



Form 990-PF

Return of Private Foundation

OMB No 1545-0052

2018

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning 2018, and ending 20

Name of foundation: THE SARA SMITH SELF FOUNDATION, INC.
Employer identification number: 20-3631059
Telephone number: (336) 607-7314
Address: 1001 WEST FOURTH STREET, WINSTON-SALEM, NC 27101
Check type of organization: Section 501(c)(3) exempt private foundation
Fair market value of all assets at end of year: \$ 3,615,136
Accounting method: Cash

Table with 4 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27).

SCANNED DEC 12 2019

Operating and Administrative Expenses

RECEIVED NOV 18 2019

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	540.	1.	1.	
	2	Savings and temporary cash investments	192,171.	302,492.	302,492.	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule)[6]	628,089.	807,061.	770,033.	
	b	Investments - corporate stock (attach schedule) ATCH 7	1,238,337.	1,262,521.	1,722,349.	
	c	Investments - corporate bonds (attach schedule) ATCH 8	495,255.	269,254.	264,835.	
	11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ (attach schedule)				
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 9	152,062.	80,333.	252,436.		
14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶ ATCH 10)	281,093.	273,418.	302,990.		
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,987,547.	2,995,080.	3,615,136.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds	2,987,547.	2,995,080.			
30	Total net assets or fund balances (see instructions)	2,987,547.	2,995,080.			
31	Total liabilities and net assets/fund balances (see instructions)	2,987,547.	2,995,080.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,987,547.
2	Enter amount from Part I, line 27a	2	75,124.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,062,671.
5	Decreases not included in line 2 (itemize) ▶ ATCH 11	5	67,591.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,995,080.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)

(b) How acquired
P - Purchase
D - Donation

(c) Date acquired
(mo., day, yr.)

(d) Date sold
(mo., day, yr.)

1 a	SEE PART IV SCHEDULE		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	202,950.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	161,948.	3,677,991.	0.044032
2016	169,180.	3,489,466.	0.048483
2015	240,263.	3,748,375.	0.064098
2014	169,191.	3,904,173.	0.043336
2013	182,423.	3,678,293.	0.049594

2	Total of line 1, column (d)	2	0.249543
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.049909
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	3,835,243.
5	Multiply line 4 by line 3.	5	191,413.
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,671.
7	Add lines 5 and 6.	7	194,084.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	192,000.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
66,539.		1,000 SHS CITIGROUP PROPERTY TYPE: SECURITIES 67,058.				P	07/13/2017	07/02/2018 -519.
100,000.		\$100,000 FEDERAL HOME LOAN PROPERTY TYPE: SECURITIES 99,770.				P	03/08/2017	02/28/2018 230.
55,261.		1,300 SHS LENNAR PROPERTY TYPE: SECURITIES 65,785.				P	09/10/2018	11/28/2018 -10,524.
100,000.		\$100,000 AT&T PROPERTY TYPE: SECURITIES 100,000.				P	11/20/2009	02/01/2018
28,758.		25 SHS ALPHABET PROPERTY TYPE: SECURITIES 9,742.				P	04/17/2013	01/22/2018 19,016.
60,000.		\$60,000 AMERICAN EXPRESS PROPERTY TYPE: SECURITIES 60,000.				P	06/21/2016	07/23/2018
35,000.		\$35,000 AMERICAN EXPRESS PROPERTY TYPE: SECURITIES 35,000.				P	04/29/2016	03/19/2018
25,000.		\$25,000 AMERICAN EXPRESS PROPERTY TYPE: SECURITIES 25,000.				P	10/20/2016	03/19/2018
8,836.		50 SHS APPLE PROPERTY TYPE: SECURITIES 1,430.				P	12/02/2009	01/22/2018 7,406.
29,549.		1,000 SHS BANK OF AMERICA PROPERTY TYPE: SECURITIES 13,771.				P	03/23/2016	03/29/2018 15,778.
10,628.		50 SHS BERKSHIRE HATHAWAY CLASS B PROPERTY TYPE: SECURITIES 3,318.				P	11/03/2009	11/28/2018 7,310.
13,518.		300 SHS CHARLES SCHWAB PROPERTY TYPE: SECURITIES 8,763.				P	09/02/2015	11/28/2018 4,755.

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
11,128.		200 SHS CHARLES SCHWAB PROPERTY TYPE: SECURITIES 5,960.				P	01/24/2013	01/22/2018
							5,168.	
10,434.		200 SHS DR HORTON PROPERTY TYPE: SECURITIES 6,191.				P	08/21/2015	01/22/2018
							4,243.	
81,427.		1,800 SHS DR HORTON PROPERTY TYPE: SECURITIES 55,715.				P	08/21/2015	09/06/2018
							25,712.	
65,665.		50 SHS ELI LILLY PROPERTY TYPE: SECURITIES 62,430.				P	12/19/2016	03/29/2018
							3,235.	
87,157.		3,500 SHS EVOLENT HEALTH CLASS A PROPERTY TYPE: SECURITIES 61,051.				P	08/17/2017	09/10/2018
							26,106.	
30,708.		200 SHS FACEBOOK CLASS A PROPERTY TYPE: SECURITIES 15,346.				P	01/29/2015	03/28/2018
							15,362.	
32,658.		200 SHS FACEBOOK CLASS A PROPERTY TYPE: SECURITIES 15,346.				P	01/29/2015	09/10/2018
							17,312.	
125,000.		\$125,000 FEDERAL HOME LOAN PROPERTY TYPE: SECURITIES 125,000.				P	12/28/2016	12/14/2018
682.		60 SHS GARRETT MOTION PROPERTY TYPE: SECURITIES 622.				P	11/05/2014	11/28/2018
							60.	
15,818.		100 SHS HONEYWELL INTL PROPERTY TYPE: SECURITIES 9,616.				P	11/05/2014	01/22/2018
							6,202.	
50,000.		\$50,000 KRAFT FOODS GRO PROPERTY TYPE: SECURITIES 50,000.				P	09/01/2016	08/23/2018
16,229.		150 SHS MICROSOFT PROPERTY TYPE: SECURITIES 9,478.				P	12/20/2016	11/28/2018
							6,751.	

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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
39.		2 SHS RESIDEO TECHNOLOGIES PROPERTY TYPE: SECURITIES 33.				P	11/05/2014	11/28/2018 6.
1,917.		98 SHS RESIDEO TECHNOLOGIES PROPERTY TYPE: SECURITIES 1,632.				P	09/02/2015	11/28/2018 285.
68,583.		1,200 SHS STARBUCKS PROPERTY TYPE: SECURITIES 44,348.				P	01/28/2014	05/14/2018 24,235.
13,763.		50 SHS ROPER TECHNOLOGIES PROPERTY TYPE: SECURITIES 7,862.				P	09/02/2015	01/22/2018 5,901.
14,320.		50 SHS ROPER TECHNOLOGIES PROPERTY TYPE: SECURITIES 7,862.				P	09/02/2015	11/28/2018 6,458.
46.		THROUGH BEP PRIVATE INVESTORS PROPERTY TYPE: OTHER				P		46.
63.		THROUGH BEP PRIVATE INVESTORS PROPERTY TYPE: OTHER				P		63.
796.		ENTERPRISE PARTNERS PROPERTY TYPE: SECURITIES				P		796.
139.		OTHER CAPITAL GAINS PROPERTY TYPE: SECURITIES				P		139.
1,250.		THROUGH BEP PRIVATE INVESTORS PROPERTY TYPE: SECURITIES				P		1,250.
1,874.		THROUGH BEP PRIVATE INVESTORS PROPERTY TYPE: SECURITIES				P		1,874.
8,155.		THROUGH BEP PRIVATE INVESTORS PROPERTY TYPE: SECURITIES				P		8,155.

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
139.		THROUGH BEP PRIVATE INVESTORS PROPERTY TYPE: SECURITIES				P	139.	
TOTAL GAIN (LOSS)							<u>202,950.</u>	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits. Total tax due is 1,951.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity questions and Yes/No columns. Includes a handwritten '2' next to row 9.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of ROBERT L. EDWARDS Telephone no. 336-607-7314
Located at 1001 WEST FOURTH STREET WINSTON-SALEM, NC ZIP+4 27101
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? Yes No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Yes No

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,521,912.
b	Average of monthly cash balances	1b	59,444.
c	Fair market value of all other assets (see instructions).	1c	312,292.
d	Total (add lines 1a, b, and c)	1d	3,893,648.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	3,893,648.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	58,405.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,835,243.
6	Minimum investment return. Enter 5% of line 5	6	191,762.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	191,762.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	5,342.
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,342.
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	186,420.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	186,420.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	186,420.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26.	1a	192,000.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	192,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	192,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				186,420.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only				
b Total for prior years 20 16 , 20 15 , 20 14				
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015 28,194.				
d From 2016				
e From 2017				
f Total of lines 3a through e	28,194.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 192,000.				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount				186,420.
e Remaining amount distributed out of corpus	5,580.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	33,774.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	33,774.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015 28,194.				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018 5,580.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

Table with 5 columns: Tax year (a) 2018, (b) 2017, (c) 2016, (d) 2015, (e) Total. Rows include questions 1a-1c, 2a-2e, 3a-3c, and 4a-4d. The table is mostly blank with a diagonal line across it.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed
ATCH 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 14</p>				
Total ▶ 3a				192,000.
<p>b <i>Approved for future payment</i></p>				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue, 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate, 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue, 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 2 columns: Yes, No. Rows correspond to items 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), and 1c.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains N/A.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: Robert L. Edwards, Date: 10-24-19, Title: SECRETARY/TREASURER

May the IRS discuss this return with the preparer shown below? See instructions [X] Yes [] No

Paid Preparer Use Only

Print/Type preparer's name: ROBERT L. EDWARDS, Preparer's signature: Robert L. Edwards, Date: 10-24-19, Firm's name: EDWARDS CRAVER VEACH PLLC, Firm's address: 1001 WEST FOURTH STREET WINSTON-SALEM, NC, Firm's EIN: 26-1668110, Phone no: 336.747.7542

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Go to www.irs.gov/F1041 for instructions and the latest information.

OMB No 1545-0092

2018

Name of estate or trust: **THE SARA SMITH SELF FOUNDATION, INC.**
Employer identification number: **20-3631059**

Note: Form 5227 filers need to complete only Parts I and II

Part I Short-Term Capital Gains and Losses - Generally Assets Held One Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked.	221,800.	232,613.		-10,813.
2 Totals for all transactions reported on Form(s) 8949 with Box B checked.				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked.	1,435.			1,435.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824.				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2017 Capital Loss Carryover Worksheet.				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back.				7 -9,378.

Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than One Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked.	936,956.	735,516.		201,440.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked.				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked.	10,888.			10,888.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824.				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2017 Capital Loss Carryover Worksheet.				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back.				16 212,328.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2018

JSA
8F1210 2 000

Part III Summary of Parts I and II Caution: Read the instructions before completing this part.		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	Net short-term gain or (loss)	17		-9,378.
18	Net long-term gain or (loss):			
a	Total for year	18a		212,328.
b	Unrecaptured section 1250 gain (see line 18 of the worksheet)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a. ▶	19		202,950.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and don't complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	20	()
a	The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 38), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 38, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 38)	21	
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero	22	
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23	
24	Add lines 22 and 23	24	
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	25	
26	Subtract line 25 from line 24. If zero or less, enter -0-	26	
27	Subtract line 26 from line 21. If zero or less, enter -0-	27	
28	Enter the smaller of the amount on line 21 or \$2,600	28	
29	Enter the smaller of the amount on line 27 or line 28	29	
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30	
31	Enter the smaller of line 21 or line 26	31	
32	Subtract line 30 from line 26	32	
33	Enter the smaller of line 21 or \$12,700	33	
34	Add lines 27 and 30	34	
35	Subtract line 34 from line 33. If zero or less, enter -0-	35	
36	Enter the smaller of line 32 or line 35	36	
37	Multiply line 36 by 15% (0.15) ▶	37	
38	Enter the amount from line 31	38	
39	Add lines 30 and 36	39	
40	Subtract line 39 from line 38. If zero or less, enter -0-	40	
41	Multiply line 40 by 20% (0.20) ▶	41	
42	Figure the tax on the amount on line 27. Use the 2018 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42	
43	Add lines 37, 41, and 42	43	
44	Figure the tax on the amount on line 21. Use the 2018 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44	
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 40) ▶	45	

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side THE SARA SMITH SELF FOUNDATION, INC.	Social security number or taxpayer identification number 20-3631059
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Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check

Part II Long-Term. Transactions involving capital assets you held more than 1 year are generally long-term (see instructions). For short-term transactions, see page 1.

Note: You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a; you aren't required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed of (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)	
						(f) Code(s) from instructions	(g) Amount of adjustment		
	\$100,000 AT&T	11/20/2009	02/01/2018	100,000.	100,000.				
	25 SHS ALPHABET	04/17/2013	01/22/2018	28,758.	9,742.			19,016.	
	\$60,000 AMERICAN EXPRESS	06/21/2016	07/23/2018	60,000.	60,000.				
	\$35,000 AMERICAN EXPRESS	04/29/2016	03/19/2018	35,000.	35,000.				
	\$25,000 AMERICAN EXPRESS	10/20/2016	03/19/2018	25,000.	25,000.				
	50 SHS APPLE	12/02/2009	01/22/2018	8,836.	1,430.			7,406.	
	1,000 SHS BANK OF AMERICA	03/23/2016	03/29/2018	29,549.	13,771.			15,778.	
	50 SHS BERKSHIRE HATHAWAY CLASS B	11/03/2009	11/28/2018	10,628.	3,318.			7,310.	
	300 SHS CHARLES SCHWAB	09/02/2015	11/28/2018	13,518.	8,763.			4,755.	
	200 SHS CHARLES SCHWAB	01/24/2013	01/22/2018	11,128.	5,960.			5,168.	
	200 SHS DR HORTON	08/21/2015	01/22/2018	10,434.	6,191.			4,243.	
	1,800 SHS DR HORTON	08/21/2015	09/06/2018	81,427.	55,715.			25,712.	
	50 SHS ELI LILLY	12/19/2016	03/29/2018	65,665.	62,430.			3,235.	
	3,500 SHS EVOLENT HEALTH CLASS A	08/17/2017	09/10/2018	87,157.	61,051.			26,106.	
2	Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				936,956.	735,516.			201,440.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

THE SARA SMITH SELF FOUNDATION, INC.

20-3631059

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part II Long-Term. Transactions involving capital assets you held more than 1 year are generally long-term (see instructions). For short-term transactions, see page 1.

Note: You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a; you aren't required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (g) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	200 SHS FACEBOOK CLASS A	01/29/2015	03/28/2018	30,708.	15,346.			15,362.
	200 SHS FACEBOOK CLASS A	01/29/2015	09/10/2018	32,658.	15,346.			17,312.
	\$125,000 FEDERAL HOME LOAN	12/28/2016	12/14/2018	125,000.	125,000.			
	60 SHS GARRETT MOTION	11/05/2014	11/28/2018	682.	622.			60.
	100 SHS HONEYWELL INTL	11/05/2014	01/22/2018	15,818.	9,616.			6,202.
	\$50,000 KRAFT FOODS GR	09/01/2016	08/23/2018	50,000.	50,000.			
	150 SHS MICROSOFT	12/20/2016	11/28/2018	16,229.	9,478.			6,751.
	2 SHS RESIDEO TECHNOLOGIES	11/05/2014	11/28/2018	39.	33.			6.
	98 SHS RESIDEO TECHNOLOGIES	09/02/2015	11/28/2018	1,917.	1,632.			285.
	1,200 SHS STARBUCKS	01/28/2014	05/14/2018	68,583.	44,348.			24,235.
	50 SHS ROPER TECHNOLOGIES	09/02/2015	01/22/2018	13,763.	7,862.			5,901.
	50 SHS ROPER TECHNOLOGIES	09/02/2015	11/28/2018	14,320.	7,862.			6,458.
	OTHER CAPITAL GAINS			139.				139.
2	Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶							

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BEP PRIVATE INVESTORS - ROYALTIES (NET)	2,876.	2,876.
BEP PRIVATE INVESTORS LP - BUS INCOME	24,622.	24,622.
BEP PRIVATE INVESTORS LP - RENTAL	11.	11.
BEP PRIVATE INVESTORS LP - OTHER INCOME	4,671.	4,671.
BEP PRIVATE INVESTORS LP- DEDUCTIONS	-20,397.	-20,397.
ENTERPRISE PRODUCTS PART- BUSINESS LOSS	-2,403.	-2,403.
TOTALS	<u>9,380.</u>	<u>9,380.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	17,633.	17,633.		
TOTALS	<u>17,633.</u>	<u>17,633.</u>		

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISOR FEES	18,563.	18,563.
TOTALS	<u>18,563.</u>	<u>18,563.</u>

ATTACHMENT 4

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BEP PRIVATE INVESTORS, L.P.	6.	6.
TOTALS	<u>6.</u>	<u>6.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BEP PRIVATE INVESTORS, L.P.	12.	12.
FOREIGN TAX PAID	927.	927.
MISCELLANEOUS	34.	34.
TOTALS	<u>973.</u>	<u>973.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	628,089.	807,061.	770,033.
US OBLIGATIONS TOTAL	<u>628,089.</u>	<u>807,061.</u>	<u>770,033.</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	1,238,337.	1,262,521.	1,722,349.
TOTALS	<u>1,238,337.</u>	<u>1,262,521.</u>	<u>1,722,349.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	495,255.	269,254.	264,835.
TOTALS	<u>495,255.</u>	<u>269,254.</u>	<u>264,835.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BEP PRIVATE INVESTORS LP	152,062.	80,333.	252,436.
TOTALS	<u>152,062.</u>	<u>80,333.</u>	<u>252,436.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MISCELLANEOUS	281,093.	273,418.	302,990.
TOTALS	<u>281,093.</u>	<u>273,418.</u>	<u>302,990.</u>

ATTACHMENT 11

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
ADJUSTMENT FOR BALANCE SHEET ITEMS	67,591.
TOTAL	<u>67,591.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS

EXPENSE ACCT AND OTHER ALLOWANCES

COMPENSATION

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

NAME AND ADDRESS

SMITH WINBORNE SELF 0. 0. 0.

300 PLYMOUTH AVENUE
WINSTON-SALEM, NC 27104

ROBERT L. EDWARDS 0. 0. 0.

417 AVALON ROAD
WINSTON-SALEM, NC 27104

ROBERT SHELDON 0. 0. 0.

911 BARTLETT CIRCLE
HILLSBOROUGH, NC 27278

GRAND TOTALS 0. 0. 0.

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ROBERT L. EDWARDS
1001 WEST FOURTH STREET
WINSTON-SALEM, NC 27101
336-607-7314

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF NC AT GREENSBORO SCHOOL OF EDUCATION P.O. BOX 26170 GREENSBORO, NC 27402	EDUCATION PURPOSES; FUNDING SCHOLARSHIPS	75,000.
JUVENILE DIABETES RESEARCH FOUNDATION (JDRF) 312 NORTH EUGENE STREET, SUITE D GREENSBORO, NC 27401	RESEARCH TO FIND A CURE FOR DIABETES AND ITS COMPLICATIONS	10,000.
THE SUMMIT SCHOOL 2100 REYNOLDA ROAD WINSTON-SALEM, NC 27106	TECHNOLOGY PROJECT AT THE SCHOOL; OTHER EDUCATIONAL ENDEAVORS	40,000.
SECU FAMILY HOUSE 1970 BALDWIN LANE WINSTON-SALEM, NC 27103	HOSPITAL HOSPITALITY HOUSE PROVIDING AFFORDABLE LODGING IN A CARING ENVIRONMENT FOR REFERRED ADULT PATIENTS AND/OR THEIR CAREGIVERS WHO TRAVEL TO WINSTON-SALEM FOR MEDICAL TREATMENT	10,000.
SECOND HARVEST FOOD BANK OF NORTHWEST NC 3655 REED STREET WINSTON-SALEM, NC 27107	PROVIDE FOOD TO VARIOUS CHARITIES FOR NORTHWEST NORTH CAROLINA	5,000.
THE RANDOLPH ARTS GUILD 123 SUNSET AVENUE ASHEBORO, NC 27204	PROVIDES AND CONNECTS CITIZENS OF RANDOLPH COUNTY, NORTH CAROLINA WITH ARTS OPPORTUNITIES	1,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE BARNABAS NETWORK P O. BOX 2666 GREENSBORO, NC 27402	NONE	BASIC HOME FURNISHINGS FOR FAMILIES AND INDIVIDUALS WHO ARE MOVING FROM HOMELESSNESS, RECOVERING FROM A MAJOR SETBACK, FLEEING DOMESTIC VIOLENCE OR LIVING WITH INCOMES THAT CANNOT COVER BASIC NEEDS	5,000.
WAKE FOREST UNIVERSITY BAPTIST MEDICAL CENTER MEDICAL CENTER BOULEVARD WINSTON-SALEM, NC 27157	NONE	FOR THE CC SMITH STROKE FUND FOR STROKE RESEARCH	25,000.
STOKES COUNTY ARTS COUNCIL 500 NORTH MAIN STREET DANBURY, NC 27016	NONE	ART IN EDUCATION- SUPPORTING AND PROMOTING VISUAL AND PERFORMING ARTS IN RURAL STOKES COUNTY, NC	2,500.
THIRD STREET EDUCATION CENTER 600 WEST THIRD STREET GREENVILLE, NC 27834	NONE	LEARNING CENTER THAT SERVES CHILDREN IN THE NEIGHBORHOOD THAT INVOLVES LOCAL PARENTS	5,000
WOMEN FOR WOMEN 1694-A EAST ARLINGTON BLVD GREENVILLE, NC 27101-2410	NONE	PROVIDES AN EFFECTIVE WAY FOR EVERY WOMAN TO BECOME INVOLVED IN MAKING A DIFFERENCE IN THE COMMUNITY THROUGH COLLECTIVE PHILANTHROPY TO HELP FULFILL MISSION OF GIVING HOPE, CHANGE AND A BRIGHTER FUTURE FOR THE WOMEN AND GIRLS OF PITT COUNTY	1,000.
BOY SCOUTS OF AMERICA 3231 ATLANTIC AVENUE RALEIGH, NC 27604	NONE	PROMOTING BOY SCOUT PROGRAMS	2,500.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST. MATTHEW'S EPISCOPAL CHURCH P.O. BOX 628 HILLSBOROUGH, NC 27278	NONE	SUPPORT FOOD PROGRAMS	5,000.
BURWELL SCHOOL HISTORIC SITE 319 NORTH CHURTON STREET HILLSBOROUGH, NC 27278		PRESERVES THE SETTING FOR ONE OF NORTH CAROLINA'S EARLIEST SCHOOL FOR GIRLS, THE BURWELL ACADEMY FOR YOUNG LADIES	5,000.
TOTAL CONTRIBUTIONS PAID			<u>192,000.</u>