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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
The H Scott Caven III Memorial Foundation
Inc
% Foundation Source

A Employer identification number
20-2450503

Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd
Room/suite

B Telephone number (see instructions)
(800) 839-1754

City or town, state or province, country, and ZIP or foreign postal code
Wilmington, DE 198091377

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 1,582,858

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1		Contributions, gifts, grants, etc , received (attach schedule)	22,000			
	2		Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3		Interest on savings and temporary cash investments	647	647		
	4		Dividends and interest from securities	18,492	18,492		
	5a		Gross rents				
		b	Net rental income or (loss)				
	6a		Net gain or (loss) from sale of assets not on line 10	56,552			
		b	Gross sales price for all assets on line 6a 196,712				
	7		Capital gain net income (from Part IV, line 2)		56,552		
	8		Net short-term capital gain				
	9		Income modifications				
	10a		Gross sales less returns and allowances				
		b	Less Cost of goods sold				
		c	Gross profit or (loss) (attach schedule)				
	11		Other income (attach schedule)				
	12		**Total.** Add lines 1 through 11	97,691	75,691		
Operating and Administrative Expenses	13		Compensation of officers, directors, trustees, etc	0			
	14		Other employee salaries and wages				
	15		Pension plans, employee benefits				
	16a		Legal fees (attach schedule)				
		b	Accounting fees (attach schedule)				
		c	Other professional fees (attach schedule)	1,668	1,668		
	17		Interest				
	18		Taxes (attach schedule) (see instructions)	258			
	19		Depreciation (attach schedule) and depletion				
	20		Occupancy				
	21		Travel, conferences, and meetings				
	22		Printing and publications				
	23		Other expenses (attach schedule)	11,087	562		10,525
	24		**Total operating and administrative expenses.** Add lines 13 through 23	13,013	2,230		10,525
	25		Contributions, gifts, grants paid	67,700			67,700
	26		**Total expenses and disbursements.** Add lines 24 and 25	80,713	2,230		78,225
	27		Subtract line 26 from line 12				
		a	**Excess of revenue over expenses and disbursements**	16,978			
		b	**Net investment income** (if negative, enter -0-)		73,461		
		c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	120,368	38,132	38,132
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	926,812	1,026,026	1,110,390
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	401,453	401,453	434,336
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,448,633	1,465,611	1,582,858
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,448,633	1,465,611	
	30	**Total net assets or fund balances** (see instructions)	1,448,633	1,465,611	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,448,633	1,465,611	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,448,633
2	Enter amount from Part I, line 27a	2	16,978
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,465,611
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,465,611

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	196,712		140,160	56,552
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				56,552
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	56,552
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	69,025	1,616,838	0 042691
2016	64,414	1,359,836	0 047369
2015	67,763	1,379,159	0 049134
2014	66,815	1,366,556	0 048893
2013	66,613	1,342,316	0 049625

2 Total of line 1, column (d)	2	0 237712
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 047542
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,693,786
5 Multiply line 4 by line 3	5	80,526
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	735
7 Add lines 5 and 6	7	81,261
8 Enter qualifying distributions from Part XII, line 4	8	78,225

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,469
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,469
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,469
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,469
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A **Statements Regarding Activities** (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address ▶			

14 The books are in care of ▶Foundation Source Telephone no ▶(800) 839-1754

Located at ▶501 Silverside Road Suite 123 Wilmington DE ZIP+4 ▶198091377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required**

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,252,351
b	Average of monthly cash balances	1b	32,893
c	Fair market value of all other assets (see instructions)	1c	434,336
d	**Total** (add lines 1a, b, and c)	1d	1,719,580
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,719,580
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,794
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,693,786
6	**Minimum investment return.** Enter 5% of line 5	6	84,689

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	84,689
2a	Tax on investment income for 2018 from Part VI, line 5	2a	1,469		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,469
3	Distributable amount before adjustments Subtract line 2c from line 1			3	83,220
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	83,220
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	83,220

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	78,225
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	78,225
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	78,225

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				83,220
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			77,796	
b Total for prior years 2016 , 2015 , 2014				
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 78,225				
a Applied to 2017, but not more than line 2a			77,796	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				429
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				82,791
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2014. . . .				
b Excess from 2015. . . .				
c Excess from 2016. . . .				
d Excess from 2017. . . .				
e Excess from 2018. . . . 0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	647	
4 Dividends and interest from securities. . . .			14	18,492	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	56,552	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				75,691	

13 Total. Add line 12, columns (b), (d), and (e). 13 75,691

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-05-06	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Jeffrey D Haskell				P01345770
Firm's name ▶ Foundation Source				Firm's EIN ▶
Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no (800) 839-1754

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Preston Owen Broadfoot Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
Jennie Vivien Caven Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0
Vivien Caven Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Dir, VP 1 0	0	0	0
H Scott Caven Jr Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Pres, Dir, Sec 1 0	0	0	0
Lindsey C Majors Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP 1 0	0	0	0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

H Scott Caven Jr
Jennie Vivien Caven
Lindsey C Majors
Preston Owen Broadfoot
Vivien Caven

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CRISTO REY JESUIT HIGH SCHOOL OF HOUSTON INC 6700 MOUNT CARMEL ST HOUSTON, TX 77087	N/A	PC	General Support	5,000
GLENWOOD CEMETERY HISTORIC PRESERVATION FOUNDATION 2525 WASHINGTON AVE HOUSTON, TX 77007	N/A	PC	General Support	2,500
GULF COAST REGIONAL BLOOD CENTER 1400 LA CONCHA LN HOUSTON, TX 77054	N/A	PC	General Support	2,500
Total . . . . ▶ **3a**				67,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HELPING HAND HOME FOR CHILDREN 3804 AVE B AUSTIN, TX 78751	N/A	PC	General Support	5,000
MEMORIAL HERMANN FOUNDATION 929 GESSNER DR STE 2650 HOUSTON, TX 77024	N/A	SO I	Children's Hospital	5,000
NEW HOPE HOUSING INC 1117 TEXAS ST HOUSTON, TX 77002	N/A	PC	General & Unrestricted	10,000
Total . . . . ▶ **3a**				67,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST JOHNS SCHOOL2401 CLAREMONT LN HOUSTON, TX 77019	N/A	PC	Care and Maintenance of Scotty Caven Field	7,500
TEACH FOR AMERICA - HOUSTON 2 GREENWAY PLZ 500 HOUSTON, TX 77046	N/A	PC	General Support	5,000
THE UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7458 AUSTIN, TX 78713	N/A	GOV	Men's Club Lacrosse Team Recreational Sports	10,200
Total . . . . ▶ **3a**				67,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7458 AUSTIN, TX 78713	N/A	GOV	Texas Women's Club Lacrosse Recreational Sports	5,000
WILDLIFE CENTER OF TEXAS INC 7007 KATY RD HOUSTON, TX 77024	N/A	PC	Annual Operating Budget Fund	10,000
Total . . . . ▶ **3a**				67,700

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: The H Scott Caven III Memorial Foundation Inc

EIN: 20-2450503

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491133029749

TY 2018 Investments Corporate Stock Schedule

Name: The H Scott Caven III Memorial Foundation Inc

EIN: 20-2450503

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ANGEL OAK MULTI-STRATEGY INCOM	69,128	69,128
AT MID CAP EQUITY FUND INSTITU	112,549	124,388
ATLANTIC TRUST DISC EQTY	179,000	199,712
BARCLAYS ETN PLUS SELECT MLP	65,820	53,190
DELAWARE US GROWTH	237,902	211,785
EOG RESOURCES INC	103,795	87,210
EXXON MOBIL CORP	30,115	25,844
HAMLIN HIGH DIVIDEND EQUITY FD	60,905	62,269
KINDER MORGAN INC	20,391	15,657
MATTHEWS ASIA PACIFIC EQUITY I	102,852	125,680
WALT DISNEY HOLDINGS CO	43,569	135,527

TY 2018 Investments - Other Schedule

Name: The H Scott Caven III Memorial Foundation Inc

EIN: 20-2450503

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AUSTIN - AUTOGRAPH DOCUMENT SI		19,999	20,000
AUSTIN - CERTIFICATE OF ADMISS		29,999	30,000
AUSTIN - ORIGINAL MANUSCRIPT L		29,999	30,001
AUSTIN - TEXIAN LOAN - 1836		3,000	4,000
BAKER - TEXAS SCRAP-BOOK - UND		90	
BARDIN NEW BRITISH TERRESTRIAL		12,000	12,000
BARKER - MEXICO AND TEXAS 1821		250	300
BARKER - THE FINANCES OF THE T		25	
BARKER - THE LIFE OF STEPHEN F		173	
BARKER - THE LIFE OF STEPHEN F		113	
BERLANDIER - JOURNEY TO MEXICO		90	
BINKLEY - THE EXPANSIONIST MOV		113	
BRACHT - TEXAS IN 1848 - 1931		135	
BRADFORD - MAP & DESCRIPTION O		1,500	1,500
BRAMAN - BRAMAN'S INFORMATION		750	800
BRENNER - THE WIND THAT SWEPT		45	25
BRIGHAM - MANUSCRIPT RECEIPT,		600	650
BROWN - HISTORY OF TEXAS FROM		500	650
BROWN - HISTORY OF TEXAS FROM		400	500
BROWN - LIFE & TIMES OF HENRY		100	125
BROWN - THE ENCYCLOPEDIA OF TH		810	800
BURNET - SIGNED ITR - VELASCO		1,500	1,600
BURNET - SIGNED LTR - VELASCO		2,000	2,500
CASTANEDA - MEXICAN SIDE OF TH		375	400
CASTANEDA - MEXICAN SIDE OF TH		45	50
CAYCE - RECEIPT FOR SALE OF LO		125	150
CHABOT - CORPUS CHRISTI AND LI		131	
CHAMBERLAIN - MY CONFESSION -		156	150
DAHMER - CADDO WAS...HISTORY O		9	
DAVIE - DIARY OF WM BARRET TRA		75	

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DE CORDOVA - LECTURE ON TEXAS		375	400
DE LA PENA - WITH SANTA ANNA I		50	50
DESHIELDS - THEY SAT IN HIGH P		108	
DEWEES - LETTERS FROM AN EARLY		500	500
DEWEES - LETTERS FROM AN EARLY		350	350
DIENST - THE NAVY OF THE REPUB		818	800
DIXON - HEROES OF SAN JACINTO		500	500
DOBIE - GUIDE TO LIFE AND LITE		20	
DOBIE - THE LONGHOMS - UNDATED		1,200	1,200
DRESEL - GUSTAV DRESEL'S HOUST		50	
DUVAL - EARLY TIMES IN TEXAS -		400	500
DUVAL - EARLY TIMES IN TEXAS -		22	
EBERSTADT - CATALOGUE 162, TEX		100	125
EDWARD - HISTORY OF TEXAS - 18		6,000	7,500
EHRENBERG - WITH MILAM AND FAN		125	150
ERATH - MEMOIRS OF GEORGE B. E		75	
EVANS & JOHNSON - TEXAS CENTEN		75	75
FALCONER - LETTERS AND NOTES -		150	150
FEHRENBACH - LONE STAR - 1968		150	225
FILISOLA - REPRESENTATION DIRI		3,500	3,750
FISKE - A VISIT TO TEXAS (2D E		7,500	8,000
FISKE - A VISIT TO TEXAS - 183		850	850
FOOTE - TEXAS AND THE TEXANS (		1,000	1,000
FRANTZ - GAIL BORDEN - 1951		77	
FRANTZ - LURE OF THE LAND - 19		275	
GAILLARDET - SKETCHES OF EARLY		50	
GAMBRELL - ANSON JONES: LAST P		45	
GAMBRELL - MIRABEAU BONAPARTE		83	
GOUGE - THE FISCAL HISTORY OF		750	700
GOVERNMENT OF TEXAS - CURRENCY		8,500	9,000

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GRAY - FROM VIRGINIA TO TEXAS,		7,500	8,000
GRAY - FROM VIRGINIA TO TEXAS,		50	
GRAY - FROM VIRGINIA TO TEXAS,		59	
GREEN - JOURNAL OF THE TEXIAN		60	
GREEN - TEXIAN MIER EXPEDITION		1,200	1,200
GREEN - TEXIAN MIER EXPEDITION		1,000	850
HALEY - EARL VANDALE ON THE TR		178	
HARDIN - TEXIAN ILIAD - MILITA		25	
HELM - SCRAPS OF EARLY TEXAS H		1,200	1,200
HENSON - SAMUEL MAY WILLIAMS -		23	
HILL - THE TEXAS NAVY - 1937		250	
HOGAN - THE TEXAS REPUBLIC: A		50	
HOLLEY - TEXAS (MAP MOUNTED SE		15,000	16,000
HOLLEY - TEXAS - 1833		15,000	15,000
HOLMAN - BUCKSKIN AND HOMESPUN		300	325
HORGAN - GREAT RIVER, THE RIO		125	
HOUSTON - APPOINTMENT OF THOS.		7,000	7,500
HOUSTON - AUTOGRAPH LETTER TO		3,000	3,000
HOUSTON - ENGRAVED PORTRAIT -		75	75
HOUSTON - LIFE OF GENERAL HOUS		300	300
HOUSTON - PORTRAIT - HARPER'S		100	100
HOUSTON - PROCLAMATION - 1842		900	900
HOUSTON - REPUBLIC OF TEXAS.LA		7,500	15,000
HOUSTON - TEXAS INDEPENDENCE -		110	
HOUSTON - THE INDUSTRIAL ADVAN		35	
HOUSTOUN - TEXAS AND THE GULF		3,000	1,750
HOUSTOUN - TEXAS AND THE GULF		60	
HUGHES - DONIPHAN'S EXPEDITION		600	600
HUGHES - REPORT OF THE SECRETA		1,500	1,500
HUNTER - ADVENTURE OF A MIER P		90	

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ILLUSTRATED LONDON NEWS - 3 EN		100	100
JAMES - THE RAVEN - 1929		150	150
JENKINS - RECOLLECTIONS OF EAR		75	
JENKINS - THE PAPERS OF THE TE		625	300
JENNETT - BIOGRAPHICAL DIR. OF		40	
JONES - LAND GRANT - 1845		500	
JONES - LAND GRANT - 1846		1,000	1,000
JONES - MEMORANDA AND OFFICIAL		375	400
KEMP - SIGNERS OF THE DECLARAT		600	800
KENDALL - NARRATIVE OF THE SAN		600	600
KENDALL - NARRATIVE OF THE SAN		4,500	4,500
KENDALL - NARRATIVE OF THE TEX		1,500	1,700
KENDRICK - OATH OF OFFICE OF M		200	250
KENNEDY - TEXAS - 1925		70	
KENNEDY - TEXAS:THE RISE,PROGR		14,999	15,000
LAMAR - REPUBLIC OF TEXAS $500		600	875
LANE - HISTORY OF THE UNIVERSI		113	
LANE - THE ADVENTURES AND RECO		3,500	15,000
LANE - THE ADVENTURES AND RECO		150	250
LATHAM - TRAVELS IN THE REPUBL		36	
LAWRENCE - TEXAS IN 1840 - 184		2,500	5,000
LEA - PORTFOLIO OF SIX PAINTIN		375	375
LEA - THE KING RANCH - 1957		650	650
LECLERC - TEXAS AND ITS REVOLU		400	400
LECLERC - TEXAS AND ITS REVOLU		250	350
LESTER - SAM HOUSTON AND HIS R		1,500	1,500
LINN - REMINISCENCES OF FIFTY		600	600
LOCKHART - SIXTY YEARS ON THE		2,500	2,750
LOCKHART - SIXTY YEARS ON THE		50	
LORING - TERRESTRIAL GLOBE - 1		6,000	6,000

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LORING'S TERRESTRIAL GLOBE		17,999	18,000
LUBBOCK - SIX DECADES IN TEXAS		600	600
LUNDY - THE LIFE TRAVELS AND O		1,000	1,250
LUNDY - THE WAR IN TEXAS (WRAP		1,200	1,200
LUNDY - THE WAR IN TEXAS - 183		750	900
MAILLARD - THE HISTORY OF THE		7,500	8,500
MAP - CARTE DU MEXIQUE OU NOUV		200	
MAP - MAP OF THE STATE OF TEXA		100	
MAP - TEXAS (NEW YORK) - 1835		1,000	1,000
MARSHALL - HIST, OF THE WEST.		270	
MARTINEZ CARO - VERDADERA IDEA		4,000	5,000
MAVERICK - MEMOIRS - 1921		100	
MCDANIELD & TAYLOR - THE COMIN		750	750
MEINIG - IMPERIAL TEXAS - 1969		56	
MEINIG - IMPERIAL TEXAS - 1969		54	
MEXICO COMMUNICATION - ANNOUNC		2,500	2,500
MEXICO DECREE OF CONGRESO GENE		750	900
MEXICO DECREE OF CONGRESO GENE		750	950
MEXICO DECREE OF CONGRESO GENE		900	2,200
MEXICO DECREE OF CONGRESO GENE		2,500	3,000
MIDDELTON - HISTORY OF THE REG		59	
MILLER - A FINANCIAL HISTORY O		161	
MITCHELL - MAP OF THE STATE OF		450	450
MOODY/COLE - $125 NOTE TO GABR			
MOORE - MAP & DESCRIPTION OF T		30	
MORFI - A HISTORY OF TEXAS, 16		495	
MUIR - TEXAS IN 1837 - 1958		100	
NAVARRO - MANUSCRIPT RECEIPT F		1,200	1,500
NEWELL - HISTORY OF THE REVOLU		4,500	4,500
NILES & PEASE - HISTORY OF SOU		1,500	1,500

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
NILES' WEEKLY REGISTER - TEXAS		850	900
OLMSTED - A JOURNEY THROUGH TE		650	700
PARKER - TRIP TO THE WEST AND		10,000	10,000
PIERCE - TEXAS UNDER ARMS 1836		50	
PIKE - THE JOURNALS OF ZEBULON		130	
POOL - A HISTORICAL ATLAS OF T		25	
RANKIN - TEXAS IN 1850 - 1850		850	1,000
RANKIN - TEXAS IN 1850 - 1850		450	500
REPUBLIC OF TEXAS - $500 BOND		2,500	2,500
REPUBLIC OF TEXAS - CURRENCY -		4,450	6,000
RICHARDSON - COMANCHE BARRIER		270	
RICHARDSON - TEXAS, THE LONE S		54	
RICHARDSON - THE TEXAS ALMANAC		1,750	1,750
ROBINSON - JUDGE ROBERT MCALPI		90	
ROEMER - TEXAS, GERMAN IMMIGRA		55	
SCHM1TZ - THUS THEY LIVED: REP		85	85
SCHMITZ - TEXAS CULTURE: 1836-		25	
SMITH - REMINISCENCES OF THE T		1,500	1,200
SMITH - THE ANNEXATION OF TEXA		275	
SMITHWICK - THE EVOLUTION OF A		500	550
SMITHWICK - THE EVOLUTION OF A		60	
SOLMS-BRAUNF. - TEXAS, 1844-18		128	
SONNICHSEN - I'LL DIE BEFORE I		30	25
SOWELL - TEXAS INDIAN FIGHTERS		1,750	1,750
SPELL - PIONEER PRINTER: SAMUE		45	
STAPP - THE PRISONERS OF PEROT		900	1,000
STAPP - THE PRISONERS OF PEROT		1,500	1,600
STEELE - BIOGRAPHY OF PRIVATE		75	75
STIFF - THE TEXAN EMIGRANT - 1		10,000	10,000
STREETER - BIBLIOGRAPHY OF TEX		585	

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TEXAS - BIOGRAPHICAL DIRECTORY		40	25
TEXAS - CARTAS DE TEJAS Y VENI		1,500	1,500
TEXAS - CONSTITUTION - PRES.PO		550	600
TEXAS - DECLARATION OF INDEPEN		633	650
TEXAS - ENLISTMENT DOC- JAMES		950	1,000
TEXAS - LAWS OF THE REPUBLIC -		400	500
TEXAS - LAWS OF THE REPUBLIC O		2,000	2,500
TEXAS - YELLOW ROSE OF TEXAS S		30	
TEXAS TREASURY - $25 NOTE TO G		950	1,000
THSA - NEW HANDBOOK OF TEXAS -		659	650
TRAVIS - AUTOGRAPH DOCUMENT SI		19,999	22,000
TRAVIS/BUMET - AUTOGRAPH DOCUM		24,999	27,000
TYLER - MADISONIAN-EXTRA. PRES		500	600
US CONGRESS - H. R. 46 + STEPH		2,500	2,750
WALLACE - DOCUMENTS OF TEXAS H		45	
WEBB - -VOLS. I, II, III - 198		60	25
WEBB - THE HANDBOOK OF TEXAS,			
WEEMS - DREAM OF EMPIRE - 1971		27	25
WHARTON - REMEBER GOLIAD - CA.		400	400
WHEELER - TO WEAR A CITY'S CRO		27	
WINKLER - MANUSCRIPT LTRS AND		275	275
WISLIZENUS - MEMOIR OF A TOUR		75	100
WOOTEN - A COMPLETE TEXAS HIST		75	75
WOOTEN - A COMPREHENSIVE HISTO		1,750	1,750
YOAKUM - HISTORY OF TEXAS (ORI		1,500	1,750
YOAKUM - HISTORY OF TEXAS (RE-		750	950

TY 2018 Other Expenses Schedule

Name: The H Scott Caven III Memorial Foundation Inc

EIN: 20-2450503

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	10,500			10,500
PROPERTY INSURANCE	562	562		
State or Local Filing Fees	25			25

TY 2018 Other Professional Fees Schedule

Name: The H Scott Caven III Memorial Foundation Inc

EIN: 20-2450503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	1,668	1,668		

TY 2018 Taxes Schedule

Name: The H Scott Caven III Memorial Foundation Inc

EIN: 20-2450503

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Extension for 2017	258			

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**
▶ **Go to *www irs gov/Form990* for the latest information**

OMB No 1545-0047

2018

Name of the organization	**Employer identification number**
The H Scott Caven III Memorial Foundation Inc	20-2450503

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
The H Scott Caven III Memorial Foundation Inc

Employer identification number
20-2450503

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Caven Jennie Vivien 1929 Greenwich Terrace Drive Houston, TX 77019	$ 22,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
The H Scott Caven III Memorial Foundation Inc	20-2450503

Part II **Noncash Property**

(See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization
The H Scott Caven III Memorial Foundation Inc

Employer identification number
20-2450503

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee