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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
The Jain Foundation Inc

A Employer identification number
20-0284800

Number and street (or P O box number if mail is not delivered to street address) Room/suite
9725 Third Avenue NE

B Telephone number (see instructions)
(425) 882-1492

City or town, state or province, country, and ZIP or foreign postal code
Seattle, WA 98115

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 25,896,172

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,000			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	8,061	8,061		
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	2,792,393			
	b	Gross sales price for all assets on line 6a 2,792,496				
	7	Capital gain net income (from Part IV, line 2)		2,792,393		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	215,896			
	12	**Total.** Add lines 1 through 11	3,017,350	2,800,454		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	427,000			427,000
	14	Other employee salaries and wages	292,639			292,639
	15	Pension plans, employee benefits	75,068			75,068
	16a	Legal fees (attach schedule)	14,825			14,825
	b	Accounting fees (attach schedule)	5,750			5,750
	c	Other professional fees (attach schedule)	42,004			42,004
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	52,453			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	107,353			107,353
	21	Travel, conferences, and meetings	24,255			24,255
	22	Printing and publications				
	23	Other expenses (attach schedule)	687,779			687,779
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,729,126	0		1,676,673
	25	Contributions, gifts, grants paid	1,610,982			1,610,982
	26	**Total expenses and disbursements.** Add lines 24 and 25	3,340,108	0		3,287,655
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-322,758			
	b	**Net investment income** (if negative, enter -0-)		2,800,454		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	1,146,980	834,095	834,095
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	8,802	8,802	8,802
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	20,432,461	20,432,358	25,051,865
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ 1,410			
	Less accumulated depreciation (attach schedule) ▶	1,410	1,410	1,410
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	204,542	1	
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	21,794,195	21,276,666	25,896,172
Liabilities				
17	Accounts payable and accrued expenses	18,743	28,442	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	1		
23	**Total liabilities**(add lines 17 through 22)	18,744	28,442	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	21,775,451	21,248,224	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	21,775,451	21,248,224	
31	**Total liabilities and net assets/fund balances** (see instructions)	21,794,195	21,276,666	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,775,451
2	Enter amount from Part I, line 27a	2	-322,758
3	Other increases not included in line 2 (itemize) ▶	3	86
4	Add lines 1, 2, and 3	4	21,452,779
5	Decreases not included in line 2 (itemize) ▶	5	204,555
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	21,248,224

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	6,000 Berkshire Hathaway Incl Del B	P		2018-05-31
b	5,700 Berkshire Hathaway Incl Del B	P		2018-11-30
c	Capital Gain Dividends			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,198,311		53	1,198,258
b	1,251,846		50	1,251,796
c				342,339
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				1,198,258
b				1,251,796
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,792,393
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	4,567,676	26,371,187	0 17321
2016	4,778,593	25,914,773	0 18440
2015	4,579,194	19,447,830	0 23546
2014	5,582,028	23,001,296	0 24268
2013	3,813,730	23,984,328	0 15901

2	**Total** of line 1, column (d)	2	0 994755
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 198951
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	26,528,832
5	Multiply line 4 by line 3	5	5,277,938
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	28,005
7	Add lines 5 and 6	7	5,305,943
8	Enter qualifying distributions from Part XII, line 4 , ...	8	3,287,655

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	56,009
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	56,009
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	56,009
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	56,130		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	56,130
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	121
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY, WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶https //www jain-foundation org/

14 The books are in care of ▶Laura Rufibach Telephone no ▶(425) 882-1492

Located at ▶9725 Third Avenue NE Suite 204 Seattle WA ZIP+4 ▶98115

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Bradley Williams 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Director Research 40 00	109,013	15,500	
Sara Emmons 9725 Third Avenue NE Suite 204 Seattle, WA 98117	Dir Patient Relat 40 00	100,311	12,500	

Total number of other employees paid over $50,000. ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 While the focus of the Jain Foundation is LGMD2B, the resulting scientific advances and collaboration have a broader impact on human health through their relevance to other muscle disorders, degenerative diseases of tissues other than muscle, and normal aging The Foundation is hands on in its approach to funding We closely monitor progress of every project we fund and keep track of results and agreed upon timelines through quarterly detail oriented conference calls with researchers and site visits Our goal continues to be to fund all possible approaches to a cure and have the patients diagnosed for when we identify treatment(s) so they are able to avail themselves of it Contract Research Organizations In 2018 we continued working with Contract Research Organizations (CROs) as an integral part of our therapy development program CROs are companies that serve the drug development industry and provide specialized knowledge and infrastructure focused on drug discovery, such as robotic	351,285
2 Patient Recruitment and Diagnosis LGMD2B is very rare An important goal of the Foundation is to be as clinical trial ready as possible One aspect of this is to identify as many LGMD2B patients worldwide as possible The rarity of the disease, the lack of knowledge regarding the need for genetic analysis on the part of both the patients and physicians, and the high cost of genetic analysis has made this process laborious and costly In 2014 we launched a diagnosis program, called LGMD-diagnosis, using new low cost sequencing technology to diagnose not just our specific disease but 34 other similar diseases all at once Consequently, we have also led the development of a consortium of family foundations that are all interested in finding their patients through our program This program reduced the price of diagnosing each patient by 60% and we found 4 times more LGMD2B patients in the same amount of time In this program more than 2300 individuals with some form of muscular dystrophy	191,307
3 Clinical Outcome Study Clinical trials frequently fail because testable outcomes are not well defined and are not sensitive enough to measure a small benefit from the treatment or drug being delivered To find measurable outcomes for dysferlinopathy so that we can become trial ready, we launched a Clinical Outcome Study (COS) in late 2012 The Jain Foundation is funding the bulk of the study through a grant to Dr Bushby and Dr Volker Straub, Newcastle, UK and a grant for the statistical analysis of the data to Dr Jacobs at CNMC, Washington, DC In addition to funding, the Jain Foundation leads and manages the study on a daily basis COS was performed at 15 centers (in the US, Europe, Japan and Australia) and involved the analysis of approximately 200 individuals suffering from dysferlinopathy The study involved 6-8 clinic visits over 3-5 years for each patient Each visit included medical, physiotherapy, and MRI/MRS assessments, as well as the collection of biological samples for	62,908
4 Tools for Researchers The Jain Foundation continued to actively identify and fund the development of research tools and resources that are needed to advance research in the dysferlin field The Foundation is also committed to developing and providing frequently required reagents, the unavailability of which slows down research In 2016, we began working with researchers to develop antibodies using state of the art technologies at Rockefeller University This work continued into 2017 and 2018 In addition, we built a library of cell lines that are useful to study the disease Finally, we continue to maintain large colonies of mice at the custom mouse breeding facility at Jackson Laboratories (Maine, US) for use in dysferlin related research Information about these resources is disseminated to relevant researchers through e-mails and through the Foundations website In 2017, we completed the development of several iPS cells from selected patients, carriers and controls that are now pub	317,433

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			1a	26,394,550
b	Average of monthly cash balances.			1b	538,274
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	26,932,824
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	
3	Subtract line 2 from line 1d.			3	26,932,824
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	403,992
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	26,528,832
6	**Minimum investment return.** Enter 5% of line 5.			6	1,326,442

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	1,326,442
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	56,009		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	56,009
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	1,270,433
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	1,270,433
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	1,270,433

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,287,655
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,287,655
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	3,287,655

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					1,270,433
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.					
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2018					
a From 2013.	2,703,379				
b From 2014.	4,525,947				
c From 2015.	3,644,614				
d From 2016.	3,611,020				
e From 2017.	3,330,168				
f **Total** of lines 3a through e.		17,815,128			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 3,287,655					
a Applied to 2017, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					1,270,433
e Remaining amount distributed out of corpus		2,017,222			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		19,832,350			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) . . .		2,703,379			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		17,128,971			
10 Analysis of line 9					
a Excess from 2014. . . .	4,525,947				
b Excess from 2015. . . .	3,644,614				
c Excess from 2016. . . .	3,611,020				
d Excess from 2017. . . .	3,330,168				
e Excess from 2018. . . .	2,017,222				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

The Jain Foundation Inc
9725 Third Avenue NE Ste 204
Seatlle, WA 98115
(425) 882-1492
See Foundation Website www jain-foundation org

b The form in which applications should be submitted and information and materials they should include

See Foundation Website www jain-foundation org

c Any submission deadlines

There are no application deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

The explicit goal of the Jain Foundation is to expedite development of a treatment or cure for LGMD2B/Miyoshi Any proposed project that does not have application to this disease will not be considered

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	8,061	
4 Dividends and interest from securities.			14		
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					2,792,393
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Return of PRI			21	204,542	
b Unused Grant Funds Return			21	11,354	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).				223,957	2,792,393

13 **Total.** Add line 12, columns (b), (d), and (e). 13 3,016,350

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-11-14	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Lorraine Boss				P01450835
Firm's name ▶ LEXINGTON TAX SERVICES				Firm's EIN ▶11-3538932
Firm's address ▶ 1305 FRANKLIN AVE SUITE 300 GARDEN CITY, NY 115301604				Phone no (516) 739-1462

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Ajit Jain Island Drive Rye, NY 10580	Director 3 00	0		
Indrima Jain Island Drive Rye, NY 10580	Director 1 00	0		
Akshay Jain Island Drive New York, NY 10580	CEO 40 00	89,250	12,500	
Ajay Jain Island Drive Rye, NY 10580	Director 1 00	0		
Doug Albrecht PhD 9725 Third Avenue NE Ste 204 Seattle, WA 98117	Co-President 40 00	150,125	12,500	
Laura Rufibach PhD 9725 Third Avenue NE Ste 204 Seattle, WA 98117	Co-President 40 00	150,125	12,500	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Univof Wisconsin Madison-Dr KSonnem 1525 Linden Dr 333 Bock Labs Madison, WI 53706	NONE	PC	Scientific Research Grant	74,334
Newcastle University-Dr Bushby 16/17 Framlington Place New Castle uponTyne, Tyne NE2 4AB UK	NONE	NC	Natural History Project - Clinical Outcome Study	243,217
Emory Genetics Lab - Dr Madhuri Heg 2165 N Decatur Road Atlanta, GA 30033	NONE	PC	Scientific Research Grant	67,410
Total . . . . ▶ **3a**				1,610,982

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
University of Maryland-Dr Robert Bl 655 W Baltimore Street Baltimore, MD 21201	NONE	PC	Scientific Research Grant	180,000
Childrens Research Instit Marni Jac 111 Michigan Avenue NW Suite 5400 Washington DC, DC 20010	NONE	PC	Scientific Research Grant	62,000
Rockefeller University Drs Chait Ro 1230 York Avenue New York, NY 10065	NONE	PC	General Support	86,700
Total . . . . ▶ **3a**				1,610,982

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Brigham and Women's Hospital Dr Kel 101 Huntington Ave Boston, MA 02199	NONE	PC	Scientific Research Grant	56,967
Columbia University622 W 113th Street New York, NY 10025	NONE	PC	General Support	100,000
Center for Advanced Molecular 194 Road 15 Sion Mumbai, Maharashtra 400022 IN	NONE	NC	Scientific Research Grant	14,422
Total . . . . ▶ **3a**				1,610,982

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Univ of British Columbia Dr Bernatc 103-6190 Agronomy Road Vancouver, British Columbia V6T 1Z3 CA	NONE	NC	Scientific Research Grant	31,681
Wayne State Dr Drescher 1200 Lande MRB Detroit, MI 48202	NONE	PC	Scientific Research Grant	36,800
Dr Michele Calos Stanford University 300 Pasteur Drive Stanford, CA 94305	NONE	PC	Scientific Research Grant	95,828
Total . . . . ▶ **3a**				1,610,982

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Fondazione Santa Lucia Dr Puri Via Del Fosso di Fiorano 64 Rome 00143 IT	NONE	NC	Scientific Research Grant	15,101
Duke University -Dr Bursac 100 Science Dr 1138 CIEMAS Durham, NC 27708	NONE	PC	Scientific Research Grant	111,750
Ohio State University Dr Weisleder 473 W 10th Ave Columbus, OH 43210	NONE	PC	Scientific Research Grant	110,310
Total . . . . ▶ **3a**				1,610,982

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Newcastle University-Dr Straub International Centre for Life Cent Newcastle Upon Tyne, Tyne and Wear NE1 3BZ UK	NONE	NC	Scientific Research Grant	324,462
Total . . . . ▶ **3a**				1,610,982

TY 2018 Accounting Fees Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Pradeep Agarwal, CPA	5,750	0	0	5,750

TY 2018 Investments Corporate Stock Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
134,395 Shares Berkshire Hathaway Inc.	20,432,358	25,051,865

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318030879

TY 2018 Investments - Land Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Auto./Transportation Equip.				1,410
Furniture and Fixtures	1,410		1,410	

TY 2018 Legal Fees Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Brosnan & Hegler, LLP	14,825	0	0	14,825

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318030879

TY 2018 Other Assets Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Rounding		1	

TY 2018 Other Decreases Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Description	Amount
Cost basis adjustment	103
Return of principal and imputed interest PRI	204,452

TY 2018 Other Expenses Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Clinical Outcome Study direct costs	8,315			8,315
Computer Services	3,765			3,765
CRO Charles River Research Discovery Ser	270,798			270,798
CRO Jackson Laboratories	307,634			307,634
CRO Proof Center of Excellence	31,500			31,500
Filing fees	10			10
Insurance	6,823			6,823
NYS Charities Bureau Filing Fee	750			750
Office Expenses	2,464			2,464
Patient Diagnosis & Recruitment	19,330			19,330

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Postage, shipping, delivery	13,301			13,301
Printing & Publications	1,349			1,349
Research Tools	314			314
Telephone & Internet	5,475			5,475
Translation Expense	1,686			1,686
Website design & Software	14,265			14,265

TY 2018 Other Income Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Return of PRI	204,542		
Unused Grant Funds Return	11,354		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491318030879

TY 2018 Other Increases Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Description	Amount
Misc	86

TY 2018 Other Professional Fees Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Josh Thayer, Consultant	42,004	0	0	42,004

TY 2018 Taxes Schedule

Name: The Jain Foundation Inc

EIN: 20-0284800

Software ID: 18007218

Software Version: 2018v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Estimated Excise tax 2018	51,000			
Excise Tax 2017	1,453			

990-PF Attachment

The Jain Foundation, Inc
E.I.N. # 20-0284800
ATTACHMENT TO 2018 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. **Grantee:**
BERNATCHEZ, PASCAL
University of British Columbia
103-6190 Agronomy Road
Vancouver, British Columbia
V6T 1Z3
CANADA

2. **Date(s) Paid in Current Tax Year:**
October 30, 2018 CAD 40,780 / $31,680.92

2018 USD Total: $31,680.92

3. **Total Paid:**
$68,139 34 in 2014
$99,218.44 in 2015
$67,851.58 in 2016
$69,235.97 in 2017
$31,680.92 in 2018

TOTAL $336,126.25

4. **Purpose of Grant:** Preventing dysferlinopathy through anti-cholesterol approaches.

5. **Amount of Grant Spent by Grantee:**
$323,185.00 USD / $431,886.52 CAD has been spent since the grant began. $12,941.25 USD / $17,293.97 CAD is remaining. (CAD: USD exchange rate: 0.75)

6. **Diversion:**
To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. **Date of Report(s) Received from Grant:**
May 7, 2014
October 29' 2014 (two on same day for different quarters)
May 5' 2015
August 12, 2015

November 4, 2015
January 1, 2016
Sept 22, 2016
Jan 23, 2017
May 1, 2017

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2018 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. **Grantee:**
   Dr. Kate Bushby
   Newcastle University,
   16/17 Framlington Place
   Newcastle Upon Tyne
   NE2 4AB
   United Kingdom

2. **Date(s) Paid in Current Tax Year:**
   Feb 22, 2018 GBP 172,978 $243,216.75

   Total $243,216.75

3. **Total Paid:**
   $281,474.28 in 2011
   $198,263.47 in 2012
   $187,499.98 in 2013
   $861,600.43 in 2014
   $156,171.20 in 2015
   $510,898.73 in 2016
   $678,835 22 in 2017
   $243,216.75 in 2018

   Total $3,117,960.06

4. **Purpose of Grant:** "Natural History Study in Dysferlinopathy"

5. **Amount of Grant Spent by Grantee:**

   GBP 1,920,401.22 / $2,495,743.82 has been spent since the grant began. The difference of GBP 177,468.78 / $230,637.54 remains for the grant's continuation into 2019. (GBP: USD fx rate of 1.30)

6. **Diversion:** To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. **Date of Report(s) Received from Grant:**

January 11, 2011
January 15, 2011
February 18, 2011
August 24, 2011
December 16, 2011
July 25, 2012
January 17, 2013
March 5, 2013
October 25, 2013
November 18, 2013
April 16, 2014
October 15, 2014
February 5, 2015
June 11, 2015
October 15, 2015
July 24, 2015
February 10, 2016
February 25, 2016
April 13, 2016
April 20, 2016
June 7, 2016
June 10, 2016
July 5, 2016
October 13, 2016
December 6, 2016
January 11, 2017
February 15, 2017
March 13, 2017
April 21, 2017
May 23, 2017
June 28, 2017
July 6, 2017
August 7, 2017
September 15, 2017
October 19, 2017
November 9, 2017
December 13, 2017
May 10, 2018
November 1, 2018

990-PF Attachment

The Jain Foundation, Inc.
E.I.N. # 20-0284800
ATTACHMENT TO 2018 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

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1. **Grantee:**

CAMDND, Dr. Rashna Dastur and Dr. Pradnya Gaitonde
Centre for Advanced Molecular Diagnostics in Neuromuscular Disorders
Mumbai 400 022.
Maharashtra, India.

2. **Date(s) Paid in Current Tax Year:**
March 29, 2018 $4,807.33
August 29, 2018 $4,807.33
October 29, 2018 $4,807.33

Total. $14,421 99

3. **Total Paid:**
$40,630.00 in 2015
$39,000 00 in 2016
$52,234.46 in 2017
$14,421.99 in 2018

Total. $146,286.45

4. **Purpose of Grant:**
Detection of dysferlin gene mutations in patients with limb-girdle muscular dystrophy, in the Indian population.

5. **Amount of Grant Spent by Grantee:**

Total amount spent is $143,391.87 since the grant began.

Balance of $2,894.58 is for the grant's continuation into 2019.

6. **Diversion:**
To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. **Date of Report(s) Received from Grant:**

July 22, 2015
October 28, 2015
January 28, 2016
April 6, 2016
June 21, 2016
October 17, 2016
February 23, 2017
June 23, 2017
July 13, 2017
August 28, 2017
September 28, 2017
October 16, 2017
December 11, 2017
June 1, 2018
August 7, 2018
December 3, 2018

990-PF Attachment

The Jain Foundation, Inc
E.I N. # 20-0284800
ATTACHMENT TO 2018 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. **Grantee:**
   Dr. Volker Straub
   Newcastle University,
   16/17 Framlington Place
   Newcastle Upon Tyne
   NE2 4AB
   United Kingdom

2. **Date(s) Paid in Current Tax Year:**
   Dec 21, 2018 GBP 254,396 $324,462.00

   Total. $324,462.00

3. **Total Paid:**
   $324,462.00 in 2018

   Total $324,462.00

4. **Purpose of Grant:** "Natural History Study in Dysferlinopathy 2"

5. **Amount of Grant Spent by Grantee:**

   GBP 54,366.67/ $70,654 65 has been spent since the grant began. The difference of GBP 200,029.33 / $259,957.12 remains for the grant's continuation into 2019. (GBP: USD fx rate of 1.30)

6. **Diversion:** To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. **Date of Report(s) Received from Grant:**

990-PF Attachment

The Jain Foundation, Inc.
E.I.N # 20-0284800
ATTACHMENT TO 2018 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

1. **Grantee:**
PURI, PIER LORENZO
Fondazione Santa Lucia
Via del Fosso di Fiorano,64 –
Rome 00143
Italy

2. **Date(s) Paid in Current Tax Year:**
May 11, 2018 EUR 12,418 / $15,101.21

Total: $15,101.21

3. **Total Paid:**
2016 $13,921.04
2017 $28,516.71
2018 $15,101.21

Total. $57,538.96

4. **Purpose of Grant:**
Pre-clinical studies with Givinostat for treatment of dysferlinopathies.

5. **Amount of Grant Spent by Grantee:**
$57,538.96 have been spent since the grant began. **All funds spent**

6. **Diversion:**
To the knowledge of the foundation, and based on the reports furnished by the grantee, no part has been used for other than its intended purpose.

7. **Date of Report(s) Received from Grant:**

Dec 5, 2016
Dec 14, 2016
March 16, 2017
April 20, 2017