

Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

2018

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation
THE RIDGEFIELD FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
240 BRANCHVILLE ROAD

City or town, state or province, country, and ZIP or foreign postal code
RIDGEFIELD, CT 06877

A Employer identification number
13-6093563

B Telephone number (see instructions)
(475) 215-5690

C If exemption application is pending, check here **0**

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply

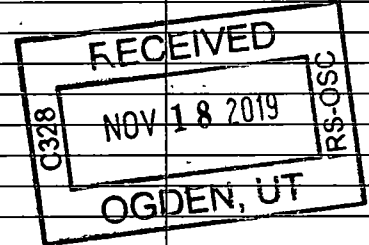
<input type="checkbox"/>	Initial return	<input type="checkbox"/>	Initial return of a former public charity
<input type="checkbox"/>	Final return	<input type="checkbox"/>	Amended return
<input checked="" type="checkbox"/>	Address change	<input type="checkbox"/>	Name change

H Check type of organization Section 501(c)(3) exempt private foundation **04**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ **71,419,045.**

J Accounting method Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	22,000.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B.				
3	Interest on savings and temporary cash investments.	38,905.	38,905.		
4	Dividends and interest from securities	1,605,171.	1,605,171.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,893,649.			
b	Gross sales price for all assets on line 6a 19,978,076.				
7	Capital gain net income (from Part IV, line 2)		1,906,737.		
8	Net short-term capital gain.				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH 1	246,819.	126,069.		
12	Total. Add lines 1 through 11	3,806,544.	3,676,882.		
13	Compensation of officers, directors, trustees, etc	201,150.	100,575.		100,575.
14	Other employee salaries and wages	113,667.	56,833.		56,834.
15	Pension plans, employee benefits	54,197.	27,099.		27,098.
16a	Legal fees (attach schedule) ATCH 2	268,316.	134,158.		134,158.
b	Accounting fees (attach schedule) ATCH 3	110,435.	55,218.		55,217.
c	Other professional fees (attach schedule) [4]	271,360.	264,360.		7,000.
17	Interest. ATCH 5.	144.	144.		
18	Taxes (attach schedule) (see instructions) [6].	92,382.	40,405.		6,117.
19	Depreciation (attach schedule) and depletion.	2,799.			
20	Occupancy	262,991.	131,495.		131,496.
21	Travel, conferences, and meetings	35,096.			35,096.
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 7.	280,565.	58,103.		50,165.
24	Total operating and administrative expenses. Add lines 13 through 23.	1,693,102.	868,390.		603,756.
25	Contributions, gifts, grants paid	4,132,000.			4,132,000.
26	Total expenses and disbursements Add lines 24 and 25	5,825,102.	868,390.	0.	4,735,756.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-2,018,558.			
b	Net investment income (if negative, enter -0-)		2,808,492.		
c	Adjusted net income (if negative, enter -0-).				



SCANNED DEC 19 2019 Revenue Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		7,410,298.	9,691,500.	9,691,500.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶		525,073.		
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 8		8,405,702.	10,397,671.	9,953,613.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 9		48,835,525.	43,163,493.	51,657,817.	
14	Land, buildings, and equipment basis ▶ 60,168.				ATCH 10	
	Less accumulated depreciation (attach schedule) ▶ 54,551.		7,507.	5,617.	5,617.	
15	Other assets (describe ▶ ATCH 11)		87,496.	110,498.	110,498.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		65,271,601.	63,368,779.	71,419,045.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 12)			115,736.	
23	Total liabilities (add lines 17 through 22)		0.	115,736.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26, and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		65,271,601.	63,253,043.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)		65,271,601.	63,253,043.		
31	Total liabilities and net assets/fund balances (see instructions)		65,271,601.	63,368,779.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	65,271,601.
2	Enter amount from Part I, line 27a	2	-2,018,558.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	63,253,043.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	63,253,043.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
a						
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))			
a						
b						
c						
d						
e						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2	1,906,737.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8				3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	4,088,213.	78,315,199.	0.052202
2016	4,246,927.	72,068,375.	0.058929
2015	4,368,779.	81,964,869.	0.053301
2014	3,983,713.	80,518,991.	0.049475
2013	4,047,366.	77,981,087.	0.051902
2 Total of line 1, column (d)			2 0.265809
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.053162
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			4 75,217,165.
5 Multiply line 4 by line 3.			5 3,998,695.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 28,085.
7 Add lines 5 and 6.			7 4,026,780.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 4,735,756.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Line 1: 28,085. Line 2: 28,085. Line 3: 28,085. Line 4: 0. Line 5: 28,085. Line 6a: 90,852. Line 7: 90,852. Line 9: Tax due. Line 10: 62,767. Line 11: 62,767.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns: Question, Yes, No. Row 1a: No (X). Row 1b: No (X). Row 1c: No (X). Row 2: No (X). Row 3: Yes (X). Row 4a: Yes (X). Row 4b: Yes (X). Row 5: Yes (X). Row 6: Yes (X). Row 7: Yes (X). Row 8a: CT, NY. Row 8b: Yes (X). Row 9: No (X). Row 10: No (X).

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions. 11 Yes No X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions' 12 Yes No X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 X
14 The books are in care of MARGOT GIBIS Telephone no 475-215-5690 Located at 240 BRANCHVILLE ROAD RIDGEFIELD, CT ZIP+4 06877
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions 1b X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	X
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945-5(d) ATCH 15			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		201,150.	30,888.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 17		101,666.	10,039.	0.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 18		627,543.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	71,637,722.
b	Average of monthly cash balances	1b	4,724,882.
c	Fair market value of all other assets (see instructions).	1c	
d	Total (add lines 1a, b, and c)	1d	76,362,604.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	76,362,604.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,145,439.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	75,217,165.
6	Minimum investment return. Enter 5% of line 5	6	3,760,858.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	3,760,858.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	28,085.
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	28,085.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,732,773.
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4	5	3,732,773.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,732,773.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a	4,735,756.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,735,756.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	28,085.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,707,671.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				3,732,773.
2 Undistributed income, if any, as of the end of 2018.				
a Enter amount for 2017 only.				
b Total for prior years 20 16 , 20 15 , 20 14				
3 Excess distributions carryover, if any, to 2018				
a From 2013 212,018.				
b From 2014 84,166.				
c From 2015 317,175.				
d From 2016 668,020.				
e From 2017 248,991.				
f Total of lines 3a through e	1,530,370.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 4,735,756.				
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2018 distributable amount.				3,732,773.
e Remaining amount distributed out of corpus.	1,002,983.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,533,353.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions.				
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	212,018.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	2,321,335.			
10 Analysis of line 9				
a Excess from 2014 84,166.				
b Excess from 2015 317,175.				
c Excess from 2016 668,020.				
d Excess from 2017 248,991.				
e Excess from 2018 1,002,983.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p>ATCH 19</p>				
Total				▶ 3a 4,132,000.
<p><i>b Approved for future payment</i></p>				
Total				▶ 3b

Part XVI-A Analysis of Income-Producing Activities

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, Other investment income, Gain or loss from sales, and Subtotal.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					48.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					88,870.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					386,958.	
2,791,874.		FIDELITY #9693 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 2,856,415.				P	VAR -57,789.	VAR
1,758,688.		FIDELITY #9693 - VARIOUS L/T COVERED PROPERTY TYPE: SECURITIES 1,747,513.				P	VAR 17,025.	VAR
21,267.		FIDELITY #9879 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 19,404.				P	VAR 1,863.	VAR
1,252,259.		FIDELITY #9879 - VARIOUS L/T COVERED PROPERTY TYPE: SECURITIES 697,321.				P	VAR 554,938.	VAR
334,596.		FIDELITY #9691 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 342,775.				P	VAR -8,179.	VAR
10773876.		FIDELITY #9691 - VARIOUS L/T COVERED PROPERTY TYPE: SECURITIES 10369614.				P	VAR 404,262.	VAR
466,990.		FIDELITY #0214 - VARIOUS S/T COVERED PROPERTY TYPE: SECURITIES 447,745.				P	VAR 19,816.	VAR
34,008.		OSA SA MEZZAANINE II - L/T (2Q) PROPERTY TYPE: OTHER 29,474.				P	VAR 4,534.	06/30/2018
1,127,167.		ANCHORAGE - L/T PROPERTY TYPE: OTHER 682,939.				P	VAR 444,228.	VAR
		OCA EAGLE - L/T				P	VAR	VAR

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
80,261.		PROPERTY TYPE: OTHER 156,316.					-76,055.	
		OSA SA MEZZAANINE II - L/T (3Q)				P	VAR	09/30/2018
811,739.		PROPERTY TYPE: OTHER 693,316.					118,423.	
		OSA SA MEZZAANINE II - L/T (4Q)				P	VAR	12/31/2018
49,475.		PROPERTY TYPE: OTHER 41,680.					7,795.	
TOTAL GAIN (LOSS)							<u>1,906,737.</u>	

Schedule B

(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

THE RIDGEFIELD FOUNDATION

Employer identification number

13-6093563

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE RIDGEFIELD FOUNDATION

Employer identification number
13-6093563

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MS DONATELLA LENNON C/O MARCUM LLP: 750 3RD AVE NEW YORK, NY 10017	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	MS DONATELLA LENNON C/O MARCUM LLP: 750 3RD AVE NEW YORK, NY 10017	\$ 7,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE RIDGEFIELD FOUNDATION

Employer identification number

13-6093563

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	ARTWORK "TURBINE"-WELDED STEEL WITH OIL PAINT 10/26/1993 ARTIST: JEDD NOVATT	\$ 15,000.	02/09/2018
2	ARTWORK "UNTITLED" EXECUTED 1984 MIXED MEDIA ON CANVAS ARTIST: CLAUDE A. SIMARD	\$ 7,000.	02/09/2018
		\$	
		\$	
		\$	
		\$	

Name of organization THE RIDGEFIELD FOUNDATION

Employer identification number
13-6093563

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once See instructions) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
_____	_____
_____	_____
_____	_____

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ORD INC - OCA R/E OPPO FD I - K1	-47.	-47.
ORD INC -GSO CAP SOL FD II AIV-2 - K1	-679.	-679.
OTHER INC - OCA RE OPPO FD - K1	5,887.	5,887.
OTHER INC - GSO CAP SOL FD II AIV-2 - K1	74.	74.
OTHER INC - GSO CAP SOL OVERSEAS - K1	77,534.	77,534.
OTHER INC - OCA RE OPPO FD - K1 (UBTI)	31,224.	
ROYALTIES - GSO CAP SOL FD II AIV-2 - K1	55.	55.
OCA REAL ESTATE-PER K-1-BOOK, NOT TAX	233.	
ORD INC - OCA RE OPP FUND I - K1 (UBTI)	7,763.	
ORD INC - GSO CAP FD II AIV-2 -K1 (UBTI)	96,635.	
RENTAL INC - OCA R/E OPPO FD I - K1	-274.	-274.
RENTAL INC - OCA R/E OPPO FD I-K1 (UBTI)	-15,105.	
OTHER ORD INCOME - STEPSTONE	27,767.	27,767.
CANCEL OF DEBT - OCA R/E OPPO FD I - K1	15,460.	15,460.
CANCEL OF DEBIT - GSO CAP SOL FD II -K1	292.	292.
TOTALS	246,819.	126,069.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CADWALADER, WICKERSHAM & TAFT LITTLER MENDELSON	259,766. 8,550.	129,883. 4,275.		129,883. 4,275.
TOTALS	<u>268,316.</u>	<u>134,158.</u>		<u>134,158.</u>

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
MARCUM LLP	110,435.	55,218.		55,217.
TOTALS	<u>110,435.</u>	<u>55,218.</u>		<u>55,217.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MGT FEES - LYRICAL	76,167.	76,167.	
OFFIT CAPITAL ADVISORS LLC	181,175.	181,175.	
THE VORTEX GRP-REAL EST ADVCE	14,000.	7,000.	7,000.
MISC OTHER	18.	18.	
TOTALS	<u>271,360.</u>	<u>264,360.</u>	<u>7,000.</u>

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
OCA REAL ESTATE OPPO FD K-1	126.	126.
GSO CAP SOL FD II AIV-2 K-1	18.	18.
TOTALS	<u>144.</u>	<u>144.</u>

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL EXCISE TAX	42,000.		
PAYROLL TAXES	23,455.	11,728.	11,727.
FOREIGN TAX	34,287.	34,287.	
NYS CORP TAX	360.		
REFUND OF PRIOR YR MTA P/R TAX	-11,220.	-5,610.	-5,610.
FEDERAL TAX - 990T	3,500.		
TOTALS	<u>92,382.</u>	<u>40,405.</u>	<u>6,117.</u>

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE	2,413.	1,206.	1,207.
MESSENGER SERVICE	445.	223.	222.
MOVING	13,309.	6,654.	6,655.
OFFICE SUPPLIES & EXPENSES	7,022.	3,511.	3,511.
COPIER MACHINE	5,708.	2,854.	2,854.
INTERNET ACCESS	1,458.	729.	729.
FILING FEES - CHAR 500	1,500.	750.	750.
BANK CHARGES	885.	442.	443.
INSURANCE	11,182.	5,591.	5,591.
PAYROLL SERVICE	1,539.	769.	770.
OFFICE EQUIPMENT	2,041.	1,021.	1,020.
POSTAGE & SHIPPING	1,264.	632.	632.
COMPUTER SOFTWARE	14,108.	7,054.	7,054.
PORT. DED - OCA R/E OPP FD K1	4,711.	4,711.	
PORT. DED - GSO CAP FD II K1	146.	146.	
PORT. DED - GSO CAP SOL AM K1	54.	54.	
BOND PREMIUM AMORT - FIDELITY	1,877.	1,877.	
SEC.59(E)2 GSO CAP SOL K1-UBIT	171,979.	234.	
OTHER DED. FIDELITY	234.	18,727.	18,727.
TEMPORARY OFFICE HELP	37,454.	20.	
OTHER DED. OCA R/E OPPO FD K1	20.	32.	
OTHER DED. GSO CAP SOL FD K1	32.	318.	
NON DED. GSO CAP SOL FD K1	318.	841.	
OTHER MISC EXPENSE	841.	25.	
ROYALTY DED. GSO CAP SOL FD II	25.		
TOTALS	<u>280,565.</u>	<u>58,103.</u>	<u>50,165.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ADVISORY RESEARCH	3,760,036.	5,198,970.	4,969,340.
LYRICAL US VALUE EQUITY	4,645,666.	5,198,701.	4,984,273.
TOTALS	<u>8,405,702.</u>	<u>10,397,671.</u>	<u>9,953,613.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STEPSTONE PE PARTNERS	749,034.	399,077.	606,098.
ANCHORAGE CAPITAL PARTNERS	2,731,756.	2,048,817.	3,381,500.
OCA EAGLE GLOBAL MLP LLC	156,316.	-686,628.	301,888.
OCA REAL ESTATE OPP FUND I LP	-522,610.	1,549,452.	1,810,665.
OCA SA MEZZANINE FUND II	2,313,922.	14,192,315.	19,552,196.
VANGUARD S&P 500 INDEX FUND	13,723,533.	4,005,889.	5,516,336.
EDGEWOOD GROWTH FUND INSTL	4,313,978.	895,767.	891,854.
GSO CAPITAL SOLUTIONS FUND	1,309,932.	5,863,190.	5,782,455.
VANGUARD INSTUTIONAL INDEX	7,418,338.	2,397,694.	
DOUBLELINE TOTAL RETURN BOND F	2,397,694.	2,684,396.	2,349,285.
ARIEL INTERNATIONAL FUND	2,647,752.	2,721,560.	2,337,615.
MATTHEWS ASIA	2,549,368.	2,669,140.	2,606,430.
ANGEL OAK	2,544,320.	3,000,000.	2,913,165.
EATON VANCE	2,860,763.		
OSTERWEIS STRATEGIC	2,865,772.		
UNITED STATES TREASURY BONDS	775,411.	775,411.	775,380.
OCA LMNI IV SEGREGATED PORT	57,580.	57,580.	99,211.
FIDELITY 0214		2,987,527.	2,733,739.
TOTALS	<u>48,835,525.</u>	<u>43,163,493.</u>	<u>51,657,817.</u>

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 10

ASSET DESCRIPTION		METHOD/ CLASS	FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
			BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
OFFICE EQUIPMENT		M5	4,005			4,005	4,005.			4,005.
FURN & FIXTURES		SL	10,767			10,767.	10,767.			10,767
FURN & FIXTURES		M7	2,723.			2,723.	2,723.			2,723.
FURN & FIXTURES		M7	2,271			2,271.	2,271			2,271.
COMPUTERS		SL	6,400			6,400	6,400.			6,400.
FURN & FIXTURES		SL	1,181.			1,181	1,181.			1,181.
ELEC WATER HEATER		SL	2,800			2,800.	2,800.			2,800
OFFICE EQUIPMENT		SL	3,931			3,931.	3,931.			3,931.
OFFICE EQUIPMENT		SL	88.			88.	88.			88.
COMPUTERS		SL	6,085.			6,085.	6,085			6,085.
FURN & FIXTURES		SL	2,106			2,106.	2,106.			2,106.
COMPUTER		SL	764.			764	764			764.
FURN & FIXTURES		SL	890.			890.	857	33		890.
FURN & FIXTURES		SL	261.			261.	231.	30.		261.
OFFICE EQUIPMENT		SL	300.			300	300.			300.
FURN & FIXTURES		SL	765.			765	627.	109.		736.
FURN & FIXTURES		SL	245.			245	198	35.		233.
FURN & FIXTURES		SL	93			93.	69.	13.		82.

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 10 (CONT'D)

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL				
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
FURN & FIXTURES	SL	103.			103	81.	15		96
FURN & FIXTURES	SL	1,140			1,140.	733	163		896.
FURN & FIXTURES	SL	1,174			1,174.	602	168		770.
COMPUTER	SL	2,461.			2,461	1,886.	492.		2,378.
PHONE SYSTEM - AVA	SL	8,705			8,705.	3,047.	1,741.		4,788.
COMPUTER			908.						
TOTALS		<u>59,258</u>			<u>60,166.</u>	<u>51,752</u>			<u>54,551.</u>

ATTACHMENT 11

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SECURITY DEPOSIT	87,496.	88,498.	88,498.
ARTWORK		22,000.	22,000.
TOTALS	<u>87,496.</u>	<u>110,498.</u>	<u>110,498.</u>

ATTACHMENT 13FORM 990PF, PART VII-A, LINE 3 - CONFORMED COPY OF THE CHANGES

ON NOVEMBER 8, 2018, THE RIDGEFIELD FOUNDATION MERGED INTO THE LEIR FOUNDATION, INC, EIN 06-1466481. THE LEIR FOUNDATION WILL BE THE SURVIVOR OF THE TWO FOUNDATIONS. SEE ATTACHMENT B FOR DETAILS OF THE MERGER.

THE RIDGEFIELD FOUNDATION IS IN THE PROCESS OF WINDING DOWN AND TRANSFERRING ITS ASSETS.

ATTACHMENT 14FORM 990PF, PART VII-A - LIQUIDATION, TERMINATION, ETC. STATEMENT

THE RIDGEFIELD FOUNDATION HAS MERGED INTO THE LEIR FOUNDATION, EIN 06-1466481. SEE ATTACHMENT B FOR DETAILS OF THE MERGER.

AS OF 12/31/18 THE RIDGEFIELD FOUNDATION HAD NOT YET TRANSFERRED ITS ASSETS AND WAS IN THE PROCESS OF WINDING DOWN AND TRANSFERRING ITS ASSETS TO THE LEIR FOUNDATION.

ATTACHMENT 15FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: MUSEE NATIONAL D'HISTOIRE ET D'ART (MNHA)
GRANTEE'S ADDRESS: MARCHE - AUX POISSONS
CITY, STATE & ZIP: LUXEMBOURG
FOREIGN COUNTRY: LUXEMBOURG L-2345
GRANT DATE: 02/20/2018
GRANT AMOUNT: 100,000.
GRANT PURPOSE: MUSEUM & CULTURAL
AMOUNT EXPENDED: 100,000.
ANY DIVERSION? NO
DATES OF REPORTS: 11/15/2018
VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: ART2CURE
GRANTEE'S ADDRESS: 81 RUE DE LUXEMBOURG
CITY, STATE & ZIP: ESCH ALZETTE
FOREIGN COUNTRY: LUXEMBOURG L-4221
GRANT DATE: 02/12/2018
GRANT AMOUNT: 5,000.
GRANT PURPOSE: MUSEUM & CULTURAL
AMOUNT EXPENDED: 5,000.
ANY DIVERSION? NO
DATES OF REPORTS: 06/10/2018
VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: CHRISTIANS FOR ISRAEL
GRANTEE'S ADDRESS: HENRI NOUWENSTRAAT 34
CITY, STATE & ZIP: NIJKERK
FOREIGN COUNTRY: NETHERLANDS 3863 HV
GRANT DATE: 02/20/2018
GRANT AMOUNT: 50,000.
GRANT PURPOSE: MUSEUMS AND CULTURAL
AMOUNT EXPENDED: 50,000.
ANY DIVERSION? NO
DATES OF REPORTS: 03/26/2018
VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER THAN ITS INTENDED PURPOSE.

GRANTEE'S NAME: LUXEMBOURG SCIENCE CENTER
GRANTEE'S ADDRESS: 50 RUE EMILE MARK

CONT'D ON NEXT PAGE

ATTACHMENT 15 (CONT'D)FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

CITY, STATE & ZIP: DIFFERDANGE
FOREIGN COUNTRY: LUXEMBOURG L-4620
GRANT DATE: 02/26/2018
GRANT AMOUNT: 220,000.
GRANT PURPOSE: MUSEUM AND CULTURAL
AMOUNT EXPENDED: 220,000.
ANY DIVERSION? NO
DATES OF REPORTS: 09/24/2018
VERIFICATION DATE:

RESULTS OF VERIFICATION:

TO THE KNOWLEDGE OF THE FOUNDATION, AND BASED UPON INFORMATION
PROVIDED BY THE GRANTEE, NO PART OF THE GRANT HAS BEEN USED FOR OTHER
THAN ITS INTENDED PURPOSE.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
LAURIE KUHN 240 BRANCHVILLE ROAD RIDGEFIELD, CT 06877	ASSISTANCE SECRETARY 40.00	18,750.	22,058.	
MARGOT GIBIS 240 BRANCHVILLE ROAD RIDGEFIELD, CT 06877	PRESIDENT/DIRECTOR 40.00	182,400.	8,830.	
40 HOURS ALLOCATED AMONGST THIS ENTITY AND TWO OTHER AFFILIATED NONPROFITS				
JEAN WAGENER 240 BRANCHVILLE ROAD RIDGEFIELD, CT 06877	DIRECTOR 1.00	0.	0.	
LAUREL EISNER 240 BRANCHVILLE ROAD RIDGEFIELD, CT 06877	CHAIR/DIRECTOR 1.00	0.	0.	
SUNHEE GERTZ 240 BRANCHVILLE ROAD RIDGEFIELD, CT 06877	SECRETARY/TREASURER/DIRECTOR 1.00	0.	0.	
GRAND TOTALS		<u>201,150.</u>	<u>30,888.</u>	<u>0.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 17

CONTRIBUTIONS
TO EMPLOYEE
BENEFIT PLANS

TITLE AND AVERAGE
HOURS PER WEEK
DEVOTED TO POSITION COMPENSATION

NAME AND ADDRESS

STEVEN ROSENTHAL ADMIN ASSISTANT 51,333. 5,966.

240 BRACHVILLE ROAD
RIDGEFIELD, CT 06877

SARAH BALDWIN ADMIN ASSISTANT 50,333. 4,073.

240 BRANCHVILLE ROAD
RIDGEFIELD, CT 06877

TOTAL COMPENSATION 101,666. 10,039.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
OFFIT CAPITAL ADVISORS 485 LEXINGTON AVENUE NEW YORK, NY 10017	INVESTMENT ADV FEE	181,175.
CADWALADER, WICKERSHAM AND TAFT LLP 200 LIBERTY STREET NEW YORK, NY 10281	LEGAL SERVICE	259,766.
LYRICAL PARTNERS LP 405 PARK AVE, 6TH FL NEW YORK, NY 10022	INVESTMENT ADV FEE	76,167.
MARCUM LLP 750 THIRD AVE, 11TH FL NEW YORK, NY 10017	TAX AND AUDIT SVCS	110,435.
	TOTAL COMPENSATION	<u>627,543.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 19

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS PURPOSE OF GRANT OR CONTRIBUTION AMOUNT

GRANTEES THAT ARE PUBLIC OR
PUBLIC CHARITIES EQUIVALENTS
(SEE ATTACHMENT A)
NEW YORK, NY 10022

NONE
PC

VARIOUS - SEE ATTACHMENT A

4,132,000.

TOTAL CONTRIBUTIONS PAID

4,132,000

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER INCOME - GSO CAP SOL FD II AIV-2 K1			14	-679.	
OTHER INCOME - OCA R/E OPPO FS K1			14	-47.	
ORD INCOME - OCA REAL EST OPP FD	900099		14	5,887.	
ORD INCOME - GSO CAP SOL FD II AIV-2 K-1	900099	96,635.	14	74.	
ORD INC - OCA RE OPP FUND I - K1	900099	7,763.			
OCA REAL ESTATE OPP FD K1 - NET RENTAL LOSS	900099	-15,105.			
OTHER INCOME - GSO CAP SOL OVERSEAS			14	77,534.	
ORD INC -GSO CAP SOL FD II AIV-2 - K1			14	292.	
OTHER INC - OCA RE OPPO FD - K1	900099	31,224.			
ROYALTIES - GSO CAP SOL FD II AIV-2 - K1			15	55.	
RENTAL INC - OCA R/E OPPO FD I - K1			16	-274.	
OTHER ORD INCOME - STEPSTONE			14	27,767.	
OTHER ORD INCOME - OCA REAL EST OPP - K-1			14	15,460.	
OTHER INCOME-OCA REAL EST OPP FUND PER K-1-BOOK/TX			14	233.	

TOTALS

120,517.

126,302.