

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation THE SERIS FOUNDATION		A Employer identification number 13-3969875	
% ERIKA KLAPPER			
Number and street (or P O box number if mail is not delivered to street address) 3333 HENRY HUDSON PARKWAY Suite APT		B Telephone number (see instructions)	
City or town, state or province, country, and ZIP or foreign postal code RIVERDALE, NY 10463		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>448,342</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	7,421	7,421		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	33,231			
	b Gross sales price for all assets on line 6a <u>186,954</u>				
	7 Capital gain net income (from Part IV, line 2)		33,231		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	40,652	40,652			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	84	84		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	4,349	4,349		
	24 Total operating and administrative expenses. Add lines 13 through 23	4,433	4,433		
	25 Contributions, gifts, grants paid	0			
26 Total expenses and disbursements. Add lines 24 and 25	4,433	4,433			
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	36,219				
b Net investment income (if negative, enter -0-)		36,219			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	23,306	37,258	37,258
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	324,584	376,225	398,250
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	42,490	12,834	12,834	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	390,380	426,317	448,342	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	282		
	23 Total liabilities (add lines 17 through 22)	282	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	390,098	426,317		
30 Total net assets or fund balances (see instructions)	390,098	426,317		
31 Total liabilities and net assets/fund balances (see instructions) .	390,380	426,317		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	390,098
2 Enter amount from Part I, line 27a	2	36,219
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	426,317
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	426,317

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	33,231
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	28,900	468,582	0.061675
2016	41,903	444,147	0.094345
2015	7,452	295,147	0.025248
2014	9,410	250,618	0.037547
2013	15,165	240,541	0.063045

2 Total of line 1, column (d)	2	0.28186
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.056372
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	460,062
5 Multiply line 4 by line 3	5	25,935
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	362
7 Add lines 5 and 6	7	26,297
8 Enter qualifying distributions from Part XII, line 4	8	0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and credits/payments. Total tax due is 1,445.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Columns for Yes/No.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of ERIKA KLAPPER Telephone no (212) 874-2726

Located at 3333 HENRY HUDSON PKWY APT 12N RIVERDALE NY ZIP+4 10463

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 _____	
2 _____	
All other program-related investments. See instructions	
3 _____	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	437,538
b	Average of monthly cash balances.	1b	16,696
c	Fair market value of all other assets (see instructions).	1c	12,834
d	Total (add lines 1a, b, and c).	1d	467,068
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	467,068
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	7,006
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	460,062
6	Minimum investment return. Enter 5% of line 5.	6	23,003

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	23,003
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	724
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	724
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	22,279
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	22,279
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	22,279

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	0
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	0

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				22,279
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 2016, 2015, 2014		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.	3,234			
b From 2014.				
c From 2015.				
d From 2016.	19,890			
e From 2017.	5,687			
f Total of lines 3a through e.	28,811			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>0</u>				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	22,279			22,279
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,532			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	6,532			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.	845			
d Excess from 2017.	5,687			
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Total ▶ 3a				
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 MORGAN STANELY 8295 ST COVERED	P	2018-01-01	2018-12-31
1 MORGAN STANELY 8295 LT COVERED	P	2017-01-01	2018-12-31
5SH BIOVERATIV INC	P	2017-01-01	2018-12-31
UBS SHORT TERM COVERED	P	2018-01-01	2018-12-31
UBS LONG TERM COVERED	P	2017-01-01	2018-12-31
UBS LONG TERM UNCOVERED - SEE ATTACHMENT #1	P	2017-01-01	2018-12-31
5SH GCI LIBERTY INC	P	2018-01-01	2018-05-14
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,100		6,367	1,733
14,793		9,160	5,633
52		4	48
50,901		50,510	391
107,093		86,172	21,726
5,784		2,315	3,469
214		0	214
			17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,733
			5,633
			48
			391
			20,921
			3,469
			214

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

TY 2018 Investments Corporate Stock Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
22 ADOBE SYSTEMS		
218 AES CORP		
14 AETNA INC		
74 AKAMAI TECHNOLOGIES INC		
10 ALASKA AIR GROUP INC		
25 ALEXION PHARM INC		
29 ALLERGAN PLC SHS		
34 ALLIED WORLD ASSUR CO HLDG		
42 ALLISON TRANSMN HLDGS INC		
3 ALPHABET INC CL A		
5 ALPHABET INC CL C		
7 AMAZON INC		
14 AMC NETWORKS INC CL A		
32 AMERICAN EXPRESS CO		
152 AMERICAN HOMES 4 RENT		
18 AMERIPRISE FINCL INC		
88 ANADARKO PETE		
30 ANHEUSER BUSCH		
58 APACHE CORP		
22 APPLE INC		
34 APPLIED MATERIALS INC		
28 AUTODESK INC		
25 AXIS CAPITAL HOLDINGS LTD		
3 AUTOZONE INC		
26 BANK OF THE OZARKS INC		
35 BIOGEN INC		
6 BIOVERATIV INC		
9 BLACKROCK INC		
7 BOEING CO		
45 BRISTOL MYERS SQUIBB CO		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
11 BROADCOM CORP		
50 CABOT OIL & GAS CORP A		
86 CALPINE CORP NEW		
36 CARNIVAL CP NEW PAIRED COM		
25 CBS CORP NEW CL B		
46 CELGENE CORP		
65 CHARLES SCHWAB NEW		
5 CHIPOTLE MEDICAL GRILL INC		
111 CISCO SYS INC		
95 CITIGROUP INC		
20 CITRIX SYSTEMS INC		
111 CNX RESOURCE CORP		
43 COCA COLA CO		
257 COMCAST CORP CL A		
13 CONSOL ENERGY INC		
15 COSTCO WHOLESALE CORP		
51 CREE RESEARCH INC		
39 CVS HEALTH CORP		
44 DENTSPLY SIRONA INC		
101 DEVON ENERGY CORP NEW		
25 DISCOVER FINCL SVCS		
45 DISCOVERY COMMUNICATIONS		
32 DOLBY CLA A COM		
35 DOWDUPONT INC		
62 EBAY INC		
23 ECOLAB INC		
73 EXELON CORP		
29 FACEBOOK INC CL A		
3 FAIRFAX FIN HLDG SUB VTG		
51 FLUOR CORP NEW		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
30 FORTINET INC		
162 FREEPORT MCMORAN INC		
12 GATX CORP		
52 GENERAL ELECTRIC CO		
80 GENTEX CORP		
52 HALLIBURTON CO		
85 HANESBRANDS INC		
26 HOME DEPOT INC		
41 HONEYWELL INTERNATIONAL INC		
48 IMMUNOGEN INC		
55 INVESCO LTD		
29 IONIS PHARMACEUTICALS INC		
56 JOHNSON & JOHNSON		
114 JOHNSON CTLS INTL PLC		
8 JONES LANG LASALLE INC		
192 KEYCORP NEW		
189 KINDER MORGAN INCORP		
17 KLA TENCOR CORP		
82 KROGER CO		
18 L3 TECHNOLOGIES INC		
1 LIBERTY BROADBAND CORP S-A		
3 LIBERTY BROADBAND CORP S-C		
5 LIBERTY INTERACTIVE CORP		
64 LIBERTY INTERACTIVE CORP QV		
9 LIBERTY MEDIA C SER A		
19 LIBERTY MEDIA C SER C		
1 LIBERTY MEDIA CORP S-C		
2 LIBERTY MEDIA CORP SER A		
4 LIBERTY MEDIA CORP SER C		
15 LIONS GATE ENTMNT CORP CL B		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3 LOGMEIN INC		
16 MCCORMICK AND CO NON VOTING		
13 MEDTRONIC PLC SHS		
64 MERCK & CO INC NEW COM		
48 METLIFE INCORPORATED		
189 MGIC INVT CORP		
100 MICROSOFT CORP		
21 MONSANTO CO NEW		
29 MURPHY USA INC COM		
64 MYLAN N V		
20 NASDAQ INC COM		
48 NATIONAL OILWELL VARCO INC		
21 NOVARTIS AG ADR		
50 NOW INC		
45 NUANCE COMMUNICATIONS INC		
71 NUCOR CORPORATION		
11 NXP SEMICONDUCTORS NV		
98 ONEMAIN HLDGS INC		
169 ORACLE CORP		
17 PALO ALTO NETWORKS INC		
46 PAYPAL HLDGS INC COM		
59 PEBBLEBROOK HOTEL TR COM		
17 PENTAIR PLC		
30 PIONEER NATURAL RESOURCES		
12 PRAXAIR INC		
63 PULTE GROUP INC		
9 RAYTHEON CO		
119 REALOGY HOLDINGS CORP		
33 RED HAT INC		
6 REGENERON PHARM		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
157 REGIONS FINANCIAL CORP NEW		
16 ROCKWELL COLLINS INC		
63 SCHLUMBERGER LTD		
82 SEAGATE TECHNOLOGY PLC		
57 SERVICE CORP INTL		
20 SIGNET JEWELERS LIMITED		
33 SPLUNK INC		
77 SPROUTS FARMERS MARKET INC		
12 STANLEY BLACK & DECKER INC		
31 STERICYCLE INC		
110 SUNCOR ENERGY INC		
44 SYMANTEC CORP		
163 SYNCHRONY FINANCIAL		
40 TE CONNECTIVITY LTD NEW		
52 TERADYNE INC		
31 TEXAS INSTRUMENTS		
39 THE MOSAIC CO		
18 THERMO FISHER SCIENTIFIC IN		
6 TRANSDIGM GROUP INC		
53 TWENTY-FIRST CENTURY FOX		
133 TWITTER INC		
61 UNILEVER PLC		
20 UNITED NATURAL FOODS INC		
28 UNITED PARCEL SERVICE INC		
16 UNITED RENTALS INC		
52 UNITEDHEALTH GP INC		
28 VEECO INSTRUMENTS INC DEL		
15 VERTEX PHARMACEUTICALS		
50 VISA INC CL A		
22 VMWARE INC CLASS A		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
39 VOYA FINL INC		
12 W W GRAINGER INC		
37 WALT DISNEY CO HLDG CO		
824 WEATHERFORD INTERNATIONAL		
138 WELLS FARGO & CO NEW		
29 WESTERN DIGITAL CORPORATION		
54 WEYERHAEUSER CO		
24 XILINX INC		
49 XL GROUP LTD		
78 YUM CHINA HLDNGS		
50 ZOETIS INC CL A		
TOTAL HOLDINGS		
UBS - SEE ATTACHMENT #2	376,225	398,250

TY 2018 Other Assets Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS & EXCHANGES	42,468	12,468	12,468
DUE FROM BROKER	22	366	366

TY 2018 Other Expenses Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	4,349	4,349		

TY 2018 Other Liabilities Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER	282	

TY 2018 Other Professional Fees Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES				

TY 2018 Taxes Schedule**Name:** THE SERIS FOUNDATION**EIN:** 13-3969875

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	84	84		