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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation: POND FAMILY FOUNDATION
A Employer identification number: 20-1131673
Number and street (or P O box number if mail is not delivered to street address) Room/suite: C/O KIRK POND TREAS 3 CANTER LANE
B Telephone number (see instructions): (207)767-4421
City or town, state or province, country, and ZIP or foreign postal code: CAPE ELIZABETH, ME 04107
C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change
D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 5,614,513
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	105,134	105,134		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10 STM139	(52,319)			
b	Gross sales price for all assets on line 6a 364,198				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	52,815	105,134		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	56,000	22,400		33,600
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) STM107	1,824	1,824		
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) STM109	49,749	19,430		30,319
17	Interest				
18	Taxes (attach schedule) (see instructions) STM110	14,670	14,670		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) STM103	35	35		
24	**Total operating and administrative expenses.** Add lines 13 through 23	122,278	58,359		63,919
25	Contributions, gifts, grants paid	238,300			238,300
26	**Total expenses and disbursements.** Add lines 24 and 25	360,578	58,359		302,219
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	(307,763)			
b	**Net investment income** (if negative, enter -0-)		46,775		
c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2018)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	43,201	13,063	13,063
	2 Savings and temporary cash investments	111,403	26,846	26,846
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STM137	2,614,509	2,359,600	4,958,234
	c Investments - corporate bonds (attach schedule) STM138	571,662	626,035	616,370
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,340,775	3,025,544	5,614,513
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	3,340,775	3,025,544	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	3,340,775	3,025,544	
	31 Total liabilities and net assets/fund balances (see instructions)	3,340,775	3,025,544	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,340,775
2	Enter amount from Part I, line 27a	2	(307,763)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,033,012
5	Decreases not included in line 2 (itemize) ▶ STM116	5	7,468
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,025,544

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	FROM HM PAYSON REPORT	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	364,198		416,517	(52,319)
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				(52,319)
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	(52,319)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	(41,239)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	225,000	5,491,171	0.040975
2016	249,424	5,038,220	0.049506
2015	292,289	4,644,733	0.062929
2014	246,314	4,666,870	0.052779
2013	172,909	4,069,922	0.042485

2	**Total** of line 1, column (d)	2	0.248674
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.049735
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	6,151,779
5	Multiply line 4 by line 3	5	305,959
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	468
7	Add lines 5 and 6	7	306,427
8	Enter qualifying distributions from Part XII, line 4	8	302,219

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	936
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	936
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	936
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a 7,200		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	7,200
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,264
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 6,264 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7		X
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ ANN ST JOHN POND Telephone no ▶ 207-767-4421			
	Located at ▶ 3 CANTER LANE, CAPE ELIZ., ME ZIP+4 ▶ 04107			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address See 990 OFOV	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANN ST JOHN POND 3 CANTER LANE, CAPE ELIZ., ME 04107	PRESIDENT AND D 14.00	STMA01 55,000	0	0
KIRK POND 3 CANTER LANE, CAPE ELIZ., ME 04107	TREASURER AND D 1.00	STMA02 1,000	0	0
KYLE POND 62 UPLAND ROAD, NEWTON, MA 02468	DIRECTOR 0.20	0	0	0
JOEL POND 20 ARBORSIDE DR, FALMOUTH, ME 04105	DIRECTOR 0.20	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	6,171,264
b	Average of monthly cash balances	1b	74,197
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	6,245,461
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,245,461
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	93,682
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,151,779
6	**Minimum investment return.** Enter 5% of line 5	6	307,589

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	307,589
2a	Tax on investment income for 2018 from Part VI, line 5	2a	936		
b	Income tax for 2018 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	936
3	Distributable amount before adjustments Subtract line 2c from line 1			3	306,653
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	306,653
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	306,653

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	302,219
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	302,219
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	302,219

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1	Distributable amount for 2018 from Part XI, line 7				306,653
2	Undistributed income, if any, as of the end of 2018				
a	Enter amount for 2017 only				
b	Total for prior years ______ , ______ , ______				
3	Excess distributions carryover, if any, to 2018				
a	From 2013				
b	From 2014				
c	From 2015 24,880				
d	From 2016 2,512				
e	From 2017				
f	**Total** of lines 3a through e	27,392			
4	Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 302,219				
a	Applied to 2017, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2018 distributable amount				302,219
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	4,434			4,434
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,958			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8	Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	22,958			
10	Analysis of line 9				
a	Excess from 2014				
b	Excess from 2015 20,446				
c	Excess from 2016 2,512				
d	Excess from 2017				
e	Excess from 2018				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

KIRK POND

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONGRGATION BET HA'AM 81 WESTBROOK STREET SOUTH PORTLAND, ME 04106		PC	OUTREACH	2,000
CAPE ELIZ. CHURCH OF THE NAZARENE 499 OCEAN HOUSE RD CAPE ELIZABETH, ME 04107		PC	GENERAL	2,000
CAPE ELIZ. UNITED METHODIST CHURCH 280 OCEAN HOUSE RD CAPE ELIZABETH, ME 04107		PC	MISSIONS AND MINISTRIES	2,000
FIRST CONGREGATIONAL CHURCH,UCC 301 COTTAGE RD SOUTH PORTLAND, ME 04107		PC	COMMUNITY CRISIS MINISTRIES	25,000
MAINE BEHAVIORAL HEALTHCARE 78 ATLANTIC PLACE SOUTH PORTLAND, ME 04106		PC	GENERAL	69,050
MAINE MEDICAL CENTER 22 BRAMHALL ST PORTLAND, ME 04101		PC	GENERAL	11,000
COLBY COLLEGE MUSEUM OF ART 5600 MAYFLOWER HILL DRIVE WATERVILLE, ME 04901		PC	GENERAL	1,000
LAMBDA CHI ALPHA EDUCATIONAL FDN 11711 N. PENNSYLVANIA ST, STE 250 CARMEL, IN 46032		PC	GENERAL	25,000
Total . . . . ▶ 3a				
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	105,134	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	(52,319)	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				52,815	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 52,815

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Ann St John Pond 4/2/2019 PRESIDENT AND DIRECTOR

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michael J Quinlan		03-30-2019		P01356119
Firm's name ▶ Jensen Baird Gardner & Henry			Firm's EIN ▶ 01-0378938	
Firm's address ▶ Ten Free Street Portland ME 04101			Phone no 207-775-7271	

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RONALD MCDONALD HOUSE 250 BRACKETT ST PORTLAND, ME 04102		PC	GENERAL	1,000
PINE TREE LEGAL ASSTNCE 88 FEDERAL STREET PORTLAND, ME 04101		PC	GENERAL	10,000
ST ALBANS CHURCH 885 SHORE RD CAPE ELIZABETH, ME 04107		PC	OUTREACH	2,000
SIMPLE GIFTS P.O. BOX 2684 SOUTH PORTLAND, ME 04116		PC	GENERAL	500
ST. FRANCIS XAVIER UNIVERSITY P.O BOX 5000 ANTIGONISH Canada B2G 2W5		PC	GENERAL	25,000
THORNTON ACADEMY 438 MAIN STREET SACO, ME 04072		PC	GENERAL	10,000
BOYS AND GIRLS CLUBS OF SOUTHERN ME 277 CUMBERALND AVENUE PORTLAND, ME 04101		PC	GENERAL	2,000
BUCKINGHAM, BROWNE & NICHOLS SCHOOL 80 GERRYS LANDING ROAD CAMBRIDGE, MA 02138		PC	GENERAL	5,000
Total . . . . ▶ 3a				
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY HOPE PO BOX 1385 SCARBOROUGH, ME 04070-1385		PC	GENERAL	5,000
HABITAT FOR HUMANITY OF GRTR PRTLND 659 WARREN AVE PORTLAND, ME 04103		PC	GENERAL	1,000
BEAVER COUNTRY DAY SCHOOL 791 HANMMOND STREET CHESTNUT HILL, MA 02467-2300		PC	ANNUAL FUND	2,500
CAPE ELIZABETH EDUCATION FOUNDATION PO BOX 6225 CAPE ELIZABETH, ME 04107		PC	GENERAL	1,000
CRADLES TO CRAYONS 155 NORTH BEACON STREET BRIGHTON, MA 02135		PC	GENERAL	250
CONGRGATION ETZ CHAIM 267 CONGRESS STREET PORTLAND, ME 04101		PC	GENERAL	2,000
FRIENDS OF BAXTER STATE PARK PO BOX 322 BELFAST, ME 04915		PC	YOUTH CONSERVATION	500
FRIENDS OF CASCO BAY 43 SLOCUNM DRIVE SOUTH PORTLAND, ME 04106		PC	GENERAL	1,000
Total ▶ 3a				
b *Approved for future payment*				
Total ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
H.O.M.E. PO BOX 10 ORLAND, ME 04472		PC	GENERAL	1,000
JUNIOR ACHIEVEMENT 565 CONGRESS STREET, SUITE 306 PORTLAND, ME 04101		PC	GENERAL	1,000
MIDCOAST LITERACY 34 WING FARM PARKWAY BATH, ME 04530		PC	READ TOGETHER PROGRAM	1,000
MY SISTER'S KEEPER 280 OCEAN HOUSE ROAD CAPE ELIZABETH, ME 04107		PC	GENERAL	1,000
NEWTON TURKEY TROT UNKNOWN NEWTON CENTER, MA 02459		PC	NEWTON FOOD PANTRY	1,000
PHILLIPS COMMUNITY COLLEGE FOUNDATI 2807 HWY 165 S BOX A STUTTGART, AR 72160		PC	SCHOLARSHIP	5,000
REGIONAL SCHOOL UNION 87 50 PLYMOUTH ROAD CARMEL, ME 04419		PC	CARMEL ELEMENTARY SCHOOL, GRADE TWO	1,000
HOPE/SEBASTIAN HUMANE SDOCIETY 3800 KELLEY HIGHWAY FORT SMITH, AR 72917		PC	GENERAL	500
Total . . . . . ▶ 3a				
b *Approved for future payment*				
Total . . . . . ▶ 3b				

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST BARTHOLOMEW CHURCH 8 TWO LIGHT ROAD CAPE ELIZABETH, ME 04107		PC	GENERAL	2,000
STRIVE 28 FODEN ROAD SOUTH PORTLAND, ME 04106		PC	GENERAL	2,000
USM FOUNDATION PO BOX 9300 PORTLAND, ME 04104		PC	PROMISE SCHOLARSHIP	5,000
WEX CARES FOUNDATION 97 DARLING AVE SOUTH PORTLAND, ME 04106-2301		PC	GENERAL	12,000
Total ▶ 3a				238,300
b *Approved for future payment*				
Total ▶ 3b				

List of Officers, Directors, Trustees, and Key Employees

1 List all officers, directors, trustees, and key employees for the year even if they were not compensated.

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Form W-2/1099-MISC) (if not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
ERIN POND FRIEDLAND DIRECTOR 1125 PARK AVE. APT. 11B, NY, NY 10128	0.20	0	0	0

Name(s) as shown on return: POND FAMILY FOUNDATION

Tax ID Number: 20-1131673

FORM 990PF - PART VIII
COMPENSATION EXPLANATION

STATEMENT #A01

NAME

ANN ST JOHN POND

EXPLANATION

FOR SERVICES RENDERED AS PRESIDENT OF CORPORATION AND OVERSEEING GRANT MAKING.

PG01

FORM 990PF - PART VIII
COMPENSATION EXPLANATION

STATEMENT #A02

NAME

KIRK POND

EXPLANATION

FOR SERVICES RENDERED AS TREASURER.

PG01

FORM 990PF - PART III - LINE 5
OTHER DECREASES SCHEDULE

STATEMENT #116

MISCELLANEOUS ADJUSTMENTS	2,142
BOND PREMIUM AMORTIZATION	5,326
TOTAL	7,468

FORM 990PF - PART II - LINE 10(B) — STATEMENT #137

INVESTMENTS: CORPORATE STOCK SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
HMPAYSON MANAGED ACCT. COM/OTH	2,614,509	2,359,600	4,958,234
TOTALS	2,614,509	2,359,600	4,958,234

PG01

FORM 990PF - PART II - LINE 10(C) — STATEMENT #138

INVESTMENTS: CORPORATE BOND SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
HM PAYSON MANAGED ACT	571,662	626,035	616,370
TOTALS	571,662	626,035	616,370

PG01

FORM 990PF - PART I - LINE 6(A) — STATEMENT #139

GAIN(LOSS) FROM SALE OF OTHER ASSETS SCHEDULE

Federal Supporting Statements

2018 PG01

Name(s) as shown on return: POND FAMILY FOUNDATION

Tax ID Number: 20-1131673

FORM 990PF - PART I - LINE 23 - OTHER EXPENSES SCHEDULE

STATEMENT #103~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
CORPORATE ANNUAL REPORT FEE	35	35	0	0
TOTALS	35	35	0	0

PG01

FORM 990PF - PART I - LINE 16(A) - LEGAL FEES SCHEDULE

STATEMENT #107~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
JENSEN BAIRD	1,824	1,824	0	0
TOTALS	1,824	1,824	0	0

Federal Supporting Statements

2018 PG01

Name(s) as shown on return: POND FAMILY FOUNDATION

Tax ID Number: 20-1131673

FORM 990PF - PART I - LINE 16(C) - OTHER PROFESSIONAL FEES SCHEDULE

STATEMENT #109~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
HM PAYSON	15,902	15,902	0	0
DONNA BETTS	33,688	3,369	0	30,319
DIANE LOVEJOY	159	159	0	0
TOTALS	49,749	19,430	0	30,319

PG01

FORM 990PF - PART I - LINE 18 - TAXES SCHEDULE

STATEMENT #110~

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
IRS EXCISE TAX	1,588	1,588	0	0
FOREIGN TAXES	682	682	0	0
IRS ESTIMATED TAX PAYMENTS	12,400	12,400	0	0
TOTALS	14,670	14,670	0	0

Name(s) as shown on return: POND FAMILY FOUNDATION

Your Social Security Number: 20-1131673

FORM 990PF - PART XV - LINE 2
APPLICATION SUBMISSION INFORMATION

GRANT PROGRAM
GENERAL

APPLICANT NAME
ANN ST. JOHN POND

ADDRESS
3 CANTER LANE
CAPE ELIZABETH, ME 04107

TELEPHONE
207-767-4421

EMAIL ADDRESS
NSTJOHN46@HOTMAIL.COM

FORM & CONTENT
THERE IS NO PRESCRIBED FORM IN WHICH THE APPLICATIONS SHOULD BE SUBMITTED. THE APPLICANT MUST SUBMIT MATERIALS REGARDING ITS IRS TAX EXEMPT STATUS AND EXPLAIN THE PURPOSE FOR THE REQUESTED GRANT.

SUBMISSION DEADLINE
NO SUBMISSION DEADLINE

RESTRICTIONS ON AWARD
THE FOUNDATION HAS LIMITED RESOURCES AND LIMITS GRANTS TO TAX EXEMPT ORGANIZATIONS WHICH QUALIFY AS PUBLIC CHARITIES.

HMPayson

Account Summary Statement

Account Number 5990712211

December 01, 2018 To December 31, 2018

Pond Family Foundation
EIN: 20-1131673
2018 990-PF Part II

Account Activity Summary

	This Period	Year To Date
Beginning Market Value	6,059,747.49	5,978,223.67
Dividend Income	13,763 12	84,880 58
Interest Income	39 72	19,758 05
Cash Deposits	13,802 84	104,442 31
Pymts To/for Beneficiaries	10,000 00-	302,000 00-
Trust Fees	1,275.24-	15,901 51-
Withdrawals And Distributions	13,802 84-	104,638 63-
Taxes And Other Expenses	1,800.00-	13,988 00-
Change In Market Value	459,025 52-	149,326 90-
Ending Market Value	5,601,449.57	5,601,449.57

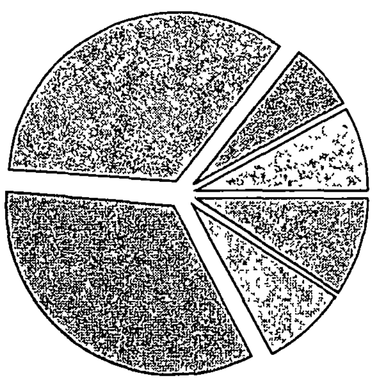
Realized Capital Gains / Losses

	This Period	Year To Date
Long Term	0 00	11,079 90-
Short Term	0 00	41,239 15-
Total Gains / Losses	0.00	52,319.05-

Asset Statement

Description	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Gain / Loss	Current Yield
Cash And Cash Equivalents				
FDIC INSURED MONEY MARKET	26,845 59	1 00	547 65	2 04
DEPOSIT ACCOUNT	26,845 59	1 00		
*** Total Cash And Cash Equivalents**	26,845.59 ✓		547.65	2.04
	26,845.59		0.00	

Bond Quality Summary



S & P Quality Rating	Market Value	Percent
AAA	50, 338 00	8 2%
A	39, 845 60	6 5%
BBB+	209, 840 20	34. 0%
BBB	209, 356 70	34 0%
BBB-	49, 328 00	8 0%
NOT RATED	57, 661 80	9 3%
Total	616, 370 30	100 0%