMENT WITH COLOMBIA'S NEW ADMINISTRATION TO INCLUDE COMMITMENTS FROM THE ETHNIC CHAPTER IN THE COUNTRY'S NEXT FIVE-YEAR NATIONAL DEVELOPMENT PLAN (WHICH WILL GUIDE POLICY FROM 2018-2022). IN ADDITION, THE ETHNIC COMMISSION SUCCEEDED IN BECOMING INSTITUTIONALIZED WITHIN THE UN SYSTEM IN COLOMBIA, AND GAINING REPRESENTATION WITHIN THE ENTITY ESTABLISHED BY THE PEACE AGREEMENT TO PROVIDE TRANSITIONAL JUSTICE TO VICTIMS OF THE ARMED CONFLICT. AS A RESULT, THE ETHNIC COMMISSION NOW HAS DIRECT ACCESS TO KEY ACTORS AND MECHANISMS LEADING IMPLEMENTATION OF THE PEACE AGREEMENT, AND IS BETTER POSITIONED TO ENSURE RESPECT FOR COMMUNITY RIGHTS.

IN PERU, THE RRI COALITION'S EFFORTS RESULTED IN NEW AGREEMENTS BETWEEN INDIGENOUS ORGANIZATIONS AND THE

GOVERNMENT TO STRENGTHEN LOCAL ECONOMIC INITIATIVES IN NATIONAL DEVELOPMENT PLANS AND A ROADMAP TO DEFINE A NATIONAL COMMUNITY FORESTRY PLAN. FIRST, THE "UNITY PACT" (A BODY THAT INTEGRATES FIVE NATIONAL-LEVEL INDIGENOUS ORGANIZATIONS) SOLIDIFIED A PROPOSAL FOR A COMPREHENSIVE PUBLIC POLICY ON INDIGENOUS ECONOMIES, WHICH WAS PRESENTED TO THE PERUVIAN GOVERNMENT AT A PUBLIC EVENT IN FEBRUARY 2019. THIS WAS THE CULMINATION OF A TWO-YEAR PROCESS LED BY RRI TO CONSOLIDATE CONTRIBUTIONS FROM THE COUNTRY'S ANDEAN AND AMAZONIAN INDIGENOUS GROUPS. RRI, IN ALLIANCE WITH THE INTERETHNIC

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ASSOCIATION FOR THE DEVELOPMENT OF THE PERUVIAN RAINFOREST (AIDSEF)
AND RAINFOREST ALLIANCE, SUCCESSFULLY PROMOTED AN AGREEMENT AMONG THE
NATIONAL FOREST AGENCY (SERFOR), INDIGENOUS ORGANIZATIONS, AND REGIONAL
GOVERNMENT REPRESENTATIVES TO CREATE THE PILLARS
OF A COMMUNITY FOREST MANAGEMENT PUBLIC POLICY THAT INTEGRATES THE
VISION OF INDIGENOUS COMMUNITIES AND
INDIGENOUS WOMEN.

IN BOTH COUNTRIES, RRI'S FACILITATION AND COORDINATION ALLOWED FOR THE
IDENTIFICATION OF COMMON ISSUES AND AMPLIFICATION OF PREVIOUSLY
DISTINCT ADVOCACY INITIATIVES TO ENSURE THE RESPECT OF COLLECTIVE
RIGHTS, THUS STAVING OFF ROLLBACK AT THIS CRITICAL MOMENT OF
INCREASED PRESSURE ON COLLECTIVE LANDS AND THREATS OF CRIMINALIZATION.

LEVERAGING RRI DATA, ANALYSIS, AND MESSAGING IN SUPPORT OF THE FOREST
RIGHTS ACT IN INDIA

RRI REMAINS FOCUSED ON LEVERAGING THE POWER OF INDIA'S FOREST RIGHTS
ACT (FRA) TO SCALE UP RECOGNITION OF INDIGENOUS AND COMMUNITY LAND
RIGHTS, USING A COMBINATION OF EVIDENCE-BASED ANALYSIS, ADVOCACY, AND
CAPACITY-BUILDING TO CREATE THE ECOSYSTEM NECESSARY FOR SUCCESSFUL
IMPLEMENTATION OF THE LAW. IN 2018, 1,800 COMMUNITY FOREST RIGHTS
CLAIMS WERE INITIATED WITH SUPPORT FROM THE TENURE FACILITY, WHILE
RRI CONTRIBUTED TO CREATING THE NECESSARY ECOSYSTEM FOR IMPLEMENTATION
TO FULLY TAKE HOLD.

WHILE INDIA'S NATIONAL GOVERNMENT HAS REMAINED INSENSITIVE TO FOREST
RIGHTS ISSUES, RRI SUPPORTED ADVOCACY TO ENSURE THAT THE INCOMING

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GOVERNMENTS IN THREE STATES (CHHATTISGARH, MADHYA PRADESH, AND RAJASTHAN) INCLUDED FRA IMPLEMENTATION IN THEIR PARTY PLATFORMS. THESE STATE-LEVEL SUCCESSES DEMONSTRATE THAT A BOTTOM-UP APPROACH CAN SUCCEED IN MOBILIZING KEY GOVERNMENT ACTORS WHO CAN ULTIMATELY TIP THE SCALES OF CHANGE.

TO COMPLEMENT THIS WORK, RRI BUILT THE LEGAL CAPACITY OF COMMUNITIES TO ASSERT THEIR LAND AND FOREST RIGHTS UNDER EXISTING LAWS. COURT CASES HAVE BEEN FILED TO CREATE PRECEDENCE, AND EFFORTS WERE MADE TO COUNTER LAWSUITS FILED BY CONSERVATION GROUPS AGAINST THE FRA. RRI ALSO LEVERAGED THE POWER OF WOMEN'S GRASSROOTS NETWORKS. (SEE PRIORITY OBJECTIVE 1), SUPPORTED FRA IMPLEMENTATION TRAINING FOR NEARLY 250 PEOPLE, AND CONTINUED TO SUPPORT WWW.LANDCONFLICTWATCH.ORG TO DOCUMENT CONFLICTS BETWEEN THE GOVERNMENT AND THE PRIVATE SECTOR. (SEE "LEVERAGING THE POWER OF THE COALITION" FOR MORE.)

FINALLY, RRI WORKED CLOSELY WITH RESEARCHERS AND COLLABORATORS IN INDIA TO PRODUCE ANALYSIS AND CONDUCT ADVOCACY TO SUPPORT CSO EFFORTS TO COUNTER ROLLBACK. OUTCOMES ON THIS FRONT INCLUDE DELAYING IMPLEMENTATION OF THE US\$8 BILLION COMPENSATORY AFFORESTATION FUND ACT, WHICH POSES A MAJOR THREAT TO THE FRA AND COMMUNITY RIGHTS; PREVENTING PASSAGE OF A NATIONAL FOREST POLICY THAT COULD UNDERMINE THE FRA; AND THE WITHDRAWAL OF AN ORDER PROHIBITING RECOGNITION OF FOREST RIGHTS IN TIGER RESERVES BY THE NATIONAL TIGER CONSERVATION AUTHORITY (NTCA).

RRI INTERVENTION HAS HELPED COLLABORATORS AND MOVEMENTS IN INDIA TO

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REVIVE THE FOREST RIGHTS ACT. ALTHOUGH BARELY 10 PERCENT OF THE FRA'S POTENTIAL HAS BEEN REALIZED, IT HAS ALREADY BECOME THE LARGEST LAND REFORM IN INDIA'S HISTORY. IN 2019 RRI WILL CONTINUE TO LEVERAGE DATA AND ADVOCACY TO ENSURE THAT THE FRA BECOMES PART OF PARTY PLATFORMS FOR THIS YEAR'S UPCOMING ELECTIONS, BUILD LEGAL CAPACITY TO DEFEND THE FRA, CREATE A POSITIVE ENVIRONMENT FOR IMPLEMENTATION OF THE FRA ON THE GROUND BY THE TENURE FACILITY AND OTHER ACTORS, AND LEVERAGE THE INCREASING RECOGNITION OF LAND RIGHTS TO CATALYZE A DEMOCRATIC, RIGHTS-BASED RESTORATION OF INDIA'S FORESTS.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS: COMMUNITIES. ALL OF THESE PROJECTS WERE BUILT ON AND MADE POSSIBLE BY DECADES OF EFFORT BY INDIGENOUS AND COMMUNITY LEADERS AND RRI COALITION ALLIES WHO DROVE THE POLICY REFORMS, AND CREATED THE POLITICAL SUPPORT AND OPERATIONAL CAPACITY NECESSARY TO IMPLEMENT THEM. AS A RESULT OF THIS AND THE ONGOING WORK OF PROJECT PROPONENTS, THE TENURE FACILITY ENABLED COMMUNITIES TO ADVANCE RIGHTS RECOGNITION OVER MORE THAN 6.5 MILLION HECTARES OF LAND IN THE PAST TWO YEARS.

IN ADDITION TO THESE CONTRIBUTIONS, THE RRI SECRETARIAT PROVIDED ONGOING COMMUNICATIONS SUPPORT TO THE FACILITY IN 2018, ENSURING CONTINUITY AS THE TENURE FACILITY'S SECRETARIAT HIRED AND ONBOARDED ITS FIRST COMMUNICATIONS DIRECTOR IN MID-2018. IN THIS CAPACITY, RRI SUPPORTED THE COMPLETION OF A TENURE FACILITY COMMUNICATIONS STRATEGY, FOLLOWED BY DEVELOPMENT OF THE FACILITY'S BRAND GUIDELINES AND A PLAYBOOK TO ENSURE CONSISTENT MESSAGING AND BRANDING. THE COMMUNICATIONS STRATEGY AND PORTFOLIO OF PRODUCTS DEVELOPED WITH SUPPORT FROM RRI IN 2018 HAVE LAID THE

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GROUNDWORK FOR THE TENURE FACILITY TO STRENGTHEN ITS GLOBAL POSITIONING AMONG DONORS AND OTHER KEY CONSTITUENCIES AS THE SOLE FINANCIAL MECHANISM DEVOTED EXCLUSIVELY TO SUPPORTING THE EFFORTS OF INDIGENOUS PEOPLES AND LOCAL COMMUNITIES TO SECURE THEIR LAND RIGHTS.

IN OCTOBER 2018, THE RRI COALITION CO-ORGANIZED A LEARNING EXCHANGE WITH THE TENURE FACILITY AND THE INDIAN SCHOOL OF BUSINESS (ISB). THE EVENT, HELD AT ISB'S CAMPUS IN HYDERABAD, INDIA, CONVENED TENURE FACILITY PROJECT LEADERS REPRESENTING INDIGENOUS PEOPLES' ORGANIZATIONS AND CIVIL SOCIETY, HIGH-LEVEL REPRESENTATIVES FROM GOVERNMENT, AND TECHNICAL EXPERTS FROM RESEARCH INSTITUTIONS. THE EVENT STRENGTHENED THE BURGEONING COMMUNITY OF PRACTICE THAT HAD BEEN BUILT THROUGH PREVIOUS EXCHANGES, EXPANDING IT TO ENCOMPASS LEADERS FROM RECENTLY INITIATED PROJECTS AND TRUSTED ALLIES AND EXPERTS FROM GOVERNMENT AND CIVIL SOCIETY. THE ABILITY TO LEVERAGE THESE ATTENDEES AS AMBASSADORS FOR THE TENURE FACILITY AND AS THOUGHT LEADERS WHO CAN FURTHER DISSEMINATE LESSONS LEARNED ENABLES THE TENURE FACILITY'S COMMUNITY OF PRACTICE TO HAVE ADDITIONAL IMPACT IN CATALYZING GLOBAL SUPPORT FOR COMMUNITY LAND RIGHTS ON THE GROUND.

LESSONS EMERGED FROM THE LEARNING EXCHANGE FOR PROJECT LEADERS, FOR THE TENURE FACILITY SECRETARIAT, AND FOR RRI.

KEY TAKEAWAYS FOR PROJECT LEADERS INCLUDED:

O TENURE FACILITY PROJECTS ARE ACHIEVING MAJOR RESULTS. AFTER ONLY A FEW YEARS, PROJECTS ARE SUCCESSFULLY SHIFTING THE POLITICAL AND

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DEVELOPMENT DISCOURSE TOWARD THE RECOGNITION OF INDIGENOUS PEOPLES' AND LOCAL COMMUNITIES' LAND RIGHTS, AND IN THE PROCESS, THEY ARE HELPING LEVERAGE MILLIONS OF DOLLARS OF ADDITIONAL INVESTMENT FROM OTHER SOURCES IN MANY COUNTRIES.

O LOCAL GOVERNMENTS ARE STEPPING UP TO THE CHALLENGE IN MANY COUNTRIES AND BECOMING STRONG ALLIES TO THE INITIATIVES UNDER WAY, WHILE CENTRAL GOVERNMENTS REMAIN MORE RETICENT OVERALL.

O TENURE CHAMPIONS AND SOLUTIONS ARE EVERYWHERE, EVEN IN RESISTANT GOVERNMENTS OR WHEN BARRIERS TO IMPLEMENTATION EMERGE. IT IS INCREASINGLY CLEAR THAT THE TENURE FACILITY AND COLLABORATING ORGANIZATIONS NEED TO NURTURE RELATIONSHIPS WITH THESE CHAMPIONS TO MAINTAIN THE MOMENTUM FOR CHANGE AND BE READY TO SEIZE NEW OPPORTUNITIES AS THEY EMERGE.

O PROJECTS ARE HELPING TO CATALYZE LEARNING AND INNOVATION, GENERATING INCREASINGLY SOPHISTICATED IDEAS, TOOLS, AND STRATEGIES TO FACILITATE AND ACCELERATE IMPLEMENTATION. COLLECTIVELY, PROJECT LEADERS NOW HAVE BETTER ACCESS TO LEARNING, LANGUAGE, AND SUPPORT FROM A GROWING COMMUNITY OF PRACTICE, ALLOWING FOR THE ONGOING EXPANSION OF THE GEOGRAPHIES AND POLITICAL LANDSCAPES WHEREIN TENURE REFORMS CAN BE EFFECTIVELY INITIATED.

FOR THE TENURE FACILITY AS AN INSTITUTION, THE EVENT CRYSTALIZED ITS DISTINCT COMPARATIVE ADVANTAGE IN FOCUSING ON BOTTOM-UP APPROACHES AND RESPONSIVENESS TO THE NEEDS OF INDIGENOUS PEOPLES, LOCAL COMMUNITIES, AND DISENFRANCHISED ETHNIC COMMUNITIES IN THEIR OWN STRUGGLE FOR

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RECOGNITION.

FOR RRI, THE EVENT DEMONSTRATED THE VALUE OF FACILITATING EXCHANGE AND LEARNING AMONG PROJECT LEADERS, ADVISORS, AND ALLIES AND BUILDING A STRONGER COMMUNITY OF LEADERS PUSHING FOR TENURE REFORMS. RRI'S ABILITY TO CO-ORGANIZE AND CONVENE THIS EVENT, AND ITS RELATIONSHIPS WITH KEY PROJECT LEADERS AND OTHER IMPORTANT ACTORS IN THE LAND RIGHTS SPACE, ADDED VALUE FOR PARTICIPANTS AND WILL ALLOW THIS COMMUNITY OF PRACTICE TO GROW ITS INFLUENCE AROUND THE NEXT LEARNING EXCHANGE IN 2019.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

THE FIRST ANALYSIS INCLUDED THE LATEST UPDATE IN RRI'S SIGNATURE TENURE TRACKING SERIES ON RIGHTS TO FORESTS, WHICH FOUND THAT THE FOREST AREA LEGALLY RECOGNIZED FOR INDIGENOUS PEOPLES AND COMMUNITIES HAS GROWN NEARLY 40 PERCENT SINCE 2002-TO A TOTAL OF 15 PERCENT OF FORESTS GLOBALLY. A COMPLEMENTARY ANALYSIS FOUND THAT COMMUNITIES MANAGE NEARLY 300 BILLION METRIC TONS OF CARBON IN THEIR LANDS AND FORESTS. THE TRUST THAT RRI HAS BUILT OVER THE YEARS WITH INDIGENOUS ORGANIZATIONS ALLOWED FOR THE INCLUSION OF NEVER BEFORE PUBLISHED DATA.

THESE ANALYSES PROVIDED UNIFYING DATAPOINTS FOR A COORDINATED COMMUNICATIONS AND ADVOCACY EFFORT AROUND THE SUMMIT, ALLOWING DIFFERENT ORGANIZATIONS TO UTILIZE THE SAME CORE DATA POINTS, MESSAGES, AND VISUALS ACROSS ALL PLATFORMS. THE COLLECTIVE EFFORTS OF THE RRI COALITION SUCCESSFULLY "BROKE THROUGH THE NOISE" TO ENSURE THAT INDIGENOUS RIGHTS WERE PART OF THE CONVERSATION. (SEE "LESSONS

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LEARNED" FOR MORE.)

RRI'S AFFILIATED NETWORKS, PARTNERS, AND COLLABORATORS USED THIS DATA IN THEIR ADVOCACY EFFORTS WITH CHANGEMAKERS, CONTRIBUTING TO THE KEY COMMITMENTS FROM THE GOVERNOR'S TASK FORCE-A GROUP OF 38 GOVERNORS FROM 10 COUNTRIES COVERING ONE-THIRD OF THE WORLD'S TROPICAL FORESTS-TO PARTNER WITH FOREST PEOPLES TO ADVANCE CLIMATE ACTION. RRI'S DIRECTOR FOR STRATEGIC ANALYSIS AND GLOBAL ENGAGEMENT ALSO PARTICIPATED IN A PRESS CONFERENCE BEFORE THE LAUNCH OF A COMMITMENT FROM NINE FOUNDATIONS PLEDGING A TOTAL OF US\$459 MILLION TO FORESTS AND INDIGENOUS PEOPLES. COLLABORATIVE COMMUNICATIONS ENGAGEMENT AROUND THE MESSAGE THAT COMMUNITY LAND RIGHTS IS A CLIMATE SOLUTION LED TO A MASSIVE BREAKTHROUGH IN MAINSTREAM MEDIA COVERAGE.

THESE SUCCESSES BUILT ON YEARS OF ADVOCACY AND EFFORTS BY THE RRI COALITION AND THE BROADER MOVEMENT TO SECURE THE RIGHTS OF INDIGENOUS PEOPLES, LOCAL COMMUNITIES, AND RURAL WOMEN AS NOT ONLY A HUMAN RIGHTS IMPERATIVE BUT ALSO A KEY CLIMATE SOLUTION. THIS EFFORT ALSO DEMONSTRATES HOW RRI'S DATA IS LEVERAGED TO SUPPORT COALITION MEMBERS AND EQUIP BROADER ADVOCACY EFFORTS. THE DATA WAS SUBSEQUENTLY INCLUDED IN THE 2018 NEW YORK DECLARATION ON FORESTS PROGRESS ASSESSMENT REPORT ON GOAL 10 AND THE CLARA MISSING PATHWAYS TO 1.5 DEGREES REPORT. THE RRI SECRETARIAT IS WORKING TO ENSURE THAT ITS DATA IS MORE EASILY ACCESSIBLE TO INDIGENOUS COLLEAGUES AND OTHER ADVOCATES, WITH NEW TOOLS PLANNED FOR 2019.

IN 2018, RRI COMPLETED AN ANALYSIS OF THE IMPACT OF REDD+ PROJECTS ON

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INDIGENOUS PEOPLES AND LOCAL COMMUNITIES IN MAI-NDOMBE PROVINCE IN DRC.

THE STUDY PROVIDED A MAPPING OF ALL EXISTING AND PLANNED REDD+

INITIATIVES IN THE PROVINCE, AS WELL AS A CROSS-CUTTING CONTEXTUAL

ANALYSIS OF RISKS THAT CONNECTS REDD+ TO HUMAN RIGHTS. ACCOMPANYING

RESEARCH EXAMINED HOW RIGHTS TO AND OWNERSHIP OVER CARBON ARE

DEFINED IN COUNTRIES ENGAGED IN REDD+.

ALONGSIDE MEDIA OUTREACH, DIRECT OUTREACH TO DECISION-MAKERS ON THE

RESULTS OF THESE ANALYSES-INCLUDING THE DONORS OF THE WORLD BANK'S

FOREST CARBON PARTNERSHIP FACILITY (FCPF) PROJECT IN DRC-HELPED OPEN A

SPACE FOR DIALOGUE ON REDD+ AND OTHER FOREST DEVELOPMENT PROJECTS IN

THE COUNTRY, AND LED THE FCPF TO STRENGTHEN ITS OPERATIONAL WORK TO

RESPECT INDIGENOUS PEOPLES' AND LOCAL COMMUNITIES' LAND RIGHTS. THIS

ANALYSIS PROVIDED A CRITICAL TOOL FOR COMMUNITIES AND ADVOCATES TO

ADVANCE COMMUNITY RIGHTS RECOGNITION, AND REVITALIZED DISCUSSION TOWARD

ENSURING A RIGHTS-BASED APPROACH TO REDD+ PROJECTS.

THE REPORT NOTES THAT FOR REDD+ TO SUCCESSFULLY ACHIEVE CONSERVATION

AND ECONOMIC DEVELOPMENT, THE RECOGNITION OF COMMUNITY LAND RIGHTS IS

A VITAL PREREQUISITE. YET IT FINDS THAT MOST OF THE PROJECTS ACTIVE

ON THE GROUND-AS WELL AS THOSE PLANNED-ARE NOT ADEQUATELY TAKING

RIGHTS INTO ACCOUNT. UNDERSTANDABLY, RESULTS OF THIS ANALYSIS STIRRED

MIXED VIEWS FROM THE INTERESTS SUPPORTING AND/OR ENGAGED IN REDD+

PROCESSES IN THE DRC AND MORE BROADLY AT THE INTERNATIONAL LEVEL.

HOWEVER, DOING SO ALSO HELPED RAISE THE CRITICAL NEED TO BETTER

ANCHOR RIGHTS OF LOCAL COMMUNITIES AND INDIGENOUS PEOPLES IN THE

CONTEXT OF REDD+ ENGAGEMENTS, LEADING

TO INCREASED DELIBERATION BETWEEN THE DRC GOVERNMENT, THE DONOR

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COMMUNITY, LOCAL AND INTERNATIONAL CIVIL SOCIETY ORGANIZATIONS, AND COMMUNITIES THEMSELVES ON WAYS TO ADDRESS NOTED CHALLENGES. THE REPORT ALSO PROVED INSTRUMENTAL IN SPURRING RELATED ANALYSES BY COLLABORATORS ON RELATED TOPICS SUCH AS BENEFIT-SHARING.

THE RELEASE OF THE REPORT REVITALIZED THE DEBATE AROUND REDD+ IN THE COUNTRY TO THE EXTENT THAT WWF, RESPONSIBLE FOR IMPLEMENTING THE MAI-NDOMBE REDD+ PROJECT, FORCEFULLY MADE A PUBLIC RESPONSE TO THE ANALYSIS WHILE SUGGESTING POSSIBLE EFFORTS TO IMPROVE THEIR CONSULTATION PROCESSES. THE DRC GOVERNMENT, AS PART OF THE RESPONSE TO THE REPORT, BROUGHT TOGETHER KEY STAKEHOLDERS TO DISCUSS IMPLEMENTATION OF THE FPIC MINISTERIAL ARRT THAT WAS PASSED IN 2017. THE RRI COALITION ALSO SUCCESSFULLY OPENED DIALOGUE WITH THE FOREST CARBON PARTNERSHIP FACILITY. (SEE "LESSONS LEARNED" FOR MORE.)

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

MUCH OF RRI'S WORK IS BUILDING THE ECOSYSTEM NECESSARY TO DRIVE LONG-TERM PROGRESS. BUT THERE IS ALSO AN ACUTE NEED TO ADDRESS RAPIDLY DEVELOPING SITUATIONS, INCLUDING BOTH IMMINENT THREATS TO COMMUNITIES AND UNEXPECTED OPPORTUNITIES TO CATALYZE RIGHTS RECOGNITION. RRI'S UNIQUE COALITION STRUCTURE AND DEDICATION TO ENSURING FLEXIBLE FUNDING ALLOW COALITION MEMBERS TO MEET THESE CHALLENGES IN A CHANGING WORLD WHERE POLITICAL, SOCIAL, AND ECONOMIC PRECARITY ARE INCREASINGLY THE NORM.

IN 2018, WE SAW THE POWER OF THIS 200+ ORGANIZATION COALITION DEPLOYED IN MULTIPLE WAYS. THE STRATEGIC RESPONSE MECHANISM (SRM)-WHICH COMPLEMENTS ANNUAL PLANNING-ALLOWED MEMBERS OF THE COALITION TO

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APPLY FOR FUNDS TO RESPOND TO UNFORESEEN WINDOWS OF OPPORTUNITY TO
ADVANCE RIGHTS RECOGNITION. COALITION MEMBERS ARE OFTEN ALREADY
EMBEDDED IN DEVELOPING SITUATIONS AND POISED TO SEIZE THESE
OPPORTUNITIES TO DRIVE FORWARD PROGRESS.

THE COALITION ALSO MOBILIZED AT KEY MOMENTS, WITH MEMBERS
CAPITALIZING ON THEIR INDIVIDUAL STRENGTHS AND AMPLIFYING ONE
ANOTHER'S WORK. IT WAS INCREASINGLY CLEAR THAT THE COALITION IS A
VALUABLE TOOL TO COMBAT THE RISING VIOLENCE AGAINST LAND RIGHTS
DEFENDERS. AS THE POLITICAL WINDS SHIFT TOWARD NATIONALISM, PROGRESS
MADE IN THE
LAST DECADE IS AT GRAVE RISK. LEVERAGING THE COALITION'S SIZE AND
FLEXIBILITY TO OVERCOME THESE CHALLENGES WILL BE INCREASINGLY CRITICAL
IN THE YEARS TO COME.

INDIGENOUS PEOPLES, LOCAL COMMUNITIES, AND RURAL WOMEN FACE GROWING
CRIMINALIZATION AND VIOLENCE IN RESPONSE TO DEFENDING THEIR LANDS. ALL
OF THE COALITION'S WORK SERVES TO PREVENT CRIMINALIZATION, AS SECURE,
LEGALLY RECOGNIZED COMMUNITY LAND RIGHTS MAKE IT MORE DIFFICULT FOR
GOVERNMENTS TO LABEL COMMUNITIES ILLEGAL IN THEIR OWN TERRITORIES OR
CALL THEM TERRORISTS FOR DEFENDING THEM. BUT THE COALITION ALSO
COMBATS THIS TREND DIRECTLY BY SUPPORTING EFFORTS IN KEY COUNTRIES TO
ADDRESS CRIMINALIZATION ON THE GROUND, AND BY ELEVATING THESE ISSUES
IN GLOBAL DISCOURSE.

SUPPORTING VICTIMS OF CRIMINALIZATION, INFLUENCING DEVELOPMENT
PROJECTS, AND RAISING AWARENESS AT THE

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IN INDONESIA, RRI'S CO-FOUNDED CRIMINALIZATION FUND PROVIDED EMERGENCY SUPPORT TO DEFENDERS WHO HAVE BEEN ARRESTED. THE FUND IS ADMINISTERED THROUGH A COALITION OF CIVIL SOCIETY ORGANIZATIONS, SHOWCASING HOW COLLECTIVE NETWORKED ACTION CAN BE LEVERAGED TO PROTECT RIGHTS.

IN INDIA, RRI SUPPORTED LAND CONFLICT WATCH, A RAPIDLY GROWING MONITORING PLATFORM FOR JOURNALISTS AND ADVOCATES THAT HAS TRACKED OVER 600 LAND-RELATED CONFLICTS IN UNDER 2 YEARS. IT HAS RAPIDLY BECOME THE MOST IMPORTANT SOURCE OF DATA AND ANALYSIS FOR LAND CONFLICTS IN THE COUNTRY AND HAS HELPED SHAPED THE RIGHTS-BASED DISCOURSE IN INDIA THROUGH EXTENSIVE CITATIONS IN ANALYSIS AND STORIES IN MAJOR MEDIA OUTLETS. IT HAS BECOME A VALUABLE HUB FOR THE LARGER NETWORK OF FRONT-LINE ACTIVISTS AND RESEARCHERS ENGAGING WITH RIGHTS ISSUES.

IN GHANA, RRI PROVIDED SUPPORT TO COALITION PARTNER CIVIC RESPONSE FOR WORK WITH THE MINISTRY OF LAND AND NATURAL RESOURCES (MLNR) TO SUCCESSFULLY REBOOT GOVERNMENT DISCUSSIONS ON TREE TENURE GUIDELINES AND BENEFIT-SHARING REGULATIONS. MOREOVER, CIVIC RESPONSE HAS WORKED WITH NEARLY 100 COMMUNITIES TO BUILD CAPACITY TO REPORT FOREST INFRACTIONS USING MOBILE APPS. THESE ACTIVITIES HAVE STRENGTHENED THE LEGAL FRAMEWORK AND REGULATORY CAPACITY FOR SUPPORTING COMMUNITY LIVELIHOODS WHILE SIMULTANEOUSLY STRENGTHENING FOREST CONSERVATION IN GHANA.

THE RRI COALITION ALSO USED MEDIA OUTREACH TO DRAW ATTENTION TO THE

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DEVASTATING IMPACTS OF CRIMINALIZATION AND THE URGENT NEED FOR GOVERNMENTS TO ADDRESS IT, INCLUDING THROUGH AN OP-ED IN THE NEW YORK TIMES ON EXTRAJUDICIAL KILLINGS OF COMMUNITY AND SOCIAL LEADERS IN COLOMBIA; AND AN OP-ED ON THE WASHINGTON POST ON THE MURDER OF GUATEMALAN ACTIVISTS, INCREASING INTERNATIONAL PRESSURE ON LEADERS IN THESE COUNTRIES TO ACT.

RRI SUPPORTED THE UN SPECIAL RAPPOREUR ON THE RIGHTS OF INDIGENOUS PEOPLES VICTORIA TAULI-

CORPUZ'S EFFORTS TO CONVENE INDIGENOUS LEADERS FROM AROUND THE WORLD TO GIVE TESTIMONY ON THE VIOLENCE AND LEGAL PERSECUTION THEY FACE FOR DEFENDING THEIR LANDS. THE MEETING-HELD IN MARCH IN GENEVA-INFORMED A REPORT BY THE SPECIAL RAPPOREUR TO THE UN HUMAN RIGHTS COUNCIL IN SEPTEMBER 2018.

AT THE SPECIAL RAPPOREUR'S REQUEST, RRI USED TRADITIONAL AND DIGITAL MEDIA OUTREACH TO DRAW ATTENTION TO THESE ISSUES, INCLUDING THROUGH THE CREATION OF A DEDICATED

WEBSITE AT THEYSHOULDHAVEKNOWNBETTER.COM TO HOUSE THE SPECIAL RAPPOREUR'S REPORT, RELATED TESTIMONIES, AND RESOURCES.

OUTREACH LED TO SIGNIFICANT INTERNATIONAL ATTENTION TO THE VIOLENCE AND LEGAL HARASSMENT CONFRONTING INDIGENOUS PEOPLES, AND HIGHLIGHTED THE SITUATION IN COUNTRIES AROUND THE WORLD. THE SPECIAL RAPPOREUR ALSO REQUESTED A DEDICATED WEBSITE FOR CASES AND DATA ON THE EFFORTS OF INDIGENOUS PEOPLES AND LOCAL COMMUNITIES TO CONSERVE FORESTS (SEE PRIORITY OBJECTIVE 3).

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WHEN THE PHILIPPINES GOVERNMENT FALSELY LABELED THE SPECIAL
 RAPPOREUR HERSELF A TERRORIST IN RESPONSE TO HER ACTIVISM, THE RRI
 COALITION MOBILIZED TO SUPPORT HER. AT HER REQUEST, THE COALITION
 FOCUSED NOT ONLY ON ENSURING HER SAFETY BUT ALSO ON DRAWING
 ATTENTION TO HOW CRIMINALIZATION IMPACTS INDIGENOUS PEOPLES AROUND
 THE WORLD.

THESE EFFORTS LED TO A RESOLUTION FROM THE EUROPEAN PARLIAMENT ON THE
 EXTRAJUDICIAL KILLINGS AND PERSECUTION OF HUMAN RIGHTS DEFENDERS THAT
 SPECIFICALLY URGED THE GOVERNMENT OF THE PHILIPPINES TO REMOVE THE
 SPECIAL RAPPOREUR FROM ITS LIST OF TERRORISTS; NUMEROUS STATEMENTS OF
 SUPPORT, INCLUDING FROM PRIVATE SECTOR ACTORS; AND HIGH-LEVEL MEDIA
 OUTREACH INCLUDING A FEATURE IN THE NEW YORK TIMES AND AN OP-ED IN THE
 FINANCIAL TIMES. RRI ALSO COORDINATED SIGNATURES FOR ASIA INDIGENOUS
 PEOPLES PACT'S OFFICIAL "SIGN ON" LETTER AND SUPPORTED THE DEVELOPMENT
 OF INDIVIDUAL LETTERS TO PHILIPPINES EMBASSIES AT THE REQUEST OF RRI
 PARTNERS.

THE SPECIAL RAPPOREUR HAS SINCE BEEN REMOVED FROM THE TERRORIST LIST,
 AS HAS LONGTIME INDIGENOUS ACTIVIST AND CO-CONVENER OF THE INDIGENOUS
 PEOPLES' MAJOR GROUP FOR SUSTAINABLE DEVELOPMENT JOAN CARLING. RRI IS
 WORKING WITH BOTH THE SPECIAL RAPPOREUR AND JOAN CARLING TO LAY THE
 GROUNDWORK FOR A LAND RIGHTS NOW CAMPAIGN IN
 2019 TO COMBAT CRIMINALIZATION AT BOTH THE GLOBAL AND NATIONAL LEVEL
 EXPENSES \$ 1,419,224. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION B, LINE 11B:

THIS RETURN WAS SUBMITTED FOR THE REVIEW OF THE BOARD OF DIRECTORS PRIOR TO

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FILING. THE TREASURER OF THE BOARD REVIEWED THE RETURN IN DETAIL, REFERENCING THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS FOR THE CORRESPONDING YEAR. THE BOARD REVIEWED THE RETURN IN SUMMARY WITH INPUT FROM THE TREASURER, PRESIDENT AND CHIEF OPERATING OFFICER. QUESTIONS ABOUT SPECIFIC ITEMS OR SECTIONS WERE ANSWERED WITH SUPPLEMENTAL DETAIL PROVIDED AS APPROPRIATE.

FORM 990, PART VI, SECTION B, LINE 12C:

DIRECTORS AND OFFICERS ARE REQUIRED TO REVIEW THE CONFLICT OF INTEREST POLICY ANNUALLY AND TO SIGN ANNUALLY THEIR COMMITMENT TO ABIDE BY THE POLICY. THE POLICY REQUIRES THAT ANY KNOWN OR POTENTIAL CONFLICTS OF INTEREST BE COMMUNICATED TO THE BOARD AS SOON AS THEY ARE KNOWN, AND THE FACTS AND BOARD DECISIONS CONCERNING THE CONFLICT BE DOCUMENTED IN THE MINUTES OF THE MEETINGS OF THE BOARD. THE BOARD AND OFFICERS ASSESS AT LEAST ANNUALLY WHETHER ALL OFFICERS AND DIRECTORS ARE IN COMPLIANCE WITH THE POLICY BASED ON ALL FACTS KNOWN TO THE BOARD AND OFFICERS. AN AUDIT OF THE POLICY AND ITS IMPLEMENTATION WAS UNDERTAKEN IN LATE 2011 BY AN INDEPENDENT CONSULTANT, AND RECOMMENDATIONS FOR IMPROVEMENTS AND ENSURING RIGOROUS ADHERENCE TO THE POLICY AND COMPREHENSIVE DOCUMENTATION OF THIS ADHERENCE WERE IMPLEMENTED IN 2012.

FORM 990, PART VI, SECTION B, LINE 15:

THE PRESIDENT IS THE TOP MANAGEMENT OFFICIAL OF THE ORGANIZATION. AN ASSESSMENT OF OTHER NON-PROFIT ORGANIZATIONS IS PERIODICALLY UNDERTAKEN BASED ON COMPENSATION FIGURES REPORTED ON IRS 990 RETURNS FROM COMPARABLE ORGANIZATIONS AND OTHER SOURCES OF COMPENSATION AT THE REQUEST OF THE BOARD. ASSESSED ORGANIZATIONS ARE SELECTED TO MOST CLOSELY RESEMBLE RRG IN TERMS OF BUDGET, NUMBER OF EMPLOYEES, COMPLEXITY OF PROGRAMS, ROLE,

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EXPERTISE, AND GEOGRAPHIC LOCATION. IN A SESSION CLOSED TO THE PRESIDENT, THE BOARD REVIEWS THE ASSESSMENT, THE PERFORMANCE OF THE PRESIDENT, AND MAY CONSIDER OTHER RELEVANT FACTORS IN DETERMINING WHETHER A SALARY ADJUSTMENT IS WARRANTED, WHICH IS THEN SUBJECT TO VOTING BY THE BOARD. THIS PROCESS WAS LAST UNDERTAKEN IN JANUARY 2013.

FORM 990, PART VI, SECTION C, LINE 18:

RRG POSTS THE AUDITED FINANCIAL STATEMENTS AND FORM 990 ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO BEST PRACTICES IN TRANSPARENCY AND ACCOUNTABILITY.

FORM 990, PART VI, SECTION C, LINE 19:

RRG POSTS ITS AUDITED FINANCIAL STATEMENTS ON ITS WEBSITE, AVAILABLE TO THE GENERAL PUBLIC. ADDITIONAL DOCUMENTS ARE ALSO POSTED TO DEMONSTRATE RRG'S COMMITMENT TO TRANSPARENCY AND ACCOUNTABILITY.

FORM 990, PART IX, LINE 11G, OTHER FEES:

MEDIATION CONSULTING:

PROGRAM SERVICE EXPENSES	119,178.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	0.
TOTAL EXPENSES	119,178.

TRANSLATION CONSULTANTS:

PROGRAM SERVICE EXPENSES	93,097.
MANAGEMENT AND GENERAL EXPENSES	0.
FUNDRAISING EXPENSES	0.

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TOTAL EXPENSES	93,097.
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PUBLICATION SERVICES:

PROGRAM SERVICE EXPENSES	154,586.
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MANAGEMENT AND GENERAL EXPENSES	0.
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FUNDRAISING EXPENSES	0.
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TOTAL EXPENSES	154,586.
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PROGRAM CONSULTANTS:

PROGRAM SERVICE EXPENSES	1,707,246.
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MANAGEMENT AND GENERAL EXPENSES	0.
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FUNDRAISING EXPENSES	0.
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TOTAL EXPENSES	1,707,246.
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INFORMATION TECHNOLOGY:

PROGRAM SERVICE EXPENSES	24,294.
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MANAGEMENT AND GENERAL EXPENSES	57,458.
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FUNDRAISING EXPENSES	1,004.
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TOTAL EXPENSES	82,756.
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CONFERENCE:

PROGRAM SERVICE EXPENSES	2,273.
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MANAGEMENT AND GENERAL EXPENSES	0.
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FUNDRAISING EXPENSES	0.
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TOTAL EXPENSES	2,273.
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TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	2,159,136.
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SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

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Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
RIGHTS AND RESOURCES COALITION INSTITUTE 401-417 RUE ST PIERRE MONTREAL, QUEBEC, CANADA H2Y2M4	SUPPORT LOCAL COMMUNITIES AND INDIGENOUS PEOPLES' STRUGGLES AGAINST POVERTY	CANADA	501(C)(3)	LINE 7	RIGHTS AND RESOURCES INSTITUTE INC		X

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2018

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?**a** Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity**b** Gift, grant, or capital contribution to related organization(s)**c** Gift, grant, or capital contribution from related organization(s)**d** Loans or loan guarantees to or for related organization(s)**e** Loans or loan guarantees by related organization(s)**f** Dividends from related organization(s)**g** Sale of assets to related organization(s)**h** Purchase of assets from related organization(s)**i** Exchange of assets with related organization(s)**j** Lease of facilities, equipment, or other assets to related organization(s)**k** Lease of facilities, equipment, or other assets from related organization(s)**l** Performance of services or membership or fundraising solicitations for related organization(s)**m** Performance of services or membership or fundraising solicitations by related organization(s)**n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)**o** Sharing of paid employees with related organization(s)**p** Reimbursement paid to related organization(s) for expenses**q** Reimbursement paid by related organization(s) for expenses**r** Other transfer of cash or property to related organization(s)**s** Other transfer of cash or property from related organization(s)**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)		73		

Part VII Supplemental Information.

Provide additional information for responses to questions on Schedule R. See instructions.