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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
DANIEL L ROGERS TRUST 403052012

A Employer identification number
14-6016013

Number and street (or P O box number if mail is not delivered to street address) Room/suite
PO BOX 477

B Telephone number (see instructions)
(877) 773-1229

City or town, state or province, country, and ZIP or foreign postal code
CONCORD, NH 033020477

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☐ Section 501(c)(3) exempt private foundation
☑ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 1,013,223

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	20,640	20,640		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	46,747			
	b	Gross sales price for all assets on line 6a 439,971				
	7	Capital gain net income (from Part IV, line 2)		46,747		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	67,387	67,387		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	1,279	1,279		
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	850	850	0	0
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	2,214	205		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	250	250		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,593	2,584	0	0
	25	Contributions, gifts, grants paid	54,774			54,774
	26	**Total expenses and disbursements.** Add lines 24 and 25	59,367	2,584	0	54,774
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	8,020			
	b	**Net investment income** (if negative, enter -0-)		64,803		
	c	**Adjusted net income** (if negative, enter -0-)			0	

		Beginning of year	End of year	
Part II **Balance Sheets**	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	**(a)** Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	23,140	42,341	42,341
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	227,267	227,671	227,282
b	Investments—corporate stock (attach schedule)	554,542	544,752	637,031
c	Investments—corporate bonds (attach schedule)	109,900	107,923	106,569
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			0
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	914,849	922,687	1,013,223
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	914,849	922,687	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	914,849	922,687	
31	**Total liabilities and net assets/fund balances** (see instructions)	914,849	922,687	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	914,849
2	Enter amount from Part I, line 27a	2	8,020
3	Other increases not included in line 2 (itemize) ▶	3	2
4	Add lines 1, 2, and 3	4	922,871
5	Decreases not included in line 2 (itemize) ▶	5	184
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	922,687

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	46,747
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	52,827	1,072,483	0 049257
2016	50,457	1,007,773	0 050068
2015	13,317	1,020,714	0 013047
2014	61,777	996,484	0 061995
2013	65,886	946,539	0 069607

2 Total of line 1, column (d)	2	0 243974
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 048795
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,094,786
5 Multiply line 4 by line 3	5	53,420
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	648
7 Add lines 5 and 6	7	54,068
8 Enter qualifying distributions from Part XII, line 4 , ...	8	54,774

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	648
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	648
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	648
6	Credits/Payments				
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	1,196		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	1,196
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	548
11	Enter the amount of line 10 to be **Credited to 2019 estimated tax** ▶ 548 **Refunded** ▶			11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶TD BANK NA Telephone no ▶(877) 773-1229

Located at ▶143 NORTH MAIN STREET CONCORD NH ZIP+4 ▶03301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☑
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TD Bank NA 143 NORTH MAIN ST CONCORD, NH 03301	TRUSTEE 1	1,279		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	1,074,929
b	Average of monthly cash balances.		1b	36,529
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	1,111,458
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	1,111,458
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	16,672
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,094,786
6	**Minimum investment return.** Enter 5% of line 5.		6	54,739

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	54,739
2a	Tax on investment income for 2018 from Part VI, line 5.	2a 648		
b	Income tax for 2018 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	648
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	54,091
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	54,091
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	54,091

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	54,774
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	54,774
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	648
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	54,126

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7					54,091
2 Undistributed income, if any, as of the end of 2018					
a Enter amount for 2017 only.				0	
b Total for prior years 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2018					
a From 2013.	0				
b From 2014.	719				
c From 2015.	0				
d From 2016.	446				
e From 2017.	396				
f **Total** of lines 3a through e.		1,561			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ $ 54,774					
a Applied to 2017, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2018 distributable amount.					54,091
e Remaining amount distributed out of corpus		683			
5 Excess distributions carryover applied to 2018 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,244			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)		0			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a		2,244			
10 Analysis of line 9					
a Excess from 2014.	719				
b Excess from 2015.	0				
c Excess from 2016.	446				
d Excess from 2017.	396				
e Excess from 2018.	683				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EMMANUEL UNITED METHODIST CHURCH MARIE DAGLES TREASURER PO BOX 271 BOLTON LANDING, NY 128140271	NONE	CHURCH	OPERATIONAL	18,258
GLENS FALLS HOSPITAL ATTN MARY SICARD GLENS FALLS, NY 12801	NONE	HOSPITAL	OPERATIONAL	18,258
TOWN OF BOLTON LANDING 4949 LAKE SHORE DRIVE BOLTON LANDING, NY 12814	NONE	MUNICIPALITY	OPERATIONAL	18,258
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	20,640	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	46,747	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				67,387	

13 Total. Add line 12, columns (b), (d), and (e). **13** 67,387

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2019-04-29	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 90 EVERSOURCE ENERGY		2013-11-18	2018-01-04
1 190 PPL CORP COM		2015-12-03	2018-01-04
80 EVERSOURCE ENERGY		2013-11-18	2018-01-05
5000 US TREASURY NOTE 1 875% 09/30/2022 DTD 10/02/2017		2017-10-04	2018-01-19
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2010-12-02	2018-01-19
10000 U S TREASURY BOND 2 750% 02/28/2018 DTD 02/28/11		2017-05-05	2018-01-19
5000 US TREASURY NOTES 2 25% 02/15/2027 DTD 02/15/2017		2017-07-20	2018-01-19
50 F5 NETWORKS INC COM		2015-12-02	2018-01-26
20 PVH CORP COM		2015-04-15	2018-01-26
110 PROCTER & GAMBLE CO		2016-08-11	2018-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,554		3,861	1,693
5,838		6,419	-581
4,943		3,432	1,511
4,884		4,989	-105
5,075		5,081	-6
10,014		10,016	-2
4,847		4,996	-149
6,897		5,237	1,660
3,073		2,183	890
9,622		9,553	69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,693
			-581
			1,511
			-105
			-6
			-2
			-149
			1,660
			890
			69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	40 AMERIPRISE FINANCIAL INC		2015-04-24	2018-01-29
1	40 F5 NETWORKS INC COM		2016-01-19	2018-01-29
	50 PVH CORP COM		2015-10-01	2018-01-29
	50 PROCTER & GAMBLE CO		2016-08-11	2018-01-29
	60 APPLE COMPUTER, INC		2016-12-19	2018-02-05
	80 ABBVIE INC		2015-10-02	2018-03-20
	4000 GE CAP INTL FNDG 3 373% 11/15/2025 DTD 05/15/2016		2015-10-30	2018-03-22
	15000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2010-12-02	2018-03-22
	100 ABBVIE INC		2013-11-18	2018-04-04
	30 ABBVIE INC		2013-11-18	2018-04-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,100		4,679	2,421
5,560		3,852	1,708
7,764		5,365	2,399
4,349		4,342	7
9,509		5,715	3,794
9,065		4,058	5,007
3,806		4,000	-194
15,161		14,775	386
9,282		4,935	4,347
2,766		1,481	1,285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,421
			1,708
			2,399
			7
			3,794
			5,007
			-194
			386
			4,347
			1,285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 PTC INC		2018-02-09	2018-04-05
1 20 SIGNATURE BANK		2017-10-23	2018-04-27
50 MOLSON COORS BREWING CO CL B		2017-08-24	2018-05-03
140 NIELSEN HOLDINGS PLC EUR		2015-04-10	2018-05-03
60 MOLSON COORS BREWING CO CL B		2017-08-24	2018-05-04
60 MOLSON COORS BREWING CO CL B		2017-10-09	2018-05-07
360 COMCAST CORP CL A		2016-10-14	2018-05-09
10000 ONTARIO PROVINCE OF 1 65% 09/27/2019 DTD 09/27/2012		2013-04-02	2018-05-15
10000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2017-06-16	2018-05-15
10000 U S TREAS BONDS 1 75% 05/15/2022 DTD 05/15/2012		2013-04-02	2018-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,574		1,349	225
2,609		2,677	-68
2,972		4,489	-1,517
4,215		6,433	-2,218
3,626		5,387	-1,761
3,563		5,235	-1,672
11,062		10,759	303
9,861		9,987	-126
10,076		10,180	-104
9,579		10,041	-462

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			225
			-68
			-1,517
			-2,218
			-1,761
			-1,672
			303
			-126
			-104
			-462

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	20 ULTA SALON COSMETICS & FRAGRANCE I		2018-04-03	2018-05-17
1	120 CITIZENS FINANCIAL GROUP INC		2017-04-05	2018-05-18
	330 FIRST DATA CORP - CLASS A		2016-02-18	2018-05-18
	30 PTC INC		2018-02-09	2018-05-18
	40 CITIZENS FINANCIAL GROUP INC		2015-04-10	2018-05-21
	240 FIRST DATA CORP - CLASS A		2016-02-18	2018-05-21
	5000 U S TREASURY BOND 2 625% 08/15/2020 DTD 08/15/10		2015-08-26	2018-05-24
	5000 CONOCOPHILLIPS CO 3 35% 11/15/2024 DTD 11/12/14		2015-11-16	2018-06-12
	5000 EXXON MOBIL 2 222% 03/01/2021 DTD 03/03/2016		2016-10-24	2018-06-13
	80 TIME WARNER INC		2017-11-16	2018-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,158		3,982	1,176
4,992		3,179	1,813
6,302		5,106	1,196
2,526		2,011	515
1,673		997	676
4,614		2,746	1,868
5,007		5,120	-113
5,011		4,924	87
4,908		5,065	-157
7,910		7,067	843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,176
			1,813
			1,196
			515
			676
			1,868
			-113
			87
			-157
			843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 BLACKROCK INC CL A		2013-11-18	2018-06-15
1 10 BLACKROCK INC CL A		2013-11-18	2018-07-10
80 VISA INC - CL A		2013-11-18	2018-07-25
30 WHIRLPOOL CORP COM		2017-08-09	2018-07-25
30 VISA INC - CL A		2013-11-18	2018-07-26
20 WHIRLPOOL CORP COM		2018-05-11	2018-07-26
30 MOHAWK INDS INC		2018-04-30	2018-07-27
5000 TOYOTA MR CR CORP 1 55% 10/18/2019 DTD 10/18/2016		2016-10-24	2018-08-28
10000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2017-07-20	2018-08-28
5000 US TREASURY N/B 1 625 5/15/2026 DTD 05/15/2016		2018-01-19	2018-08-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,240		3,059	2,181
5,038		3,059	1,979
11,334		4,001	7,333
3,709		5,320	-1,611
4,271		1,501	2,770
2,534		3,311	-777
5,487		6,615	-1,128
4,937		5,002	-65
10,054		10,126	-72
4,576		4,629	-53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,181
			1,979
			7,333
			-1,611
			2,770
			-777
			-1,128
			-65
			-72
			-53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
70 MICROSOFT CORPORATION		2017-06-23	2018-09-19
1 20 UNITEDHEALTH GROUP INC COM		2013-11-18	2018-09-19
20 UNIVERSAL HLTH SVCS INC CL B		2016-06-24	2018-09-20
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2017-07-20	2018-10-11
30 HOME DEPOT INC		2017-07-19	2018-10-19
20 HOME DEPOT INC		2014-06-18	2018-10-22
170 JOHNSON CONTROLS INTERNATIONAL		2017-07-19	2018-10-31
230 JOHNSON CONTROLS INTERNATIONAL		2017-08-24	2018-11-02
5000 GENERAL ELECTRIC CO 2 7% 10/09/2022 DTD 10/09/2012		2018-03-22	2018-11-07
420 HANESBRANDS INC COM		2018-05-21	2018-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,817		3,291	4,526
5,301		1,435	3,866
2,535		2,724	-189
5,018		5,053	-35
5,390		3,132	2,258
3,581		1,599	1,982
5,455		7,452	-1,997
7,506		9,754	-2,248
4,679		4,822	-143
7,074		7,649	-575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,526
			3,866
			-189
			-35
			2,258
			1,982
			-1,997
			-2,248
			-143
			-575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2017-07-20	2018-11-07
1 80 STARBUCKS CORP		2017-11-10	2018-11-13
30 ECOLAB INC COM		2017-02-14	2018-11-27
20 ECOLAB INC COM		2010-05-14	2018-11-28
5000 EXXON MOBIL 3 043% 03/01/2026 DTD 03/03/2016		2018-06-13	2018-11-30
5000 JPMORGAN CHASE CO 3 9% 07/15/2025 DTD 07/21/2015		2015-08-26	2018-11-30
5000 MICROSOFT CORP 2 0% 08/08/2023 DTD 08/08/2016		2016-08-31	2018-11-30
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2017-07-20	2018-11-30
10000 US TREASURY NOTE 1% 11/15/2019 DTD 11/15/2016		2017-08-31	2018-11-30
5000 WELLS FARGO & CO 3 300% 09/09/2024 DTD 09/09/14		2015-08-26	2018-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,015		5,046	-31
5,382		4,564	818
4,612		3,682	930
3,107		955	2,152
4,795		4,838	-43
4,909		5,056	-147
4,703		4,999	-296
5,013		5,040	-27
9,839		9,926	-87
4,784		4,950	-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-31
			818
			930
			2,152
			-43
			-147
			-296
			-27
			-87
			-166

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 BANK OF MONTREAL 1 9% 08/27/2021 DTD 08/29/2016		2016-09-15	2018-12-11
1 5000 PEPSICO INC 3 1% 07/17/2022 DTD 07/17/2015		2016-06-13	2018-12-11
5000 U S TREASURY NOTES 1 125% 08/31/2021 DTD 08/31/2016		2018-06-13	2018-12-11
5000 U S TREASURY NOTES 1 125% 08/31/2021 DTD 08/31/2016		2016-09-15	2018-12-11
5000 US TREASURY NOTE 1% 11/15/2019 DTD 11/15/2016		2017-08-31	2018-12-11
5000 US TREASURY NOTES 2 25% 02/15/2027 DTD 02/15/2017		2017-04-05	2018-12-11
3000 ANHEUSER BUSCH 2 65% 02/01/2021 DTD 01/25/2016		2016-08-31	2018-12-14
2000 ANHEUSER BUSCH 2 65% 02/01/2021 DTD 01/25/2016		2016-08-31	2018-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,798		4,983	-185
4,962		5,194	-232
4,771		4,757	14
4,771		4,990	-219
4,922		4,963	-41
4,780		4,964	-184
2,962		3,048	-86
1,963		2,032	-69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-185
			-232
			14
			-219
			-41
			-184
			-86
			-69

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TY 2018 Accounting Fees Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	850	850		

TY 2018 Investments Corporate Bonds Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
5000 APPLE INC 3.45%	5,197	5,024
5000 BANK OF AMERICA 3.248%	4,874	4,628
5000 BANK OF AMERICA 3.004%	4,971	4,860
5000 BANK OF MONTREAL 2.55%	4,804	4,849
5000 BANK OF NAVA SCOTIA 2.8%	5,042	4,957
5000 BERKSHIRE HATHAWAY 2.75%	5,030	4,908
5000 CANADIAN IMP BK 2.55%	5,001	4,869
5000 CHEVRON CORP 2.954%	5,057	4,813
5000 COMCAST CORP 2.85%	4,977	4,903
5000 EXXON MOBIL 2.222%	4,898	4,928
5000 GENERAL DYNAMICS 3%	4,966	5,004
5000 JPMORGAN CHASE & CO 3.2%	5,103	4,709
5000 JOHNSON & JOHNSON 2.9%	4,724	4,792
5000 MICROSOFT CORP 2.4%	4,593	4,662
10000 ONTARIO PROVINCE 2.2%	9,563	9,763
5000 PROVINCE OF QUEBEC 2.750%	4,978	5,002
5000 SHELL INTL FIN 2.5%	4,603	4,641
5000 TOYOTA MOTOR CR 2.6%	4,914	4,920
5000 US BANCORP 3.15%	5,089	4,790
5000 WELLS FARGO & CO. 2.5%	4,968	4,918

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
5000 WELLS FARGO 3%	4,571	4,629

TY 2018 Investments Corporate Stock Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100 CARMAX INC	6,677	6,273
150 DOLLAR GENERAL CORP	10,865	16,212
40 HOME DEPOT INC	3,198	6,873
240 MGM RESORTS INTERNATIONAL	7,719	5,822
110 STARBUCKS CORP	6,275	7,084
280 TJX COMPANIES INC.	6,879	12,527
CVS HEALTH CORPORATION USD 0.0	8,863	7,862
240 COCA COLA CO	10,291	11,364
70 COSTCO CHSL CORP NEW	13,927	14,260
230 ANADARKO PETROLEUM	17,621	10,083
240 OCCIDENTAL PETROLEUM CO	20,379	14,731
20 EVEREST REINSURANCE GROUP L	4,516	4,355
50 WILLIS TOWERS WATSON	6,635	7,593
50 CHUBB LTD	5,835	6,459
240 AMERICAN INTL GROUP INC CO	11,561	9,458
60 AMERIPRISE FINANCIAL INC	6,359	6,262
460 AXA EQUITABLE HOLDINGS INC	9,883	7,650
780 BANK AMER CORP	18,001	19,219
220 BANK OZK	10,489	5,023
120 CAPITAL ONE FINANCIAL CORP	11,329	9,071
290 CITIZENS FINANCIAL GROUP I	8,724	8,622
200 DISCOVER FINANCIAL SERVICE	13,670	11,796
260 METLIFE INC	12,225	10,676
360 MORGAN STANLEY	15,403	14,274
110 VISA INC. - CL A	5,502	14,513
80 ALLERGAN PLC	20,597	10,693
360 BOSTON SCIENTIFIC	11,934	12,722
130 CENTENE CORP	12,814	14,989
380 PFIZER	13,946	16,587
90 UNITEDHEALTH GROUP INC	6,456	22,421

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100 UNIVERSAL HLTH SVCS INC CL	12,512	11,656
190 INGERSOL-RAND PLC SHS	10,588	17,334
100 AMETEK AEROSPACE PRODUCTS	7,571	6,770
60 BOEING CO	5,941	19,350
160 DANAHER CORP SHS BEN INT	6,433	16,499
140 FORTUNE BRANDS HOME & SEC	8,745	5,319
120 JACOBS ENGR GROUP INC DEL	7,535	7,015
50 MARTIN MARIETTA MATLS INC C	9,063	8,594
60 NORFOLK SOUTHERN CORP	5,669	8,972
80 UNITED TECHNOLOGIES	10,292	8,518
100 UNIVERSAL DISPLAY CORP COM	16,947	9,357
80 XPO LOGISTICS INC	7,800	4,563
810 MARVELL TECHNOLOGY GROUP L	13,989	13,114
220 APPLE COMPUTER INC.	13,311	34,703
440 APPLIED MATERIALS	7,577	14,406
50 BROADCOM INC	11,894	12,714
370 MICROSOFT CORPORATION	9,598	37,581
160 PTC INC.	10,582	13,264
40 LINDE PLC	6,555	6,242
242 DOWDUPONT INC	12,265	12,942
120 EASTMAN CHEMICAL CO.	11,180	8,773
20 ALPHABET INC CL-C	12,074	20,712
190 WEC ENERGY GROUP INC	8,058	13,159

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TY 2018 Investments Government Obligations Schedule

Name:	DANIEL L ROGERS TRUST 403052012
EIN:	14-6016013
US Government Securities - End of Year Book Value:	227,671
US Government Securities - End of Year Fair Market Value:	227,282
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2018 Other Decreases Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Description	Amount
ACCRUAL ADJUSTING ENTRY	170
BOND EXCHANGE EXPENSE	14

TY 2018 Other Expenses Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER NON-ALLOCABLE EXPENSE -	250	250		0

TY 2018 Other Increases Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Description	Amount
ROUNDING	2

TY 2018 Taxes Schedule

Name: DANIEL L ROGERS TRUST 403052012

EIN: 14-6016013

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	205	205		0
FEDERAL TAX PAYMENT - PRIOR YE	813	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,196	0		0