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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation  
JOHN H HART FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)  
1500 NORTH LAKE SHORE DRIVE 13-A

City or town, state or province, country, and ZIP or foreign postal code  
CHICAGO, IL 60610

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 11,660,121

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis )

A Employer identification number  
20-0156873

B Telephone number (see instructions)  
(312) 502-5427

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	10,724,495		
	2 Check ▶ <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .			
	3 Interest on savings and temporary cash investments	43,782	43,782	
	4 Dividends and interest from securities . . . . .	321,235	321,235	
	5a Gross rents . . . . .			
	b Net rental income or (loss) _____			
	6a Net gain or (loss) from sale of assets not on line 10	-351,113		
	b Gross sales price for all assets on line 6a _____ 8,117,652			
	7 Capital gain net income (from Part IV, line 2) . . . . .		4,901,852	
	8 Net short-term capital gain . . . . .			
	9 Income modifications . . . . .			
	10a Gross sales less returns and allowances _____			
b Less Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . .	2,908	2,908		
12 Total. Add lines 1 through 11 . . . . .	10,741,307	5,269,777		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc			
	14 Other employee salaries and wages . . . . .			
	15 Pension plans, employee benefits . . . . .			
	16a Legal fees (attach schedule) . . . . .	200		200
	b Accounting fees (attach schedule) . . . . .			
	c Other professional fees (attach schedule) . . . . .			
	17 Interest . . . . .			
	18 Taxes (attach schedule) (see instructions) . . . . .	34	34	
	19 Depreciation (attach schedule) and depletion . . . . .			
	20 Occupancy . . . . .			
	21 Travel, conferences, and meetings . . . . .			
	22 Printing and publications . . . . .			
	23 Other expenses (attach schedule) . . . . .	5,706	5,706	
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	5,940	5,740	200
25 Contributions, gifts, grants paid . . . . .	784,519		784,519	
26 Total expenses and disbursements. Add lines 24 and 25	790,459	5,740	784,719	
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	9,950,848		
	b Net investment income (if negative, enter -0-)		5,264,037	
c Adjusted net income (if negative, enter -0-) . . . . .				

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	229,961	1,915,114	1,915,114
	3	Accounts receivable ▶ <u>2,840</u>			
		Less allowance for doubtful accounts ▶ _____		2,840	2,840
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	2,750,886	10,372,318	9,096,606
	c	Investments—corporate bonds (attach schedule) . . . . .		641,423	645,561
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,980,847	12,931,695	11,660,121	
Liabilities	17	Accounts payable and accrued expenses . . . . .	30	30	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	30	30	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,980,817	12,931,665	
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	2,980,817	12,931,665	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	2,980,847	12,931,695		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,980,817
2	Enter amount from Part I, line 27a . . . . .	2	9,950,848
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	12,931,665
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	12,931,665

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	4,901,852
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	-52,462

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	400,775	2,684,931	0 149268
2016	496,020	1,929,526	0 257068
2015	194,005	1,738,775	0 111576
2014	365,351	1,866,637	0 195727
2013	315,925	1,900,819	0 166205
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 879844
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 175969
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 11,073,291
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,948,556
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 52,640
<b>7</b> Add lines 5 and 6			<b>7</b> 2,001,196
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 784,719

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	105,281
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	105,281
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	105,281
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	2,348
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	129,500
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	131,848
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	26,567
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <input type="checkbox"/> 26,567 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>NONE</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>JOHN H HART</b> Telephone no <b>(312) 502-5427</b>			

Located at **1500 N LAKE SHORE DRIVE UNIT 13A CHICAGO IL** ZIP+4 **60610**

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>NONE</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . . <input type="checkbox"/>	<b>1c</b>		
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>20</b> , <b>20</b> , <b>20</b> , <b>20</b>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . . <input type="checkbox"/>	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>20</b> , <b>20</b> , <b>20</b> , <b>20</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . . <input type="checkbox"/>	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		<b>6b</b> No
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN H HART 1500 N LAKE SHORE DRIVE UNIT 13A CHICAGO, IL 60610	PRES/TREAS/D 000 00	0	0	0
CAROL PRINS 1500 N LAKE SHORE DRIVE UNIT 13A CHICAGO, IL 60610	VICE PRES/ D 000 00	0	0	0
RONALD SCHREIBER 131 SOUTH DEARBORN SUITE 2400 CHICAGO, IL 60603	SECRETARY/ D 000 00	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				

**Part VIII**

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3**

**Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services.		

**Part IX-A**

**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B**

**Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	8,652,961
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	2,588,959
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	11,241,920
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	11,241,920
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	168,629
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	11,073,291
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	553,665

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	553,665
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	105,281
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	105,281
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	448,384
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	448,384
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	448,384

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	784,719
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	784,719
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	784,719

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				448,384
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . . 232,082				
<b>b</b> From 2014. . . . . 275,995				
<b>c</b> From 2015. . . . . 111,922				
<b>d</b> From 2016. . . . . 400,684				
<b>e</b> From 2017. . . . . 268,490				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	1,289,173			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 784,719				
<b>a</b> Applied to 2017, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2018 distributable amount. . . . .				448,384
<b>e</b> Remaining amount distributed out of corpus	336,335			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,625,508			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	232,082			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	1,393,426			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . . 275,995				
<b>b</b> Excess from 2015. . . . . 111,922				
<b>c</b> Excess from 2016. . . . . 400,684				
<b>d</b> Excess from 2017. . . . . 268,490				
<b>e</b> Excess from 2018. . . . . 336,335				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>Enter gross amounts unless otherwise indicated</b>				
<b>1</b> Program service revenue				
<b>a</b> _____				
<b>b</b> _____				
<b>c</b> _____				
<b>d</b> _____				
<b>e</b> _____				
<b>f</b> _____				
<b>g</b> Fees and contracts from government agencies				
<b>2</b> Membership dues and assessments. . . . .				
<b>3</b> Interest on savings and temporary cash investments . . . . .		14	43,782	
<b>4</b> Dividends and interest from securities. . . . .		14	321,235	
<b>5</b> Net rental income or (loss) from real estate				
<b>a</b> Debt-financed property. . . . .				
<b>b</b> Not debt-financed property. . . . .				
<b>6</b> Net rental income or (loss) from personal property				
<b>7</b> Other investment income. . . . .		15	2,908	
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .		18	-351,113	
<b>9</b> Net income or (loss) from special events				
<b>10</b> Gross profit or (loss) from sales of inventory				
<b>11</b> Other revenue <b>a</b> _____				
<b>b</b> _____				
<b>c</b> _____				
<b>d</b> _____				
<b>e</b> _____				
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .			16,812	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .				16,812

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Part XVII

		Yes	No
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<b>1a(1)</b>	<b>No</b>
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1a(2)	No
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<b>1b(1)</b>	<b>No</b>
--------------	-----------

<b>1b(2)</b>	<b>No</b>
--------------	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>	<b>No</b>
--------------	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

<b>1c</b>		<b>No</b>
-----------	--	-----------

value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p><b>Sign Here</b></p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2019-11-12</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below

(see instr )? ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	RONALD A LEWIS		2019-11-12		
	Firm's name ► DOWELL GROUP LLP				Firm's EIN ► 36-4027344
	Firm's address ► 675 N NORTH CT STE 450 PALATINE, IL 600673607				Phone no (847) 934-0300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1	9100 SHS ALTRIA GROUP	D	2000-01-04	2018-01-22
1	200 SHS INTEL	D	2000-12-07	2018-11-16
	75 SHS WALMART	D	2003-04-21	2018-11-16
	4000 SHS CYS INVESTMENTS	P	2011-02-28	2018-08-02
	2930 SHS MCDONALDS	D	2002-03-13	2018-01-22
	75 SHS JOHNSON & JOHNSON	D	2002-09-12	2018-11-16
	200 SHS WELLS FARGO	D	2000-12-07	2018-11-16
	1000 SHS TEVA PHARMACEUTICALS	P	2018-02-15	2018-08-03
	9570 SHS PHILLIP MORRIS	D	2000-01-04	2018-01-22
	1600 SHS MCDONALDS	D	2002-03-13	2018-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
646,755		50,203	596,552
9,778		6,525	3,253
7,317		4,159	3,158
29,402		51,139	-21,737
514,890		83,871	431,019
10,959		4,097	6,862
10,606		4,981	5,625
21,855		21,105	750
1,043,201		120,306	922,895
300,194		45,800	254,394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			596,552
			3,253
			3,158
			-21,737
			431,019
			6,862
			5,625
			750
			922,895
			254,394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2736 SHS SPDR S&P 500	P	2018-11-16	2018-11-21
1 1000 SHS TWITTER	P	2016-05-31	2018-08-20
5110 SHS PHILLIP MORRIS	D	2000-01-04	2018-01-22
500 SHS MICROSOFT	D	2000-07-06	2018-11-16
124 SHS AETNA	P	2018-11-21	2018-11-29
556 SHS ALLSTATE	D	1990-01-01	2018-11-16
468 SHS MORGAN STANLEY	D	1990-01-01	2018-11-16
15 SHS VERISK	P	2018-11-21	2018-11-30
2992 SHS ALTRIA GROUP	D	2000-01-04	2018-11-16
1250 SHS NXP SEMICONDUCTORS	D	2014-04-29	2018-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
727,424		749,963	-22,539
32,475		15,184	17,291
557,028		64,239	492,789
54,317		19,844	34,473
26,294		25,424	870
49,767		2,168	47,599
20,723		2,495	18,228
1,849		1,812	37
169,423		16,506	152,917
106,186		73,988	32,198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-22,539
			17,291
			492,789
			34,473
			870
			47,599
			18,228
			37
			152,917
			32,198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1378 SHS SPDR S&P 500	P	2018-12-04	2018-12-07
1 5838 SHS ALTRIA GROUP	D	2000-01-04	2018-11-16
400 SHS ORACLE	D	2000-07-06	2018-11-16
36 SHS MARSH & MCCLENNAN	P	2018-11-21	2018-12-10
666 SHS APTAR GROUP	D	1990-01-01	2018-11-16
125 SHS PFIZER	D	2002-09-12	2018-11-16
9 SHS THERMO FISHER	P	2018-12-07	2018-12-26
247 SHS AT&T	D	1990-01-01	2018-11-16
16000 SHS PHILLIP MORRIS	D	2000-01-04	2018-11-16
63 SHS VF CORP	P	2018-11-21	2018-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
372,777		375,037	-2,260
330,579		1	330,578
20,516		14,825	5,691
3,032		3,032	
71,006		7,881	63,125
5,441		3,786	1,655
1,883		2,174	-291
7,479		9,714	-2,235
1,377,774		201,139	1,176,635
4,345		4,345	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,260
			330,578
			5,691
			63,125
			1,655
			-291
			-2,235
			1,176,635



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
100 SHS AT&T	D	2004-08-24	2018-11-16
1 250 SHS PHILLIP MORRIS	D	2000-01-04	2018-11-16
2736 SHS SPDR S&P 500	P	2018-11-16	2018-11-21
200 SHS BANK OF AMERICA	D	2003-04-21	2018-11-16
2130 SHS PROCTER & GAMBLE	D		2018-11-16
75 SHS ACUITY BRANDS	P	2018-11-21	2018-12-07
23 SHS CITIGROUP	D	1990-01-01	2018-11-16
1745 SHS KRAFT HEINZ	D		2018-11-16
1378 SHS SPDR S&P 500	P	2018-12-04	2018-12-07
550 SHS DOMINION	D	2003-04-21	2018-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,028		2,564	464
21,528		6,416	15,112
729,985		749,963	-19,978
5,558		7,276	-1,718
200,132		1	200,131
9,037		9,371	-334
1,491		4,629	-3,138
91,001		1	91,000
366,320		375,037	-8,717
40,441		16,252	24,189

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			464
			15,112
			-19,978
			-1,718
			200,131
			-334
			-3,138
			91,000
			-8,717
			24,189

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
58 SHS KRAFT HEINZ		D	2003-11-10	2018-11-16
1	113 SHS CITIGROUP	P	2018-11-21	2018-12-14
275 SHS EXXONMOBIL		D	1990-01-01	2018-11-16
162 SHS KRAFT HEINZ		D	2005-10-13	2018-11-16
30 SHS ESTEE LAUDER		P	2018-11-21	2018-12-14
350 SHS GENERAL ELECTRIC		D	2004-08-24	2018-11-16
915 SHS VERIZON		D	1990-01-01	2018-11-16
84 SHS GENERAL DYNAMICS		P	2018-11-21	2018-12-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,025		937	2,088
6,327		6,327	
21,733		9,830	11,903
8,448		3,903	4,545
4,157		4,157	
2,800		11,393	-8,593
55,101		9,735	45,366
12,265		12,265	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,088
			11,903
			4,545
			-8,593
			45,366

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ASPEN SANTA FE BALLET 550B ST MICHAELS DRIVE SANTA FE, NM 87505	NONE	PUBLIC	CHARITABLE CONTRIBUTION	2,500
CAMPERSHIPS FOR NEBAGAMON PO BOX 331 EAST TROY, WI 53120	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,000
CENTER ON HALSTED3656 N HALSTED CHICAGO, IL 60613	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHI ARTS521 EAST 35TH STREET CHICAGO, IL 60616	NONE	PUBLIC	CHARITABLE CONTRIBUTION	46,960
BALLET CHICAGO 17 N STATE ST STE 1900 CHICAGO, IL 60602	NONE	PUBLIC	CHARITABLE CONTRIBUTION	5,000
CALIFORNIA COMMUNITY FOUNDATION 221 S FIGUEROA ST STE 400 LOS ANGELES, CA 90012	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CANCER FOUNDATION NEW MEXICO PO BOX 5038 SANTA FE, NM 87502	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
CHICAGO COALITION FOR THE HOMELESS 70 EAST LAKE STREET STE 720 CHICAGO, IL 60601	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
CHICAGO SYMPHONY ORCHESTRA 220 S MICHIGAN AVE CHICAGO, IL 60604	NONE	PUBLIC	CHARITABLE CONTRIBUTION	89,340
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CLASSICAL MOVEMENTS 711 PRINCESS ST ALEXANDRIA, VA 22314	NONE	PUBLIC	CHARITABLE CONTRIBUTION	15,500
COMPASSION BEYOND BORDERS PO BOX 22991 SANTA FE, NM 87502	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
DOCTORS WITHOUT BORDERS 333 7TH AVE NEW YORK, NY 10001	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,000
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FEEDING AMERICA35 E WACKER DRIVE STE 2000 CHICAGO, IL 60601	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,000
FIRST SERVE NEW MEXICO PO BOX 31904 SANTA FE, NM 87594	NONE	PUBLIC	CHARITABLE CONTRIBUTION	5,000
FOOD DEPOT1222 A SILER RD SANTA FE, NM 87507	NONE	PUBLIC	CHARITABLE CONTRIBUTION	250
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GOLDEN APPLE AWARDS 8 S MICHIGAN AVE STE 700 CHICAGO, IL 60603	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,000
GOODMAN THEATRE170 N DEARBORN CHICAGO, IL 60601	NONE	PUBLIC	CHARITABLE CONTRIBUTION	100,000
GREATER CHICAGO FOOD DEPOSITORY 4100 W 42ND PL CHICAGO, IL 60632	NONE	PUBLIC	CHARITABLE CONTRIBUTION	5,000
<b>Total . . . . .</b> ► <b>3a</b>				784,519



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HABITAT FOR HUMANITY931 W 75TH ST STE 137-110 NAPERVILLE, IL 60565	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,000
HUCKLEBERRY YOUTH PROGRAMS 3310 GEARY BLVD SAN FRANCISCO, CA 94118	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
HUMAN RIGHTS WORLD 440 NORTH WELLS ST STE 200 CHICAGO, IL 60654	NONE	PUBLIC	CHARITABLE CONTRIBUTION	7,500
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LAKESIDE CONGREGATION 1221 LAKE COOK RD HIGHLAND PARK, IL 60035	NONE	PUBLIC	CHARITABLE CONTRIBUTION	2,000
LAWYERS FOR THE CREATIVE ARTS 161 NORTH CLARK ST STE 4300 CHICAGO, IL 60601	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
LINCOLN PARK ZOO PO BOX 14903 CANNON DR FULLERTON PARKWAY CHICAGO, IL 60614	NONE	PUBLIC	CHARITABLE CONTRIBUTION	40,000
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
LYRIC OPERA OF CHICAGO 20 N WACKER DR CHICAGO, IL 60606	NONE	PUBLIC	CHARITABLE CONTRIBUTION	13,000
MERIT SCHOOL OF MUSIC 38 S PEORIA STREET CHICAGO, IL 60607	NONE	PUBLIC	CHARITABLE CONTRIBUTION	9,640
MUSEUM OF CONTEMPORARY ART 200 EAST CHICAGO CHICAGO, IL 60611	NONE	PUBLIC	CHARITABLE CONTRIBUTION	60,129
<b>Total . . . . .</b> ► <b>3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MUSIC INSTITUTE OF CHICAGO 517 GREEN BAY ROAD WILMETTE, IL 60091	NONE	PUBLIC	CHARITABLE CONTRIBUTION	5,500
NDI NEW MEXICO1140 ALTO STREET SANTA FE, NM 87501	NONE	PUBLIC	CHARITABLE CONTRIBUTION	3,500
NEW MEXICO SCHOOL FOR THE ARTS 275 EAST ALAMEDA SANTA FE, NM 87501	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,500
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTHWESTERN MEMORIAL FOUNDATION 251 E HURON ST STE 3-200 CHICAGO, IL 60611	NONE	PUBLIC	CHARITABLE CONTRIBUTION	50,000
SANTA FE CHAMBER MUSIC FESTIVAL PO BOX 2227 SANTA FE, NM 87501	NONE	PUBLIC	CHARITABLE CONTRIBUTION	4,450
SANTA FE OPERA PO BOX 2408 SANTA FE, NM 87504	NONE	PUBLIC	CHARITABLE CONTRIBUTION	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SIMON WIESENTHAL CENTER 1399 SOUTH ROXBURY DR LOS ANGELES, CA 90035	NONE	PUBLIC	CHARITABLE CONTRIBUTION	2,500
STEPPENWOLF THEATRE 1650 N HALSTED ST CHICAGO, IL 60614	NONE	PUBLIC	CHARITABLE CONTRIBUTION	49,500
THE BRENTWOOD SCHOOL FUND 100 S BARRINGTON PL LOS ANGELES, CA 90049	NONE	PUBLIC	CHARITABLE CONTRIBUTION	2,500
<b>Total . . . . .</b> ► <b>3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE CHICAGO FOUNDATION FOR WOMEN 140 S DEARBORN ST STE 400 CHICAGO, IL 60603	NONE	PUBLIC	CHARITABLE CONTRIBUTION	10,000
THE JESSICA FUND 1500 NORTH LAKE SHORE DR CHICAGO, IL 60610	NONE	PUBLIC	CHARITABLE CONTRIBUTION	225,000
THE JOFFREY BALLET 10 EAST RANDOLPH ST CHICAGO, IL 60601	NONE	PUBLIC	CHARITABLE CONTRIBUTION	4,500
<b>Total . . . . . ▶ 3a</b>				784,519

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE SOLTI FOUNDATION 1555 SHERMAN AVE 310 EVANSTON, IL 60201	NONE	PUBLIC	CHARITABLE CONTRIBUTION	1,000
THE STUTTERING FOUNDATION 1805 MORIAH WOODS BLVD STE 3 MEMPHIS, TN 38117	NONE	PUBLIC	CHARITABLE CONTRIBUTION	3,500
THINK NEW MEXICO 1227 PASEO DE PERALTA SANTA FE, NM 87501	NONE	PUBLIC	CHARITABLE CONTRIBUTION	500
<b>Total . . . . . ▶ 3a</b>				784,519



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TREASURED TIMEPO BOX 152 SEYMOUR, CT 06483	NONE	PUBLIC	CHARITABLE CONTRIBUTION	250
WRITER'S THEATRE376 PARK AVENUE GLENCOE, IL 60022	NONE	PUBLIC	CHARITABLE CONTRIBUTION	2,500
WTTW 11 WFMT5400 N ST LOUIS AVE CHICAGO, IL 60625	NONE	PUBLIC	CHARITABLE CONTRIBUTION	2,500
<b>Total . . . . . ▶ 3a</b>				784,519

**TY 2018 Investments Corporate Bonds Schedule****Name:** JOHN H HART FOUNDATION**EIN:** 20-0156873**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
50000 ABBOTT LABS	49,000	49,566
50000 ANHEUSER-BUSCH	51,497	51,532
50000 AUTONATION	50,937	51,090
50000 BIOGEN	49,441	49,628
50000 BOEING	48,180	48,525
50000 CATERPILLAR	49,992	50,206
50000 COVIDIEN INTL	50,667	50,799
50000 MCKESSON CORP	49,977	50,239
50000 MONDELEZ	49,645	49,815
50000 TEXAS INSTRUMENTS	47,706	48,257
50000 THERMO FISHER	48,149	48,645
50000 TJX COMPANIES	47,933	48,553
50000 WALMART	48,299	48,706

TY 2018 Investments Corporate Stock Schedule

Name: JOHN H HART FOUNDATION  
 EIN: 20-0156873

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4000 SHS CYS INVESTMENTS		
12000 SHS ALTRIA	298,200	592,680
2000 SHS ASCENA RETAIL GROUP	9,437	5,020
7000 SHS ASCENA RETAIL GROUPS	93,380	17,570
3000 SHS KRAFT HEINZ COMPANY	100,680	129,120
9000 MONDELEZ INTL	186,240	360,270
2500 OMEGA	73,407	87,875
PERMIANVILLE REALTY (ENDURO)	198,798	28,200
5496 SHS PHILIP MORRIS INT'L	499,806	366,913
1000 PHILIP MORRIS INT'L	44,902	66,760
26000 SHS REDIFF.COM INDIA LTD	55,307	286
6113 SHS REDIFF.COM INDIA LTD	1,532	67
7887 SHS REDIFF.COM INDIA LTD	2,529	87
10000 SANDRIDGE MISS TRUST II	99,065	8,500
1000 SHS TWITTER	15,184	28,740
1872 SHS TWO HARBORS INV	29,016	24,036
ARIEL INTERNATIONAL	506,310	475,295
GS GQG PARTNERS	502,685	485,224
20158 SHS ALTRIA	1,416,906	995,604
7016 SHS MCDONALDS	1,217,346	1,245,831
11743 SHS MONDELEZ	497,598	470,072
17705 SHS PHILIP MORRIS INT'L	1,859,491	1,181,986
6516 SHS PROCTER & GAMBLE	588,238	598,951
684 SHS ABBOTT LABS	47,322	49,474
35 SHS ALPHABET	36,930	36,574
552 SHS AMERICAN INTL	22,248	21,754
82 SHS APPLE INC	14,494	12,935
441 SHS AXALTA COATING	11,032	10,328
170 SHS BERKSHIRE HATHAWAY	35,951	34,711
579 SHS BORGWARNER	22,470	20,114

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
151 SHS BRIGHTHOUSE	5,753	4,602
54 SHS CHARTER COMMUNICATIONS	16,959	15,388
262 SHS CIMAREX	21,550	16,152
805 SHS CITIGROUP	49,441	41,908
510 SHS COMCAST	19,162	17,366
436 SHS CVS	33,428	28,567
515 SHS DEVON ENERGY	14,493	11,608
555 SHS DISCOVER FINANCIAL	38,034	32,734
143 SHS EASTMAN CHEMICAL	11,418	10,455
157 SHS FACEBOOK	21,564	20,581
141 SHS FIRST REPUBLIC BANK	13,356	12,253
452 SHS GENERAL MOTORS	15,968	15,119
158 SHS GILEAD SCIENCES	10,716	9,883
480 SHS GOODYEAR TIRES	10,942	9,797
691 SHS HANESBRANDS	10,787	8,658
386 SHS HARTFORD	16,960	17,158
96 SHS HONEYWELL	13,835	12,684
258 SHS JP MORGAN	27,854	25,186
145 SHS KIMBERLY-CLARK	16,269	16,521
105 SHS LINDE PLC	16,741	16,384
406 SHS LOEWS	19,379	18,481
190 SHS MARSH & MCLENNAN	16,389	15,153
315 SHS MEDTRONIC PUBLIC	29,379	28,652
801 SHS METLIFE	34,341	32,889
252 SHS MICROSOFT	26,449	25,596
451 SHS MORGAN STANLEY	19,529	17,882
230 SHS NOBLE ENERGY	5,642	4,315
8 SHS NVR	19,772	19,496
128 SHS PARKER-HANNIFIN	21,169	19,090
212 SHS PEPSICO	24,592	23,422

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
684 SHS PFIZER	29,767	29,857
361 SHS PHILIP MORRIS	30,689	24,100
119 SHS PNC FINANCIAL	15,789	13,912
264 SHS PROCTER & GAMBLE	24,395	24,267
423 SHS TEGNA	5,313	4,598
169 SHS TEXAS INSTRUMENTS	16,385	15,971
82 SHS THERMO FISHER	19,359	18,351
634 SHS TJX COMPANIES	29,542	28,365
177 SHS UNITED CONTINENTAL	16,349	14,820
253 SHS UNITED TECH	31,758	26,939
70 SHS VERISK ANALYTICS	8,381	7,633
144 SHS VF CORP	12,066	10,273
145 SHS WALT DISNEY	16,439	15,899
238 SHS WORLDPAY	19,065	18,190
419 SHS ABBVIE	36,165	38,628
45 SHS ACUITY BRANDS	5,623	5,173
2540 SHS ARES CAPITAL	42,939	39,573
1819 SHS AT&T	54,767	51,914
300 SHS BERKSHIRE HATHAWAY	63,208	61,254
3483 SHS CAMECO CORP	41,434	39,532
383 SHS CITIGROUP	24,458	19,939
264 SHS ECOLAB	40,969	38,900
391 SHS ELECTRONIC ARTS	32,813	30,854
191 SHS ESTEE LAUDER	26,870	24,849
196 SHS GENERAL DYNAMICS	36,689	30,813
312 SHS HOME DEPOT	53,554	53,608
1072 SHS KNIGHT-SWIFT TRANSPORT	33,791	26,875
7957 SHS KOSMOS ENERGY	42,958	32,385
169 SHS MASTERCARD	32,465	31,882
502 SHS MICROSOFT	52,633	50,988

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
153 SHS MOODY'S	22,818	21,426
1643 SHS NEW RESIDENTIAL INVESTMENTS	27,598	23,347
201 SHS ROPER TECH	57,842	53,571
146 SHS SHERWIN-WILLIAMS	59,765	57,445
322 SHS SNAP-ON	51,055	46,783
315 SHS TEXAS INSTRUMENTS	30,380	29,768
143 SHS THERMO FISHER	33,650	32,002
230 SHS UNITED HEALTH	61,173	57,298
380 SHS WALT DISNEY	43,029	41,667

# TY 2018 Legal Fees Schedule

**Name:** JOHN H HART FOUNDATION

**EIN:** 20-0156873

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SEYFARTH SHAW LLP	200			200

**TY 2018 Other Expenses Schedule****Name:** JOHN H HART FOUNDATION**EIN:** 20-0156873**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
LICENSES & FEES	15	15		
ADR PASS THRU FEES	800	800		
BANK FEE				
ROYALTY EXPENSE - SANDRIDGE	917	917		
INVESTMENT EXPENSE - SANDRIDG	378	378		
DEPLETION - SANDRIDGE	3,596	3,596		



# **TY 2018 Other Income Schedule**

**Name:** JOHN H HART FOUNDATION

**EIN:** 20-0156873

## **Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SANDRIDGE ROYALTY INC	2,908	2,908	

**TY 2018 Taxes Schedule****Name:** JOHN H HART FOUNDATION**EIN:** 20-0156873

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	34	34		

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491317023439	
<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>		<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for the latest information			OMB No 1545-0047  <b>2018</b>
<b>Name of the organization</b> JOHN H HART FOUNDATION				<b>Employer identification number</b> 20-0156873	

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> JOHN H HART FOUNDATION	<b>Employer identification number</b> 20-0156873
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<b>Part I</b> <b>Contributors</b> (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	LOUISE S HART 20 LAKEWOOD DRIVE GLENCOE, IL 60022	\$ 9,045,176	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
2	LOUISE S & HENRY G HART JR CHARITABLE FOUNDATION 20 N WACKER DRIVE SUITE 1520 CHICAGO, IL 60606	\$ 1,679,319	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
.		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> JOHN H HART FOUNDATION	<b>Employer identification number</b>  20-0156873
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**Part II** **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$ _____	_____

<b>Name of organization</b> JOHN H HART FOUNDATION	<b>Employer identification number</b> 20-0156873
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<b>Part III</b>	<b>Exclusively</b> religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <b>exclusively</b> religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	

# Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 20-0156873  
**Name:** JOHN H HART FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	32250 SHS ALTRIA GROUP	<u>\$ 2,266,853</u>	<u>2018-01-10</u>
<u>2</u>	5838 SHS ALTRIA GROUP	<u>\$ 384,549</u>	<u>2018-10-30</u>
<u>2</u>	275 SHS EXXONMOBIL	<u>\$ 21,665</u>	<u>2018-10-30</u>
<u>2</u>	468 SHS MORGAN STANLEY	<u>\$ 20,826</u>	<u>2018-10-30</u>
<u>2</u>	1965 SHS KRAFT HEINZ	<u>\$ 109,745</u>	<u>2018-10-30</u>
<u>1</u>	11546 SHS MCDONALDS	<u>\$ 2,003,346</u>	<u>2018-01-10</u>
<u>2</u>	666 SHS APTARGROUP	<u>\$ 67,512</u>	<u>2018-10-30</u>
<u>2</u>	350 SHS GENERAL ELECTRIC	<u>\$ 3,563</u>	<u>2018-10-30</u>
<u>2</u>	1250 SHS NXP SEMICONDUCTORS	<u>\$ 94,363</u>	<u>2018-10-30</u>
<u>2</u>	915 SHS VERIZON	<u>\$ 53,235</u>	<u>2018-10-30</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	5848 SHS MONDELEZ INTL	<u>\$ 249,300</u>	<u>2018-01-10</u>
<u>2</u>	347 SHS AT&T	<u>\$ 10,573</u>	<u>2018-10-30</u>
<u>2</u>	200 SHS INTEL	<u>\$ 9,552</u>	<u>2018-10-30</u>
<u>2</u>	400 SHS ORACLE CORP	<u>\$ 19,428</u>	<u>2018-10-30</u>
<u>2</u>	75 SHS WALMART	<u>\$ 7,682</u>	<u>2018-10-30</u>
<u>1</u>	38110 SHS PHILIP MORRIS	<u>\$ 4,025,559</u>	<u>2018-01-10</u>
<u>2</u>	200 SHS BANK OF AMERICA	<u>\$ 5,356</u>	<u>2018-10-30</u>
<u>2</u>	75 SHS JOHNSON & JOHNSON	<u>\$ 10,556</u>	<u>2018-10-30</u>
<u>2</u>	125 SHS PFIZER	<u>\$ 5,361</u>	<u>2018-10-30</u>
<u>2</u>	200 SHS WELLS FARGO	<u>\$ 10,538</u>	<u>2018-10-30</u>



## Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	5528 SHS PROCTER & GAMBLE	<u>\$ 500,118</u>	<u>2018-01-10</u>
<u>2</u>	23 SHS CITIGROUP	<u>\$ 1,484</u>	<u>2018-10-30</u>
<u>2</u>	500 SHS MICROSOFT	<u>\$ 51,865</u>	<u>2018-10-30</u>
<u>2</u>	955 SHS PHILIP MORRIS	<u>\$ 86,399</u>	<u>2018-10-30</u>
<u>2</u>	556 SHS ALLSTATE	<u>\$ 53,092</u>	<u>2018-10-30</u>
<u>2</u>	550 SHS DOMINION ENERGY	<u>\$ 40,062</u>	<u>2018-10-30</u>
<u>2</u>	5895 SHS MONDELEZ INTL	<u>\$ 248,297</u>	<u>2018-10-30</u>
<u>2</u>	3118 SHS PROCTER & GAMBLE	<u>\$ 278,094</u>	<u>2018-10-30</u>