Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
DIANE AND DOROTHY BROOKS FOUNDATION

A Employer identification number
20-2653929

Number and street (or P O box number if mail is not delivered to street address) 11100 SANTA MONICA BLVD NO 400 | Room/suite

B Telephone number (see instructions)
(310) 734-1234

City or town, state or province, country, and ZIP or foreign postal code
LOS ANGELES, CA 90025

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 30,282,853

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	762,661	762,661		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	970,155			
	b	Gross sales price for all assets on line 6a 10,293,196				
	7	Capital gain net income (from Part IV, line 2)		970,155		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	9,473	9,473		
	12	**Total.** Add lines 1 through 11	1,742,289	1,742,289		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	17,808	17,808		0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	97,238	97,238		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	25,183	25,183		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	557	557		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	140,786	140,786		0
	25	Contributions, gifts, grants paid	1,559,085			1,559,085
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,699,871	140,786		1,559,085
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	42,418			
	b	**Net investment income** (if negative, enter -0-)		1,601,503		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	551,050	478,863	478,863
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	3,128		
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	5,263,091	9,804,362	9,778,218
b	Investments—corporate stock (attach schedule)	17,679,273	11,182,106	12,830,239
c	Investments—corporate bonds (attach schedule)	2,091,252	1,645,999	1,605,110
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	2,572,776	5,091,658	5,590,423
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	28,160,570	28,202,988	30,282,853
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	28,160,570	28,202,988	
30	**Total net assets or fund balances** (see instructions)	28,160,570	28,202,988	
31	**Total liabilities and net assets/fund balances** (see instructions)	28,160,570	28,202,988	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,160,570
2	Enter amount from Part I, line 27a	2	42,418
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	28,202,988
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	28,202,988

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	**2**	970,155
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,810,483	28,696,591	0 063091
2016	780,000	24,831,514	0 031412
2015	601,650	25,046,921	0 024021
2014	925,000	25,146,695	0 036784
2013	538,200	12,976,419	0 041475

2 Total of line 1, column (d)	**2**	0 196783
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	**3**	0 039357
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	**4**	32,143,798
5 Multiply line 4 by line 3	**5**	1,265,083
6 Enter 1% of net investment income (1% of Part I, line 27b)	**6**	16,015
7 Add lines 5 and 6	**7**	1,281,098
8 Enter qualifying distributions from Part XII, line 4	**8**	1,559,085

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	16,015
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	16,015
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	16,015
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	8,392		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	24,000		
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments Add lines 6a through 6d			7	32,392
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	16,377
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 16,377	**Refunded** ▶		11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶FREEDMAN BRODER & COMPANY Telephone no ▶(310) 734-1234

Located at ▶11100 SANTA MONICA BLVD 400 LOS ANGELES CA ZIP+4 ▶90025

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	31,640,391
b	Average of monthly cash balances	1b	992,906
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	32,633,297
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	32,633,297
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	489,499
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	32,143,798
6	**Minimum investment return.** Enter 5% of line 5	6	1,607,190

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,607,190
2a	Tax on investment income for 2018 from Part VI, line 5 — 2a: 16,015		
b	Income tax for 2018 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	16,015
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,591,175
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	1,591,175
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,591,175

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,559,085
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,559,085
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	16,015
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,543,070

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,591,175
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017. 391,991				
f **Total** of lines 3a through e.	391,991			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 1,559,085				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				1,559,085
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*	32,090			32,090
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	359,901			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	359,901			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017. 359,901				
e Excess from 2018.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JAMES L BROOKS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a NONE					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	762,601	60
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	9,473	
8 Gain or (loss) from sales of assets other than inventory			14	3,615	966,540
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		775,689	966,600

13 **Total.** Add line 12, columns (b), (d), and (e). 13 1,742,289

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	INVESTMENT INTEREST INCOME NO RELATED ACTIVITY
4	INVESTMENT DIVIDEND INCOME NO RELATED ACTIVITY
8	INVESTMENT CAPITAL GAIN INCOME NO RELATED ACTIVITY

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-11-12	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
WILLIAM C BRODER				P00137499
Firm's name ▶ FREEDMAN BRODER & COMPANY				Firm's EIN ▶95-4338170
Firm's address ▶ 11100 SANTA MONICA 400 LOS ANGELES, CA 90025				Phone no (310) 734-1234

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1	118 SHS MERCADOLIBRE INC	P	2015-10-02	2018-02-27
1	95 SHS MERCADOLIBRE INC	P	2016-02-17	2018-02-27
	328 SHS ABAXIS INC	P	2006-03-09	2018-03-22
	108 SHS PRICESMART INC	P	2011-05-20	2018-03-22
	153 SHS AUTOHOME INC SP ADR RP CL A	P	2015-07-02	2018-03-26
	646 SHS ISHARES RUSSELL 2000 ETF	P	2006-03-09	2018-05-04
	35 SHS FINANCIAL ENGINES INC	P	2014-10-01	2018-06-01
	270 SHS FINANCIAL ENGINES INC	P	2014-11-18	2018-06-01
	282 SHS ABAXIS INC	P	2006-03-09	2018-06-05
	194 SHS ABAXIS INC	P	2016-02-17	2018-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,875		10,262	32,613
34,518		8,509	26,009
23,898		7,557	16,341
8,813		4,888	3,925
13,730		7,452	6,278
100,106		46,987	53,119
1,563		1,185	378
12,060		8,747	3,313
23,350		6,497	16,853
16,063		7,518	8,545

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			32,613
			26,009
			16,341
			3,925
			6,278
			53,119
			378
			3,313
			16,853
			8,545

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	183 SHS ABAXIS INC	P	2016-03-03	2018-06-05
1	598 SHS OLLIES BARGAIN OUTLT HOLDINGS INC	P	2015-10-27	2018-08-10
	321 SHS ASPEN TECHNOLOGY INC	P	2016-02-08	2018-10-05
	188 SHS OLLIES BARGAIN OUTLT HOLDINGS INC	P	2015-10-27	2018-10-05
	1007 SHS EMERALD EXPOSITIONS EVENTS INC	P	2017-08-21	2018-11-26
	921 SHS EMERALD EXPOSITIONS EVENTS INC	P	2017-08-22	2018-11-26
	228 SHS CHEFS WAREHOUSE INC	P	2012-09-07	2018-12-18
	403 SHS FOX FACTORY HOLDING CORP	P	2016-04-12	2018-12-18
	239 SHS CHEFS WAREHOUSE INC	P	2012-09-07	2018-12-19
	336 SHS CHEFS WAREHOUSE INC	P	2013-07-17	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,153		7,237	7,916
42,205		9,257	32,948
35,277		10,149	25,128
17,361		2,910	14,451
11,435		22,008	-10,573
10,459		19,972	-9,513
8,310		3,416	4,894
25,174		6,251	18,923
8,452		3,581	4,871
11,882		6,519	5,363

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,916
			32,948
			25,128
			14,451
			-10,573
			-9,513
			4,894
			18,923
			4,871
			5,363

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
	405 SHS DR PEPPER SNAPPLE GROUP INC	P	2016-07-11	2018-02-02
1	170 SHS DR PEPPER SNAPPLE GROUP INC	P	2017-09-20	2018-02-02
	384 SHS RPM INTL INC	P	2016-11-22	2018-02-02
	522 SHS RPM INTL INC	P	2016-11-23	2018-02-02
	30000 SHS MCDONALDS CORP MTN BE	P	2009-08-31	2018-03-01
	120 SHS PNC FINANCIAL SERVICES GROUP INC	P	2012-02-28	2018-03-09
	501 SHS MEDTRONIC PLC	P	2013-10-31	2018-03-23
	115 SHS MEDTRONIC PLC	P	2015-02-19	2018-03-23
	1045 SHS ISHARES SELECT DIV ETF	P	2006-03-09	2018-05-04
	4365 SHS ISHARES RUSSELL 1000 GROWTH ETF	P	2006-03-09	2018-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
48,309		39,526	8,783
20,278		15,627	4,651
20,029		20,038	-9
27,227		27,291	-64
30,000		30,000	0
18,972		7,166	11,806
40,181		37,910	2,271
9,223		8,638	585
100,140		65,539	34,601
599,267		228,017	371,250

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			8,783
			4,651
			-9
			-64
			0
			11,806
			2,271
			585
			34,601
			371,250

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	350 SHS PPG INDUSTRIES INC	P	2012-03-07	2018-05-07
1	92 SHS PPG INDUSTRIES INC	P	2016-03-11	2018-05-07
	30000 SHS GLAXOSMITHKLINE CAP INC	P	2012-11-21	2018-05-15
	1990 SHS SPDR BLOMBERG BRC SRT	P	2018-05-07	2018-06-13
	2653 SHS SPDR BLOMBERG BRC SRT	P	2018-05-07	2018-06-20
	5257 SHS SPDR BLOMBERG BRC SRT	P	2018-05-07	2018-06-21
	4733 SHS SPDR BLOMBERG BRC SRT	P	2018-05-18	2018-06-21
	1907 SHS SPDR BLOMBERG BRC SRT	P	2018-05-18	2018-08-01
	32 SHS GARRETT MOTION INC	P	2014-10-09	2018-10-22
	12 SHS GARRETT MOTION INC	P	2015-02-13	2018-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,741		16,217	20,524
9,658		9,429	229
30,000		36,917	-6,917
59,957		59,970	-13
79,942		79,949	-7
158,443		158,422	21
142,650		142,574	76
57,525		57,440	85
463		302	161
174		131	43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20,524
			229
			-6,917
			-13
			-7
			21
			76
			85
			161
			43

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	0 33 SHS RESIDEO TECHNOLOGIES INC	P	2014-10-09	2018-10-31
1	35000 SHS GE CAPITAL CORP MTN BE	P	2011-09-14	2018-11-01
	35000 SHS NATIONAL RURAL UTILITIES COOP FIN	P	2006-10-03	2018-11-01
	53 SHS RESIDEO TECHNOLOGIES INC	P	2014-10-09	2018-11-06
	20 SHS RESIDEO TECHNOLOGIES INC	P	2015-02-13	2018-11-06
	205 SHS CORE LABORATORIES NV	P	2015-02-19	2018-11-13
	118 SHS CORE LABORATORIES NV	P	2016-03-11	2018-11-13
	376 SHS BRITISH AMERICAN TOBACCO PLC SP ADR	P	2017-06-28	2018-11-13
	507 SHS BRITISH AMERICAN TOBACCO PLC SP ADR	P	2018-02-02	2018-11-13
	420 SHS ILLINOIS TOOL WORKS INC	P	2013-12-27	2018-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		5	2
35,553		36,313	-760
35,000		38,171	-3,171
1,142		848	294
431		371	60
17,472		22,664	-5,192
10,057		13,473	-3,416
16,380		26,435	-10,055
22,087		34,520	-12,433
56,155		34,742	21,413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			-760
			-3,171
			294
			60
			-5,192
			-3,416
			-10,055
			-12,433
			21,413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	296 SHS TJX COS INC	P	2012-03-28	2018-11-13
1	239 SHS WEC ENERGY GROUP INC	P	2015-03-26	2018-11-13
	35000 SHS DUKE ENERGY CAROLINAS LLC	P	2012-10-02	2018-11-15
	310 SHS HALLIBURTON CO	P	2014-06-26	2018-12-04
	265 SHS HALLIBURTON CO	P	2014-10-20	2018-12-04
	290 SHS HALLIBURTON CO	P	2015-02-19	2018-12-04
	587 SHS HORMEL FOODS CORP	P	2015-08-25	2018-12-04
	220 SHS TOWER SEMICONDUCTOR LTD	P	2016-08-04	2018-01-23
	479 SHS FANUC CORPORATION ADR	P	2017-03-17	2018-01-23
	443 SHS P T TELEKOMUNIKASI INDONESIA SP ADR	P	2017-02-21	2018-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,426		5,727	10,699
16,466		11,713	4,753
35,000		45,996	-10,996
9,672		21,793	-12,121
8,268		13,059	-4,791
9,048		12,719	-3,671
26,439		18,719	7,720
7,419		3,031	4,388
13,756		9,387	4,369
13,813		12,869	944

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,699
			4,753
			-10,996
			-12,121
			-4,791
			-3,671
			7,720
			4,388
			4,369
			944

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	172 SHS TENCENT HOLDINGS LTD ADR	P	2016-06-28	2018-01-23
1	807 SHS ATLANTIA SPA ADR	P	2015-12-17	2018-01-24
	176 SHS ATLANTIA SPA ADR	P	2016-05-02	2018-01-24
	9 SHS BROADCOM LTD	P	2017-01-25	2018-02-14
	35 SHS SONY CORP ADR	P	2017-10-03	2018-02-14
	485 SHS GDS HOLDINGS LTD SP ADR	P	2016-11-07	2018-03-16
	353 SHS AZUL SA SPONSR ADR PFD	P	2018-01-25	2018-03-20
	398 SHS TAL ED GROUP ADS REPSTG	P	2017-02-06	2018-03-20
	320 SHS FANUC CORPORATION ADR	P	2017-03-17	2018-03-28
	573 SHS GOLAR LNG LTD BERMUDA	P	2017-11-13	2018-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,997		3,970	6,027
13,332		10,572	2,760
2,908		2,428	480
2,173		1,705	468
1,674		1,308	366
13,183		5,103	8,080
11,800		9,435	2,365
15,089		5,381	9,708
7,918		6,271	1,647
19,287		13,590	5,697

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,027
			2,760
			480
			468
			366
			8,080
			2,365
			9,708
			1,647
			5,697

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	901 SHS TOWER SEMICONDUCTOR LTD	P	2016-08-04	2018-05-10
1	58 SHS AIA GROUP LTD SP ADR	P	2017-05-10	2018-05-10
	445 SHS AZUL SA SPONSR ADR PFD	P	2018-01-25	2018-05-10
	69 SHS BAIDU INC SP ADR A	P	2017-11-13	2018-05-10
	266 SHS BAOZUN INC SP ADR	P	2017-06-23	2018-05-10
	236 SHS BRITISH AMERICAN TOBACCO PLC SP ADR	P	2017-01-25	2018-05-10
	63 SHS BRITISH AMERICAN TOBACCO PLC SP ADR	P	2017-06-23	2018-05-10
	123 SHS BRITISH AMERICAN TOBACCO PLC SP ADR	P	2018-03-28	2018-05-10
	65 SHS BROADCOM INC COM	P	2017-01-20	2018-05-10
	7 SHS BROADCOM INC COM	P	2018-03-26	2018-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,882		12,415	9,467
2,032		1,642	390
12,235		11,894	341
17,604		16,459	1,145
12,980		5,558	7,422
12,176		13,820	-1,644
3,250		4,493	-1,243
6,346		6,807	-461
15,344		12,312	3,032
1,652		1,699	-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,467
			390
			341
			1,145
			7,422
			-1,644
			-1,243
			-461
			3,032
			-47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	114 SHS BROOKFIELD ASSET MGMT INC CL A	P	2016-04-21	2018-05-10
1	260 SHS BROOKFIELD ASSET MGMT INC CL A	P	2016-05-02	2018-05-10
	29 SHS CRH PLC ADR	P	2016-05-18	2018-05-10
	437 SHS CANADIAN NATURAL RESOURCES LTD	P	2017-01-25	2018-05-10
	64 SHS CHINA LODGING GROUP LTD SP ADR	P	2018-02-14	2018-05-10
	42 SHS CHINA LODGING GROUP LTD SP ADR	P	2018-03-28	2018-05-10
	614 SHS GDS HOLDINGS LTD SP ADR	P	2016-11-07	2018-05-10
	284 SHS GLAXOSMITHKLINE PLC	P	2017-06-01	2018-05-10
	96 SHS GLAXOSMITHKLINE PLC	P	2017-06-23	2018-05-10
	262 SHS GLAXOSMITHKLINE PLC	P	2018-01-24	2018-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,504		3,842	662
10,272		8,894	1,378
1,043		850	193
14,748		13,559	1,189
9,182		9,087	95
6,026		5,398	628
18,278		6,461	11,817
11,204		12,128	-924
3,787		4,185	-398
10,337		10,071	266

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			662
			1,378
			193
			1,189
			95
			628
			11,817
			-924
			-398
			266

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	293 SHS GRUPO SUPERVIELLE SA SP ADR	P	2018-03-28	2018-05-10
1	621 SHS INTESA SAN PAOLO SPA SP ADR	P	2017-05-11	2018-05-10
	394 SHS INTESA SAN PAOLO SPA SP ADR	P	2017-10-03	2018-05-10
	691 SHS KOMATSU LTD SP ADR	P	2017-06-09	2018-05-10
	18 SHS NIDEC CORP SP ADR	P	2017-06-09	2018-05-10
	434 SHS OPEN TEXT CORP	P	2017-05-15	2018-05-10
	115 SHS OPEN TEXT CORP	P	2017-10-03	2018-05-10
	0 11 SHS AZIMUT HOLDINGS SPA ADR	P	2018-05-10	2018-06-20
	90 SHS MURATA MFG CO LTD ADR	P	2018-05-10	2018-07-10
	36 SHS ASML HOLDING NV NY REGISTRY	P	2018-05-10	2018-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,919		8,944	-3,025
13,809		11,702	2,107
8,761		8,405	356
22,904		16,985	5,919
683		483	200
15,796		14,278	1,518
4,186		3,718	468
4		4	0
3,850		2,946	904
7,666		6,942	724

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,025
			2,107
			356
			5,919
			200
			1,518
			468
			0
			904
			724

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	22 SHS ALIBABA GROUP HOLDING LTD SP ADS	P	2018-05-10	2018-07-31
1	55 SHS TENCENT HOLDINGS LTD ADR	P	2016-06-28	2018-07-31
	29 SHS TENCENT HOLDINGS LTD ADR	P	2016-07-01	2018-07-31
	19 SHS TEMENOS GROUP AG SP ADR	P	2018-05-10	2018-08-01
	53 SHS TENCENT HOLDINGS LTD ADR	P	2016-07-01	2018-09-26
	301 SHS FRESENIUS MED CARE AG & CO KGAA SP ADR	P	2017-05-11	2018-11-09
	177 SHS FRESENIUS MED CARE AG & CO KGAA SP ADR	P	2017-11-30	2018-11-09
	38766 52 SHS DFA US CORE EQUITY 1	P	2014-09-15	2018-05-02
	7314 974 SHS DFA EMERG MKT CORE EQUITY	P	2014-09-15	2018-05-02
	23609 654 SHS DFA LARGE CAP HIGH	P	2014-09-15	2018-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,143		4,271	-128
2,581		1,270	1,311
1,361		644	717
3,071		2,514	557
2,207		1,177	1,030
12,996		13,984	-988
7,642		8,703	-1,061
879,970		682,694	197,276
169,970		153,480	16,490
899,970		804,163	95,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-128
			1,311
			717
			557
			1,030
			-988
			-1,061
			197,276
			16,490
			95,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	2503 129 SHS DFA LARGE CAP INTL	P	2014-09-15	2018-05-02
1	1211 827 SHS DFA EMERG MKT CORE EQUITY	P	2014-09-15	2018-08-16
	2006 018 SHS DFA INTL SMALL PORTFOLIO	P	2014-09-15	2018-08-16
	1926 782 SHS DFA INTL SMALL CAP VALUE	P	2014-09-15	2018-08-16
	3840 246 SHS DFA LARGE CAP HIGH	P	2014-09-15	2018-08-16
	3994 674 SHS DFA LARGE CAP INTL	P	2014-09-15	2018-08-16
	4438 526 SHS DFA LARGE CAP INTL	P	2014-09-15	2018-08-16
	92851 343 SHS DFA INTL CORE EQUITY	P	2014-09-15	2018-12-19
	1024 507 SHS DFA INTL CORE EQUITY	P	2014-12-15	2018-12-19
	155 511 SHS DFA INTL CORE EQUITY	P	2015-03-09	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
59,970		56,197	3,773
24,970		25,426	-456
39,970		38,740	1,230
39,970		40,042	-72
149,970		130,802	19,168
89,970		89,683	287
99,970		99,648	322
1,090,977		1,183,887	-92,910
12,038		11,628	410
1,827		1,888	-61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,773
			-456
			1,230
			-72
			19,168
			287
			322
			-92,910
			410
			-61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1973 465 SHS DFA INTL CORE EQUITY	P	2015-06-08	2018-12-19
1 416 021 SHS DFA INTL CORE EQUITY	P	2015-09-09	2018-12-19
164 798 SHS DFA INTL CORE EQUITY	P	2015-10-27	2018-12-19
411 524 SHS DFA INTL CORE EQUITY	P	2015-12-15	2018-12-19
405 656 SHS DFA INTL CORE EQUITY	P	2016-03-30	2018-12-19
1388 147 SHS DFA INTL CORE EQUITY	P	2016-06-29	2018-12-19
291 99 SHS DFA INTL CORE EQUITY	P	2016-09-29	2018-12-19
730 822 SHS DFA INTL CORE EQUITY	P	2016-12-14	2018-12-19
139 978 SHS DFA INTL CORE EQUITY	P	2017-03-30	2018-12-19
1206 21 SHS DFA INTL CORE EQUITY	P	2017-06-29	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,188		24,629	-1,441
4,888		4,730	158
1,936		1,926	10
4,835		4,609	226
4,766		4,588	178
16,310		15,061	1,249
3,431		3,422	9
8,587		8,478	109
1,645		1,757	-112
14,173		15,862	-1,689

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,441
			158
			10
			226
			178
			1,249
			9
			109
			-112
			-1,689

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
447 6 SHS DFA INTL CORE EQUITY	P	2017-09-28	2018-12-19
1 890 91 SHS DFA INTL CORE EQUITY	P	2017-12-14	2018-12-19
1267 604 SHS DFA INTL CORE EQUITY	P	2018-06-28	2018-12-19
482 412 SHS DFA INTL CORE EQUITY	P	2018-09-27	2018-12-19
966 272 SHS DFA INTL CORE EQUITY	P	2018-12-17	2018-12-19
49324 752 SHS DFA US CORE EQUITY 1	P	2014-09-15	2018-12-19
572 198 SHS DFA US CORE EQUITY 1	P	2014-12-15	2018-12-19
676 798 SHS DFA US CORE EQUITY 1	P	2014-12-15	2018-12-19
410 91 SHS DFA US CORE EQUITY 1	P	2015-03-09	2018-12-19
483 731 SHS DFA US CORE EQUITY 1	P	2015-06-08	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,259		6,240	-981
10,468		12,642	-2,174
14,894		17,544	-2,650
5,668		6,749	-1,081
11,353		11,354	-1
1,029,383		868,629	160,754
11,941		9,842	2,099
14,124		11,641	2,483
8,575		7,474	1,101
10,095		8,862	1,233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-981
			-2,174
			-2,650
			-1,081
			-1
			160,754
			2,099
			2,483
			1,101
			1,233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	388 916 SHS DFA US CORE EQUITY 1	P	2015-09-09	2018-12-19
1	1120 968 SHS DFA US CORE EQUITY 1	P	2015-12-15	2018-12-19
	503 501 SHS DFA US CORE EQUITY 1	P	2015-12-15	2018-12-19
	430 846 SHS DFA US CORE EQUITY 1	P	2016-03-30	2018-12-19
	413 155 SHS DFA US CORE EQUITY 1	P	2016-06-29	2018-12-19
	341 338 SHS DFA US CORE EQUITY 1	P	2016-09-29	2018-12-19
	513 21 SHS DFA US CORE EQUITY 1	P	2016-12-14	2018-12-19
	410 424 SHS DFA US CORE EQUITY 1	P	2016-12-14	2018-12-19
	344 841 SHS DFA US CORE EQUITY 1	P	2017-03-30	2018-12-19
	377 981 SHS DFA US CORE EQUITY 1	P	2017-06-29	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,116		6,623	1,493
23,394		19,236	4,158
10,508		8,640	1,868
8,992		7,479	1,513
8,622		7,164	1,458
7,124		6,226	898
10,710		9,946	764
8,565		7,954	611
7,197		6,969	228
7,888		7,783	105

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,493
			4,158
			1,868
			1,513
			1,458
			898
			764
			611
			228
			105

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	378 018 SHS DFA US CORE EQUITY 1	P	2017-09-28	2018-12-19
1	11 321 SHS DFA US CORE EQUITY 1	P	2017-12-14	2018-12-19
	503 336 SHS DFA US CORE EQUITY 1	P	2017-12-14	2018-12-19
	523 381 SHS DFA US CORE EQUITY 1	P	2017-12-14	2018-12-19
	197 579 SHS DFA US CORE EQUITY 1	P	2018-03-28	2018-12-19
	216 432 SHS DFA US CORE EQUITY 1	P	2018-06-28	2018-12-19
	223 244 SHS DFA US CORE EQUITY 1	P	2018-09-27	2018-12-19
	322 639 SHS DFA US CORE EQUITY 1	P	2018-12-17	2018-12-19
	399 539 SHS DFA US CORE EQUITY 1	P	2018-12-17	2018-12-19
	8529 268 SHS DFA EMERG MKT CORE EQUITY	P	2014-09-15	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,889		8,116	-227
236		254	-18
10,504		11,290	-786
10,923		11,739	-816
4,123		4,406	-283
4,517		5,047	-530
4,659		5,523	-864
6,733		6,737	-4
8,338		8,342	-4
162,884		178,957	-16,073

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-227
			-18
			-786
			-816
			-283
			-530
			-864
			-4
			-4
			-16,073

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
194 594 SHS DFA EMERG MKT CORE EQUITY	P	2014-12-15	2018-12-19
1 116 031 SHS DFA EMERG MKT CORE EQUITY	P	2015-06-08	2018-12-19
200 606 SHS DFA EMERG MKT CORE EQUITY	P	2015-09-09	2018-12-19
120 015 SHS DFA EMERG MKT CORE EQUITY	P	2015-12-15	2018-12-19
57 302 SHS DFA EMERG MKT CORE EQUITY	P	2016-03-30	2018-12-19
118 262 SHS DFA EMERG MKT CORE EQUITY	P	2016-06-29	2018-12-19
91 765 SHS DFA EMERG MKT CORE EQUITY	P	2016-09-29	2018-12-19
86 308 SHS DFA EMERG MKT CORE EQUITY	P	2016-12-14	2018-12-19
4 301 SHS DFA EMERG MKT CORE EQUITY	P	2017-03-30	2018-12-19
99 765 SHS DFA EMERG MKT CORE EQUITY	P	2017-06-29	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,716		3,563	153
2,216		2,236	-20
3,831		3,196	635
2,292		1,861	431
1,094		963	131
2,258		1,996	262
1,752		1,686	66
1,648		1,503	145
82		85	-3
1,905		2,042	-137

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			153
			-20
			635
			431
			131
			262
			66
			145
			-3
			-137

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	161 818 SHS DFA EMERG MKT CORE EQUITY	P	2017-09-28	2018-12-19
1	111 627 SHS DFA EMERG MKT CORE EQUITY	P	2017-12-14	2018-12-19
	57 83 SHS DFA EMERG MKT CORE EQUITY	P	2018-06-28	2018-12-19
	102 931 SHS DFA EMERG MKT CORE EQUITY	P	2018-09-27	2018-12-19
	66 221 SHS DFA EMERG MKT CORE EQUITY	P	2018-12-17	2018-12-19
	1415 671 SHS DFA EMERG MKT VALUE	P	2014-09-15	2018-12-19
	36 503 SHS DFA EMERG MKT VALUE	P	2014-12-16	2018-12-19
	14 611 SHS DFA EMERG MKT VALUE	P	2015-09-09	2018-12-19
	22 123 SHS DFA EMERG MKT VALUE	P	2015-12-16	2018-12-19
	3 701 SHS DFA EMERG MKT VALUE	P	2016-03-30	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,090		3,474	-384
2,132		2,483	-351
1,104		1,205	-101
1,966		2,143	-177
1,265		1,259	6
37,786		42,056	-4,270
974		901	73
390		311	79
590		450	140
99		82	17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-384
			-351
			-101
			-177
			6
			-4,270
			73
			79
			140
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 359 SHS DFA EMERG MKT VALUE	P	2016-06-29	2018-12-19
1 6 972 SHS DFA EMERG MKT VALUE	P	2016-09-29	2018-12-19
7 906 SHS DFA EMERG MKT VALUE	P	2016-12-15	2018-12-19
3 423 SHS DFA EMERG MKT VALUE	P	2017-06-29	2018-12-19
17 709 SHS DFA EMERG MKT VALUE	P	2017-09-28	2018-12-19
18 604 SHS DFA EMERG MKT VALUE	P	2017-12-15	2018-12-19
18 489 SHS DFA EMERG MKT VALUE	P	2018-09-27	2018-12-19
1355 288 SHS DFA US TARGETED VALUE	P	2014-09-15	2018-12-19
118 158 SHS DFA US TARGETED VALUE	P	2014-12-16	2018-12-19
11 862 SHS DFA US TARGETED VALUE	P	2014-12-16	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
330		272	58
186		169	17
211		191	20
91		96	-5
473		514	-41
497		560	-63
494		542	-48
27,272		32,011	-4,739
2,378		2,466	-88
239		248	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			58
			17
			20
			-5
			-41
			-63
			-48
			-4,739
			-88
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
4 643 SHS DFA US TARGETED VALUE	P	2014-12-16	2018-12-19
1 4 313 SHS DFA US TARGETED VALUE	P	2015-03-09	2018-12-19
8 317 SHS DFA US TARGETED VALUE	P	2015-06-08	2018-12-19
4 872 SHS DFA US TARGETED VALUE	P	2015-09-09	2018-12-19
64 615 SHS DFA US TARGETED VALUE	P	2015-12-16	2018-12-19
2 238 SHS DFA US TARGETED VALUE	P	2015-12-16	2018-12-19
6 997 SHS DFA US TARGETED VALUE	P	2015-12-16	2018-12-19
4 254 SHS DFA US TARGETED VALUE	P	2016-03-30	2018-12-19
4 879 SHS DFA US TARGETED VALUE	P	2016-06-29	2018-12-19
3 926 SHS DFA US TARGETED VALUE	P	2016-09-29	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
93		97	-4
87		97	-10
167		192	-25
98		103	-5
1,300		1,290	10
45		45	0
141		140	1
86		86	0
98		98	0
79		85	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-4
			-10
			-25
			-5
			10
			0
			1
			0
			0
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
48 422 SHS DFA US TARGETED VALUE	P	2016-12-15	2018-12-19
1 4 608 SHS DFA US TARGETED VALUE	P	2016-12-15	2018-12-19
2 776 SHS DFA US TARGETED VALUE	P	2016-12-15	2018-12-19
4 183 SHS DFA US TARGETED VALUE	P	2017-03-30	2018-12-19
4 242 SHS DFA US TARGETED VALUE	P	2017-06-29	2018-12-19
4 719 SHS DFA US TARGETED VALUE	P	2017-09-28	2018-12-19
4 357 SHS DFA US TARGETED VALUE	P	2017-12-15	2018-12-19
7 096 SHS DFA US TARGETED VALUE	P	2017-12-15	2018-12-19
69 708 SHS DFA US TARGETED VALUE	P	2017-12-15	2018-12-19
2 582 SHS DFA US TARGETED VALUE	P	2018-03-28	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
974		1,175	-201
93		112	-19
56		67	-11
84		100	-16
85		101	-16
95		118	-23
88		107	-19
143		174	-31
1,403		1,707	-304
52		62	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-201
			-19
			-11
			-16
			-16
			-23
			-19
			-31
			-304
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 49 SHS DFA US TARGETED VALUE	P	2018-06-28	2018-12-19
1 5 212 SHS DFA US TARGETED VALUE	P	2018-09-27	2018-12-19
1662 551 SHS DFA EMERG MKT SMALL CAP	P	2014-09-15	2018-12-19
41 67 SHS DFA EMERG MKT SMALL CAP	P	2014-12-16	2018-12-19
2 832 SHS DFA EMERG MKT SMALL CAP	P	2014-12-16	2018-12-19
74 605 SHS DFA EMERG MKT SMALL CAP	P	2014-12-16	2018-12-19
2 705 SHS DFA EMERG MKT SMALL CAP	P	2015-06-08	2018-12-19
18 425 SHS DFA EMERG MKT SMALL CAP	P	2015-09-09	2018-12-19
24 616 SHS DFA EMERG MKT SMALL CAP	P	2015-12-16	2018-12-19
14 604 SHS DFA EMERG MKT SMALL CAP	P	2015-12-16	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
90		116	-26
105		135	-30
30,783		37,463	-6,680
772		803	-31
52		55	-3
1,381		1,437	-56
50		57	-7
341		320	21
456		424	32
270		252	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-26
			-30
			-6,680
			-31
			-3
			-56
			-7
			21
			32
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
5 549 SHS DFA EMERG MKT SMALL CAP	P	2016-03-30	2018-12-19
1 15 765 SHS DFA EMERG MKT SMALL CAP	P	2016-06-29	2018-12-19
1 705 SHS DFA EMERG MKT SMALL CAP	P	2016-09-29	2018-12-19
3 769 SHS DFA EMERG MKT SMALL CAP	P	2016-12-15	2018-12-19
24 869 SHS DFA EMERG MKT SMALL CAP	P	2016-12-15	2018-12-19
42 618 SHS DFA EMERG MKT SMALL CAP	P	2016-12-15	2018-12-19
5 785 SHS DFA EMERG MKT SMALL CAP	P	2017-06-29	2018-12-19
16 794 SHS DFA EMERG MKT SMALL CAP	P	2017-09-28	2018-12-19
7 54 SHS DFA EMERG MKT SMALL CAP	P	2017-12-15	2018-12-19
28 872 SHS DFA EMERG MKT SMALL CAP	P	2017-12-15	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
103		102	1
292		295	-3
32		35	-3
70		70	0
460		461	-1
789		789	0
107		125	-18
311		379	-68
140		173	-33
535		661	-126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1
			-3
			-3
			0
			-1
			0
			-18
			-68
			-33
			-126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
39 962 SHS DFA EMERG MKT SMALL CAP	P	2017-12-15	2018-12-19
1 18 381 SHS DFA EMERG MKT SMALL CAP	P	2018-09-27	2018-12-19
14454 555 SHS DFA INTL SMALL PORTFOLIO	P	2014-09-15	2018-12-19
838 199 SHS DFA INTL SMALL PORTFOLIO	P	2014-12-16	2018-12-19
90 642 SHS DFA INTL SMALL PORTFOLIO	P	2014-12-16	2018-12-19
424 133 SHS DFA INTL SMALL PORTFOLIO	P	2014-12-16	2018-12-19
128 056 SHS DFA INTL SMALL PORTFOLIO	P	2015-06-08	2018-12-19
79 704 SHS DFA INTL SMALL PORTFOLIO	P	2015-09-09	2018-12-19
223 286 SHS DFA INTL SMALL PORTFOLIO	P	2015-12-16	2018-12-19
419 583 SHS DFA INTL SMALL PORTFOLIO	P	2015-12-16	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
740		914	-174
340		382	-42
230,383		279,144	-48,761
13,360		13,881	-521
1,445		1,501	-56
6,760		7,024	-264
2,041		2,392	-351
1,270		1,376	-106
3,559		3,800	-241
6,687		7,141	-454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-174
			-42
			-48,761
			-521
			-56
			-264
			-351
			-106
			-241
			-454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	82 429 SHS DFA INTL SMALL PORTFOLIO	P	2016-03-30	2018-12-19
1	217 659 SHS DFA INTL SMALL PORTFOLIO	P	2016-06-29	2018-12-19
	35 615 SHS DFA INTL SMALL PORTFOLIO	P	2016-09-29	2018-12-19
	481 234 SHS DFA INTL SMALL PORTFOLIO	P	2016-12-15	2018-12-19
	36 137 SHS DFA INTL SMALL PORTFOLIO	P	2016-12-15	2018-12-19
	160 511 SHS DFA INTL SMALL PORTFOLIO	P	2016-12-15	2018-12-19
	118 185 SHS DFA INTL SMALL PORTFOLIO	P	2017-06-29	2018-12-19
	68 814 SHS DFA INTL SMALL PORTFOLIO	P	2017-09-28	2018-12-19
	74 1 SHS DFA INTL SMALL PORTFOLIO	P	2017-12-15	2018-12-19
	296 632 SHS DFA INTL SMALL PORTFOLIO	P	2017-12-15	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,314		1,425	-111
3,469		3,622	-153
568		648	-80
7,670		8,195	-525
576		615	-39
2,558		2,734	-176
1,884		2,355	-471
1,097		1,458	-361
1,181		1,527	-346
4,728		6,114	-1,386

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-111
			-153
			-80
			-525
			-39
			-176
			-471
			-361
			-346
			-1,386

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	573 543 SHS DFA INTL SMALL PORTFOLIO	P	2017-12-15	2018-12-19
1	55 207 SHS DFA INTL SMALL PORTFOLIO	P	2018-06-28	2018-12-19
	75 552 SHS DFA INTL SMALL PORTFOLIO	P	2018-09-27	2018-12-19
	13550 866 SHS DFA INTL SMALL CAP VALUE	P	2014-09-15	2018-12-19
	324 646 SHS DFA INTL SMALL CAP VALUE	P	2014-12-15	2018-12-19
	478 75 SHS DFA INTL SMALL CAP VALUE	P	2014-12-15	2018-12-19
	101 704 SHS DFA INTL SMALL CAP VALUE	P	2015-06-08	2018-12-19
	43 989 SHS DFA INTL SMALL CAP VALUE	P	2015-09-09	2018-12-19
	217 612 SHS DFA INTL SMALL CAP VALUE	P	2015-12-15	2018-12-19
	252 385 SHS DFA INTL SMALL CAP VALUE	P	2015-12-15	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,141		11,821	-2,680
880		1,135	-255
1,204		1,548	-344
225,599		281,614	-56,015
5,405		5,847	-442
7,970		8,622	-652
1,693		2,093	-400
732		835	-103
3,623		3,984	-361
4,202		4,621	-419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,680
			-255
			-344
			-56,015
			-442
			-652
			-400
			-103
			-361
			-419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	72 132 SHS DFA INTL SMALL CAP VALUE	P	2016-03-30	2018-12-19
1	218 488 SHS DFA INTL SMALL CAP VALUE	P	2016-06-29	2018-12-19
	26 281 SHS DFA INTL SMALL CAP VALUE	P	2016-09-29	2018-12-19
	5 243 SHS DFA INTL SMALL CAP VALUE	P	2016-12-14	2018-12-19
	558 139 SHS DFA INTL SMALL CAP VALUE	P	2016-12-14	2018-12-19
	146 042 SHS DFA INTL SMALL CAP VALUE	P	2016-12-14	2018-12-19
	47 731 SHS DFA INTL SMALL CAP VALUE	P	2017-06-29	2018-12-19
	54 385 SHS DFA INTL SMALL CAP VALUE	P	2017-09-28	2018-12-19
	37 527 SHS DFA INTL SMALL CAP VALUE	P	2017-12-14	2018-12-19
	369 727 SHS DFA INTL SMALL CAP VALUE	P	2017-12-14	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,201		1,338	-137
3,637		3,817	-180
438		511	-73
87		99	-12
9,292		10,538	-1,246
2,431		2,757	-326
795		1,034	-239
905		1,261	-356
625		834	-209
6,155		8,215	-2,060

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-137
			-180
			-73
			-12
			-1,246
			-326
			-239
			-356
			-209
			-2,060

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	560 874 SHS DFA INTL SMALL CAP VALUE	P	2017-12-14	2018-12-19
1	79 282 SHS DFA INTL SMALL CAP VALUE	P	2018-09-27	2018-12-19
	275 023 SHS DFA INTL SMALL CAP VALUE	P	2018-12-17	2018-12-19
	635 59 SHS DFA INTL SMALL CAP VALUE	P	2018-12-17	2018-12-19
	20029 638 SHS DFA LARGE CAP HIGH	P	2014-09-15	2018-12-19
	381 396 SHS DFA LARGE CAP HIGH	P	2014-12-16	2018-12-19
	379 18 SHS DFA LARGE CAP HIGH	P	2014-12-16	2018-12-19
	263 124 SHS DFA LARGE CAP HIGH	P	2015-03-09	2018-12-19
	297 662 SHS DFA LARGE CAP HIGH	P	2015-06-08	2018-12-19
	266 14 SHS DFA LARGE CAP HIGH	P	2015-09-09	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,338		12,463	-3,125
1,320		1,706	-386
4,579		4,576	3
10,582		10,576	6
654,749		682,225	-27,476
12,467		12,258	209
12,395		12,187	208
8,601		8,944	-343
9,730		10,225	-495
8,700		8,343	357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,125
			-386
			3
			6
			-27,476
			209
			208
			-343
			-495
			357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
305 694 SHS DFA LARGE CAP HIGH	P	2015-12-16	2018-12-19
1 2122 055 SHS DFA LARGE CAP HIGH	P	2015-12-16	2018-12-19
300 902 SHS DFA LARGE CAP HIGH	P	2016-03-30	2018-12-19
271 944 SHS DFA LARGE CAP HIGH	P	2016-06-29	2018-12-19
250 815 SHS DFA LARGE CAP HIGH	P	2016-09-29	2018-12-19
1214 101 SHS DFA LARGE CAP HIGH	P	2016-12-15	2018-12-19
241 633 SHS DFA LARGE CAP HIGH	P	2016-12-15	2018-12-19
234 607 SHS DFA LARGE CAP HIGH	P	2017-03-30	2018-12-19
250 322 SHS DFA LARGE CAP HIGH	P	2017-06-29	2018-12-19
293 659 SHS DFA LARGE CAP HIGH	P	2017-09-28	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,993		9,538	455
69,368		66,208	3,160
9,836		9,259	577
8,890		8,496	394
8,199		8,242	-43
39,688		43,028	-3,340
7,899		8,563	-664
7,669		8,516	-847
8,183		9,199	-1,016
9,599		11,200	-1,601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			455
			3,160
			577
			394
			-43
			-3,340
			-664
			-847
			-1,016
			-1,601

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
101 167 SHS DFA LARGE CAP HIGH	P	2017-12-15	2018-12-19
1 239 286 SHS DFA LARGE CAP HIGH	P	2017-12-15	2018-12-19
2489 397 SHS DFA LARGE CAP HIGH	P	2017-12-15	2018-12-19
256 889 SHS DFA LARGE CAP HIGH	P	2018-03-28	2018-12-19
170 687 SHS DFA LARGE CAP HIGH	P	2018-06-28	2018-12-19
150 654 SHS DFA LARGE CAP HIGH	P	2018-09-27	2018-12-19
26089 723 SHS DFA LARGE CAP INTL	P	2014-09-15	2018-12-19
516 505 SHS DFA LARGE CAP INTL	P	2014-12-15	2018-12-19
133 654 SHS DFA LARGE CAP INTL	P	2015-03-09	2018-12-19
1188 365 SHS DFA LARGE CAP INTL	P	2015-06-08	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,307		3,907	-600
7,822		9,241	-1,419
81,376		96,141	-14,765
8,397		9,623	-1,226
5,580		6,476	-896
4,925		6,022	-1,097
524,901		585,730	-60,829
10,392		10,413	-21
2,689		2,875	-186
23,909		25,883	-1,974

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-600
			-1,419
			-14,765
			-1,226
			-896
			-1,097
			-60,829
			-21
			-186
			-1,974

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
283 409 SHS DFA LARGE CAP INTL	P	2015-09-09	2018-12-19
1 259 411 SHS DFA LARGE CAP INTL	P	2015-12-15	2018-12-19
193 46 SHS DFA LARGE CAP INTL	P	2016-03-30	2018-12-19
572 505 SHS DFA LARGE CAP INTL	P	2016-06-29	2018-12-19
130 167 SHS DFA LARGE CAP INTL	P	2016-09-29	2018-12-19
286 907 SHS DFA LARGE CAP INTL	P	2016-12-14	2018-12-19
116 799 SHS DFA LARGE CAP INTL	P	2017-03-30	2018-12-19
512 519 SHS DFA LARGE CAP INTL	P	2017-06-29	2018-12-19
185 65 SHS DFA LARGE CAP INTL	P	2017-09-28	2018-12-19
294 945 SHS DFA LARGE CAP INTL	P	2017-12-14	2018-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,702		5,611	91
5,219		5,007	212
3,892		3,730	162
11,518		10,626	892
2,619		2,575	44
5,772		5,609	163
2,350		2,460	-110
10,311		11,286	-975
3,735		4,277	-542
5,934		6,934	-1,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			91
			212
			162
			892
			44
			163
			-110
			-975
			-542
			-1,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
76 314 SHS DFA LARGE CAP INTL	P	2018-03-28	2018-12-19
1 539 93 SHS DFA LARGE CAP INTL	P	2018-06-28	2018-12-19
149 463 SHS DFA LARGE CAP INTL	P	2018-09-27	2018-12-19
227 647 SHS DFA LARGE CAP INTL	P	2018-12-17	2018-12-19
110 SHS HEICO CORP CL A	P	2008-09-04	2018-07-02
568 SHS HEICO CORP CL A	P	2008-09-04	2018-11-05
133 SHS HEICO CORP CL A	P	2008-09-04	2018-02-06
220 SHS HEICO CORP CL A	P	2008-09-04	2018-03-20
315 SHS PRAXAIR INC	P	2018-01-31	2018-10-01
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,535		1,787	-252
10,863		12,337	-1,474
3,007		3,493	-486
4,580		4,583	-3
1		7	-6
38,437		3,297	35,140
9		1	8
15,856		1,596	14,260
51,487		50,703	784
3,615			3,615

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-252
			-1,474
			-486
			-3
			-6
			35,140
			8
			14,260
			784
			3,615

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES L BROOKS 11100 SANTA MONICA BL 400 LOS ANGELES, CA 90025	PRESIDENT 0 50	0	0	0
WILLIAM BRODER 11100 SANTA MONICA BL 400 LOS ANGELES, CA 90025	CFO AND SECRETARY 0 25	0	0	0
AMY BROOKS 11100 SANTA MONICA BL 400 LOS ANGELES, CA 90025	VICE-PRESIDENT 0 00	0	0	0
CHLOE BROOKS 11100 SANTA MONICA BL 400 LOS ANGELES, CA 90025	VICE-PRESIDENT 0 00	0	0	0
COOPER BROOKS 11100 SANTA MONICA BL 400 LOS ANGELES, CA 90025	VICE-PRESIDENT 0 00	0	0	0
JOSEPH BROOKS 11100 SANTA MONICA BL 400 LOS ANGELES, CA 90025	VICE-PRESIDENT 0 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CA COMMUNITY FOUNDATION 221 S FIGUEROA ST SUITE 400 LOS ANGELES, CA 90012	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
NY TIMES NEEDIEST CASES 4 CHASE METROTECH CENTER BROOKLYN, NY 11245	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	50,000
PARA LOS NINOS 5000 HOLLYWOOD BLVD HOLLYWOOD, CA 90027	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RAPE FOUNDATION 1223 WILSIRE BL 410 SANTA MONICA, CA 90403	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	10,000
LOS ANGELES CLIPPERS FOUNDATION 1212 S FLOWER STREET 5TH FL LOS ANGELES, CA 90015	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	15,000
MARINE TOYS FOR TOTS FOUNDATION 18251 QUANTICO GATEWAY DRIVE TRIANGLE, VA 22172	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	5,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SABAN COMMUNITY CLINIC 8405 BEVERLY BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	636,780
MEDIA MATTERS FOR AMERICA PO BOX 52155 WASHINGTON, DC 92091	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
NEW YORK CARES 65 BROADWAY 19TH FL NEW YORK, NY 10006	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SHARE OUR STRENGTHPO BOX 75475 BALTIMORE, MD 21275	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	10,000
UCLA FOUNDATIONPO BOX 951619 LOS ANGELES, CA 90095	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	1,500
VINEYARD COMMITTEE ON HUNGER PO BOX 4685 VINEYARD HAVEN, MA 02568	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	10,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YADDOPO BOX 395 SARATOGA SPRINGS, NY 12866	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	10,000
CURE FOR SANFILIPPOPO BOX 6901 COLUMBIA, SC 29260	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	20,000
CENTER FOR BIOLOGICAL DIVERSITY PO BOX 710 TUCSON, AZ 85702	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	15,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTRAL PARK CONSERVANCY 14 EAST 60TH ST NEW YORK, NY 10022	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	4,000
HAMMER MUSEUM 10899 WILSHIRE BLVD LOS ANGELES, CA 90024	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	10,000
HOMEBOY INDUSTRIES 130 W BRUNO ST LOS ANGELES, CA 90012	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	20,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOLA - HEART OF LOS ANGELES 2701 WILSHIRE BLVD STE 100 LOS ANGELES, CA 90057	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	328,305
JFSHOPE12817 VICTORY BLVD NORTH HOLLYWOOD, CA 91606	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	2,500
JERICHO PROJECT 245 WEST 29TH STREET STE 902 NEW YORK, NY 10001	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	100,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LIBERTY HILL FOUNDATION 6420 WILSHIRE BLVD STE 700 LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
NRDC40 WEST 20TH STREET NEW YORK, NY 10001	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	15,000
NORMA SILVA TUITION REDUCTION FUND 330 CHARLES E YOUNG DRN LOS ANGELES, CA 90095	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PLANNED PARENTHOOD LA 400 W 30TH STREET LOS ANGELES, CA 90007	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	5,000
PAL - POLICE ATHLETIC 34 1/2 EAST 12TH ST NEW YORK, NY 10003	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	11,000
PESTICIDE ACTION NETWORK 2029 UNIVERSITY AVE SUITE 200 BERKELEY, CA 94704	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	75,000
Total . . . . ▶ **3a**				1,559,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PARAOLE PREPARATION PROJECT INC 168 CANAL ST 6TH FLOOR NEW YORK, NY 10013	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	30,000
NATIONAL COALITION AGAINST CENSORSHIP 19 FULTON STREET STE 407 NEW YORK, NY 10038	NONE	PUBLIC CHARITY	SUPPORTS ORGANIZATION'S CHARITABLE PURPOSE	25,000
Total . . . . ▶ **3a**				1,559,085

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TY 2018 Investments Corporate Bonds Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
KAYNE ANDERSON 670-675857	1,645,999	1,605,110

TY 2018 Investments Corporate Stock Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
KAYNE ANDERSON 670-675857	2,271,821	3,068,620
KAYNE ANDERSON 670-675849	1,378,201	2,300,277
KAYNE ANDERSON 670-642669	723,331	681,877
CNB SECURITIES BHS 213233	518,833	618,153
KAYNE ANDERSON 676-324879	6,289,920	6,161,312

TY 2018 Investments Government Obligations Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

US Government Securities - End of Year Book Value:	9,804,362
US Government Securities - End of Year Fair Market Value:	9,778,218
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

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TY 2018 Investments - Other Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CANTILLON GLOBAL EQUITY LP	AT COST	5,091,658	5,590,423

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TY 2018 Legal Fees Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	17,808	17,808		0

TY 2018 Other Expenses Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANKING	557	557		0

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TY 2018 Other Income Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CANTILLON GLOBAL EQUITY LP	9,473	9,473	9,473

TY 2018 Other Professional Fees Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	45,003	45,003		0
CANTILLON GLOBAL EQUITY LP	50,435	50,435		0
CONSULTING	1,800	1,800		0

TY 2018 Taxes Schedule

Name: DIANE AND DOROTHY BROOKS FOUNDATION

EIN: 20-2653929

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE TAXES	35	35		0
FOREIGN TAX NATL FINL SERV 942669	1,546	1,546		0
FOREIGN TAX NATL FINL SERV 675857	483	483		0
FEDERAL EXCISE TAX ON INVESTMENT INCOME	20,000	20,000		0
FOREIGN TAX CANTILLON GLOBAL EQUITY LP	3,119	3,119		0