

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052  
**2018**  
**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018**

Name of foundation THE WATERWHEEL FOUNDATION		<b>A Employer identification number</b> 13-3948773	
Number and street (or P O box number if mail is not delivered to street address) C/O BGCO 420 LEXINGTON AVE NO 2520	Room/suite	<b>B Telephone number</b> (see instructions) (212) 582-9700	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10170		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>6,216,564</u>		<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	
		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	1,465,660			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	31,596	31,596		
	<b>4</b> Dividends and interest from securities	70,542	70,542		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	195,953			
	<b>b</b> Gross sales price for all assets on line 6a	847,597			
	<b>7</b> Capital gain net income (from Part IV, line 2)		195,953		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	397	397			
<b>12 Total.</b> Add lines 1 through 11	1,764,148	298,488			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0	0		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)	911	0		0
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	17,706	17,412		0
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	6,202	1,637		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)				
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	24,819	19,049		0
	<b>25</b> Contributions, gifts, grants paid	659,775			659,775
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	684,594	19,049		659,775	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	1,079,554				
<b>b Net investment income</b> (if negative, enter -0-)		279,439			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	92,371	391,770	391,770
	<b>2</b> Savings and temporary cash investments . . . . .	2,426,266	381,874	381,874
	<b>3</b> Accounts receivable ▶ <u>3,523</u>			
	Less allowance for doubtful accounts ▶ _____		3,523	3,523
	<b>4</b> Pledges receivable ▶ <u>648,068</u>			
	Less allowance for doubtful accounts ▶ _____	368,287	648,068	648,068
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .	1,044		
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	2,494,016	5,038,569	4,791,329
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____			
Less accumulated depreciation (attach schedule) ▶ _____				
<b>12</b> Investments—mortgage loans . . . . .				
<b>13</b> Investments—other (attach schedule) . . . . .				
<b>14</b> Land, buildings, and equipment basis ▶ <u>1,155</u>				
Less accumulated depreciation (attach schedule) ▶ <u>1,155</u>				
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	5,381,984	6,463,804	6,216,564	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	2,716	4,982	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	2,716	4,982	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .	5,379,268	6,458,822	
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds				
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	5,379,268	6,458,822		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	5,381,984	6,463,804		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	5,379,268
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	1,079,554
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	6,458,822
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	6,458,822

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b> PUBLICLY TRADED SECURITIES BERNSTEIN	P	2018-01-01	2018-12-31
<b>b</b> PUBLICLY TRADED SECURITIES BERNSTEIN	P	2017-01-01	2018-12-31
<b>c</b> CASH IN LIEU	P	2018-01-01	2018-12-31
<b>d</b> CAPITAL GAINS DIVIDENDS	P		
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 113,205		106,491	6,714
<b>b</b> 700,606		545,153	155,453
<b>c</b> 2			2
<b>d</b> 33,784			33,784
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			6,714
<b>b</b>			155,453
<b>c</b>			2
<b>d</b>			33,784
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	}	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	<b>2</b>	195,953
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	670,960	5,069,469	0.132353
2016	641,514	4,032,754	0.159076
2015	289,483	3,626,604	0.079822
2014	489,622	3,184,303	0.153761
2013	451,912	2,685,773	0.168261

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.693273
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.138655
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	<b>4</b>	5,858,047
<b>5</b> Multiply line 4 by line 3	<b>5</b>	812,248
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,794
<b>7</b> Add lines 5 and 6	<b>7</b>	815,042
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	659,775

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for 2018 estimated tax payments. Total tax due is 0, and total overpayment is 0.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' responses. Questions cover political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services.</b>		<b>0</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	<b>0</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	3,776,655
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	1,646,140
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	524,461
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	5,947,256
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	5,947,256
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	89,209
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	5,858,047
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	292,902

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	292,902
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	5,589
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	5,589
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	287,313
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	287,313
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	287,313

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	659,775
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	659,775
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	659,775

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				287,313
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .	318,399			
<b>b</b> From 2014. . . . .	332,650			
<b>c</b> From 2015. . . . .	109,904			
<b>d</b> From 2016. . . . .	440,744			
<b>e</b> From 2017. . . . .	419,537			
<b>f</b> Total of lines 3a through e. . . . .	1,621,234			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>659,775</u>				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				287,313
<b>e</b> Remaining amount distributed out of corpus	372,462			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,993,696			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .		0		
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	318,399			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a . . . . .	1,675,297			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .	332,650			
<b>b</b> Excess from 2015. . . . .	109,904			
<b>c</b> Excess from 2016. . . . .	440,744			
<b>d</b> Excess from 2017. . . . .	419,537			
<b>e</b> Excess from 2018. . . . .	372,462			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

---

**b** The form in which applications should be submitted and information and materials they should include

---

**c** Any submission deadlines

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				





**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
OUR TOWN BELFAST 107 MAIN STREET BELFAST, ME 04925	NONE	PUBLIC CHARITY	GENERAL	20,000
THE ASSOCIATION FOR RESCUE AT SEA PO BOX 565 FISH CREEK, WI 54212	NONE	PUBLIC CHARITY	GENERAL	10,000
STEPS TO END DOMESTIC VIOLENCE PO BOX 1535 BURLINGTON, VT 05402	NONE	PUBLIC CHARITY	GENERAL	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NOMAF1525 LOUISIANA AVENUE NEW ORLEANS, LA 70115	NONE	PUBLIC CHARITY	GENERAL	25,000
VIM CLINICPO BOX 23858 HILTON HEAD, SC 29925	NONE	PUBLIC CHARITY	GENERAL	25,000
VOLUNTEERS IN MEDICINE 162 ST PAUL STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	50,000
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INTERVALE CENTER 180 INTERVALE RD BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	10,000
BURLINGTON CITY ARTS 149 CHURCH STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	25,000
CENTRAL VERMONT TROUT UNLIMITED 140 DODDS COURT BURLINGTON, VT 05408	NONE	PUBLIC CHARITY	GENERAL	1,100
<b>Total . . . . .</b> ▶ <b>3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GIRLS ROCK VERMONT PO BOX 589 WINOOSKI, VT 05404	NONE	PUBLIC CHARITY	GENERAL	2,500
OUR COMMUNITY CARES CAMP PO BOX 503 RICHMOND, VT 05477	NONE	PUBLIC CHARITY	GENERAL	1,000
RADIATE PO BOX 657 RICHMOND, VT 05477	NONE	PUBLIC CHARITY	GENERAL	500
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WBGO54 PARK PLACE NEWARK, NJ 07102	NONE	PUBLIC CHARITY	GENERAL	2,000
NEW COMMUNITY PROJECT 117 NATURE ROAD BLUE RIDGE, VA 24064	NONE	PUBLIC CHARITY	GENERAL	1,500
UNION FAIRPO BOX 421 UNION, ME 04862	NONE	PUBLIC CHARITY	GENERAL	20,000
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
STEPS TO END DOMESTIC VIOLENCE PO BOX 1535 BURLINGTON, VT 05402	NONE	PUBLIC CHARITY	GENERAL	40,000
THE FLYNN CENTER 156 COLLEGE STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	10,000
HUNGER FREE AMERICA 50 BROAD STREET NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	10,017
<b>Total . . . . .</b>				659,775



**3a**

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
CATSKILL MOUNTAIN KEEPER 47 MAIN STREET LIVINGSTON MANOR, NY 12758	NONE	PUBLIC CHARITY	GENERAL	10,017
ECHOONE COLLEGE STREET BURLINGTON, VT 04501	NONE	PUBLIC CHARITY	GENERAL	20,000
VERMONT GRASS FARMERS ASSOCIATION 327 US ROUTE 302 BARRE, VT 05641	NONE	PUBLIC CHARITY	GENERAL	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMON ROOTS31 IMPERIAL DRIVE SOUTH BURLINGTON, VT 05403	NONE	PUBLIC CHARITY	GENERAL	5,000
VOICES FOR GLOBAL CHANGE 1440 SAINT MARY STREET NEW ORLEANS, LA 70103	NONE	PUBLIC CHARITY	GENERAL	84,481
VERMONT PARKS FOREVERPO BOX 815 MONTPELIER, VT 05601	NONE	PUBLIC CHARITY	GENERAL	30,000
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BURLINGTON CITY ARTS 149 CHURCH STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	15,000
REVERB INC386 FORE STREET PORTLAND, OR 04101	NONE	PUBLIC CHARITY	GENERAL	34,436
WFMUPO BOX 2011 JERSEY CITY, NJ 07303	NONE	PUBLIC CHARITY	GENERAL	3,500
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GROWING GARDENS 1630 HAWTHORN AVENUE BOULDER, CO 80304	NONE	PUBLIC CHARITY	GENERAL	8,519
CHATTAHOOCHEE RIVERKEEPER 916 JOSEPH LOWERY BLVD ATLANTA, GA 30318	NONE	PUBLIC CHARITY	GENERAL	8,519
HANSEN FOUNDATION 523 S LIEPZIG AVE COLOGNE, NJ 08213	NONE	PUBLIC CHARITY	GENERAL	8,519
<b>Total . . . . .</b> ▶ <b>3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HUNGER FREE COLORADO 1801 WILLIAMS ST DENVER, CO 80218	NONE	PUBLIC CHARITY	GENERAL	8,519
KIDZNOTESPO BOX 200 DURHAM, NC 27702	NONE	PUBLIC CHARITY	GENERAL	8,519
MOUNTAIN AREA PRESERVATION FUND PO BOX 25 TRUCKEE, CA 96160	NONE	PUBLIC CHARITY	GENERAL	8,519
<b>Total . . . . .</b>				659,775

▶ 3a

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SEA SHEPHERD P.O. BOX 8628 ALEXANDRIA, VA 22306	NONE	PUBLIC CHARITY	GENERAL	8,518
SUSTAINABLE FOOD CENTER 2921 E 17TH BUILDING C AUSTIN, TX 78702	NONE	PUBLIC CHARITY	GENERAL	8,518
TREES ATLANTA 225 CHESTER AVE SE ATLANTA, GA 30316	NONE	PUBLIC CHARITY	GENERAL	8,518
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MD PAXUTENT RIVERKEEPER 18600 QUEEN ANNE RD UPPER MARLBORO, MD 20774	NONE	PUBLIC CHARITY	GENERAL	8,518
GREEN MOUNTAIN CLUB 4711 WATERBURY-STOWE RD WATERBURY CENTER, VT 05677	NONE	PUBLIC CHARITY	GENERAL	5,000
HOPE282 BOARDMAN STREET MIDDLEBURY, VT 05753	NONE	PUBLIC CHARITY	GENERAL	1,000
<b>Total . . . . .</b>				<b>659,775</b>

▶ **3a**

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTHWESTERN MEDICAL CENTER 133 FAIRFIELD ST SAINT ALBANS, VT 05478	NONE	PUBLIC CHARITY	GENERAL	2,000
SARA HOLBROOK COMMUNITY CENTER 60 NORTH AVE BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	5,000
NORTHEAST WILDERNESS TRUST 17 STATE STREET MONTPELIER, VT 05602	NONE	PUBLIC CHARITY	GENERAL	1,000
<b>Total . . . . .</b> ▶ <b>3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RICHMOND ELEMENTARY SCHOOL 125 SCHOOL STREET RICHMOND, VT 05676	NONE	PUBLIC CHARITY	GENERAL	2,000
GENERATOR INC40 SEARS LAND BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	15,000
UPPER VALLEY MEND894 HWY 2 LEAVENWORTH, WA 98826	NONE	PUBLIC CHARITY	GENERAL	8,519
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UPPER COLUMBIA SALMON RECOVERY BOARD 11 SPOKANE STREET WENATCHEE, WA 98801	NONE	PUBLIC CHARITY	GENERAL	8,519
KIDS ON THE BALL INC 19 LINDENWOOR DRIVE SOUTH BURLINGTON, VT 05403	NONE	PUBLIC CHARITY	GENERAL	10,000
MUSIC THERAPY PROGRAM AT CHILDREN'S HOSPITAL 2201 BROADWAY OAKLAND, CA 94612	NONE	PUBLIC CHARITY	GENERAL	18,500
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FAITH IN ACTION NORTHERN COMMUNITIES P PO BOX 157 CABOT, VT 05647	NONE	PUBLIC CHARITY	GENERAL	1,000
LAKE CHAMPLAIN COMMUNITY SAILING CENTER PO BOX 64818 BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	10,000
CONSERVATION LAW FOUNDATION 62 SUMMER STREET BOSTON, MA 02110	NONE	PUBLIC CHARITY	GENERAL	10,000
<b>Total . . . . . ▶ 3a</b>				659,775

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
LAKE CHAMPLAIN TRUST ONE MAIN STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITY	GENERAL	1,000
TEAM RUBICON GLOBAL LTD 1509 16TH STREET NW WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	GENERAL	10,000
<b>Total . . . . . ▶ 3a</b>				<b>659,775</b>

**TY 2018 Investments Corporate Stock Schedule****Name:** THE WATERWHEEL FOUNDATION**EIN:** 13-3948773**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE STOCK	3,731,756	3,484,516
CORPORATE STOCK	1,306,813	1,306,813

**TY 2018 Legal Fees Schedule****Name:** THE WATERWHEEL FOUNDATION**EIN:** 13-3948773

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL FEES	911	0		0

**TY 2018 Other Income Schedule****Name:** THE WATERWHEEL FOUNDATION**EIN:** 13-3948773**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS	397	397	397

**TY 2018 Other Professional Fees Schedule****Name:** THE WATERWHEEL FOUNDATION**EIN:** 13-3948773

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT ADVISORY FEES	17,412	17,412		0
OTHER PROFESSIONAL	198	0		0
MESSENGER	72	0		0
MISCELLANEOUS	24	0		0

**TY 2018 Substantial Contributors  
Schedule****Name:** THE WATERWHEEL FOUNDATION**EIN:** 13-3948773

<b>Name</b>	<b>Address</b>
BEN & JERRY'S HOMEMADE HOLDINGS	30 COMMUNITY DRIVE SOUTH BURLINGTON, VT 054036828
PHISH MAIL ORDER INC	420 LEXINGTON AVE STE 2520 NEW YORK, NY 10170
STEPHEN KOSHLAND	110 MUSIC MOUNTAIN ROAD FALLS VILLAGE, CT 06031
COURAGEOUS WOMEN	7399 N SHADELAND AVE 164 INDIANAPOLIS, IN 46250
JENNIFER EISENSTANDT	69 JORALEMON STREET BROOKLYN, NY 11201
A&S BREWING COLLABORATIVE	ONE DESIGN CENTER PLACE 850 BOSTON, MA 02210

**TY 2018 Taxes Schedule****Name:** THE WATERWHEEL FOUNDATION**EIN:** 13-3948773

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
EXCISE TAX	4,565	0		0
FOREIGN TAX	1,637	1,637		0

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information

OMB No 1545-0047  
**2018**

**Name of the organization**  
THE WATERWHEEL FOUNDATION

**Employer identification number**  
13-3948773

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

<b>Name of organization</b> THE WATERWHEEL FOUNDATION	<b>Employer identification number</b> 13-3948773
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<b>Part I Contributors</b> (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BEN & JERRY'S HOME MADE HOLDINGS INC 30 COMMUNITY DRIVE SOUTH BURLINGTON, VT 054036828	\$ 54,755	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
2	PHISH MAIL ORDER C/O BG CO 420 LEXINGTON AVE STE 252 NEW YORK, NY 10170	\$ 365,833	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
3	STEPHEN KOSHLAND 110 MUSIC MOUNTAIN ROAD FALLS VILLAGE, CT 06031	\$ 6,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
4	COURAGEOUS WOMEN 7399 N SHADELAND AVENUE 164 INDIANAPOLIS, IN 46250	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
5	JENNIFER EISENSTADT 69 JORALEMON STREET BROOKLYN, NY 11201	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
6	A&S BREWING COLLABORATIVE LLC ONE DESIGN CENTER PLACE 850 BOSTON, MA 02210	\$ 5,163	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )



<b>Name of organization</b> THE WATERWHEEL FOUNDATION	<b>Employer identification number</b> 13-3948773
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**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____