

EXTENDED TO NOVEMBER 15, 2019

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
► Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning , and ending

Name of foundation RITA ALLEN FOUNDATION, INC.		A Employer identification number 13-6116429						
Number and street (or P.O. box number if mail is not delivered to street address) 92 NASSAU STREET, 3RD FLOOR		B Telephone number (609) 683-8010						
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 08542		C If exemption application is pending, check here ☐ 6						
G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 159,666,441.	J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis.)							
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		132,980.	132,980.		STATEMENT 1
4 Dividends and interest from securities		1,907,882.	2,442,711.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		10,443,716.			
b Gross sales price for all assets on line 6a 87,277,545.					
7 Capital gain net income (from Part IV, line 2)			10,387,503.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		211,263.	339,320.		STATEMENT 3
12 Total. Add lines 1 through 11		12,695,841.	13,302,514.		
13 Compensation of officers, directors, trustees, etc		679,479.	0.		679,479.
14 Other employee salaries and wages		455,773.	0.		455,773.
15 Pension plans, employee benefits		333,405.	0.		333,405.
16a Legal fees STMT 4		5,021.	0.		5,021.
b Accounting fees STMT 5		59,700.	0.		59,700.
c Other professional fees STMT 6		1,145,901.	1,207,179.		2,302.
17 Interest			30,837.		
18 Taxes STMT 7		287,083.	49,866.		0.
19 Depreciation and depletion					
20 Occupancy		101,878.	0.		101,878.
21 Travel, conferences, and meetings		70,718.	0.		70,718.
22 Printing and publications					
23 Other expenses STMT 8		324,797.	131,424.		324,797.
24 Total operating and administrative expenses. Add lines 13 through 23		3,463,755.	1,419,306.		2,033,073.
25 Contributions, gifts, grants paid		5,886,292.			5,886,292.
26 Total expenses and disbursements. Add lines 24 and 25		9,350,047.	1,419,306.		7,919,365.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		3,345,794.			
b Net investment income (if negative, enter -0-)			11,883,208.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	9,463,568.	4,294,740.	4,294,740.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 9	0.	16,472,825.	16,472,825.
	b Investments - corporate stock STMT 10	87,330,758.	75,574,508.	75,574,508.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	76,788,553.	63,318,315.	63,318,315.	
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ <u>SECURITY DEPOSIT</u>)	6,053.	6,053.	6,053.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	173,588,932.	159,666,441.	159,666,441.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	169,905,356.	155,962,133.	
	25 Temporarily restricted	103,954.	124,686.	
	26 Permanently restricted	3,579,622.	3,579,622.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	173,588,932.	159,666,441.	
	31 Total liabilities and net assets/fund balances	173,588,932.	159,666,441.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	173,588,932.
2 Enter amount from Part I, line 27a	2	3,345,794.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	176,934,726.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON INVESTMENTS	5	17,268,285.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	159,666,441.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT B	P		
b SALE OF PUBLICLY TRADED SECURITIES	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			2,853,724.
b 56,277,533.		48,743,754.	7,533,779.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,853,724.
b			7,533,779.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,387,503.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	7,539,563.	161,379,250.	.046720
2016	8,052,857.	148,013,959.	.054406
2015	7,870,335.	161,887,375.	.048616
2014	7,737,150.	167,005,174.	.046329
2013	7,177,028.	155,553,514.	.046139

2 Total of line 1, column (d)	2	.242210
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.048442
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	170,714,423.
5 Multiply line 4 by line 3	5	8,269,748.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	118,832.
7 Add lines 5 and 6	7	8,388,580.
8 Enter qualifying distributions from Part XII, line 4	8	7,919,365.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	237,664.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	237,664.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	237,664.
6 Credits/Payments.			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	261,695.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	50,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	311,695.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	74,031.	
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ☐ 74,031. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☐ NY, NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.RITAALLEN.ORG	X	
14 The books are in care of ► THE FOUNDATION Telephone no. ► (609) 683-8010 Located at ► 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ ZIP+4 ► 08542		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► UNITED KINGDOM	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		679,479.	116,787.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN KARTT - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	PROGRAM & EVALUATION	185,285.	37,964.	0.
RUTH STEVENS - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	PROGRAM & ADMINISTRATION	74,177.	41,526.	0.
STEPHANIE ZIMMERMAN - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ	EXECUTIVE AND ADMIN SUPPORT	69,514.	44,069.	0.
NANCY KOVACEVICH - 92 NASSAU STREET, 3RD FLOOR, PRINCETON, NJ 08542	GRANTS ADMINISTRATION	52,089.	13,885.	0.

Total number of other employees paid over \$50,000

0

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(continued)

(c) Compensation

Total number of others receiving over \$50,000 for professional services

Expenses

Amount**Total.** Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	165,618,770.
b	Average of monthly cash balances	1b	7,689,312.
c	Fair market value of all other assets	1c	6,053.
d	Total (add lines 1a, b, and c)	1d	173,314,135.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	173,314,135.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,599,712.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	170,714,423.
6	Minimum investment return. Enter 5% of line 5	6	8,535,721.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	8,535,721.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	237,664.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	237,664.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	8,298,057.
4	Recoveries of amounts treated as qualifying distributions	4	7,090.
5	Add lines 3 and 4	5	8,305,147.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,305,147.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,919,365.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	7,919,365.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,919,365.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				8,305,147.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			7,421,779.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 7,919,365.				
a Applied to 2017, but not more than line 2a			7,421,779.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				497,586.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				7,807,561.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

N/A

- ▶

☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

2018.04030 RITA ALLEN FOUNDATION, IN 16228351

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SOLUTIONS JOURNALISM NETWORK, INC. 79 MADISON AVENUE, SUITE #224 NEW YORK, NY 10016	N/A	PC	INCREASING THE DIVERSITY OF SOLUTIONS JOURNALISM NETWORK'S NEWSROOM BASE	125,000.
INTERNET ARCHIVE 300 FUNSTON AVENUE SAN FRANCISCO, CA 94118	N/A	PC	TALKING POINTS AND SOUNDBITE TRACKER PROGRAM	50,000.
PARTICIPATORY BUDGETING PROJECT 540 PRESIDENT STREET, 3RD FLOOR BROOKLYN, NY 11215	N/A	PC	PARTICIPATION LAB PROGRAM GRANT PAYMENT	100,000.
CALIFORNIA POLYTECHNIC STATE UNIVERSITY 1 GRAND AVE. BUILDING 38 SAN LUIS OBISPO, CA 93407	N/A	PC	DIGITAL DEMOCRACY PROGRAM	100,000.
MOZILLA FOUNDATION 331 EAST EVELYN AVENUE MOUNTAIN VIEW, CA 94041	N/A	PC	CORAL PROJECT GRANT	40,000.
Total			SEE CONTINUATION SHEET(S)	5,886,292.
b Approved for future payment				
AMERICAN ASSOCIATION ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20011	N/A	PC	CENTER FOR SCIENTIFIC EVIDENCE IN PUBLIC ISSUES	100,000.
ANNENBERG PUBLIC POLICY CENTER 202 S 36TH STREET PHILADELPHIA, PA 19082-3806	N/A	PC	SCIENCE MEDIA MONITOR PORGRAM	125,000.
IBIOLOGY 600 16TH STREET SANFRANCISCO, CA 94143	N/A	PC	GENE EDITING DOCUMENTARY OUTREACH & ENGAGEMENT	70,000.
Total			SEE CONTINUATION SHEET(S)	1,400,000.

Form 990-PF (2018)

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date: 10/31/2019

which preparer has any knowledge

PRESIDENT AND

CEO

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
**CHRISTOPHER
PETERMANN**

Preparer's signature _____

Date _____

Check ☐ if
self-employed

PTIN

P00097440

Firm's name ▶ PKF O'CONNOR DAVIES, LLP

Firm's EIN ► 27-1728945

Firm's address ► 665 FIFTH AVENUE
NEW YORK, NY 10022

Phone no. 212-286-2600

Form **990-PF** (2018)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ANNENBERG PUBLIC POLICY CENTER 202 S 36TH STREET PHILADELPHIA, PA 19082-3806	N/A	PC	SCIENCE MEDIA MONITOR PROGRAM	75,000.
MEDIA IMPACT FUNDERS 200 W. WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106	N/A	PC	BUILDING KNOWLEDGE AND CAPACITY OF FUNDERS	75,000.
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE ACROSS THE WORLD	25,000.
NEW YORK UNIVERSITY 25 W. 4TH STREET, 4TH FLOOR NEW YORK, NY 10012-1119	N/A	PC	SOCIAL MEDIA AND POLITICAL PARTICIPATION(SMAPP) LAB	75,000.
PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT 1717 RHODE ISLAND AVE NW, #700 WASHINGTON, DC 20036	N/A	PC	CATALYZING PACE FELLOWS' WORK	50,000.
IBIOLOGY, INC. 600 16TH STREET SAN FRANCISCO, CA 94143	N/A	PC	DOCUMENTARY OUTREACH AND ENGAGEMENT AND GREAT EXPERIMENTS IN BIOLOGY	70,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 600 MEMORIAL DRIVE W98-308 CAMBRIDGE, MA 02139-4822	N/A	PC	KNIGHT SCIENCE JOURNALISM PROGRAM	50,000.
MONTCLAIR STATE UNIVERSITY FOUNDATION 1 NORMAL AVENUE MONTCLAIR, NJ 07043	N/A	PC	2018 COLLABORATIVE JOURNALISM SUMMIT	10,000.
THE ASPEN INSTITUTE 2300 N STREET NW, SUITE 700 WASHINGTON, DC 20037	N/A	PC	SOLUTIONS FORUM TO ADDRESS MISINFORMATION SHARING	30,000.
CENTER FOR EFFECTIVE PHILANTHROPY 675 MASSACHUSETTS AVENUE, STE 7 CAMBRIDGE, MA 02139	N/A	PC	RESEARCH ON FOUNDATIONS, NONPROFITS AND DIVERSITY PROGRAM	40,000.
Total from continuation sheets				5,471,292.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PC	DOCUMENTING HATE PROJECT	75,000.
EXPONENT PHILANTHROPY 1720 N STREET, NW WASHINGTON, DC 20036	N/A	PC	GRANT 2018 NATIONAL CONFERENCE-EFFECTIVE PHILANTHROPY	25,000.
MEDIA IMPACT FUNDERS 200 W. WASHINGTON SQUARE, SUITE 220 PHILADELPHIA, PA 19106	N/A	PC	SUPPORT TO DEVELOP A NETWORK OF FUNDERS	5,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	N/A	PC	SMASH18 PROGRAM	75,000.
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	PUBLIC FACE OF SCIENCE PROGRAM	75,000.
SOCIAL GOOD FUND 1400 65TH ST., SUITE 200 RICHMOND, CA 94805	N/A	PC	SPACESHIP MEDIA'S THE MANY: A CONVERSATION ACROSS DIVIDES	25,000.
DATAKIND 195 MONTAGUE STREET BROOKLYN, NY 11201	N/A	PC	CODIFYING & DISSEMINATING THE DATAKIND PRACTICE PROGRAM	20,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	N/A	PC	LAUNCHPAD PROGRAM	25,000.
RTI INTERNATIONAL PO BOX 12194 RESEARCH TRIANGLE PARK, NC 27709	N/A	PC	A SOLUTIONS FORUM TO ADDRESS MISINFORMATION SHARING	100,000.
RESEARCH'AMERICA PO BOX 222451 CHANTILLY, VA 20153-2451	N/A	PC	BIPARTISAN CIVIC ENGAGEMENT INITIATIVE	25,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PUBLIC AGENDA, INC. 195 MONTAGUE STREET, SUITE 1143 BROOKLYN, NY 11201	N/A	PC	ACCELERATING AND STRENGTHENING PUBLIC JUDGEMENT IN A	25,000.
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	N/A	PC	ANNETTE STRAUSS INSTITUTE FOR CIVIC LIFE, ENGAGING NEWS PROJECT	75,000.
SOCIAL SCIENCE RESEARCH COUNCIL 300 CADMAN PLAZA WEST, 15TH FLOOR BROOKLYN, NY 11201	N/A	PC	DIGITAL MISINFORMATION RESEARCH MAPPING & TRANSLATION PROGRAM	30,000.
THE ASPEN INSTITUTE 2300 N STREET NW, SUITE 700 WASHINGTON, DC 20037	N/A	PC	SOLUTIONS FORUM TO ADDRESS MISINFORMATION SHARING	14,100.
ASU FOUNDATION PO BOX 2260 TEMPE, AZ 85280-2260	N/A	PC	INNOVATIONS IN SCIENCE NEW LITERACY PROGRAM	75,000.
NEWS REVENUE HUB 6322 LAKE SHORE DRIVE SAN DIEGO, CA 92119	N/A	PC	NEWS REVENUE HUB	75,000.
RTI INTERNATIONAL PO BOX 900002 RALEIGH, NC 27675-9000	N/A	PC	A SOLUTIONS FORUM TO ADDRESS MISINFORMATION SHARING	45,000.
MARIA MITCHELL ASSOCIATION 4 VESTAL STREET NANTUCKET, MA 02554	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
THE NEW JERSEY TECHNOLOGY COUNCIL 96 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
ROBERT C MAYNARD INSTITUTE FOR JOURNALISM 2323 BROADWAY OAKLAND, CA 94612	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW CANAAN COUNTRY SCHOOL 635 FROGTOWN ROAD NEW CANAAN, CT 06840	N/A	PC	GENERAL OPERATING SUPPORT	20,000.
KENT PLACE SCHOOL 42 NORWOOD AVENUE SUMMIT, NJ 07901	N/A	PC	PROJECT SUPPORT-THE ANNUAL FUND	2,500.
THE NATURE CONSERVANCY IN PENNSYLVANIA 15 E. RIDGE PIKE CONSHOHOCKEN, PA 19428	N/A	PC	GENERAL OPERATING SUPPORT FOR THE NORTHEASTERN PENNSYLVANIA BRANCH	5,000.
UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET PHILADELPHIA, PA 19104-5099	N/A	PC	ANNUAL FUND PROJECT SUPPORT	5,000.
WESTPORT PUBLIC LIBRARY 20 JESUP ROAD WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MCCARTER THEATER 91 UNIVERSITY PLACE PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
HORIZONS NATIONAL 120 POST ROAD WEST, SUITE 202 WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING SUPPORT	20,000.
GALLATIN VALLEY LAND TRUST PO BOX 7021 BOZEMAN, MT 59771	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
MPN RESEARCH FOUNDATION 180 NORTH MICHIGAN AVE., SUITE 1870 CHICAGO, IL 60601	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
COMMITTEE TO PROTECT JOURNALISTS 330 7TH AVENUE, 11TH FLOOR NEW YORK, NY 10001-5010	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOBE SOUND COMMUNITY CHEST PO BOX 511 HOBE SOUND, FL 33475-0511	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
PINGRY SCHOOL 131 MARTINSVILLE ROAD BASKING RIDGE, NJ 07920	N/A	PC	PROJECT SUPPORT	2,500.
CUNY GRADUATE SCHOOL OF JOURNALISM FNDN 219 W. 40TH ST. NEW YORK, NY 10018	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	FRIENDS OF THE IAS FUND	5,000.
HOMEFRONT 1880 PRINCETON AVENUE LAWRENCEVILLE, NJ 08648	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
BUCKNELL UNIVERSITY 301 MARKET STREET SUITE #2 LEWISBURG, PA 17837	N/A	PC	SUPPORT OF ANNUAL FUND	5,000.
COMMUNITY PARTNERS INTERNATIONAL 2560 NINTH STREET, SUITE 315B BERKELEY, CA 94710	N/A	PC	GENERAL OPERATING SUPPORT MAE TAO CLINIC	10,000.
HEALTHRIGHT INTERNATIONAL 244 FIFTH AVENUE, SUITE C150 NEW YORK, NY 10001	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
STUDENT PRESS LAW CENTER 1608 RHODE ISLAND AVE., NW SUITE 211 WASHINGTON, DC 20036	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
CES PTA 65 EASTON ROAD WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW JERSEY TECHNOLOGY COUNCIL 96 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
PRINCETON PUBLIC LIBRARY FOUNDATION 65 WITHERSPOON STREET PRINCETON, NJ 08542	N/A	PC	GENERAL OPERATING SUPPORT	3,000.
UNION OF CONCERNED SCIENTISTS 2 BRATTLE SQUARE, 6TH FLOOR CAMBRIDGE, MA 02138	N/A	PC	GENERAL OPERATING SUPPORT	5,000.
RUTGERS, STATE UNIVERSITY OF NEW JERSEY 33 KNIGHTSBRIDGE ROAD PISCATAWAY, NJ 08854-3925	N/A	PC	WOMEN'S HEALTH INSTITUTE AT RUTGERS RWJ MEDICAL SCHOOL	5,000.
PAPER MILL PLAYHOUSE 22 BROOKSIDE DRIVE MILLBURN, NJ 07041	N/A	PC	GENERAL OPERATING SUPPORT	30,000.
COUNCIL ON FOUNDATIONS PO BOX 75661 BALTIMORE, MD 21275-5661	N/A	PC	GENERAL OPERATING SUPPORT	19,600.
LEWIS CENTER FOR THE ARTS N301 ARTS TOWER PRINCETON, NJ 08544	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
CENTRAL MICHIGAN UNIVERSITY 524 E. BELLOWS STREET MOUNT PLEASANT, MI 48859	N/A	PC	GENERAL OPERATING SUPPORT	1,000.
BRANDEIS UNIVERSITY 415 SOUTH ST WALTHAM, MA 02454	N/A	PC	GENERAL OPERATING SUPPORT	160,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UJA FEDERATION 130 EAST 59TH ST NEW YORK, NY 10022	N/A	PC	HOMES FOR AGED	80,000.
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS 1725 DESALES STREET, NW, SUITE 404 WASHINGTON, DC 20036	N/A	PC	GENERAL OPERATING SUPPORT	2,550.
LIBERTY SCIENCE CENTER 222 JERSEY CITY BLVD. JERSEY CITY, NJ 07305	N/A	PC	GENERAL OPERATING SUPPORT	10,000.
COUNCIL OF NEW JERSEY GRANTMAKERS 111 WEST STATE STREET TRENTON, NJ 08608	N/A	PC	GENERAL OPERATING SUPPORT	8,790.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 2 MORRISSEY BOULEVARD DORCHESTER, MA 02125	N/A	PC	HARVARD T.H. CHAN SCHOOL OF PUBLIC HEALTH'S DEAN'S JUNIOR FACULTY MENTORSHIP PROGRAM	50,000.
GIVEWELL 182 HOWARDS STREET, #208 SAN FRANCISCO, CA 94105	N/A	PC	GENERAL OPERATING SUPPORT	253.
THE MAGNUM FOUNDATION 59 E 4TH STREET 7W NEW YORK, NY 10003	N/A	PC	GENERAL OPERATING SUPPORT	200.
PHYSICIANS FOR HUMAN RIGHTS 256 WEST 38TH STREET, 9TH FLOOR NEW YORK, NY 10018	N/A	PC	GENERAL OPERATING SUPPORT	13,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	POST-DOCTORAL FELLOWS' TRAVEL	6,000.
OREGON STATE UNIVERSITY A312 KERR ADMINISTRATION BUILDING CORVALLIS, OR 97331	N/A	PC	CENTER FOR RESEARCH ON LIFELONG STEM LEARNING	13,033.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE, BOX 259 NEW YORK, NY 10065	N/A	PC	ROCKEDU SCIENCE OUTREACH	35,000.
THE CONVERSATION US, INC. 89 SOUTH STREET, SUITE 202 BOSTON, MA 02111	N/A	PC	STRENGTHENING SCIENCE JOURNALISM AND PARTNERSHIPS	125,000.
SCIENCECOUNTS, INC. 529 14TH STREET NW, SUITE 1050 WASHINGTON, DC 20045	N/A	PC	RAISING VOICES FOR SCIENCE	125,000.
ASU FOUNDATION PO BOX 2260 TEMPE, AZ 85280-2260	N/A	PC	SCIENTIST SUPPORT SYSTEM	19,766.
RESEARCH4IMPACT 131 E GREEN STREET, APT 314 ITHACA, NY 14850	N/A	PC	PILOT PARTNERSHIP PROGRAM	50,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON, DC 20001	N/A	PC	ADVANCING SCIENCE COMMUNICATION RESEARCH & PRACTICE	100,000.
AMERICAN ASSOC FOR ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20005	N/A	PC	SCIENCE-EVIDENT SOURCES PROGRAM	75,000.
YALE UNIVERSITY PO BOX 1873 NEW HAVEN, CT 06508-1873	N/A	PC	CANDICE PAULSEN 2018 SCHOLAR	50,000.
H LEE MOFFITT CANCER CTR & RESEARCH INST. PO BOX 742801 ATLANTA, GA 30374-2801	N/A	PC	VINCENT LUCA 2018 SCHOLAR	100,000.
FRED HUTCHISON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE N. MAILSTOP J6-330 SEATTLE, WA 98109-1024	N/A	PC	EMILY HATCH 2018 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD. PASADENA, CA 91125	N/A	PC	JOSEPH PARKER 2018 MILTON CASSEL SCHOLAR	110,000.
UNIVERSITY OF MASSACHUSETTS 55 LAKE AVENUE NORTH WORCESTER, MA 01655	N/A	PC	PAUL GREER 2018 SCHOLAR	100,000.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL PO BOX 1000 MEMPHIS, TN 38148-0949	N/A	PC	LINDSAY SCHWARZ 2018 SCHOLAR	100,000.
UNIV OF TEXAS SOUTHWESTERN MEDICAL CENTER PO BOX 841765 DALLAS, TX 75390-9020	N/A	PC	HELEN LAI 2018 SCHOLAR	50,000.
HEALTH RESEARCH ALLIANCE 65 T.W. ALEXANDER DR. RESEARCH TRIANGLE PARK, NC 27709-3901	N/A	PC	FACILITATING COLLABORATIVE RELATIONSHIPS AMONG HRA MEMBERS INITIATIVE	25,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	SOPHIE DUMONT 2013 MILTON E. CASSEL SCHOLAR	110,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10087	N/A	PC	LEI DING 2014 SCHOLAR	100,000.
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	MOLLY HAMMELL 2014 SCHOLAR	110,000.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE, BOX 259 NEW YORK, NY 10065	N/A	PC	SEBASTIAN KLINGE 2014 SCHOLAR	100,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA 1855 FOLSOM STREET, MCB 425 BOX 0897 SAN FRANCISCO, CA 94143-0897	N/A	PC	ZACHARY KNIGHT 2014 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF CALIFORNIA, DAVIS PO BOX 989062 WEST SACRAMENTO, CA 95798-9062	N/A	PC	LIN TIAN 2014 SCHOLAR	100,000.
NORTHWESTERN UNIVERSITY 633 CLARK STREET, RM 6547 EVANSTON, IL 60208	N/A	PC	YEVGENIA KOZOROVITSKIY 2015 SCHOLAR	100,000.
SALK INSTITUTE FOR BIOLOGICAL STUDIES 10010 NORTH TORREY PINES ROAD LA JOLLA, CA 92037-1002	N/A	PC	JULIE LAW 2015 SCHOLAR	100,000.
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	N/A	PC	JEREMY WILUSZ 2015 SCHOLAR	100,000.
COLD SPRING HARBOR LABORATORY ONE BUNG TOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	CAMILA DOS SANTOS 2016 SCHOLAR	100,000.
UNIVERSITY OF NORTH CAROLINA PO BOX 402420 ATLANTA, GA 30384-2420	N/A	PC	BO LI 2016 SCHOLAR	100,000.
UNIV. OF TEXAS MD ANDERSON CANCER CENTER PO BOX 4390 HOUSTON, TX 77210-4390	N/A	PC	KATHARINA SCHLACHER 2016 SCHOLAR	100,000.
UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE, MAIL CODE: 0009 LA JOLLA, CA 92093	N/A	PC	RICHARD DANEMAN 2017 SCHOLAR	110,000.
UNIVERSITY OF CONNECTICUT 438 WHITNEY ROAD EXT., U-1133 STORRS, CT 06269-1133	N/A	PC	KYLE BAUMBAUER 2017 SCHOLAR	50,000.
UNIVERSITY OF CALIFORNIA, BERKELEY 2195 HEARST AVENUE, ROOM 130 MC 1103 BERKLEY, CA 94720-1103	N/A	PC	STEPHAN LAMMEL 2017 SCHOLAR	100,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MCGILL UNIVERSITY 3465 D'URCHER STREET, 3RD FLR MONTREAL, QUEBEC, CANADA	N/A	PC	ARKADY KHOUTORSKY 2017 SCHOLAR	50,000.
DUKE UNIVERSITY LOCKBOX 602651, CHARLOTTE, NC 28262	N/A	PC	KATE MEYER 2017 SCHOLAR	100,000.
BOSTON CHILDREN'S HOSPITAL PO BOX 414413 BOSTON, MA 02241-4413	N/A	PC	DINGDING AN 2017 SCHOLAR	100,000.
UNIVERSITY OF CINCINNATI 51 GOODMAN DRIVE, SUITE 530 CINCINNATI, OH 45221-0222	N/A	PC	STEVE DAVIDSON 2016 SCHOLAR	50,000.
COLUMBIA UNIVERSITY PO BOX 29789 NEW YORK, NY 10087	N/A	PC	MINOREE KOHWI 2015 SCHOLAR	100,000.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE, BOX 701 NEW YORK, NY 10065	N/A	PC	ALEX KENTSIS 2016 SCHOLAR	100,000.
AMERICAN ASSOC FOR ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20005	N/A	PC	CENTER FOR SCIENTIFIC EVIDENCE IN PUBLIC ISSUES PROGRAM	100,000.
INTERNATIONAL ASSOC FOR THE STUDY OF PAIN 1510 H STREET NW, SUITE 600 WASHINGTON, DC 20005-1020	N/A	PC	RELIEF: PAIN RESEARCH NEWS, INSIGHTS AND IDEAS PROGRAM	50,000.
WEILL CORNELL MEDICINE 1300 YORK AVENUE NEW YORK, NY 10065	N/A	PC	SCIENCE AND SOCIETY PROGRAM	25,000.
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	SIMONS CENTER OF SYSTEMS BIOLOGY	25,000.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)Total from continuation sheets

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KEAN UNIVERSITY 250 SURREY ROAD, UNIT 17 HILLSIDE, NJ 07205	N/A	PC	GENERAL SUPPORT GRANT - STUDENT EDUCATIONAL OPPORTUNITIES	10,000.
MIAMI FOUNDATION 40 NW 3RD STREET, SUITE 305 MIAMI, FL 33128	N/A	PC	NEWS MATCH	50,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW, KECK 1142 WASHINGTON, DC 20001	N/A	PC	ADVANCING SCIENCE COMMUNICATION RESEARCH & PRACTICE	150,000.
NEW YORK UNIVERSITY 25 W. 4TH STREET, 4TH FLOOR NEW YORK, NY 10012-1119	N/A	PC	SOCIAL MEDIA AND POLITICAL PARTICIPATION LAB (SMAPP)	75,000.
PUBLIC AGENDA 195 MONTAGUE ST. SUITE 1143 BROOKLYN, NY 11201	N/A	PC	ACCELERATING AND STRENGTHENING PUBLIC JUDGEMENT	25,000.
RPA, FUND FOR SHARED INSIGHT 6 WEST 48TH STREET, 10TH FLR NEW YORK, NY 10036	N/A	PC	FUND FOR SHARED INSIGHT	250,000.
SOCIAL SCIENCE RESEARCH COUNCIL 300 CADMAN PLAZA WEST, 15 FLR BROOKLYN, NY 11201	N/A	PC	DIGITAL MISINFORMATION RESEARCH MAPPING & TRANSLATION	70,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVENUE, SUITE #224 NEW YORK, NY 10016	N/A	PC	INCREASING THE DIVERSITY OF NEWSROOM BASE PROGRAM	125,000.
SPACESHIP MEDIA, SOCIAL GOOD FUND BOX 5473 RICHMOND, CA 94805	N/A	PC	SPACESHIP MEDIA'S THE MANY: A CONVERSATION ACROSS DIVIDES	25,000.
THE CONVERSATION 89 SOUTH STREET, SUITE 202 BOSTON, MA 02111	N/A	PC	STRENGTHENING SCIENCE JOURNALISM AND PARTNERSHIPS	125,000.
Total from continuation sheets				1,105,000.

3 Grants and Contributions Approved for Future Payment (Continuation)

Total from continuation sheets

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ENDOWMENT CASH	132,980.	132,980.	
TOTAL TO PART I, LINE 3	132,980.	132,980.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INTEREST AND DIVIDENDS	1,907,882.	0.	1,907,882.	1,907,882.	
TO PART I, LINE 4	1,907,882.	0.	1,907,882.	1,907,882.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION SETTLEMENTS	5,546.	5,546.	
OTHER PARTNERSHIP INCOME	198,627.	333,774.	
GRANT REFUNDS	7,090.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	211,263.	339,320.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	5,021.	0.		5,021.
TO FM 990-PF, PG 1, LN 16A	5,021.	0.		5,021.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	59,700.	0.		59,700.
TO FORM 990-PF, PG 1, LN 16B	59,700.	0.		59,700.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	1,064,729.	1,128,309.		0.
BNYM CUSTODY FEES	78,870.	78,870.		0.
OTHER PROFESSIONAL FEES	2,302.	0.		2,302.
TO FORM 990-PF, PG 1, LN 16C	1,145,901.	1,207,179.		2,302.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	17,083.	49,866.		0.
FEDERAL EXCISE TAX EXPENSE	270,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	287,083.	49,866.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	6,454.	0.		6,454.
OFFICE EXPENSES	12,267.	0.		12,267.
MEMBERSHIPS AND DUES	32,560.	0.		32,560.
ANNUAL REPORT FILING	1,528.	0.		1,528.
SCIENTIFIC ADVISORY				
HONORARIA	30,000.	0.		30,000.
IT SERVICE & WEB DESIGN	15,793.	0.		15,793.
PAYROLL SERVICE	3,774.	0.		3,774.
GRANT SOFTWARE & WEB				
SERVICES	30,111.	0.		30,111.
OFFICE FURNITURE AND				
EQUIPMENT	16,528.	0.		16,528.
PROGRAM/COMMUNICATIONS				
EXPENSE	136,690.	0.		136,690.
SCHOLARS WORKSHOP	39,092.	0.		39,092.
OTHER PARTNERSHIP DEDUCTIONS	0.	131,424.		0.
TO FORM 990-PF, PG 1, LN 23	324,797.	131,424.		324,797.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT SECURITIES - SEE ATTACHMENT A	X		16,472,825.	16,472,825.
TOTAL U.S. GOVERNMENT OBLIGATIONS			16,472,825.	16,472,825.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			16,472,825.	16,472,825.

FORM 990-PF

CORPORATE STOCK

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT A	75,574,508.	75,574,508.
TOTAL TO FORM 990-PF, PART II, LINE 10B	75,574,508.	75,574,508.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - SEE ATTACHMENT A	FMV	2,802,931.	2,802,931.
HEDGE FUNDS - SEE ATTACHMENT A	FMV	49,709,500.	49,709,500.
PRIVATE EQUITIES - SEE ATTACHMENT A	FMV	10,805,884.	10,805,884.
TOTAL TO FORM 990-PF, PART II, LINE 13		63,318,315.	63,318,315.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM F. GADSDEN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	CHAIRMAN OF THE BOARD 2.00	7,000.	0.	0.
ELIZABETH G. CHRISTOPHERSON 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	PRESIDENT AND CEO 40.00	439,707.	73,099.	0.
KARI WILLIAMS 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	TREASURER/FINANCE 25.00	134,557.	31,408.	0.
SAMUEL S.-H. WANG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
ROBBERT DIJKGRAAF 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	4,000.	0.	0.
SIVAN HONG 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
LANDON Y. JONES 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
HONORABLE THOMAS H. KEAN 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	0.	0.	0.
GENEVA OVERHOLSER 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	DIRECTOR 1.00	2,500.	0.	0.
KATHERINE BELYI 92 NASSAU STREET, 3RD FLOOR PRINCETON, NJ 08540	SECRETARY/COMMUNICATIONS 25.00	87,715.	12,280.	0.

RITA ALLEN FOUNDATION, INC.

13-6116429

ANDREW GOLDEN
92 NASSAU STREET, 3RD FLOOR
PRINCETON, NJ 08540

DIRECTOR
1.00

0.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

679,479. 116,787. 0.

RITA ALLEN FOUNDATION, INC.
CAPITAL GAIN/(LOSS), NET (FORM 990-PF, PART IV, LINE 1B)
December 31, 2018
EIN #13-6116429

DESCRIPTION	GAIN/(LOSS)
SALES OF NON-PUBLIC SECURITIES	
BRENNER WEST CAPITAL OFFSHORE FUND	\$ 152,837
COLCHIS INCOME FUND	11,449
EDGBASTON ASIAN EQUITY TRUST	103,230
FUNDSMITH EQUITY FUND	115,679
GOTHAM HEDGED VALUE STRATEGIES	635,508
GQG PARTNERS INTERNATIONAL EQUITY FUND - GQG PARTNERS SERIES LLC	136,731
INDIA ACORN FUND CAYMAN LTD	764,283
KABOUTER INTERNATIONAL INSIGHT FUND, LLC	7,323
LANSDOWNE	268,113
MGG SF EVERGREEN UNLEVERED FUND LP	40,533
NEW GENERATION TURNAROUND FUND (DELAWARE), LP	140,503
RENAISSANCE INSTITUTIONAL EQUITIES FUND, LLC	(25,167)
STELLIAM OFFSHORE FUND II	(63,002)
THE KILTEARN GLOBAL EQUITY FUND	91,546
THIRD POINT OFFSHORE FUND	97,190
TIGER EYE FUND	86,623
WARBURG PINCUS PRIVATE EQUITY XII, L.P.	(40,190)
YORK CREDIT OPPORTUNITIES UNIT TRUST	64,204
YORK EUROPEAN DISTRESSED ASSET FUND III (CAYMAN) LP	4,254
YORK EUROPEAN DISTRESSED CREDIT FUND II LP	262,077
Total Sales of Non-Public Securities	<u>\$ 2,853,724</u>

Rita Allen Foundation, Inc.
December 31, 2018
EIN# 13-6116429

For 2018 Form 990 – Part IX-A Summary of Direct Charitable Activities

Direct Charitable Activities

ACTIVITY ONE

Developing young leaders in science and social innovation

The Rita Allen Foundation identifies opportunities within two domains: investing in young leaders in science and social innovation; and promoting civic literacy and engagement. The Foundation works with grant recipients to build capacity beyond financial support, including providing value-added activities through periodic conferences and seminars, publishing and contributing to research-based publications to help guide the work of emerging leaders and organizations, and offering other technical assistance activities for grant recipients. In 2018, the Foundation hosted a conference of current Rita Allen Foundation Scholars focusing on sharing their scientific research as well as learning about new developments in the broader field of biomedical research. Rita Allen Foundation Scholars receive multiyear funding as early-career research scientists studying cancer, immunology, neuroscience, and pain. We also began preparations for our next gathering of current Scholars in 2019, focusing on topics identified by the Scholars as being influential in supporting their development as leaders in their fields. In addition, we conducted landscape research and produced a report to share the findings on the scientific and public health challenges of addressing chronic pain.

\$160,860

ACTIVITY TWO

Science communications landscape research, knowledge sharing, and field building

The Rita Allen Foundation is committed to developing the knowledge of the philanthropic sector and the fields in which it works in order to increase the ability of these groups to address longstanding, complex problems. In 2018, the Foundation conducted research on the landscape of philanthropic support for science communication; science communication research; public engagement with science; and the interaction between science, technology, media, and civil society. This research was shared with our peers via articles published in the *Stanford Social Innovation Review* and *Nonprofit Quarterly*, a report on the sustainability of civic tech business models, and via convenings we helped organize and present—one on misinformation solutions co-organized with RTI International held at the Aspen Institute in Washington, D.C.; and one on communicating science co-organized with Media Impact Funders held at the American Philosophical Society in Philadelphia. In addition, this research is being disseminated through participation in additional philanthropic conferences and meetings related to science, civic engagement, public-interest media, and philanthropic effectiveness.

\$199,480