

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491102008189			
Form 990-PF Department of the Treasury Internal Revenue Service		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Do not enter social security numbers on this form as it may be made public. ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.			OMB No 1545-0052 2018 Open to Public Inspection		
For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018							
Name of foundation CLEMENT AND KAREN ARRISON FAMILY CHARITABLE FOUNDATION				A Employer identification number 20-3116729			
Number and street (or P O box number if mail is not delivered to street address) 35 LINCOLN PARKWAY			Room/suite	B Telephone number (see instructions) (716) 881-0760			
City or town, state or province, country, and ZIP or foreign postal code BUFFALO, NY 14222				C If exemption application is pending, check here ▶ <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change				D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶\$ 4,326,234		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)					
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments		55,573	54,287		
	4	Dividends and interest from securities		53,707	53,707		
	5a	Gross rents					
	b	Net rental income or (loss) _____					
	6a	Net gain or (loss) from sale of assets not on line 10		13,679			
	b	Gross sales price for all assets on line 6a 3,107,629					
	7	Capital gain net income (from Part IV, line 2)			33,181		
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances _____					
b	Less Cost of goods sold						
c	Gross profit or (loss) (attach schedule)						
11	Other income (attach schedule)		2,883	2,883			
12	Total. Add lines 1 through 11		125,842	144,058			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc					
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits					
	16a	Legal fees (attach schedule)					
	b	Accounting fees (attach schedule)		5,600			
	c	Other professional fees (attach schedule)		15,970			
	17	Interest					
	18	Taxes (attach schedule) (see instructions)		2,977			
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings					
	22	Printing and publications					
	23	Other expenses (attach schedule)					
	24	Total operating and administrative expenses. Add lines 13 through 23		24,547	0		0
	25	Contributions, gifts, grants paid		230,473			230,473
	26	Total expenses and disbursements. Add lines 24 and 25		255,020	0		230,473
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements		-129,178			
	b	Net investment income (if negative, enter -0-)			144,058		
	c	Adjusted net income (if negative, enter -0-)					
For Paperwork Reduction Act Notice, see instructions.				Cat No 11289X		Form 990-PF (2018)	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	313,918	189,053	189,053
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____	1,766		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	19,601		
	b	Investments—corporate stock (attach schedule)	828,698		
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans	2,914,141	2,707,416	2,623,905
	13	Investments—other (attach schedule)	610,988	1,663,465	1,513,276
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,689,112	4,559,934	4,326,234	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,689,112	4,559,934	
	30	Total net assets or fund balances (see instructions)	4,689,112	4,559,934	
31	Total liabilities and net assets/fund balances (see instructions) .	4,689,112	4,559,934		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,689,112
2	Enter amount from Part I, line 27a	2	-129,178
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,559,934
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	4,559,934

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	33,181
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 { }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	229,558	4,640,973	0 049463
2016	235,197	4,653,161	0 050546
2015	237,543	4,776,644	0 049730
2014	250,138	5,000,475	0 050023
2013	229,081	4,952,622	0 046254

2 Total of line 1, column (d) { }	2	0 246016
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years { }	3	0 049203
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 { }	4	4,405,296
5 Multiply line 4 by line 3 { }	5	216,754
6 Enter 1% of net investment income (1% of Part I, line 27b) { }	6	1,441
7 Add lines 5 and 6 { }	7	218,195
8 Enter qualifying distributions from Part XII, line 4 { }	8	230,473

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,441
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,441
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,441
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	2,010
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,010
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	569
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ▶ 569 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ KAREN ARRISON Telephone no ▶ (716) 881-0760			

Located at **▶** 35 LINCOLN PARKWAY BUFFALO NY ZIP+4 **▶** 14222

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? <input type="checkbox"/>	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KAREN ARRISON 35 LINCOLN PARKWAY BUFFALO, NY 14222	PRESIDENT/TR 000 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.	▶	

Part IX-A

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1	
2	
3	
4	

Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
--	--------

1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	4,138,203
b	Average of monthly cash balances.	1b	334,179
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	4,472,382
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,472,382
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	67,086
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,405,296
6	Minimum investment return. Enter 5% of line 5.	6	220,265

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	220,265
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	1,441
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,441
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	218,824
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	218,824
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	218,824

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	230,473
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	230,473
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,441
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	229,032

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				218,824
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			229,771	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>230,473</u>				
a Applied to 2017, but not more than line 2a			229,771	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				702
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				218,122
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

KAREN ARRISON
35 LINCOLN PARKWAY
BUFFALO, NY 14222
(716) 881-0760

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
See Additional Data Table				
Total			▶ 3a	
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	55,573	
4 Dividends and interest from securities. . . .			14	53,707	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	2,883	
8 Gain or (loss) from sales of assets other than inventory					13,679
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				112,163	13,679
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations)			13		125,842

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c)(3) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

*****	2019-02-01	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	PHILIP J TANTILLO		2019-04-12		P01272891
	Firm's name PHILIP J TANTILLO CPA PC				Firm's EIN 20-1536399
Firm's address 361 DELAWARE AVENUE BUFFALO, NY 14202					Phone no (716) 852-6981

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOVING MIRACLES 954 UNION ROAD STE 1 WEST SENECA, NY 14224	NONE	PUBLIC CHARI	CHARITABLE	5,000
BUFFALO PHILHARMONIC 499 FRANKLIN STREET BUFFALO, NY 14202	NONE	PUBLIC CHARI	CHARITABLE	56,323
SPCA205 ENSMINGER ROAD TONAWANDA, NY 14150	NONE	PUBLIC CHARI	CHARITABLE	5,000
Total ▶ 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHAUTAUQUA INSTITUTEFOUNDATION PO BOX 28 CHAUTAUQUA, NY 14722	NONE	PUBLIC CHARI	CHARITABLE	25,000
GLEN HIGHLAND FARMS217 PEGG ROAD MORRIS, NY 13808	NONE	PUBLIC CHARI	CHARITABLE	5,000
BUFFALO SUZUKI STRINGS 4 WEBSTER STREET N TONAWANDA, NY 14120	NONE	PUBLIC CHARI	CHARITABLE	10,000
Total ▶ 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMERS ASSOCIATION 2805 WEHRLE DRIVE WILLIAMSVILLE, NY 14221	NONE	PUBLIC CHARI	CHARITABLE	1,000
WNED FMPO BOX 1263 BUFFALO, NY 14240	NONE	PUBLIC CHARI	CHARITABLE	20,500
SALVATION ARMY960 MAIN STREET BUFFALO, NY 14202	NONE	PUBLIC CHARI	CHARITABLE	3,000
Total ▶ 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HORSE RESCUE UNITED 31 BARREN MEADOW LANE HOWELL, NJ 07731	NONE	PUBLIC CHARI	CHARITABLE	4,000
ST JUDE RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	NONE	PUBLIC CHARI	CHARITABLE	1,000
PRESERVATION LEAGUE OF NYS 44 CENTRAL AVENUE ALBANY, NY 12206	NONE	PUBLIC CHARI	CHARITABLE	17,500
Total ► 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOUNDATION FOR DEAF EDUCATION 2253 MAIN STREET BUFFALO, NY 14214	NONE	PUBLIC CHARI	CHARITABLE	3,000
BUFFALO ZOO300 PARKSIDE AVENUE BUFFALO, NY 14214	NONE	PUBLIC CHARI	CHARITABLE	25,000
WILDERNESS SOCIETY 1615 M STREET NW WASHINGTON, DC 20036	NONE	PUBLIC CHARI	CHARITABLE	1,000
Total ▶ 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WORLD WILDLIFE FUND 1250 24TH STREET NW WASHINGTON, DC 20090	NONE	PUBLIC CHARI	CHARITABLE	1,000
BUFFALO HISTORY MUSEUM ONE MUSEUM COURT BUFFALO, NY 14216	NONE	PUBLIC CHARI	CHARITABLE	250
CHIMP HAVEN 13600 CHIMPANZEE PLACE KEITHVILLE, LA 71047	NONE	PUBLIC CHARI	CHARITABLE	2,000
Total ► 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LAST CHANCE CORRAL 5350 US 33 SOUTH ATHENS, OH 45701	NONE	PUBLIC CHARI	CHARITABLE	15,000
BROOME ANIMAL SANCTUARY 1946 NY-12 BINGHAMTON, NY 13901	NONE	PUBLIC CHARI	CHARITABLE	500
LOTHIORIEN THERAPUTIC RIDING CENTER 15 REITER ROAD EAST AURORA, NY 14052	NONE	PUBLIC CHARI	CHARITABLE	15,500
Total ▶ 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROSEMARY FARM 1646 ROSES BROOK ROAD SOUTH KORTRIGHT, NY 13842	NONE	PUBLIC CHARI	CHARITABLE	5,000
KLEINHANS MUSIC HALL 3 SYMPHONY CIRCLE BUFFALO, NY 14201	NONE	PUBLIC CHARI	CHARITABLE	2,000
BUFFALO STRINGWORKS 179 ANDERSON PLACE BUFFALO, NY 14222	NONE	PUBLIC CHARI	CHARITABLE	500
Total ► 3a				230,473

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GENTLE GIANTS DRAFT HORSE RESCUE 17250 OLD FREDERICK ROAD MOUNT AIRY, MD 21771	NONE	PUBLIC CHARI	CHARITABLE	1,000
UNITED WAY OF TOMPKINS COUNTY 313 NORTH AURORA STREET ITHACA, NY 14850	NONE	PUBLIC CHARI	CHARITABLE	400
DRAFT GRATITUDE 148 ASHUELOT STREET WINCHESTER, NH 03470	NONE	PUBLIC CHARI	CHARITABLE	5,000
Total ► 3a				230,473

TY 2018 Accounting Fees Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION

EIN: 20-3116729

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL	5,600			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Gain/Loss from Sale of Other Assets Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION
EIN: 20-3116729

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
8FHR 3830 4 750 031541-3137A5-95-5	2011-04	PURCHASE	2018-04		2,000	2,000				
8FHR 3830 4 750 031541-3137A7-98-5	2011-04	PURCHASE	2018-06		4,000	4,000				
8FHR 3830 4 750 031541-3137A8-97-5	2011-04	PURCHASE	2018-05		1,000	1,000				
8FHR 3830 4 750 031541-3137A9-96-5	2011-04	PURCHASE	2018-07		1,000	1,000				
8FHR 3830 P 4 750 031541-313766-92-5	2011-04	PURCHASE	2018-01		1,000	1,000				
8FHR 4146 2 500 111542-3137A6-99-5	2012-12	PURCHASE	2018-05		14,000	14,001			-1	
8FHR 4146 2 500 111542-313701-95-5	2012-12	PURCHASE	2018-10		6,000	6,000				
8FHR 4146 2 500 111542-313769-94-5	2012-12	PURCHASE	2018-02		2,000	2,000				
8PENNSY PA 4 625 120118-708692-96-7	2010-10	PURCHASE	2018-03		5,000	4,900			100	
FHR 3997 EH 3 5% 091541-3137AM-7H-4-	2012-02	PURCHASE	2018-08		576	580			-4	
FNR 12-113 GC 3% 062542-3136A8-X2-1-	2015-12	PURCHASE	2018-04		870	682			188	
FNR 12-38 MD 3% 032542-3136A4-3C-1-1	2012-03	PURCHASE	2018-05		2,398	2,401			-3	
GNR 13-60 JA 3% 112042-38378M-LZ-6-7	2013-04	PURCHASE	2018-08		96	99			-3	
PENNSYL 4 625% 12/1/2018-708690-BB-7	2010-10	PURCHASE	2018-12		15,000	14,701			299	
8ANNALY CAP MGMT7 625%PF-035710-94-6	2013-02	PURCHASE	2018-02		8,400	8,655			-255	
ADVENT CLAYMR GLBL SC II-007639-10-7	2012-07	PURCHASE	2018-05		2,833	2,781			52	
ADVENT CLAYMR GLBL SC II-007639-10-7	2012-10	PURCHASE	2018-05		4,267	4,341			-74	
ADVENT CLAYMR GLBL SC II-007639-10-7	2014-01	PURCHASE	2018-05		2,267	2,616			-349	
ADVENT CLAYMR GLBL SC II-007639-10-7	2014-12	PURCHASE	2018-05		1,966	1,810			156	
ADVENT CLAYMR GLBL SC II-007639-10-7	2016-06	PURCHASE	2018-05		1,133	1,028			105	
ALERIAN MLP ETF-00162Q-86-6	2012-10	PURCHASE	2018-03		8,973	10,708			-1,735	
ALERIAN MLP ETF-00162Q-86-6	2013-07	PURCHASE	2018-03		13,090	18,439			-5,349	
ALERIAN MLP ETF-00162Q-86-6	2015-09	PURCHASE	2018-03		1,039	1,056			-17	
ALERIAN MLP ETF-00162Q-86-6	2015-09	PURCHASE	2018-05		1,926	1,909			17	
ALERIAN MLP ETF-00162Q-86-6	2015-12	PURCHASE	2018-05		21,785	21,081			704	
ALLIANZGI CONVERTIBLE &-018825-10-9-	2011-02	PURCHASE	2018-05		4,117	7,017			-2,900	
ALLIANZGI CONVERTIBLE &-018825-10-9-	2011-07	PURCHASE	2018-05		3,113	5,020			-1,907	
ALLIANZGI CONVERTIBLE &-018825-10-9-	2011-08	PURCHASE	2018-05		2,972	4,014			-1,042	
ALLIANZGI CONVERTIBLE &-018825-10-9-	2014-01	PURCHASE	2018-05		1,175	1,883			-708	
ALLIANZGI CONVERTIBLE &-018825-10-9-	2014-01	PURCHASE	2018-05		1,545	2,462			-917	

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ALLIANZGI CONVERTIBLE &-018825-10-9-	2015-06	PURCHASE	2018-05		1,175	1,466			-291	
ALLIANZGI CONVERTIBLE &-018825-10-9-	2015-09	PURCHASE	2018-05		1,762	1,707			55	
AMERIGAS PARTNERS LP-030975-10-6-224	2012-08	PURCHASE	2018-05		9,212	9,203			9	
AMERIGAS PARTNERS LP-030975-10-6-150	2012-11	PURCHASE	2018-05		6,169	6,324			-155	
AMERIGAS PARTNERS LP-030975-10-6-96	2014-01	PURCHASE	2018-05		3,948	4,230			-282	
AMERIGAS PARTNERS LP-030975-10-6-159	2015-07	PURCHASE	2018-05		6,539	6,920			-381	
ANNALY CAP MGMT7 625%PFD-035710-70-6	2013-02	PURCHASE	2018-02		1,607	1,649			-42	
ANNALY CAP MGMT7 625%PFD-035710-70-6	2013-04	PURCHASE	2018-02		5,021	5,218			-197	
ANNALY CAP MGMT7 625%PFD-035710-70-6	2013-08	PURCHASE	2018-02		5,021	4,704			317	
BK OF AMER 6 375% PFD 3-060505-61-7-	2013-07	PURCHASE	2018-05		9,426	9,251			175	
BK OF AMER 6 375% PFD 3-060505-61-7-	2015-09	PURCHASE	2018-05		8,311	8,315			-4	
BLACKROCK MUNI INC TR 2-09249N-10-1-	2016-02	PURCHASE	2018-05		22,035	24,957			-2,922	
BP PLC SPONS ADR-055622-10-4-300	2014-12	PURCHASE	2018-03		11,836	10,866			970	
BP PLC SPONS ADR-055622-10-4-280	2015-09	PURCHASE	2018-03		11,047	8,352			2,695	
BP PLC SPONS ADR-055622-10-4-20	2015-09	PURCHASE	2018-04		891	597			294	
BP PLC SPONS ADR-055622-10-4-273	2017-03	PURCHASE	2018-04		12,162	9,414			2,748	
BP PLC SPONS ADR-055622-10-4-292	2017-03	PURCHASE	2018-05		13,649	10,070			3,579	
CALAMOS CONV OPP&INC-128117-10-8-242	2016-07	PURCHASE	2018-05		27,563	23,207			4,356	
CALAMOS DYNAMIC CONV-12811V-10-5-770	2017-03	PURCHASE	2018-05		15,849	14,310			1,539	
CALAMOS STRAT TOT RETURN-128125-10-1	2013-09	PURCHASE	2018-05		18,282	13,768			4,514	
CALAMOS STRAT TOT RETURN-128125-10-1	2014-01	PURCHASE	2018-05		2,438	2,019			419	
CBRE CLARION GLOBAL REAL-12504G-10-0	2016-10	PURCHASE	2018-03		15,129	16,373			-1,244	
CBRE CLARION GLOBAL REAL-12504G-10-0	2016-10	PURCHASE	2018-05		7,674	8,311			-637	
CBRE CLARION GLOBAL REAL-12504G-10-0	2016-12	PURCHASE	2018-05		7,436	7,210			226	
COHEN & STEERS DURATION-19248C-10-5-	2016-11	PURCHASE	2018-05		26,830	24,987			1,843	
COHEN & STEERS QUAL INCM-19247L-10-6	2011-08	PURCHASE	2018-05		4,871	3,179			1,692	
COHEN & STEERS QUAL INCM-19247L-10-6	2011-11	PURCHASE	2018-05		2,183	1,483			700	
COHEN & STEERS QUAL INCM-19247L-10-6	2014-05	PURCHASE	2018-05		2,711	2,662			49	
EATON VANCE TAX ADVANTAG-27828S-10-1	2011-02	PURCHASE	2018-05		8,186	7,016			1,170	
EATON VANCE TAX ADVANTAG-27828S-10-1	2011-03	PURCHASE	2018-05		6,443	5,601			842	

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
EATON VANCE TAX ADVANTAG-27828S-10-1	2012-10	PURCHASE	2018-05		3,640	3,008			632	
EATON VANCE TAX ADVANTAG-27828S-10-1	2017-02	PURCHASE	2018-05		9,827	8,949			878	
ENTERPRISE PRODUCTS-293792-10-7-910	2016-05	PURCHASE	2018-05		25,292	24,752			540	
FACEBOOK INC CLASS A-30303M-10-2-100	2016-03	PURCHASE	2018-03		15,816	10,665			5,151	
FACEBOOK INC CLASS A-30303M-10-2-100	2016-06	PURCHASE	2018-05		18,475	11,459			7,016	
FERRELL GAS PROPERTIES-315293-10-0-5	2015-10	PURCHASE	2018-03		1,555	10,174			-8,619	
FERRELL GAS PROPERTIES-315293-10-0-3	2016-06	PURCHASE	2018-03		933	5,480			-4,547	
FERRELL GAS PROPERTIES-315293-10-0-4	2016-09	PURCHASE	2018-03		1,244	5,409			-4,165	
FERRELL GAS PROPERTIES-315293-10-0-2	2016-10	PURCHASE	2018-03		622	2,150			-1,528	
FERRELL GAS PROPERTIES-315293-10-0-7	2016-12	PURCHASE	2018-03		2,177	4,656			-2,479	
GABELLI DIVIDEND &-36242H-10-4-1180	2015-07	PURCHASE	2018-05		26,470	24,491			1,979	
GABELLI EQUITY TR 5 875%-36242H-20-3	2011-07	PURCHASE	2018-05		7,093	7,023			70	
GABELLI EQUITY TR 5 875%-36242H-20-3	2012-10	PURCHASE	2018-05		6,734	6,794			-60	
GABELLI EQUITY TR 5 875%-36242H-20-3	2012-12	PURCHASE	2018-05		2,561	2,572			-11	
GABELLI EQUITY TR 5 875%-36242H-20-3	2014-12	PURCHASE	2018-05		2,817	2,909			-92	
GABELLI EQUITY TR 5 875%-36242H-20-3	2015-11	PURCHASE	2018-05		10,243	10,564			-321	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2011-02	PURCHASE	2018-05		632	2,344			-1,712	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2012-09	PURCHASE	2018-05		897	1,910			-1,013	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2012-10	PURCHASE	2018-05		3,857	7,997			-4,140	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2013-01	PURCHASE	2018-05		1,019	1,878			-859	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2013-04	PURCHASE	2018-05		1,748	2,795			-1,047	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2014-05	PURCHASE	2018-05		1,019	1,552			-533	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2014-10	PURCHASE	2018-05		1,528	1,975			-447	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2015-01	PURCHASE	2018-05		2,038	2,124			-86	
GAMCO GLBL GOLD NATURAL-36465A-10-9-	2015-10	PURCHASE	2018-05		1,019	883			136	
GUGGENHEIM ENHANCED EQTY-40167B-10-0	2014-06	PURCHASE	2018-05		17,049	15,682			1,367	
GUGGENHEIM ENHANCED EQTY-40167B-10-0	2014-12	PURCHASE	2018-05		2,131	1,742			389	
HSBC HOLDINGS PLC-SPONS-404280-40-6-	2016-02	PURCHASE	2018-04		7,000	4,785			2,215	
HSBC HOLDINGS PLC-SPONS-404280-40-6-	2016-06	PURCHASE	2018-04		1,167	787			380	
HSBC HOLDINGS PLC-SPONS-404280-40-6-	2016-06	PURCHASE	2018-05		8,823	5,506			3,317	

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
JP MORGAN 6 125% PFD-48127R-46-1	2015-11	PURCHASE	2018-05		10,398	10,180			218	
JP MORGAN 6 125% PFD-48127R-46-1	2016-06	PURCHASE	2018-05		2,600	2,711			-111	
M/S SER A VAR% PERP PFD-61747S-50-4-	2016-02	PURCHASE	2018-05		9,039	7,971			1,068	
M/S SER A VAR% PERP PFD-61747S-50-4-	2016-02	PURCHASE	2018-05		4,519	3,977			542	
NAVIOS MARITIME PARTNERS-Y62267-10-2	2012-07	PURCHASE	2018-05		885	5,207			-4,322	
NAVIOS MARITIME PARTNERS-Y62267-10-2	2014-12	PURCHASE	2018-05		942	4,551			-3,609	
NAVIOS MARITIME PARTNERS-Y62267-10-2	2015-09	PURCHASE	2018-05		817	3,167			-2,350	
NAVIOS MARITIME PARTNERS-Y62267-10-2	2016-12	PURCHASE	2018-05		1,923	1,571			352	
NUVEEN PREFERRED&INCOME-67073B-10-6-	2011-09	PURCHASE	2018-05		1,609	1,311			298	
NUVEEN PREFERRED&INCOME-67073B-10-6-	2012-10	PURCHASE	2018-05		2,468	2,518			-50	
NUVEEN PREFERRED&INCOME-67073B-10-6-	2014-01	PURCHASE	2018-05		4,701	4,305			396	
NUVEEN PREFERRED&INCOME-67073B-10-6-	2014-01	PURCHASE	2018-05		1,951	1,838			113	
NUVEEN REAL EST INCM FD-67071B-10-8-	2016-05	PURCHASE	2018-05		22,132	23,989			-1,857	
NUVEEN SENIOR INCOME-67067Y-10-4-215	2017-03	PURCHASE	2018-05		13,903	14,880			-977	
POWERSHRS SLF INXD18 ETF-73939W-20-1	2015-07	PURCHASE	2018-05		12,548	12,929			-381	
POWERSHRS SLF INXD18 ETF-73939W-20-1	2015-11	PURCHASE	2018-05		12,548	12,334			214	
POWERSHRS SLF INXD18 ETF-73939W-20-1	2017-01	PURCHASE	2018-05		49,691	49,938			-247	
RIO TINTO PLC-767204-10-0-313	2016-12	PURCHASE	2018-05		17,698	12,213			5,485	
RIO TINTO PLC-767204-10-0-312	2016-12	PURCHASE	2018-05		17,878	12,174			5,704	
ROYAL DUTCH SHELL ADR B-780259-10-7-	2014-12	PURCHASE	2018-04		14,517	12,824			1,693	
ROYAL DUTCH SHELL ADR B-780259-10-7-	2015-07	PURCHASE	2018-05		7,571	5,754			1,817	
ROYAL DUTCH SHELL ADR B-780259-10-7-	2015-09	PURCHASE	2018-05		7,571	4,704			2,867	
SENIOR HOUSING PROP TR-81721M-10-9-5	2015-04	PURCHASE	2018-01		10,098	11,951			-1,853	
SENIOR HOUSING PROP TR-81721M-10-9-1	2016-06	PURCHASE	2018-01		2,413	2,803			-390	
SENIOR HOUSING PROP TR-81721M-10-9-1	2016-12	PURCHASE	2018-01		2,681	2,738			-57	
TEKLA HEALTHCARE OPPTYS-879105-10-4-	2015-03	PURCHASE	2018-05		20,503	24,870			-4,367	
TEKLA HEALTHCARE OPPTYS-879105-10-4-	2015-10	PURCHASE	2018-05		6,386	6,628			-242	
UBS E TRACS ALER NAT ETN-902641-62-0	2012-03	PURCHASE	2018-05		6,673	9,383			-2,710	
UBS E TRACS ALER NAT ETN-902641-62-0	2012-07	PURCHASE	2018-05		6,673	8,744			-2,071	
UBS E TRACS ALER NAT ETN-902641-62-0	2015-09	PURCHASE	2018-05		4,449	4,696			-247	

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Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
UBS E TRACS ALER NAT ETN-902641-62-0	2015-12	PURCHASE	2018-05		4,449	4,424			25	
VANECK VECTORS TR ETF-92189F-41-1	2014-12	PURCHASE	2018-05		11,632	12,476			-844	
VANGUARD WELLESLEY INVT-921938-10-6-	2014-12	PURCHASE	2018-05		24,221	24,185			36	
VANGUARD WELLESLEY INVT-921938-10-6-	2014-12	PURCHASE	2018-05		410	398			12	
VANGUARD WELLESLEY INVT-921938-10-6-	2014-12	PURCHASE	2018-05		210	204			6	
VANGUARD WELLESLEY INVT-921938-10-6-	2014-12	PURCHASE	2018-05		22	21			1	
VANGUARD WELLESLEY INVT-921938-10-6-	2015-03	PURCHASE	2018-05		168	165			3	
VANGUARD WELLESLEY INVT-921938-10-6-	2015-06	PURCHASE	2018-05		177	173			4	
VANGUARD WELLESLEY INVT-921938-10-6-	2015-09	PURCHASE	2018-05		192	182			10	
VANGUARD WELLESLEY INVT-921938-10-6-	2015-12	PURCHASE	2018-05		614	578			36	
VANGUARD WELLESLEY INVT-921938-10-6-	2015-12	PURCHASE	2018-05		229	216			13	
VANGUARD WELLESLEY INVT-921938-10-6-	2015-12	PURCHASE	2018-05		15	15				
VANGUARD WELLESLEY INVT-921938-10-6-	2016-03	PURCHASE	2018-05		170	163			7	
VANGUARD WELLESLEY INVT-921938-10-6-	2016-06	PURCHASE	2018-05		192	189			3	
VANGUARD WELLESLEY INVT-921938-10-6-	2016-09	PURCHASE	2018-05		180	179			1	
VANGUARD WELLESLEY INVT-921938-10-6-	2016-12	PURCHASE	2018-05		273	266			7	
VANGUARD WELLESLEY INVT-921938-10-6-	2016-12	PURCHASE	2018-05		238	232			6	
VANGUARD WELLESLEY INVT-921938-10-6-	2016-12	PURCHASE	2018-05		10	10				
VANGUARD WELLESLEY INVT-921938-10-6-	2017-03	PURCHASE	2018-05		180	178			2	
WELLS FARGO 5 125%-O PFD-949746-72-1	2016-02	PURCHASE	2018-05		17,689	18,206			-517	
WESTERN ASSET PREMIER-957664-10-5-24	2012-10	PURCHASE	2018-05		3,161	4,169			-1,008	
WESTERN ASSET PREMIER-957664-10-5-40	2013-08	PURCHASE	2018-05		5,090	5,437			-347	
WESTERN ASSET PREMIER-957664-10-5-32	2016-03	PURCHASE	2018-05		4,125	4,021			104	
ABERDEEN ASIA PAC INCOME-003009-10-7	2017-06	PURCHASE	2018-05		8,983	9,908			-925	
AMER FDS EUROPACIFIC F2-29875E-10-0-	2018-05	PURCHASE	2018-08		27,662	30,117			-2,455	
ANNALY CAP 6 5% PERPTL-035710-86-2	2018-02	PURCHASE	2018-05		19,470	19,949			-479	
BLACKROCK CORE BOND I-091928-74-7-18	2018-05	PURCHASE	2018-08		171,612	170,141			1,471	
BLACKROCK CORE BOND I-091928-74-7-23	2018-05	PURCHASE	2018-08		21,812	21,601			211	
BLACKROCK CORE BOND I-091928-74-7-21	2018-06	PURCHASE	2018-08		204	205			-1	
BLACKROCK CORE BOND I-091928-74-7-45	2018-07	PURCHASE	2018-08		425	425				

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Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BLACKROCK CORE BOND I-091928-74-7-48	2018-08	PURCHASE	2018-08		454	453			1	
BROOKFIELD REAL ASSETS-112830-10-4-1	2017-09	PURCHASE	2018-05		23,438	24,616			-1,178	
CALAMOS CONV & HIGH INCM-12811P-10-8	2018-03	PURCHASE	2018-05		10,216	10,073			143	
CALAMOS DYNAMIC CONV-12811V-10-5-230	2017-06	PURCHASE	2018-05		4,734	4,486			248	
CARLYLE GROUP LP-14309L-10-2-1000	2017-09	PURCHASE	2018-05		21,525	24,124			-2,599	
COHEN & STEERS RLTY SHS-192476-10-9-	2018-05	PURCHASE	2018-08		1,910	1,814			96	
CVS HEALTH CORP-126650-10-0-150	2018-04	PURCHASE	2018-05		9,912	9,549			363	
ETGMG ALTERNATIVE ETF-26924G-50-8	2018-01	PURCHASE	2018-03		12,705	14,785			-2,080	
FIDELITY ADV EMRG MKTS I-315920-70-2	2018-05	PURCHASE	2018-08		27,213	28,357			-1,144	
FIDELITY ADV EMRG MKTS I-315920-70-2	2018-05	PURCHASE	2018-08		3,450	3,600			-150	
FIDELITY ADV EMRG MKTS I-315920-70-2	2018-06	PURCHASE	2018-08		60	62			-2	
FIDELITY ADV EMRG MKTS I-315920-70-2	2018-07	PURCHASE	2018-08		117	119			-2	
FIDELITY ADV EMRG MKTS I-315920-70-2	2018-08	PURCHASE	2018-08		123	128			-5	
FORD MOTOR COMPANY-345370-86-0-825	2017-10	PURCHASE	2018-05		9,240	10,234			-994	
FT VI NASDAQ TECH ETF-33738R-11-8	2017-06	PURCHASE	2018-05		10,941	9,798			1,143	
GABELLI MULTIMEDIA TRUST-36239Q-10-9	2017-09	PURCHASE	2018-05		25,556	25,023			533	
GABELLI UTILITY TRUST-36240A-10-1-15	2017-06	PURCHASE	2018-05		8,707	10,426			-1,719	
GLOBAL X SUPERDIVID ETF-37950E-54-9	2017-05	PURCHASE	2018-03		15,574	15,709			-135	
GLOBAL X SUPERDIVID ETF-37950E-54-9	2017-05	PURCHASE	2018-05		15,469	15,709			-240	
GUGGENHEIM CREDIT ALLOC-40168G-10-8-	2017-08	PURCHASE	2018-03		10,286	11,095			-809	
GUGGENHEIM CREDIT ALLOC-40168G-10-8-	2017-08	PURCHASE	2018-05		13,033	13,828			-795	
GUGGENHEIM SOLAR ETF-18383Q-73-9	2018-01	PURCHASE	2018-02		22,391	24,647			-2,256	
INTL BUSINESS MACH CORP-459200-10-1-	2017-06	PURCHASE	2018-05		11,497	12,377			-880	
INVESCO GLBL REAL EST Y-00142C-21-9-	2018-05	PURCHASE	2018-08		42,471	42,535			-64	
INVESCO GLBL REAL EST Y-00142C-21-9-	2018-05	PURCHASE	2018-08		5,409	5,400			9	
INVESCO GLBL REAL EST Y-00142C-21-9-	2018-06	PURCHASE	2018-08		258	260			-2	
INVESCO GLBL REAL EST Y-00142C-21-9-	2018-08	PURCHASE	2018-08		191	191				
INVESCO LTD-G491BT-10-8-300	2017-10	PURCHASE	2018-03		9,270	10,717			-1,447	
ISHARES COMMODITIES ETF-46431W-85-3	2018-05	PURCHASE	2018-05		22,532	22,053			479	
ISHARES INC ETF-46434G-84-8	2017-12	PURCHASE	2018-05		25,878	24,923			955	

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Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ISHARES LATIN AMER40 ETF-464287-39-0	2018-03	PURCHASE	2018-05		22,175	24,744			-2,569	
ISHARES TR ETF-46435G-33-4	2017-10	PURCHASE	2018-02		8,552	8,951			-399	
ISHS N AMERN TCH STF ETF-464287-51-5	2018-04	PURCHASE	2018-05		10,794	10,270			524	
JOHN HANCOCK GLB SH I-47803U-50-9-62	2018-05	PURCHASE	2018-08		70,205	70,892			-687	
JOHN HANCOCK GLB SH I-47803U-50-9-79	2018-05	PURCHASE	2018-08		8,921	9,001			-80	
JOHN HANCOCK GLB SH I-47803U-50-9-10	2018-06	PURCHASE	2018-08		1,145	1,119			26	
JPMORGAN CORE BOND I-4812C0-38-1-114	2018-05	PURCHASE	2018-08		128,632	127,605			1,027	
JPMORGAN CORE BOND I-4812C0-38-1-144	2018-05	PURCHASE	2018-08		16,346	16,201			145	
JPMORGAN CORE BOND I-4812C0-38-1-29	2018-05	PURCHASE	2018-08		334	336			-2	
JPMORGAN CORE BOND I-4812C0-38-1-29	2018-06	PURCHASE	2018-08		338	338				
JPMORGAN CORE BOND I-4812C0-38-1-30	2018-07	PURCHASE	2018-08		343	341			2	
JPMORGAN S/C EQUITY FD I-4812A1-37-3	2018-05	PURCHASE	2018-08		59,762	56,714			3,048	
JPMORGAN S/C EQUITY FD I-4812A1-37-3	2018-05	PURCHASE	2018-08		7,524	7,200			324	
MATTHEWS ASIAN INNVAT IV-577130-88-3	2018-02	PURCHASE	2018-05		9,933	10,020			-87	
MATTHEWS ASIAN INNVAT IV-577130-88-3	2018-03	PURCHASE	2018-05		14,712	15,020			-308	
METLIFE INC-59156R-10-8-200	2017-10	PURCHASE	2018-01		10,638	10,533			105	
METROPOLITAN WEST FDS I-592905-50-9-	2018-05	PURCHASE	2018-08		49,218	48,697			521	
MFS VALUE I-552983-69-4-3218 294	2018-05	PURCHASE	2018-08		129,054	127,605			1,449	
MFS VALUE I-552983-69-4-407 058	2018-05	PURCHASE	2018-08		16,323	16,201			122	
MFS VALUE I-552983-69-4-20 443	2018-06	PURCHASE	2018-08		820	791			29	
PIMCO DVRSFD INC INSTL-693391-88-0-9	2018-05	PURCHASE	2018-08		98,968	99,249			-281	
PIMCO DVRSFD INC INSTL-693391-88-0-1	2018-05	PURCHASE	2018-08		12,565	12,601			-36	
PIMCO DVRSFD INC INSTL-693391-88-0-1	2018-06	PURCHASE	2018-08		204	205			-1	
PIMCO DVRSFD INC INSTL-693391-88-0-3	2018-07	PURCHASE	2018-08		403	403				
PIMCO DVRSFD INC INSTL-693391-88-0-3	2018-08	PURCHASE	2018-08		398	401			-3	
PIMCO INCOME FD I-72201F-49-0-4734 0	2018-05	PURCHASE	2018-08		56,477	56,714			-237	
PIMCO INCOME FD I-72201F-49-0-601 53	2018-05	PURCHASE	2018-08		7,176	7,200			-24	
PIMCO INCOME FD I-72201F-49-0-12 604	2018-06	PURCHASE	2018-08		150	152			-2	
PIMCO INCOME FD I-72201F-49-0-24 747	2018-07	PURCHASE	2018-08		295	297			-2	
PIMCO INCOME FD I-72201F-49-0-24 85	2018-08	PURCHASE	2018-08		296	298			-2	

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Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PRINCIPAL SMID DIV INSTS-74255L-73-8	2018-05	PURCHASE	2018-08		83,787	81,354			2,433	
REAVES UTILITY INCOME FD-756158-10-1	2017-09	PURCHASE	2018-05		21,082	24,825			-3,743	
ROWE T PRICE SCI & TECH-77957M-10-2-	2018-05	PURCHASE	2018-05		24,225	24,211			14	
SPDR BLOOMBERG CONV ETF-78464A-35-9	2017-10	PURCHASE	2018-05		10,581	10,382			199	
SPDR S&P BANK ETF-78464A-79-7	2018-03	PURCHASE	2018-05		24,271	24,870			-599	
T R PRICE NEW AMER GRWTH-779557-10-7	2018-02	PURCHASE	2018-05		9,914	10,020			-106	
T R PRICE NEW AMER GRWTH-779557-10-7	2018-03	PURCHASE	2018-05		14,781	15,020			-239	
T ROWE GRWTH STK FD-741479-10-9-146	2018-02	PURCHASE	2018-05		9,889	10,020			-131	
T ROWE GRWTH STK FD-741479-10-9-218	2018-03	PURCHASE	2018-05		14,736	15,020			-284	
T ROWE PRICE BLE CHP GRW-77954Q-10-6	2018-02	PURCHASE	2018-05		9,976	10,020			-44	
T ROWE PRICE BLE CHP GRW-77954Q-10-6	2018-03	PURCHASE	2018-05		14,812	15,020			-208	
T ROWE PRICE BLE CHP GRW-77954Q-10-6	2018-05	PURCHASE	2018-05		88,409	88,409				
T ROWE PRICE BLE CHP GRW-77954Q-10-6	2018-05	PURCHASE	2018-08		3,450	3,325			125	
T ROWE PRICE INTL DISCOV-77956H-30-2	2018-02	PURCHASE	2018-05		9,635	10,020			-385	
T ROWE PRICE INTL DISCOV-77956H-30-2	2018-03	PURCHASE	2018-05		14,556	15,020			-464	
UNITED PARCEL SERVICE-B-911312-10-6-	2017-10	PURCHASE	2018-05		10,452	10,722			-270	
VANECK VCTRS INDIA ETF-92189F-76-7	2017-10	PURCHASE	2018-03		17,154	18,725			-1,571	
VANECK VECTORS TR ETF-92189F-43-7	2018-04	PURCHASE	2018-05		9,902	10,003			-101	
VANECK VECTORS TR ETF-92189F-30-4	2017-10	PURCHASE	2018-05		10,175	9,989			186	
VANECK VECTORS TR ETF-92189F-30-4	2018-02	PURCHASE	2018-05		3,097	3,010			87	
VANGARD SM CAP GRWTH ETF-922908-59-5	2017-11	PURCHASE	2018-05		16,255	15,178			1,077	
VANGUARD ENERGY ETF-92204A-30-6	2017-10	PURCHASE	2018-05		11,664	10,266			1,398	
VANGUARD EQUITY INCM INV-921921-10-2	2017-11	PURCHASE	2018-02		15,082	15,020			62	
VANGUARD EQUITY INCM INV-921921-10-2	2017-12	PURCHASE	2018-02		142	144			-2	
VANGUARD EQUITY INCM INV-921921-10-2	2017-12	PURCHASE	2018-02		102	104			-2	
VANGUARD EQUITY INCM INV-921921-10-2	2017-12	PURCHASE	2018-02		39	39				
VANGUARD EXPLORER FD INV-921926-10-1	2018-05	PURCHASE	2018-05		14,832	14,688			144	
VANGUARD FINANCIALS ETF-92204A-40-5	2017-11	PURCHASE	2018-05		16,059	14,982			1,077	
VANGUARD FTSE EMRG ETF-922042-85-8	2018-02	PURCHASE	2018-05		14,294	14,806			-512	
VANGUARD HEALTH CARE ETF-92204A-50-4	2017-11	PURCHASE	2018-05		15,753	15,223			530	

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
VANGUARD INFO TECH ETF-92204A-70-2	2017-11	PURCHASE	2018-05		16,331	15,029			1,302	
VANGUARD INTL EXPL INVS-921946-20-8-	2018-02	PURCHASE	2018-05		15,451	15,222			229	
VANGUARD INTL INDX EMKTS-922042-30-4	2018-02	PURCHASE	2018-05		14,647	15,385			-738	
VANGUARD INTL INDX EMKTS-922042-30-4	2018-03	PURCHASE	2018-05		21	22			-1	
VANGUARD LARGE CAP CL AD-922908-57-9	2017-11	PURCHASE	2018-05		15,426	15,020			406	
VANGUARD LARGE CAP CL AD-922908-57-9	2017-12	PURCHASE	2018-05		74	74				
VANGUARD LARGE CAP CL AD-922908-57-9	2018-03	PURCHASE	2018-05		65	66			-1	
VANGUARD M/C GROWTH ETF-922908-53-8	2018-05	PURCHASE	2018-05		14,613	14,206			407	
VANGUARD MORGAN GRW INVS-921928-10-7	2018-02	PURCHASE	2018-05		15,529	14,952			577	
VANGUARD SELEC VAL INV-921946-10-9-4	2017-11	PURCHASE	2018-02		13,821	15,020			-1,199	
VANGUARD SELEC VAL INV-921946-10-9-3	2017-12	PURCHASE	2018-02		1,090	1,100			-10	
VANGUARD SELEC VAL INV-921946-10-9-6	2017-12	PURCHASE	2018-02		188	190			-2	
VANGUARD SELEC VAL INV-921946-10-9-3	2017-12	PURCHASE	2018-02		104	105			-1	
VANGUARD SPECLIZD ENERGY-921908-10-9	2018-05	PURCHASE	2018-05		15,911	15,471			440	
VANGUARD TOT STK IDX INV-922908-30-6	2017-11	PURCHASE	2018-02		15,463	15,020			443	
VANGUARD TOT STK IDX INV-922908-30-6	2017-12	PURCHASE	2018-02		72	71			1	
VANGUARD TX MGD S/C INV-921943-40-3-	2017-11	PURCHASE	2018-02		14,872	15,018			-146	
VANGUARD TX MGD S/C INV-921943-40-3-	2017-12	PURCHASE	2018-02		60	61			-1	
VANGUARD U S GROWTH INV-921910-10-5	2018-02	PURCHASE	2018-05		16,096	15,555			541	
VANGUARD WELLESLEY INVT-921938-10-6-	2017-06	PURCHASE	2018-05		198	200			-2	
VANGUARD WELLESLEY INVT-921938-10-6-	2017-09	PURCHASE	2018-05		202	206			-4	
VANGUARD WELLESLEY INVT-921938-10-6-	2017-12	PURCHASE	2018-05		295	304			-9	
VANGUARD WELLESLEY INVT-921938-10-6-	2017-12	PURCHASE	2018-05		222	229			-7	
VANGUARD WELLESLEY INVT-921938-10-6-	2017-12	PURCHASE	2018-05		25	26			-1	
VANGUARD WELLESLEY INVT-921938-10-6-	2018-03	PURCHASE	2018-05		183	184			-1	
VG MID-CAP GRWTH INVS SH-921946-30-7	2018-05	PURCHASE	2018-05		15,701	15,584			117	
VNGUARD FTSE PACIFIC ETF-922042-86-6	2017-11	PURCHASE	2018-02		14,797	14,899			-102	
WISDOMTREE S/C DVD ETF-97717W-60-4	2017-11	PURCHASE	2018-02		23,288	24,880			-1,592	
GABELLI UTIL TR RTS-36240A-15-0	2018-04	PURCHASE	2018-05			3			-3	
GOLDMAN FINL SQ TREAS MM-38142B-50-0	2018-05	PURCHASE	2018-10		2,934	2,934				

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
MAINSTAY MACKAY H/Y BD I- 56062X-70-8	2018-05	PURCHASE	2018-08		32,429	32,429				

TY 2018 Investments Corporate Stock Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION

EIN: 20-3116729

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SAMI 25457479 COMMON STOCK		
SAMI 25457479 PREFERRED STOCK		

TY 2018 Investments - Other Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION

EIN: 20-3116729

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SAMI 25457479 CLOSED END FUNDS	AT COST		
SAMI 25457479 MUTUAL FUND	AT COST	1,663,465	1,513,276

TY 2018 Other Income Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION

EIN: 20-3116729

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP DISTRIBUTIONS	2,781	2,781	
MISCELLANEOUS	102	102	

TY 2018 Other Professional Fees Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION

EIN: 20-3116729

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	15,970			

TY 2018 Taxes Schedule

Name: CLEMENT AND KAREN ARRISON
FAMILY CHARITABLE FOUNDATION

EIN: 20-3116729

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	275			
FEDERAL EXCISE TAX	2,702			