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Form **990-PF**
Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

**2018**

**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018**

Name of foundation
Halbert Harmon Foundation
% Halbert Harmon Foundation

**A Employer identification number**
20-0214688

Number and street (or P O box number if mail is not delivered to street address)
55 Main Street Ste 200

Room/suite

**B** Telephone number (see instructions)
(817) 514-7411

City or town, state or province, country, and ZIP or foreign postal code
Colleyville, TX 76034

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 6,323,587

**J** Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
*(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | 552,866 | | | |
| | 2 Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 1,656 | 1,656 | | |
| | 4 Dividends and interest from securities | 88,590 | 88,590 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) _____ | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 272,326 | | | |
| | b Gross sales price for all assets on line 6a 914,104 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 272,326 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 915,438 | 362,572 | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) | 58,210 | 58,210 | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 2,300 | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 25,522 | 668 | | 24,854 |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 86,032 | 58,878 | | 24,854 |
| | 25 Contributions, gifts, grants paid | 270,300 | | | 270,300 |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 356,332 | 58,878 | | 295,154 |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | 559,106 | | | |
| | b **Net investment income** (if negative, enter -0-) | | 303,694 | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 425,408 | 378,387 | 378,387 |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ 400,000 | | | |
| | Less allowance for doubtful accounts ▶ | 400,000 | 400,000 | 400,000 |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | 4,251,008 | 4,857,135 | 5,545,200 |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | | | |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 5,076,416 | 5,635,522 | 6,323,587 |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities**(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 5,076,416 | 5,635,522 | |
| | 30 **Total net assets or fund balances** (see instructions) | 5,076,416 | 5,635,522 | |
| | 31 **Total liabilities and net assets/fund balances** (see instructions) | 5,076,416 | 5,635,522 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,076,416 |
| 2 | Enter amount from Part I, line 27a | 2 | 559,106 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 5,635,522 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 5,635,522 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | Publicly-traded Securities | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 914,104 | | 641,778 | 272,326 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 272,326 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 272,326 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3 | |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2017 | 258,747 | 6,034,969 | 0 042875 |
| 2016 | 234,990 | 5,091,048 | 0 046157 |
| 2015 | 92,282 | 5,346,640 | 0 01726 |
| 2014 | 71,499 | 5,090,603 | 0 014045 |
| 2013 | 38,604 | 4,286,889 | 0 009005 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0 129342 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 025868 |
| 4 | Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 | 4 | 6,905,444 |
| 5 | Multiply line 4 by line 3 | 5 | 178,630 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 3,037 |
| 7 | Add lines 5 and 6 | 7 | 181,667 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 295,154 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VII-A Statements Regarding Activities** (continued)

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶

14 The books are in care of ▶ Halbert Harmon Foundation Telephone no ▶ (817) 514-7411

Located at ▶ 55 Main Street Suite 200 Colleyville TX ZIP+4 ▶ 76034

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | | |
|---|---|---|---|---|
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶ | 16 | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018 ).* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | | No |

**Part VII-B** **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | Yes | No |
|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to<br>**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No<br>**(2)** Influence the outcome of any specific public election (see section 4955), or to carry | | |

[redacted]

## TY 2018 Depreciation Schedule

**Name:** Halbert Harmon Foundation

**EIN:** 20-0214688

[redacted]

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| Morgan Stanley<br>755 East Mulberry Ste 300<br>SAN ANTONIO, TX 78212 | Investment Mgmt | 58,210 |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 6,151,505 |
| b | Average of monthly cash balances | 1b | 459,098 |
| c | Fair market value of all other assets (see instructions) | 1c | 400,000 |
| d | **Total** (add lines 1a, b, and c) | 1d | 7,010,603 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 7,010,603 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 105,159 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 6,905,444 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 345,272 |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 345,272 |
| 2a | Tax on investment income for 2018 from Part VI, line 5 | 2a | 3,037 | | |
| b | Income tax for 2018 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 3,037 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 342,235 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 342,235 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 342,235 |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 295,154 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0 |

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2017 | (c) 2017 | (d) 2018 |
|---|---|---|---|---|
| 1 Distributable amount for 2018 from Part XI, line 7 | | | | 342,235 |
| 2 Undistributed income, if any, as of the end of 2018 | | | | |
| a Enter amount for 2017 only. . . . . | | | 289,646 | |
| b Total for prior years 2016 , 2015 , 2014 | | | | |
| 3 Excess distributions carryover, if any, to 2018 | | | | |
| a From 2013. . . . . | | | | |
| b From 2014. . . . . | | | | |
| c From 2015. . . . . | | | | |
| d From 2016. . . . . | | | | |
| e From 2017. . . . . | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

[redacted]

**Part XV** Supplementary Information (continued)

**Part XVI-A** Analysis of Income-Producing Activities

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | No |
| | (2) Other assets | 1a(2) | | No |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | No |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | No |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | No |
| | (4) Reimbursement arrangements | 1b(4) | | No |
| | (5) Loans or loan guarantees | 1b(5) | | No |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | No |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | No |

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
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| | | | |
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| ****** | 2019-11-12 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr )? ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☐ | PTIN |
|---|---|---|---|---|
| Jeffrey D Haskell | | | | P01345770 |
| Firm's name ▶ Foundation Source | | | | Firm's EIN ▶ |
| Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042 | | | | Phone no (800) 839-1754 |

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

| **(a)** Name and address | Title, and average hours per week **(b)** devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | Expense account, **(e)** other allowances |
|---|---|---|---|---|
| David S Halbert<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | Dir, Pres<br>1 0 | 0 | 0 | 0 |
| Andrew W Harmon<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | Dir<br>1 0 | 0 | 0 | 0 |
| Belinda Jo Harmon<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | Dir, VP<br>1 0 | 0 | 0 | 0 |
| Christopher Harmon<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | Sec, Dir<br>2 0 | 0 | 0 | 0 |
| David Todd Harmon<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | Dir<br>1 0 | 0 | 0 | 0 |
| Jon Mark Harmon<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | VP, Dir<br>1 0 | 0 | 0 | 0 |
| Robert G Harmon<br>55 Main Street Ste 200<br>Colleyville, TX 76034 | Dir, VP<br>1 0 | 0 | 0 | 0 |

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).**

| |
|---|
| David S Halbert |
| BELINDA JO HARMON |
| ROBERT G HARMON |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | General & Unrestricted | 3,000 |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | Men's Basketball Excellence Fund | 10,000 |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | Athletic Program | 51,300 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | Charitable Event | 5,000 |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | Exceptional Fund | 5,000 |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | Football Excellence Fund | 2,500 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | Softball Indoor Practice Facility | 2,500 |
| ABILENE CHRISTIAN UNIVERSITY<br>ACU BOX 29120<br>ABILENE, TX 79699 | N/A | PC | construction of the Byron Nelson Clubhouse | 2,500 |
| ALAMEDA CHURCH OF CHRIST<br>801 ALAMEDA ST<br>NORMAN, OK 73071 | N/A | PC | General & Unrestricted | 5,000 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ALAMEDA CHURCH OF CHRIST<br>801 ALAMEDA ST<br>NORMAN, OK 73071 | N/A | PC | Construction of a new youth building designed to make Jesus known throughout Norman, OK and the surrounding areas | 10,000 |
| ALEDO CHILDRENS ADVOCATES INC<br>PO BOX 25<br>ALEDO, TX 76008 | N/A | PC | General & Unrestricted | 2,500 |
| ALEDO ISD EDUCATION FOUNDATION<br>1008 BAILEY RANCH RD<br>ALEDO, TX 76008 | N/A | PC | General & Unrestricted | 2,500 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ARMS OF HOPE21300 STATE HWY 16 N<br>MEDINA, TX 78055 | N/A | PC | General & Unrestricted | 2,500 |
| CHRISTIAN WORKS FOR CHILDREN INC<br>5440 HARVEST HILL RD STE 140<br>DALLAS, TX 75230 | N/A | PC | General & Unrestricted | 1,500 |
| CHURCH OF CHRIST OF LOS ALAMOS NEW MEXICO<br>2323 DIAMOND DR<br>LOS ALAMOS, NM 87544 | N/A | PC | General & Unrestricted | 10,000 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| CITYSQUARE4000 E SIDE AVE<br>DALLAS, TX 75226 | N/A | PC | Forest Theater project | 25,000 |
| COMMUNITY FOUNDATION OF ABILENE<br>PO BOX 1001<br>ABILENE, TX 79604 | N/A | PC | Charitable Event | 5,000 |
| EMMAUS HOUSEPO BOX 3224<br>JOHNSON CITY, TN 37602 | N/A | PC | General & Unrestricted | 3,000 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ETERNAL THREADS INCPO BOX 836<br>GRETNA, NE 68028 | N/A | PC | General & Unrestricted | 10,000 |
| FORTRESS YOUTH DEVELOPMENT CENTER INC<br>PO BOX 422<br>FORT WORTH, TX 76101 | N/A | PC | General & Unrestricted | 10,000 |
| GRANBURY CHURCH OF CHRIST<br>1905 W PEARL ST<br>GRANBURY, TX 76048 | N/A | PC | General & Unrestricted | 4,000 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual | Foundation | Purpose of grant or | Amount |
|---|---|---|---|---|

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| THE HILLS CHURCH OF CHRIST<br>6300 NE LOOP 820<br>N RICHLND HLS, TX 76180 | N/A | PC | Courage Campaign | 40,000 |
| W I COOK FOUNDATION INC<br>801 7TH AVE<br>FORT WORTH, TX 76104 | N/A | PC | Charitable Event | 5,000 |
| WATERVIEW CHURCH OF CHRIST<br>RICHARDSON TX<br>1409 N WATERVIEW DR<br>RICHARDSON, TX 75080 | N/A | PC | Breakfast Program, Nicaragua | 1,500 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ZOIES PLACE306 N LOOP 288 STE 118<br>DENTON, TX 76209 | N/A | PC | General & Unrestricted | 7,000 |
| **Total** . . . . . ▶ **3a** | | | | 270,300 |

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# TY 2018 Investments Corporate Stock Schedule

**Name:** Halbert Harmon Foundation

**EIN:** 20-0214688

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| ACCENTURE PLC | 26,556 | 28,766 |
| AIA GROUP LTD ADR | 22,685 | 26,567 |
| ALIBABA GROUP HOLDING LTD | 11,895 | 11,103 |
| ALLERGAN PLC | 280,523 | 225,083 |
| ALPHABET INC CL A | 34,563 | 42,843 |
| AMC NETWORKS INC | 31,967 | 40,062 |
| ANADARKO PETROLEUM CORP | 193,129 | 151,292 |
| ANHEUSER BUSCH COS INC | 23,434 | 14,478 |
| AON PLC | 30,756 | 35,759 |
| ASSA ABLOY UNSP/ADR | 14,823 | 13,447 |
| AUTODESK, INC | 60,638 | 162,049 |
| BIOGEN INC | 278,635 | 360,804 |
| BOSTON SCIENTIFIC | 25,609 | 24,102 |
| BROADCOM INC | 42,178 | 157,399 |
| BUNZL PLC SPONSORED ADR | 23,214 | 23,101 |
| CAE INC | 13,742 | 14,405 |
| CANADIAN NATL RAILWAY CO | 30,505 | 30,015 |
| CHARLES SCHWAB CORP | 23,407 | 22,551 |
| CISCO SYSTEMS INC | 18,904 | 22,488 |
| CITRIX SYSTEMS INC | 45,745 | 92,829 |
| COCA COLA EUROPEAN PARTNERS PL | 18,728 | 21,962 |
| COMCAST CORP | 163,412 | 273,967 |
| COMERICA INC | 17,927 | 17,035 |
| COMPASS GROUP PLC ADR | 24,011 | 25,164 |
| CREE, INC | 48,613 | 56,769 |
| DAIWA HOUSE IND LTD | 21,633 | 22,705 |
| DIAGEO PLC ADS | 41,309 | 44,951 |
| DISCOVERY INC (FORMERLY DISC C | 63,839 | 54,948 |
| DOLBY LABORATORIES | 71,172 | 105,685 |
| EATON CORP PLC | 14,151 | 12,771 |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| EBAY INC | 14,948 | 12,098 |
| EPIROC AB | 18,289 | 19,801 |
| FLUOR CORP | 140,910 | 76,829 |
| FREEPORT-MCMORAN COPPER & GOLD | 109,946 | 98,430 |
| GCI LIBERTY, INC. | 10,931 | 14,776 |
| HANG SENG BANK LTD S/ADR | 27,548 | 24,573 |
| HDFC BANK LTD ADR | 20,171 | 22,375 |
| HEXAGON | 15,995 | 17,222 |
| HONEYWELL INTL | 29,394 | 33,955 |
| IMMUNOGEN, INC | 4,637 | 7,810 |
| INTERCONTINENTAL EXCHANGE, INC | 26,675 | 32,015 |
| IONIS PHARMACEUTICALS INC | 61,099 | 97,416 |
| IQVIA HOLDINGS INC | 27,071 | 35,897 |
| ISRAEL DISCOUNT BK UNSP ADR | 15,840 | 17,987 |
| JOHNSON & JOHNSON | 49,645 | 46,071 |
| JOHNSON CONTROLS INTERNATIONAL | 144,579 | 100,306 |
| JULIUS BAER GROUP LTD | 11,360 | 8,175 |
| KAO CORP | 17,250 | 19,892 |
| L3 TECHNOLOGIES, INC | 126,350 | 195,368 |
| LIBERTY BROADBAND CORPORATION | 592 | 9,335 |
| LIBERTY BROADBAND CORPORATION | 931 | 14,838 |
| LIBERTY MEDIA CORPORATION - SE | 789 | 4,874 |
| LIBERTY MEDIA CORPORATION - SE | 508 | 8,013 |
| LIBERTY SIRIUS XM CORP - SER C | 5,347 | 44,450 |
| LIBERTY SIRIUS XM GROUP - SER | 1,535 | 20,866 |
| LIONS GATE ENTERTAINMENT CORP | 20,394 | 12,365 |
| LOGMEIN, INC | 11,714 | 12,643 |
| LOWES COMPANIES INC | 16,443 | 16,255 |
| MCDONALD'S CORP | 25,997 | 25,215 |
| MEDTRONIC PLC | 63,654 | 75,315 |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| MICROSOFT CORP | 33,220 | 45,503 |
| MOTOROLA SOLUTIONS INC | 15,787 | 20,362 |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| TORONTO DOMINION | 26,392 | 23,368 |
| [redacted] | [redacted] | [redacted] |

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# TY 2018 Other Expenses Schedule

**Name:** Halbert Harmon Foundation

**EIN:** 20-0214688

**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Administrative Fees | 24,854 | | | 24,854 |
| Bank Charges | 668 | 668 | | |

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# TY 2018 Other Notes/Loans Receivable Long Schedule

**Name:** Halbert Harmon Foundation

**EIN:** 20-0214688

| Borrower's Name | Relationship to | Original | Balance | Date of | Maturity | Repayment | Interest Rate | Security | Purpose of | Description of Lender | Consideration |
|---|---|---|---|---|---|---|---|---|---|---|---|

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# TY 2018 Other Professional Fees Schedule

**Name:** Halbert Harmon Foundation

**EIN:** 20-0214688

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Investment Management Services | 58,210 | 58,210 | | |

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|---|---|---|

# TY 2018 Taxes Schedule

**Name:** Halbert Harmon Foundation

**EIN:** 20-0214688

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| 990-PF Estimated Tax for 2018 | 2,300 | | | |

| **Name of organization** | **Employer identification number** |
|---|---|
| Halbert Harmon Foundation | 20-0214688 |

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

[redacted]

| Name of organization | Employer identification number |
|---|---|
| Halbert Harmon Foundation | 20-0214688 |

**Part II** Noncash Property

(See instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) | (d) Date received |
|---|---|---|---|

[redacted]

before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

See Additional Data Table

h List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the

[redacted]

7 [redacted]

| Name of organization | Employer identification number |
|---|---|
| Halbert Harmon Foundation | 20-0214688 |

**Part III** ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** _____

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift<br>Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |
| | (e) Transfer of gift<br>Transferee's name, address, and ZIP 4 | Relationship of transferor to transferee | |