Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
The Carroll and Milton Petrie
Foundation Inc c/o Brandman at Stroock
% ETTA BRANDMAN AT STOOCK

A Employer identification number
20-1451752

Number and street (or P O box number if mail is not delivered to street address)
180 Maiden Lane

Room/suite

B Telephone number (see instructions)
(212) 806-6027

City or town, state or province, country, and ZIP or foreign postal code
New York, NY 10038

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 83,836,527

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,437,455			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,596,060	1,596,060		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	2,399,558			
	b	Gross sales price for all assets on line 6a 19,794,057				
	7	Capital gain net income (from Part IV, line 2)		2,399,558		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	46,472	-18,714		
	12	**Total.** Add lines 1 through 11	5,479,545	3,976,904		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	156,250	144,125		12,125
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits	52,150	48,103		4,047
	16a	Legal fees (attach schedule)	44,773	41,299		3,474
	b	Accounting fees (attach schedule)	36,705	33,857		2,848
	c	Other professional fees (attach schedule)	310,533	310,533		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	189,740	9,496		799
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	11,757	10,845		912
	21	Travel, conferences, and meetings	278	256		22
	22	Printing and publications				
	23	Other expenses (attach schedule)	12,739	11,750		789
	24	**Total operating and administrative expenses.** Add lines 13 through 23	814,925	610,264		25,016
	25	Contributions, gifts, grants paid	6,505,506			6,505,506
	26	**Total expenses and disbursements.** Add lines 24 and 25	7,320,431	610,264		6,530,522
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-1,840,886			
	b	**Net investment income** (if negative, enter -0-)		3,366,640		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	5,548	5,178	5,178
	2	Savings and temporary cash investments	5,359,230	5,248,073	5,248,073
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,120,420	1,299,581	1,299,581
	b	Investments—corporate stock (attach schedule)	80,528,756	72,198,186	72,198,186
	c	Investments—corporate bonds (attach schedule)		3,399,757	3,399,757
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,182,265	1,685,752	1,685,752
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	92,196,219	83,836,527	83,836,527
Liabilities	17	Accounts payable and accrued expenses		6,205	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	6,205	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	92,196,219	83,830,322	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	92,196,219	83,830,322	
	31	**Total liabilities and net assets/fund balances** (see instructions)	92,196,219	83,836,527	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	92,196,219
2	Enter amount from Part I, line 27a	2	-1,840,886
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	90,355,333
5	Decreases not included in line 2 (itemize) ▶	5	6,525,011
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	83,830,322

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	FIDUCIARY TRUST INTERNATIONAL - INFO AVAILABLE UPON REQUEST	P	2016-01-01	2018-12-31
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	19,794,057		17,394,499	2,399,558
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2,399,558
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 . . . **2** 2,399,558

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	6,906,160	80,198,079	0 086114
2016	6,242,663	74,346,902	0 083967
2015	5,161,330	55,692,208	0 092676
2014	4,472,208	18,703,491	0 239111
2013	4,824,291	19,654,899	0 24545

2 Total of line 1, column (d)	2	0 747318
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 149464
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	91,908,316
5 Multiply line 4 by line 3	5	13,736,985
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	33,666
7 Add lines 5 and 6	7	13,770,651
8 Enter qualifying distributions from Part XII, line 4	8	6,530,522

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	67,333
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	67,333
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	67,333
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	89,300		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	89,300
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	918
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	21,049
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 21,049 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ETTA BRANDMAN AT STOOCK Telephone no ▶(212) 806-6027

Located at ▶180 Maiden Lane New York NY ZIP+4 ▶10038

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . **1b** No

Organizations relying on a current notice regarding disaster assistance check here. . . . ▶ ☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?. . . **1c** No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. ☐ Yes ☑ No

If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) . . . **2b**

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

b If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).* . . . **3b**

		Line	Yes	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000. ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Fiduciary Trust Company International 280 Park Avenue NEW YORK, NY 10017	investment mgmt	310,533
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	90,304,232
b	Average of monthly cash balances.	1b	3,003,703
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	93,307,935
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	93,307,935
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,399,619
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	91,908,316
6	**Minimum investment return.** Enter 5% of line 5.	6	4,595,416

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	4,595,416
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	67,333		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	67,333
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	4,528,083
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	4,528,083
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	4,528,083

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	6,530,522
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,530,522
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	6,530,522

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					4,528,083
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.					
b Total for prior years 2016 , 2015 , 2014					
3 Excess distributions carryover, if any, to 2018					
a From 2013.	3,866,227				
b From 2014.	3,562,055				
c From 2015.	2,398,404				
d From 2016.	2,552,472				
e From 2017.	2,985,556				
f **Total** of lines 3a through e.		15,364,714			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 6,530,522					
a Applied to 2017, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2018 distributable amount.					4,528,083
e Remaining amount distributed out of corpus		2,002,439			
5 Excess distributions carryover applied to 2018					
(If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		17,367,153			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		3,866,227			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		13,500,926			
10 Analysis of line 9					
a Excess from 2014. . . .	3,562,055				
b Excess from 2015. . . .	2,398,404				
c Excess from 2016. . . .	2,552,472				
d Excess from 2017. . . .	2,985,556				
e Excess from 2018. . . .	2,002,439				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	1,596,060	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	2,399,558	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a MISCELLANEOUS			14	46,472	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				4,042,090	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 4,042,090

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

****** | 2019-05-15 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Aaron Shapiro				P01333816

Firm's name ▶ BKD LLP | Firm's EIN ▶

Firm's address ▶ 655 Third Avenue 1200 New York, NY 10017 | Phone no (212) 867-4000

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
David Tanner CO Stroock 180 Maiden Lane New York, NY 10038	DIRECTOR 1 0	0	0	0
jean l troubh CO STROOcK 180 Maiden Lane new York, NY 10038	Director/Vice-President 1 0	0	0	0
Regina Peruggi CO STROOcK 180 Maiden Lane new York, NY 10038	DIRECTOR 1 0	0	0	0
CHARLES D KLEIN CO STROOcK 299 Park Avenue new York, NY 10017	SECRETARY/TREASURER 1 0	0	0	0
etta brandman CO STROOcK 180 Maiden Lane new York, NY 10038	PRESIDENT 1 0	0	0	0
Gail Gordon CO STROOcK 180 Maiden Lane new York, NY 10038	director 1 0	0	0	0
beth lief co carroll petrie fdn 180 Maiden Lane New York, NY 10038	executive director 35 0	156,250	52,150	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Baruch College5 Lexington Avenue New York, NY 10010	none	Public charity	educational	100,000
Borough of Manhattan Community College 199 Chambers St New York, NY 10007	NOne	Public charity	educational	120,000
Bottom Line44 Court St 300 Brooklyn, NY 11201	none	Public charity	educational	200,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Bronx Community College 2155 University Avenue New York, NY 10453	NOne	Public charity	educational	120,000
Bronx Opportunity Network 378 East 151st ST Bronx, NY 10455	none	Public charity	educational	100,000
brooklyn college foundation 2900 bedford avenue brooklyn, NY 11210	none	Public charity	educational	120,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
City College of New YorkPO Box 177 New York, NY 10027	none	Public Charity	educational	20,000
Coalition for Queens 4710 Austell Pl 2nd Floor Long island City, NY 11101	none	Public Charity	educational	100,000
College Of Staten Island 2800 Victory Blvd Staten Island, NY 10314	NOne	Public charity	educational	120,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Cooper Union30 Cooper Sq New York, NY 10003	NOne	Public charity	educational	50,000
CUNY School of Professional Studies Foundation Inc 119 West 31st Street New York, NY 10001	none	Public Charity	educational	50,000
ExpandEd Schools11 W 42nd St new York, NY 10036	none	Public charity	educational	103,587
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Good Shepherd Services337 E 17th St new york, NY 10003	none	Public charity	educational	100,000
Graduate Center Foundation 365 Fifth Avenue new York, NY 10016	none	Public charity	educational	50,000
Guttman Community College 50 W 40th St NEW York, NY 10018	NOne	Public charity	educational	50,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Hostos Community college 500 Grand Concourse Bronx, NY 10451	none	Public charity	educational	194,667
Hunter College695 Park Ave new York, NY 10065	none	Public charity	educational	20,000
International Network For Public Schools 50 Broadway New York, NY 10004	NOne	Public charity	educational	200,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Jewish Board of Family & Children's Services 135 West 50th Street 6th Floor New York, NY 10020	none	Public Charity	educational	85,631
Jobsfirstnyc11 Park Place Suite 1602 New York, NY 10007	none	Public charity	educational	250,000
John Jay College Of Criminal Justice 524 West 59th Street New York, NY 10019	none	Public charity	educational	218,507
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Kingsborough Community College 2001 Oriental Blvd brooklyn, NY 11235	NOne	Public charity	educational	88,000
LaGuardia Community College Foundation 31-10 Thomson Ave Long island City, NY 11101	none	Public charity	educational	161,523
Lehman College 250 Bedford Park boulevard West Bronx, NY 10468	NOne	Public charity	educational	120,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Medgar Evers College1650 Bedford Ave Brooklyn, NY 11225	none	Public charity	educational	120,000
New Visions for Public Schools 320 W 13th St New York, NY 10014	NOne	Public charity	educational	400,000
New York City College Of Technology 300 Jay Street BROOklyn, NY 11201	none	Public charity	educational	120,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
new york city outward bound 29-46 Northern Blvd New York, NY 11101	NOne	Public charity	educational	100,000
New York Community Trust909 3rd Ave New York, NY 10022	none	Public charity	educational	75,000
New York Immigration Coalition 131 West 33rd Street Suite 610 New York, NY 10001	none	Public Charity	educational	106,121
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
New York University 70 washington square south New York, NY 10012	none	Public charity	educational	1,385,000
purchase college foundation 735 Anderson Hill Rd Purchase, NY 10577	none	Public charity	educational	50,000
Queens College65-30 Kissena Blvd Flushing, NY 11367	NOne	Public charity	educational	84,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Queensborough Community College 222-05 56th Ave New York, NY 11364	none	Public charity	educational	120,000
Research Foundation For CUNY 30 West 41st St New York, NY 10036	none	Public charity	educational	583,470
Sponsors For Educational Opportunity 55 Exchange Place suite 601 New York, NY 10005	none	Public charity	educational	100,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Streetwise Partners Inc 222 Broadway Floor 19 New York, NY 10038	none	Public charity	educational	50,000
Tandon Engineering School Of New York University 6 MetroTech Center brooklyn, NY 11201	none	Public charity	educational	50,000
The New School66 West 66 12th Street new york, NY 10011	NOne	Public charity	educational	100,000
Total . . . . ▶ **3a**				6,505,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
the urban assembly90 broad street New York, NY 10004	none	Public charity	educational	200,000
York College Foundation 94-20 GUY R Brewer Blvd Jamaica, NY 11451	none	Public charity	educational	120,000
Total . . . . ▶ **3a**				6,505,506

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: The Carroll and Milton Petrie
Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

TY 2018 Investments Corporate Bonds Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FRANKLIN LIBERTY INVESTMENT GR	139,937	139,937
VANGUARD SHORT TERM CORPORATE	491,022	491,022
METLIFE INC SR BD DTD 08-06-20	23,750	23,750
GILEAD SCIENCES INC SENIOR NOT	69,773	69,773
ANHEUSER-BUSCH INBEV FINANCE	68,235	68,235
WELLS FARGO & CO SR SUB NT SER	68,718	68,718
AFLAC INC SENIOR BOND	70,137	70,137
SOUTHERN CO THE SENIOR NOTE CA	67,721	67,721
BOSTON PROPERTIES LP CALLABLE	69,524	69,524
WALGREENS BOOTS ALLIANCE INC	68,973	68,973
CELGENE CORP SR BOND CALLABLE	67,514	67,514
BANK OF AMERICAN CORP	69,336	69,336
BERKSHIRE HATHAWAY INC SENIOR	68,028	68,028
BUNGE LTD FINANCE CORP ST NT	61,464	61,464
MORGAN STANLEY SUBORDINATED	68,170	68,170
SIMON PROPERTY GROUP LP SENIOR	67,628	67,628
JOHN DEERE CAPITAL CORP SENIOR	37,622	37,622
JP MORGAN CHASE & CO SUB BOND	68,815	68,815
CVS HEALTH CORP SENIOR BOND	34,336	34,336
KIMBERLY-CLARK CORP SENIOR BON	57,001	57,001

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TYSON FOODS INC SR NT CALLABLE	68,026	68,026
COMCAST CORP SENIOR NOTE CALL	60,756	60,756
ISHARES JP MORGAN USD EMERGING	166,256	166,256
COCA-COLA FEMSA S A DE C V SEN	70,066	70,066
ISHARES IBOXX $ HIGH YIELD COR	91,238	91,238
ISHARES MBS ETF	463,076	463,076
ISHARES CMBS ETF	135,756	135,756
FRANKLIN STRATEGIC MORTGAGE PO	606,879	606,879

TY 2018 Investments Corporate Stock Schedule

Name: The Carroll and Milton Petrie
Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHEVRON CORP	911,734	911,734
ROYAL DUTCH PLC ADR	437,025	437,025
EOG RES INC	872,100	872,100
ECOLAB INC	1,178,800	1,178,800
DOWDUPONT INC	962,640	962,640
RAYTHEON CO NEW	766,750	766,750
BOEING CO	806,250	806,250
ROCKWELL AUTOMATION INC	902,880	902,880
AMETEK INC NEW	677,000	677,000
HONEYWELL INTL INC	977,688	977,688
ROPER TECHNOLOGIES INC	852,864	852,864
UNITED PARCEL SVC INC CL B	780,240	780,240
DAIMLER AG (EUR)	474,983	474,983
NIKE INC CL B	1,610,321	1,610,321
LOUIS VUITTON MOET HENNESSEY	1,207,054	1,207,054
MCDONALDS CORP	710,280	710,280
AMAZON.COM INC	2,478,251	2,478,251
HOME DEPOT INC	773,190	773,190
FAST RETAILING CO LTD (JPY) 50	941,214	941,214
COSTCO WHOLESALE CORP	2,444,520	2,444,520
PEPSICO INC	662,880	662,880
NESTLE SA (CHF)	1,002,452	1,002,452
ALTRIA GROUP INC	98,780	98,780
COLGATE PALMOLIVE CO	101,184	101,184
KIMBERLY CLARK CORP	113,940	113,940
PROCTOR & GAMBLE CO	735,360	735,360
L'OREAL S A (EUR) 2 NEW	922,143	922,143
DANAHER CORP	1,340,560	1,340,560
ABBOTT LABORATORIES	1,265,775	1,265,775
ABBVIE INC	553,140	553,140

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
REGENERON PHARMACEUTICALS INC	276,390	276,390
JOHNSON & JOHNSON CO	219,385	219,385
ELI LILLY & CO	462,880	462,880
PFIZER	654,750	654,750
NOVO NORDISK AS (DKK) CL B	228,581	228,581
IQVIA HOLDINGS INC	1,161,700	1,161,700
TORONTO DOMINION BK ONT NEW	497,200	497,200
US BANCORP DEL COM NEW	548,400	548,400
JP MORGAN CHASE & CO	1,757,160	1,757,160
BOC HONG KONG HOLDINGS LTD	743,248	743,248
INVESTOR AB (SEK)6.25 'B'SHS	1,271,826	1,271,826
AMERICAN EXPRESS CO	762,560	762,560
MORGAN STANLEY	337,025	337,025
SCHWAB CHARLES CORP NEW	913,660	913,660
BLACKROCK INC	1,178,460	1,178,460
GALLAGHER ARTHUR J & CO	1,105,500	1,105,500
AIA GROUP LTD (USD)	460,320	460,320
CHUBB LTD	645,900	645,900
AUTOMATIC DATA PROCESSING INC	603,152	603,152
MICROSOFT CORP	1,320,410	1,320,410
ADOBE INC	1,244,320	1,244,320
SALESFORCE.COM INC	547,880	547,880
SERVICENOW INC	658,785	658,785
APPLE INC	1,892,880	1,892,880
INTEL CORP	1,407,900	1,407,900
MICROCHIP TECHNOLOGY INC	503,440	503,440
TAIWAN SEMICONDUCTOR MFG CO SP	627,470	627,470
BROADCOM INC	661,128	661,128
NVIDIA CORP	534,000	534,000
VERIZON COMMUNICATIONS	562,200	562,200

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WALT DISNEY CO	1,315,800	1,315,800
ALPHABET INC CLASS C	1,449,854	1,449,854
ALPHABET INC CL	626,976	626,976
FACEBOOK INC CL A	524,360	524,360
NEXTERA ENERGY INC	1,686,054	1,686,054
AMERICAN TOWER REIT INC	790,950	790,950
DODGE & COX STOCK FUND	14,453,684	14,453,684

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TY 2018 Investments Government Obligations Schedule

Name:	The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock
EIN:	20-1451752
US Government Securities - End of Year Book Value:	1,299,581
US Government Securities - End of Year Fair Market Value:	1,299,581
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2018 Investments - Other Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BANK OF MONTREAL SR NOTE	FMV	57,920	57,920
CNOOC NEXEN FINANCE 2014 ULC	FMV	71,083	71,083
TRANS-CANADA PIPELINES SR NOTE	FMV	72,544	72,544
CARL MARKS STRATEGIC OPP FD II	FMV	1,484,205	1,484,205

TY 2018 Other Decreases Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	6,525,011

TY 2018 Other Expenses Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
insurance	5,280	4,870		410
OTHER FEES	4,264	3,933		331
OFFICE EXPENSE	3,195	2,947		48

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TY 2018 Other Income Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
distributions from reits	13,300		
tax refunds	51,886		
partnership income	-21,988	-21,988	
settlement income	3,274	3,274	

TY 2018 Other Professional Fees Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	310,533	310,533		

TY 2018 Taxes Schedule

Name: The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock

EIN: 20-1451752

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
payroll taxes	10,295	9,496		799
FEDERAL TAXES - EST PMT	89,300			
FEDERAL EXCISE TAXES	90,145			

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to *www irs gov/Form990* for the latest information

OMB No 1545-0047

2018

Name of the organization	**Employer identification number**
The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock	20-1451752

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock	20-1451752

Part I **Contributors** (See Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	See Additional Data Table	$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contribution)

Name of organization	Employer identification number
The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock	20-1451752

Part II **Noncash Property**

(See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
The Carroll and Milton Petrie Foundation Inc c/o Brandman at Stroock	20-1451752

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 20-1451752
Name: The Carroll and Mılton Petrıe Foundatıon Inc c/o Brandman at Stroock

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I ıf addıtıonal space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributıons	(d) Type of contributıon
1	Trust UWO Mılton Petrıe Art 12c1 Stroock Stroock Lavan 180 Maıden New York, NY 10038	$ 378,323	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributıons)
2	Trust UWO Mılton Petrıe Art 22A1 Stroock Stroock Lavan 180 Maıden New York, NY 10038	$ 252,499	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributıons)
3	Trust UWO Mılton Petrıe Art 22A5 Stroock Stroock Lavan 180 Maıden New York, NY 10038	$ 251,334	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributıons)
4	Trust UWO Mılton Petrıe Art 22A8 Stroock Stroock Lavan 180 Maıden New York, NY 10038	$ 219,999	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributıons)
5	Trust UWO Mılton Petrıe Art 22A6 Stroock Stroock Lavan 180 Maıden New York, NY 10038	$ 115,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributıons)
6	Trust UWO Mılton Petrıe Art 22A3 Stroock Stroock Lavan 180 Maıden New York, NY 10038	$ 75,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributıons)

Form 990 Schedule B, Part I - Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Trust UWO Milton Petrie Art 22A9 Stroock Stroock Lavan 180 Maiden New York, NY 10038	$ 50,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
8	Trust UWO Milton Petrie Art 22A2 Stroock Stroock Lavan 180 Maiden New York, NY 10038	$ 42,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
9	Trust UWO Milton Petrie Art 22A4 Stroock Stroock Lavan 180 Maiden New York, NY 10038	$ 36,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
10	Trust UWO Milton Petrie Art 22A7 Stroock Stroock Lavan 180 Maiden New York, NY 10038	$ 10,800	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)