

Extended to November 15, 2019  
Return of Private Foundation

Form 990-PF

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.  
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation: **The John R. & Kiendl Dauphinot Gordon Fd**

Number and street (or P O box number if mail is not delivered to street address): **Sullivan & Cromwell, 125 Broad St.**

Room/suite: \_\_\_\_\_

City or town, state or province, country, and ZIP or foreign postal code: **New York, NY 10004-2498**

A Employer identification number: **13-6920431**

B Telephone number: **(212)558-4000**

C If exemption application is pending, check here  **6**

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

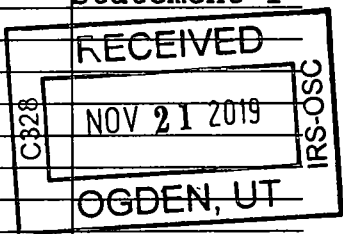
G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation **00**  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16): **\$ 366,718.** (Part I, column (d) must be on cash basis)

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,534,504.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	3,231.	3,231.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	253,368.			Statement 1
	b Gross sales price for all assets on line 6a	13,560,932.			
	7 Capital gain net income (from Part IV, line 2)		252,766.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	1,791,103.	255,997.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees Stmt 3	10,000.	6,000.	0.	4,000.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,418.	0.	0.	0.
22 Printing and publications					
23 Other expenses Stmt 4	945.	0.	0.	260.	
24 Total operating and administrative expenses Add lines 13 through 23	14,363.	6,000.	0.	4,260.	
25 Contributions, gifts, grants paid	1,879,793.			856,793.	
26 Total expenses and disbursements. Add lines 24 and 25	1,894,156.	6,000.	0.	861,053.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-103,053.				
b Net investment income (if negative, enter -0-)		249,997.			
c Adjusted net income (if negative, enter -0-)			0.		



Part II Balance Sheets		Attached Schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing					
	2	Savings and temporary cash investments	405,584.	268,468.	268,468.		
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock Stmt 6	140,179.	173,391.	98,250.		
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶						
12	Investments - mortgage loans						
13	Investments - other						
14	Land, buildings, and equipment: basis ▶						
	Less: accumulated depreciation ▶						
15	Other assets (describe ▶)						
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	545,763.	441,859.	366,718.			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶)					
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds	545,763.	441,859.			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
	29	Retained earnings, accumulated income, endowment, or other funds	-415,772.	-103,053.			
	30	<b>Total net assets or fund balances</b>	545,763.	441,859.			
31	<b>Total liabilities and net assets/fund balances</b>	545,763.	441,859.				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	545,763.
2	Enter amount from Part I, line 27a	2	-103,053.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	442,710.
5	Decreases not included in line 2 (itemize) ▶ See Statement 5	5	851.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	<b>441,859.</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b <b>See Attached Statements</b>			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e <b>13,560,932.</b>		<b>13,308,166.</b>	<b>252,766.</b>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<b>252,766.</b>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<b>252,766.</b>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	<b>252,766.</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	<b>1,020,579.</b>	<b>731,823.</b>	<b>1.394571</b>
2016	<b>1,034,538.</b>	<b>469,840.</b>	<b>2.201894</b>
2015	<b>1,189,674.</b>	<b>355,750.</b>	<b>3.344129</b>
2014	<b>1,196,065.</b>	<b>386,124.</b>	<b>3.097619</b>
2013	<b>1,391,344.</b>	<b>* 147,594.</b>	<b>9.426833</b>

2 Total of line 1, column (d)	2	<b>19.465046</b>
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	<b>3.893009</b>
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	<b>466,845.</b>
5 Multiply line 4 by line 3	5	<b>1,817,432.</b>
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	<b>2,500.</b>
7 Add lines 5 and 6	7	<b>1,819,932.</b>
8 Enter qualifying distributions from Part XII, line 4	8	<b>861,053.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	5,000.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	5,000.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,000.
6 Credits/Payments:			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	6,679.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	6,679.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,679.	
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax <input type="checkbox"/> 1,679. Refunded <input checked="" type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	X	
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, website address, books in care, and nonexempt charitable trusts.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business holdings.

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	5b	X
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
0 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John R. Gordon 635 Park Avenue New York, NY 10065	Trustee	0.00	0.	0.
Kiendl D. Gordon 635 Park Avenue New York, NY 10065	Trustee	0.00	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	302,628.
b	Average of monthly cash balances	1b	171,326.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	473,954.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	473,954.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,109.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	466,845.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	23,342.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	23,342.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	5,000.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,000.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	18,342.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	18,342.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	18,342.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	861,053.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	861,053.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	861,053.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				18,342.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	1,395,506.			
b From 2014	1,189,474.			
c From 2015	1,187,861.			
d From 2016	1,030,069.			
e From 2017	986,824.			
f Total of lines 3a through e	5,789,734.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 861,053.				
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				18,342.
e Remaining amount distributed out of corpus	842,711.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	6,632,445.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	1,395,506.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	5,236,939.			
10 Analysis of line 9:				
a Excess from 2014	1,189,474.			
b Excess from 2015	1,187,861.			
c Excess from 2016	1,030,069.			
d Excess from 2017	986,824.			
e Excess from 2018	842,711.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶  
 b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**  
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**See Statement 7**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**None**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

---

- b The form in which applications should be submitted and information and materials they should include:

---

- c Any submission deadlines:

---

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount	
Name and address (home or business)					
<b>a Paid during the year</b>					
See Rider Attached			Charitable Purposes	856,793.	
<b>Total</b>				▶ 3a	856,793.
<b>b Approved for future payment</b>					
None					
<b>Total</b>				▶ 3b	0.





THE JOHN R. AND KIENDL  
DAUPHINOT GORDON FUND  
c/o Sullivan & Cromwell LLP  
125 Broad Street  
New York, New York 10004-2498

Employer Identification No. 13-6920431

RETURN OF PRIVATE FOUNDATION EXEMPT FROM INCOME TAX  
Section 501(c)(3) of Internal Revenue Code  
Form 990-PF

Calendar Year 2018

Part XV, Questions 3 (a)

Grants and Contributions Paid During the Year

<u>Name</u>	<u>Amount</u>
myFace New York, NY	\$40,153.00
Los Angeles Fire Department Scholarship Fund Los Angeles, CA	1,000.00
The American Friends of Winchester College New York, NY	34,853.50
Heritage Public Library Charles City, VA	15,000.00
Manhattan Institute for Policy Research, Inc. New York, NY	2,000.00
The Roundabout Theatre Company New York, NY	69,720.00
Church of Heavenly Rest New York, NY	5,000.00
The Fund For Park Avenue New York, NY	1,000.00
Vincera Foundation Philadelphia, PA	2,500.00

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Calendar Year 2018

Part XV, Questions 3 (a) (Cont'd)

Grants and Contributions Paid During the Year (Cont'd)

<u>Name</u>	<u>Amount</u>
Alliance of Resident's Theatres New York New York, NY	\$1,000.00
The Catholic Big Sisters & Big Brothers. New York, NY	1,000.00
564 Park Avenue Preservation Foundation New York, NY	564.00
Abilis Greenwich, CT	19,780.00
Saint David's School New York, NY	2,000.00
SEO Scholars San Francisco, CA	50.00
Harvard Business School Cambridge, MA	150,000.00
Park Avenue Armory New York, NY	14,000.00
Harvard College Cambridge, MA	300,000.00

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Calendar Year 2018

Part XV, Questions 3 (a) (Cont'd)

Grants and Contributions Paid During the Year (Cont'd)

<u>Name</u>	<u>Amount</u>
NYC Outward Bound Schools Long Island City, NY	\$4,750.00
Prostate Cancer Foundation Santa Monica, CA	59,062.00
UrbanStages New York, NY	2,500.00
Jennifer Muller/The Works New York, NY	1,000.00
Carl Schurz Park Conservancy New York, NY	2,000.00
The New York Landmarks Conservancy New York, NY	5,560.00
Lincoln Center Theatre New York, NY	10,000.00
Young Women's Leadership Network New York, NY	10,000.00
Lenox Hill Neighborhood New York, NY	1,000.00

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Calendar Year 2018

Part XV, Questions 3 (a) (Cont'd)

Grants and Contributions Paid During the Year (Cont'd)

<u>Name</u>	<u>Amount</u>
School of American Ballet New York, NY	\$5,000.00
Chances for Children New York, NY	2,000.00
FJK Dance New York, NY	17,000.00
St. Paul's School Concord, NH	2,000.00
Epiphany School Dorchester, MA	2,000.00
Historic Saranac Lake Saranac Lake, NY	1,000.00
Trudeau Institute Saranac Lake, NY	1,000.00
Fountain House New York, NY	1,000.00
Alvin Ailey Dance Foundation New York, NY	5,000.00

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Calendar Year 2018

Part XV, Questions 3 (a) (Cont'd)

Grants and Contributions Paid During the Year (Cont'd)

Hebron Academy Hebron, ME	\$50,000.00
Footprints in the Sand Foundation New York, NY	5,000.00
The International Friends of the London Library New York, NY	9,300.00
St. John's Episcopal Church New York, NY	<u>1,000.00</u>
Total Qualifying Distributions	\$856,792.50

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RETURN OF PRIVATE FOUNDATION EXEMPT FROM INCOME TAX  
Section 501(c)(3) of Internal Revenue Code  
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Calendar Year 2018

The Drumcliff Foundation Philadelphia, PA	\$511,500.00
The Kathy and Al Gordon Fund New York, NY	<u>511,500.00</u>
Total Non-Qualifying Distributions for which the Foundation is maintaining expenditure responsibility	<u>1,023,000.00</u>
Total Grants Paid	<u>\$1,879,792.50*</u>

\*All of the above grant recipients are either public charities under Internal Revenue Code Section 501(c)(3) or private foundations under Internal Revenue Code Section 509(a).

THE JOHN AND KIENDL DAUPHINOT GORDON FUND

EIN: 13-6920431

2018 Form 990-PF

Statement to Part VII-B, Line 5c

(i) Name and address of the grantee

The Drumcliff Foundation  
Mr. Daniel F. Gordon, Trustee  
P.O. Box 26087  
Philadelphia, PA 19128

(iii) Date and amount of grant

March 16, 2018	\$127,875.00
June 13, 2018	127,875.00
September 12, 2018	127,875.00
December 11, 2018	<u>127,875.00</u>
	<u>\$511,500.00</u>

(iii) Purpose of grant

General Support

(iv) Amount Expended

\$507,499.00. in 2018

(v) Diversion

To our knowledge, the grantee has not diverted  
any portion of the funds

(vi) Reports

The grantee has submitted a financial and  
narrative report for 2018 dated November 2019.

(vii) Verification

The file was in order.

THE JOHN AND KIENDL DAUPHINOT GORDON FUND

EIN: 13-6920431

2018 Form 990-PF

Statement to Part VII-B, Line 5c

(i) Name and address of the grantee

The Kathy and Al Gordon Fund  
Ms. Kathleen Gordon, Trustee  
Ms. Nancy M. Mulligan, Trustee  
570 Park Avenue  
New York, NY 10065

(iii) Date and amount of grant

March 21, 2017	\$120,258.50
July 5, 2017	120,258.50
September 22, 2017	120,258.50
December 18, 2017	<u>120,258.50</u>
	<u>\$481,034.00</u>

(iii) Purpose of grant

General Support

(iv) Amount Expended

\$392,916.00 in 2018

(v) Diversion

To our knowledge, the grantee has not diverted  
any portion of the funds

(vi) Reports

The grantee has submitted a financial and  
narrative report for 2017 dated November, 2019,  
and agrees to submit annual financial and  
narrative reports until the grant is expended.

(vii) Verification

The file was in order.

THE JOHN AND KIENDL DAUPHINOT GORDON FUND

EIN: 13-6920431

2018 Form 990-PF

Statement to Part VII-B, Line 5c

(i) Name and address of the grantee

The Kathy and Al Gordon Fund  
Ms. Kathleen Gordon, Trustee  
Ms. Nancy M. Mulligan, Trustee  
570 Park Avenue  
New York, NY 10065

(iii) Date and amount of grant

March 19, 2018	\$127,875.00
June 19, 2018	127,875.00
September 17, 2018	127,875.00
December 26, 2018	<u>127,875.00</u>
	<u>\$511,500.00</u>

(iii) Purpose of grant

General Support

(iv) Amount Expended

\$321,334. in 2018

(v) Diversion

To our knowledge, the grantee has not diverted  
any portion of the funds

(vi) Reports

The grantee has submitted a financial and  
narrative report for 2018 dated November, 2019.

(vii) Verification

The file was in order.

THE JOHN R. AND KIENDL  
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c/o Sullivan & Cromwell LLP  
125 Broad Street  
New York, New York 10004-2498

Employer Identification No. 13-6920431

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Form 990-PF

Calendar Year 2018

Part VII-A, Line 5

Statement Regarding Substantial Contraction

During the calendar year 2018 there was a substantial contraction, as defined in Regulations Sec. 16043-3(d), of The John R. Kiendl Dauphinot Gordon Fund. The substantial contraction occurred as a result of the distribution during the tax year of more than 25% of the fair market value of the net assets of The John R. Kiendl Dauphinot Gordon Fund at the beginning of the tax year.

The distributions were not due to any plan of liquidation, dissolution or termination, and are not part of a series of related dispositions made during prior tax years.

A schedule of listing the names of all recipients of assets is attached as a rider to Part XV, Line 3a.

The John R. & Kiendl Dauphinot Gordon Fd

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 4,124 Shs Adamas Pharmaceuticals Inc., Common		04/19/18	04/19/18
b 1,000 Shs ADT Inc., Common		04/05/18	04/06/18
c 6,200 Shs ADT Inc., Common		04/05/18	04/24/18
d 10,000 Shs ADT Inc., Common		04/25/18	04/25/18
e 10,000 Shs ADT Inc., Common		04/26/18	04/27/18
f 22,456 Shs ADT Inc., Common		05/08/18	06/21/18
g 3,460 Shs ADT Inc., Common		06/20/18	06/21/18
h 1,100 Shs Aeglea Biotherapeutics Inc., Common		04/30/18	05/03/18
i 1,690 Shs Aeglea Biotherapeutics Inc., Common		04/30/18	05/04/18
j 310 Shs Aeglea Biotherapeutics Inc., Common		05/01/18	05/04/18
k 4,000 Shs Aeglea Biotherapeutics Inc., Common		05/01/18	05/08/18
l 5,690 Shs Aeglea Biotherapeutics Inc., Common		05/01/18	05/11/18
m 1,000 Shs Albemarle Corp., Common		01/18/18	01/18/18
n 300 Shs Alphabet Inc., Class A, Common		04/26/18	04/26/18
o 184 Shs Alphabet Inc., Class A, Common		11/28/18	11/28/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 114,071.		109,491.	4,580.
b 8,572.		8,112.	460.
c 56,844.		50,292.	6,552.
d 90,845.		88,826.	2,019.
e 91,871.		90,233.	1,638.
f 198,712.		189,027.	9,685.
g 30,617.		28,622.	1,995.
h 10,882.		9,836.	1,046.
i 16,741.		15,111.	1,630.
j 3,071.		2,797.	274.
k 40,297.		36,086.	4,211.
l 57,363.		51,332.	6,031.
m 118,339.		116,698.	1,641.
n 313,785.		309,758.	4,027.
o 200,181.		195,784.	4,397.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 4,580.
b			** 460.
c			** 6,552.
d			** 2,019.
e			** 1,638.
f			** 9,685.
g			** 1,995.
h			** 1,046.
i			** 1,630.
j			** 274.
k			** 4,211.
l			** 6,031.
m			** 1,641.
n			** 4,027.
o			** 4,397.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

2

3

The John R. & Kiendl Dauphinot Gordon Fd

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 100 Shs Amazon Inc., Common		12/17/18	12/17/18
b 30 Shs Amazon Inc., Common		12/28/18	12/28/18
c 6,000 Shs Commscope Holdings Co., Inc., Common		05/01/18	05/01/18
d 5,000 Shs Cvs Health Corp., Common		06/01/18	06/06/18
e 4,000 Shs Delta Airlines Inc., Common		04/10/18	04/12/18
f 1,000 Shs Facebook Inc., Class A, Common		03/21/18	03/21/18
g 2,000 Shs Facebook Inc., Class A, Common		04/04/18	04/04/18
h 2,000 Shs Facebook Inc., Class A, Common		04/09/18	04/09/18
i 2,785 Shs Facebook Inc., Class A, Common		04/17/18	04/17/18
j 1,300 Shs Fedex Corp., Common		04/05/18	04/05/18
k 100 Shs Fedex Corp., Common		05/02/18	05/03/18
l 151 Shs Fedex Corp., Common		06/20/18	08/24/18
m 849 Shs Fedex Corp., Common		06/20/18	09/10/18
n 5,500 Shs Foot Locker Inc., Common		01/08/18	01/12/18
o 10,000 Shs Foot Locker Inc., Common		03/26/18	03/26/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 156,090.		155,999.	91.
b 45,115.		44,194.	921.
c 166,567.		161,220.	5,347.
d 321,384.		319,012.	2,372.
e 210,583.		208,612.	1,971.
f 171,334.		164,061.	7,273.
g 309,385.		307,067.	2,318.
h 319,391.		313,543.	5,848.
i 469,494.		466,637.	2,857.
j 313,530.		310,591.	2,939.
k 24,161.		23,941.	220.
l 37,145.		38,150.	-1,005.
m 212,451.		214,499.	-2,048.
n 261,021.		254,627.	6,394.
o 444,458.		440,033.	4,425.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 91.
b			** 921.
c			** 5,347.
d			** 2,372.
e			** 1,971.
f			** 7,273.
g			** 2,318.
h			** 5,848.
i			** 2,857.
j			** 2,939.
k			** 220.
l			** -1,005.
m			** -2,048.
n			** 6,394.
o			** 4,425.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

The John R. & Kiendl Dauphinot Gordon Fd

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 6,000 Shs Foot Locker Inc., Common		04/02/18	04/02/18
b 600 Shs Gulfport Energy Corp., Common		01/24/18	06/27/18
c 5,400 Shs Gulfport Energy Corp., Common		01/24/18	06/28/18
d 6,000 Shs Gulfport Energy Corp., Common		05/17/18	06/28/18
e 600 Shs Gulfport Energy Corp., Common		06/13/18	06/28/18
f 900 Shs Gulfport Energy Corp., Common		06/13/18	06/28/18
g 1,500 Shs Home Depot Inc., Common		02/28/18	02/28/18
h 500 Shs Home Depot Inc., Common		10/16/18	11/07/18
i 500 Shs Home Depot Inc., Common		10/16/18	11/08/18
j 600 Shs Lam Research Corp., Common		01/09/18	01/09/18
k 1,000 Shs Logmein Inc., Common		12/19/17	01/05/18
l 2,000 Shs Logmein Inc., Common		02/20/18	02/20/18
m 3,000 Shs Microsoft Corp., Common		03/29/18	03/29/18
n 3,000 Shs Microsoft Corp., Common		04/25/18	04/25/18
o 1,700 Shs Microsoft Corp., Common		10/31/18	10/31/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 262,634.		261,002.	1,632.
b 7,582.		7,582.	0.
c 67,377.		67,377.	0.
d 74,864.		66,109.	8,755.
e 7,486.		6,854.	632.
f 11,230.		10,425.	805.
g 279,023.		276,027.	2,996.
h 92,698.		96,281.	-3,583.
i 93,836.		96,281.	-2,445.
j 118,387.		117,500.	887.
k 119,285.		116,212.	3,073.
l 236,428.		234,164.	2,264.
m 274,612.		268,782.	5,830.
n 275,532.		272,562.	2,970.
o 183,522.		179,083.	4,439.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 1,632.
b			** 0.
c			** 0.
d			** 8,755.
e			** 632.
f			** 805.
g			** 2,996.
h			** -3,583.
i			** -2,445.
j			** 887.
k			** 3,073.
l			** 2,264.
m			** 5,830.
n			** 2,970.
o			** 4,439.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

The John R. & Kiendl Dauphinot Gordon Fd 13-6920431

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 4,000 Shs Micron Technology Inc., Common		01/08/18	02/21/18
b 9,000 Shs Micron Technology Inc., Common		04/18/18	04/18/18
c 6,000 Shs Micron Technology Inc., Common		05/10/18	05/14/18
d 5,000 Shs Momo Inc., Adr		11/01/18	11/01/18
e 500 Shs Nvidia Corp., Common		11/26/18	11/28/18
f 500 Shs Nvidia Corp., Common		11/26/18	11/30/18
g 650 Shs Ocular Therapeutix Inc., Common		06/20/17	03/02/18
h 2,450 Shs Ocular Therapeutix Inc., Common		01/16/18	03/02/18
i 1,900 Shs Ocular Therapeutix Inc., Common		03/21/17	03/02/18
j 2,550 Shs Ocular Therapeutix Inc., Common		01/16/18	04/03/18
k 550 Shs Ocular Therapeutix Inc., Common		02/22/18	04/03/18
l 1,900 Shs Ocular Therapeutix Inc., Common		02/22/18	04/03/18
m 100 Shs Ocular Therapeutix Inc., Common		02/22/18	04/19/18
n 1,900 Shs Ocular Therapeutix Inc., Common		02/22/18	04/19/18
o 5,000 Shs Ocular Therapeutix Inc., Common		02/22/18	05/11/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 181,788.		183,212.	-1,424.
b 483,676.		465,292.	18,384.
c 320,699.		312,012.	8,687.
d 180,924.		165,237.	15,687.
e 78,891.		75,701.	3,190.
f 81,001.		75,701.	5,300.
g 3,931.		3,931.	0.
h 14,818.		13,589.	1,229.
i 11,492.		11,492.	0.
j 16,670.		14,144.	2,526.
k 3,596.		3,596.	0.
l 12,421.		12,421.	0.
m 645.		1,282.	-637.
n 12,247.		10,105.	2,142.
o 35,841.		26,593.	9,248.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** -1,424.
b			** 18,384.
c			** 8,687.
d			** 15,687.
e			** 3,190.
f			** 5,300.
g			** 0.
h			** 1,229.
i			** 0.
j			** 2,526.
k			** 0.
l			** 0.
m			** -637.
n			** 2,142.
o			** 9,248.

2 Capital gain net income or (net capital loss) ( If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 )	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

The John R. & Kiendl Dauphinot Gordon Fd 13-6920431

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 550 Shs Ocular Therapeutix Inc., Common		02/22/18	09/10/18
b 760 Shs Ocular Therapeutix Inc., Common		03/01/18	09/10/18
c 1,240 Shs Ocular Therapeutix Inc., Common		03/01/18	10/01/18
d 1,900 Shs Ocular Therapeutix Inc., Common		03/05/18	10/01/18
e 550 Shs Ocular Therapeutix Inc., Common		03/05/18	10/01/18
f 2,550 Shs Ocular Therapeutix Inc., Common		03/05/18	10/01/18
g 1,000 Shs Ocular Therapeutix Inc., Common		06/20/18	10/01/18
h 1,900 Shs Ocular Therapeutix Inc., Common		10/01/18	10/01/18
i 550 Shs Ocular Therapeutix Inc., Common		10/01/18	10/01/18
j 1,000 Shs Ocular Therapeutix Inc., Common		10/01/18	10/01/18
k 6,550 Shs Ocular Therapeutix Inc., Common		10/01/18	10/01/18
l 4,000 Shs Oracle Corp., Common		03/26/18	04/12/18
m 5,000 Shs Parsley Energy Inc., Class A, Common		06/11/18	06/12/18
n 3,000 Shs Philip Morris International Inc., Comm		06/14/18	06/19/18
o 400 Shs Proshares Tr Ultrapro QQ		04/04/18	04/04/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,792.		2,925.	867.
b 5,240.		4,031.	1,209.
c 8,969.		6,577.	2,392.
d 13,743.		13,743.	0.
e 3,978.		3,978.	0.
f 18,444.		14,743.	3,701.
g 7,233.		7,233.	0.
h 13,482.		15,609.	-2,127.
i 3,903.		6,510.	-2,607.
j 7,096.		7,698.	-602.
k 46,478.		45,872.	606.
l 183,630.		183,590.	40.
m 142,241.		137,642.	4,599.
n 243,435.		241,406.	2,029.
o 54,371.		52,368.	2,003.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 867.
b			** 1,209.
c			** 2,392.
d			** 0.
e			** 0.
f			** 3,701.
g			** 0.
h			** -2,127.
i			** -2,607.
j			** -602.
k			** 606.
l			** 40.
m			** 4,599.
n			** 2,029.
o			** 2,003.

2 Capital gain net income or (net capital loss) ( If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 )	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

The John R. & Kiendl Dauphinot Gordon Fd 13-6920431

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1,000 Shs Proshares Tr Ultrapro QQ		11/29/18	11/29/18
1,000 Shs Proshares Tr Ultrapro QQ		11/30/18	11/30/18
6,200 Shs Range Res Corp., Common		06/13/18	06/18/18
10 Shs Restorbo Inc., Common		05/11/18	09/12/18
3,000 Shs Salesforce.com Inc., Common		02/23/18	02/23/18
3,000 Shs Schlumberger Ltd., Common		04/23/18	04/23/18
500 Shs Sketchers USA Inc., Class A, Common		07/03/18	07/11/18
1,500 Shs Take Two Interactive Software Inc., Com		03/14/18	03/14/18
4,000 Shs Take Two Interactive Software Inc., Com		03/15/18	03/15/18
4,000 Shs Tal Ed Group Ads Repstg, Common		12/03/18	12/17/18
5,000 Shs Textron Inc., Common		12/12/18	12/12/18
1,000 Shs Ultra Beauty Inc., Common		02/27/18	02/27/18
2,900 Shs United Rentals Inc., Common		05/07/18	05/07/18
2,011 Shs United Rentals Inc., Common		07/09/18	07/09/18
6,000 Shs Wells Fargo & Co., New, Common		02/06/18	02/06/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,511.		49,498.	1,013.
50,511.		49,608.	903.
103,401.		101,444.	1,957.
117.		120.	-3.
341,987.		339,822.	2,165.
205,157.		202,747.	2,410.
15,572.		15,347.	225.
163,832.		160,827.	3,005.
436,578.		432,728.	3,850.
109,671.		117,694.	-8,023.
259,089.		256,088.	3,001.
203,607.		201,308.	2,299.
463,981.		459,502.	4,479.
311,943.		306,154.	5,789.
342,251.		337,208.	5,043.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			** 1,013.
			** 903.
			** 1,957.
			** -3.
			** 2,165.
			** 2,410.
			** 225.
			** 3,005.
			** 3,850.
			** -8,023.
			** 3,001.
			** 2,299.
			** 4,479.
			** 5,789.
			** 5,043.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

The John R. & Kiendl Dauphinot Gordon Fd 13-6920431

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2,000 Shs Wells Fargo & Co., New, Common		02/14/18	02/20/18
b 6,000 Shs Xpo Logistics Inc., Common		12/14/18	12/14/18
c 2,000 Shs Copa Holdings S.A., Class A, Common		09/20/18	10/08/18
d 16,000 Shs Endo International Plc Shs		07/19/18	07/19/18
e 1,400 Shs Endo International Plc Shs		08/29/18	09/24/18
f 1,400 Shs Endo International Plc Shs		09/17/18	09/24/18
g 8,600 Shs Endo International Plc Shs		09/17/18	09/24/18
h 10,000 Shs Endo International Plc Shs		11/07/18	11/07/18
i 10,000 Shs Endo International Plc Shs		11/13/18	11/13/18
j 8,100 Shs Endo International Plc Shs		11/29/18	12/03/18
k Sale of 27,500 Units of British Pounds		08/27/18	08/28/18
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 121,294.		116,609.	4,685.
b 304,962.		299,413.	5,549.
c 168,267.		160,698.	7,569.
d 179,475.		175,901.	3,574.
e 22,675.		22,675.	0.
f 22,675.		22,576.	99.
g 139,288.		137,164.	2,124.
h 162,138.		158,443.	3,695.
i 135,165.		134,118.	1,047.
j 97,825.		96,320.	1,505.
k 35,489.		35,489.	0.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			** 4,685.
b			** 5,549.
c			** 7,569.
d			** 3,574.
e			** 0.
f			** 99.
g			** 2,124.
h			** 3,695.
i			** 1,047.
j			** 1,505.
k			** 0.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	252,766.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	252,766.

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2018**

Name of the organization

The John R. & Kiendl Dauphinot Gordon Fd

Employer identification number

13-6920431

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)( ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization <b>The John R. &amp; Kiendl Dauphinot Gordon Fd</b>	Employer identification number <b>13-6920431</b>
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**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Albert H. Gordon Testamentary Trust Under SIXTH John R. Gordon, Trustee, 635 Park Avenue  New York, NY 10065	\$ <u>1,534,504.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Name of organization <b>The John R. &amp; Kiendl Dauphinot Gordon Fd</b>	Employer identification number <b>13-6920431</b>
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**Part II : Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions )	(d) Date received
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____

Name of organization <b>The John R. &amp; Kiendl Dauphinot Gordon Fd</b>	Employer identification number <b>13-6920431</b>
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	

Form 990-PF                      Gain or (Loss) from Sale of Assets                      Statement    1

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
4,124 Shs Adamas Pharmaceuticals Inc., Common		04/19/18	04/19/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
114,071.	109,491.	0.	0.
			(f) Gain or Loss
			4,580.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
1,000 Shs ADT Inc., Common		04/05/18	04/06/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
8,572.	8,112.	0.	0.
			(f) Gain or Loss
			460.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
6,200 Shs ADT Inc., Common		04/05/18	04/24/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
56,844.	50,292.	0.	0.
			(f) Gain or Loss
			6,552.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
10,000 Shs ADT Inc., Common	90,845.	88,826.	0.		04/25/18	04/25/18
						2,019.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
10,000 Shs ADT Inc., Common	91,871.	90,233.	0.		04/26/18	04/27/18
						1,638.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
22,456 Shs ADT Inc., Common	198,712.	189,027.	0.		05/08/18	06/21/18
						9,685.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
3,460 Shs ADT Inc., Common	30,617.	28,622.	0.		06/20/18	06/21/18
						1,995.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Manner Acquired Deprec.	(f) Date Acquired Gain or Loss	Date Sold
1,100 Shs Aeglea Biotherapeutics Inc., Common	10,882.	9,904.	0.	0.	04/30/18	05/03/18
						978.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Manner Acquired Deprec.	(f) Date Acquired Gain or Loss	Date Sold
1,690 Shs Aeglea Biotherapeutics Inc., Common	16,741.	15,217.	0.	0.	04/30/18	05/04/18
						1,524.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Manner Acquired Deprec.	(f) Date Acquired Gain or Loss	Date Sold
310 Shs Aeglea Biotherapeutics Inc., Common	3,071.	2,791.	0.	0.	05/01/18	05/04/18
						280.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Manner Acquired Deprec.	(f) Date Acquired Gain or Loss	Date Sold
4,000 Shs Aeglea Biotherapeutics Inc., Common	40,297.	36,016.	0.	0.	05/01/18	05/08/18
						4,281.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
5,690 Shs Aeglea Biotherapeutics Inc., Common		05/01/18	05/11/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
57,363.	51,233.	0.	0.
			6,130.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
1,000 Shs Albemarle Corp., Common		01/18/18	01/18/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
118,339.	116,698.	0.	0.
			1,641.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
300 Shs Alphabet Inc., Class A, Common		04/26/18	04/26/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
313,785.	309,758.	0.	0.
			4,027.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
184 Shs Alphabet Inc., Class A, Common		11/28/18	11/28/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
200,181.	195,784.	0.	0.
			4,397.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
100 Shs Amazon Inc., Common	156,090.	155,999.	0.		12/17/18	12/17/18
				(e) Deprec.	(f) Gain or Loss	
				0.	0.	91.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
30 Shs Amazon Inc., Common	45,115.	44,194.	0.		12/28/18	12/28/18
				(e) Deprec.	(f) Gain or Loss	
				0.	0.	921.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
6,000 Shs Commscope Holdings Co., Inc., Common	166,567.	161,220.	0.		05/01/18	05/01/18
				(e) Deprec.	(f) Gain or Loss	
				0.	0.	5,347.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
5,000 Shs Cvs Health Corp., Common	321,384.	319,012.	0.		06/01/18	06/06/18
				(e) Deprec.	(f) Gain or Loss	
				0.	0.	2,372.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
4,000 Shs Delta Airlines Inc., Common		04/10/18	04/12/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
210,583.	208,612.	0.	0.
			1,971.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
1,000 Shs Facebook Inc., Class A, Common		03/21/18	03/21/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
171,334.	164,061.	0.	0.
			7,273.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
2,000 Shs Facebook Inc., Class A, Common		04/04/18	04/04/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
309,385.	307,067.	0.	0.
			2,318.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
2,000 Shs Facebook Inc., Class A, Common		04/09/18	04/09/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
319,391.	313,543.	0.	0.
			5,848.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
2,785 Shs Facebook Inc., Class A, Common	469,494.	466,637.	0.	0.	2,857.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,300 Shs Fedex Corp., Common	313,530.	310,591.	0.	0.	2,939.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
100 Shs Fedex Corp., Common	24,161.	23,941.	0.	0.	220.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
151 Shs Fedex Corp., Common	37,145.	38,150.	0.	0.	-1,005.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
849 Shs Fedex Corp., Common	212,451.	214,499.	0.		06/20/18	09/10/18
				(e) Deprec.		(f) Gain or Loss
					0.	-2,048.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
5,500 Shs Foot Locker Inc., Common	261,021.	254,627.	0.		01/08/18	01/12/18
				(e) Deprec.		(f) Gain or Loss
					0.	6,394.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
10,000 Shs Foot Locker Inc., Common	444,458.	440,033.	0.		03/26/18	03/26/18
				(e) Deprec.		(f) Gain or Loss
					0.	4,425.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
6,000 Shs Foot Locker Inc., Common	262,634.	261,002.	0.		04/02/18	04/02/18
				(e) Deprec.		(f) Gain or Loss
					0.	1,632.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
600 Shs Gulfport Energy Corp., Common	7,582.	7,173.	0.	0.	409.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
5,400 Shs Gulfport Energy Corp., Common	67,377.	64,554.	0.	0.	2,823.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
6,000 Shs Gulfport Energy Corp., Common	74,864.	71,726.	0.	0.	3,138.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
600 Shs Gulfport Energy Corp., Common	7,486.	7,173.	0.	0.	313.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
900 Shs Gulfport Energy Corp., Common	11,230.	10,758.	0.		06/13/18	06/28/18
				(e) Deprec.		Gain or Loss
					0.	472.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
1,500 Shs Home Depot Inc., Common	279,023.	276,027.	0.		02/28/18	02/28/18
				(e) Deprec.		Gain or Loss
					0.	2,996.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
500 Shs Home Depot Inc., Common	92,698.	96,281.	0.		10/16/18	11/07/18
				(e) Deprec.		Gain or Loss
					0.	-3,583.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
500 Shs Home Depot Inc., Common	93,836.	96,281.	0.		10/16/18	11/08/18
				(e) Deprec.		Gain or Loss
					0.	-2,445.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
600 Shs Lam Research Corp., Common	118,387.	117,500.	0.		01/09/18	01/09/18
				(e) Deprec.		887.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
1,000 Shs Logmein Inc., Common	119,285.	116,212.	0.		12/19/17	01/05/18
				(e) Deprec.		3,073.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
2,000 Shs Logmein Inc., Common	236,428.	234,164.	0.		02/20/18	02/20/18
				(e) Deprec.		2,264.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Gain or Loss
3,000 Shs Microsoft Corp., Common	274,612.	268,782.	0.		03/29/18	03/29/18
				(e) Deprec.		5,830.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
3,000 Shs Microsoft Corp., Common		04/25/18	04/25/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
275,532.	272,562.	0.	0.
			2,970.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
1,700 Shs Microsoft Corp., Common		10/31/18	10/31/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
183,522.	179,083.	0.	0.
			4,439.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
4,000 Shs Micron Technology Inc., Common		01/08/18	02/21/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
181,788.	183,212.	0.	0.
			-1,424.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
9,000 Shs Micron Technology Inc., Common		04/18/18	04/18/18
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.
(f) Gain or Loss			
483,676.	465,292.	0.	0.
			18,384.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
6,000 Shs Micron Technology Inc., Common	320,699.	312,012.	0.	0.	8,687.		05/10/18	05/14/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
5,000 Shs Momo Inc., ADR	180,924.	165,237.	0.	0.	15,687.		11/01/18	11/01/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
500 Shs Nvidia Corp., Common	78,891.	75,701.	0.	0.	3,190.		11/26/18	11/28/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
500 Shs Nvidia Corp., Common	81,001.	75,701.	0.	0.	5,300.		11/26/18	11/30/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
650 Shs Ocular Therapeutix Inc., Common	3,931.	3,840.	0.	0.	91.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
2,450 Shs Ocular Therapeutix Inc., Common	14,818.	14,474.	0.	0.	344.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,900 Shs Ocular Therapeutix Inc., Common	11,492.	11,224.	0.	0.	268.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
2,550 Shs Ocular Therapeutix Inc., Common	16,670.	14,982.	0.	0.	1,688.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
550 Shs Ocular Therapeutix Inc., Common	3,596.	3,231.	0.		02/22/18	04/03/18
				(e) Deprec.		(f) Gain or Loss
					0.	365.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
1,900 Shs Ocular Therapeutix Inc., Common	12,421.	11,163.	0.		02/22/18	04/03/18
				(e) Deprec.		(f) Gain or Loss
					0.	1,258.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
100 Shs Ocular Therapeutix Inc., Common	645.	588.	0.		02/22/18	04/19/18
				(e) Deprec.		(f) Gain or Loss
					0.	57.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
1,900 Shs Ocular Therapeutix Inc., Common	12,247.	11,163.	0.		02/22/18	04/19/18
				(e) Deprec.		(f) Gain or Loss
					0.	1,084.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
5,000 Shs Ocular Therapeutix Inc., Common	35,841.	29,377.	0.		02/22/18	05/11/18
				(e) Deprec.		(f) Gain or Loss
					0.	6,464.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
550 Shs Ocular Therapeutix Inc., Common	3,792.	3,363.	0.		02/22/18	09/10/18
				(e) Deprec.		(f) Gain or Loss
					0.	429.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
760 Shs Ocular Therapeutix Inc., Common	5,240.	4,648.	0.		03/01/18	09/10/18
				(e) Deprec.		(f) Gain or Loss
					0.	592.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
1,240 Shs Ocular Therapeutix Inc., Common	8,969.	8,222.	0.		03/01/18	10/01/18
				(e) Deprec.		(f) Gain or Loss
					0.	747.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,900 Shs Ocular Therapeutix Inc., Common	13,743.	12,598.	0.	0.	1,145.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
550 Shs Ocular Therapeutix Inc., Common	3,978.	3,647.	0.	-0.	331.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
2,550 Shs Ocular Therapeutix Inc., Common	18,444.	16,908.	0.	0.	1,536.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,000 Shs Ocular Therapeutix Inc., Common	7,233.	6,630.	0.	0.	603.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,900 Shs Ocular Therapeutix Inc., Common	13,482.	12,598.	0.	0.	884.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
550 Shs Ocular Therapeutix Inc., Common	3,903.	3,647.	0.	0.	256.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,000 Shs Ocular Therapeutix Inc., Common	7,096.	6,630.	0.	0.	466.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
6,550 Shs Ocular Therapeutix Inc., Common	46,478.	43,429.	0.	0.	3,049.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
4,000 Shs Oracle Corp., Common	183,630.	183,590.	0.	0.	40.		03/26/18	04/12/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
5,000 Shs Parsley Energy Inc., Class A, Common	142,241.	137,642.	0.	0.	4,599.		06/11/18	06/12/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
3,000 Shs Philip Morris International Inc., Common	243,435.	241,406.	0.	0.	2,029.		06/14/18	06/19/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss	Manner Acquired	Date Acquired	Date Sold
400 Shs Proshares Tr Ultrapro QQ	54,371.	52,368.	0.	0.	2,003.		04/04/18	04/04/18

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
1,000 Shs Proshares Tr Ultrapro QQ	50,511.	49,498.	0.		11/29/18	11/29/18
				(e) Deprec.		Gain or Loss
					0.	1,013.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
1,000 Shs Proshares Tr Ultrapro QQ	50,511.	49,608.	0.		11/30/18	11/30/18
				(e) Deprec.		Gain or Loss
					0.	903.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
6,200 Shs Range Res Corp., Common	103,401.	101,444.	0.		06/13/18	06/18/18
				(e) Deprec.		Gain or Loss
					0.	1,957.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	(f) Date Sold
10 Shs Restorbo Inc., Common	117.	120.	0.		05/11/18	09/12/18
				(e) Deprec.		Gain or Loss
					0.	-3.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
3,000 Shs Salesforce.com Inc., Common					
	341,987.	339,822.	0.	0.	2,165.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
3,000 Shs Schlumberger Ltd., Common					
	205,157.	202,747.	0.	0.	2,410.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
500 Shs Sketchers USA Inc., Class A, Common					
	15,572.	15,347.	0.	0.	225.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,500 Shs Take Two Interactive Software Inc., Common					
	163,832.	160,827.	0.	0.	3,005.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
Manner Acquired					
Date Acquired					
Date Sold					
4,000 Shs Take Two Interactive Software Inc., Common	436,578.	432,728.	0.	0.	3,850.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
Manner Acquired					
Date Acquired					
Date Sold					
4,000 Shs Tal Ed Group Ads Repstg, Common	109,671.	117,694.	0.	0.	-8,023.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
Manner Acquired					
Date Acquired					
Date Sold					
5,000 Shs Textron Inc., Common	259,089.	256,088.	0.	0.	3,001.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
Manner Acquired					
Date Acquired					
Date Sold					
1,000 Shs Ulta Beauty Inc., Common	203,607.	201,380.	0.	0.	2,227.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
2,900 Shs United Rentals Inc., Common	463,981.	459,502.	0.		05/07/18	05/07/18
				(e) Deprec.		(f) Gain or Loss
					0.	4,479.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
2,011 Shs United Rentals Inc., Common	311,943.	306,154.	0.		07/09/18	07/09/18
				(e) Deprec.		(f) Gain or Loss
					0.	5,789.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
6,000 Shs Wells Fargo & Co., New, Common	342,251.	337,208.	0.		02/06/18	02/06/18
				(e) Deprec.		(f) Gain or Loss
					0.	5,043.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	Manner Acquired	Date Acquired	Date Sold
2,000 Shs Wells Fargo & Co., New, Common	121,294.	116,609.	0.		02/14/18	02/20/18
				(e) Deprec.		(f) Gain or Loss
					0.	4,685.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
6,000 Shs Xpo Logistics Inc., Common					
	304,962.	299,413.	0.	0.	5,549.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
2,000 Shs Copa Holdings S.A., Class A, Common					
	168,267.	160,698.	0.	0.	7,569.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
16,000 Shs Endo International Plc Shs					
	179,475.	175,901.	0.	0.	3,574.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,400 Shs Endo International Plc Shs					
	22,675.	22,402.	0.	0.	273.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
1,400 Shs Endo International Plc Shs	22,675.	22,402.	0.	0.	273.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
8,600 Shs Endo International Plc Shs	139,288.	137,611.	0.	0.	1,677.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
10,000 Shs Endo International Plc Shs	162,138.	158,443.	0.	0.	3,695.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
10,000 Shs Endo International Plc Shs	135,165.	134,118.	0.	0.	1,047.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
8,100 Shs Endo International Plc Shs	97,825.	96,320.	0.	0.	1,505.

(a) Description of Property	(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
Sale of 27,500 Units of British Pounds	35,489.	35,489.	0.	0.	0.

Capital Gains Dividends from Part IV	0.
Total to Form 990-PF, Part I, line 6a	<u>253,368.</u>

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Deltec Asset Management	3,231.	0.	3,231.	3,231.	0.
To Part I, line 4	3,231.	0.	3,231.	3,231.	0.

Form 990-PF

Legal Fees

Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Sullivan & Cromwell LLP	10,000.	6,000.	0.	4,000.
To Fm 990-PF, Pg 1, ln 16a	10,000.	6,000.	0.	4,000.

Form 990-PF	Other Expenses			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
New York State Department of Law - Filing Fee, Annual Report	100.	0.	0.	100.	
American Lawyer Media - Publication of annual notice	160.	0.	0.	160.	
Miscellaneous administrative expenses	50.	0.	0.	0.	
Currency Fluctuations - On distributions of funds to charities	635.	0.	0.	0.	
To Form 990-PF, Pg 1, ln 23	945.	0.	0.	260.	

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement	5
Description			Amount
Diff of sale vs purch price on 30 shs Amazon pur and sold t/d 2018, set 2019			851.
Total to Form 990-PF, Part III, line 5			851.

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Form 990-PF Corporate Stock Statement 6

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<u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Gulfport Energy Corp., Common	173,391.	98,250.
Total to Form 990-PF, Part II, line 10b	173,391.	98,250.

Form 990-PF

Part XV - Line 1a  
List of Foundation Managers

Statement 7

Name of Manager

John R. Gordon  
Kiendl D. Gordon